

Perturbation Theory for Cosine Families on Banach Spaces

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Introduction.

Let X be a Banach space and let $B(X)$ denote the set of all bounded linear operators from X into itself. A one-parameter family $\{C(t); t \in R = (-\infty, \infty)\}$ in $B(X)$ is called a *cosine family* on X if it satisfies the following conditions:

$$(0.1) \quad C(t+s) + C(t-s) = 2C(t)C(s) \quad \text{for all } t, s \in R;$$

$$(0.2) \quad C(0) = I \quad (\text{the identity operator});$$

$$(0.3) \quad C(t)x: R \rightarrow X \quad \text{is continuous for every } x \in X.$$

The associated *sine family* $\{S(t); t \in R\}$ is the one-parameter family in $B(X)$ defined by

$$(0.4) \quad S(t)x = \int_0^t C(s)x ds \quad \text{for } x \in X \text{ and } t \in R.$$

The *infinitesimal generator* A of $\{C(t); t \in R\}$ is defined by

$$(0.5) \quad Ax = \lim_{h \rightarrow 0} 2h^{-2}(C(h) - I)x$$

whenever the limit exists. The set of elements x for which $\lim_{h \rightarrow 0} 2h^{-2}(C(h) - I)x$ exists is the domain of A , denoted by $D(A)$.

The purpose of this paper is to prove some perturbation theorems for cosine families. That is, we give several sufficient conditions such that if A is the infinitesimal generator of a cosine family on X and B is a linear operator in X , then $\overline{A+B}$ (the closure of $A+B$) is also the infinitesimal generator of a cosine family on X . The results are related to those of Takenaka-Okazawa [4] and Travis-Webb [6] which are included in ours.

§1. The main results.

Let $\{C(t); t \in R\}$ be a cosine family on X with the infinitesimal generator A . We introduce a class of perturbing operators for A .

DEFINITION. A linear operator B in X is said to be of class $\mathfrak{B}(A)$ if
 (i) there exists a dense linear subset D of X such that $D \subset D(A) \cap D(B)$, $C(t)D \subset D$ for $t \in R$ and $BC(t)x: R \rightarrow X$ is continuous for every $x \in D$,
 (ii) for some $\mu > 0$

$$(1.1) \quad \sup \left\{ \int_0^\infty e^{-\mu t} \left\| \int_0^t BC(s)x ds \right\| dt; x \in D, \|x\| \leq 1 \right\} < \infty .$$

Let $B \in \mathfrak{B}(A)$ and set

$$(1.2) \quad \begin{cases} L_\lambda = \sup \left\{ \int_0^\infty e^{-\lambda t} \left\| \int_0^t BC(s)x ds \right\| dt; x \in D, \|x\| \leq 1 \right\} & \text{for } \lambda \geq \mu, \\ L_\infty = \lim_{\lambda \rightarrow \infty} L_\lambda . \end{cases}$$

By condition (ii), $0 \leq L_\infty \leq L_\mu < \infty$.

Our first result is the following

THEOREM 1.1. Let A be the infinitesimal generator of a cosine family $\{C(t); t \in R\}$ on X . If $B \in \mathfrak{B}(A)$, then for each ε with $|\varepsilon| < L_\infty^{-1}$, where L_∞ is defined by (1.2), the closure $\overline{(A + \varepsilon B)}|_D$ of $(A + \varepsilon B)|_D$ is the infinitesimal generator of a cosine family on X and $D(\overline{(A + \varepsilon B)}|_D) = D(A)$.

The following properties about $\{C(t); t \in R\}$ are well known (see [5], [1] and [3]):

$$(1.3) \quad \text{there exist constants } K \geq 1 \text{ and } \omega \geq 0 \text{ such that } \|C(t)\| \leq Ke^{\omega|t|} \text{ for } t \in R;$$

$$(1.4) \quad C(-t) = C(t) \text{ for } t \in R;$$

(1.5) the infinitesimal generator A is a densely defined closed linear operator;

$$(1.6) \quad C(t)D(A) \subset D(A) \text{ and } C(t)Ax = AC(t)x \text{ for } t \in R \text{ and } x \in D(A);$$

$$(1.7) \quad \int_0^t \left[\int_0^s C(r)x dr \right] ds \in D(A) \text{ and } A \int_0^t \left[\int_0^s C(r)x dr \right] ds = C(t)x - x$$

for $t \in R$ and $x \in X$;

$$(1.8) \quad \text{if } \lambda > \omega \text{ then } \lambda^2 \in \rho(A) \text{ (the resolvent set of } A) \text{ and}$$

$$R(\lambda^2; A)x = \lambda^{-1} \int_0^\infty e^{-\lambda t} C(t)x dt = \int_0^\infty e^{-\lambda t} S(t)x dt$$

for $x \in X$, where $R(\lambda^2; A) = (\lambda^2 I - A)^{-1}$ (the resolvent of A).

