Optimal Regularization Processes on Complete Riemannian Manifolds

Shantanu DAVE, Günther HÖRMANN and Michael KUNZINGER

University of Vienna

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Abstract. We study regularizations of Schwartz distributions on a complete Riemannian manifold M. These approximations are based on families of smoothing operators obtained from the solution operator to the wave equation on M derived from the metric Laplacian. The resulting global regularization processes are optimal in the sense that they preserve the microlocal structure of distributions, commute with isometries and provide sheaf embeddings into algebras of generalized functions on M.

1. Introduction

In this paper we introduce a method of regularizing distributions on a smooth manifold by nets of smooth functions such that the approximating nets themselves retain a maximal amount of information about the distribution. In particular, the analytical properties of interest include the support of the distribution, its microlocal singularities (wavefront set), its Sobolev regularity and its behavior (pull-back) under certain diffeomorphisms. We shall first abstractly describe what properties such an approximation should have and then construct such approximations by a suitable choice of smoothing process. The latter is obtained using functional calculus for the solution operator of the wave equation for the metric Laplacian (we note that the set of analytical properties to be preserved excludes, e.g., smoothing via the heat kernel as a possible approximation procedure).

We will give a precise formulation of the requirements to be imposed on our smoothing processes. In order to achieve this we need a conceptual framework that allows to assign geometrical and analytical properties like those mentioned above to regularizations, that is, to nets of smooth functions. Such a framework is in fact available in the theory of algebras of generalized functions ([4, 5, 31, 29, 17]), which therefore will provide the underlying language for our approach. The basic idea in this theory is to express analytical properties of distributions as asymptotic estimates in terms of a regularization parameter ε. Up to now, there is a certain dichotomy in the theory of algebras of generalized functions. On the one hand, so-called full Colombeau algebras allow a canonical embedding of the space of Schwartz distributions on

differentiable manifolds ([16, 18]), but their elements do not depend on a single real regularization parameter ε. Instead, such generalized functions are smooth maps on certain spaces of test functions and require a rather involved asymptotic. So-called special Colombeau algebras, on the other hand, are modelled directly as quotients of certain powers of the space of smooth functions, hence allow for a more straightforward modelling of singularities. A rich geometric (e.g., [9, 26, 27, 28, 36]) and analytic (e.g., [31, 29, 6, 15, 22, 23, 14]) theory is available for such algebras. The drawback here is that there is no canonical embedding of distributions into such algebras. Up to recently, only 'non-geometric' embeddings, based e.g. on de Rham regularizations (basically through convolution with a mollifier in charts) were available, cf. [9, 17]. In [7], however, a new approach to embedding distributions into special Colombeau algebras was put forward, namely a geometric embedding of distributions on compact manifolds without boundary based on functional calculus of the Laplacian. In the present paper we follow this general philosophy to produce geometrical embeddings for general complete Riemannian manifolds. A main new ingredient here is that we employ the solution operator for a certain initial value problem of the wave equation for our regularization processes. We obtain a set of optimal properties for such embeddings. In particular, they commute with isometries, respect the functional calculus of the Laplacian, and preserve the microlocal structure of distributions.

The paper is organized as follows: in the remainder of this introduction we fix some notations and terminology. Section 2 collects a number of results on wave equations on complete Riemannian manifolds. These preparations are then used in section 3 to construct optimal regularization processes and use these to obtain geometrical embeddings of Schwartz distributions into special Colombeau algebras. Finally, section 4 shows how to extend our approach in various directions. On the one hand, we demonstrate how to adapt the construction to obtain embeddings for distributional sections of vector bundles. On the other hand, we specify the main properties of the Laplacian that were used to obtain optimal regularization processes in section 3 and show that a wide class of differential operators allows to obtain analogous regularization processes.

Throughout this paper M will denote an orientable complete Riemannian manifold of dimension n with Riemannian metric g. The space $\mathcal{D}'(M)$ of Schwartz distributions on M is defined as the dual of the space $\Omega_c^n(M)$ of compactly supported n-forms on M. We write $\mathcal{D}(M)$ for the space of smooth compactly supported functions on M. Since M is orientable and Riemannian, we may identify $\mathcal{D}(M)$ with $\Omega_c^n(M)$ via $f \mapsto f \cdot dg$, with dg the Riemannian volume form induced by g. In this sense, $\mathcal{D}'(M)$ is in fact the dual space of $\mathcal{D}(M)$. We consider $L_{\text{loc}}^1(M)$ (hence in particular $\mathcal{C}^\infty(M)$) a subspace of $\mathcal{D}'(M)$ via $f \mapsto [\varphi \mapsto \int_M f\varphi dg]$. If E is a vector bundle over M then $\mathcal{D}'(M : E)$, the space of E-valued distributions on M is given by $\mathcal{D}'(M : E) = \mathcal{D}'(M) \otimes_{\mathcal{C}^\infty(M)} \Gamma^\infty(M : E)$, with $\Gamma^\infty(M : E)$ the space of smooth sections of E (cf., e.g., [17], 3.1 for details). The wavefront set of a distribution $w \in \mathcal{D}'(M)$ is denoted by $\text{WF}(w)$.

We now turn to notations from the theory of algebras of generalized functions, where we

basically adopt the terminology from [17, 13]. Given E a locally convex (Hausdorff) topological vector space, one can associate to E a space $\mathcal{G}_E$ of generalized functions as follows. Let I be the interval $(0, 1]$. Define the smooth moderate nets in E to be smooth maps (in the sense of [25])

$$I \to E \quad \varepsilon \mapsto u_\varepsilon$$

such that for all continuous semi-norms ρ on E there exists a (negative) integer N such that

$$(1) \qquad\qquad |\rho(u_\varepsilon)| = O(\varepsilon^N) \quad \text{as} \ \varepsilon \to 0 \, .$$

Here as usual by $f(\varepsilon) = O(g(\varepsilon))$ as $\varepsilon \to 0$ we mean there exists an $\varepsilon_0 > 0$ and a constant $C > 0$ such that $f(\varepsilon) < C g(\varepsilon)$ for $\varepsilon < \varepsilon_0$. We denote the set of all moderate smooth nets in E by $\mathcal{M}_E$. Similarly we can define the negligible nets to be the smooth maps u_ε such that (1) holds for all continuous seminorms ρ on E and all N. We shall denote the set of all smooth negligible nets by $\mathcal{N}_E$.

The space of generalized functions based on E is then defined to be the quotient,

$$\mathcal{G}_E := \mathcal{M}_E / \mathcal{N}_E \, .$$

If E is a locally convex algebra then $\mathcal{G}_E$ is an algebra as well. One notes that in defining $\mathcal{M}_E$ and $\mathcal{N}_E$ it suffices to restrict to any family of seminorms that generate the locally convex topology on E. If (u_ε) is a moderate net in $\mathcal{M}_E$ then the element which it represents in the quotient $\mathcal{G}_E$ will be written as $[(u_\varepsilon)]$.

When $E = \mathcal{C}^\infty(M)$ is the algebra of smooth functions on a manifold M then we write $\mathcal{M}_{\mathcal{C}^\infty(M)} = \mathcal{E}_M(M)$, $\mathcal{N}_{\mathcal{C}^\infty(M)} = \mathcal{N}(M)$, and $\mathcal{G}(M) := \mathcal{G}_{\mathcal{C}^\infty(M)}$. $\mathcal{G}(M)$ is the standard (special) Colombeau algebra of generalized functions on M ([4, 9, 17]). For $E = \mathbf{C}$ the space $\mathcal{G}_{\mathbf{C}}$ inherits a ring structure from $\mathbf{C}$ and we call it the space of generalized numbers and denote it by $\tilde{\mathbf{C}}$. Every space $\mathcal{G}_E$ is naturally a $\tilde{\mathbf{C}}$-module, and hence is often referred to as the $\tilde{\mathbf{C}}$-module associated with E ([13]).

We recall the functoriality of the above construction. If $\phi : E \to F$ is a continuous linear map between locally convex spaces E and F then there is a natural induced map $\phi_* : \mathcal{G}_E \to \mathcal{G}_F$ defined on the representatives as $\phi_*([(u_\epsilon)]) = [(\phi(u_\epsilon))]$. For example any smooth map between two manifolds $f : M \to N$ gives rise to a pullback map $f^* : \mathcal{G}(N) \to \mathcal{G}(M)$. As a consequence we can define a presheaf of algebras on M by assigning to any open set $U \subseteq M$ the space $\mathcal{G}(U)$. The restriction maps are given by the pull back under inclusions, that is if $i : U \hookrightarrow V$ is an inclusion of open sets then $i^* : \mathcal{G}(V) \to \mathcal{G}(U)$ is the restriction map. This presheaf is in fact a fine sheaf. Thus in particular we can define the support of a global section $u \in \mathcal{G}(M)$ as usual to be the complement of the biggest open subset of M on which u restricts to 0. In a similar fashion if $E \to M$ is a (complex) vector bundle then we obtain a sheaf of $\tilde{\mathbf{C}}$-modules defined as $\mathcal{G}(M : E) := \mathcal{G}_{\Gamma^\infty(M:E)} \cong \mathcal{G}(M) \otimes_{\mathcal{C}^\infty(M)} \Gamma^\infty(M : E)$.

