

## Differential Forms on Ringed Spaces of Valuation Rings

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### Introduction.

Given a field  $K$  and a subring  $A$  of  $K$ , we consider the set of valuation rings of  $K$  which contain  $A$ . This set has a structure of a local ringed space, denoted by  $\text{Zar}(K|A)$  (see [6] or [7]).

In this paper, we shall show that normal integral schemes  $(X, \mathcal{O}_X)$  proper over  $\text{Spec} A$  with rational function field  $K$  are quotient spaces of  $\text{Zar}(K|A)$  and  $\mathcal{O}_X = \Phi_{X*} \mathcal{O}_Z$ . Here  $\mathcal{O}_Z$  is the structure sheaf of  $Z = \text{Zar}(K|A)$  and  $\Phi_X: Z \rightarrow X$  is the quotient mapping. In order to show this, we introduce a category  $\mathcal{C}_0(K|A)$  of local ringed spaces, which contains both  $\text{Zar}(K|A)$  and all integral schemes proper over  $\text{Spec} A$  with rational function field  $K$  (see Theorems 1 and 1').

For objects  $X$  of  $\mathcal{C}_0(K|A)$ , we introduce sheaves  $\Omega_X^m$  of differential forms as in the case of schemes over  $\text{Spec} A$ . In particular if  $A$  is a perfect field and  $X$  is a regular scheme, then  $\Omega_X^m$  coincides with the ordinary sheaf of regular differential forms and  $\Omega_X^m = \Phi_{X*} \Omega_Z^m$  for any multi-index  $m$  (see Theorem 2). From this, the birational invariance of regular differential forms of regular varieties follows immediately.

To define structure sheaves on quotient spaces of  $\text{Zar}(K|A)$  and sheaves  $\Omega_X^m$  on objects  $X$  of  $\mathcal{C}_0(K|A)$  in a unified way, we shall introduce the notion of intersection sheaf in §0.

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**§0.** Let  $\mathcal{A}$  be the category of  $A$ -modules or the category of  $A$ -rings, where  $A$  is a commutative ring with unity. For an object  $N$  of  $\mathcal{A}$ , we denote by  $\text{Sub}_{\mathcal{A}}(N)$  the totality of subobjects of  $N$ . For a subset  $E$  of  $N$ , we put

$$\text{Sub}_{\mathcal{A}}(N|E) = \{M \in \text{Sub}_{\mathcal{A}}(N) \mid E \subset M\}.$$

Let  $(E_i)_{i \in I}$  be a family of subsets of  $N$ . Then

$$(1) \quad \text{Sub}_{\mathcal{A}}\left(N \Big| \bigcup_{i \in I} E_i\right) = \bigcap_{i \in I} \text{Sub}_{\mathcal{A}}(N|E_i),$$

$$(2) \quad \text{Sub}_{\mathcal{A}}\left(N \Big| \bigcap_{i \in I} E_i\right) \supseteq \bigcup_{i \in I} \text{Sub}_{\mathcal{A}}(N|E_i).$$

There exists a unique topology on  $\text{Sub}_{\mathcal{A}}(N)$  with open base

$$(3) \quad \Sigma = \{ \text{Sub}_{\mathcal{A}}(N|E) \mid E \text{ is a finite subset of } N \}.$$

In what follows, given a subset  $X$  of  $\text{Sub}_{\mathcal{A}}(N)$ , we consider the relative topology, which is called the Zariski topology on  $X$ . Then for  $M \in X$ , we obtain

$$(4) \quad \overline{\{M\}} = \{M' \in X \mid M' \subset M\}.$$

Hence  $X$  is a  $T_0$ -space. Let  $Y$  be an irreducible closed subset of  $X$  and put  $\xi_Y = \bigcup_{M \in Y} M$ . Then we obtain

$$(5) \quad Y = \{M \in X \mid M \subset \xi_Y\}.$$

Thus  $Y$  has a generic point if and only if  $\xi_Y \in X$ . In this case  $\xi_Y$  is the unique generic point of  $Y$ .

Let  $X$  be a topological space and let  $s: X \rightarrow \text{Sub}_{\mathcal{A}}(N)$  be a mapping (not necessary continuous). For any open subset  $V$  of  $X$ , we put

$$(6) \quad \mathcal{F}(V) = \begin{cases} \bigcap_{x \in V} s(x), & \text{where } V \neq \emptyset, \\ 0 & \text{where } V = \emptyset. \end{cases}$$

Let  $U$  and  $V$  be open subsets of  $X$  such that  $U \subset V$ . If  $U \neq \emptyset$ , then  $\mathcal{F}(V) \subset \mathcal{F}(U) \subset N$ , and we denote by  $\rho_{V,U}$  the inclusion mapping. If  $U = \emptyset$ , we define  $\rho_{V,U}$  to be the 0-mapping. Then it is clear that  $\mathcal{F}$  is an  $\mathcal{A}$ -valued presheaf on  $X$ . For a family  $(V_i)_{i \in I}$  of non empty open subsets of  $X$ , we have

$$(7) \quad \mathcal{F}\left(\bigcup_{i \in I} V_i\right) = \bigcap_{i \in I} \mathcal{F}(V_i).$$

**LEMMA 1.** *Let  $X, \mathcal{A}, N$  and  $s$  be as above. Then*

- (i) *the presheaf  $\mathcal{F}$  defined by (6) satisfies the local uniqueness conditions.*
- (ii) *For any non empty subset  $E$  of  $X$ , we have*

$$\text{ind.lim } \mathcal{F}(V) \simeq \bigcup_{V \supset E} \mathcal{F}(V) \subset \bigcap_{x \in E} s(x),$$

where  $V$  runs over all open subsets of  $X$  containing  $E$ . Especially if we put  $E = \{x\}$ , then

$$\mathcal{F}_x \simeq \bigcup_V \mathcal{F}(V) \subset s(x).$$

(iii) If  $X$  is either irreducible or empty, then  $\mathcal{F}$  satisfies the local existence conditions. Especially if  $\text{card } \mathcal{F}(X) \geq 2$ , then the converse holds.