Before giving the proof of Theorem 1.1 we prove the following

LEMMA 1.2. *Let A be the infinitesimal generator of a cosine family $\{C(t); t \in R\}$, and let D be a dense linear subset of X such that $D \subset D(A)$ and $C(t)D \subset D$ for $t \in R$. Then $\overline{A|_D} = A$.*

PROOF. Since A is a closed extension of $A|_D$, it is clear that $A|_D$ is closable and $\overline{A|_D} \subset A$. To show $D(A) \subset D(\overline{A|_D})$, let $x \in D(A)$ and choose $x_n \in D$ with $\lim_{n \rightarrow \infty} x_n = x$. Since $C(r)x_n \in D$ and $(\overline{A|_D})C(r)x_n = AC(r)x_n = C(r)Ax_n$ is continuous in $r \in R$, the closedness of $\overline{A|_D}$ implies that $\int_0^s C(r)x_n dr \in D(\overline{A|_D})$ and $(\overline{A|_D}) \int_0^s C(r)x_n dr = \int_0^s C(r)Ax_n dr$. Using again the closedness of $\overline{A|_D}$, we have $\int_0^t \left[\int_0^s C(r)x_n dr \right] ds \in D(\overline{A|_D})$ and hence

$$(\overline{A|_D}) \int_0^t \left[\int_0^s C(r)x_n dr \right] ds = A \int_0^t \left[\int_0^s C(r)x_n dr \right] ds = C(t)x_n - x_n$$

by (1.7). Letting $n \rightarrow \infty$, we have that $\int_0^t \left[\int_0^s C(r)x dr \right] ds \in D(\overline{A|_D})$ and $(\overline{A|_D}) \int_0^t \left[\int_0^s C(r)x dr \right] ds = C(t)x - x$ for $t \in R$. Since

$$2t^{-2} \int_0^t \left[\int_0^s C(r)x dr \right] ds \rightarrow x \quad \text{and} \quad 2t^{-2}(C(t)x - x) \rightarrow Ax \quad \text{as } t \rightarrow 0,$$

we obtain that $x \in D(\overline{A|_D})$ and $(\overline{A|_D})x = Ax$. Q.E.D.

PROOF OF THEOREM 1.1. For each non-negative integer n and $t \in R$ we define a bounded linear operator $C_n(t)$ on D as follows:

$$(1.9) \quad C_0(t)x = C(t)x, \quad C_n(t)x = \int_0^t \overline{C}_{n-1}(t-s) \left[\int_0^s BC(r)x dr \right] ds \quad \text{for } x \in D,$$

where $\overline{C}_{n-1}(t)$ denotes the extension of $C_{n-1}(t)$ onto X . To observe that $C_n(t)$ are well defined and bounded on D , we show that for every n and $x \in D$, $C_n(t)x: R \rightarrow X$ is continuous and

$$(1.10) \quad \|C_n(t)x\| \leq K(L_\lambda)^n e^{\lambda|t|} \|x\| \quad \text{for } \lambda \geq \max\{\omega, \mu\} \quad \text{and } t \in R,$$

where L_λ is the constant in (1.2). Let $x \in D$ and $\lambda \geq \max\{\omega, \mu\}$. Since $BC(t)x: R \rightarrow X$ is continuous and $\overline{C}_0(t) = C(t)$ for $t \in R$,

$$C_1(t)x = \int_0^t C(t-s) \left[\int_0^s BC(r)x dr \right] ds$$

is well defined and continuous on R . Moreover, by (1.3) and the definition of L_λ ,

$$\begin{aligned} \|C_1(t)x\| &\leq \left| \int_0^t K e^{\lambda(t-s)} \left\| \int_0^s BC(r)x dr \right\| ds \right| \\ &\leq K e^{\lambda|t|} \int_0^{|t|} e^{-\lambda s} \left\| \int_0^s BC(r)x dr \right\| ds \leq K L_\lambda e^{\lambda|t|} \|x\| \quad \text{for } t \in R. \end{aligned}$$

