

## Non-Hopf Hypersurfaces in 2-dimensional Complex Space Forms

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**Abstract.** In this paper we give a geometric characterization of non-Hopf hypersurfaces in the complex space form  $M^2(c)$  under a condition on the shape operator. We also classify pseudo-parallel real hypersurfaces of  $M^2(c)$ .

### 1. Introduction

It is an interesting problem to study real hypersurfaces immersed in the complex space form  $M^n(c)$  under a condition on curvature tensor, or the Ricci tensor, or sectional curvature. In this paper we consider the case of the 2-dimensional complex space form  $M^2(c)$ . In [3], Ivey and Ryan constructed some examples of non-Hopf real hypersurfaces in the non-flat complex space form  $M^2(c)$ . Let  $M$  be a real hypersurface in the complex hyperbolic space  $\mathbf{CH}^2$  or the complex projective space  $\mathbf{CP}^2$ . We denote by  $(\phi, \xi, \eta, g)$  an almost contact metric structure. At each  $p \in M$ , we define a subspace  $\mathcal{H}_p \subset T_pM$  as the smallest subspace that contains the structure vector field  $\xi$  and that is invariant under the shape operator  $A$ . We assume that  $\mathcal{H} = \sqcup_p \mathcal{H}_p$  is a smooth two-dimensional distribution on  $M$ . Then we obtain an adapted orthonormal frame  $\{\xi, X, \phi X\}$  with respect to which the shape operator has the form

$$A = \begin{pmatrix} \alpha & h & 0 \\ h & \lambda & 0 \\ 0 & 0 & \nu \end{pmatrix}, \quad (1)$$

where  $\mathcal{H}$  is spanned by  $\xi$  and  $X$  at each point.

**THEOREM A ([3]).** *Let  $\alpha(t)$ ,  $h(t)$ ,  $\lambda(t)$ ,  $\nu(t)$  be analytic functions on an open interval*

$I \subset \mathbf{R}$  satisfying the underdetermined ODE system

$$\begin{aligned}\alpha' &= h(\alpha + \lambda - 3v), \\ h' &= h^2 + \lambda^2 - 2\lambda v + \alpha v + c, \\ \lambda' &= \frac{(2\lambda + v)h^2 + (v - \lambda)(\alpha\lambda - \lambda^2 + c)}{h},\end{aligned}\tag{2}$$

with  $h(t)$  nowhere zero. Let  $\gamma(t)$  be a unit-speed analytic framed curve in  $M^2(c)$ , defined for  $t \in I$ , with transverse curvature  $v(t)$ , zero holomorphic curvature and zero torsion. Then there exists a non-Hopf hypersurface  $M^3$  such that

- (i) the distribution  $\mathcal{H}$  is rank 2 and integrable;
- (ii)  $M$  has a globally defined frame  $\{\xi, X, \phi X\}$  with respect to which the shape operator has the form (1), such that  $\alpha, h, \lambda$  and  $v$  are constant along the leaves of  $\mathcal{H}$ , and
- (iii)  $M$  contains  $\gamma$  as a principal curve to which the vector field  $Y = \phi X$  is tangent, and along which the restricted components of  $A$  coincide with the given solution of the ODE system.

In section 3, we consider a condition on the shape operator that contains the totally  $\eta$ -umbilical condition. We show that some non-Hopf hypersurfaces related to Theorem A also satisfy this condition. We shall prove

**THEOREM 1.** *Let  $M$  be a real hypersurface in  $M^2(c)$ ,  $c \neq 0$ . Suppose there exists a smooth function  $a : M \rightarrow \mathbf{R}$  such that  $g(AX, Y) = ag(X, Y)$  for any vector fields  $X$  and  $Y$  orthogonal to the structure vector field  $\xi$ . Then  $M$  is locally congruent to one of the following;*

- (a) a totally  $\eta$ -umbilical real hypersurface,
- (b) a ruled real hypersurface,
- (c) a real hypersurface with the shape operator

$$A = \begin{pmatrix} \alpha & h & 0 \\ h & a & 0 \\ 0 & 0 & a \end{pmatrix}$$

with respect to an orthonormal frame  $\{\xi, e_1, \phi e_1\}$ , and for a principal curve  $\gamma(t)$  ( $t \in I, \gamma' = \phi e_1$ ), satisfying

$$\begin{aligned}\alpha' &= h\alpha - 2ha, \\ h' &= c - a^2 + a\alpha + h^2, \\ a' &= 3ha.\end{aligned}\tag{3}$$

The corresponding result for a real hypersurface of  $M^n(c)$ ,  $n \geq 3$ ,  $c \neq 0$ , is given by Ortega [11].