(iv) The following three conditions are equivalent:

(a) The mapping  $s$  is continuous.

(b)  $\bigcup_{\substack{V: \text{open} \\ V \ni x}} \mathcal{F}(V) = s(x)$  for any  $x \in X$ .

(c)  $\bigcup_{\substack{V: \text{open} \\ V \supseteq E}} \mathcal{F}(V) = \bigcap_{x \in E} s(x)$  for any non empty subset  $E$  of  $X$ .

The proof is similar to that of Lemma 1 in [6].

**COROLLARY.** Suppose that  $X$  is irreducible and that  $s$  is continuous. Then  $\mathcal{F}$  is an  $\mathcal{A}$ -valued sheaf on  $X$  and  $\mathcal{F}_x = s(x)$  for any  $x \in X$ .

Thus we also have

$$(8) \quad \mathcal{F}(V) = \bigcap_{x \in V} \mathcal{F}_x,$$

for any non empty open subset  $V$  of  $X$ .

The sheaf  $\mathcal{F}$  is said to be the intersection sheaf of  $X$  with respect to the mapping  $s$ .

**LEMMA 2.** Let  $\mathcal{A}$  and  $N$  be as above. Let  $Y$  and  $X$  be irreducible topological spaces and let

$$(9) \quad \begin{array}{ccc} Y & \xrightarrow{f} & X \\ & \searrow s_Y & \downarrow s_X \\ & & \text{Sub}_{\mathcal{A}}(N) \end{array}$$

be a diagram in topological spaces (not necessarily commutative). Assume that  $\mathcal{F}_Y$  (resp.  $\mathcal{F}_X$ ) is the intersection sheaf of  $Y$  (resp.  $X$ ) with respect to  $s_Y$  (resp.  $s_X$ ).

(i) If  $s_X(f(y)) \subset s_Y(y)$  holds for any  $y \in Y$ , then there exists a morphism  $f^*: \mathcal{F}_X \rightarrow f_* \mathcal{F}_Y$  of sheaves on  $X$  such that  $f^*(V): \mathcal{F}_X(V) \rightarrow \mathcal{F}_Y(f^{-1}(V))$  is the inclusion mapping for any non empty open subset  $V$  of  $X$ . Then  $f_y^*: \mathcal{F}_{X, f(y)} \rightarrow \mathcal{F}_{Y, y}$  is also the inclusion mapping for any  $y \in Y$ .

(ii) If the triangle (9) commutes, then we have  $\mathcal{F}_Y = f^{-1} \mathcal{F}_X$ .

The proof is easy.

**§1.** Let  $K$  be a field and  $A$  a subring of  $K$ . We denote by  $\text{Loc}(K|A)$  the set of local subrings  $R$  of  $K$  which contain  $A$ . We do not assume  $QR = K$ , where  $QR$  is the quotient field of  $R$ . By Lemma 1, we have the local ringed space  $L = \text{Loc}(K|A)$  consisting

of the Zariski topology and the intersection sheaf  $\mathcal{O}_L$  with respect to the inclusion mapping:

$$\text{Loc}(K|A) \hookrightarrow \text{Sub}_{(A\text{-rings})}(K).$$

Next we consider the mapping  $\pi_{K|A}: \text{Loc}(K|A) \rightarrow \text{Spec } A$  defined by

$$(10) \quad \pi_{K|A}(R) = A \cap m(R), \quad \text{for } R \in \text{Loc}(K|A),$$

where  $m(R)$  is the unique maximal ideal of  $R$ . Then  $\pi_{K|A}$  is surjective and continuous. Moreover, we have

$$(11) \quad \tilde{A} = (\pi_{K|A})_* \mathcal{O}_L.$$

Letting  $\pi_{K|A}^*$  be the natural isomorphism in (11), we obtain a morphism  $(\pi_{K|A}, \pi_{K|A}^*)$  of local ringed spaces. By restriction, we also obtain a local ringed space  $\text{Zar}(K|A)$  and a morphism

$$(10') \quad \Phi_{K|A}: \text{Zar}(K|A) \rightarrow \text{Spec } A$$

of local ringed spaces. Then  $\Phi_{K|A}$  is a surjective and closed mapping (see Theorem 2.5 in [1] or Lemma 4 (p. 117) in [8]).

For a local ringed space  $(X, \mathcal{O}_X)$ , we define a mapping  $\pi_X: X \rightarrow \text{Spec } \mathcal{O}_X(X)$  by  $x \mapsto \rho_{X,x}^{-1}(m(\mathcal{O}_{X,x}))$ , where  $\rho_{X,x}: \mathcal{O}_X(X) \rightarrow \mathcal{O}_{X,x}$  are the canonical mappings. Then we consider the following condition for a local ringed space  $(X, \mathcal{O}_X)$ :

$$(12) \quad \begin{array}{l} X \text{ has an open base consisting of open sets } V \\ \text{such that } \pi_V \text{ is dominant.} \end{array}$$

This is the condition (8) in [7] (see also [7], sec. 2).

Both the local ringed spaces  $\text{Loc}(K|A)$  and  $\text{Zar}(K|A)$  satisfy the condition (12). We remark that  $\pi_L = \pi_{K|A}$  for  $L = \text{Loc}(K|A)$ .

