

## On the Kernel of the Reciprocity Map of Simple Normal Crossing Varieties over Finite Fields

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**Abstract.** In this paper, we study the kernel of the reciprocity map of certain simple normal crossing varieties over a finite field and give an example of a simple normal crossing surface whose reciprocity map is not injective for any finite scalar extension.

### 1. Introduction

The reciprocity map of the unramified class field theory for a proper variety  $X$  over a finite field  $k$  is a homomorphism of the following form:

$$\rho_X : \mathrm{CH}_0(X) \longrightarrow \pi_1^{\mathrm{ab}}(X).$$

Here  $\mathrm{CH}_0(X)$  is the Chow group of 0-cycles on  $X$  modulo rational equivalence, and  $\pi_1^{\mathrm{ab}}(X)$  is the abelian étale fundamental group of  $X$ . The map  $\rho_X$  is defined by sending the class of a closed point  $x$  to the Frobenius substitution at  $x$ . If  $X$  is normal,  $\rho_X$  has dense image [5]. If  $X$  is smooth,  $\rho_X$  is injective [4]. We also know that there is a projective normal surface  $X$  for which  $\rho_X$  is not injective [6], and that there is a simple normal crossing surface  $X$  over  $k$  for which  $\rho_X/n$  is not injective but  $\rho_{X \otimes E}/n$  is injective for any sufficiently large finite extension  $E/k$  and some  $n > 1$  [7]. Here a normal crossing variety  $X$  over  $k$  is a equidimensional separated scheme of finite type over  $k$  which is everywhere étale locally isomorphic to

$$\mathrm{Spec}(k[T_0, \dots, T_d]/(T_0 T_1 \cdots T_r)) \quad (0 \leq r \leq d = \dim X).$$

A normal crossing variety  $X$  over  $k$  is called *simple* if any irreducible component of  $X$  is smooth over  $k$ . For any simple normal crossing variety  $X$ , we have an exact sequence (cf. [3])

$$H_2(\Gamma_X, \mathbf{Z}/n) \xrightarrow{\varepsilon_{X,n}} \mathrm{CH}_0(X)/n \xrightarrow{\rho_X/n} \pi_1^{\mathrm{ab}}(X)/n, \quad (1.1)$$

where  $\Gamma_X$  is the dual graph of  $X$  which is a finite simplicial complex. By studying on the map  $\varepsilon_{X,n}$ , one can see as to whether  $\rho_X/n$  is injective or not. However  $\varepsilon_{X,n}$  is abstract and difficult to compute directly. In this paper, we study  $\varepsilon_{X,n}$  and  $\mathrm{Ker}(\rho_X)$  for a certain simple normal

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crossing variety, and construct a simple normal crossing variety  $X$  over  $k$  for which  $\rho_{X \otimes F}/n$  is not injective for any finite extension  $F/k$  and some  $n > 0$ .

This paper is organized as follows: In Section 2, we investigate the kernel of the reciprocity map  $\rho_Y$  for a certain simple normal crossing variety  $Y$  by using a method of Matsumi-Sato-Asakura [6]. In Section 3, we construct a simple normal crossing surface over a finite field whose reciprocity map  $\rho_Y$  is potentially not injective. In Appendix, we prove some lemmas on simple normal crossing varieties over finite fields which are used in Section 2.

### Notation

(1) For an abelian group  $A$  and a positive integer  $n$ ,  $A/n$  denotes the cokernel of the map  $A \xrightarrow{\times n} A$ .  $A_{\text{tors}}$  denotes the torsion subgroup of  $A$ .  $A^{\oplus n}$  denotes the direct sum of  $n$  copies of  $A$ .

(2) For a field  $k$ ,  $k^\times$  denotes the multiplicative group,  $k^{\text{sep}}$  denotes a fixed separable closure,  $G_k$  denotes the absolute Galois group  $\text{Gal}(k^{\text{sep}}/k)$ ,  $G_k^{\text{ab}}$  denotes the maximal abelian quotient group of  $G_k$ . For a connected scheme  $X$ ,  $\pi_1^{\text{ab}}(X)$  denotes the abelian étale fundamental group. Further, for a non-connected scheme  $V$ ,  $\pi_1^{\text{ab}}(V)$  denotes  $\bigoplus_i \pi_1^{\text{ab}}(V_i)$  where  $V_i$  are connected components of  $V$ . For  $k$ -scheme  $X$ ,  $\pi_1^{\text{geo}}(X)$  denotes  $\text{Ker}(\pi_1^{\text{ab}}(X) \rightarrow G_k^{\text{ab}})$ .

(3) Let  $k$  be a field and  $X$  be a  $k$ -scheme. For a field extension  $F/k$ ,  $X \otimes_k F$  denotes  $X \times_{\text{Spec}(k)} \text{Spec}(F)$ . Especially, for a fixed separable closure  $k^{\text{sep}}/k$ ,  $\overline{X}$  denotes  $X \times_{\text{Spec}(k)} \text{Spec}(k^{\text{sep}})$ .

(4) For a scheme  $X$  of finite type over a field and an integer  $q \geq 0$ ,  $X_q$  denotes the set of points on  $X$  which  $\dim(\overline{\{x\}}) = q$ . For a point  $x \in X$ ,  $\kappa(x)$  denotes the residue field. For a scheme  $X$  of finite type over a field  $k$ , we define the following group:

$$\text{CH}_0(X) := \text{Coker} \left( \partial_1 : \bigoplus_{x \in X_1} \kappa(x)^\times \rightarrow \bigoplus_{x \in X_0} \mathbf{Z} \right),$$

where  $\partial_1$  is defined by the discrete valuation.

