

On Logarithmic Canonical Divisors on Threefolds

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Introduction

The aim of this paper is to give a numerical criterion for the logarithmic canonical or the logarithmic anti-canonical divisor on a threefold to be ample. As a corollary we obtain a practical definition of logarithmic Fano threefolds. Let V be a non-singular projective variety over an algebraically closed field of characteristic zero and $D=D_1+\cdots+D_s$ a reduced divisor whose components are smooth and crossing normally on V . We consider here such a pair (V, D) , which is called a non-singular pair of dimension $n=\dim V$. Let K_V , or in short K , denote a canonical divisor on V . Then $K+D$ (resp. $-K-D$) is called the logarithmic canonical divisor (resp. logarithmic anti-canonical divisor) on V (cf. [3, Chap. 11]). We prove the following

THEOREM. *Let (V, D) be a non-singular pair of dimension 3. Then*

- (i) *under the condition that $\kappa(K+D, V) \geq 0$, $K+D$ is ample if and only if $K+D$ is numerically positive; i.e. $(K+D) \cdot C > 0$ for all curves C on V ,*
- (ii) *under the condition that $\kappa(-K-D, V) \geq 0$, $-K-D$ is ample if and only if $-K-D$ is numerically positive.*

COROLLARY (cf. [4]). *Let (V, D) be as in the Theorem. Then (V, D) is a logarithmic Fano threefold if and only if the following two conditions are satisfied.*

- (a) *The linear system $|-K-D|$ is non-empty.*
- (b) *$-K-D$ is numerically positive.*

PROOF. The if part follows from the Theorem.

Let (V, D) be a logarithmic Fano threefold. Applying Norimatsu Vanishing ([5, Theorem 1]) we deduce

$$H^i(V, \mathcal{O}_V(-K-D))=0 \quad \text{for } i>0$$

and therefore

$$\dim H^0(V, \mathcal{O}_V(-K-D)) = \chi(\mathcal{O}_V(-K-D)).$$

In order to calculate $D \cdot c_2(V)$ we consider $\chi(\mathcal{O}_V(-D))$. From Riemann-Roch and Norimatsu Vanishing,

$$\begin{aligned} \dim H^0(V, \mathcal{O}_V(-D)) &= \chi(\mathcal{O}_V(-D)) \\ &= 1/6(-D)^3 - 1/4(-D)^2 \cdot K + 1/12(-D) \cdot (K^2 + c_2)_V + \chi(\mathcal{O}_V). \end{aligned}$$

Since $\chi(\mathcal{O}_V) = 1$ for V ([4, Corollary 2.2]), we obtain

$$D \cdot c_2 = 12 - D \cdot (D + K) \cdot (2D + K).$$

It follows that

$$\chi(\mathcal{O}_V(-K-D)) = 1/2(-K-D)^3 + 1/2(-K-D)^2 \cdot D + 2.$$

Hence $\dim H^0(V, \mathcal{O}_V(-K-D)) \geq 3$.

Q.E.D.

REMARKS. (1) The case $D=0$ in (i) of the theorem was a result of Wilson ([7, Proposition 2.3]).

(2) For two-dimensional non-singular pair (V, D) , we can derive that $\kappa(K+D, V) \geq 0$ (resp. $\kappa(-K-D, V) \geq 0$) from the numerical positivity of $K+D$ (resp. $-K-D$) by the classification theory of divisors on surfaces ([6, Theorem 2]). Hence, if $\dim V = 2$, then the same assertions of the above theorem hold even if we omit the conditions $\kappa(K+D, V) \geq 0$ in (i) and $\kappa(-K-D, V) \geq 0$ in (ii).

§1. Proof of (i).

The “only if” part being obvious, we shall prove the “if” part. The proof follows the idea of Wilson ([7]).

Since $K+D$ is numerically effective, we have $(K+D)^2 \cdot S \geq 0$ for all surfaces S (surfaces and curves are always irreducible in this paper). We first show that there is no surface S with $(K+D)^2 \cdot S = 0$.

Suppose that there exists such a surface S on V .

CLAIM 1. S is a fixed component of $|m(K+D)|$, provided that $|m(K+D)| \neq \emptyset$ for $m > 0$.

PROOF. There are only three possibilities:

- (1) $A \cap S = \emptyset$ for some $A \in |m(K+D)|$,
- (2) $A \cap S$ is a curve for some $A \in |m(K+D)|$,
- (3) $A \supset S$ for all $A \in |m(K+D)|$.

The cases (1) and (2) are impossible by hypothesis. Q.E.D.

Now we have $|m(K+D)| = |B| + rS$, where B is an effective divisor not containing S . Note $r \geq 1$ by Claim 1.

Thus $(K+D) \cdot B \cdot S + r(K+D) \cdot S^2 = m(K+D)^2 \cdot S = 0$ and therefore $(K+D) \cdot S^2 = -(K+D) \cdot B \cdot S \leq 0$.