**LEMMA 3.** *Let  $(X, \mathcal{O}_X)$  be an integral local ringed space satisfying the condition (12) and let  $L = \text{Loc}(\text{Rat } X | \mathcal{O}_X(X))$ . Then*

(i) *the mapping  $\Psi_X: X \rightarrow L$  defined by*

$$(13) \quad \Psi_X(x) = \mathcal{O}_{X,x}, \quad \text{for } x \in X$$

*is continuous, dominant and  $\mathcal{O}_X = \Psi_X^{-1} \mathcal{O}_L$  is the intersection sheaf of  $X$  with respect to  $\Psi_X$ .*

(ii) *There exists a morphism  $\Psi_X^*: \mathcal{O}_L \rightarrow \Psi_{X*} \mathcal{O}_X$  of sheaves on  $L$  such that  $(\Psi_X, \Psi_X^*): (X, \mathcal{O}_X) \rightarrow (L, \mathcal{O}_L)$  is a morphism of local ringed spaces over  $\text{Spec } \mathcal{O}_X(X)$ :*

$$\begin{array}{ccc} X & \xrightarrow{\Psi_X} & L \\ \pi_X \searrow & \circlearrowleft & \swarrow \pi_{\text{Rat } X | \mathcal{O}_X(X)} = \pi_L \\ & \text{Spec } \mathcal{O}_X(X) & \end{array}$$

The proof is easy from Lemma 7 in [7], Lemma 1, (iv) and Lemma 2.

**COROLLARY.** *For a topological space  $X$ , the following two conditions are equivalent:*

- (a) *There exists a sheaf  $\mathcal{O}_X$  of rings over  $X$  such that  $(X, \mathcal{O}_X)$  is an integral local ringed space satisfying the condition (12).*
- (b)  *$X$  is irreducible, and there exist a field  $K$  and a dominant continuous mapping  $s: X \rightarrow \text{Loc} K$ .*

For an integral domain  $A$ , we also write

$$(13') \quad \Psi_A = \Psi_{\text{Spec } A} : \text{Spec } A \rightarrow \text{Loc}(QA|A).$$

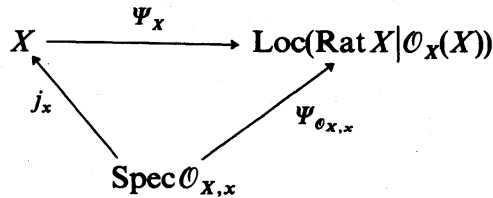
We consider the following condition for a local ringed space  $(X, \mathcal{O}_X)$ :

- (14) For any  $x \in X$ , there exists a morphism of local ringed spaces  $(j_x, j_x^*) : \text{Spec } \mathcal{O}_{X,x} \rightarrow X$  such that  $\text{Im}(j_x) = \{y \in X \mid x \in \overline{\{y\}}\}$  and  $(j_x^*)_P : \mathcal{O}_{X, j_x(P)} = (\mathcal{O}_{X,x})_P$  for any  $P \in \text{Spec } \mathcal{O}_{X,x}$ .

This is the condition (21) in [7] (see also Lemmas 8 and 9 in [7]).

In general, the local ringed space  $\text{Loc}(K|A)$  does not satisfy the condition (14), but  $\text{Zar}(K|A)$  satisfies (14).

Let  $(X, \mathcal{O}_X)$  be an integral local ringed space satisfying the conditions (12) and (14). Then the triangle:



commutes for any  $x \in X$ . Therefore  $j_x$  is an into-homeomorphism for any  $x \in X$ , because  $\Psi_{\mathcal{O}_{X,x}}$  is an into-homeomorphism.

**§2.** Let  $K$  be a field and  $A$  a subring of  $K$ . Then the category  $\mathcal{C}(K|A)$  was defined in [7] as follows.

The objects  $(X, \mathcal{O}_X)$  satisfy the following conditions:

- (15)  $X$  is a topological space with a generic point and satisfies the separable condition  $T_0$ .
- (16)  $(X, \mathcal{O}_X)$  is an integral local ringed space satisfying the conditions (12) and (14).
- (17)  $K = \text{Rat}(X, \mathcal{O}_X)$ .
- (18)  $A \subset \mathcal{O}_x(X)$  and the morphism  $X \rightarrow \text{Spec } A$  is valuative-proper.

The morphisms  $(f, \theta)$  satisfy the condition:

- (19)  $(f, \theta)$  is a dominant morphism of local ringed spaces over  $\text{Spec } A$  and  $\text{Rat}(f, \theta)$  is the identity mapping of  $K$ .

Let  $(X, \mathcal{O}_X)$  be an object of  $\mathcal{C}(K|A)$ . Then the mapping  $\Phi_X: \text{Zar}(K|A) \rightarrow X$  is defined by

- (20)  $\Phi_X(R) = x$  if and only if  $R$  dominates  $\mathcal{O}_{X,x}$

for any  $R \in \text{Zar}(K|A)$  and  $x \in X$  (see also Lemma 16 in [7]).