If  $X$  is proper over  $k$ , there is the degree map

$$\deg_{X/k} : \text{CH}_0(X) \rightarrow \mathbf{Z},$$

$A_0(X)$  denotes its kernel.

(5)  $H^r(-, -)$  denotes an étale cohomology group. Especially,  $H^r(F, -)$  denotes  $H^r(\text{Spec}(F), -)$  for a field  $F$ .

For a separated scheme  $X$  of finite type over  $k$  and a natural number  $n$ , we define the étale homology with coefficient  $\mathbf{Z}/n$  to

$$H_i(X, \mathbf{Z}/n) := \text{Hom}(H_c^i(X, \mathbf{Z}/n), \mathbf{Q}/\mathbf{Z}).$$

Here  $H_c^i(-, -)$  denotes an étale cohomology group with compact support. This functor  $H_*(-, \mathbf{Z}/n)$  forms a homology theory on the category of separated schemes of finite type

over  $k$  and proper  $k$ -morphisms ([2, (1.2), (2.1)]).

(6) For a simple normal crossing variety  $X$  over  $k$ , we use the following notation: Let  $\{X_i\}_{i \in I}$  be the set of irreducible components of  $X$ . For a positive integer  $r$ , we define

$$X^{(r)} := \coprod_{\{i_1, i_2, \dots, i_r\} \subset I} X_{i_1} \times_X X_{i_2} \times_X \cdots \times_X X_{i_r}.$$

We define a simplicial complex  $\Gamma_X$  called the *dual graph* of  $X$  as follows:

Fix an ordering on  $I$ . The set of  $r$ -simplexes  $\mathfrak{S}_r$  of  $\Gamma_X$  is the set of irreducible components of  $X^{(r)}$ . We determine the orientation on  $r$ -simplexes inductively on  $r$  by the fixed ordering on  $I$  (cf. [3, §3]).

Let  $F/k$  be an algebraic extension. We put  $Y := X \otimes_k F$ . Let  $\{Y_j\}_{j \in J}$  be the set of irreducible components of  $Y$ . Then we define the semi-order on  $J$  as follows: for  $j_1, j_2 \in J$ ,

$$j_1 < j_2 \iff \phi(j_1) < \phi(j_2),$$

where  $\phi : J \rightarrow I$  is the map which sends  $j$  to  $\phi(j)$  when  $Y_j$  lies above  $X_{\phi(j)}$ . By using this order on  $J$ , we define the homomorphism of the complexes

$$\sigma_{F/k} : \Gamma_Y \rightarrow \Gamma_X.$$

Then the homomorphism  $H_a(\Gamma_Y, \mathbf{Z}) \rightarrow H_a(\Gamma_X, \mathbf{Z})$  induced by  $\sigma_{F/k}$  is called *norm map*.

## 2. The kernel of the reciprocity map

In this section, we study the kernel of the reciprocity map  $\rho_Y$  for a variety  $Y$  of the following form by using a method of Matsumi-Sato-Asakura [6].

Let  $Y_0$  is a projective smooth and geometrically irreducible variety over a finite field  $k$  and  $D$  be a simple normal crossing divisor on  $Y_0$ . We put  $O := (0 : 1), \infty := (1 : 0) \in \mathbf{P}_k^1$ . We then consider the following simple normal crossing variety:

$$Y := (Y_0 \times_k O) \cup (Y_0 \times_k \infty) \cup (D \times_k \mathbf{P}^1) \subset Y_0 \times_k \mathbf{P}^1.$$

We will construct the following map  $\delta_Y$  whose image coincides with  $\text{Ker}(\rho_Y)$ :

$$\delta_Y : H_1(\Gamma_D, \mathbf{Z}) \rightarrow \text{CH}_0(Y).$$

We then consider the group

$$G(Y) := \text{Im}(\delta_Y \circ \sigma) \subset \text{Ker}(\rho_Y),$$

where  $\sigma : H_1(\Gamma_{\overline{D}}, \mathbf{Z}) \rightarrow H_1(\Gamma_D, \mathbf{Z})$  is the norm map. The group  $G(Y)$  is related to the following group and map

$$\Theta_\ell := \text{Coker} \left( \bigoplus_j \pi_1^{\text{ab}}(\overline{D}_j)^{\text{pro-}\ell} \rightarrow \pi_1^{\text{ab}}(\overline{Y}_0)^{\text{pro-}\ell} \right),$$

$$\alpha^{(\ell)} : H_1(\Gamma_{\overline{D}}, \mathbf{Z}_\ell) \rightarrow \Theta_\ell.$$

Here  $\overline{Y_0} := Y_0 \otimes_k k^{\text{sep}}$  and  $\overline{D_j}$  denotes irreducible component of  $\overline{D} := D \otimes_k k^{\text{sep}}$ , and  $\pi_1^{\text{ab}}(-)^{\text{pro-}\ell}$  denotes the maximal pro- $\ell$ -quotient of  $\pi_1^{\text{ab}}(-)$ .

We will prove the following theorem which is an analogy of a result of [6] for certain simple normal crossing varieties over finite fields.

**THEOREM 2.1.** *Let  $\ell$  be an arbitrary prime number.*

- (1) *The  $\ell$ -primary part  $G(Y)\{\ell\}$  of  $G(Y)$  is a subquotient of  $(\Theta_\ell)_{\text{tors}}$ .*
- (2) *Assume that*
  - (i) *each connected components of  $Y^{(2)}$  has a  $k$ -rational point,*
  - (ii)  *$G_k$  acts on  $(\Theta_\ell)_{\text{tors}}$  trivially.*

*Then  $G(Y)\{\ell\}$  is isomorphic to the image of the map  $\alpha^{(\ell)}$ .*

The remarkable points in Theorem 2.1 are that the map  $\alpha^{(\ell)}$  does not vary for finite scalar extensions, and that the group  $\text{Im}(\alpha^{(\ell)})$  is related to  $G(Y)$ . Therefore by studying on  $G(Y)$  and using the map  $\alpha^{(\ell)}$ , one can see as to whether the reciprocity map  $\rho_Y$  is potentially injective or not.

