

## Cartan Embeddings of Compact Riemannian 3-Symmetric Spaces

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Dedicated to Professor Masaru Takeuchi on his sixtieth birthday

### Introduction.

Let  $G$  be a compact connected Lie group and  $\sigma$  be an automorphism on  $G$ . We put  $K = \{k \in G : \sigma(k) = k\}$ . A mapping  $g \mapsto g\sigma(g^{-1})$  of  $G$  into  $G$  naturally induces an embedding of  $G/K$  into  $G$ . We denote the embedding by  $\Psi_\sigma$  and call it the *Cartan embedding*.

If we assume that  $\sigma$  is an involutive automorphism, then  $\Psi_\sigma$  is a totally geodesic embedding. The author classified the compact irreducible symmetric pairs  $(G, K)$  such that the image of the corresponding Cartan embedding is a stable minimal submanifold of  $G$  ([4]).

In this paper, we study the similar problem for the case that  $G$  is a compact simple Lie group and  $\sigma$  is an automorphism of order 3. In this case, the image of the Cartan embedding is not necessarily a minimal submanifold. So we study

1. Is Cartan embedding a minimal embedding?
2. If it is a minimal embedding, then is the image a stable minimal submanifold?

### 1. Cartan embedding.

Let  $G$  be a compact connected simple Lie group and  $\sigma$  be an automorphism on  $G$ . We denote by  $\mathfrak{g}$  and  $\mathfrak{k}$  the Lie algebras of  $G$  and  $K = \{k \in G : \sigma(k) = k\}$  respectively. Take an  $Ad(G)$ -invariant and  $d\sigma$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{g}$ . We extend  $\langle \cdot, \cdot \rangle$  to a biinvariant Riemannian metric on  $G$  and denote it also by  $\langle \cdot, \cdot \rangle$ . Let  $\mathfrak{m}$  be the orthogonal complement of  $\mathfrak{k}$  in  $\mathfrak{g}$ . We identify the subspace  $\mathfrak{m}$  with the tangent space  $M_o$  of  $M$  at the origin  $o = eK$  by the projection  $G \rightarrow M = G/K$ . A  $G$ -invariant Riemannian metric  $g$  on  $M$  is said to be a *normal homogeneous metric* if it is associated with the restriction

of  $c^2 \langle \cdot, \cdot \rangle$  to  $\mathfrak{m}$  for some nonnegative constant  $c$ . The induced Riemannian metric by the Cartan embedding is not always a normal homogeneous metric.

LEMMA 1.1. *If  $\sigma$  is an automorphism of order 3, then we have*

$$\langle d\Psi_\sigma(X), d\Psi_\sigma(Y) \rangle = 3\langle X, Y \rangle \quad \text{for } X, Y \in \mathfrak{m}.$$

PROOF. Since  $d\sigma^2 + d\sigma + 1 = 0$  holds on  $\mathfrak{m}$ , we have

$$\begin{aligned} \langle d\Psi_\sigma(X), d\Psi_\sigma(Y) \rangle &= \langle (1 - d\sigma)X, (1 - d\sigma)Y \rangle \\ &= 2\langle X, Y \rangle - \langle d\sigma X, Y \rangle - \langle X, d\sigma Y \rangle \\ &= 2\langle X, Y \rangle - \langle X, (d\sigma + d\sigma^2)Y \rangle = 3\langle X, Y \rangle. \quad \square \end{aligned}$$

The following endomorphism on  $\mathfrak{m}$  naturally induces a  $G$ -invariant almost complex structure  $J$  on  $M$

$$J(X) = (1/\sqrt{3})(1 + 2d\sigma)X \quad \text{for } X \in \mathfrak{m}.$$

We call  $J$  the canonical almost complex structure on  $M$ . For a vector  $Z \in \mathfrak{g}$ , we denote by  $Z_{\mathfrak{k}}$  and  $Z_{\mathfrak{m}}$  the  $\mathfrak{k}$ -component and  $\mathfrak{m}$ -component of  $Z$  respectively.

LEMMA 1.2. *Let  $h$  be the second fundamental form of the Cartan embedding  $\Psi_\sigma$  corresponding to an automorphism  $\sigma$  of order 3. Then we have*

$$h(X, Y) = (\sqrt{3}/2)[JX, Y]_{\mathfrak{k}} \quad \text{for } X, Y \in \mathfrak{m}.$$

PROOF. We denote by  $L_g$  [resp.  $R_g$ ] the left [resp. right] translation by  $g \in G$ . For an element  $Y \in \mathfrak{g}$ , we define a vector field  $Y^*$  on  $G$  by

$$Y^*|_g = d/dt|_0 dL_{\exp tY} dR_{\sigma(\exp(-t)Y)g} = dL_g(Ad(g^{-1})Y - d\sigma Y).$$

