

A Remark on a Theorem of B. T. Batikyan and E. A. Gorin

Osamu HATORI

Waseda University

Introduction

Let X be a compact Hausdorff space and $\tilde{X} = \beta(N \times X)$ be the Stone-Čech compactification of $N \times X$, the direct product of the space of natural numbers N and X . We consider a Banach space E which satisfies that E is a Banach space lying in $C(X)$ (resp. $C_R(X)$) with the norm $\|\cdot\|_E$ such that $\|u\|_\infty \leq \|u\|_E$ for each u in E where $\|\cdot\|_\infty$ denotes the supremum norm and we also suppose that E separates the points of X and contains constant functions with $\|1\|_E = 1$. Let $\tilde{E} = 1^\infty(N, E)$ be the Banach space of all bounded sequences in E with the norm $\|(f_n)\|_{\tilde{E}} = \sup_n \|f_n\|_E$. For every (f_n) in \tilde{E} we can suppose that (f_n) is a bounded continuous function on $N \times X$ defined as $(f_n)(m, x) = f_m(x)$ for (m, x) in $N \times X$. So we may suppose that \tilde{E} is lying in $C(\tilde{X})$ (resp. $C_R(\tilde{X})$). We say that E is *ultra-separating* on X if \tilde{E} separates the points of \tilde{X} (cf. [2], [3], [4]).

§1. A characterization for ultraseparability.

We say that A is a Banach function algebra on X if A is a Banach algebra lying in $C(X)$ which separates the points of X and contains constant functions. It is shown in B. T. Batikyan and E. A. Gorin [2] that ultraseparability for a Banach function algebra A can be characterized as follows:

There exist a natural number m and $\delta > 0$ such that for every pair of disjoint compact subsets Y_1 and Y_2 of X there exist functions f_1, f_2, \dots, f_m and g_1, g_2, \dots, g_m in the unit ball of A which satisfy

$$\sum_{i=1}^m (|f_i| - |g_i|) \geq \delta \quad \text{on } Y_1$$

$$\sum_{i=1}^m (|f_i| - |g_i|) \leq -\delta \quad \text{on } Y_2.$$

Let $\text{Re } E = \{u \in C_R(X) : \exists f \in E, \text{Re } f = u\}$. Then $\text{Re } E$ is also an above

type Banach space in $C_R(X)$ with the quotient norm $N(u) = \inf \{\|f\|_E : f \in E, \operatorname{Re} f = u\}$. It is well-known that E is ultraseparating on X if and only if $\operatorname{Re} E$ is so. Thus we may assume that E is lying in $C_R(X)$ throughout this paper. We show that ultraseparability for such E is characterized in the same way as the case of Banach function algebras.

THEOREM. *Let E be an above type Banach space in $C_R(X)$, and let h be a real valued continuous function on $[-1, 1]$ which is not the restriction of a polynomial. Then E is ultraseparating on X if and only if the following condition is satisfied:*

There are a natural number m and $\delta > 0$ such that if Y_1 and Y_2 are disjoint compact subsets of X then we can choose f_1, f_2, \dots, f_m in the unit ball of E and real numbers $\alpha_1, \alpha_2, \dots, \alpha_m$ with $|\alpha_i| \leq 1$
 (*) *satisfying*

$$\sum_{i=1}^m \alpha_i h \circ f_i(x) \geq \delta \quad \text{on } Y_1$$

$$\sum_{i=1}^m \alpha_i h \circ f_i(x) \leq -\delta \quad \text{on } Y_2.$$

To prove Theorem, we need the following lemma which is easily proved by the same way as in [5].

LEMMA. *Let E and h be as above. If $[h \circ E]$ is the uniform closure of the space of all linear combinations of $h \circ u$ for u in the unit ball of E , then $[h \circ E] = C_R(X)$.*

PROOF OF THEOREM. We prove Theorem as same way as the proof of Theorem in [2]. Assume that E is ultraseparating on X and yet the requirements formulated in (*) are not satisfied, that is, for any positive integer k there exists a pair of disjoint compact subsets $Y_{1,k}$ and $Y_{2,k}$ of X such that for every f_1, f_2, \dots, f_k in the unit ball of E and for every real numbers $\alpha_1, \alpha_2, \dots, \alpha_k$ with $|\alpha_i| \leq 1$ for $i=1, 2, \dots, k$ and one of the following is not satisfied.

$$\sum_{i=1}^k \alpha_i h \circ f_i \geq 1/k \quad \text{on } Y_{1,k}$$

$$\sum_{i=1}^k \alpha_i h \circ f_i \geq -1/k \quad \text{on } Y_{2,k}.$$

There exists F_k in $C_R(X)$ with $\|F_k\|_\infty \leq 1$ such that $F_k = 1$ on $Y_{1,k}$ and $F_k = -1$ on $Y_{2,k}$. Put $\tilde{F} = (F_k) \in C_R(\tilde{X})$. By Lemma we can choose $\tilde{u}_i = (u_{i,j}) = (u_{i,1}, u_{i,2}, \dots, u_{i,j}, \dots) \in \tilde{E}$ with $\|u_{i,j}\|_E \leq 1$ for $i=1, 2, \dots, n$ and

real numbers $\beta_1, \beta_2, \dots, \beta_n$ such that

$$\left| \sum_{i=1}^n \beta_i h \circ \tilde{u}_i - F \right| < 1/2.$$

So, for any k ,

$$\left| \sum_{i=1}^n \beta_i h \circ u_{i,k} - 1 \right| < 1/2 \quad \text{on } Y_{1,k}$$

and

$$\left| \sum_{i=1}^n \beta_i h \circ u_{i,k} + 1 \right| < 1/2 \quad \text{on } Y_{2,k}.$$