## 2.1. Construction of $\delta_Y$

**PROPOSITION 2.2.** *There exists a homomorphism*

$$\delta_Y : H_1(\Gamma_D, \mathbf{Z}) \longrightarrow \text{CH}_0(Y)$$

*whose image coincides with  $\text{Ker}(\rho_Y)$ .*

**PROOF.** We consider the following variety and two closed subschemes:

$$\begin{aligned} S &:= (Y_0 \times_k O) \sqcup (Y_0 \times_k \infty) \sqcup (D \times_k \mathbf{P}^1), \\ Z &:= (Y_0 \times_k O) \cup (Y_0 \times_k \infty) \subset Y, \\ Z' &:= (Y_0 \times_k O) \sqcup (Y_0 \times_k \infty) \sqcup (D \times_k \{O, \infty\}) \subset S. \end{aligned}$$

Then we have

$$Y \setminus Z \cong S \setminus Z' \simeq D \times \mathbf{G}_m. \quad (2.1)$$

From this isomorphism, we obtain the following commutative diagram with exact rows:

$$\begin{array}{ccccc} \text{CH}_0(Z') & \xrightarrow{\beta} & \text{CH}_0(S) & \longrightarrow & \text{CH}_0(D \times \mathbf{G}_m) \\ \downarrow & & \downarrow & & \parallel \\ \text{CH}_0(Z) & \longrightarrow & \text{CH}_0(Y) & \longrightarrow & \text{CH}_0(D \times \mathbf{G}_m). \end{array} \quad (2.2)$$

Now we have  $\text{CH}_0(D \times \mathbf{G}_m) = 0$ . We compute the kernel of the map  $\beta$ . Since there are the following isomorphisms

$$\text{CH}_0(Z') \simeq \text{CH}_0(Y_0)^{\oplus 2} \oplus \text{CH}_0(D)^{\oplus 2},$$

$$\begin{aligned}\mathrm{CH}_0(S) &\simeq \mathrm{CH}_0(Y_0)^{\oplus 2} \oplus \mathrm{CH}_0(D \times \mathbf{P}^1), \\ \mathrm{CH}_0(D \times \mathbf{P}^1) &\simeq \mathrm{CH}_0(D),\end{aligned}$$

we have

$$\mathrm{Ker}(\beta) = \{(0, 0, c, -c) \mid c \in \mathrm{CH}_0(D)\} \simeq \mathrm{CH}_0(D).$$

Hence, by the diagram (2.2) and  $\mathrm{CH}_0(Z) \simeq \mathrm{CH}_0(Y_0)^{\oplus 2}$ , we have an exact sequence

$$\mathrm{CH}_0(D) \longrightarrow \mathrm{CH}_0(Y_0)^{\oplus 2} \longrightarrow \mathrm{CH}_0(Y) \longrightarrow 0. \quad (2.3)$$

On the other hand, considering the localization sequence of étale homology groups, we obtain the following commutative diagram with exact rows:

$$\begin{array}{ccccc} \pi_1^{\mathrm{ab}}(Z') & \xrightarrow{\beta'} & \pi_1^{\mathrm{ab}}(S) & \longrightarrow & H_1(D \times \mathbf{G}_m, \mathbf{Q}/\mathbf{Z}) \\ \downarrow & & \downarrow & & \parallel \\ \pi_1^{\mathrm{ab}}(Z) & \longrightarrow & \pi_1^{\mathrm{ab}}(Y) & \longrightarrow & H_1(D \times \mathbf{G}_m, \mathbf{Q}/\mathbf{Z}). \end{array} \quad (2.4)$$

Similarly to the above, we have  $\mathrm{Ker}(\beta') \simeq \pi_1^{\mathrm{ab}}(D)$ . Hence, by the diagram (2.4) and  $\pi_1^{\mathrm{ab}}(Z) \simeq \pi_1^{\mathrm{ab}}(Y_0)^{\oplus 2}$ , we have an exact sequence

$$\pi_1^{\mathrm{ab}}(D) \longrightarrow \pi_1^{\mathrm{ab}}(Y_0)^{\oplus 2} \longrightarrow \pi_1^{\mathrm{ab}}(Y). \quad (2.5)$$

From (2.3) and (2.5), we have the following diagram with exact rows (cf. Proposition A.2):

$$\begin{array}{ccccc} \mathrm{CH}_0(D) & \longrightarrow & \mathrm{CH}_0(Y_0)^{\oplus 2} & \longrightarrow & \mathrm{CH}_0(Y) \\ \downarrow & & \downarrow \simeq & & \downarrow \rho_Y \\ \pi_1^{\mathrm{ab}}(D) & \longrightarrow & \pi_1^{\mathrm{ab}}(Y_0)^{\oplus 2} & \longrightarrow & \pi_1^{\mathrm{ab}}(Y) \\ \downarrow & & & & \\ & & & & H_1(\Gamma_D, \hat{\mathbf{Z}}). \end{array}$$

Here  $H_1(\Gamma_X, \hat{\mathbf{Z}}) := \varprojlim_n H_1(\Gamma_X, \mathbf{Z}/n)$ . Let  $\hat{\delta} : H_1(\Gamma_D, \hat{\mathbf{Z}}) \rightarrow \mathrm{Ker}(\rho_Y)$  be the surjective map induced by the above diagram. We then define  $\delta_Y$  by the composite

$$H_1(\Gamma_D, \mathbf{Z}) \longrightarrow H_1(\Gamma_D, \hat{\mathbf{Z}}) \xrightarrow{\hat{\delta}} \mathrm{Ker}(\rho_Y) \hookrightarrow \mathrm{CH}_0(Y).$$

