

Eigenvalues of the Laplacian of Warped Product

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Introduction

Let (M, g) be a compact connected Riemannian manifold with metric tensor g and Δ be the Laplacian acting on differentiable functions on M , that is,

$$\Delta\varphi = -\sum g^{ji}\nabla_j\nabla_i\varphi.$$

Let $\text{Spec}(M, g) = \{0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots\}$ be the set of eigenvalues of Δ , where each eigenvalue is repeated as many times as its multiplicity.

Let (B, g) and (F, h) be Riemannian manifolds and $f > 0$ a differentiable function on B . Consider the product manifold $B \times F$ with its projections $\pi: B \times F \rightarrow B$ and $\eta: B \times F \rightarrow F$. The warped product $M = B \times_f F$ is the manifold $B \times F$ furnished with the Riemannian structure \bar{g} such that

$$\bar{g}(X, Y) = g(\pi_*X, \pi_*Y) + f^2(\pi)m h(\eta_*X, \eta_*Y)$$

for tangent vectors $X, Y \in T_m M$. If $f \equiv 1$, then $B \times_f F$ is nothing but the ordinary Riemannian product.

Making use of the warped product, N. Ejiri [3] constructed examples of compact, connected, non-flat and irreducible Riemannian manifolds which are isospectral but non-isometric. He proves that if $\text{Spec}(M, h) = \text{Spec}(M', h')$ then $\text{Spec}(B \times_f M) = \text{Spec}(B \times_f M')$, where f is a positive differentiable function on B .

In this paper we show the converse.

THEOREM 1. *Let (B, g) , (M, h) , and (M', h') be compact connected Riemannian manifolds and $f > 0$ a differentiable function on B . If $\text{Spec}(B \times_f M) = \text{Spec}(B \times_f M')$ then $\text{Spec}(M, h) = \text{Spec}(M', h')$.*

Let (B, g) and (F, h) be compact connected Riemannian manifolds and $f > 0$ a differentiable function on B . When we study the spectrum of

the warped product $B \times_f F$, we introduce a differential operator $L_{(f;\lambda_i)}$ acting on differentiable functions on B , which is defined by $L_{(f;\lambda_i)} = \Delta^B - (n/f) \text{grad } f + \lambda_i/f^2$, where $n = \dim F$ and λ_i is the i -th eigenvalue of the Laplacian of (F, h) (cf. Ejiri [3] or section 1). We denote the least eigenvalue of the operator $L_{(f;\lambda_i)}$ by $\mu_1(f; \lambda_i)$. About the relation between $\mu_1(f; \lambda_i)$ and $\mu_1(1; \lambda_i)$, we have the following result.

THEOREM 2.

$$\mu_1(f; \lambda_i) \|f\|_n^2 \leq \mu_1(1; \lambda_i) \|1\|_n^2 = \lambda_i \|1\|_n^2$$

and the equality holds if and only if f is constant, where

$$\|f\|_n = \left\{ \int_B f^n dV_g \right\}^{1/n}.$$

It is interesting to compare the least positive eigenvalue of the warped product $B \times_f F$ with that of the ordinary Riemannian product $B \times F$. Using Theorem 2, we obtain the following result.

THEOREM 3. Assume that the least positive eigenvalue of B is not less than that of F . Then,

$$\lambda_1(f) \text{Vol}(f)^{2/n} \leq \lambda_1(1) \text{Vol}(1)^{2/n}$$

and the equality holds only if f is constant, where $n = \dim F$ and $\lambda_1(f)$ and $\text{Vol}(f)$ denote the least positive eigenvalue and the volume of $B \times_f F$, respectively.

REMARK. Theorem 3 implies that the ordinary Riemannian product is distinguished by its least positive eigenvalue in the class of warped products. In fact, if the assumption in Theorem 3 is satisfied and $\text{Vol}(f) = \text{Vol}(1)$, then $\lambda_1(f) \leq \lambda_1(1)$ and the equality holds if and only if $f \equiv 1$.

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§1. Spectrum of a warped product—Review of the Ejiri's Theorem.

We review some results about the spectrum of a warped product which N. Ejiri showed in [3].

Let (B, g) (resp. (F, h)) be an m (resp. n)-dimensional compact connected Riemannian manifold and f be a positive differentiable function on B . By $C^\infty(B)$ we denote the space of differentiable functions on

B with the scalar product $\langle \varphi, \psi \rangle = \int_B f^n \varphi \psi dV_g$. For a non-negative parameter λ , we define a differential operator $L_{(f;\lambda)}$ acting on $C^\infty(B)$ by $L_{(f;\lambda)} = \Delta^B - (n/f) \text{grad } f + \lambda/f^2$, where Δ^B is the Laplacian of (B, g) and $\text{grad } f$ is the gradient of f introduced by the metric tensor g . Then $L_{(f;\lambda)}$ becomes a self-adjoint differential operator of $L^2(B) (\supset C^\infty(B))$. So $L_{(f;\lambda)}$ has a discrete spectrum with finite multiplicities, which we denote by $\text{Spec}(L_{(f;\lambda)})$.