THEOREM B ([11]). *Let  $M$  be a real hypersurface of  $M^n(c)$ ,  $n \geq 3$ ,  $c \neq 0$ . Suppose there exists a smooth function  $a : M \rightarrow \mathbf{R}$  such that  $g(AX, Y) = ag(X, Y)$  for any vector fields  $X$  and  $Y$  orthogonal to  $\xi$ . Then  $M$  is locally congruent to one of the following:*

- (a) *a totally  $\eta$ -umbilical real hypersurface,*
- (b) *a ruled real hypersurface.*

If the curvature tensor  $R$  and the Ricci operator  $S$  satisfy  $R(X, Y) \cdot S = 0$  for any vector fields  $X$  and  $Y$ , then  $M$  is called a *pseudo-Ryan* hypersurface. In [3], as a result of Theorem A, Ivey and Ryan gave an example of a pseudo-Ryan hypersurface in  $M^2(c)$ .

THEOREM C ([3]). *Let  $\alpha(t)$ ,  $h(t)$ ,  $\lambda(t)$ ,  $\nu(t)$  be analytic solutions defined on  $I$  of the system (2), such that  $h$  is nowhere zero and the equation*

$$h^2\nu^2 + (4c + \lambda\nu)(\alpha(\lambda - \nu) - h^2) = 0$$

*holds. Then the hypersurface  $M$  constructed by Theorem A is a non-Hopf pseudo-Ryan hypersurface.*

In Section 4, we consider a condition that the Ricci operator  $S$  is *pseudo-parallel*, that is,

$$R(X, Y) \cdot S = F(X \wedge Y) \cdot S,$$

where  $F$  is a function, which contains the pseudo-Ryan condition. We define the wedge product  $X \wedge Y$  by

$$(X \wedge Y)Z = g(Y, Z)X - g(X, Z)Y$$

for vectors  $X$  and  $Y$ . It is shown that a ruled real hypersurface in non-flat complex space form  $M^2(c)$ ,  $c \neq 0$  cannot have a pseudo-parallel Ricci operator ([2], [6]). In [6], Inoguchi gave a conjecture that real hypersurfaces in a non-flat complex space form  $M^2(c)$  with pseudo-parallel Ricci operator are Hopf. We prove the following theorem which gives the negative result.

THEOREM 2. *Let  $M$  be a real hypersurface in  $M^2(c)$ ,  $c \neq 0$ . If the Ricci operator  $S$  is pseudo-parallel, then  $M$  is a Hopf hypersurface or a non-Hopf hypersurface such that the shape operator has the form*

$$A = \begin{pmatrix} \alpha & h & 0 \\ h & a_1 & 0 \\ 0 & 0 & a_2 \end{pmatrix}$$

*with respect to an orthonormal frame  $\{\xi, e_1, e_2\}$ , and*

$$0 = (a_2\alpha - a_1\alpha + h^2)(3c + a_1a_2 - a_1\alpha + h^2) - a_2^2h^2,$$

$$F = c + a_1\alpha - h^2.$$

If there exists a function  $F$  such that

$$g((R(X, Y)S)Z, W) = Fg(((X \wedge Y)S)Z, W),$$

for all  $X, Y, Z$  and  $W$  orthogonal to  $\xi$ , then the real hypersurface is said to be pseudo  $\eta$ -parallel, which is a weaker condition than pseudo-parallel. When  $M$  is a real hypersurface of  $M^n(c)$ ,  $n \geq 3$ ,  $c \neq 0$ , the author showed the following.

**THEOREM D ([7]).** *Let  $M$  be a real hypersurface in a complex space form  $M^n(c)$ ,  $c \neq 0$ ,  $n \geq 3$ . Then the Ricci operator  $S$  is pseudo  $\eta$ -parallel if and only if  $M$  is pseudo-Einstein.*

We remark that a pseudo-Einstein real hypersurface is a Hopf hypersurface.

## 2. Preliminaries

Let  $M^n(c)$  denote the complex space form of complex dimension  $n$  (real dimension  $2n$ ) with constant holomorphic sectional curvature  $4c$ . We denote by  $J$  the almost complex structure of  $M^n(c)$ . The Hermitian metric of  $M^n(c)$  will be denoted by  $G$ .

Let  $M$  be a real  $(2n - 1)$ -dimensional hypersurface immersed in  $M^n(c)$ . We denote by  $g$  the Riemannian metric induced on  $M$  from  $G$ . We take the unit normal vector field  $N$  of  $M$  in  $M^n(c)$ . For any vector field  $X$  tangent to  $M$ , we define  $\phi, \eta$  and  $\xi$  by

$$JX = \phi X + \eta(X)N, \quad JN = -\xi,$$

where  $\phi X$  is the tangential part of  $JX$ ,  $\phi$  is a tensor field of type  $(1,1)$ ,  $\eta$  is a 1-form, and  $\xi$  is the unit vector field on  $M$ . Then they satisfy

$$\phi^2 X = -X + \eta(X)\xi, \quad \phi\xi = 0, \quad \eta(\phi X) = 0$$

for any vector field  $X$  tangent to  $M$ . Moreover, we have

$$g(\phi X, Y) + g(X, \phi Y) = 0, \quad \eta(X) = g(X, \xi),$$

$$g(\phi X, \phi Y) = g(X, Y) - \eta(X)\eta(Y).$$

Thus  $(\phi, \xi, \eta, g)$  defines an almost contact metric structure on  $M$ .