Then we have  $\mathrm{Im}(\delta_Y) = \mathrm{Ker}(\rho_Y)$ , since  $\mathrm{Ker}(\rho_Y)$  is finite and the map  $H_1(\Gamma_D, \mathbf{Z}) \rightarrow H_1(\Gamma_D, \hat{\mathbf{Z}})$  has dense image with respect to the pro-finite topology.  $\square$

REMARK 2.3. From the structure of  $Y$ , we obtain a suspension isomorphism

$$H_1(\Gamma_D, \mathbf{Z}) \simeq H_2(\Gamma_Y, \mathbf{Z}).$$

Therefore we have the map

$$H_1(\Gamma_D, \mathbf{Z}) \simeq H_2(\Gamma_Y, \mathbf{Z}) \xrightarrow{\varepsilon_Y} \mathrm{CH}_0(Y)$$

whose image coincides with  $\mathrm{Ker}(\rho_Y)$ . Here  $\varepsilon_Y$  is the map in (1.1). This map coincides with the map  $\delta_Y$ .

We write  $H_1(\Gamma_X, \hat{\mathbf{Z}})_{\overline{X}}$  for the image of the norm map  $H_1(\Gamma_X, \hat{\mathbf{Z}}) \longrightarrow H_1(\Gamma_X, \hat{\mathbf{Z}})$ . We then define the map

$$\delta_Y^{\mathrm{geo}} : H_1(\Gamma_D, \hat{\mathbf{Z}})_{\overline{D}} \longrightarrow \mathrm{CH}_0(Y)$$

to be that induced by the following commutative diagram with exact rows (cf. Proposition A.4):

$$\begin{array}{ccccc} A_0(D) & \longrightarrow & A_0(Y_0)^{\oplus 2} & \longrightarrow & A_0(Y) \\ \downarrow & & \downarrow \simeq & & \downarrow \\ \pi_1^{\mathrm{geo}}(D) & \longrightarrow & \pi_1^{\mathrm{geo}}(Y_0)^{\oplus 2} & \longrightarrow & \pi_1^{\mathrm{geo}}(Y) \\ \downarrow & & & & \\ H_1(\Gamma_D, \hat{\mathbf{Z}})_{\overline{D}} & & & & \end{array}$$

Here the diagram follows from (2.3) and (2.5). The bijectivity of the middle vertical map is due to Kato-Saito [4].

From the constructions of  $\delta_Y$  and  $\delta_Y^{\mathrm{geo}}$ , the following diagram commutes:

$$\begin{array}{ccc} H_1(\Gamma_{\overline{D}}, \mathbf{Z}) & \longrightarrow & H_1(\Gamma_D, \hat{\mathbf{Z}})_{\overline{D}} \\ \downarrow \sigma & & \downarrow \delta_Y^{\mathrm{geo}} \\ H_1(\Gamma_D, \mathbf{Z}) & \xrightarrow{\delta_Y} & \mathrm{CH}_0(Y). \end{array} \quad (2.6)$$

**2.2. Proof of Theorem 2.1.** Let  $\ell$  be a prime number. We write  $\Theta_\ell$  for the  $G_k$ -module

$$\mathrm{Coker}(\pi_1^{\mathrm{ab}}(\overline{D}^{(1)})^{\mathrm{pro}-\ell} \longrightarrow \pi_1^{\mathrm{ab}}(\overline{Y_0})^{\mathrm{pro}-\ell}).$$

We consider the following  $G_k$ -equivariant homomorphism

$$\alpha^{(\ell)} : H_1(\Gamma_{\overline{D}}, \mathbf{Z}_\ell) \longrightarrow \Theta_\ell$$

induced by the following commutative diagram with exact rows

$$\begin{array}{ccccccc}
 \pi_1^{\text{ab}}(\overline{D}^{(1)})^{\text{pro-}\ell} & \longrightarrow & \pi_1^{\text{ab}}(\overline{D})^{\text{pro-}\ell} & \longrightarrow & H_1(\Gamma_{\overline{D}}, \mathbf{Z}_{\ell}) & \longrightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \\
 0 \longrightarrow & \pi_1^{\text{ab}}(\overline{Y}_0)^{\text{pro-}\ell} & \xrightarrow{\text{id}} & \pi_1^{\text{ab}}(\overline{Y}_0)^{\text{pro-}\ell} & \longrightarrow & 0.
 \end{array}$$

By the weight argument, Matsumi, Sato and Asakura [6, Thm. 3.3] proved the following:

LEMMA 2.4 (Matsumi-Sato-Asakura). *Let  $\ell$  be an arbitrary prime number.*

- (1) *The image of  $\alpha^{(\ell)}$  is contained in  $(\Theta_{\ell})_{\text{tors}}$ .*
- (2) *Assume that  $G_k$  acts on  $(\Theta_{\ell})_{\text{tors}}$  trivially. Then the composite of canonical maps*

$$(\Theta_{\ell})_{\text{tors}} \xrightarrow{f_1} ((\Theta_{\ell})_{\text{tors}})_{G_k} \xrightarrow{f_2} (\Theta_{\ell})_{G_k}$$

*is injective.*

PROOF OF THEOREM 2.1. (1) For a finite abelian group  $M$ , we write  $M^{(\ell)}$  for the maximal  $\ell$ -quotient. Since  $A_0(Y)$  is finite (cf. Lemma A.1(1)), the  $\ell$ -primary part  $G(Y)\{\ell\}$  is identified with  $G(Y)^{(\ell)}$ , and hence identified with the image of the composite map

$$(\delta_Y \circ \sigma)^{(\ell)} : H_1(\Gamma_{\overline{D}}, \mathbf{Z}) \longrightarrow A_0(Y) \longrightarrow A_0(Y)^{(\ell)}.$$