Since the triangle

$$(21) \quad \begin{array}{ccc} \text{Zar}(K|\mathcal{O}_{X,x}) & \xrightarrow{\Phi_X} & X \\ & \searrow \Phi_{K|\mathcal{O}_{X,x}} & \nearrow j_x \\ & & \text{Spec } \mathcal{O}_{X,x} \end{array}$$

commutes for any  $x \in X$ , we obtain:

$$(22) \quad \Phi_X^{-1}(x) = \Phi_{K|\mathcal{O}_{X,x}}^{-1}(m(\mathcal{O}_{X,x})), \quad \text{for any } x \in X.$$

$$(23) \quad \Phi_X(\text{Zar}(K|\mathcal{O}_{X,x})) = \text{Im}(j_x), \quad \text{for any } x \in X.$$

$$(24) \quad x \in \overline{\{y\}} \Leftrightarrow \mathcal{O}_{X,x} \subset \mathcal{O}_{X,y} \Leftrightarrow \mathcal{O}_{X,y} = (\mathcal{O}_{X,x})_P \text{ for some } P \in \text{Spec } \mathcal{O}_{X,x}, \\ \text{for any } x, y \in X.$$

$$(25) \quad \text{If } \mathcal{O}_{X,y} \text{ dominates } \mathcal{O}_{X,x}, \text{ then } x = y, \text{ for any } x, y \in X.$$

$$(26) \quad X \text{ is normal} \Leftrightarrow \mathcal{O}_X = \Phi_{X*} \mathcal{O}_Z \\ \Leftrightarrow \mathcal{O}_{X,x} = \bigcap_{R \in \Phi_X^{-1}(x)} R \text{ for any } x \in X.$$

DEFINITION. Let  $K$  be a field and  $A$  a subring of  $K$ . We denote by  $\mathcal{C}_0(K|A)$  the full subcategory of  $\mathcal{C}(K|A)$  consisting of all objects  $(X, \mathcal{O}_X)$  such that

$$(27) \quad \Phi_X: \text{Zar}(K|A) \rightarrow X \text{ is a quotient mapping.}$$

It is clear that  $\text{Zar}(K|A)$  is the initial object of  $\mathcal{C}_0(K|A)$  and all the morphisms of  $\mathcal{C}_0(K|A)$  are quotient mappings.

LEMMA 4. Let  $K, A$  and  $\mathcal{C}_0(K|A)$  be as above.

(i) Let  $X$  be a normal object of  $\mathcal{C}_0(K|A)$ . Then the equivalence relation  $\sim$  of  $\text{Zar}(K|A)$  associated to the mapping  $\Phi_X$  satisfies the following four conditions:

- (28) For any  $R \in \text{Zar}(K|A)$ , the ring  $\bigcap_{R' \sim R} R'$  is a local ring with quotient field  $K$  and

$$m\left(\bigcap_{R' \sim R} R'\right) = \bigcap_{R' \sim R} R' \cap m(R).$$

(29) For any  $\alpha \in K$ , the set  $\{R \in \text{Zar}(K|A) \mid R' \sim R \Rightarrow \alpha \in R'\}$  is open in  $\text{Zar}(K|A)$ .

(30)  $R_1 \sim R_2$  if and only if  $\bigcap_{R \sim R_1} R = \bigcap_{R \sim R_2} R$ , for any  $R_1, R_2 \in \text{Zar}(K|A)$ .

(31) 
$$\begin{aligned} \bigcap_{R \sim R_1} R \subset R_2 &\Leftrightarrow \bigcap_{R \sim R_1} R \subset \bigcap_{R \sim R_2} R \\ &\Leftrightarrow \bigcap_{R \sim R_2} R = \left( \bigcap_{R \sim R_1} R \right)_P \text{ for some } P \in \text{Spec} \left( \bigcap_{R \sim R_1} R \right) \\ &\Leftrightarrow p(R_1) \in \overline{\{p(R_2)\}} \text{ in } \text{Zar}(K|A)_{j\sim}, \\ &\text{for any } R_1, R_2 \in \text{Zar}(K|A). \end{aligned}$$

Here we denote by  $p: \text{Zar}(K|A) \rightarrow \text{Zar}(K|A)_{j\sim}$  the natural projection, and introduce the quotient topology for  $\text{Zar}(K|A)_{j\sim}$ .

(ii) Conversely, assume that an equivalence relation  $\sim$  of  $\text{Zar}(K|A)$  satisfies the conditions (28), (29), (30) and (31), and let  $X = \text{Zar}(K|A)_{j\sim}$ . Then there exists a sheaf  $\mathcal{O}_X$  of rings on  $X$  with respect to the quotient topology such that  $(X, \mathcal{O}_X)$  is a normal object in  $\mathcal{C}_0(K|A)$ .

PROOF. (i) Let  $R \in \text{Zar}(K|A)$  and put  $x = \Phi_X(R)$ . Then by (26), we obtain

$$(26') \quad \mathcal{O}_{X,x} = \bigcap_{R' \sim R} R' \subset R.$$

(28) is induced from Lemma 9 in [7], (20) and (26'). (29) is verified from (26') and the continuity of  $\Phi_X$  and  $\Psi_X$ . (30) follows immediately from (25) and (26'). (31) is proved by (23), (24) and (26').

(ii) By (28) and (29), we can define a continuous mapping  $q': \text{Zar}(K|A) \rightarrow \text{Loc}(K|A)$  by  $R \mapsto \bigcap_{R' \sim R} R'$ . Then by (30),  $q'$  induces a continuous injection  $q$  such that

$$\begin{array}{ccc} \text{Zar}(K|A) & \xrightarrow{q'} & \text{Loc}(K|A) \\ p \downarrow & \circlearrowleft & \nearrow q \\ X & & \end{array}$$

Let  $\mathcal{O}_X$  be the intersection sheaf of  $X$  with respect to  $q$ . Then  $(X, \mathcal{O}_X)$  becomes a normal object of  $\mathcal{C}_0(K|A)$ . Q.E.D.