Since  $L_g R_{\sigma(g^{-1})}$  ( $g \in G$ ) is an isometric transformation on  $G$  and  $\Psi_\sigma(g) = L_g R_{\sigma(g^{-1})} e$ ,  $Y^*$  is a Killing vector field on  $G$  and is tangent to  $\Psi_\sigma(M)$ . Put  $X' = d\Psi_\sigma(X) = X - d\sigma X$  for  $X \in \mathfrak{m}$ . Since the parallel translation  $\tau_t^0: T_e G \rightarrow T_{\exp tX} G$  along the geodesic  $t \mapsto \exp tX'$  is  $dL_{\exp(t/2)X'} dR_{\exp(t/2)X'}$ , we have

$$\begin{aligned} \nabla_{X'} dL_g Ad(g^{-1})Y &= d/dt|_0 \tau_t^0 dL_{\exp tX'} Ad((\exp tX')^{-1})Y \\ &= d/dt|_0 dL_{\exp(-t/2)X'} dR_{\exp(t/2)X'} Y = -(1/2)[X', Y]. \end{aligned}$$

Since  $\nabla_{X'} dL_g(-d\sigma Y) = -(1/2)[X', d\sigma Y]$ , we have

$$\begin{aligned} \nabla_{X'} Y^* &= -(1/2)[(1 - d\sigma)X, (1 + d\sigma)Y] \\ &= -(1/2)(1 - d\sigma)[X, Y] - (1/2)\{[X, d\sigma Y] - [d\sigma X, Y]\}. \end{aligned}$$

By the definition of  $J$

$$(1) \quad \nabla_{X'} Y^* = -(1/2)(1 - d\sigma)[X, Y] + (\sqrt{3}/4)\{[JY, X] - [Y, JX]\}.$$

Since we have ([6, p. 136])

$$[JX, Y]_m = -J[X, Y]_m = -[JY, X]_m, \quad [X, Y]_t = [JX, JY]_t,$$

we get the lemma by taking the normal component of (1). □

Next we classify the pair  $(G, \sigma)$  of compact simple Lie group  $G$  and automorphism  $\sigma$  on  $G$  of order 3, for which the corresponding Cartan embedding is a minimal embedding.

**Case 1.**  $\sigma$  is an inner automorphism. Let  $G$  be a compact connected simple Lie group and  $T$  be a maximal torus. Let  $\mathfrak{t}$  be the Lie algebra of  $T$ . We denote by  $\Sigma(\mathfrak{g})$  the set of all non-zero roots of  $\mathfrak{g}^{\mathbb{C}}$  with respect to  $\mathfrak{t}^{\mathbb{C}}$  and  $\Pi(\mathfrak{g}) = \{\alpha_1, \dots, \alpha_n\}$  the set of all simple roots. In this note, we adopt the same numberings of simple roots as that used by Wolf and Gray [6]. We denote by  $\alpha_0 = \sum_{j=1}^n m_j \alpha_j$  the highest root and put

$$\mathcal{D}_0 = \{H \in \sqrt{-1}\mathfrak{t} : \alpha_j(H) \geq 0 \ (1 \leq j \leq n), \alpha_0(H) \leq 1\}.$$

Then we may assume that  $\sigma = ad(\exp 2\pi\sqrt{-1}H)$  for some  $H \in \mathcal{D}_0$ .

**THEOREM A.** *Let  $G$  be a compact connected simple Lie group with a biinvariant Riemannian metric and  $\sigma = ad(\exp 2\pi\sqrt{-1}H)$  be an inner automorphism on  $G$  of order 3. Then the Cartan embedding*

$$\Psi_\sigma : G/K \longrightarrow G; \quad gK \longmapsto g \cdot \sigma(g^{-1})$$

is a minimal embedding if and only if  $(\mathfrak{g}, H, \mathfrak{k})$  is one of the following:

| g                     | H                                     | $\mathfrak{k}$                         |
|-----------------------|---------------------------------------|--|
| su(3m)    (m ≥ 1)     | (v <sub>m</sub> + v <sub>2m</sub> )/3 | su(m) + su(m) + su(m) + R <sup>2</sup> |
| so(4m + 1)    (m ≥ 1) | 2v <sub>m+1</sub> /3                  | su(m + 1) + so(2m - 1) + R             |
| sp(3m - 1)    (m ≥ 2) | 2v <sub>2m-1</sub> /3                 | su(2m - 1) + sp(m) + R                 |
| so(6m - 2)    (m ≥ 2) | 2v <sub>2m-1</sub> /3                 | su(2m + 1) + so(2m) + R                |
| so(8)                 | (v <sub>3</sub> + v <sub>4</sub> )/3  | su(2) + R <sup>2</sup>                 |
| e <sub>6</sub>        | v <sub>3</sub>                        | su(3) + su(3) + su(3)                  |
| e <sub>6</sub>        | (v <sub>1</sub> + v <sub>5</sub> )/3  | so(8) + R <sup>2</sup>                 |
| e <sub>7</sub>        | v <sub>3</sub>                        | su(3) + su(6)                          |
| e <sub>8</sub>        | v <sub>6</sub>                        | su(3) + e <sub>6</sub>                 |
| e <sub>8</sub>        | v <sub>8</sub>                        | su(9)                                  |
| f <sub>4</sub>        | v <sub>3</sub>                        | su(3) + su(3)                          |
| g <sub>2</sub>        | v <sub>1</sub>                        | su(3)                                  |

**PROOF.** Take a Weyl basis  $\{X_\alpha : \alpha \in \Sigma(\mathfrak{g})\}$  of  $\mathfrak{g}^{\mathbb{C}}$  mod  $\mathfrak{t}^{\mathbb{C}}$  with respect to  $\mathfrak{g}$  and put

$$S_\alpha = (X_\alpha - X_{-\alpha})/\sqrt{2}, \quad T_\alpha = -(X_\alpha + X_{-\alpha})/\sqrt{-2}.$$

Then  $\{S_\alpha, T_\alpha : \alpha(H)=1/3 \text{ or } 2/3\}$  is an orthonormal basis of  $\mathfrak{m}$  and if  $\alpha(H)=1/3$  [resp.  $2/3$ ] then  $J(S_\alpha)=T_\alpha$  [resp.  $-T_\alpha$ ].