From the commutativity of the diagram (2.6) and the constructions of  $\delta_Y^{\text{geo}}$  and  $\alpha^{(\ell)}$ , the map  $(\delta_Y \circ \sigma)^{(\ell)}$  is decomposed as follows:

$$H_1(\Gamma_{\overline{D}}, \mathbf{Z}) \xrightarrow{\alpha^{(\ell)}} \Theta_{\ell} \longrightarrow (\Theta_{\ell})_{G_k} \xrightarrow{\eta^{(\ell)}} A_0(Y)^{(\ell)},$$

where  $\eta^{(\ell)}$  denotes the following composite map:

$$\begin{aligned}
 (\Theta_{\ell})_{G_k} &\simeq \text{Coker}(\pi_1^{\text{geo}}(D^{(1)})^{\text{pro-}\ell} \rightarrow \pi_1^{\text{geo}}(Y_0)^{\text{pro-}\ell}) \\
 &\simeq \text{Coker}(A_0(D^{(1)})^{(\ell)} \rightarrow A_0(Y_0)^{(\ell)}) \\
 &\rightarrow \text{Coker}(A_0(D)^{(\ell)} \rightarrow A_0(Y_0)^{(\ell)}) \xrightarrow{\psi^{(\ell)}} A_0(Y)^{(\ell)}.
 \end{aligned}$$

From Lemma 2.4(1), the image of  $\alpha^{(\ell)}$  is contained in  $(\Theta_{\ell})_{\text{tors}}$ . Thus,  $G(Y)\{\ell\}$  is a subquotient of  $(\Theta_{\ell})_{\text{tors}}$ .

- (2) It suffices to show that the composite of canonical maps

$$\text{Im}(\alpha^{(\ell)}) \hookrightarrow (\Theta_{\ell})_{\text{tors}} \xrightarrow{f_1} ((\Theta_{\ell})_{\text{tors}})_{G_k} \xrightarrow{f_2} (\Theta_{\ell})_{G_k} \xrightarrow{\eta^{(\ell)}} A_0(Y)^{(\ell)}$$

is injective under the assumptions. Here the first map is injective by (1). From Lemma 2.4(2), the composite map  $f_2 \circ f_1$  is injective. Under the assumption (i),  $\eta^{(\ell)}$  coincides with the map

$\psi^{(\ell)}$  from Lemma A.1(2). The injectivity of  $\psi^{(\ell)}$  follows from the following commutative diagram with exact rows

$$\begin{array}{ccccc} A_0(D) & \longrightarrow & A_0(Y_0) & \longrightarrow & \text{Coker} \\ \parallel & & \downarrow \xi & & \downarrow \psi \\ A_0(D) & \longrightarrow & A_0(Y_0)^{\oplus 2} & \longrightarrow & A_0(Y), \end{array}$$

where  $\xi$  maps an element  $a$  of  $A_0(Y_0)$  to an element  $(a, -a)$  of  $A_0(Y_0)^{\oplus 2}$ .  $\square$

### 3. Example of potentially non-injectivity

We here construct a simple normal crossing surface over a finite field for which the reciprocity map is potentially not injective by using a surface considered in [6].

Let  $k$  be a finite field. Let  $n > 1$  be an integer such that  $(n, 6 \cdot \text{ch}(k)) = 1$ . We assume that  $k$  contains a primitive  $n$ -th root  $\zeta$  of unity. Let  $V$  be a Fermat surface in  $\mathbf{P}_k^3$  defined by the following equation:

$$T_0^n + T_1^n + T_2^n + T_3^n = 0.$$

We define an action  $\tau$  on  $V$  as follows:

$$\tau : (T_0 : T_1 : T_2 : T_3) \longmapsto (T_0 : \zeta T_1 : \zeta^2 T_2 : \zeta^3 T_3),$$

which does not have fixed points. We then have a projective smooth surface  $Y_0 := V/\langle \tau \rangle$ .

Now we consider  $2n$  lines on  $V$  :  $j = 1, \dots, n-1$

$$L_1 : T_0 + T_1 = T_2 + T_3 = 0, \quad L_1^{\tau^j} : T_0 + \zeta^j T_1 = T_2 + \zeta^j T_3 = 0$$

$$L_2 : T_0 + T_1 = T_2 + \zeta T_3 = 0, \quad L_2^{\tau^j} : T_0 + \zeta^j T_1 = T_2 + \zeta^{j+1} T_3 = 0.$$

Let  $L$  be the following divisor on  $V$ :

$$L = L_1 \cup L_2 \cup L_1^{\tau} \cup \dots \cup L_1^{\tau^{n-1}} \cup L_2^{\tau^{n-1}}.$$

Then the divisor  $L$  is a connected simple normal crossing divisor and stable under the action of  $\langle \tau \rangle$ .