We denote by  $\mathcal{M}_0(K|A)$  the totality of equivalence relations  $\sim$  on  $Z = \text{Zar}(K|A)$  satisfying the conditions (28), (29), (30) and (31). The set  $\mathcal{M}_0(K|A)$  is abbreviated as  $\mathcal{M}_0$ . For relations  $\sim_1, \sim_2 \in \mathcal{M}_0$ , we define  $\sim_1 \leq \sim_2$  if the following two conditions hold:

(32) If  $R \sim_2 R'$ , then  $R \sim_1 R'$ , for any  $R, R' \in Z$ ,

$$(33) \quad m\left(\bigcap_{R' \sim_1 R} R'\right) = \bigcap_{R' \sim_1 R} R' \cap m\left(\bigcap_{R'' \sim_2 R} R''\right), \quad \text{for any } R \in Z.$$

Then it is clear that  $(\mathcal{M}_0, \leq)$  is an ordered set.

**THEOREM 1.** *Let  $K$  be a field and  $A$  a subring of  $K$ .*

(i) *Take a normal object  $X$  of  $\mathcal{C}_0(K|A)$ . If  $\sim \in \mathcal{M}_0$  is the equivalence relation associated to  $\Phi_X$ , then we obtain an isomorphism  $\text{Zar}(K|A)_{j\sim} \simeq X$  of  $\mathcal{C}_0(K|A)$ .*

(i') *Take a relation  $\sim \in \mathcal{M}_0$ . Letting  $X = \text{Zar}(K|A)_{j\sim}$ , we see that the equivalence relation associated to  $\Phi_X$  coincides with the relation  $\sim$ .*

*Therefore, there exists a bijection between the totality of isomorphic classes of normal objects in  $\mathcal{C}_0(K|A)$  and the set  $\mathcal{M}_0$ .*

(ii) *Suppose that  $X_i$  and  $\sim_i$  ( $i=1, 2$ ) correspond to each other by the above mapping. Then there exists a morphism  $X_2 \rightarrow X_1$  of  $\mathcal{C}_0(K|A)$ , if and only if  $\sim_1 \leq \sim_2$ .*

**PROOF.** (i) It is clear that the mapping  $\text{Zar}(K|A)_{j\sim} \rightarrow X$  defined by  $p(R) \mapsto \Phi_X(R)$  is a homeomorphism. By (26') and the definition of the intersection sheaves, this is an isomorphism of  $\mathcal{C}_0(K|A)$ . (i') is induced from  $p = \Phi_X$ . (ii) is easy to verify.

**COROLLARY.** *Let  $\mathcal{C}_0^N(K|A)$  be the full subcategory of  $\mathcal{C}_0(K|A)$  consisting of all normal objects. Then we obtain an order-isomorphism:  $\mathcal{C}_0^N(K|A)_{j\sim} \simeq \mathcal{M}_0$ .*

Next we consider the schemes. Assume that

(34)  $A$  is noetherian and  $K$  is a finitely generated extension over  $QA$ .

Then we denote by  $\mathcal{C}_1(K|A)$  the category of integral schemes proper over  $\text{Spec } A$  with rational function field  $K$  (the morphisms are the same as in  $\mathcal{C}_0(K|A)$ ). Replacing "proper" by "projective", we obtain the category  $\mathcal{C}_2(K|A)$ .

Since  $\Phi_{K|B}$  defined by (10') is a closed mapping for any subring  $B$  of  $K$ ,  $\Phi_X: \text{Zar}(K|A) \rightarrow X$  is also a closed mapping for any object  $X$  of  $\mathcal{C}_1(K|A)$ . Therefore  $\mathcal{C}_1(K|A)$  and  $\mathcal{C}_2(K|A)$  are the full subcategories of  $\mathcal{C}_0(K|A)$ .

Let  $\mathcal{M}_1$  be the subset of  $\mathcal{M}_0$  consisting of all relations  $\sim \in \mathcal{M}_0$  satisfying the following condition:

- (35) For any  $R_0 \in \text{Zar}(K|A)$ , there exists a ring  $B$  such that
- (i)  $A \subset B \subset R_0$ ,  $B$  is of finite type over  $A$ .
  - (ii) there exists  $P \in \text{Spec } B$  such that  $B_P = \bigcap_{R' \sim_R} R'$ , if and only if  $B \subset R$ , for any  $R \in \text{Zar}(K|A)$ .
  - (iii)  $R_1 \sim R_2$  if and only if  $B \cap m(R_1) = B \cap m(R_2)$ , for any  $R_1, R_2 \in \text{Zar}(K|B)$ .

**THEOREM 1'.** *Supposing that  $K$  and  $A$  satisfy (34), we assume that a normal object*



$(X, \mathcal{O}_X)$  in  $\mathcal{C}_0(K|A)$  and a relation  $\sim \in \mathcal{M}_0$  correspond to each other by the mapping in Theorem 1. Then  $X$  is an object in  $\mathcal{C}_1(K|A)$  if and only if  $\sim \in \mathcal{M}_1$ .

PROOF. For any  $x_0 \in X$ , there exists  $R_0 \in \text{Zar}(K|A)$  such that  $x_0 = \Phi_X(R_0)$ . Let  $B$  be as in (35), and put  $V = \Psi_X^{-1}(\text{Im } \Psi_B)$ . Then  $V$  is an affine open neighborhood of  $x_0$  in  $X$ . Conversely, for any  $R_0 \in \text{Zar}(K|A)$ , we put  $x_0 = \Phi_X(R_0)$ . Let  $V$  be an affine open neighborhood of  $x_0$  in  $X$ , and put  $B = \mathcal{O}_X(V)$ . Then  $B$  satisfies (35). Q.E.D.

