

## A Remark on Naive Height of a Polarized Abelian Variety and its Applications

By Masami FUJIMORI<sup>\*</sup>)

Mathematical Institute, Tohoku University

(Communicated by Shokichi IYANAGA, M. J. A., Sept. 12, 1997)

**Introduction.** The aim of the present paper is to give an inequality between certain heights of isogeneous polarized abelian varieties defined over a number field (Theorem 0.1 below). As an application we obtain a generalization (Theorem 0.3 below) of the theorem of Masser and David concerning the number of rational points of small height on a simple polarized abelian variety (Theorem 0.5 below).

Let  $A$  be a  $g$ -dimensional abelian variety defined over a number field  $k$ . Let  $\mathcal{M}$  be a very ample line bundle of degree  $d$  over  $A$ . Then  $(A, \mathcal{M})$  determines a polarized abelian variety. By extending the base field if necessary, we have a *theta-structure* on  $(A, \mathcal{M})$  (see [5, p. 297]). When a theta-structure  $s$  is fixed, a basis  $(\theta_{s,i})_{i=1}^d$  for the  $k$ -vector space  $\Gamma(A, \mathcal{M})$  of global sections of  $\mathcal{M}$  is uniquely determined up to a constant (see Section 1 below), hence determines an embedding of  $A$  into the  $(d - 1)$ -dimensional projective space  $\mathbf{P}^{d-1}$ . The *naive height*  $h_n$  of the triple  $(A, \mathcal{M}, s)$  is defined by the absolute logarithmic Weil height of the  $k$ -valued point  $(\theta_{s,i}(0))_{i=1}^d$  in  $\mathbf{P}^{d-1}$ .

Throughout this paper,  $k$  denotes a number field of finite degree  $\Delta = [k : \mathbf{Q}]$ .

The fundamental result of this paper is the following. (The superscript below indicates the inverse image of a line bundle by a morphism [8, p. 110].)

**Theorem 0.1.** *Let  $A$  and  $B$  be  $g$ -dimensional abelian varieties over  $k$ ,  $f$  be an isogeny of  $A$  onto  $B$ , and  $\mathcal{M}$  and  $\mathcal{N}$  be very ample line bundles over  $A$  and  $B$ , respectively, such that  $\mathcal{M} \simeq f^*\mathcal{N}$ . For a theta-structure  $t$  on  $(B, \mathcal{N})$  which is compatible with a theta-structure  $s$  on  $(A, \mathcal{M})$ , we have*

$$h_n(A, \mathcal{M}, s) \geq h_n(B, \mathcal{N}, t).$$

---

1991 Mathematics Subject Classification. Primary 11G10; Secondary 14G05, 14K15.

<sup>\*</sup>) Partially supported by Research Fellowships of the Japan Society for the Promotion of Science for Young Scientists.

The exact meaning of the compatibility of theta-structures is defined in Section 1.

**Remark 0.2.** For the Faltings stable height  $h_{st}$ , we know

$$h_{st}(A) \geq h_{st}(B) - \frac{1}{2} \log \deg f \quad \text{cf. [2, Lemma 5].}$$

□

Two theorems below are main applications of Theorem 0.1. We denote by  $q_A$  the quadratic part of a Néron-Tate height on  $A$ . We define the *naive height*  $h_n$  of the pair  $(A, \mathcal{M})$  by the minimum of  $h_n(A, \mathcal{M}, s)$ . We know that the number of theta-structures is finite (see [5, p. 297]). The rotation  $\otimes^4$  means the tensor product of 4 copies of a line bundle [8, p. 153].