Let  $\varphi : V \longrightarrow Y_0$  and  $C_i = \varphi_*(L_i)$  ( $i = 1, 2$ ). Since  $C_i$  is isomorphic to  $L_i$ ,  $C_i$  is a nonsingular rational curve on  $Y_0$  and  $D = C_1 \cup C_2$  is a simple normal crossing divisor on  $Y_0$ . Moreover every singular points of  $D$  are  $k$ -rational. We then put

$$Y := (Y_0 \times_k O) \cup (Y_0 \times_k \infty) \cup (D \times_k \mathbf{P}^1).$$

Now we have to show that for the above surface  $Y$ , the map  $\rho_Y/n$  is not injective. Since  $\overline{V}$  is a hypersurface in  $\mathbf{P}_{k^{\text{sep}}}^3$ ,  $\pi_1^{\text{ab}}(\overline{V}) = 0$  (cf. [7, Lemma 3.5.]). Hence we have

$$\pi_1^{\text{ab}}(\overline{Y_0}) \simeq \langle \tau \rangle \simeq \mathbf{Z}/n.$$



Since  $C_i$  is rational curves, we have  $\pi_1^{\text{ab}}(\overline{C_i}) = 0$ . Therefore, we have

$$\Theta_{\text{tors}} = \text{Coker} \left( \bigoplus_j \pi_1^{\text{ab}}(\overline{D_j}) \longrightarrow \pi_1^{\text{ab}}(\overline{Y_0}) \right)_{\text{tors}} = \pi_1^{\text{ab}}(\overline{Y_0}),$$

and  $G_k$  acts on the above group trivially.

On the other hand, the map

$$\alpha : H_1(\Gamma_{\overline{D}}, \mathbf{Z}) \longrightarrow \pi_1^{\text{ab}}(\overline{Y_0})$$

is surjective, because  $\varphi$  induces the completely splitting covering  $L \longrightarrow D$ .

From Theorem 2.1,  $\text{Ker}(\rho_Y) \simeq \mathbf{Z}/n$ . Thus  $\rho_Y$  is not injective. Moreover, we have  $\text{Ker}(\rho_{Y \otimes F}) \simeq \mathbf{Z}/n$  for any finite extension  $F/k$ , therefore the map  $\rho_{Y \otimes F}$  is not injective. We also see that the map  $\rho_{Y \otimes F}/n$  is not injective.

Considering a product  $Y \times_k X$ , we obtain a higher dimensional variety for which the reciprocity map is potentially not injective. Here  $Y$  is the above surface and  $X$  is a projective smooth and geometrically irreducible variety over  $k$ . Indeed,  $\rho_{Y \times_k X}$  is not injective for any finite scalar extension. This follows from the following commutative diagram with exact rows:

$$\begin{array}{ccccc} H_1(\Gamma_{D \times X}, \mathbf{Z}) & \xrightarrow{\delta_{Y \times X}} & \text{CH}_0(Y \times X) & \xrightarrow{\rho_{Y \times X}} & \pi_1^{\text{ab}}(Y \times X) \\ \parallel & & \downarrow & & \downarrow \\ H_1(\Gamma_D, \mathbf{Z}) & \xrightarrow{\delta_Y} & \text{CH}_0(Y) & \xrightarrow{\rho_Y} & \pi_1^{\text{ab}}(Y). \end{array}$$

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## A. Appendix

We here prove some lemmas about simple normal crossing varieties over finite fields. In case of curves, lemmas similar to that in this section is proved in [6]. We extend to higher dimensional cases by an argument similar to that in [6].

Let  $X$  be a simple normal crossing variety over a finite field  $k$  which is proper over  $k$ . Let  $\{X_i\}_{i \in I}$  be the set of irreducible components of  $X$ . Fix an ordering on  $I$ . For  $i < j$  ( $i, j \in I$ ),  $X_{i,j}$  denotes  $X_i \times_X X_j$ . Then  $X^{(2)} = \coprod_{i < j} X_{i,j}$  (cf. notation (6)).

**LEMMA A.1.** (1) *There is an exact sequence of Chow groups*

$$\bigoplus_{i < j} \text{CH}_0(X_{i,j}) \xrightarrow{\phi} \bigoplus_{i \in I} \text{CH}_0(X_i) \xrightarrow{\psi} \text{CH}_0(X) \rightarrow 0,$$

where  $\phi$  is the alternate sum of the push-forward maps  $\text{CH}_0(X_{i,j}) \rightarrow \text{CH}_0(X_i)$  and  $\text{CH}_0(X_{i,j}) \rightarrow \text{CH}_0(X_j)$ , and  $\psi$  is the push-forward map for the canonical map  $\coprod_{i \in I} X_i \rightarrow X$ .

(2) The degree 0-part  $A_0(X)$  of  $\mathrm{CH}_0(X)$  is finite.

(3) Assume that each connected component of  $X^{(2)}$  has a  $k$ -rational point. Then the canonical map  $\bigoplus_{i \in I} A_0(X_i) \longrightarrow A_0(X)$  is surjective.

PROOF. The proof of (1) is straight-forward and left to the reader.

(2) We consider the following commutative diagram with exact rows:

$$\begin{array}{ccccccc}
 \bigoplus_{i < j} \mathrm{CH}_0(X_{i,j}) & \xrightarrow{g} & \bigoplus_{i < j} \mathbf{Z} & \longrightarrow & \bigoplus_{i < j} \mathbf{Z}/m_{ij} & \longrightarrow & 0 \\
 \downarrow \phi & & \downarrow v & & \downarrow v' & & \\
 0 \longrightarrow \bigoplus_{i \in I} A_0(X_i) & \longrightarrow & \bigoplus_{i \in I} \mathrm{CH}_0(X_i) & \xrightarrow{f} & \bigoplus_{i \in I} \mathbf{Z} & \longrightarrow & \bigoplus_{i \in I} \mathbf{Z}/m_i \longrightarrow 0 \\
 \downarrow \psi' & & \downarrow \psi & & \downarrow & & \\
 0 \longrightarrow A_0(X) & \longrightarrow & \mathrm{CH}_0(X) & \xrightarrow{\deg_{X/k}} & \mathbf{Z} & & 
 \end{array}$$

Here  $m_{ij} = [\Gamma(X_{i,j}, \mathcal{O}_{X_{i,j}}) : k]$ ,  $m_i = [\Gamma(X_i, \mathcal{O}_{X_i}) : k]$  and the above maps are defined as follows:

$$f := \bigoplus_{i \in I} \deg_{X_i/k},$$

$$g := \bigoplus_{i < j} \deg_{X_{i,j}/k},$$

$v$  : the alternate sum of the identity maps,

$v'$  : the map induced by  $v$ ,

$\psi'$  : the restriction of  $\psi$ .