We denote by  $\tilde{\nabla}$  the operator of covariant differentiation in  $M^n(c)$ , and by  $\nabla$  the one in  $M$  determined by the induced metric. Then the *Gauss and Weingarten formulas* are given respectively by

$$\tilde{\nabla}_X Y = \nabla_X Y + g(AX, Y)N, \quad \tilde{\nabla}_X N = -AX$$

for any vector fields  $X$  and  $Y$  tangent to  $M$ . We call  $A$  the *shape operator* of  $M$ . If the shape operator  $A$  of  $M$  satisfies  $A\xi = \alpha\xi$  for some functions  $\alpha$ , then  $M$  is called a *Hopf hypersurface*.

For the almost contact metric structure on  $M$ , we have

$$\nabla_X \xi = \phi AX, \quad (\nabla_X \phi)Y = \eta(Y)AX - g(AX, Y)\xi.$$

If the shape operator  $A$  of a real hypersurface  $M$  is of the form  $A = aI$ , where  $I$  is the identity, then  $M$  is said to be totally umbilical. In Tashiro-Tachibana [13], it was proved that any real hypersurface of  $M^n(c)$ ,  $c \neq 0$ , is not totally umbilical. So we need the notion of totally  $\eta$ -umbilical real hypersurfaces, that is, the shape operator  $A$  is of the form  $A = aI + b\eta \otimes \xi$ .

PROPOSITION E ([12]). *The only totally  $\eta$ -umbilical real hypersurfaces in  $\mathbf{C}P^n$ ,  $n \geq 2$ , are geodesic hyperspheres.*

PROPOSITION F ([9], [10]). *The only totally  $\eta$ -umbilical real hypersurfaces in  $\mathbf{C}H^n$ ,  $n \geq 2$ , are horospheres, geodesic hyperspheres and tubes over complex hyperbolic hyperplane.*

We denote by  $R$  the Riemannian curvature tensor field of  $M$ . Then the *equation of Gauss* is given by

$$\begin{aligned} R(X, Y)Z &= c\{g(Y, Z)X - g(X, Z)Y + g(\phi Y, Z)\phi X \\ &\quad - g(\phi X, Z)\phi Y - 2g(\phi X, Y)\phi Z\} \\ &\quad + g(AY, Z)AX - g(AX, Z)AY, \end{aligned}$$

and the *equation of Codazzi* by

$$(\nabla_X A)Y - (\nabla_Y A)X = c\{\eta(X)\phi Y - \eta(Y)\phi X - 2g(\phi X, Y)\xi\}.$$

From the equation of Gauss, the Ricci operator  $S$  of  $M$  satisfies

$$\begin{aligned} g(SX, Y) &= (2n + 1)cg(X, Y) - 3c\eta(X)\eta(Y) \\ &\quad + \text{Tr}Ag(AX, Y) - g(AX, AY), \end{aligned} \tag{4}$$

where  $\text{Tr}A$  is the trace of  $A$ . The scalar curvature  $r$  is defined by

$$r = \text{Tr}S.$$

EXAMPLE ([5], [8]). Let  $M$  be a real hypersurface of a complex space form  $M^n(c)$ ,  $c \neq 0$ , and let  $T_0$  be the distribution defined by  $T_0(x) = \{X \in T_x(M) | X \perp \xi\}$  for  $x \in M$ . If  $T_0$  is integrable and its integral manifold is a totally geodesic submanifold  $M^{n-1}(c)$ , then  $M$  is called a *ruled real hypersurface*. Let  $\gamma(t)$  ( $t \in I$ ) be an arbitrary (regular) curve in  $M^n(c)$ . Then for every  $t \in I$  there exists a totally geodesic submanifold  $M^{n-1}(c)$  in  $M^n(c)$  which is orthogonal to the plane  $\tau_t$  spanned by  $\{\gamma'(t), J\gamma'(t)\}$ . Here we denote by  $M_t^{n-1}(c)$  such a totally geodesic submanifold. Let  $M = \{x \in M_t^{n-1}(c) | t \in I\}$ . Then the construction of  $M$  asserts that  $M$  is a ruled real hypersurface in  $M^n(c)$ . Moreover, the construction of  $M$  tells

that there are many ruled real hypersurfaces. The *holomorphic sectional curvature*  $H$  of a ruled real hypersurface  $M$  is  $4c$  (see [4]).

### 3. A condition of shape operator

In this section, we prove Theorem 1. As a consequence of this theorem, we have the following.