For any locally convex space E we can also define a subspace $\mathcal{G}_E^\infty$ of regular elements of $\mathcal{G}_E$. These are all elements in $\mathcal{G}_E$ such that there exists an integer N so that (1) holds

independently of the seminorm ρ chosen. Again we shall denote by $\mathcal{G}^\infty(M)$ the algebra $\mathcal{G}^\infty_{\mathcal{C}^\infty(M)}$. The algebra $\mathcal{G}^\infty(M)$ provides the regularity features for the analysis of generalized functions in $\mathcal{G}(M)$ in the same way that $\mathcal{C}^\infty(M)$ provides these features in $\mathcal{D}'(M)$ ([31, 21, 6, 15]). For instance:

(a) *Singular support*: For $u \in \mathcal{G}(M)$ the singular support is defined as the complement of the largest open set U on which the restriction $u|_U$ is in $\mathcal{G}^\infty(U)$.

(b) *Wavefront set*: Let $\Omega \subseteq \mathbf{R}^n$ be open. A generalized function $u \in \mathcal{G}(\Omega)$ is called $\mathcal{G}^\infty$-microlocally regular at $(x_0, \xi_0) \in T^*\Omega \setminus 0$ if there exists some $\varphi \in \mathcal{D}(\Omega)$ with $\varphi(x_0) = 1$ and a conic neighborhood $\Gamma \subseteq \mathbf{R}^n \setminus 0$ of ξ_0 such that the Fourier transform $\mathcal{F}(\varphi u)$ is rapidly decreasing in Γ, i.e., there exists N such that for all l,

$$(2) \qquad \sup_{\xi \in \Gamma}(1 + |\xi|)^l |(\varphi u_\varepsilon)^\wedge(\xi)| = O(\varepsilon^{-N}) \qquad (\varepsilon \to 0).$$

The generalized wave front set of u, $\mathrm{WF}_g(u)$, is the complement of the set of points (x_0, ξ_0) where u is $\mathcal{G}^\infty$-microlocally regular. It follows from [19] that, based on this definition, for any $u \in \mathcal{G}(M)$, $\mathrm{WF}_g(u)$ can naturally be viewed as a subset of $T^*M \setminus 0$.

An alternative description of $\mathrm{WF}_g(u)$ is as follows ([15]): Let P be an order 0 classical pseudodifferential operator and let $\mathrm{char}(P) \subseteq T^*M$ be the characteristic set of P, that is the 0-set in $T^*M \setminus 0$ of its principal symbol. Then for $u \in \mathcal{G}(M)$,

$$\mathrm{WF}_g(u) = \bigcap_{Pu \in \mathcal{G}^\infty(M)} \mathrm{char}(P) \quad P \in \Psi^0_{cl}(M).$$

(c) *Hypoellipticity*: An operator P is said to be $\mathcal{G}^\infty$-hypoelliptic if for every $U \subseteq M$ open and every $u \in \mathcal{G}(U)$,

$$Pu \in \mathcal{G}^\infty(U) \Longrightarrow u \in \mathcal{G}^\infty(U).$$

General references for microlocal analysis in algebras of generalized functions are [29, 6, 21, 22, 14, 15].

2. The wave equation on a complete Riemannian manifold

In our approach, optimal regularization processes on complete Riemannian manifolds will be based on the solution operator for the wave equation. To allow for a smooth presentation, in the present section we therefore collect some basic properties of solutions of the wave equation in this global setting.

Let (M, g) be an oriented, connected complete Riemannian manifold (without boundary) of dimension n and denote by Δ the Laplace operator on M. The Riemannian metric g induces a volume form dg on M, and we will denote the corresponding L^2-norm by $\| \ \|_{L^2(M)}$. On differential forms, the corresponding inner product is given by $(\alpha, \beta) := \int \alpha * \beta \equiv \int \alpha \wedge *\beta$.

Let d be the exterior differential on the space $\Omega^*(M)$ of differential forms on M and denote by $*$ the Hodge star operator. Then the codifferential δ on $\Omega^*(M)$ is defined, for any k-form α, by $\delta\alpha = (-1)^{nk+n+1} * d * \alpha$. Finally, the Laplace operator on $\Omega^*(M)$ is defined by $\Delta := (d+\delta)^2 = d \circ \delta + \delta \circ d$. This sign convention renders Δ a positive operator on $L^2(M)$ (cf. [12]), and for any smooth function u in particular $\Delta u = -\text{div}\,\text{grad}\,u$.

The operators d, δ and Δ are unbounded on the Hilbert space $L^2(M : \Lambda^* M)$. The natural domain of d is given by $\text{Dom}(d) := \{\alpha \in \Omega^*(M) \mid \|\alpha\|, \|d\alpha\| < \infty\}$, and analogously for δ. This fixes the natural domain of Δ to be

$$\text{Dom}(\Delta) := \{\alpha \in \text{Dom}(d) \mid d\alpha \in \text{Dom}(\delta)\} \cap \{\alpha \in \text{Dom}(\delta) \mid \delta\alpha \in \text{Dom}(d)\}.$$

We will mainly be interested in the restriction of Δ to $L^2(M, dg)$, which is an unbounded essentially self-adjoint operator with dense domain (cf. [12]).

We consider the following initial value problem for the wave equation on M (or, strictly speaking, on $\mathbf{R} \times M$):

$$(3) \qquad \left(\frac{\partial^2}{\partial s^2} + \Delta \right) u = 0,$$

$$(4) \qquad u(0, x) = u_0(x), \quad \frac{\partial}{\partial s} u(0, x) = 0.$$

Since g is complete, the Laplace operator is self-adjoint and the above wave equation has a unique (mild, hence distributional) solution in $\mathcal{C}(\mathbf{R}, L^2(M))$ for all u_0 in $L^2(M)$. By functional calculus this solution can be written as $\cos(s\sqrt{\Delta})u_0$.

REMARK 2.1. We briefly sketch a proof to the existence and uniqueness result for the above wave equation. Since Δ is a positive self-adjoint operator, we may equivalently rewrite (3)–(4) as a first order initial value problem on the Hilbert space $H := L^2(M) \oplus L^2(M)$:

$$\frac{d}{ds}\begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} -i\sqrt{\Delta} & I \\ 0 & i\sqrt{\Delta} \end{pmatrix}\begin{pmatrix} u \\ v \end{pmatrix},$$

$$u(0, .) = u_0, \quad v(0, .) = v_0 := i\sqrt{\Delta}u_0.$$

We set

$$A_0 := \begin{pmatrix} 0 & I \\ 0 & 0 \end{pmatrix}, \quad A_1 := \begin{pmatrix} -\sqrt{\Delta} & 0 \\ 0 & \sqrt{\Delta} \end{pmatrix}, \quad w_0 := \begin{pmatrix} u_0 \\ v_0 \end{pmatrix}.$$

By the theory of unitary semigroups (e.g., [32]), iA_1 generates a strongly continuous unitary group $U(s) = \exp(isA_1)$. Since A_0 is bounded, $A := A_0 + iA_1$ also generates a strongly continuous semigroup. Consequently, the above initial value problem with $w_0 \in \text{Dom}(A)$ is uniquely solvable. More explicitly, for w_0 in the dense subspace $D^\infty := \bigcap_{k=0}^\infty \text{Dom}(A^k)$, the power series expansion of $\exp(sA)w_0$ readily shows that $u(s) = \cos(s\sqrt{\Delta})u_0$ on a dense subspace, hence in fact for all $u_0 \in L^2(M)$ (cf. also [3, 35]).

For a given even Schwartz function $F \in \mathscr{S}(\mathbf{R})$ the operator $F(\sqrt{\Delta})$ can be defined by functional calculus for essentially self adjoint unbounded operators. We show that we have the following alternative description of $F(\sqrt{\Delta})$ by inverse Fourier transform:

$$(5) \qquad\qquad F(\sqrt{\Delta}) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \hat{F}(s) \cos(s\sqrt{\Delta}) \, ds \, .$$

In fact, by standard estimates in the functional calculus this identity holds pointwise on $L^2(M)$. Moreover, since

$$\|\hat{F}(s) \cos(s\sqrt{\Delta})\| \leq |\hat{F}(s)| \|\cos(s \, . \,)\|_{L^\infty} \leq |\hat{F}(s)|$$

and $\hat{F} \in L^1(\mathbf{R})$, the integral in (5) exists as a Bochner integral in $\mathcal{B}(L^2(M))$.