By the above diagram, we have a surjective map from the kernel of  $v'$  to the cokernel of  $\psi'$ . Since  $X_i$  is smooth for all  $i \in I$ ,  $A_0(X_i)$  is finite by a theorem of Kato-Saito [4]. Hence we see that the cokernel of  $\psi'$  is finite and that  $A_0(X)$  is finite.

(3) Under the assumption, the map  $g$  is surjective. The assertion follows from the above diagram.  $\square$

We describe the cokernel of the reciprocity map  $\rho_X$  for  $X$  in terms of the dual graph of  $X$  (cf. [3], [4]).

PROPOSITION A.2. For a positive integer  $n$ , there is an exact sequence

$$\mathrm{CH}_0(X)/n \xrightarrow{\rho_X/n} \pi_1^{\mathrm{ab}}(X)/n \xrightarrow{(*1)} H_1(\Gamma_X, \mathbf{Z}/n) \longrightarrow 0.$$

PROOF. We consider the following exact sequence of étale sheaves on  $X_{\mathrm{\acute{e}t}}$ :

$$0 \longrightarrow \mathbf{Z}/n_X \longrightarrow \bigoplus_{i \in I} \mathbf{Z}/n_{X_i} \longrightarrow \dots \longrightarrow \bigoplus_{t \in T} \mathbf{Z}/n_{X_t^{(d+1)}} \longrightarrow 0.$$

Here  $\{X_t^{(d+1)}\}_{t \in T}$  is the set of irreducible components of  $X^{(d+1)}$ , and we have omitted the indication of direct image functors of sheaves. From this exact sequence, we obtain a spectral sequence

$$E_1^{p,q} = H^q(X^{(p)}, \mathbf{Z}/n) \implies H^{p+q}(X, \mathbf{Z}/n).$$

By computing  $E_2$ -terms, we have an exact sequence

$$0 \longrightarrow H^1(\Gamma_X, \mathbf{Z}/n) \longrightarrow H^1(X, \mathbf{Z}/n) \longrightarrow \bigoplus_{i \in I} H^1(X_i, \mathbf{Z}/n),$$

where  $H^1(\Gamma_X, \mathbf{Z}/n) := \text{Hom}(H_1(\Gamma_X, \mathbf{Z}/n), \mathbf{Q}/\mathbf{Z})$ . From the Pontryagin dual of this sequence and Lemma A.1 (1), we obtain the following commutative diagram:

$$\begin{array}{ccccccc} \bigoplus_{i \in I} \text{CH}_0(X_i)/n & \longrightarrow & \text{CH}_0(X)/n & \longrightarrow & 0 \\ \downarrow \bigoplus \rho_{X_i}/n & & \downarrow \rho_X/n & & \downarrow \\ \bigoplus_{i \in I} \pi_1^{\text{ab}}(X_i)/n & \longrightarrow & \pi_1^{\text{ab}}(X)/n & \xrightarrow{(*1)} & H_1(\Gamma_X, \mathbf{Z}/n) \longrightarrow 0. \end{array}$$

Here  $\rho_X$  (resp.  $\rho_{X_i}$ ) is the reciprocity map for  $X$  (resp.  $X_i$ ) and the left vertical map is an isomorphism by Kato-Saito [4]. Hence the assertion follows from the above diagram.  $\square$

We regard  $X$  as a trivial right  $G_k$ -scheme and define the right action of  $G_k$  on  $\overline{X}$  by the natural right action of  $G_k$  on  $\text{Spec}(k^{\text{sep}})$ . Let  $\{W_s\}_{s \in S}$  be the set of connected components of  $\overline{X}$ , and let  $\{V_j\}_{j \in J}$  be the set of irreducible components of  $\overline{X}$ . We define the semi-order on  $J$  as in notation (6). Then we have the norm map  $\sigma : H_1(\Gamma_{\overline{X}}, \mathbf{Z}/n) \rightarrow H_1(\Gamma_X, \mathbf{Z}/n)$ .

LEMMA A.3. *There is an exact sequence of finite left  $G_k$ -modules:*

$$\bigoplus_{j \in J} \pi_1^{\text{ab}}(V_j)/n \longrightarrow \bigoplus_{s \in S} \pi_1^{\text{ab}}(W_s)/n \xrightarrow{(*2)} H_1(\Gamma_{\overline{X}}, \mathbf{Z}/n) \longrightarrow 0. \quad (\text{A.1})$$

Further the following diagram commutes:

$$\begin{array}{ccc} \bigoplus_{s \in S} \pi_1^{\text{ab}}(W_s)/n & \longrightarrow & \pi_1^{\text{ab}}(X)/n \\ \downarrow (*2) & & \downarrow (*1) \\ H_1(\Gamma_{\overline{X}}, \mathbf{Z}/n) & \xrightarrow{\sigma} & H_1(\Gamma_X, \mathbf{Z}/n). \end{array} \quad (\text{A.2})$$