Since D is dense in X , $C_1(t)$ can be extended onto X . Suppose that (1.10) holds for $n=k$ and $C_k(t)z: R \rightarrow X$ is continuous for each $z \in D$. Then $C_k(t)$ has the extension $\bar{C}_k(t)$ for each $t \in R$, $\bar{C}_k(t)z: R \rightarrow X$ is continuous for every $z \in X$ and $\|\bar{C}_k(t)\| \leq K(L_\lambda)^k e^{\lambda|t|}$ for $t \in R$. Therefore $C_{k+1}(t)x = \int_0^t \bar{C}_k(t-s) \left[\int_0^s BC(r)x dr \right] ds$ is well defined and continuous on R , and

$$\|C_{k+1}(t)x\| \leq K(L_\lambda)^k \left| \int_0^t e^{\lambda(t-s)} \left\| \int_0^s BC(r)x dr \right\| ds \right| \leq K(L_\lambda)^{k+1} e^{\lambda|t|} \|x\|$$

for $t \in R$. This shows that for every non-negative integer n , $C_n(t)x: R \rightarrow X$ is well defined and continuous for each $x \in D$, and (1.10) holds. Consequently, for every $n \geq 0$, $\bar{C}_n(t)x: R \rightarrow X$ is continuous for each $x \in X$ and

$$(1.11) \quad \|\bar{C}_n(t)\| \leq K(L_\lambda)^n e^{\lambda|t|} \quad \text{for } \lambda \geq \max\{\omega, \mu\} \quad \text{and } t \in R.$$

Let $|\varepsilon| < L_\infty^{-1}$ and choose $\lambda_0 \geq \max\{\omega, \mu\}$ such that $|\varepsilon| L_{\lambda_0} < 1$. Then $\|\varepsilon^n \bar{C}_n(t)\| \leq K(|\varepsilon| L_{\lambda_0})^n e^{\lambda_0|t|}$ by (1.11) and hence $\sum_{n=0}^{\infty} \varepsilon^n \bar{C}_n(t)$ converges uniformly in t on every compact interval. Define $\hat{C}(t) \in B(X)$ by

$$(1.12) \quad \hat{C}(t) = \sum_{n=0}^{\infty} \varepsilon^n \bar{C}_n(t) \quad \text{for } t \in R.$$

We now prove that $\{\hat{C}(t); t \in R\}$ is a cosine family on X . Clearly, $\hat{C}(t)x: R \rightarrow X$ is continuous for every $x \in X$, $\hat{C}(0) = I$ and

$$(1.13) \quad \|\hat{C}(t)\| \leq K(1 - |\varepsilon| L_{\lambda_0})^{-1} e^{\lambda_0|t|} \quad \text{for } t \in R.$$

To see that

$$(1.14) \quad \hat{C}(t+s) + \hat{C}(t-s) = 2\hat{C}(t)\hat{C}(s) \quad \text{for all } s, t \in R,$$

we first show

$$(1.15) \quad \bar{C}_n(t+s) + \bar{C}_n(t-s) = 2 \sum_{k=0}^n \bar{C}_k(t) \bar{C}_{n-k}(s)$$

for $t, s \in R$ and $n = 0, 1, 2, \dots$. Since $\bar{C}_0(t) = C(t)$, (0.1) shows that (1.15) holds for $n = 0$. Assume that (1.15) holds. Then for every $x \in D$

$$\begin{aligned} 2 \sum_{k=0}^{n+1} \bar{C}_k(t) \bar{C}_{n+1-k}(s)x &= 2 \sum_{k=0}^n \bar{C}_k(t) \bar{C}_{n+1-k}(s)x + 2\bar{C}_{n+1}(t)C(s)x \\ &= 2 \sum_{k=0}^n \bar{C}_k(t) \int_0^s \bar{C}_{n-k}(s-r) \left[\int_0^r BC(\tau)x d\tau \right] dr + 2 \int_0^t \bar{C}_n(t-r) \left[\int_0^r BC(\tau)C(s)x d\tau \right] dr \\ &= \int_0^s [\bar{C}_n(t+s-r) + \bar{C}_n(t-s+r)] \left(\int_0^r BC(\tau)x d\tau \right) dr \\ &\quad + \int_0^t \bar{C}_n(t-r) \left[\int_0^{r+s} BC(\tau)x d\tau + \int_0^{r-s} BC(\tau)x d\tau \right] dr \\ &= \int_0^s \bar{C}_n(t+s-r) \left[\int_0^r BC(\tau)x d\tau \right] dr + \int_0^s \bar{C}_n(t-s-r) \left[\int_0^r BC(\tau)x d\tau \right] dr \\ &\quad + \int_s^{t+s} \bar{C}_n(t+s-r) \left[\int_0^r BC(\tau)x d\tau \right] dr + \int_{-s}^{t-s} \bar{C}_n(t-s-r) \left[\int_0^r BC(\tau)x d\tau \right] dr \\ &= \bar{C}_{n+1}(t+s)x + \bar{C}_{n+1}(t-s)x. \quad (\text{We used (1.4) here.}) \end{aligned}$$