Suppose that $(K+D) \cdot S^2 < 0$. By Riemann-Roch on S , we have

$$(*) \quad \chi(\mathcal{O}_S(n(K+D))) = 1/2(n(K+D) \cdot (D-S) \cdot S)_v + \chi(\mathcal{O}_S).$$

CLAIM 2. $\chi(\mathcal{O}_S(n(K+D))) \geq 1$ for sufficiently large n .

PROOF. Case (1): $D=0$. In this case, we have

$$\chi(\mathcal{O}_S(nK)) = -1/2 \cdot nK \cdot S^2 + \chi(\mathcal{O}_S).$$

Since $K \cdot S^2 < 0$, $\chi(\mathcal{O}_S(nK)) > 0$ for sufficiently large n .

Case (2): $D \not\subset S$. In this case, $D \cdot S$ is effective and so $(K+D) \cdot D \cdot S \geq 0$. By assumption, $(K+D) \cdot S^2 < 0$. Hence by (*), we obtain the result.

Case (3): $D=S$. Since $K_S \sim (K+D)|_S$, K_S is numerically positive. Hence there exists m such that $|mK_S| \neq \emptyset$, and clearly K_S is not numerically equivalent to 0. Thus $(K_S)^2_S > 0$. But this contradicts our assumption to the fact that $(K_S)^2_S = (K+D)^2 \cdot S = 0$.

Case (4): $D=S+D'$, where $D' \not\subset S$. In this case, (*) can be rewritten as follows:

$$\chi(\mathcal{O}_S(n(K+D))) = 1/2 \cdot n(K+D) \cdot D' \cdot S + \chi(\mathcal{O}_S).$$

If $D' \cdot S$ is a non-zero 1-cycle, then $(K+D) \cdot D' \cdot S > 0$. Hence we are through. If $D' \cdot S = 0$, then $\chi(\mathcal{O}_S(n(K+D))) = \chi(\mathcal{O}_S) \geq 1$ since S turns out to be a smooth surface of general type in this case. Q.E.D.

CLAIM 3. $(K+D) \cdot S^2 = 0$.

PROOF. Suppose that $(K+D) \cdot S^2 < 0$. By Serre duality ([2, p. 244]),

$$h^2(S, n(K+D)|_S) = h^0(S, -(n-1)(K+D)|_S + (S-D)|_S).$$

Since $(K+D)|_S$ is numerically positive, it follows that $|-(n-1)(K+D)|_S + (S-D)|_S| = \emptyset$ for sufficiently large n . Thus by Claim 2,

$$h^0(S, n(K+D)|_S) \geq \chi(\mathcal{O}_S(n(K+D))) > 0$$

for sufficiently large n .

Let Γ be a curve defined by a non-zero section of $H^0(S, n(K+D)|_S)$. If $\Gamma \neq \emptyset$, then $(K+D) \cdot \Gamma = n(K+D)^2 \cdot S = 0$. This contradicts the numerical

positivity of $K+D$. If $\Gamma=0$, we have $(K+D)\cdot C=((K+D)|_S\cdot C)_S=0$, for any curve C on S , which also contradicts the hypothesis. Q.E.D.

Let S_1, \dots, S_r be all surfaces which satisfy $(K+D)^2\cdot S_i=0$. In this case, $(K+D)\cdot S_i^2=0$ by Claim 3. Now we have $|m(K+D)|=|D_m|+\sum_{i=1}^r r_i S_i$, where D_m is an effective divisor not containing any S_i . Since $(K+D)\cdot (D_m+\sum_{i=1}^r r_i S_i)\cdot S_i=0$ for each i and by the numerical positivity of $K+D$, we have $D_m\cap S_i=\emptyset$, for any i , and $S_i\cap S_j=\emptyset$, for any $i\neq j$.

Now recall the following theorem, due to T. Fujita:

THEOREM ([1, Theorem 1.10]). *Let L be a line bundle on an algebraic scheme V . Suppose that the restriction of L to the base locus of $|L|$ is ample. Then nL is base point free for sufficiently large n .*

CLAIM 4. $Bs|nD_m|=\emptyset$ for $n\gg 0$.

PROOF. Let B be an irreducible component of the set $Bs|D_m|$. We show that $D_m|_B$ is ample.

Case (1): $\dim B=2$. Let C be a curve on B . Since C doesn't meet any S_i , we have

$$\begin{aligned} (D_m|_B\cdot C)_B &= \left(m(K_V+D) - \sum_{i=1}^r r_i S_i\right)\cdot C \\ &= (K_V+D)\cdot C > 0. \end{aligned}$$

Moreover,

$$(D_m|_B)^2_B = m^2(K+D)^2\cdot B.$$

This must be positive, since otherwise B must coincide with one of S_i 's. This contradicts the choice of D_m . Hence, by Nakai's criterion, $D_m|_B$ is ample in this case.