The description of functional calculus using (5) allows to estimate the support of the kernel of the operator $F(\sqrt{\Delta})$ based on the finite speed of propagation for the operator $\cos(s\sqrt{\Delta})$. To see this, we first describe an alternative approach to obtaining the solution operator to (3)–(4). Consider the first order differential operator $D := d + \delta$ on the space $\Omega^*(M)$ of differential forms on M. The symbol σ_D of D is given by $\sigma_D(x, \xi) = \xi \wedge \, . - i_\xi$, where i_ξ denotes interior differentiation along the vector field metrically equivalent to the one-form ξ (cf., e.g., [30], 10.1.22). Therefore,

$$\sigma_D(x, \xi)^2 = (\xi \wedge \, . - i_\xi)^2 = -\|\xi\|^2 \, \mathrm{id}$$

(again by [30], 10.1.22). We conclude that the speed of propagation of D, defined by $c_D := \sup\{\|\sigma_D(x, \xi)\| \mid x \in M, \xi \in T_x M^*, \|\xi\| = 1\}$ is $c_D = 1$. Since D is symmetric and of finite propagation speed, it is essentially self-adjoint ([20], 10.2.11).

Based on these facts, an explicit bound on the speed of propagation for the support of $e^{isD}u$ is given by the following result (see [20], 10.5.4):

PROPOSITION 2.2. *Let $u \in L^2(M : \Lambda^* M)$ and denote by d_g the distance function induced by g. Then $\mathrm{supp}(e^{isD}u) \subseteq B_{|s|}(\mathrm{supp}(u)) := \{x \in M \mid d_g(x, \mathrm{supp}(u)) \leq |s|\}$.*

Thus the same property holds for the bounded operator

$$\cos(sD) = \frac{1}{2}\left(e^{isD} + e^{-isD}\right).$$

By functional calculus, for any $u \in L^2(M)$, $\cos(sD)u$ solves the initial value problem (3)–(4). By uniqueness, therefore, $\cos(sD) = \cos(s\sqrt{\Delta})$ on $L^2(M)$ and the above considerations apply to our solution operator.

Next we provide some estimates that will repeatedly be useful in our further study of operators of the form $F(\sqrt{\Delta})$.

LEMMA 2.3.   *Let $F \in \mathcal{S}(\mathbf{R})$ be even. Then for any compactly supported smooth function u,*

$$\|F(\sqrt{\Delta})u\|_{L^2(M)} \le \|u\|_{L^2(M)}\frac{1}{\pi}\int_0^\infty |\hat{F}(s)|\,ds\,.$$

Moreover, for any positive integers k, l,

$$\|\Delta^k F(\sqrt{\Delta})\Delta^l u\|_{L^2(M)} \le \|u\|_{L^2(M)}\frac{1}{\pi}\int_0^\infty |\hat{F}^{(2k+2l)}(s)|\,ds\,.$$

PROOF.   The first estimate follows from (5): Since the operator $\cos(s\sqrt{\Delta})$ has operator norm ≤ 1 we have

$$\|F(\sqrt{\Delta})u\| = \|\frac{1}{2\pi}\int_{-\infty}^\infty \hat{F}(s)\cos(s\sqrt{\Delta})u\,ds\|$$

$$\le \|u\|\frac{1}{\pi}\int_0^\infty |\hat{F}(s)|ds\,.$$

Concerning the second inequality, note that by functional calculus we have

$$\Delta^k F(\sqrt{\Delta})\Delta^l u = (t^{2k+2l}F(t))(\sqrt{\Delta})u$$

$$= \frac{1}{2\pi}\int_{-\infty}^\infty (t^{2k+2l}F(t))^\wedge \cos(s\sqrt{\Delta})u\,ds$$

$$= \frac{1}{2\pi}(-1)^{k+l}\int_{-\infty}^\infty \hat{F}^{(2k+2l)}(s)\cos(s\sqrt{\Delta})u\,ds\,,$$

from which the claim follows as above.   □

For any $s \in \mathbf{R}$ and any $u \in \mathcal{D}(M)$ we set

$$\|u\|_s := \|(1 + \Delta)^{s/2}u\|_{L^2(M)}\,.$$

The Sobolev space of order s is the completion of $\mathcal{D}(M)$ with respect to this norm. We set $H^\infty(M) := \bigcap_{s \in \mathbf{R}} H^s(M)$ and denote by $H^s_{\text{cp}}(M)$ the space of compactly supported elements of $H^s(M)$.

The following result will be essential for our approach to regularizing distributions on complete Riemannian manifolds. For the notion of (properly supported) smoothing (or regularizing) operator we refer to [2], ch. 1.4.

PROPOSITION 2.4.   *Let $F \in \mathcal{S}(\mathbf{R})$ be even. Then*
(i)   *The operator $F(\sqrt{\Delta}) : \mathcal{D}'(M) \to \mathcal{C}^\infty(M)$ is a smoothing operator.*
(ii) *Let $c > 0$ and let $\phi_c \in \mathcal{D}(\mathbf{R})$ be such that $supp(\phi_c) \subseteq [-2c, 2c]$ and $\phi_c \equiv 1$ on $(-c, c)$. Then*

$$T(\sqrt{\Delta}) := \frac{1}{2\pi}\int_{-\infty}^\infty \phi_c(s)\hat{F}(s)\cos(s\sqrt{\Delta})\,ds$$

is a properly supported smoothing operator.

PROOF.   (i)   This is a consequence of ellipticity of the Laplace operator Δ. For brevity, we set $A := F(\sqrt{\Delta})$. Given any $\varphi \in \mathcal{D}(M \times M)$, let

$$\langle K, \varphi \rangle := \int_M (A\varphi(x, \,.\,))(x)\, dx\,.$$

Then for all $\psi_1, \psi_2 \in \mathcal{D}(M)$,

$$\langle K, \psi_1 \otimes \psi_2 \rangle = \int_M \psi_1(x) A\psi_2(x)\, dx = \langle A\psi_2, \psi_1 \rangle\,,$$

so K is the distributional kernel of $A : \mathcal{D}(M) \to \mathcal{C}^\infty(M) \subseteq \mathcal{D}'(M)$. We have to show that $K \in \mathcal{C}^\infty(M \times M)$. By Lemma 2.3, for all $k \in \mathbf{N}_0$ and all $\psi \in \mathcal{D}(M)$, $\|A\psi\|_k \le C_k \|\psi\|_{L^2(M)}$, hence

$$|\langle K, \psi_1 \otimes \psi_2 \rangle| \le \int_M |\psi_1(x)||A\psi_2(x)|\, dx \le C_0 \|\psi_1\|_{L^2(M)} \|\psi_2\|_{L^2(M)}\,.$$

Since $\mathcal{D}(M) \otimes \mathcal{D}(M)$ is dense in $L^2(M \times M)$ this implies that $K \in L^2(M \times M)$. Now set $A_{k,l} := \Delta^l A \Delta^k$. Then the kernel of $A_{k,l}$ is given by $K_{k,l} := \Delta_y^k \Delta_x^l K$, since

$$\langle K_{k,l}, \psi_1 \otimes \psi_2 \rangle = \langle K, \Delta^l \psi_1 \otimes \Delta^k \psi_2 \rangle = \langle A\Delta^k \psi_2, \Delta^l \psi_1 \rangle = \langle A_{k,l}\psi_2, \psi_1 \rangle\,.$$

As above it follows that $K_{k,l} \in L^2(M \times M)$ for all k, l, hence by elliptic regularity for $\Delta_x \otimes 1 + 1 \otimes \Delta_y$ it follows that K is smooth.

(ii) $T(\sqrt{\Delta})$ is a smoothing operator by (i). To establish proper support, by [11], Prop. 8.12 we have to show:

(a) $\forall K \Subset M \,\exists L \Subset M$ such that $u \in \mathcal{D}(K) \Rightarrow T(\sqrt{\Delta})u \in \mathcal{D}(L)$.

(b) $\forall K \Subset M \,\exists L \Subset M$ such that $u = 0$ on $L \Rightarrow T(\sqrt{\Delta})u = 0$ on K.

Both (a) and (b) follow from the finite speed of propagation of $\cos(\sqrt{\Delta})$ which implies that there exists some $\tilde{C} > 0$ such that for any $u \in L^2(M)$, the support of $T(\sqrt{\Delta})u$ is contained in a ball of radius $\tilde{C}$ around $\mathrm{supp}(u)$ (Prop. 2.2). The result therefore follows from the properness of the complete metric g.   □

3. Embeddings

In this section we will employ the smoothing operators developed in Section 2 to construct optimal embeddings of the space $\mathcal{D}'(M)$ of distributions on a complete Riemannian manifold M into the algebra $\mathcal{G}(M)$ of generalized functions on M.