By Lemma 1.2, the mean curvature vector of the Cartan embedding  $\Psi_\sigma$  is

$$\begin{aligned} & \frac{1}{2\sqrt{3} \dim M} \sum_{\alpha(H)=1/3, 2/3} ([J(S_\alpha), S_\alpha] + [J(T_\alpha), T_\alpha]) \\ &= \frac{1}{\sqrt{3} \dim M} \left( - \sum_{\alpha(H)=1/3} [S_\alpha, T_\alpha] + \sum_{\alpha(H)=2/3} [S_\alpha, T_\alpha] \right) \\ &= \frac{1}{\sqrt{-3} \dim M} \left( \sum_{\alpha(H)=1/3} H_\alpha - \sum_{\alpha(H)=2/3} H_\alpha \right). \end{aligned}$$

Thus the Cartan embedding  $\Psi_\sigma$  is a minimal embedding if and only if

$$(2) \quad \sum_{\alpha(H)=1/3} H_\alpha = \sum_{\alpha(H)=2/3} H_\alpha.$$

In particular, if  $\alpha_0(H)=1/3$  then the Cartan embedding  $\Psi_\sigma$  is not a minimal embedding.

The classification of inner automorphisms of order 3 on compact simple Lie algebras are given in [6, pp. 88–89]. The theorem is proved by the inspection of each case. We give here an

**EXAMPLE.**  $\mathfrak{g} = \mathfrak{su}(n)$ ,  $x = (v_p + v_q)/3$  ( $1 \leq p \leq q < n$ ). Taking a suitable orthonormal base  $e_1, \dots, e_n$  of  $\mathfrak{t} = \mathbf{R}^n$ , we have

$$\begin{aligned} \Pi(\mathfrak{g}) &= \{ \alpha_i = e_i - e_{i+1} : 1 \leq i \leq n \}, \\ \Sigma(\mathfrak{g}) &= \{ \pm(e_i - e_j) : 1 \leq i < j \leq n \}, \\ v_i &= (e_1 + e_2 + \dots + e_i) - (i/n)(e_1 + e_2 + \dots + e_n). \end{aligned}$$

We have

$$\begin{aligned} \sum_{\alpha(H)=2/3} (e_i - e_j) &= \sum_{i=1}^p \sum_{j=q+1}^n (e_i - e_j) \\ &= (n-q)(e_1 + e_2 + \dots + e_p) - p(e_{q+1} + e_{q+2} + \dots + e_{n+1}), \\ \sum_{\alpha(H)=1/3} (e_i - e_j) &= \sum_{i=1}^p \sum_{j=p+1}^q (e_i - e_j) + \sum_{i=p+1}^q \sum_{j=q+1}^n (e_i - e_j) \\ &= (q-p)(e_1 + \dots + e_p) + (n-p-q)(e_{p+1} + \dots + e_q) \\ &\quad - (q-p)(e_{q+1} + \dots + e_n). \end{aligned}$$

Thus  $\sum_{\alpha(H)=2/3} \alpha = \sum_{\alpha(H)=1/3} \alpha$  holds if and only if  $n=3p$  and  $q=2p$ . □

**REMARK 1.3.** For each pair  $(\mathfrak{g}, H)$  listed in Theorem A,

$$\sum_{\alpha(H)=1/3} H_\alpha = \sum_{\alpha(H)=2/3} H_\alpha = kH$$

holds for some positive constant  $k$ .

**Case 2.**  $\sigma$  is an outer automorphism. Outer automorphisms of order 3 on compact simple Lie groups are classified. We denote by **Cay** the Cayley algebra. Take an orthonormal basis  $\{e_0 = 1, e_1, \dots, e_7\}$  of **Cay** such that

$$\begin{aligned} e_1e_2 = e_3, \quad e_1e_4 = e_5, \quad e_1e_6 = e_7, \quad e_2e_5 = e_7, \\ e_2e_6 = e_4, \quad e_3e_4 = e_7, \quad e_3e_5 = e_6. \end{aligned}$$

Let  $G_{ij}$  be a skew-symmetric transformation on **Cay** defined by  $G_{ij}(e_k) = \delta_{jk}e_i - \delta_{ik}e_j$ . Define skew-symmetric transformations  $F_{ij}$  on **Cay** as follows:

$$F_{i0}(x) = -F_{0i}(x) = (1/2)e_ix, \quad F_{ij}(x) = (1/2)e_j(e_ix) \quad (i, j > 0).$$

Then a linear mapping  $\tau$  with

$$\tau(G_{i0}) = F_{0i}, \quad \tau(G_{ij}) = F_{ij} \quad (i, j > 0),$$

is an outer automorphism on  $\mathfrak{so}(8)$  of order 3 (called the *triality automorphism*). We denote also by  $\tau$  the induced automorphism on  $Spin(8)$ .