Therefore (1.15) holds true for every n . By virtue of (1.15)

$$\begin{aligned} \hat{C}(t+s) + \hat{C}(t-s) &= \sum_{n=0}^{\infty} \varepsilon^n (\bar{C}_n(t+s) + \bar{C}_n(t-s)) \\ &= 2 \sum_{n=0}^{\infty} \sum_{k=0}^n \varepsilon^k \bar{C}_k(t) \varepsilon^{n-k} \bar{C}_{n-k}(s) = 2 \left(\sum_{m=0}^{\infty} \varepsilon^m \bar{C}_m(t) \right) \left(\sum_{m=0}^{\infty} \varepsilon^m \bar{C}_m(s) \right) \\ &= 2\hat{C}(t)\hat{C}(s) \quad \text{for all } t, s \in R. \end{aligned}$$

Thus (1.14) holds true, and hence $\{\hat{C}(t); t \in R\}$ is a cosine family on X .

Let \hat{A} be the infinitesimal generator of $\{\hat{C}(t); t \in R\}$. By the definition of $\hat{C}(t)$ we have

$$(1.16) \quad \hat{C}(t)x = C(t)x + \varepsilon \int_0^t \hat{C}(t-s) \left[\int_0^s BC(r)x dr \right] ds \quad \text{for } x \in D \text{ and } t \in R.$$

Hence

$$\begin{aligned} \lim_{t \rightarrow 0} 2t^{-2}(\hat{C}(t)x - x) &= \lim_{t \rightarrow 0} 2t^{-2}(C(t)x - x) \\ &\quad + \lim_{t \rightarrow 0} 2t^{-2}\varepsilon \int_0^t \hat{C}(t-s) \left[\int_0^s BC(r)x dr \right] ds = Ax + \varepsilon Bx \end{aligned}$$

for $x \in D$. This shows that \hat{A} is a closed extension of $(A + \varepsilon B)|_D$ and $\overline{(A + \varepsilon B)|_D} \subset \hat{A}$. It follows from (1.13), (1.8) and (1.16) that if $\lambda > \lambda_0$, then $\lambda^2 \in \rho(\hat{A}) \cap \rho(A)$ and

$$(1.17) \quad \lambda R(\lambda^2; \hat{A})x - \lambda R(\lambda^2; A)x = \lambda R(\lambda^2; \hat{A})\varepsilon B_\lambda x \quad \text{for } x \in D,$$

where $B_\lambda x = \int_0^\infty e^{-\lambda s} \left[\int_0^s BC(r)x dr \right] ds$. By our assumption (1.1), B_λ can be extended onto X and the extension \bar{B}_λ satisfies

$$\|\varepsilon \bar{B}_\lambda\| \leq |\varepsilon| L_{\lambda_0} < 1 \quad \text{for every } \lambda > \lambda_0.$$

Thus $(I - \varepsilon \bar{B}_\lambda)^{-1} \in B(X)$ and $R(\lambda^2; \hat{A})(I - \varepsilon \bar{B}_\lambda) = R(\lambda^2; A)$ by (1.17), where $\lambda > \lambda_0$. Consequently $D(\hat{A}) = D(A)$. To show $\overline{(A + \varepsilon B)|_D} \supset \hat{A}$, note that

$$(1.18) \quad \|\hat{A}x\| \leq \lambda^2 \|\varepsilon \bar{B}_\lambda\| \|x\| + \|I - \varepsilon \bar{B}_\lambda\| \|Ax\| \quad \text{for } x \in D(A) = D(\hat{A}),$$