A set $X \subset M \times M$ is called proper if the restriction of the projections on both factors $\pi_j : X \to M : j = 1, 2$ are proper maps. Let $\Psi_{\mathrm{prop}}^{-\infty}(M)$ be the space of all operators $T : \mathcal{C}^\infty(M) \to \mathcal{D}(M)$ with smooth kernels with proper support in $M \times M$. By a regularization process we mean a net T_ε of properly supported smoothing operators which provides

an approximate identity on compactly supported distributions. More precisely, we shall be interested in rapidly converging regularization processes of the following kind:

DEFINITION 3.1. A parametrized family $(T_\varepsilon)_{\varepsilon \in I}$ of properly supported smoothing operators is called an *optimal regularization process* if

(A) The regularization of any compactly supported distribution is of moderate growth. That is, for any continuous semi-norm ρ on $\mathcal{C}^\infty(M)$ and any distribution $w \in \mathcal{E}'(M)$ there exists an integer N such that

$$\rho(T_\varepsilon w) = O(\varepsilon^N) \qquad (\varepsilon \to 0)\,,$$

i.e., $(T_\varepsilon w) \in \mathcal{E}_M(M)$.

(B) The net (T_ε) is an approximate identity: for each compactly supported distribution $w \in \mathcal{E}'(M)$

$$\lim_{\varepsilon \to 0} T_\varepsilon w = w \quad \text{in } \mathcal{D}'(M)\,.$$

(C) Preservation of supports: For any $w \in \mathcal{E}'(M)$, supp(w) equals the $\mathcal{G}(M)$-support supp$[(T_\varepsilon w)]$ of the class $[(T_\varepsilon w)]$.

(D) If $u \in \mathcal{D}(M)$ is a smooth compactly supported function on M then for all continuous semi-norms ρ on $\mathcal{C}^\infty(M)$ and given any integer m,

$$\rho(T_\varepsilon u - u) = O(\varepsilon^m)\,,$$

i.e., $(T_\varepsilon u - u) \in \mathcal{N}(M)$.

(E) Preservation of wavefront sets: Setting $\iota_T : w \mapsto [(T_\varepsilon w)]$, for any $w \in \mathcal{E}'(M)$ we have

$$\mathrm{WF}(w) = \mathrm{WF}_g(\iota_T(w))\,.$$

Given an optimal regularization process (T_ε), we obtain a linear embedding

$$\iota_T : \mathcal{E}'(M) \to \mathcal{G}(M)$$
$$\iota_T(w) = [(T_\varepsilon w)]$$

(by (3.1) and (3.1)). By (3.1), ι_T extends to an embedding of $\mathcal{D}'(M)$ into $\mathcal{G}(M)$ which preserves supports. More precisely, there is a unique sheaf morphism on $\mathcal{D}'(M)$ (also denoted by ι_T) which extends $\iota_T : \mathcal{E}'(M) \to \mathcal{G}(M)$. ι_T is a linear embedding that commutes with restrictions. Details on how to extend ι_T from $\mathcal{E}'(M)$ to $\mathcal{D}'(M)$ can be found in [9], Sec. 2 or in [17], 1.2 (although carried out for special cases of optimal embeddings in these references, the arguments given there, entirely sheaf-theoretic in nature, carry over to the general situation studied here). (3.1) implies that ι_T renders $\mathcal{C}^\infty(M)$ a faithful subalgebra of $\mathcal{G}(M)$. Finally, (3.1) secures preservation of wavefront sets and, therefore, of singular supports under this embedding. In particular, precisely the distributions that map into the subalgebra $\mathcal{G}^\infty(M)$ under ι_T are smooth:

$$\iota_T(\mathcal{D}'(M)) \cap \mathcal{G}^\infty(M) = \iota_T(\mathcal{C}^\infty(M))\,.$$

First, we provide some examples of optimal regularization processes:

EXAMPLE 3.2.   Let Δ be the Laplace operator associated to a closed Riemannian manifold M. Let $F \in \mathscr{S}(\mathbf{R})$ be a Schwartz function on the reals such that F is identically 1 near the origin. Let $F_\varepsilon(x) := F(\varepsilon x)$. Then by applying standard functional calculus, $F_\varepsilon(\Delta)$ is an optimal regularizing process: For a closed manifold, Weyl's estimates on the spectrum of the Laplacian provide asymptotic bounds for the spectral counting function

$$N_\Delta(\lambda) = \#\{\lambda_k \,|\, \lambda_k < \lambda\}\,.$$

In fact, for $m = \dim(M)$ we have

$$N_\Delta(\lambda) \sim \frac{\text{vol}(M)}{(4\pi)^{\frac{m}{2}}\Gamma(m/2+1)}\lambda^{\frac{m}{2}}\,.$$

Essentially, this suffices to obtain all the estimates in Definition 3.1 (preservation of wavefront sets follows as in Th. 3.10 below). In addition, $F_\varepsilon(\Delta)$ is invariant under isometries. We refer to [7] for details.

EXAMPLE 3.3.   As in the original construction of Colombeau (cf., e.g., [4, 5, 17]) an optimal regularization process can be constructed from a mollifier $\rho \in \mathscr{S}(\mathbf{R}^n)$ satisfying the following conditions:

$$(6) \qquad \int_{\mathbf{R}^n} \rho(x)dx = 1 \quad \int_{\mathbf{R}^n} x^\alpha \rho(x)dx = 0 \quad \alpha \in \mathbf{N}_+^n\,.$$

Then the net of functions $\rho_\varepsilon(x) := \frac{1}{\varepsilon^n}\rho(\frac{x}{\varepsilon})$ is a delta net. Convolution with such a delta net provides an example of an optimal regularization process. For example, estimate (3.1) from Definition 3.1 can be established in this setting using Taylor's theorem and the moment conditions (6) imposed on ρ. Concerning (3.1) from Def. 3.1, see [29, 21]. An important characteristic of these approximate units is their equivariance with respect to the Euclidean translations.

For (M, g) a complete Riemannian manifold with Laplacian Δ, $F \in \mathcal{S}(\mathbf{R})$ even, and ϕ_c as in Prop. 2.4, we additionally suppose that F equals 1 in a neighborhood of 0 and that ϕ_c is even. For any $\varepsilon \in I$ we set $F_\varepsilon(s) := F(\varepsilon s)$, and

$$(7) \qquad T_\varepsilon(\sqrt{\Delta}) := \frac{1}{2\pi}\int_{-\infty}^\infty \phi_c(s)(F_\varepsilon)^\wedge(s)\cos(s\sqrt{\Delta})\,ds.$$

We will show that the family of smoothing operators $(T_\varepsilon(\sqrt{\Delta}))_{\varepsilon \in I}$ is an optimal regularization process in the sense of Def. 3.1. Each $T_\varepsilon(\sqrt{\Delta})$ is a properly supported smoothing operator by Prop. 2.4 (ii). Furthermore, we note that the explicit form of (7) allows to view it as a generalized integral operator in the sense of [1, 8].

Turning first to Def. 3.1 (3.1), we have:

PROPOSITION 3.4.   *Let $u \in \mathscr{E}'(M)$. Then $(T_\varepsilon(\sqrt{\Delta})u) \in \mathcal{E}_M(M)$.*

PROOF. It follows immediately from (7) that $(\varepsilon, x) \mapsto (T_\varepsilon(\sqrt{\Delta})w)(x)$ is smooth, so it remains to establish the moderateness estimates for the net $(T_\varepsilon(\sqrt{\Delta})w)$. Since $w \in \mathscr{E}'(M)$ there exists some $s_0 \in \mathbf{R}$ with $w \in H_{\mathrm{cp}}^{s_0}(M)$. By Prop. 2.2, there exists some fixed compact set $K \subset\subset M$ such that $\mathrm{supp}(T_\varepsilon(\sqrt{\Delta})w) \subseteq K$ for all $\varepsilon \in I$. Moreover, Prop. 2.4 implies that each $T_\varepsilon(\sqrt{\Delta})w$ is in $H_{\mathrm{cp}}^\infty(M)$. By the local Sobolev embedding theorem it therefore suffices to show that for each $s \in \mathbf{R}$ there exists some $N \in \mathbf{N}$ such that

$$\|T_\varepsilon(\sqrt{\Delta})w\|_s = O(\varepsilon^{-N}).$$

In fact (by enlarging s if necessary) we may assume in addition that $l := \frac{s-s_0}{2} \in \mathbf{N}$. Let u be the unique element of $L^2(M)$ such that $w = (1 + \Delta)^{-s_0/2}u$. Then

$$\|T_\varepsilon(\sqrt{\Delta})w\|_s = \|(1 + \Delta)^{s/2}T_\varepsilon(\sqrt{\Delta})(1 + \Delta)^{-s_0/2}u)\|_{L^2(M)}$$

$$= \|(1 + \Delta)^l T_\varepsilon(\sqrt{\Delta})u\|_{L^2(M)}$$

$$\leq \sum_{j=0}^{l} \binom{l}{j} \|\Delta^j T_\varepsilon(\sqrt{\Delta})u\|_{L^2(M)}.$$

Now write $\phi_c = (\psi_c)^\wedge$ for some $\psi_c \in \mathcal{S}(\mathbf{R})$. Then

$$T_\varepsilon(\sqrt{\Delta})u = \int_{-2c}^{2c} (\psi_c * F_\varepsilon)^\wedge(t) \cos(t\sqrt{\Delta})u\, dt.$$