We put  $\tau' = \tau \circ ad(\exp 4\pi(G_{45} - G_{67})/3)$  and denote also by  $\tau'$  the induced automorphism on  $Spin(8)$ .

If a compact simple Lie algebra  $\mathfrak{g}$  has an outer automorphism of order 3 then  $\mathfrak{g}$  is isomorphic to  $\mathfrak{so}(8)$ . An automorphism of order 3 on  $\mathfrak{so}(8)$  is conjugate to  $\tau$  or  $\tau'$  in the full group of automorphisms of  $\mathfrak{so}(8)$  and is conjugate to  $\tau^\pm$  or  $\tau'^\pm$  by an inner automorphism. Compact Lie group, whose Lie algebra is isomorphic to  $\mathfrak{so}(8)$ , is  $Spin(8)$ ,  $SO(8)$  or  $Ad(SO(8))$ . The automorphism  $\tau$  (resp.  $\tau'$ ) induces an automorphism on  $Spin(8)$  and  $Ad(SO(8))$ . We also denote by  $\tau$  (resp.  $\tau'$ ) the induced automorphism.

**THEOREM B.** *Let  $G$  be either  $Spin(8)$  or  $Ad(SO(8))$ . Then the Cartan embeddings*

$$\begin{aligned} \Psi_\tau: G/G_2 &\longrightarrow G \\ \Psi_{\tau'}: G/(SU(3)/Z_3) &\longrightarrow G \end{aligned}$$

are minimal embeddings.

**PROOF.** We may assume that  $G = Spin(8)$ .

**Case  $\tau$ .** For each  $a$  ( $1 \leq a \leq 7$ ), choose  $i, j, k, l, m, n$  such that  $\{a, i, j, k, l, m, n\} = \{1, 2, 3, 4, 5, 6, 7\}$  and  $e_a = e_i e_j = e_k e_l = e_m e_n$  and put

$$X_a = G_{a0}, \quad Y_a = (G_{ij} + G_{kl} + G_{mn})/\sqrt{3}, \quad 1 \leq a \leq 7.$$

By a direct computation, we see that the vectors  $\{X_a, Y_a : 1 \leq a \leq 10\}$  form an orthonormal basis of  $\mathfrak{m}$ . Since

$$F_{01} = (G_{01} + G_{23} + G_{45} + G_{67})/2, \quad F_{23} = (G_{01} + G_{23} - G_{45} - G_{67})/2,$$

$$F_{45} = (G_{01} - G_{23} + G_{45} - G_{67})/2, \quad F_{67} = (G_{01} - G_{23} - G_{45} + G_{67})/2,$$

we have  $J(X_1) = Y_1$  and  $[J(X_1), Y_1] = 0$ . Similarly we have  $J(X_a) = Y_a$  and  $[J(X_a), Y_a] = 0$  ( $1 \leq a \leq 7$ ). Thus the Cartan embedding  $\Psi_\tau$  is a minimal embedding.

Case  $\tau'$ . By a direct computation, we see that the following vectors  $\{X_i, Y_i : 1 \leq i \leq 10\}$  form an orthonormal basis of  $\mathfrak{m}$  with  $J(X_i) = Y_i$  ( $1 \leq i \leq 10$ ).

$$X_1 = (G_{23} + G_{45} + G_{67})/\sqrt{3}, \quad Y_1 = G_{01},$$

$$X_2 = (G_{31} + G_{64} + G_{57})/\sqrt{3}, \quad Y_2 = G_{02},$$

$$X_3 = (G_{12} + G_{47} + G_{56})/\sqrt{3}, \quad Y_3 = G_{03},$$

$$X_4 = (G_{64} - G_{57})/\sqrt{2}, \quad Y_4 = (G_{47} - G_{56})/\sqrt{2},$$

$$X_5 = (G_{14} - G_{72})/\sqrt{2}, \quad Y_5 = (G_{51} - G_{26})/\sqrt{2},$$

$$X_6 = (G_{71} - G_{42})/\sqrt{2}, \quad Y_6 = (G_{16} - G_{25})/\sqrt{2},$$

$$X_7 = (G_{14} + G_{72} - 2G_{63})/\sqrt{6}, \quad Y_7 = (G_{51} - G_{26} - 2G_{73})/\sqrt{6},$$

$$X_8 = (G_{71} + G_{42} - 2G_{35})/\sqrt{6}, \quad Y_8 = (G_{16} - G_{25} - 2G_{34})/\sqrt{6},$$

$$X_9 = G_{04}/\sqrt{2} - (G_{14} + G_{72} + G_{63})/\sqrt{6},$$

$$Y_9 = G_{05}/\sqrt{2} + (G_{51} + G_{26} + G_{73})/\sqrt{6},$$

$$X_{10} = G_{07}/\sqrt{2} - (G_{71} + G_{42} + G_{35})/\sqrt{6},$$

$$Y_{10} = G_{06}/\sqrt{2} + (G_{16} + G_{25} + G_{34})/\sqrt{6}.$$

With the above basis, we see that  $\Psi_{\tau'}$  is a minimal embedding. □

## 2. Stability.

In this section, we classify the Riemannian 3-symmetric pairs to which the corresponding Cartan embeddings are stable minimal embeddings.