where $\lambda > \lambda_0$. (This is easily obtained from $R(\lambda^2; \hat{A})(I - \varepsilon \bar{B}_\lambda) = R(\lambda^2; A)$.) Let $x \in D(\hat{A})$. Since $D(\hat{A}) = D(A)$ and $\overline{A|_D} = A$ by Lemma 1.2, there exist $x_n \in D$ such that $x_n \rightarrow x$ and $Ax_n \rightarrow Ax$ as $n \rightarrow \infty$. Then $[(A + \varepsilon B)|_D]x_n = \hat{A}x_n \rightarrow \hat{A}x$ as $n \rightarrow \infty$ by (1.18), and hence $x \in D(\overline{(A + \varepsilon B)|_D})$ and $\hat{A}x = \overline{(A + \varepsilon B)|_D}x$. This completes the proof.

The following theorem is useful for applications, and a corresponding result for (C_0) -semigroups has been obtained by Voigt [7] and Miyadera [2].

THEOREM 1.3. *Let A be the infinitesimal generator of a cosine family $\{C(t); t \in R\}$ on X , and let B be a linear operator in X . Assume the following conditions (a_1) and (a_2) :*

(a_1) *there exists a dense linear subset D of X such that $D \subset D(A) \cap D(B)$, $C(t)D \subset D$ for $t \in R$ and $BC(t)x: R \rightarrow X$ is continuous for every $x \in D$;*

(a_2) *there exists an $a > 0$ such that*

$$(1.19) \quad \sup \left\{ \left\| \int_0^a BC(s)x ds \right\|; x \in D, \|x\| \leq 1 \right\} < \infty, \quad \text{and}$$

$$(1.20) \quad \sup \left\{ \int_0^a \left\| \int_0^t BC(s)x ds \right\| dt; x \in D, \|x\| \leq 1 \right\} < \infty.$$

Set $K_\lambda = \sup \left\{ \int_0^a e^{-\lambda t} \left\| \int_0^t BC(s)x ds \right\| dt; x \in D, \|x\| \leq 1 \right\}$ for $\lambda \geq 0$ and $K_\infty = \lim_{\lambda \rightarrow \infty} K_\lambda$. (Note that $0 \leq K_\infty \leq K_0 < \infty$.)

Then for each ε with $|\varepsilon| < K_\infty^{-1}$, the closure $\overline{(A + \varepsilon B)|_D}$ of $(A + \varepsilon B)|_D$ is the infinitesimal generator of a cosine family on X and $D(\overline{(A + \varepsilon B)|_D}) = D(A)$.

REMARK. Since $\int_0^a \left[\int_0^t BC(s)x ds \right] dt = a \int_0^a BC(s)x ds - \int_0^a s BC(s)x ds$, (1.19) is replaced by

$$(1.19)' \quad \sup \left\{ \left\| \int_0^a s BC(s)x ds \right\|; x \in D, \|x\| \leq 1 \right\} < \infty.$$

To prove the theorem we prepare the following

LEMMA 1.4. *Under the hypothesis of Theorem 1.3, we have*

$$(1.21) \quad \int_0^\infty e^{-\lambda t} \left\| \int_0^t BC(s)x ds \right\| dt \leq L'_\lambda \|x\| \quad \text{for } x \in D \text{ and } \lambda > \omega + (\log K)/a$$

where K and ω are constants in (1.3) and

$$(1.22) \quad L'_\lambda = K_\lambda [1 + Ke^{-a(\lambda-\omega)} / (1 - e^{-a(\lambda-\omega)})] \\ + LK^3 e^{-a(\lambda-\omega)} / (\lambda - \omega) (1 - Ke^{-a(\lambda-\omega)})^2 .$$

PROOF. For each $x \in D$, $2BC(r)C(s)x = BC(r+s)x + BC(r-s)x$ and hence $2 \int_0^t BC(r)C(s)x dr = \int_s^{t+s} BC(r)x dr + \int_{-s}^{t-s} BC(r)x dr = \int_0^{t+s} BC(r)x dr + \int_0^{t-s} BC(r)x dr$. Similarly $2 \int_0^s BC(r)C(t)x dr = \int_0^{t+s} BC(r)x dr + \int_0^{s-t} BC(r)x dr = \int_0^{t+s} BC(r)x dr - \int_0^{t-s} BC(r)x dr$ for $x \in D$. Adding both equalities we obtain

$$(1.23) \quad \int_0^{t+s} BC(r)x dr = \int_0^t BC(r)C(s)x dr + \int_0^s BC(r)C(t)x dr$$

for $t, s \in R$ and $x \in D$.