Since $\psi_c * F_\varepsilon$ is even, Lemma 2.3 implies that

$$\|\Delta^j T_\varepsilon(\sqrt{\Delta})u\|_{L^2(M)} \leq \|u\|_{L^2(M)} \frac{1}{\pi} \int_0^\infty |[(\psi_c * F_\varepsilon)^\wedge]^{(2j)}(t)|\, dt.$$

From this, we finally obtain

$$(8) \qquad \|\Delta^j T_\varepsilon(\sqrt{\Delta})u\|_{L^2(M)} \leq \|u\|_{L^2(M)} \frac{1}{\pi} \int_0^{2c} \left|\left[\left(\phi_c(t)\frac{1}{\varepsilon}\hat{F}\left(\frac{t}{\varepsilon}\right)\right)\right]^{(2j)}(t)\right| dt$$

$$= O(\varepsilon^{-2l-1})$$

for $0 \leq j \leq l$. $\qquad\qquad\square$

We may use the method of proof of Prop. 3.4 to show that the embedding ι_T is in fact independent of the particular choice of the cut-off function ϕ_c:

LEMMA 3.5. *Suppose that $\phi_{c_1}^1$ and $\phi_{c_2}^2$ are cut-off functions as in (7) and denote the corresponding regularization operators by $T_\varepsilon^1(\sqrt{\Delta})$ and $T_\varepsilon^2(\sqrt{\Delta})$, respectively. Then for any $w \in \mathscr{E}'(M)$, $\iota_{T^1}(w) = \iota_{T^2}(w)$.*

PROOF. Set $\tilde{\phi} := \phi_{c_1}^1 - \phi_{c_2}^2$. Using the assumptions and notations from the proof of Prop. 3.4, we have to estimate

$$\|\Delta^j(T_\varepsilon^1(\sqrt{\Delta})u - T_\varepsilon^2(\sqrt{\Delta})u)\|_{L^2(M)}.$$

Since

$$T_\varepsilon^1(\sqrt{\Delta})u - T_\varepsilon^2(\sqrt{\Delta})u = \frac{1}{2\pi}\int_{-\infty}^{\infty}\tilde{\phi}(s)(F_\varepsilon)^\wedge(s)\cos(s\sqrt{\Delta})u\,ds,$$

analogous to (8) the L^2-norm of this expression is bounded by

$$\|u\|_{L^2(M)}\frac{1}{\pi}\int_0^\infty\left|\left[\left(\tilde{\phi}(t)\frac{1}{\varepsilon}\hat{F}\left(\frac{t}{\varepsilon}\right)\right)\right]^{(2j)}(t)\right|dt.$$

A typical term to estimate therefore is

$$\int_0^\infty|\tilde{\phi}^{(p)}(\varepsilon r)\hat{F}^{(q)}(r)|\,dr \quad (p,q\in\mathbf{N}_0).$$

Since $F\equiv 1$ near 0, all higher moments $\int_{-\infty}^\infty r^k\hat{F}(r)\,dr$ of $\hat{F}$ ($k\geq 1$) vanish. Moreover, $\tilde{\phi}$ is identically zero in a neighborhood of 0, so Taylor expansion of $\tilde{\phi}^{(p)}(\varepsilon r)$ around zero up to order $m-1$ implies that the above integral is of order ε^m for any $m\in\mathbf{N}$.   □

Next, we establish suitable convergence of $\iota_T(w)$ to w:

PROPOSITION 3.6. *Let $w\in\mathscr{E}'(M)$. Then $T_\varepsilon(\sqrt{\Delta})w\to w$ in $\mathcal{D}'(M)$.*

PROOF. We may write $w=(1+\Delta)^k u$ for some $u\in L^2(M)$ and some $k\in\mathbf{N}_0$. By Prop. 2.3 it therefore suffices to show that $T_\varepsilon(\sqrt{\Delta})u - u\to 0$ in $L^2(M)$ in order to ensure that $T_\varepsilon(\sqrt{\Delta})w\to w$ in $H^{-2k}(M)$ and hence in $\mathcal{D}'(M)$. Now

$$\|T_\varepsilon(\sqrt{\Delta})u - u\|_{L^2(M)}\leq\int_{-\infty}^\infty\|\phi_c(\varepsilon r)\hat{F}(r)(\cos(\varepsilon r\sqrt{\Delta})u - u)\|_{L^2(M)}\,dr\to 0$$

by dominated convergence (noting that the integrand is pointwise bounded by the integrable function $r\mapsto 2\|\phi_c\|_{L^\infty}\|u\|_{L^2}|\hat{F}(r)|$ and, for each fixed r, tends to 0 as $\varepsilon\to 0$).   □

This settles Def. 3.1, (3.1). As was remarked after Def. 3.1, we thereby obtain a linear embedding ι_T of $\mathscr{E}'(M)$ into $\mathcal{G}(M)$. Our next result establishes preservation of supports under ι_T.

PROPOSITION 3.7. *For any $w\in\mathscr{E}'(M)$, $supp(w)=supp(\iota_T(w))$.*

PROOF. Let $x\in M\setminus\text{supp}(w)$ and choose a compact neighborhood K of x such that $K\cap\text{supp}(w)=\emptyset$. Suppose first that w is continuous. Then

$$\iota_T(w)_\varepsilon(x)=\int_{-\infty}^\infty\phi_c(\varepsilon r)\hat{F}(r)\cos(\varepsilon r\sqrt{\Delta})w(x)\,dr.$$

We split this integral in one part over $|r| < 2c/\sqrt{\varepsilon}$ and a second part where $|r| > 2c/\sqrt{\varepsilon}$. For the first part we note that by Prop. 2.2, $\mathrm{supp}(\cos(\varepsilon r\sqrt{\Delta})w) \subseteq B_{\varepsilon|r|}(\mathrm{supp}(w)) \subseteq B_{\sqrt{\varepsilon}2c}(\mathrm{supp}(w))$ for all $|r| < 2c/\sqrt{\varepsilon}$. Hence for small ε, the support of the first term lies in the complement of K.

To estimate the second integral we proceed as in the proof of Prop. 3.4: observe first that by Prop. 2.2, the support of $\cos(\varepsilon r\sqrt{\Delta})$ is contained in a single compact set for all ε and all r in the domain of integration. It therefore suffices to estimate, for each $j \in \mathbf{N}_0$:

$$\Big\| \int_{|r|>2c/\sqrt{\varepsilon}} \phi_c(\varepsilon r)\hat{F}(r)(\cos(\varepsilon r\sqrt{\Delta})\Delta^j w)\, dr \Big\|_{L^2(M)}$$

$$\le \|w\|_{2j} \int_{|r|>2c/\sqrt{\varepsilon}} |\hat{F}(r)|\, dr = O(\varepsilon^m)$$

for each m. Summing up, it follows that x does not lie in the support of $\iota_T(w)$ in $\mathcal{G}(M)$. In the general case where w is not necessarily continuous we can write $w = (1+\Delta)^k v$ for some continuous v and some $k \in \mathbf{N}_0$, so the above argument readily carries over.

Conversely, let $x \in \mathrm{supp}(w)$ and suppose that there exists a neighborhood U of x such that $\iota_T(w)|_U = 0$ in $\mathcal{G}(M)$. Pick some $\varphi \in \mathcal{D}(U)$ such that $\langle w, \varphi\rangle \ne 0$. Then $|\langle \iota_T(w)_\varepsilon, \varphi\rangle| = O(\varepsilon^m)$ for each m but $\langle \iota_T(w) - w, \varphi\rangle \to 0$ by Prop. 3.6, so we arrive at a contradiction. $\square$

PROPOSITION 3.8. *Let $u \in \mathcal{D}(M)$. Then $(T_\varepsilon(\sqrt{\Delta})u - u)_{\varepsilon \in I} \in \mathcal{N}(M)$.*

PROOF. By the local Sobolev embedding theorem, it suffices to show that for all $j \in \mathbf{N}_0$

$$\alpha(\varepsilon, j) := \|\Delta^j(T_\varepsilon(\sqrt{\Delta})u - u)\|_{L^2(M)} = O(\varepsilon^m)$$

for each $m \in \mathbf{N}$. Due to our assumptions on F and ϕ_c, $\alpha(\varepsilon, j)$ equals

$$\Big\| \Delta^j \int_{-\infty}^{\infty} (F_\varepsilon)^\wedge(t)(\phi_c(t)\cos(t\sqrt{\Delta})u - u)\, dt \Big\|_{L^2(M)}$$

$$= \Big\| \int_{-\infty}^{\infty} \hat{F}(r)\Big(\phi_c(\varepsilon r)\cos(\varepsilon r\sqrt{\Delta})\Delta^j u - \Delta^j u\Big)\, dr \Big\|_{L^2(M)}.$$

By Taylor expansion, for any $m \in \mathbf{N}$ there exists some C_m such that

$$\phi_c(\varepsilon r)\cos(\varepsilon r\sqrt{\Delta})\Delta^j u = \Delta^j u + \sum_{l=1}^{m-1} \frac{\varepsilon^l r^l}{l!} a_l \Delta^{j+l/2} u + R_m(r, \varepsilon)\Delta^{j+m/2}u,$$

where $a_j \in \mathbf{R}$ and R_m is globally bounded by $C_m \varepsilon^m$.