**COROLLARY 1.** *Let  $M$  be a real hypersurface in  $M^2(c)$ ,  $c \neq 0$ . Suppose there exists a constant  $a : M \rightarrow \mathbf{R}$  such that  $g(AX, Y) = ag(X, Y)$  for any vector fields  $X$  and  $Y$  orthogonal to  $\xi$ . Then  $M$  is locally congruent to one of the following:*

- (a) *a totally  $\eta$ -umbilical real hypersurface,*
- (b) *a ruled real hypersurface.*

First we prove the following

**LEMMA 1.** *Let  $M$  be a real hypersurface in  $M^2(c)$ . Suppose that there exists a smooth function  $a : M \rightarrow \mathbf{R}$  such that  $g(AX, Y) = ag(X, Y)$  for any vector fields  $X$  and  $Y$  orthogonal to  $\xi$ , then  $M$  is a Hopf hypersurface or the shape operator  $A$  is represented by a matrix*

$$A = \begin{pmatrix} \alpha & h & 0 \\ h & a & 0 \\ 0 & 0 & a \end{pmatrix} \quad (5)$$

with respect to a suitable orthonormal frame  $\{\xi, u, \phi u\}$ , locally.

**PROOF.** By the assumption, we can take an orthonormal frame  $\{\xi, e_1, e_2 = \phi e_1\}$ , such that  $A$  is represented by a matrix

$$A = \begin{pmatrix} \alpha & k_1 & k_2 \\ k_1 & a & 0 \\ k_2 & 0 & a \end{pmatrix},$$

locally, for suitable functions  $k_1, k_2$  and  $\alpha$ . We take a unit vector  $u$  that satisfies

$$A\xi = \alpha\xi + hu, \quad g(\xi, u) = 0,$$

where  $h$  is a function. Then  $\{\xi, u, \phi u\}$  is another orthonormal frame of  $T_x(M)$ . We can represent  $u$  as

$$u = u_1e_1 + u_2e_2.$$

Using this, we have

$$\begin{aligned} g(Au, u) &= g(A(u_1e_1 + u_2e_2), u_1e_1 + u_2e_2) \\ &= u_1^2g(Ae_1, e_1) + 2u_1u_2g(Ae_1, e_2) + u_2^2g(Ae_2, e_2) \end{aligned}$$

$$= a(u_1^2 + u_2^2) = a.$$

Similarly, we also have  $g(A\phi u, \phi u) = a$ . Moreover, we obtain

$$\begin{aligned} g(Au, \phi u) &= g(A(u_1e_1 + u_2e_2), u_1\phi e_1 + u_2\phi e_2) \\ &= g(A(u_1e_1 + u_2e_2), u_1e_2 - u_2e_1) \\ &= -u_1u_2a + u_2u_1a = 0. \end{aligned}$$

From these equations, there exists an orthonormal frame  $\{\xi, u, \phi u\}$  of  $T_x(M)$  such that the shape operator  $A$  is of the form (5). □

Using the equation of Codazzi, we obtain

LEMMA 2. *Let  $M$  be a real hypersurface in  $M^2(c)$ ,  $c \neq 0$ . If there exists an orthonormal frame  $\{\xi, e_1, e_2\}$  on a sufficiently small neighborhood  $\mathcal{N}$  of  $x \in M$  such that the shape operator  $A$  can be represented as*

$$A = \begin{pmatrix} \alpha & h & 0 \\ h & a & 0 \\ 0 & 0 & a \end{pmatrix},$$

then we have

$$(e_1a) = 0, \tag{6}$$

$$(-2c + 2a^2 - 2a\alpha) + hg(\nabla_{e_1}e_2, e_1) + (e_2h) = 0, \tag{7}$$

$$(e_2a) = 3ha, \tag{8}$$

$$(\xi a) = hg(\nabla_{e_2}e_1, e_2), \tag{9}$$

$$(e_2h) = c + a\alpha - a^2 + h^2, \tag{10}$$

$$-h(\alpha - 3a) + hg(\nabla_{\xi}e_2, e_1) + (e_2\alpha) = 0, \tag{11}$$

$$(e_1h) - (\xi a) = 0, \tag{12}$$

$$(e_1\alpha) - (\xi h) = 0. \tag{13}$$

PROOF. By the equation of Codazzi, we have

$$g((\nabla_{e_2}A)e_1 - (\nabla_{e_1}A)e_2, e_2) = 0.$$

On the other hand, we have

$$\begin{aligned} &g((\nabla_{e_2}A)e_1 - (\nabla_{e_1}A)e_2, e_2) \\ &= g(\nabla_{e_2}(Ae_1) - A\nabla_{e_2}e_1 - \nabla_{e_1}(Ae_2) + A\nabla_{e_1}e_2, e_2) \\ &= -(e_1a). \end{aligned}$$

Thus we obtain (6). By the similar computation, we have our equations. □

When  $M$  is not a Hopf hypersurface, then we can take  $x \in M$  and a sufficiently small neighborhood of  $x$ , on which  $h \neq 0$ . In the following, we consider the case that  $a \neq 0$  on the neighborhood.