COROLLARY. *There exists an order-isomorphism:*

$$\mathcal{C}_1^N(K|A)_{\sim} \simeq \mathcal{M}_1.$$

§3. Let  $K$  be a field,  $A$  a subring of  $K$  and  $n = \dim \text{Zar}(K|A) \geq 1$ . Then  $Y$  is said to be a prime divisor of  $\text{Zar}(K|A)$ , if  $Y$  is an irreducible closed subset of  $\text{Zar}(K|A)$  and  $\dim Y = n - 1$ . It is also called a prime divisor of  $K|A$ .

If  $Y$  is a prime divisor of  $K|A$ , then by (4) and (5), there exists a unique  $R \in \text{Zar}(K|A)$  such that  $Y = \overline{\{R\}}$ . This  $R$  is also called a prime divisor of  $K|A$ . If necessary, we call  $R$  a Zariski prime divisor (see also [8], sec. 14).

Let  $X$  be an object of  $\mathcal{C}_0(K|A)$ . Then  $R$  is said to be a (Zariski) prime divisor of  $X$ , if  $R$  is a prime divisor of  $K|A$  and there exists an element  $x$  of  $X$  such that  $R = \mathcal{O}_{X,x}$ .

We denote by  $N(X)$  the totality of prime divisors  $R$  of  $X$  and  $K$ . The free abelian group  $\text{Div } X$  generated by the prime divisors of  $X$  is said to be the divisor group of  $X$ . This is an ordered abelian group. If  $X = \text{Zar}(K|A)$ , then we also write  $N(X) = N(K|A)$  and  $\text{Div } X = \text{Div}(K|A)$ .

Let  $f: Y \rightarrow X$  be a morphism of  $\mathcal{C}_0(K|A)$ . Then we have

$$(36) \quad N(X) \subset N(Y) \subset N(K|A),$$

$$(37) \quad \text{Div } X \subset \text{Div } Y \subset \text{Div}(K|A).$$

Therefore, we obtain a contravariant functor

$$\text{Div}: \mathcal{C}_0(K|A) \rightarrow (\text{Mod}).$$

Next we assume that

$$(34') \quad \begin{array}{l} A \text{ is of finite type over a subfield } k \text{ and} \\ K \text{ is finitely generated over } QA. \end{array}$$

Then we have

$$(38) \quad N(K|A) = N(K|k) \cap \text{Zar}(K|A).$$

LEMMA 5. *Suppose that  $K$  and  $A$  satisfy (34'). Then for any  $R_1, \dots, R_q \in N(K|A)$ , there exists an integrally closed integral domain  $B$  such that  $K = QB$ ,  $A \subset B \subset \bigcap_{i=1}^q R_i$ ,  $B$  is of finite type over  $A$  and  $R_i = B_{P_i}$  for some  $P_i \in \text{Spec } B$  ( $1 \leq i \leq q$ ).*

The proof is similar to that of Theorem 31 in [8].

**COROLLARY.** *Let  $\mathcal{C}$  be a subcategory of  $\mathcal{C}_1(K|A)$  satisfying the condition:*

- (39) *If a ring  $B$  is an intermediate ring between  $A$  and  $K$  such that  $QB=K$  and  $B$  is of finite type over  $A$ , then there exists an object  $X$  of  $\mathcal{C}$  and an affine open subset  $V$  of  $X$  such that  $B=\mathcal{O}_X(V)$ .*

Then

$$(40) \quad N(K|A) = \bigcup N(X),$$

$$(41) \quad \text{Div}(K|A) = \text{ind.lim Div } X,$$

where  $X$  runs over all the objects in  $\mathcal{C}$ .

Note that  $\mathcal{C}_1(K|A)$  and  $\mathcal{C}_2(K|A)$  satisfy the condition (39). See also Theorem 2 in [7].

Let  $K$  be a field,  $A$  a subring of  $K$  and  $N=N(K|A)$ . Then  $N$  is irreducible, because  $N$  has the generic point  $K$ . Therefore  $N$  becomes a local ringed space with the Zariski topology and the intersection sheaf  $\mathcal{O}_N$  with respect to the inclusion mapping:

$$N \hookrightarrow \text{Sub}_{(A\text{-rings})}(K).$$

**LEMMA 6.** *Suppose that  $K$  and  $A$  satisfy (34'). Let  $N=N(K|A)$  and take an object  $X$  from  $\mathcal{C}_1(K|A)$ . Then*

- (i) *the restriction mapping  $\Phi_X|_N: N \rightarrow X$  is surjective.*  
(ii) *If we put  $N_x = N \cap \Phi_X^{-1}(x)$  for any  $x \in X$ , then the integral closure of  $\mathcal{O}_{x,x}$  in  $K$  is  $\bigcap_{R \in N_x} R$ .*  
(iii) *If we put  $Z = \text{Zar}(K|A)$  and let  $i: N \rightarrow Z$  be the inclusion mapping, then we obtain*

$$(42) \quad \mathcal{O}_N = i^{-1}\mathcal{O}_Z = \mathcal{O}_Z|_N,$$

$$(43) \quad \mathcal{O}_Z = i_*\mathcal{O}_N.$$

**PROOF.** (i) and (ii) are proved in a way similar to the proof of Theorem 35 in [8]. (iii) is verified from Lemma 2 and (ii).

**COROLLARY.** (i) *If  $\dim \mathcal{O}_{x,x} = 1$ , then  $1 \leq \text{card } N_x \neq \infty$ . Especially if  $x$  is a normal point of  $X$ , then  $N_x = \{\mathcal{O}_{x,x}\}$  that is the one point set.*

(ii) *If  $\dim \mathcal{O}_{x,x} \geq 2$ , then there exists  $\alpha \in K$  such that the set  $\{R \in N_x \mid \alpha \notin R\}$  is infinite. Thus  $N_x$  is also infinite.*

**PROOF.** (i) is verified by using the similar method to the proof of Theorems 32 and 33 in [8]. (ii) is induced from Theorem 12.3 in [5].