PROOF. Since we have the canonical isomorphisms

$$\bigoplus_{s \in S} \pi_1^{\text{ab}}(W_s)/n \simeq \text{Hom}(H^1(\overline{X}, \mathbf{Z}/n), \mathbf{Q}/\mathbf{Z}),$$

$$\bigoplus_{j \in J} \pi_1^{\text{ab}}(V_j)/n \simeq \text{Hom}\left(\bigoplus_{j \in J} H^1(V_j, \mathbf{Z}/n), \mathbf{Q}/\mathbf{Z}\right),$$

the finiteness of groups in (A.1) follows from the finiteness of étale cohomology groups [1, XVI, 5.2] and the definition of dual graph. Furthermore it is sufficient to prove that there is the following exact sequence:

$$0 \longrightarrow H^1(\Gamma_{\overline{X}}, \mathbf{Z}/n) \longrightarrow H^1(\overline{X}, \mathbf{Z}/n) \longrightarrow \bigoplus_{j \in J} H^1(V_j, \mathbf{Z}/n),$$

where  $H^1(\Gamma_{\overline{X}}, \mathbf{Z}/n) := \text{Hom}(H_1(\Gamma_{\overline{X}}, \mathbf{Z}/n), \mathbf{Q}/\mathbf{Z})$ . The above exact sequence is obtained from the same argument as that in the proof of Proposition A.2 and the following exact sequence of étale sheaves on  $\overline{X}_{\text{ét}}$ :

$$0 \longrightarrow \mathbf{Z}/n_{\overline{X}} \longrightarrow \bigoplus_{j \in J} \mathbf{Z}/n_{V_j} \longrightarrow \dots \longrightarrow \bigoplus_{u \in U} \mathbf{Z}/n_{\overline{X}_u^{(d+1)}} \longrightarrow 0.$$

Here  $\{\overline{X}_u^{(d+1)}\}_{u \in U}$  is the set of irreducible components of  $\overline{X}^{(d+1)}$ , and we have omitted the indication of direct image functors of sheaves.

The commutativity of (A.2) follows from the following commutative diagram of étale sheaves on  $X_{\text{ét}}$ :

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbf{Z}/n_X & \longrightarrow & \bigoplus_{i \in I} \mathbf{Z}/n_{X_i} & \longrightarrow \dots \longrightarrow & \bigoplus_{t \in T} \mathbf{Z}/n_{X_t^{(d+1)}} \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathbf{Z}/n_{\overline{X}} & \longrightarrow & \bigoplus_{j \in J} \mathbf{Z}/n_{V_j} & \longrightarrow \dots \longrightarrow & \bigoplus_{u \in U} \mathbf{Z}/n_{\overline{X}_u^{(d+1)}} \longrightarrow 0, \end{array}$$

and the fact that the map  $(*)1$  comes from the upper row (cf. Proposition A.2).  $\square$

We put  $H_1(\Gamma_X, \hat{\mathbf{Z}}) := \varprojlim_n H_1(\Gamma_X, \mathbf{Z}/n)$ . We write  $H_1(\Gamma_X, \hat{\mathbf{Z}})_{\overline{X}}$  for the image of the norm map  $H_1(\Gamma_{\overline{X}}, \hat{\mathbf{Z}}) \longrightarrow H_1(\Gamma_X, \hat{\mathbf{Z}})$ . The following proposition is the ‘geometric’ part of the unramified class field theory for a simple normal crossing variety. If  $\dim X = 1$ , the map  $\rho_X^{\text{geo}}$  in the proposition is injective by Kato-Saito [4].

**PROPOSITION A.4.** *There is an exact sequence*

$$A_0(X) \xrightarrow{\rho_X^{\text{geo}}} \pi_1^{\text{geo}}(X) \xrightarrow{(*)3} H_1(\Gamma_X, \hat{\mathbf{Z}})_{\overline{X}} \longrightarrow 0.$$

Further the following diagram commutes:

$$\begin{array}{ccc} \pi_1^{\text{geo}}(X) & \hookrightarrow & \pi_1^{\text{ab}}(X) \\ (*3) \downarrow & & (*1)^\wedge \downarrow \\ H_1(\Gamma_X, \hat{\mathbf{Z}})_{\overline{X}} & \hookrightarrow & H_1(\Gamma_X, \hat{\mathbf{Z}}), \end{array}$$

where the map  $(*1)^\wedge$  is induced by the map  $(*1)$  in Proposition A.2.

PROOF. We write  $\text{CH}_0(X)^\wedge$  for  $\varprojlim_n \text{CH}_0(X)/n$ , and  $\hat{\mathbf{Z}}$  for  $\varprojlim_n \mathbf{Z}/n$ . We consider the following commutative diagram with exact rows (cf. Proposition A.2):

$$\begin{array}{ccccccc} 0 & \longrightarrow & A_0(X) & \longrightarrow & \text{CH}_0(X)^\wedge & \xrightarrow{\deg_{X/k}^\wedge} & \hat{\mathbf{Z}} \\ & & \rho_X^{\text{geo}} \downarrow & & \rho_X^\wedge \downarrow & & \rho_k^\wedge \downarrow \\ 0 & \longrightarrow & \pi_1^{\text{geo}}(X) & \longrightarrow & \pi_1^{\text{ab}}(X) & \longrightarrow & G_k^{\text{ab}} \longrightarrow 0 \\ & & & & (*1)^\wedge \downarrow & & \\ & & & & H_1(\Gamma_X, \hat{\mathbf{Z}}), & & \end{array}$$

where the map  $\rho_X^\wedge$  is induced by  $\rho_X$ . Here we have used the finiteness of  $A_0(X)$  (cf. Lemma A.1(2)), and the fact that the pro-finite completion of an exact sequence of finitely generated abelian groups is exact. Since the map  $\rho_k^\wedge$  is injective (in fact bijective), the cokernel of the map  $\rho_X^{\text{geo}}$  is isomorphic to the image of  $\pi_1^{\text{geo}}(X)$  in  $H_1(\Gamma_X, \hat{\mathbf{Z}})$ . Therefore  $\text{Coker}(\rho_X^{\text{geo}})$  coincides with  $H_1(\Gamma_X, \hat{\mathbf{Z}})_{\overline{X}}$  from Lemma A.3.  $\square$

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