LEMMA 3. *If  $h \neq 0$  and  $a \neq 0$ , then,*

$$\begin{aligned}\nabla_{e_1}e_1 &= \frac{-c + a^2 - a\alpha + h^2}{h}e_2, \\ \nabla_{e_1}e_2 &= \frac{c - a^2 + a\alpha - h^2}{h}e_1 - a\xi, \\ \nabla_{e_2}e_1 &= a\xi, \quad \nabla_{e_2}e_2 = 0, \\ \nabla_{\xi}e_1 &= ae_2, \quad \nabla_{\xi}e_2 = -ae_1 - h\xi.\end{aligned}$$

Moreover, we have

$$\begin{aligned}e_1a &= 0, \quad e_1h = 0, \quad e_1\alpha = 0, \\ e_2a &= 3ha, \quad e_2h = c - a^2 + a\alpha + h^2, \quad e_2\alpha = h\alpha - 2ha, \\ \xi a &= 0, \quad \xi h = 0, \quad \xi\alpha = 0.\end{aligned}$$

PROOF. First we compute  $\nabla_{e_1}e_2$ . Using (7) and (10), we have

$$g(\nabla_{e_1}e_2, e_1) = -g(\nabla_{e_1}e_1, e_2) = \frac{c - a^2 + a\alpha - h^2}{h}.$$

Moreover, we obtain  $g(\nabla_{e_1}e_2, e_2) = 0$  and

$$g(\nabla_{e_1}e_2, \xi) = -g(e_2, \phi Ae_1) = -a.$$

So we have

$$\nabla_{e_1}e_2 = \frac{c - a^2 + a\alpha - h^2}{h}e_1 - a\xi.$$

By the similar computation using Lemma 2, we obtain

$$\begin{aligned}\nabla_{e_2}e_1 &= \frac{(\xi a)}{h}e_2 + a\xi, \\ \nabla_{e_1}e_1 &= \frac{-c + a^2 - a\alpha + h^2}{h}e_2, \\ \nabla_{e_2}e_2 &= -\frac{(\xi a)}{h}e_1.\end{aligned}$$

We put  $g(\nabla_{\xi}e_1, e_2) = P$ . Then we have

$$\begin{aligned}\nabla_{\xi}e_1 &= Pe_2, \\ \nabla_{\xi}e_2 &= -Pe_1 - h\xi.\end{aligned}$$

Since  $[X, Y] = \nabla_X Y - \nabla_Y X$  for any  $X$  and  $Y$  tangent to  $M$ , we have

$$\begin{aligned} [e_1, e_2]a &= (\nabla_{e_1} e_2 - \nabla_{e_2} e_1)a \\ &= \frac{c - a^2 + a\alpha - h^2}{h}(e_1 a) - a(\xi a) - \frac{(\xi a)}{h}(e_2 a) - a(\xi a) \\ &= -5a(\xi a). \end{aligned}$$

For the last equality, we use (6) and (8). On the other hand, by (6) and (12), we obtain

$$\begin{aligned} [e_1, e_2]a &= e_1(e_2 a) - e_2(e_1 a) = e_1(3ha) \\ &= 3(e_1 h)a + 3h(e_1 a) = 3(\xi a)a. \end{aligned}$$

These equations imply  $a(\xi a) = 0$ , and hence

$$(\xi a) = (e_1 h) = 0. \quad (14)$$

Similarly, we have

$$\begin{aligned} [e_1, \xi]a &= (\nabla_{e_1} \xi - \nabla_{\xi} e_1)a \\ &= 3ha(a - P). \end{aligned}$$

Using (6) and (14), we obtain

$$[e_1, \xi]a = e_1(\xi a) - \xi(e_1 a) = 0.$$

Since  $ha \neq 0$ , we have  $a = P$ . Thus, by (11),

$$(e_2 \alpha) = h\alpha - 2ha.$$

By the similar computation for  $[e_2, \xi]a$  and  $[e_2, \xi]h$ , we also have

$$(\xi h) = 0, \quad (e_1 \alpha) = 0, \quad (\xi \alpha) = 0.$$

Combining these results, we have our assertion.  $\square$

(Proof of Theorem 1)

When  $M$  is a Hopf hypersurface, then we have  $AX = aX + b\eta(X)\xi$  for some function  $b$ . This means that  $M$  is totally  $\eta$ -umbilical.

Next we consider the case that  $M$  is not Hopf. Then we can take a point  $x$  and a sufficiently small neighborhood of  $x$ , on which  $h \neq 0$ . If  $a = 0$  on the neighborhood, we see that the real hypersurface is locally congruent to a ruled real hypersurface.