Let  $\{M_t\}$  be a smooth variation of  $M = G/K$  in  $G$  and  $V$  be its variational vector field. Take a local orthonormal frame  $\{e_i\}$  of  $M$  and define a section  $S$  and  $A$  of  $\text{End}(N(M))$  by

$$\langle S(\xi), \eta \rangle = \sum_i \langle R^G(e_i, \xi)e_i, \eta \rangle, \quad \langle A(\xi), \eta \rangle = \sum_{i,j} \langle h(e_i, e_j), \xi \rangle \langle h(e_i, e_j), \eta \rangle$$

for  $\xi, \eta \in N(M)$ . We denote by  $\Delta^{N(M)}$  the rough Laplacian of the normal connection on  $N(M)$ . Then the second variational formula is given by

$$(3) \quad d^2 \text{Vol}(M_t)/dt^2|_{t=0} = \int_M \langle (-\Delta^{N(M)} + S - A)(V^N), V^N \rangle d\text{vol}_M.$$

The differential operator  $-\Delta^{N(M)} + S - A$  is called the *Jacobi differential operator* and is denoted by  $\mathcal{J}$ . It is easily verified that  $\mathcal{J}$  is a  $G$ -homogeneous, self-adjoint, strongly elliptic linear differential operator of  $\Gamma(N(M))$ . Hence it has discrete eigenvalues

$$\lambda_1 < \lambda_2 < \dots \rightarrow \infty$$

and all eigenspaces are of finite dimension. We denote by  $E_\lambda$  the eigenspace of  $\mathcal{J}$  corresponding to  $\lambda$  and we call the number  $i(M) = \sum_{\lambda < 0} \dim E_\lambda$  the *index* of  $M$  in  $G$ . A minimal submanifold  $M$  with  $i(M) = 0$  is said to be a *stable* minimal submanifold of  $G$ .

Let  $\tilde{G}$  be the universal covering group of  $G$  and  $p: \tilde{G} \rightarrow G$  be the covering map. We denote by  $\tilde{\sigma} \in \text{Aut}(\tilde{G})$  the lift of  $\sigma$  and put  $\tilde{K} = \{k \in \tilde{G} : \tilde{\sigma}(k) = k\}$ . If  $\sigma$  is an inner automorphism then the homogeneous space  $G/K$  is simply connected, since it has a  $G$ -invariant almost complex structure ([6, p. 95]). We have the unique lift  $\tilde{\Psi}_\sigma: G/K \rightarrow \tilde{G}$  of the Cartan embedding  $\Psi_\sigma$ . Furthermore, we have  $G/K = \tilde{G}/\tilde{K}$  and  $\tilde{\Psi}_\sigma$  coincides with the Cartan embedding  $\Psi_{\tilde{\sigma}}: \tilde{G}/\tilde{K} \rightarrow \tilde{G}$ . Thus  $\Psi_\sigma(G/K)$  is a stable minimal submanifold if and only if  $\Psi_{\tilde{\sigma}}(\tilde{G}/\tilde{K})$  is a stable minimal submanifold. If  $\sigma$  is an outer automorphism then the pair  $(G, \sigma)$  is either  $(Spin(8), \tau)$  or  $(Spin(8), \tau')$ .

**THEOREM C.** *Let  $G$  be a compact simple Lie group and  $\sigma$  be an automorphism of order 3 on  $G$ . Then the image of the Cartan embedding*

$$\Psi_\sigma: G/K \rightarrow G; \quad g \mapsto g \cdot \sigma(g^{-1})$$

*is a stable minimal submanifold of  $G$  if and only if  $(\tilde{G}, \tilde{\sigma})$  is one of the following:*

| $\tilde{G}$ | $\tilde{\sigma}$            | $\tilde{K}$                               |
|-------------|-----------------------------|---|
| $E_6$       | $ad(\exp 2\pi\sqrt{-1}v_3)$ | $\{SU(3) \times SU(3) \times SU(3)\}/Z_3$ |
| $E_7$       | $ad(\exp 2\pi\sqrt{-1}v_3)$ | $\{SU(3) \times SU(6)\}/Z_3$              |
| $E_8$       | $ad(\exp 2\pi\sqrt{-1}v_6)$ | $\{SU(3) \times E_6\}/Z_3$                |
| $E_8$       | $ad(\exp 2\pi\sqrt{-1}v_8)$ | $SU(9)/Z_3$                               |
| $G_2$       | $ad(\exp 2\pi\sqrt{-1}v_1)$ | $SU(3)$                                   |
| $Spin(8)$   | $\tau$                      | $G_2$                                     |
| $Spin(8)$   | $\tau'$                     | $SU(3)/Z_3$                               |

where  $\tilde{G}$  is the universal covering group of  $G$  and  $\tilde{\sigma}$  is the lift of  $\sigma$  on  $G$ .

We divide the proof into two cases.

Case 1.  $K$  has non-trivial center.