**Theorem 0.3.** *Let  $\mathcal{L}$  be an arbitrary ample line bundle over  $A$  and set  $\mathcal{M} := (\mathcal{L} \otimes (-1)^* \mathcal{L})^{\otimes 4}$ . Assume that  $A$  is simple and a theta-structure on  $(A, \mathcal{M})$  is defined over  $k$ . There exists a positive constant  $C = C(g)$  such that for any finite extension field  $F$  of  $k$  of degree  $D = [F : k]$  we have*

$$\begin{aligned} & \# \{P \in A(F) \mid q_A(\mathcal{L}, P) < \frac{1}{C \Delta D}\} \\ & < C \deg \mathcal{L} \cdot h_n(A, \mathcal{M})^{3g/2} \Delta^{3g/2} \\ & \quad (1 + \log \Delta)^g D^g (1 + \log D)^g. \end{aligned}$$

**Theorem 0.4.** *Under the same assumptions as those of Theorem 0.3 we have a positive constant  $C = C(g)$  such that*

$$\begin{aligned} & \min_{A(F) \ni P: \text{non-torsion}} q_A(\mathcal{L}, P) \\ & > \frac{C}{h_n(A, \mathcal{M})^{3g} \Delta^{3g+1} (1 + \log \Delta)^{2g} D^{2g+1} (1 + \log D)^{2g}}. \end{aligned}$$

The proof of these theorems is based on the next theorem 0.5 due to Masser [3] and David [1], which is a special case of Theorem 0.3. It seems difficult to us to generalize directly the method of [1] to prove them, but as we shall show below, they follow easily from our theorem 0.1.

**Theorem 0.5** (Masser-David). *Let  $B$  be a  $g$ -dimensional simple abelian variety over a number field  $k$  and  $\mathcal{N}$  be an ample line bundle over  $B$  of de-*

gree  $8^g$  of type  $(8, \dots, 8)$ . Suppose that a theta-structure on  $(B, \mathcal{N})$  is defined over the base field  $k$ . Then there is a positive constant  $C = C(g)$  such that

$$\begin{aligned} & \# \{Q \in B(F) \mid q_B(\mathcal{N}, Q) < \frac{1}{C \Delta D}\} \\ & < C \cdot h_n(B, \mathcal{N})^{3g/2} \Delta^{3g/2} (1 + \log \Delta)^g D^g (1 + \log D)^g. \end{aligned}$$

As for elliptic curves, see [4], too.

**1. Naive height of a polarized abelian variety.** Let  $k$  be a number field and  $A$  be a  $g$ -dimensional abelian variety over  $k$ . We denote by  $\mathcal{M}$  a very ample line bundle over  $A$  of type  $\delta$  [5, p. 294], where  $\delta$  is a finite sequence  $(d_1, \dots, d_g)$  of positive rational integers  $d_i$  such that  $d_{i+1}$  divides  $d_i$ . Let  $\mathcal{G}(\mathcal{M})$  be the theta group associated with  $\mathcal{M}$  [5, p. 289]. It is a group scheme over  $k$  [7, p. 225] which acts on  $\mathcal{M}$ . We assume that a primitive  $d_1$ -th root of unity is already in  $k$  and the group  $\mathcal{G}(\mathcal{M})(k)$  of  $k$ -rational points of  $\mathcal{G}(\mathcal{M})$  is isomorphic to the group  $\mathcal{G}(\delta)(k)$  below, in which case we say a theta-structure is defined over  $k$ .

Let  $K(\delta)(k) := \bigoplus_{i=1}^g d_i^{-1} \mathbf{Z}/\mathbf{Z}$  and  $\tilde{K}(\delta)(k) := \text{Hom}(K(\delta)(k), \mathbf{G}_m(k))$ . As set, the group  $\mathcal{G}(\delta)(k)$  equals  $\mathbf{G}_m(k) \times \tilde{K}(\delta)(k) \times K(\delta)(k)$ . It acts naturally on a finite dimensional  $k$ -vector space  $V(\delta)(k) := \text{Map}(K(\delta)(k), \mathbf{A}^1(k))$ , which induces a multiplication law on  $\mathcal{G}(\delta)(k)$  [5, pp. 294-297]. We see that the abelian groups  $K(\delta)(k)$  and  $\tilde{K}(\delta)(k)$  are subgroups of  $\mathcal{G}(\delta)(k)$ . An isomorphism  $s: \mathcal{G}(\mathcal{M})(k) \simeq \mathcal{G}(\delta)(k)$  is called a theta-structure [5, p. 297]. Via  $s$ , the group  $\mathcal{G}(\delta)(k)$  acts also on the  $k$ -vector space  $\Gamma(A, \mathcal{M})$  of global sections of  $\mathcal{M}$ .