Finally, we suppose  $ha \neq 0$ . We can take a unit-speed analytic framed curve  $\gamma(t)$  which satisfy  $\gamma' = e_2$ . Then Lemma 3 shows that  $a, h$  and  $\alpha$  satisfy (3). We note that the existence of this non-Hopf hypersurface is induced by Theorem A.

Conversely, such hypersurfaces satisfy the condition  $g(AX, Y) = ag(X, Y)$  for any vector fields  $X$  and  $Y$  orthogonal to  $\xi$ .

#### 4. 3-dimensional real hypersurfaces with pseudo-parallel Ricci operator

If the Ricci operator  $S$  of a real hypersurface  $M$  satisfies

$$R(X, Y) \cdot S = F(X \wedge Y) \cdot S,$$

where  $F$  is a function, then the Ricci operator  $S$  is said to be *pseudo-parallel*.

To prove Theorem 2, first we show the following.

LEMMA 4. *Let  $M$  be a real hypersurface in  $M^2(c)$ ,  $c \neq 0$ . If the Ricci operator  $S$  is pseudo-parallel, then  $M$  is a Hopf hypersurface or the shape operator  $A$  is represented by the matrix*

$$A = \begin{pmatrix} \alpha & h & 0 \\ h & a_1 & 0 \\ 0 & 0 & a_2 \end{pmatrix}$$

with respect to an orthonormal frame  $\{\xi, e_1, e_2\}$ , locally.

PROOF. Suppose that  $M$  is not a Hopf hypersurface. We take an orthonormal frame  $\{\xi, e_1, e_2\}$ , where we have put  $e_2 = \phi e_1$ . Then there are smooth functions  $a_1, a_2, h_1$  and  $h_2$  such that  $A$  is represented by a matrix

$$A = \begin{pmatrix} \alpha & h_1 & h_2 \\ h_1 & a_1 & 0 \\ h_2 & 0 & a_2 \end{pmatrix}$$

with respect to  $\{\xi, e_1, e_2\}$ , locally. We remark that  $h_1 \neq 0$  or  $h_2 \neq 0$ . From (4), we have

$$\begin{aligned} S e_1 &= (5c + a_1 a_2 + a_1 \alpha - h_1^2) e_1 - h_1 h_2 e_2 + a_2 h_1 \xi, \\ S e_2 &= (5c + a_1 a_2 + a_2 \alpha - h_2^2) e_2 - h_1 h_2 e_1 + a_1 h_2 \xi, \\ S \xi &= a_2 h_1 e_1 + a_1 h_2 e_2 + (2c + a_1 \alpha + a_2 \alpha - h_1^2 - h_2^2) \xi. \end{aligned} \quad (15)$$

Since  $S$  is symmetric, there exists another orthonormal frame  $\{v_1, v_2, v_3\}$  that satisfies  $S v_1 = a v_1$ ,  $S v_2 = b v_2$ ,  $S v_3 = d v_3$  for some functions  $a, b$  and  $d$ . Since  $S$  is pseudo-parallel, we have

$$\begin{aligned} &g(R(X, Y)SZ, W) - g(SR(X, Y)Z, W) \\ &= F\{g(Y, SZ)(X, W) - g(X, SZ)g(Y, W) - g(Y, Z)g(SX, W) \\ &\quad + g(X, Z)g(SY, W)\}. \end{aligned} \quad (16)$$

Putting  $X = W = v_1$  and  $Y = Z = v_2$ , we obtain

$$(b - a)(K(v_1, v_2) - F) = 0,$$

where the sectional curvature  $K$  for the plane spanned by  $v_1$  and  $v_2$  is denoted by

$$K(v_1, v_2) = g(R(v_1, v_2)v_2, v_1).$$

By the similar computation, we have

$$\begin{aligned}(d - a)(K(v_1, v_3) - F) &= 0, \\ (d - b)(K(v_2, v_3) - F) &= 0.\end{aligned}$$

If  $a \neq b$ ,  $b \neq c$  and  $c \neq a$ , then we see that

$$F = K(v_1, v_2) = K(v_1, v_3) = K(v_2, v_3).$$

Thus we obtain

$$\begin{aligned}a &= g(Se_1, e_1) = K(v_1, v_2) + K(v_1, v_3) \\ &= K(v_1, v_2) + K(v_2, v_3) \\ &= g(Se_2, e_2) = b.\end{aligned}$$

This is a contradiction. From the fact that no real hypersurfaces of  $M^2(c)$  are Einstein, it is sufficient to consider the case that  $a = b \neq d$ . Then we have

$$F = K(v_1, v_3) = K(v_2, v_3),$$

from which

$$g(Sv_3, v_3) = K(v_1, v_3) + K(v_2, v_3) = d = 2F.$$

So the Ricci operator  $S$  is represented by a matrix

$$S = \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & 2F \end{pmatrix} \quad (17)$$

with respect to  $\{v_1, v_2, v_3\}$ .