Put $L = \sup \left\{ \left\| \int_0^a BC(s)x ds \right\| ; x \in D, \|x\| \leq 1 \right\}$. Then we have

$$(1.24) \quad \left\| \int_0^{na} BC(s)x ds \right\| \leq nLK(Ke^{a\omega})^n \|x\| \quad \text{for } x \in D \text{ and } n = 1, 2, \dots .$$

In fact, (1.24) holds for $n = 1$. Assume that (1.24) holds for $n = k$. Then for $x \in D$

$$\left\| \int_0^{(k+1)a} BC(s)x ds \right\| = \left\| \int_0^{ka} BC(r)C(a)x dr + \int_0^a BC(r)C(ka)x dr \right\| \quad (\text{by (1.23)}) \\ \leq kLK(Ke^{a\omega})^k \|C(a)x\| + L \|C(ka)x\| \leq kLK(Ke^{a\omega})^{k+1} \|x\| \\ + LK(e^{a\omega})^k \|x\| \leq (k+1)LK(Ke^{a\omega})^{k+1} \|x\| .$$

Therefore (1.24) holds true.

Let $x \in D$ and $\lambda > \omega + a^{-1} \log K$. Then

$$\int_0^\infty e^{-\lambda t} \left\| \int_0^t BC(s)x ds \right\| dt = \sum_{k=0}^\infty \int_{ka}^{(k+1)a} e^{-\lambda t} \left\| \int_0^t BC(s)x ds \right\| dt \\ = \sum_{k=0}^\infty e^{-\lambda ak} \int_0^a e^{-\lambda t} \left\| \int_0^{a+k+t} BC(s)x ds \right\| dt \\ \leq \sum_{k=0}^\infty e^{-\lambda ak} \int_0^a e^{-\lambda t} \left[\left\| \int_0^{ka} BC(r)C(t)x dr \right\| \right]$$

$$\begin{aligned}
& + \left\| \int_0^t BC(r)C(ka)xdr \right\| dt \quad (\text{by (1.23)}) \\
& \leq \sum_{k=0}^{\infty} e^{-\lambda ak} \left[kLK(Ke^{a\omega})^k \int_0^a e^{-\lambda t} \|C(t)x\| dt + K_\lambda \|C(ka)x\| \right] \quad (\text{by (1.24)}) \\
& \leq K_\lambda \left[1 + K \sum_{k=1}^{\infty} (e^{-(\lambda-\omega)a})^k \right] \|x\| + (LK^2/(\lambda-\omega)) \sum_{k=0}^{\infty} k(Ke^{-a(\lambda-\omega)})^k \|x\| \\
& = L'_\lambda \|x\|. \qquad \qquad \qquad \text{Q.E.D.}
\end{aligned}$$

PROOF OF THEOREM 1.3. By virtue of Lemma 1.4, $B \in \mathfrak{B}(A)$ and $L_\lambda \leq L'_\lambda$ for $\lambda > \omega + a^{-1} \log K$, where L_λ is the constant in (1.2). Since $\lim_{\lambda \rightarrow \infty} L'_\lambda = \lim_{\lambda \rightarrow \infty} K_\lambda = K_\infty$, we have $L_\infty = \lim_{\lambda \rightarrow \infty} L_\lambda \leq K_\infty$. The conclusion follows from Theorem 1.1. Q.E.D.

§2. Applications.

As a consequence of Theorem 1.1 we have the following which is the main result in [4]:

COROLLARY 2.1. *Let $\{C(t); t \in R\}$ be a cosine family on X , with the infinitesimal generator A and the associated sine family $\{S(t); t \in R\}$. Assume that B is a linear operator in X satisfying*

(b₁) $D(B) \supset D(A)$ and $BR(\mu^2; A) \in B(X)$ for some $\mu > \omega$, where ω is the constant in (1.3), and

(b₂) for some $\mu_0 > 0$

$$\sup \left\{ \int_0^\infty e^{-\mu_0 t} \|BS(t)x\| dt; x \in D(A), \|x\| \leq 1 \right\} < \infty.$$

Set $L(\lambda) = \sup \left\{ \int_0^\infty e^{-\lambda t} \|BS(t)x\| dt; x \in D(A), \|x\| \leq 1 \right\}$ for $\lambda \geq \mu_0$ and $L(\infty) = \lim_{\lambda \rightarrow \infty} L(\lambda)$.