PROPOSITION 2.1. *Let  $G$  be a compact simple Lie group and  $\sigma = \text{ad}(\exp 2\pi\sqrt{-1}H)$  be an automorphism of order 3. If  $K = K_\sigma$  has non-trivial center, then the image  $\Psi_\sigma(M)$  of the Cartan embedding is an unstable minimal submanifold of  $G$ .*

PROOF. Let  $\mathfrak{g}$  and  $\mathfrak{k}$  be the Lie algebras of  $G$  and  $K$  respectively. We denote by  $\mathfrak{m}$  the orthogonal complement of  $\mathfrak{k}$  in  $\mathfrak{g}$ . Define a normal vector field  $\tilde{H}$  along  $\Sigma$  by

$$\tilde{H}|_{\mathfrak{gK}} = dL_g(dR_{\sigma(g^{-1})}(H)).$$

Consider a surface  $(t, s) \mapsto \exp tX \exp(-s)d\sigma X$  and a vector field  $\bar{H}$  along this surface defined by

$$\bar{H}(t, s) = dL_{\exp tX} dR_{\exp(-s)d\sigma X} H.$$

Then we have

$$\begin{aligned} \nabla_{d\Psi_\sigma(X)} \tilde{H} &= \nabla_{d\Psi_\sigma(X)} \bar{H} = \nabla_{X - d\sigma X} \bar{H} \\ &= \nabla_X \bar{H} - \nabla_{d\sigma(X)} \bar{H} = (1/2)[X, H] - (1/2)[-d\sigma X, H] \\ &= (1/2)[(1 + d\sigma)(X), H] \in [\mathfrak{m}, H] \subset \mathfrak{m}. \end{aligned}$$

This implies  $\nabla_{d\Psi_\sigma(X)}^\perp H = 0$  and  $\nabla^\perp \tilde{H} = 0$  for  $\tilde{H}$  is  $G$ -invariant. Let  $\Psi_t$  be a one parameter variation of  $\Psi_\sigma$  whose variational vector field is  $\tilde{H}$ . Since  $\tilde{H}$  is a parallel normal vector field, we have

$$d^2/dt^2|_{t=0} \text{Vol}(\Psi_t(M)) = \langle S(\tilde{H}) - A(\tilde{H}), \tilde{H} \rangle|_o \cdot \text{Vol}(M).$$

Since  $R^G(X, Y)Z = (-1/4)[[X, Y], Z]$  for  $X, Y, Z \in \mathfrak{g}$ , we have

$$\begin{aligned} S(H)|_o &= -(1/4) \sum_{\alpha(H)=1/3, 2/3} ([X_\alpha, H], X_\alpha] + [[Y_\alpha, H], Y_\alpha]) \\ &= -(1/2) \sum_{\alpha(H)=1/3, 2/3} \alpha(H)H_\alpha = -(1/2)kH \end{aligned}$$

and

$$\begin{aligned} A(H)|_o &= -(1/2) \sum_{\alpha(H)=1/3, 2/3} (\langle [J(X_\alpha), X_\alpha], H \rangle [J(X_\alpha), X_\alpha] \\ &\quad + \langle [J(Y_\alpha), Y_\alpha], H \rangle [J(Y_\alpha), Y_\alpha]) \\ &= -(1/6) \sum_{\alpha(H)=1/3, 2/3} \alpha(H)H_\alpha = -(1/6)kH \end{aligned}$$

where we put  $\sum_{\alpha(H)=1/3} H_\alpha = kH$  by Remark 1.3. Thus we have

$$d^2/dt^2|_{t=0} \text{Vol}(\Psi_t(M)) = -(1/3)k\|H\|^2 \cdot \text{Vol}(M) < 0.$$

Case 2.  $K$  has trivial center. Ikawa calculated the Jacobi differential operator under the following condition;

1. Let  $G$  [resp.  $U$ ] be a compact connected Lie group with Lie algebra  $\mathfrak{g}$  [resp.



- u] and  $K$  [resp.  $L$ ] be a closed Lie subgroup with Lie algebra  $\mathfrak{f}$  [resp.  $\mathfrak{l}$ ],
- 2. the Riemannian metrics on the homogeneous spaces  $M=G/K$  and  $P=U/L$  are normal homogeneous metrics,
- 3. let  $\rho$  be a homomorphism  $G \rightarrow U$  with  $\rho(K) \subset L$  and the mapping  $f : G/K \rightarrow U/L; gK \mapsto \rho(g)U$  is a minimal immersion.

Let  $\mathfrak{m}$  [resp.  $\mathfrak{p}$ ] be the orthogonal complement of  $\mathfrak{f}$  in  $\mathfrak{g}$  [resp.  $\mathfrak{l}$  in  $\mathfrak{u}$ ]. We denote by  $\mathfrak{m}^\perp$  the orthogonal complement of