On the other hand, from the assumption, we have

$$g((R(e_1, e_2)S)e_1, e_1) = Fg(((e_1 \wedge e_2) \cdot S)e_1, e_1).$$

By the equation of Gauss and (15), we obtain

$$\begin{aligned}g((R(e_1, e_2)S)e_1, e_1) &= g(R(e_1, e_2)Se_1, e_1) - g(R(e_1, e_2)e_1, Se_1) \\ &= 2c(g(e_2, Se_1)g(e_1, e_1) - g(\phi e_1, Se_1)(\phi e_2, e_1) \\ &\quad - 2g(\phi e_1, e_2)g(\phi Se_1, e_1)) \\ &\quad + 2g(Ae_2, Se_1)g(Ae_1, e_1) \\ &= -8ch_1h_2.\end{aligned}$$

By (15), we have

$$\begin{aligned}Fg(((e_1 \wedge e_2) \cdot S)e_1, e_1) &= F(g(e_2, Se_1)(e_1, e_1) - g(Se_1, e_1)g(e_2, e_1) - g(e_2, e_1)g(Se_1, e_1) \\ &\quad + g(e_1, e_1)g(Se_2, e_1))\end{aligned}$$

$$= 2F(Se_1, e_2) = -2Fh_1h_2.$$

From these equations, we see that

$$(4c - F)h_1h_2 = 0. \tag{18}$$

Similarly, substituting  $X = Z = e_1, Y = \xi, W = e_2$  and  $X = Z = e_2, Y = \xi, W = e_1$ , we obtain

$$\begin{aligned} 0 &= h_2\{(c - F)a_1 - a_2h_1^2 + a_1a_2\alpha - a_1h_2^2\}, \\ 0 &= h_1\{(c - F)a_2 - a_1h_2^2 + a_1a_2\alpha - a_2h_1^2\}, \end{aligned} \tag{19}$$

respectively.

To prove the lemma, it is sufficient to consider the case that  $h_1h_2 \neq 0$ . From (18) and (19), we have  $4c = F$  and

$$(c - F)(a_1 - a_2) = 0.$$

Since  $c - F = -3c \neq 0$ , we obtain  $a_1 = a_2$ . By Lemma 1, the shape operator  $A$  is represented as

$$A = \begin{pmatrix} \alpha & h & 0 \\ h & k & 0 \\ 0 & 0 & k \end{pmatrix} \tag{20}$$

with respect to an orthonormal frame  $\{\xi, u, \phi u\}$ . Thus we have our assertion. □

(Proof of Theorem 2)

Suppose that  $M$  is not a Hopf hypersurface. We put  $h_1 = h \neq 0$ , locally. Then the Ricci operator  $S$  is represented by a matrix

$$S = \begin{pmatrix} 2c + a_1\alpha + a_2\alpha - h^2 & a_2h & 0 \\ a_2h & 5c + a_1a_2 + a_1\alpha - h^2 & 0 \\ 0 & 0 & 5c + a_1a_2 + a_2\alpha \end{pmatrix} \tag{21}$$

with respect to  $\{\xi, e_1, e_2\}$ . By (17), we see that  $5c + a_1a_2 + a_2\alpha = 2F$  or  $5c + a_1a_2 + a_2\alpha = a$ .

First we suppose  $5c + a_1a_2 + a_2\alpha = 2F$ . From (17) and (21), taking a trace of  $S$ , the scalar curvature  $r$  satisfies

$$r = 2(a + F) = 12c + 2a_1a_2 + 2a_1\alpha + 2a_2\alpha - 2h^2.$$

So we have

$$a = F + c + a_1\alpha - h^2. \tag{22}$$

We put

$$S' = \begin{pmatrix} 2c + a_1\alpha + a_2\alpha - h^2 & a_2h \\ a_2h & 5c + a_1a_2 + a_1\alpha - h^2 \end{pmatrix}.$$

Then the eigenvalues of  $S'$  are solutions of the equation

$$\begin{aligned} 0 &= \det(xI - S') \\ &= (x - 5c - a_1a_2 - a_1\alpha + h^2)(x - 2c - a_1\alpha - a_2\alpha + h^2) \\ &\quad - a_2^2h^2. \end{aligned} \quad (23)$$

Since  $a$  is an eigenvalue of  $S'$ , using (22), we have

$$0 = (F - 4c - a_1a_2)(F - c - a_2\alpha) - a_2^2h^2.$$

By  $a_1a_2 = 2F - 5c - a_2\alpha$ , we obtain

$$0 = -(F - c - a_2\alpha)^2 - a_2^2h^2,$$

which induces  $F - c - a_2\alpha = 0$  and  $a_2^2h^2 = 0$ . Since  $h \neq 0$ , we have  $a_2 = 0$  and  $F = c$ . By  $5c + a_1a_2 + a_2\alpha = 2F$ , we have

$$0 = 2F - 5c = -3c.$$

This is a contradiction.