About the spectrum of the warped product, N. Ejiri showed that $\text{Spec}(B \times_f F) = \sum_{i=0}^{\infty} \text{Spec}(L_{(f;\lambda_i)})$, where $\lambda_i \in \text{Spec}(F, h)$.

§2. Proof of Theorem 1.

We use the same notations as in section 1. We denote the least eigenvalue of the operator $L_{(f;\lambda)}$ introduced by the warped product $B \times_f F$ by $\mu_1(f; \lambda)$.

LEMMA 1. *If $0 \leq \lambda \leq \lambda'$, then $\mu_1(f; \lambda) \leq \mu_1(f; \lambda')$. The equality holds if and only if $\lambda = \lambda'$.*

PROOF. We apply the minimum principle to the self-adjoint differential operator $L_{(f;\lambda)}$.

For any non-zero differentiable function $\varphi \in C^\infty(B)$,

$$\mu_1(f; \lambda) \leq \frac{\langle L_{(f;\lambda)} \varphi, \varphi \rangle}{\langle \varphi, \varphi \rangle},$$

and the equality holds if and only if $L_{(f;\lambda)} \varphi = \mu_1(f; \lambda) \varphi$. Using the formula

$$\int_B \Delta^B \varphi \cdot \psi dV_g = \int_B (d\varphi | d\psi) dV_g,$$

we have

$$\begin{aligned} \int_B f^n \Delta^B \varphi \cdot \varphi dV_g &= \int_B (d\varphi | d(f^n \varphi)) dV_g \\ &= \int_B f^n (d\varphi | d\varphi) dV_g + \int_B n f^{n-1} \varphi (df | d\varphi) dV_g \\ &= \int_B f^n (d\varphi | d\varphi) dV_g + \int_B n f^{n-1} \varphi (\text{grad } f) \varphi dV_g, \end{aligned}$$

where $(d\varphi | d\psi) = g^{ji} \varphi_j \psi_i$.

Therefore

$$\begin{aligned} \langle L_{(f;\lambda)} \varphi, \varphi \rangle &= \int_B f^n (\Delta^B \varphi - (n/f) \text{grad } f \varphi + (\lambda/f^2) \varphi) \varphi dV_g \\ &= \int_B f^n \Delta^B \varphi \cdot \varphi dV_g - \int_B n f^{n-1} \varphi (\text{grad } f) \varphi dV_g \end{aligned}$$

$$\begin{aligned}
& + \lambda \int_B f^{n-2} \varphi^2 dV_g \\
& = \int_B f^n (d\varphi | d\varphi) dV_g + \lambda \int_B f^{n-2} \varphi^2 dV_g.
\end{aligned}$$

Let $\varphi \in C^\infty(B)$ be an eigenfunction of the operator $L_{(f;\lambda')}$ with the least eigenvalue, that is, $L_{(f;\lambda')} \varphi = \mu_1(f; \lambda') \varphi$. Then

$$\begin{aligned}
\mu_1(f; \lambda) & \leq \langle L_{(f;\lambda)} \varphi, \varphi \rangle / \langle \varphi, \varphi \rangle \\
& = \left\{ \int_B f^n (d\varphi | d\varphi) dV_g + \lambda \int_B f^{n-2} \varphi^2 dV_g \right\} / \langle \varphi, \varphi \rangle \\
& \leq \left\{ \int_B f^n (d\varphi | d\varphi) dV_g + \lambda' \int_B f^{n-2} \varphi^2 dV_g \right\} / \langle \varphi, \varphi \rangle \\
& = \langle L_{(f;\lambda')} \varphi, \varphi \rangle / \langle \varphi, \varphi \rangle \\
& = \mu_1(f; \lambda').
\end{aligned}$$

The equality implies that $\lambda = \lambda'$.

REMARK. Let λ_i be the i -th eigenvalue of the Laplacian of (F, h) . The least eigenvalue of $L_{(f;\lambda_0)}$ is 0 and its eigenfunction is constant. The least eigenvalue of $L_{(f;\lambda_1)}$ is strictly positive by Lemma 1. So the least positive eigenvalue of the warped product $B \times_f F$ is the minimum of the least positive eigenvalue of $L_{(f;\lambda_0)}$ and the least eigenvalue of $L_{(f;\lambda_1)}$.