Then for each ε with $|\varepsilon| < L(\infty)^{-1}$, $A + \varepsilon B$ (defined on $D(A)$) is also the infinitesimal generator of a cosine family on X .

PROOF. We show that (i) and (ii) (in the definition of $\mathfrak{B}(A)$) with D replaced by $D(A)$ are satisfied and $L(\infty) = L_\infty$ (the constant in (1.2)). By $BR(\mu^2; A) \in B(X)$, $BC(t)R(\mu^2; A)z = BR(\mu^2; A)C(t)z: R \rightarrow X$ is continuous for every $z \in X$. Thus (i) with D replaced by $D(A)$ is satisfied. (Note (1.5) and (1.6).) Next, by $S(t)R(\mu^2; A)z = R(\mu^2; A) \int_0^t C(s)z ds$ and (b₁), we have $BS(t)R(\mu^2; A)z = BR(\mu^2; A) \int_0^t C(s)z ds = \int_0^t BR(\mu^2; A)C(s)z ds = \int_0^t BC(s)R(\mu^2; A)z ds$ for $z \in X$ and $t \in R$, i.e.,

$$(2.1) \quad BS(t)x = \int_0^t BC(s)x ds \quad \text{for } x \in D(A) \text{ and } t \in R.$$

Therefore (b₂) implies (ii) with D replaced by $D(A)$, and $L(\lambda) = L_\lambda$ for $\lambda \geq \mu_0$ and then $L(\infty) = L_\infty$. Q.E.D.

As corollaries of Theorem 1.3 we have the following:

COROLLARY 2.2. *Let $\{C(t); t \in R\}$ be a cosine family on X , with the infinitesimal generator A and the associated sine family $\{S(t); t \in R\}$. Assume that B is a linear operator in X satisfying (b₁).*

(I) *If there exists an $a > 0$ such that*

$$(2.2) \quad \sup \{ \|BS(a)x\|; x \in D(A), \|x\| \leq 1 \} < \infty, \text{ and}$$

$$(2.3) \quad \sup \left\{ \int_0^a \|BS(t)x\| dt; x \in D(A), \|x\| \leq 1 \right\} < \infty,$$

then for each ε with $|\varepsilon| < K(\infty)^{-1}$, $A + \varepsilon B$ (defined on $D(A)$) is also the infinitesimal generator of a cosine family on X , where $K(\lambda) = \sup \left\{ \int_0^a e^{-\lambda t} \|BS(t)x\| dt; x \in D(A), \|x\| \leq 1 \right\}$ for $\lambda \geq 0$ and $K(\infty) = \lim_{\lambda \rightarrow \infty} K(\lambda)$.

(II) *If there exists a non-negative function $\varphi \in L^1(0, c)$ for some $c > 0$ such that*

$$(2.4) \quad \text{for a.e. } t \in (0, c), \quad \|BS(t)x\| \leq \varphi(t) \|x\| \text{ for all } x \in D(A),$$

then for every complex number ε , $A + \varepsilon B$ is the infinitesimal generator of a cosine family on X .

PROOF. Similarly as in the proof of Corollary 2.1, (a₁) (in Theorem 1.3) with D replaced by $D(A)$ is satisfied, and (2.1) holds true. Thus (I) is a direct consequence of Theorem 1.3. We now prove (II). By our assumption there exists an $a \in (0, c)$ such that $\|BS(a)x\| \leq \varphi(a) \|x\|$ for all $x \in D(A)$ and $\varphi(a) < \infty$. Moreover,

$$\begin{aligned} K(\lambda) &= \sup \left\{ \int_0^a e^{-\lambda t} \|BS(t)x\| dt; x \in D(A), \|x\| \leq 1 \right\} \\ &\leq \int_0^a e^{-\lambda t} \varphi(t) dt \rightarrow 0 \text{ as } \lambda \rightarrow \infty; \end{aligned}$$

hence $K(\infty) = 0$. Therefore (II) follows from (I). Q.E.D.

REMARK. Theorem 3.2 in [4] is a corollary of Corollary 2.2 (II).

COROLLARY 2.3. *Let $\{C(t); t \in R\}$ be a cosine family on X , with the infinitesimal generator A and the associated sine family $\{S(t); t \in R\}$. Assume that B is a closed linear operator in X satisfying $S(t)X \subset D(B)$ for all $t \in R$.*

(I') If $BS(\cdot)x \in L^1((0, a); X)$ for every $x \in X$, where a is some positive number, then $K(\infty)$ defined in Corollary 2.2 (I) is finite, and for each ε with $|\varepsilon| < K(\infty)^{-1}$, $A + \varepsilon B$ is the infinitesimal generator of a cosine family on X .