$$\{(\rho_*(X))_p : X \in \mathfrak{m}\} = \{(X, -X) : X \in \mathfrak{m}\}$$

in  $\mathfrak{p}$ . Then  $(\mathfrak{m}^\perp, (Ad \circ \rho)^\perp)$  is a representation of  $K$  and the normal bundle  $N(M)$  of the immersion  $f$  is identified with the associated bundle  $G \times_{(Ad \circ \rho)^\perp} \mathfrak{m}^\perp$ . Let  $C^\infty(G; \mathfrak{m}^\perp)$  be the space of smooth  $\mathfrak{m}^\perp$ -valued functions on  $G$  and  $C^\infty(G; \mathfrak{m}^\perp)_K$  be the subset of elements with  $f(uk) = Ad(k^{-1})f(u)$  for  $u \in G$  and  $k \in K$ . A section  $\xi$  of  $N(M)$  is identified with an element of  $C^\infty(G; \mathfrak{m}^\perp)_K$  in a natural manner. Each element of the Lie algebra  $\mathfrak{g}$  acts on  $C^\infty(G; \mathfrak{m}^\perp)$  as a left invariant differential operator. The action of  $\mathfrak{g}$  on  $C^\infty(G; \mathfrak{m}^\perp)$  is extended to that of the universal enveloping algebra  $U(\mathfrak{g})$  of  $\mathfrak{g}$  in a natural manner. An element  $L \otimes X$  of  $\text{Hom}(\mathfrak{m}^\perp, \mathfrak{f}) \otimes U(\mathfrak{g})$  acts, as a differential operator, on  $C^\infty(G; \mathfrak{m}^\perp)$  by

$$(L \otimes X)(f) = L(Xf), \quad f \in C^\infty(G; \mathfrak{m}^\perp).$$

LEMMA 2.2 ([2]). *If we assume that  $(U, L)$  is a compact symmetric pair, then the Jacobi differential operator of the minimal immersion  $f : G/K \rightarrow U/L; gK \mapsto \rho(g)U$  is given as follows*

$$\mathcal{J}\phi = (-1 \otimes C + ad_u(C) \otimes 1 - 2\mathcal{J}_1)\phi$$

where

$$\mathcal{J}_1\phi = \sum_{i=1}^p [\rho_* E_i, E_i \phi]_{\mathfrak{m}^\perp} + \sum_{i=1}^p [\rho_* E_i, [\rho_* E_i, \phi]]_{\mathfrak{m}^\perp}.$$

We put

$$U = G \times G, \quad L = \{(g, g) : g \in G\},$$

$$\mathfrak{l} = \{(X, X) : X \in \mathfrak{g}\}, \quad \mathfrak{p} = \mathfrak{l}^\perp = \{(X, -X) : X \in \mathfrak{g}\},$$

and define a homomorphism  $\rho$  of  $G$  into  $U$  by  $\rho(g) = (g, \sigma(g))$ . The Cartan embedding is an equivariant embedding with respect to  $\rho$  and we have

$$\mathfrak{m}^\perp = \{(X, -X) : X \in \mathfrak{f}\}.$$

Take an orthonormal basis  $\{E_i\}_{1 \leq i \leq p}$  of  $\mathfrak{g}$  such that  $\{E_i\}_{1 \leq i \leq m}$  is an orthonormal basis of  $\mathfrak{m}$  and  $\{E_i\}_{m+1 \leq i \leq p}$  is an orthonormal basis of  $\mathfrak{f}$ . We denote by  $C$  the Casimir element  $\sum_{i=1}^p E_i E_i$  of the universal enveloping algebra  $U(\mathfrak{g})$  of  $\mathfrak{g}$ .

We denote by  $D(G)$  the set of all equivalence classes of the complex irreducible representations of  $G$  and by  $(V(\lambda), \lambda)$  a representative of  $\lambda$  in  $D(G)$ . By Schur's lemma

$\lambda(C)$  is a scalar operator. We denote by  $a_\lambda$  the eigenvalue of  $\lambda(C)$  on  $V(\lambda)$ .

LEMMA 2.3. *Let  $\sigma$  be an automorphism of order 3 on a compact simple Lie group  $G$  and  $\Psi_\sigma$  be the corresponding Cartan embedding. Then*

(1) *the Jacobi differential operator of the Cartan embedding is*

$$\mathcal{J} = -1 \otimes C + ad_u(C) \otimes 1,$$

(2) *the index  $i(M)$  is given as follows:*

$$i(M) = \sum_{\lambda \in D(G), a_\lambda > a_{\alpha_0}} \dim \text{Hom}_K(V(\lambda), (\mathfrak{f}^\perp)^\mathbb{C}) \dim V(\lambda).$$

PROOF. (1) Put  $\phi = \sum_{j=m+1}^p f_j(E_j, -E_j)$ ,  $f_j \in C^\infty(G)$ . For  $E_i \in \mathfrak{m}$ , we have

$$\begin{aligned} [\rho_* E_i, \phi]_{\mathfrak{m}^\perp} &= [(\rho_* E_i)_l + (\rho_* E_i)_p, \phi]_{\mathfrak{m}^\perp} = [(\rho_* E_i)_l, \phi]_{\mathfrak{m}^\perp} \\ &= (1/2) \sum_{j=m+1}^p f_j((1+d\sigma)[E_i, E_j], -(1+d\sigma)[E_i, E_j])_{\mathfrak{m}^\perp} = 0. \end{aligned}$$

Similarly we have  $[\rho_* E_i, E_i \phi]_{\mathfrak{m}^\perp} = 0$ . For  $E_i \in \mathfrak{f}$ , we have

$$(E_i \phi)(g) = d/dt|_0 \phi(g \exp t E_i) = -[\rho_*(E_i), \phi(g)] \in \mathfrak{m}^\perp.$$

Thus we have

$$[\rho_*(E_i), E_i \phi(g)]_{\mathfrak{m}^\perp} + [\rho_* E_i, [\rho_*(E_i), \phi(g)]]_{\mathfrak{m}^\perp} = 0.$$

Thus we have  $J_1 = 0$ .