**§4.** For a ring homomorphism  $A \rightarrow B$ , we denote by  $\Omega_{B|A}$  the  $B$ -module of regular differential forms of  $B$  over  $A$ . For a positive integer  $r$ , we denote by  $\Omega_{B|A}^r$  the  $r$ -th

exterior power of  $\Omega_{B|A}$  as  $B$ -modules. Moreover, for any multi-index  $m=(m_1, \dots, m_n)$  of non negative integers, we define

$$(44) \quad \Omega_A^m(B) = (\Omega_{B|A}^1)^{\otimes m_1} \otimes \dots \otimes (\Omega_{B|A}^n)^{\otimes m_n}.$$

Putting  $|m|=m_1+2m_2+\dots+nm_n$ , we have an  $A$ -multilinear mapping  $d_{B|A}^m: B^{|m|} \rightarrow \Omega_A^m(B)$  and

$$(45) \quad \Omega_A^m(B) = \sum_{x_1, \dots, x_{|m|} \in B} B d_{B|A}^m(x_1, \dots, x_{|m|}).$$

For any homomorphism  $\varphi: B_1 \rightarrow B_2$  of  $A$ -rings, there exists a homomorphism  $\Omega_A^m(\varphi)$  of  $B_1$ -modules such that

$$\begin{array}{ccc} B_1^{|m|} & \xrightarrow{\varphi^{|m|}} & B_2^{|m|} \\ d_{B_1|A}^m \downarrow & \circlearrowleft & \downarrow d_{B_2|A}^m \\ \Omega_A^m(B_1) & \xrightarrow{\Omega_A^m(\varphi)} & \Omega_A^m(B_2) \end{array}.$$

Thus a functor  $\Omega_A^m: (A\text{-rings}) \rightarrow (\text{Mod})$  is obtained.

For any multiplicative subset  $S$  of  $B$ , we have a commutative diagram of  $B$ -modules and an isomorphism of  $S^{-1}B$ -modules:

$$(46) \quad \begin{array}{ccc} \Omega_A^m(B) & & \\ \text{cano} \downarrow & \searrow \Omega_A^m(i) & \\ S^{-1}\Omega_A^m(B) & \simeq & \Omega_A^m(S^{-1}B) \end{array}$$

where  $i: B \rightarrow S^{-1}B$  is the canonical mapping. Especially if  $B$  is an integral domain,  $K=QB$  and  $i_{B|K}: B \rightarrow K$  is the inclusion mapping, then by (46),

$$(47) \quad \Omega_A^m(i_{B|K}) \text{ is injective if and only if } \Omega_A^m(B) \text{ is a torsion free } B\text{-module}.$$

LEMMA 7. Let  $B$  be a ring,  $A$  a subring of  $B$  and  $m$  a multi-index. Then the mapping  $s_{B|A}^m: \text{Sub}_{(\text{Rings})}(B|A) \rightarrow \text{Sub}_{(\text{Mod})}(\Omega_A^m(B))$  defined by

$$s_{B|A}^m(R) = \text{Im } \Omega_A^m(i_{R|B}), \quad \text{for } R \in \text{Sub}_{(\text{Rings})}(B|A)$$

is continuous. Here let  $i_{R|B}: R \rightarrow B$  denote the inclusion mapping.

PROOF. For any  $\omega \in \Omega_A^m(B)$ , we put  $V = \text{Sub}_{(\text{Mod})}(\Omega_A^m(B) | \{\omega\})$ . Then it suffices to prove that  $s_{B|A}^m{}^{-1}(V)$  is open in  $\text{Sub}_{(\text{Rings})}(B|A)$ . For any  $R \in s_{B|A}^m{}^{-1}(V)$ , there exists  $\omega_0 \in \Omega_A^m(R)$  such that  $\omega = \Omega_A^m(i_{R|B})(\omega_0)$ . By (45), we can write

$$\omega_0 = \sum_{i=1}^r y_i d_{R|A}^m(x_{i1}, \dots, x_{i|m}).$$

Put  $E = \{y_1, \dots, y_r, x_{11}, \dots, x_{r|m}\} \subset R$  and  $U = \text{Sub}_{(\text{Rings})}(B|A[E])$ . Then  $R \in U \subset s_{B|A}^m{}^{-1}(V)$ . Thus  $s_{B|A}^m{}^{-1}(V)$  is an open set. Q.E.D.

Let  $K$  be a field,  $A$  a subring of  $K$  and  $m$  a multi-index. For any object  $X$  of  $\mathcal{C}_0(K|A)$ , we consider the mapping  $s_X^m: X \rightarrow \text{Sub}_{(\text{Mod})}(\Omega_A^m(K))$  defined by

$$(48) \quad s_X^m(x) = \text{Im} \Omega_A^m(i_x), \quad \text{for } x \in X,$$

where  $i_x: \mathcal{O}_{X,x} \rightarrow K$  is the inclusion mapping. By Lemmas 3 and 7, the mapping  $s_X^m$  is continuous. Therefore we obtain the intersection sheaf  $\Omega_X^m$  of  $X$  with respect to  $s_X^m$ .

LEMMA 8. *Let  $K, A, m$  and  $X$  be as above. Then*

(i)  $\Omega_X^m$  is a sheaf of  $\mathcal{O}_X$ -modules.

(ii) For any  $x \in X$ ,  $\Omega_{X,x}^m \simeq \Omega_A^m(\mathcal{O}_{X,x})$  if and only if  $\Omega_A^m(\mathcal{O}_{X,x})$  is a torsion free  $\mathcal{O}_{X,x}$ -module.