Since all higher moments of $\hat{F}$ vanish,

$$\alpha(\varepsilon, j) \le \Big\| \int_{-\infty}^{\infty} \hat{F}(r) R_m(r, \varepsilon)\Delta^{j+m/2}u\, dr \Big\|_{L^2(M)}$$

$$\leq C_m \|\hat{F}\|_{L^1(\mathbf{R})} \|\Delta^{j+m/2} u\|_{L^2(M)} \varepsilon^m \,,$$

as claimed.   □

The following important invariance properties of the embedding ι_T follow immediately from (7).

PROPOSITION 3.9.
  (i) *Let $f : M \to M$ be an isometry. Then for any $u \in \mathcal{D}'(M)$, $\iota_T(f^*u) = f^*\iota_T(u)$.*
  (ii) *If Ψ is a pseudodifferential operator commuting with Δ, then Ψ commutes with ι_T.*

Turning now to the singularity structure of distributions and their embedded images, we will show that the embedding ι_T preserves the wavefront set of distributions, i.e., that (3.1) from Def. 3.1 is satisfied.

THEOREM 3.10.   *Let $w \in \mathcal{D}'(M)$. Then $\mathrm{WF}(w) = \mathrm{WF}_g(\iota_T(w))$.*

PROOF.   We first note that the notion of wavefront set (both distributional and generalized) is local in nature. Moreover, by finite propagation speed of the solution operator $\cos(s\sqrt{\Delta})$ (Prop. 2.2), we may choose the cutoff function ϕ_c in such a way that given some local chart (ψ, U) and any $w \in \mathcal{E}'(U)$, each $T_\varepsilon(\sqrt{\Delta})w$ is supported in U as well (this particular choice of ϕ_c does not affect ι_T by Lemma 3.5). By unique solvability of the wave equation (3)–(4) we may therefore use (7) on $\psi(U)$ (with metric $\psi_* g$), thereby effectively transferring the problem to $\mathbf{R}^n$.

Suppose first that $(x_0, \xi_0) \in (T^*M \setminus \{0\}) \setminus \mathrm{WF}_g(w)$. By (2) this means there exists some conic neighborhood Γ of ξ_0 in $T^*M \setminus \{0\}$, some $N \in \mathbf{N}_0$ and some $\varphi \in \mathcal{D}(\mathbf{R}^n)$ with $\varphi(x_0) = 1$ such that for all $l \in \mathbf{N}_0$ and all $\xi \in \Gamma$,

$$(9) \qquad |(\varphi(T_\varepsilon(\sqrt{\Delta})w))^\wedge(\xi)|(1 + |\xi|)^l = O(\varepsilon^{-N}).$$

For a suitable $k \in \mathbf{N}_0$ we may write $w = (1 + \Delta)^k u$, with $u \in H^2(\mathbf{R}^n)$. Since Δ is elliptic, $\mathrm{WF}(w) = \mathrm{WF}(u)$ and $\mathrm{WF}_g(\iota_T(w)) = \mathrm{WF}_g(\iota_T(u))$ (by [15], Th. 4.1 and Prop. 3.9 (ii)), so we may without loss of generality assume that $w \in H^2(\mathbf{R}^n)$. We have to estimate

$$|(\varphi w)^\wedge(\xi)| \leq |[(T_\varepsilon(\sqrt{\Delta})w - w)\varphi]^\wedge(\xi)| + |(\varphi T_\varepsilon(\sqrt{\Delta})w)^\wedge(\xi)|$$
$$(10) \qquad \qquad \leq \|\varphi(T_\varepsilon(\sqrt{\Delta})w - w)\|_{L^1} + |(\varphi T_\varepsilon(\sqrt{\Delta})w)^\wedge(\xi)| \,.$$

Now

$$\int |\varphi(x)(T_\varepsilon(\sqrt{\Delta})w(x) - w(x))| \, dx$$
$$\leq \int \int |\varphi(x)||\phi_c(\varepsilon r) \cos(\varepsilon r \sqrt{\Delta})w(x) - w(x)| \, dx |\hat{F}(r)| \, dr$$
$$\leq C(\varphi) \int \|\phi_c(\varepsilon r) \cos(\varepsilon r \sqrt{\Delta})w - w\|_{L^2} |\hat{F}(r)| \, dr \,.$$

We use functional calculus to bound this term (cf., e.g., [33], Th. VIII.4). Let $U :$ $L^2(\mathbf{R}^n, g) \to L^2(\Omega, d\mu)$ be a unitary isomorphism transforming Δ into the multiplication operator $M_f : h \mapsto fh$ (for some fixed $f \in L^2(\Omega, d\mu)$). Then setting $\alpha(\varepsilon, r) :=$ $\|\phi_c(\varepsilon r) \cos(\varepsilon r \sqrt{\Delta})w - w\|_{L^2}$ and $k(\Delta) := \phi_c(\varepsilon r) \cos(\varepsilon r \sqrt{\Delta}) - I$ we find

$$\alpha(\varepsilon, r)^2 = \|U(k(\Delta)w)\|_{L^2(\Omega)}^2 = \|M_{k \circ f} U w\|_{L^2(\Omega)}^2$$
$$= \int |k \circ f(\omega)|^2 |(Uw)(\omega)|^2 \, d\mu(\omega) \, .$$

To estimate this term we note that $k(0) = \phi_c(\varepsilon r) - 1$ and

$$|k'(x)| = \varepsilon r \left| \frac{\phi_c(\varepsilon r)}{2} \right| \left| \frac{\sin(\varepsilon r \sqrt{x})}{\varepsilon r \sqrt{x}} \right| \le C \varepsilon r \, .$$

Hence $|k(x)| \le C \varepsilon r (|x| + 1)$ and we obtain

$$\alpha(\varepsilon, r)^2 \le C \varepsilon^2 r^2 \int (f(\omega)^2 + 1) |(Uw)(\omega)|^2 \, d\mu(\omega) \, .$$

Since $w \in \mathrm{Dom}(\Delta)$, $f \cdot (Uw) \in L^2(\Omega, d\mu)$, hence the integral in this last expression is finite. It follows that $\alpha(\varepsilon, r) \le C \varepsilon |r|$. From (10) and these calculations we therefore conclude that for some $C = C(\varphi, F)$,

(11) $$|(\varphi w)^\wedge(\xi)| \le C\varepsilon + |(\varphi T_\varepsilon(\sqrt{\Delta})w)^\wedge(\xi)| \, .$$

We now show that for any $m \in \mathbf{N}_0$, $|\xi|^{\frac{2m}{N+1}} |(\varphi w)^\wedge(\xi)|$ is bounded on Γ, thereby demonstrating that $(x_0, \xi_0) \notin \mathrm{WF}(w)$.

Suppose to the contrary that there exists some $m \in \mathbf{N}_0$ and a sequence $\xi_j \in \Gamma$ with $|\xi_j| \to \infty$ such that $|\xi_j|^{\frac{2m}{N+1}} |(\varphi w)^\wedge(\xi_j)| \to \infty$ as $j \to \infty$. Then $\varepsilon_j := |\xi_j|^{-\frac{2m}{N+1}} \to 0$, and using (11), we obtain

$$|\xi_j|^{\frac{2m}{N+1}} |(\varphi w)^\wedge(\xi_j)| = \varepsilon_j^N |\xi_j|^{2m} |(\varphi w)^\wedge(\xi_j)|$$
$$\le C \varepsilon_j^{N+1} |\xi_j|^{2m} + \varepsilon_j^N |\xi_j|^{2m} |(\varphi T_\varepsilon(\sqrt{\Delta})w)^\wedge(\xi)| \, .$$

By (9), however, the right hand side of this inequality is globally bounded, a contradiction.