(2) is a consequence of (1) and the Frobenius reciprocity theorem ([5, p. 118]).  $\square$

Let  $\mathfrak{u}$  be a complex simple Lie algebra and  $\mathfrak{v}$  be a complex simple Lie subalgebra. Take an  $ad_u$ -invariant [resp.  $ad_v$ -invariant] inner product  $\langle \cdot, \cdot \rangle_u$  [resp.  $\langle \cdot, \cdot \rangle_v$ ] on  $\mathfrak{u}$  [resp.  $\mathfrak{v}$ ] such that the square of the length of the longest root is equal to 2. Since  $\langle \cdot, \cdot \rangle_u$  is also  $ad_v$ -invariant, there exists a complex number  $j$  such that  $\langle X, Y \rangle_u = j \langle X, Y \rangle_v$  for any  $X, Y \in \mathfrak{v}$ . It is known that the number  $j$  is a positive integer, and is called the *index* of  $\mathfrak{v}$  in  $\mathfrak{u}$  (in the sense of Dynkin).

PROPOSITION 2.4. *Let  $G$  be a compact simple Lie group and  $\sigma$  be an automorphism on  $G$  of order 3. If the subgroup  $K = K_\sigma$  is semi-simple and each simple factor of  $\mathfrak{f}^\mathbb{C}$  is a subalgebra of  $\mathfrak{g}^\mathbb{C}$  of index 1, then  $\Psi_\sigma(G/K)$  is a stable minimal submanifold of  $G$ .*

The proof of this proposition is the same as that of Theorem in [4]. For the sake of convenience, we shall briefly sketch the proof.

Assume that  $\Sigma(G/K)$  is an unstable minimal submanifold of  $G$ . Then by Lemma 2.2, there exists  $\lambda \in D(G)$  such that

$$\dim \text{Hom}_K(V(\lambda), \mathfrak{f}^\mathbb{C}) > 0 \quad \text{and} \quad a_\lambda > a_{\alpha_0}.$$

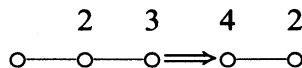
In our case  $\mathfrak{f}$  is a simple Lie algebra or a direct sum of simple Lie subalgebras. We take a direct sum decomposition of  $\mathfrak{f}$  by simple ideals:  $\mathfrak{f} = \mathfrak{f}_1 \oplus \cdots \oplus \mathfrak{f}_s$ . Let  $K_i$  ( $1 \leq i \leq s$ ) be the subgroup of  $K$  generated by  $\mathfrak{f}_i$ . Since  $\dim \text{Hom}_K(V(\lambda), \mathfrak{f}^{\mathbb{C}}) > 0$ , we have  $\dim \text{Hom}_{K_i}(V(\lambda), \mathfrak{f}_i^{\mathbb{C}}) > 0$  for some  $i$  ( $1 \leq i \leq s$ ). By the following Lemma 2.5, we have  $a_\lambda \leq a_{\alpha_0}$  which is a contradiction.

Lemma 2.5. ([4]). *Let  $G$  be a compact simple Lie group and  $K$  be a closed subgroup of index 1. Let  $\lambda \in D(G)$  and  $V(\lambda)$  be its representative. If  $V(\lambda)$  contains  $(\mathfrak{f}^{\mathbb{C}}, Ad_K)$  as a  $K$ -irreducible component, then we have*

$$a_\lambda \leq a_{\alpha_0} .$$

PROPOSITION 2.6. *The Cartan embedding corresponding to the inner automorphism  $ad(\exp 2\pi\sqrt{-1}v_3)$  on  $F_4$  is an unstable minimal embedding.*

PROOF. Since the extended Dynkin diagram of  $\mathfrak{f}_4$  is



the Lie algebra  $\mathfrak{f}$  of the subgroup  $K$  of elements fixed by the automorphism  $\sigma = Ad(\exp 2\pi\sqrt{-1}v_2)$  is  $\mathfrak{su}(3) + \mathfrak{su}(3)$ . As  $K$ -modules, the irreducible representation  $V(\varpi_4)$  decomposes as follows ([3, p. 306]):

$$\begin{array}{cccccccccccc} 1 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ (\circ - \circ & \circ - \circ) \oplus (\circ - \circ & \circ - \circ) \oplus (\circ - \circ & \circ - \circ) . \end{array}$$

Thus we have  $\dim \text{Hom}_K(V(\varpi_4), \mathfrak{f}^{\mathbb{C}}) = 1$ . On the other hand, the highest weight of the adjoint representation of  $\mathfrak{f}_4$  is  $\varpi_1$ . Since we have  $a_{\alpha_0} = a_{\varpi_1} = 6$  and  $a_{\varpi_4} = 18$ , the corresponding Cartan embedding is an unstable minimal embedding.

The proof of Theorem C is completed by summing up Theorem A, Theorem B, Proposition 2.1, Proposition 2.4 and Proposition 2.6.

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