PROOF. (i) is easy to prove. (ii) is induced from (47).

Let  $f: Y \rightarrow X$  be a morphism of  $\mathcal{C}_0(K|A)$ . Then by Lemma 2, we have

$$(49) \quad \Omega_X^m(V) \subset \Omega_Y^m(f^{-1}(V)),$$

for any open set  $V \neq \emptyset$ . Thus we also have

$$(49') \quad \Omega_X^m(X) \subset \Omega_Y^m(Y) \subset \Omega_Z^m(Z) \subset \Omega_A^m(K),$$

where  $Z = \text{Zar}(K|A)$ .

Let  $K$  be a field,  $A$  a subring of  $K$ ,  $Z = \text{Zar}(K|A)$ ,  $N = N(K|A)$ ,  $i: N \rightarrow Z$  the inclusion mapping and  $m$  a multi-index. Let  $\Omega_N^m$  be the intersection sheaf of  $N$  with respect to the mapping  $s_Z^m \circ i$ . Then  $\Omega_N^m$  is a sheaf of  $\mathcal{O}_N$ -modules and  $\Omega_N^m = i^{-1}\Omega_Z^m = \Omega_Z^m|_N$ . By Lemma 2, we also have

$$(50) \quad \Omega_Z^m(U) \subset \Omega_N^m(U \cap N),$$

for any open set  $U \neq \emptyset$  and hence

$$(50') \quad \Omega_Z^m(Z) \subset \Omega_N^m(N) \subset \Omega_A^m(K).$$

Finally, we consider regular forms on schemes. Let  $A$  be a ring and  $X$  a separated scheme over  $\text{Spec} A$ . Then there exists a sheaf  $\Omega_{X|A}^m$  of  $\mathcal{O}_X$ -modules such that

$$\Omega_{X|A}^m|_U \simeq \Omega_{U|A}^m \simeq \widetilde{\Omega_A^m(B)},$$

for any affine open subset  $U \simeq \text{Spec} B$  of  $X$ . (See [4], sec.5.3 and 5.4.) Hence we obtain

$$(51) \quad (\Omega_{X|A}^m)_x \simeq \Omega_A^m(\mathcal{O}_{X,x}),$$

for any  $x \in X$ .

REMARK. To avoid confusion, we denote by  $\Omega_X^m$  the intersection sheaf of an object  $X$  in  $\mathcal{C}_0(K|A)$  and by  $\Omega_{X|A}^m$  the sheaf of regular forms on a scheme  $X$  over  $\text{Spec} A$ .

**THEOREM 2.** *Let  $k$  be a perfect field,  $K$  a field finitely generated over  $k$ ,  $Z = \text{Zar}(K|k)$  and  $N = N(K|k)$ .*

(i) *Assume that there exists a regular object  $X$  of  $\mathcal{C}_1(K|k)$ . Then we obtain  $\Omega_{X|k}^m \simeq \Omega_X^m = \Phi_{X*} \Omega_Z^m = (\Phi_X|_N)_* \Omega_N^m$  for any multi-index  $m$ . Therefore  $\Omega_{X|k}^m(X)$  is a birational invariant.*

(ii) *If  $\mathcal{C}_1(K|k)$  has enough regular objects, i.e., for any object  $X$  of  $\mathcal{C}_1(K|k)$ , there exist a regular object  $Y$  and a morphism  $f: Y \rightarrow X$  of  $\mathcal{C}_1(K|k)$ , then  $\Omega_Z^m = i_* \Omega_N^m$  for any multi-index  $m$ . Note that  $\mathcal{C}_1(K|k)$  could be replaced by  $\mathcal{C}_2(K|k)$ .*

**PROOF.** (i) Since  $X$  is regular,  $\Omega_k^m(\mathcal{O}_{X,x})$  is a free  $\mathcal{O}_{X,x}$ -module for any  $x \in X$ . By Lemma 8, (ii) and (51), we obtain  $\Omega_{X|k}^m \simeq \Omega_X^m$ . By (49) and (50), we obtain

$$\Omega_X^m(V) \subset \Omega_Z^m(\Phi_X^{-1}(V)) \subset \Omega_N^m(\Phi_X^{-1}(V) \cap N),$$

for any non empty open subset  $V$  of  $X$ . Conversely, let  $\omega \in \Omega_N^m(\Phi_X^{-1}(V) \cap N)$ . For any  $x \in V$  and  $P \in \text{Spec } \mathcal{O}_{X,x}$  such that  $\text{ht } P = 1$ , we have  $(\mathcal{O}_{X,x})_P \in \Phi_X^{-1}(V) \cap N$ . Then  $\omega \in \Omega_k^m((\mathcal{O}_{X,x})_P) = \Omega_k^m(\mathcal{O}_{X,x})_P = (\Omega_{X,x}^m)_P$ . Since  $\Omega_{X,x}^m = \bigcap_{\text{ht } P = 1} (\Omega_{X,x}^m)_P$ , we have  $\omega \in \Omega_{X,x}^m$  and hence  $\omega \in \Omega_X^m(V)$ . Therefore we obtain

$$(52) \quad \Omega_X^m(V) = \Omega_Z^m(\Phi_X^{-1}(V)) = \Omega_N^m(\Phi_X^{-1}(V) \cap N).$$

(ii) Note that the set  $\{\Phi_X^{-1}(V) \mid X \text{ is a regular object of } \mathcal{C}_1(K|k) \text{ and } V \text{ is open in } X\}$  is an open base of the Zariski topology on  $Z$ . Thus by (52), we obtain  $\Omega_Z^m = i_* \Omega_N^m$ .  
 Q.E.D.

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