Conversely, suppose that $(x_0, \xi_0) \notin \mathrm{WF}(w)$. We have to show that $(x_0, \xi_0) \notin \mathrm{WF}_g(\iota_T(w))$. As above, we may without loss of generality suppose that $w \in L^2(M)$. Pick some open neighborhood U of x_0 and some conic neighborhood Γ_1 of ξ_0 in $\mathbf{R}^n \setminus 0$ such that $(U \times \Gamma_1) \cap \mathrm{WF}(w) = \emptyset$. Let us suppose for the moment that we already know that, setting $u(s, x) := \cos(s\sqrt{\Delta})w$, we have

(12) $$\exists s_0 > 0 : (U \times \Gamma_1) \cap \{(x, \xi) \mid \exists s, \, |s| \le s_0 \, \exists \tau : (s, x; \tau, \xi) \in \mathrm{WF}(u)\} = \emptyset .$$

Then, given $\chi \in \mathcal{D}(U)$ and $\nu \in \mathcal{D}((-s_0, s_0))$, for each $l \in \mathbf{N}$ there exists some $C_l > 0$ such that

$$|((\nu \otimes \chi)u)^\wedge(\tau, \xi)| \leq C_l(1 + |\tau| + |\xi|)^{-l} \quad (\tau \in \mathbf{R}, \ \xi \in \Gamma_1).$$

Thus for $l > n$ and $|s| \leq s_0$ we obtain

$$|\nu(s)(\chi \cdot u(s, .))^\wedge(\xi)| = |\mathcal{F}_{\tau \to s}^{-1}(((\nu \otimes \chi)u)^\wedge(\xi, \tau))|$$
$$\leq \int_{\mathbf{R}} \frac{C_l \, d\tau}{(1 + |\tau| + |\xi|)^l} = O((1 + |\xi|)^{-l}).$$

In addition, we now choose c such that $2c < s_0$ (which is possible by Lemma 3.5) and $\nu \in \mathcal{D}((-s_0, s_0))$ such that $\nu \equiv 1$ on $\mathrm{supp}\phi_c$. Then for $\xi \in \Gamma_1$,

$$|(\chi \cdot \iota_T(w)_\varepsilon)^\wedge(\xi)| = \left| \int_{\mathbf{R}} \phi_c(s)(F_\varepsilon)^\wedge(s)\nu(s) \int_{\mathbf{R}^n} e^{-i\xi x} \chi(x)u(s, x) \, dx \, ds \right|$$
$$= O((1 + |\xi|)^{-l}).$$

Thus, $(x_0, \xi_0) \notin \mathrm{WF}_g(\iota_T(w))$, as claimed.

It remains to establish (12). To this end, denote by β the bicharacteristic flow on $T^*(\mathbf{R} \times M)$ corresponding to $\partial_s^2 + \Delta$. Since u is the solution to (3)–(4) with $u_0 = w$, by [10], p 118, $\mathrm{WF}(u) \subseteq C_0 \circ \mathrm{WF}(w)$, where

$$C_0 = \{((s, x; \tau, \xi), (x_0, \xi_0)) \mid \exists r, \tau_0 \in \mathbf{R} : (s, x; \tau, \xi) = \beta(r, (0, x_0, \tau_0, \xi_0))$$
$$\wedge \ -\tau_0^2 + g_{x_0}(\xi_0, \xi_0) = 0\}.$$

β is the flow of the Hamiltonian vector field of the symbol $-\tau^2 + g_x(\xi, \xi)$, so the corresponding system of ODEs reads

$$\dot{s}(r) = -2\tau(r)$$
$$\dot{x}(r) = 2g_{x(r)}(\xi(r), .)$$
$$\dot{\tau}(r) = 0$$
$$\dot{\xi}(r) = -Dg(x(r))(\xi(r), \xi(r)).$$

Denoting by β_i the i-th component of β, it follows that

$$C_0 = \{((s, x; \tau, \xi), (x_0, \xi_0)) \mid \tau \equiv \tau_0 = \pm\sqrt{g_{x_0}(\xi_0, \xi_0)}, \ s = -2r\tau_0, \exists r \in \mathbf{R} :$$
$$(x, \xi) = (\beta_2, \beta_4)(r, (0, x_0, \tau_0, \xi_0))\},$$

and, since $\mathrm{WF}(u) \subseteq C_0 \circ \mathrm{WF}(w)$,

$$\mathrm{WF}(u) \subseteq \{(s, x; \tau_0, \xi) \mid \tau_0 = \pm\sqrt{g_{x_0}(\xi_0, \xi_0)}, \ s = -2r\tau_0, \exists r \in \mathbf{R} :$$
$$\exists(\bar{x}, \bar{\xi}) \in \mathrm{WF}(w) \exists r \in \mathbf{R} : (x, \xi) = (\beta_2, \beta_4)(r, (0, \bar{x}, \tau_0, \bar{\xi}))\}.$$

By continuity of β and the fact that $(U \times \Gamma_1) \cap \mathrm{WF}(w) = \emptyset$, (12) follows.   □

Summing up, we obtain

THEOREM 3.11. *The family $(T_\varepsilon(\sqrt{\Delta}))_{\varepsilon \in I}$ defined by (7) is an optimal regularization process. The corresponding embedding*

$$\iota_T : \mathcal{D}'(M) \to \mathcal{G}(M)$$

$$\iota_T(u) = [(T_\varepsilon(\sqrt{\Delta})u)]$$

is an injective sheaf morphism that renders $\mathcal{C}^\infty(M)$ a subalgebra of $\mathcal{G}(M)$. ι_T commutes with isometries and pseudodifferential operators that commute with Δ. Moreover, it preserves the singularity structure (wavefront set) of distributions.

REMARK 3.12. We note that while Th. 3.11 is formulated using the language of algebras of generalized functions, it can also be used independently of this theory. For example, on the level of regularizing nets, preservation of wavefront sets under ι_T means that the wavefront set of a distribution $w \in \mathcal{D}'(M)$ can be read off from the asymptotic properties of its regularization $(T_\varepsilon(\sqrt{\Delta})(w))$ via (2), and similar for the other properties.

4. Distributional sections of a vector bundle

In this section we consider the problem of regularizing distributional sections of a vector bundle over a manifold. We shall provide a notion of optimal regularization and show that given a differential operator D satisfying two simple conditions, we can always obtain such regularizations.

Let $|\Lambda|M$ denote the density bundle over M. Then for E^* the dual vector bundle of some vector bundle E, the space of distributional sections of E is given by $\mathcal{D}'(M : E) := \Gamma_c^\infty(M : E^* \otimes |\Lambda|M)'$. In particular we have a natural inclusion $\Gamma^\infty(M : E) \to \mathcal{D}'(M : E)$. By choosing a trivialization of the density bundle $|\Lambda|M$, for example by choosing a Riemannian metric, and by choosing a Hermitian inner product on E to identify with E^* we can (non-canonically) identify $\mathcal{D}'(M : E)$ with $\Gamma_c^\infty(M : E)'$. In the sequel we shall assume that we are given a Riemannian metric on M and a Hermitian inner product on E. We similarly define the space $\mathcal{E}'(M : E)$ of compactly supported E-valued distributions.

By a smoothing operator on E we shall mean an operator defined by a kernel in $\Gamma^\infty(M \times M : \operatorname{End}(E) \otimes \Lambda_R)$[1]. Then if T is a smoothing operator then $T : \mathcal{E}'(M : E) \to \Gamma^\infty(M : E)$.

Having fixed our notations we shall define optimal regularization processes for distributional sections analogous to Def. 3.1.

DEFINITION 4.1. A parametrized family $(T_\varepsilon)_{\varepsilon \in I}$ of properly supported smoothing operators is called an optimal regularization process if

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[1] To be precise, let $\pi_L, \pi_R : M \times M \to M$ be the left and right projections on M. Then $\operatorname{End}(E) := \pi_R^*(E)^* \otimes \pi_L^*(E)$ and $\Lambda_R = \pi_R^*|\Lambda|M$.

(A) The regularization of any compactly supported distributional section $s \in \mathcal{E}'(M : E)$ is of moderate growth: For any continuous seminorm ρ on $\Gamma^\infty(M : E)$, there exists some integer N such that

$$\rho(T_\varepsilon s) = O(\varepsilon^N) \qquad (\varepsilon \to 0).$$

(B) The net (T_ε) is an approximate identity: for each $s \in \mathcal{E}'(M : E)$,

$$\lim_{\varepsilon \to 0} T_\varepsilon s = s \quad \text{in } \mathcal{D}'(M : E).$$

(C) If $u \in \Gamma_c^\infty(M : E)$ is a smooth compactly supported section of E then for all continuous seminorms ρ and given any integer m,

$$\rho(T_\varepsilon u - u) = O(\varepsilon^m).$$

(D) The induced map $\iota_T : \mathcal{E}'(M : E) \to \mathcal{G}(M : E)$ preserves support, singular support and the wavefront set. In particular,

$$\iota_T(\mathcal{D}'(M : E)) \cap \mathcal{G}^\infty(M : E) = \Gamma^\infty(M : E).$$

In the following section we shall describe the precise requirements on a differential operator D that would provide us with the functional calculus necessary for the construction of an optimal regularization.

4.1. Admissible operators. Let $E \to M$ be a vector bundle over a complete Riemannian manifold M provided with a Hermitian inner-product $\langle\ \rangle_E$. We shall denote by $L^2(M : E)$ the completion of the compactly supported sections $\Gamma_c^\infty(M : E)$ with respect to the norm

$$\|s\| := \int_M \langle s(x), s(x) \rangle_E dx \quad s \in \Gamma_c^\infty(M : E).$$

DEFINITION 4.2. Let D be a symmetric first order differential operator on E. We shall assume that

(1) The operator D has finite speed of propagation, that is the norm of the principal symbol over the unit sphere is bounded by a constant C_D,

$$C_D = \sup\{\|\sigma_D(x, \xi)\| \mid x \in M,\ \|\xi\| = 1\} < \infty.$$

(2) The operator D is elliptic.