Case (2): $\dim B\leq 1$. Obvious.

By applying Fujita's theorem, we obtain Claim 4. Q.E.D.

Taking n and m as Claim 4, we have

$$nm(K+D)\sim nD_m + \sum_{i=1}^r nr_i S_i.$$

This can be also written as $nm(K+D)\sim D_{nm} + \sum_{i=1}^r r_i S_i$.

CLAIM 5. $nD_m\sim D_{nm}$.

PROOF. First we show that

$$H^0(nD_m) \cong H^0\left(nD_m + \sum_{j=1}^r nr_j S_j\right).$$

Let S' be an effective divisor with $S' \leq \sum_{j=1}^r nr_j S_j$.

Fix some S_i , say S , we have an exact sequence

$$0 \longrightarrow \mathcal{O}_V(nD_m + S') \longrightarrow \mathcal{O}_V(nD_m + S' + S) \longrightarrow \mathcal{O}_S(nD_m + S' + S) \longrightarrow 0.$$

Since nD_m and the S_j are all disjoint, we have

$$(nD_m + S' + S)|_S \sim r'S|_S$$

for some $r' > 0$.

Suppose that $r'S|_S$ is linearly equivalent to an effective curve Γ on S . Then we have

$$0 < (K + D) \cdot \Gamma = r'(K + D) \cdot S^2 = 0.$$

This is a contradiction.

Suppose next that $r'S|_S \sim 0$. Then for any curve C on S , we have

$$(K + D) \cdot C = (nD_m) \cdot C = 0.$$

This contradicts the hypothesis.

Thus, by induction on the number of components, we have

$$H^0(nD_m) \cong H^0\left(nD_m + \sum_{j=1}^r nr_j S_j\right).$$

This implies that $|nm(K + D)| = |nD_m| + \sum_{j=1}^r nr_j S_j$. Since nD_m doesn't contain any of S_j 's, nD_m coincides with D_{nm} . Q.E.D.

Now we may assume that $Bs|D_m| = \emptyset$ and the m -th logarithmic canonical mapping (cf. [3, 11.6])

$$\Phi_{|m(K+D)|}: V \longrightarrow W$$

is a morphism, which we denote by ψ . It is clear that ψ contracts the surfaces S_i to points on W .

Note that W is a threefold; otherwise we have a curve Γ lying on a fiber of ψ , which meets neither $D_m = \psi^*L$, L being a hyperplane section of W , nor any S_i . This implies that $m(K + D) \cdot \Gamma = D_m \Gamma + \sum_{i=1}^r r_i S_i \cdot \Gamma = 0$, a contradiction.

Take a general hyperplane section H on V . We know that H is a non-singular surface, $U = \psi(H)$ is a surface and $\psi|_H: H \rightarrow U$, contracts the reducible curves $H \cdot S_i$ to points on U . Hence, by [3, Theorem 8.5],

$(H \cdot S_i)^2_H = H \cdot S_i^2 < 0$. However, $H \cdot S_i$ are reducible curves and

$$m(K+D) \cdot H \cdot S_i = D_m \cdot H \cdot S_i + \sum_{j=1}^r r_j H \cdot S_j \cdot S_i = r_i H \cdot S_i^2 > 0,$$

which contradicts the above inequality.

Thus we have shown that $(K+D)^2 \cdot S > 0$ for all surfaces S on V . Since $\chi(K+D, V) \geq 0$, this gives also that $(K+D)^3 > 0$. Hence $K+D$ is ample by Nakai's criterion.

This completes the proof.

§2. Proof of (ii).

The proof in this case is quite similar to that in §1. We have only to replace $K+D$ by $-K-D$. But the proof of Claim 2 is slightly different.

Let V and D be as in the theorem and $-K-D$ satisfy the conditions of (ii) of the theorem. Let S be a surface (if exists) with $(-K-D)^2 \cdot S = 0$. Then we have

CLAIM 2'. $\chi(\mathcal{O}_S(n(-K-D))) \geq 1$ for sufficiently large n .

PROOF. By the similar calculation as in Claim 2, we have

$$\chi(\mathcal{O}_S(n(-K-D))) = 1/2 \cdot (n(-K-D)|_S \cdot (D-S)|_S) + \chi(\mathcal{O}_S).$$

Assume that $(-K-D) \cdot S^2 < 0$. In the case where $D=0$ or $D \not\supset S$, the proof of the above statement is easy. But in the case where $D=S$, we have to show that $\chi(\mathcal{O}_S) > 0$. Since $-K_S = (-K-D)|_S$ is numerically positive, we have $\kappa(-K_S, S) \geq 0$ (see Remark (2) in Introduction). This implies that $(-K_S)^2_S > 0$ and therefore $-K_S$ is ample. Hence S is a del Pezzo surface and therefore $\chi(\mathcal{O}_S) = 1$. The rest of the proof is easy so we omit this.

Q.E.D.

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