PROOF OF THEOREM 1. We denote the i -th eigenvalue of (M, h) (resp. (M', h')) by λ_i (resp. λ'_i). Since $\text{Spec}(B \times_f M) = \text{Spec}(B \times_f M')$, $\dim(B \times M) = \dim(B \times M')$ and hence $\dim M = \dim M'$. We apply mathematical induction: First of all, $\lambda_0 = \lambda'_0 = 0$. We assume next that, for any non-negative integer k , $\lambda_i = \lambda'_i$ for $i = 0, 1, \dots, k$. Since $\dim M = \dim M'$, $L_{(f;\lambda_i)} = L_{(f;\lambda'_i)}$ and hence $\text{Spec}(L_{(f;\lambda_i)}) = \text{Spec}(L_{(f;\lambda'_i)})$ for $i = 0, 1, \dots, k$. Thus $\text{Spec}(B \times_f M) - \sum_{i=0}^k \text{Spec}(L_{(f;\lambda_i)}) = \text{Spec}(B \times_f M') - \sum_{i=0}^k \text{Spec}(L_{(f;\lambda'_i)})$. By Lemma 1, the minimum of $\text{Spec}(B \times_f M) - \sum_{i=0}^k \text{Spec}(L_{(f;\lambda_i)})$ is the least eigenvalue of $L_{(f;\lambda_{k+1})}$. By the same reason, the minimum of $\text{Spec}(B \times_f M') - \sum_{i=0}^k \text{Spec}(L_{(f;\lambda'_i)})$ is the least eigenvalue of $L_{(f;\lambda'_{k+1})}$. So $\mu_1(f; \lambda_{k+1}) = \mu_1(f; \lambda'_{k+1})$ and Lemma 1 implies that $\lambda_{k+1} = \lambda'_{k+1}$. Therefore, for arbitrary non-negative integer i , we have $\lambda_i = \lambda'_i$.

§3. Proof of Theorem 2.

We use the same notations as in section 1. We denote the i -th eigenvalue of F by λ_i . Applying the minimum principle to the operator $L_{(f;\lambda_i)}$, we obtain

$$\begin{aligned}\mu_1(f; \lambda_i) &\leq \langle L_{(f; \lambda_i)} 1, 1 \rangle / \langle 1, 1 \rangle \\ &= \lambda_i \int_B f^{n-2} dV_g / \int_B f^n dV_g.\end{aligned}$$

By Hölder's inequality, we have

$$\begin{aligned}\int_B f^{n-2} dV_g &\leq \left\{ \int_B (f^{n-2})^{n/(n-2)} dV_g \right\}^{(n-2)/n} \left\{ \int_B dV_g \right\}^{2/n} \\ &= \left\{ \int_B f^n dV_g \right\}^{(n-2)/n} \left\{ \int_B dV_g \right\}^{2/n},\end{aligned}$$

and hence

$$\mu_1(f; \lambda_i) \leq \frac{\lambda_i \left\{ \int_B f^n dV_g \right\}^{(n-2)/n} \left\{ \int_B dV_g \right\}^{2/n}}{\int_B f^n dV_g} = \frac{\lambda_i \left\{ \int_B dV_g \right\}^{2/n}}{\left\{ \int_B f^n dV_g \right\}^{2/n}}.$$

Since $\mu_1(1; \lambda_i) = \lambda_i$, we have

$$\mu_1(f; \lambda_i) \|f\|_n^2 \leq \lambda_i \|1\|_n^2 = \mu_1(1; \lambda_i) \|1\|_n^2.$$

If the equality holds, f is constant as the equality holds in Hölder's inequality. Conversely, if f is constant, then $\mu_1(f; \lambda_i) = \lambda_i/f^2$ and $\|f\|_n = f\|1\|_n$. Therefore the equality holds.

§4. Proof of Theorem 3.

The assumption of Theorem 3 implies that the least positive eigenvalue of F is the least positive eigenvalue of Riemannian product $B \times F$. Thus we have $\lambda_1(1) = \lambda_1$. On the other hand, by the remark in section 2, we obtain $\lambda_1(f) \leq \mu_1(f; \lambda_1)$. The proof of Theorem 2 implies that

$$\begin{aligned}\mu_1(f; \lambda_1) &\leq \frac{\lambda_1 \left\{ \int_B dV_g \right\}^{2/n}}{\left\{ \int_B f^n dV_g \right\}^{2/n}} = \frac{\lambda_1 \left\{ \int_B dV_g \right\}^{2/n} \left\{ \int_F dV_h \right\}^{2/n}}{\left\{ \int_B f^n dV_g \right\}^{2/n} \left\{ \int_F dV_h \right\}^{2/n}} \\ &= \lambda_1 \text{Vol}(1)^{2/n} / \text{Vol}(f)^{2/n},\end{aligned}$$

that is, $\mu_1(f; \lambda_1) \text{Vol}(f)^{2/n} \leq \lambda_1 \text{Vol}(1)^{2/n}$. Therefore, we have $\lambda_1(f) \text{Vol}(f)^{2/n} \leq \lambda_1(1) \text{Vol}(1)^{2/n}$. If the equality holds, Theorem 2 implies f is constant.

REMARK. Even if f is constant, the equality does not necessarily hold. In fact, consider the case where the least positive eigenvalue of $L_{(f; \lambda_0)}$ is less than $\mu_1(f; \lambda_1)$.

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