Such a differential operator D shall be called admissible operator.

As a consequence of the finite speed of propagation, D is essentially self-adjoint. Therefore the equation

(13) $$\frac{\partial}{\partial t} u = i D u, \qquad u(\,.\,, 0) = u_0$$

has a unique solution for all times t for any initial datum $u_0 \in \Gamma_c^\infty(M : E)$. Uniqueness follows from energy estimates, while existence is seen by applying functional calculus to note that $e^{itD}u_0$ is a solution.

Furthermore, for a Schwartz function $F \in \mathscr{S}(\mathbf{R})$ the Fourier inversion formula gives that

$$(14) \qquad F(D) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \hat{F}(s) e^{isD} ds$$

(with respect to the strong operator topology).

As already noted, if u is supported in a set L then $e^{itD}u$ is supported in the ball $B_{C_D \cdot t}(L)$. This has the following consequence:

LEMMA 4.3. *If* $F \in \mathscr{S}(\mathbf{R})$ *is a Schwartz function such that* $\hat{F}$ *is supported in an interval* $(-c, c)$ *then, for any* $u \in L^2(M : E)$,

$$supp(F(D)u) \subseteq B_{C_D \cdot c}(supp(u)) .$$

On the other hand the ellipticity of D insures that the operator defined by applying a Schwartz function F to D is necessarily a smoothing operator.

Let as before F be an even Schwartz function in $\mathscr{S}(\mathbf{R})$ such that $F \equiv 1$ near the origin. Let $F_\varepsilon(x) := F(\varepsilon x)$. Our main result in this section is

THEOREM 4.4. *Given an admissible differential operator* D *and a Schwartz function* F *as above the family of operators* $(F_\varepsilon(D))_{\varepsilon \in I}$ *provides an optimal regularization process in sense of Def.* 4.1.

The next two subsections provide the arguments for the proof.

4.2. Weyl's law and functional calculus. In this subsection we shall assume that M is compact. In this case any symmetric operator D is essentially self-adjoint. In addition the operator D^2 is a positive elliptic operator by assumption and hence Weyl's asymptotic formula for eigenvalues gives

$$(15) \qquad N_{D^2}(\lambda) := \#\{\lambda_i \in sp(D^2) | \ \lambda_i \le \lambda\} \sim C\lambda^{\frac{\dim(M)}{2}} .$$

Then the following can be obtained by applying (15).

LEMMA 4.5. *Let* D *be an elliptic self-adjoint differential operator of order* 1 *and let* M *be compact. Then for a Schwartz function* F *on* $\mathbf{R}$ *with* $F \equiv 1$ *near the origin we have*:
  (A) *Given a smooth section* $u \in \Gamma(M : E)$

$$\|F_\varepsilon(D)u - u\|_{L^2(M:E)} = O(\varepsilon^m) \quad \textit{for all } m \in \mathbf{Z} .$$

  (B) *If* $s \notin H^k(M : E)$ *for every* $k > t$ *then given any* $\delta > 0$, $\|F_\varepsilon(D)s\|_{L^2(M:E)}$ *is not* $O(\varepsilon^{\frac{\dim M}{2} + t + \delta})$. *In particular,*

$$\iota_{F_\varepsilon(D)}(\mathcal{D}'(M : E)) \cap \mathcal{G}^\infty(M : E) = \Gamma^\infty(M : E)) .$$

(C) *For every distributional section s the regularization $(F_\varepsilon(D)s)$ is moderate.*

The proof of the above Lemma can be found in [7]. This result is precisely due to the fact that D^2 is a positive elliptic operator. We still need to prove that the microlocal properties hold true for our regularizations $F_\varepsilon(D)$. These turn out to be precisely due to the finite speed of propagation of D.

4.3. Finite speed of propagation and localization. We now return to the general situation where M is a complete Riemannian manifold not necessarily compact.

Recall that if X is a compact manifold with boundary then one can obtain a double of X, denoted here by DX by gluing two copies of X along the boundary ∂X (e.g., [24], VI 5.1). Now if X is a compact manifold with boundary embedded in a Riemannian manifold M of the same dimension and if U is an open subset of M such that $\bar{U} \subset \mathrm{interior}(X)$, then one can choose a Riemannian metric on DX so that the inclusion $j : U \hookrightarrow DX$ is an isometry. Furthermore it is clear that given any vector bundle $E \to M$ there exists a vector bundle $E_X \to DX$ such that E_X restricted to U is canonically isomorphic to $E|_U$. At the same time there exists a symmetric elliptic operator D_X on E_X that matches up with D on U.

We fix a compactly supported distributional section $u \in \mathcal{E}'(M : E)$ and a constant $c > 0$. Since M is complete the open ball $U := B_{2c \cdot C_D}(\mathrm{supp}(u))$ is relatively compact and is contained in a compact manifold with boundary $X \subseteq M$. Now u can be identified with a distributional section of a vector bundle $E_X \to DX$.

PROPOSITION 4.6. *With assumptions on u, c and F as above, let us further assume that the Fourier transform $\hat{F}(s)$ is supported in an interval $(-c, c)$. Then $F(D)u$ and $F(D_X)u$ are both supported in U and*

$$F(D)u = F(D_X)u \,.$$

PROOF. Since the operators D and D_X restricted to the open set U coincide, the uniqueness of solutions to the equation (13) implies that $e^{isD}u$ and $e^{isD_X}u$ agree for $s \leq c$. The statement therefore follows from the Fourier Inversion Formula (14). □

We can now finish the proof of our main result.

PROOF OF THEOREM 4.4. First we note that given any cutoff function $\phi(s)$ supported in an interval $(-c, c)$ such that $\phi \equiv 1$ near the origin, and any compactly supported distributional section u,

$$[F_\varepsilon(D)u] = \left[\frac{1}{2\pi} \int_{-\infty}^{\infty} \phi(s)\hat{F}_\varepsilon(s)e^{isD}u \, ds\right]$$
$$= \left[j^*\left(\frac{1}{2\pi} \int_{-\infty}^{\infty} \phi(s)\hat{F}_\varepsilon(s)e^{isD_X}u \, ds\right)\right]$$

in $\mathcal{G}(M : E)$.

With this observation it is clear that:

(1) All estimates for Definition 4.1 follow from Lemma 4.5.

(2) The support of u coincides with the generalized support of $[F_\varepsilon(D)u]$. This implies that the embedding extends to a sheaf morphism $i_{F_\varepsilon} : \mathcal{D}'(M : E) \to \mathcal{G}(M : E)$.

(3) Since wave-front sets are defined locally, our embedding ι_{F_ε} preserves wavefront sets by Th. 3.10.

$\square$

REMARK 4.7. From the proof one notices that a second order positive elliptic differential operator Δ on sections of E also provides us with an optimal embedding $F_\varepsilon(\Delta)$ provided that the solution operator to the wave equation (3), namely $\cos(s\sqrt{\Delta})$ propagates support at a finite speed. Thus in particular if $T_s^r(M)$ denotes the tensor bundle on M and g a complete Riemannian metric on M the induced Laplace operator Δ_s^r provides an example of such an operator.

4.4. Isomorphisms between vector bundles. Let $\phi : E_1 \to E_2$ be an isomorphism of Hermitian vector bundles (preserving the inner product). Given any admissible differential operator D_1 on sections of E_1 the push-forward $D_2 := \phi D \phi^{-1}$ is also an iso-spectral admissible differential operator. In particular, for any Schwartz function F we have

$$F(D_2) = \phi F(D_1)\phi^{-1} .$$

The extension of $\phi_* : \Gamma^\infty(M : E_1) \to \Gamma^\infty(M : E_2)$ to the generalized sections, $\phi_* : \mathcal{G}(M : E_1) \to \mathcal{G}(M : E_2)$ commutes with the geometrical embeddings $F_\varepsilon(D_1)$ and $F_\varepsilon(D_2)$.

Thus for example if $r_1 + s_1 = r_2 + s_2$ then the Riemannian metric provides an isomorphism $g : T_{s_1}^{r_1}(M) \to T_{s_2}^{r_2}(M)$ that pushes $\Delta_{s_1}^{r_1}$ to $\Delta_{s_2}^{r_2}$. Hence the corresponding functional calculus embedding commutes with the lowering or raising of indices.

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Present Addresses:
SHANTANU DAVE
UNIVERSITY OF VIENNA, AUSTRIA.
e-mail: shantanu.dave@unvie.ac.at

GÜNTHER HÖRMANN
UNIVERSITY OF VIENNA, AUSTRIA.
e-mail: guenther.hoermann@unvie.ac.at

MICHAEL KUNZINGER
UNIVERSITY OF VIENNA, AUSTRIA.
e-mail: michael.kunzinger@unvie.ac.at