Next, we suppose  $5c + a_1a_2 + a_2\alpha = a$ . Then we have

$$r = 2(a + F) = 2(6c + a_1a_2 + a_1\alpha + a_2\alpha - h^2).$$

From these equations, we have

$$F = c + a_1\alpha - h^2. \quad (24)$$

Since  $a$  and  $2F$  are the solutions of (23), we obtain

$$0 = (a_2\alpha - a_1\alpha + h^2)(3c + a_1a_2 - a_1\alpha + h^2) - a_2^2h^2. \quad (25)$$

So we see that if  $S$  is pseudo-parallel, then  $M$  is a Hopf hypersurface or the shape operator  $A$  is represented by

$$A = \begin{pmatrix} \alpha & h & 0 \\ h & a_1 & 0 \\ 0 & 0 & a_2 \end{pmatrix} \quad (26)$$

with respect to an orthonormal frame  $\{\xi, e_1, e_2\}$  and satisfies (24), (25). So we have our theorem.  $\square$

In [2], Cho, Hamada and Inoguchi gave a classification of pseudo-parallel Hopf hypersurfaces.

**THEOREM G ([2]).** *The Hopf hypersurfaces in  $\mathbf{CP}^2(c)$  or  $\mathbf{CH}^2(c)$  with pseudo-parallel Ricci operator are locally holomorphically congruent to a horosphere in  $\mathbf{CH}^2(c)$ , a geodesic hypersphere in  $\mathbf{CP}^2(c)$  or  $\mathbf{CH}^2(c)$ , a homogeneous tube over  $\mathbf{CH}^1(c)$  in  $\mathbf{CH}^2$ , a*

non-homogeneous real hypersurface which is realized as a tube over a certain holomorphic curve in  $\mathbf{CP}^2(c)$  with radius  $\pi/\sqrt{4c}$ , or a Hopf hypersurface in  $\mathbf{CH}^2(c)$  with  $A\xi = 0$ .

Using Theorem A, we see the following result (see Corollary 3 in [3]).

**COROLLARY 2.** *Let  $\alpha(t), h(t), \lambda(t), \nu(t)$  be analytic solutions defined for  $t \in I$  of the system (2), such that  $h$  is nowhere zero and*

$$0 = (\nu\alpha - \lambda\alpha + h^2)(3c + \lambda\nu - \lambda\alpha + h^2) - \nu^2h^2.$$

*Then the hypersurface  $M$  constructed by Theorem A is a non-Hopf pseudo-parallel hypersurface with  $F = c + \lambda\alpha - h^2$ .*

**PROOF.** We suppose that  $A$  satisfies (24)–(26) and  $a_1 = \lambda, a_2 = \nu$ . It is sufficient to show that

$$g((R(X, Y)S)Z, W) - Fg(((X \wedge Y)S)Z, W) = 0$$

for all  $X = e_i, Y = e_j, Z = e_k$  and  $W = e_l, 1 \leq i, j, k, l \leq 3$ , where  $e_3 = \xi$ . Using (15), (24)–(26) and the equation of Gauss, we have

$$\begin{aligned} & g((R(e_1, e_2)S)e_1, e_2) - Fg(((e_1 \wedge e_2)S)e_1, e_2) \\ &= g(R(e_1, e_2)Se_1, e_2) - g(R(e_1, e_2)e_1, Se_2) \\ &\quad - F(-g(Se_1, e_1) + g(Se_2, e_2)) \\ &= -4cg(Se_1, e_1) + g(Ae_2, Se_1)g(Ae_1, e_2) - g(Ae_1, Se_1)g(Ae_2, e_2) \\ &\quad + 4cg(Se_2, e_2) - g(Ae_1, Se_2)g(Ae_2, e_1) + g(Ae_1, e_1)g(Ae_2, Se_2) \\ &\quad - F(-g(Se_1, e_1) + g(Se_2, e_2)) \\ &= (a_2\alpha - a_1\alpha + h^2)(4c - F + a_1a_2) - a_2^2h^2 \\ &= (a_2\alpha - a_1\alpha + h^2)(3c + a_1a_2 - a_1\alpha + h^2) - a_2^2h^2 \\ &= 0. \end{aligned}$$

Similarly, for all  $X = e_i, Y = e_j, Z = e_k$  and  $W = e_l$ , we can show that

$$g((R(X, Y)S)Z, W) - Fg(((X \wedge Y)S)Z, W) = 0$$

by the straightforward computation.  $\square$

**REMARK.** If the shape operator  $A$  satisfies (25), (26) and  $a_1 = a_2 = 0$ , then we have  $c = h = 0$  by (10). Thus a ruled real hypersurface is not pseudo-parallel (see [2] and [6]).

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