(II') If $BS(\cdot)x \in L^p((0, a); X)$ with $p > 1$ for every $x \in X$, where a is some positive number, then for every complex number ε , $A + \varepsilon B$ is the infinitesimal generator of a cosine family on X .

PROOF. (I') We first show that (2.2) and (2.3) are satisfied. By the closed graph theorem, $BS(t) \in B(X)$ for every $t \in R$ and hence (2.2) is satisfied. To see that (2.3) is satisfied, define an operator T from X into the Banach space $L^1((0, a); X)$ with norm $\|u\| = \int_0^a \|u(t)\| dt$ as follows:

$$(2.5) \quad Tx = BS(\cdot)x \quad \text{for } x \in X.$$

Clearly T is linear. To see that T is closed, let $\|x_n - x\| \rightarrow 0$ and $\int_0^a \|BS(t)x_n - u(t)\| dt = \|Tx_n - u\| \rightarrow 0$ as $n \rightarrow \infty$. Then there exists a subsequence $\{n_k\}$ such that $\lim_{k \rightarrow \infty} \|BS(t)x_{n_k} - u(t)\| = 0$ for a.e. $t \in (0, a)$. Since $\lim_{k \rightarrow \infty} \|S(t)x_{n_k} - S(t)x\| = 0$ for all $t \in R$, it follows from the closedness of B that $u(t) = BS(t)x$ for a.e. $t \in (0, a)$, i.e., $u = Tx$. Thus T is closed, and then T is bounded by the closed graph theorem. Consequently $\int_0^a \|BS(t)x\| dt = \|Tx\| \leq \|T\| \|x\|$ for all $x \in X$ and (2.3) is satisfied.

We next prove that (b₁) in Corollary 2.1 is satisfied. Since

$$(2.6) \quad S(t+s) = S(t)C(s) + S(s)C(t) \quad \text{for } t, s \in R$$

and $BS(t) \in B(X)$ for $t \in R$, $BS(t)x = BS(t-na)C(na)x + BS(na)C(t-na)x$ is Bochner integrable on $(na, (n+1)a)$ for each $n = 0, 1, 2, \dots$ and $x \in X$. This shows that for each $x \in X$, $BS(t)x$ is Bochner integrable on every finite interval of $[0, \infty)$. Using $BS(t+s) = BS(t)C(s) + BS(s)C(t)$ instead of (1.23), the same argument as in the proof of Lemma 1.4 implies that $\|BS(na)\| \leq n \|BS(a)\| K(Ke^{a\omega})^n$ for $n = 1, 2, \dots$ and then $e^{-\lambda t} BS(t)x$ is Bochner integrable on $(0, \infty)$ for every $x \in X$ if $\lambda > \omega + a^{-1} \log K$. Moreover $e^{-\lambda t} S(t)x$ is Bochner integrable on $(0, \infty)$ if $\lambda > \omega$. Therefore, by the closedness of B , we have that if $\lambda > \omega + a^{-1} \log K$, then $\int_0^\infty e^{-\lambda t} S(t)x dt \in D(B)$ for all $x \in X$. Combining this with (1.8), we obtain $D(A) = R(\lambda^2; A)X \subset D(B)$ and then $BR(\lambda^2; A) \in B(X)$ for every $\lambda > \omega$ by the closed graph theorem. Thus (b₁) is satisfied and the conclusion follows from Corollary 2.2 (I).

(II') Define an operator T from X into the Banach space $L^p((0, a); X)$

by (2.5). Then we see that T is closed and then T is bounded. Hence

$$\int_0^a e^{-\lambda t} \|BS(t)x\| dt \leq (1/\lambda q)^{1/q} \left(\int_0^a \|BS(t)x\|^p dt \right)^{1/p} \leq (1/\lambda q)^{1/q} \|T\| \|x\|$$

for all $x \in X$, and $K(\infty) = \lim_{\lambda \rightarrow \infty} K(\lambda) \leq \lim_{\lambda \rightarrow \infty} (1/\lambda q)^{1/q} \|T\| = 0$. The conclusion follows from (I'). Q.E.D.

REMARK. The main result in [6] is included in Corollary 2.3 (II').

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