Thus

$$\sum_{i=1}^n (\beta_i/M) h \circ u_{i,k} > 1/2M \quad \text{on } Y_{1,k}$$

and

$$\sum_{i=1}^n (\beta_i/M) h \circ u_{i,k} < -1/2M \quad \text{on } Y_{2,k}$$

where $M = \max\{|\beta_1|, |\beta_2|, \dots, |\beta_n|\}$ which is a contradiction for large k .

Now assume that there exist a real valued continuous function h on $[-1, 1]$ and a positive number δ and a positive integer m which satisfy (*). Thus $\sum_{i=1}^m \alpha_i h \circ u_i > \delta/2$ on Y_1 and $\sum_{i=1}^m \alpha_i h \circ u_i < -\delta/2$ on Y_2 . Let \tilde{x}_1 and \tilde{x}_2 be different points in \tilde{X} and U_1 and U_2 be open neighborhoods of \tilde{x}_1 and \tilde{x}_2 respectively which have disjoint closures. Put

$$Y_{1,k} = \{x \in X : (k, x) \in \overline{(\{k\} \times X) \cap U_1}\}$$

$$Y_{2,k} = \{x \in X : (k, x) \in \overline{(\{k\} \times X) \cap U_2}\}.$$

We may suppose that $Y_{1,k}$ and $Y_{2,k}$ are disjoint compact subsets of X for every k . Thus for every positive integer k there exist $f_{1,k}, f_{2,k}, \dots, f_{m,k}$ in the unit ball of E and real numbers $\alpha_{1,k}, \alpha_{2,k}, \dots, \alpha_{m,k}$ with $|\alpha_{i,k}| \leq 1$ for $i=1, 2, \dots, m$ such that

$$\sum_{i=1}^m \alpha_{i,k} h \circ f_{i,k} > \delta/2 \quad \text{on } Y_{1,k}$$

and

$$\sum_{i=1}^m \alpha_{i,k} h \circ f_{i,k} < -\delta/2 \quad \text{on } Y_{2,k}.$$

We put $\tilde{\alpha}_i = (\alpha_{i,k}) = (\alpha_{i,1}, \alpha_{i,2}, \dots, \alpha_{i,k}, \dots)$ and $\tilde{f}_i = (f_{i,k}) = (f_{i,1}, f_{i,2}, \dots,$

$f_{i,k}, \dots$) for every positive i , then $\tilde{\alpha}_i$ and \tilde{f}_i are functions in \tilde{E} and, in addition,

$$\sum_{i=1}^m \tilde{\alpha}_i h \circ \tilde{f}_i \geq \delta/2 \quad \text{on } U_1$$

and

$$\sum_{i=1}^m \alpha_i h \circ \tilde{f}_i \leq -\delta/2 \quad \text{on } U_2$$

especially $\sum_{i=1}^m \tilde{\alpha}_i h \circ \tilde{f}_i(\tilde{x}_1) \neq \sum_{i=1}^m \tilde{\alpha}_i h \circ \tilde{f}_i(\tilde{x}_2)$. Thus there exist j such that $\tilde{\alpha}_j h \circ \tilde{f}_j(\tilde{x}_1) \neq \tilde{\alpha}_j h \circ \tilde{f}_j(\tilde{x}_2)$ so $\tilde{\alpha}_j$ or \tilde{f}_j separate \tilde{x}_1 and \tilde{x}_2 . That is, \tilde{E} separates \tilde{x}_1 and \tilde{x}_2 .

REMARK. One can use $h(t) = |t|$ in Theorem to characterize ultraseparability for E in the same way as a theorem of B. T. Batikyan and E. A. Gorin.

References

- [1] W. G. BADE and P. C. CURTIS, JR., Embedding theorems for commutative Banach algebras, *Pacific J. Math.*, **18** (1966), 391-409.
- [2] B. T. BATIKYAN and E. A. GORIN, On ultraseparating algebras of continuous functions, (English transl.), *Moscow Univ. Math. Bull.*, **31** (1976), 71-75.
- [3] A. BERNARD, Espace des parties réelles des éléments d'une algèbre de Banach de fonctions, *J. Functional Analysis*, **10** (1972), 387-409.
- [4] A. BERNARD and A. DUFRESNOY, Calcul symbolique sur la frontière de Šilov de certaines algèbres de fonctions holomorphes, *Ann. Inst. Fourier (Grenoble)*, **25** (1975), 33-43.
- [5] O. HATORI, Functions which operate on the real part of a function algebra, *Proc. Amer. Math. Soc.*, **83** (1981), 565-568.

Present Address:

DEPARTMENT OF MATHEMATICS
SCHOOL OF EDUCATION
WASEDA UNIVERSITY
NISHI-WASEDA, SHINJUKU-KU, TOKYO 160