**Proposition 1.1.** *Once a theta-structure  $s$  is fixed, the  $k$ -vector space  $\Gamma(A, \mathcal{M})$  is isomorphic to the  $k$ -vector space  $V(\delta)(k)$  as  $\mathcal{G}(\delta)(k)$ -modules. The isomorphism is unique up to multiplication by a constant in  $k$ .*

*Proof.* [5, pp. 295-297]. □

Let  $Q_x$  be an element of  $V(\delta)(k)$  defined as

$$Q_x(y) := \begin{cases} 1 & \text{if } x = y \\ 0 & \text{otherwise.} \end{cases}$$

The set  $(Q_x)_{x \in K(\delta)(k)}$  forms a basis of the  $k$ -vector space  $V(\delta)(k)$ . Let  $(\theta_{s,x})_{x \in K(\delta)(k)}$  be a subset of  $\Gamma(A, \mathcal{M})$  that consists of the elements which correspond to  $Q_x$  under an isomorphism in Proposition 1.1.

**Definition 1.2.** The naive height  $h_n$  of  $(A, \mathcal{M}, s)$  is the absolute logarithmic Weil height of

the  $k$ -valued point  $(\theta_{s,x}(0))_{x \in K(\delta)(k)}$  in the projective space  $\mathbf{P}^{d-1}$ , where  $d$  is the dimension of  $\Gamma(A, \mathcal{M})$  which is equal to  $\text{deg } \mathcal{M}$ .

By Proposition 1.1, the real number  $h_n(A, \mathcal{M}, s)$  is well-defined.

**Remark 1.3.** At Archimedean places the values  $\theta_{s,x}(0)$  are the classical *Thetanullwerte*  $\theta_{mn}(\tau, 0)$ . □

Let  $H$  be any subgroup of  $\mathcal{G}(\mathcal{M})(k)$  such that  $s(H) \hookrightarrow \tilde{K}(\delta)(k) \subset \mathcal{G}(\delta)(k)$  for a fixed theta-structure  $s$ . We divide  $A$  and  $\mathcal{M}$  by  $H$ ; indeed, there exist an isogeny  $f$  of  $A$  onto an abelian variety  $B$  over  $k$  and an ample line bundle  $\mathcal{N}$  over  $B$  such that  $\text{deg } f = \#H$ ,  $f^*\mathcal{N} \simeq \mathcal{M}$ , and the  $k$ -vector space  $\Gamma(B, \mathcal{N})$  is identified with the  $H$ -invariant subspace of  $\Gamma(A, \mathcal{M})$  under  $f^*$  [5, pp. 290-291].

Let  $\mathcal{G}(\mathcal{M})(k)^*$  be the normalizer of  $H$  in  $\mathcal{G}(\mathcal{M})(k)$  and  $L := K(\delta)(k) \cap s(\mathcal{G}(\mathcal{M})(k)^*)$ . The group  $\mathcal{G}(\mathcal{M})(k)^*$  acts naturally on

$$\Gamma(B, \mathcal{N}) \simeq \Gamma(A, \mathcal{M})^H.$$

In fact, we have [5, p. 291]

$$\mathcal{G}(\mathcal{M})(k)^*/H \simeq \mathcal{G}(\mathcal{N})(k).$$

On the other hand, we see

$$s(\mathcal{G}(\mathcal{M})(k)^*/H) = \mathbf{G}_m(k) \times \tilde{K}(\delta)(k)/s(H) \times L$$

and we have

$$\tilde{K}(\delta)(k)/s(H) \simeq \text{Hom}(L, \mathbf{G}_m(k))$$

by definition. This leads to the existence of a theta-structure  $t$  on  $(B, \mathcal{N})$ .

**Definition 1.4.** The theta-structures  $s$  on  $(A, \mathcal{M})$  and  $t$  on  $(B, \mathcal{N})$  are compatible if  $B$  and  $\mathcal{N}$  are the quotients of  $A$  and of  $\mathcal{M}$ , respectively, by a subgroup of  $s^{-1}(\tilde{K}(\delta)(k))$  and if  $t$  is induced by  $s$  taking the subquotients.

**Proof of Theorem 0.1.** Let  $(\theta_{s,x})_{x \in K(\delta)(k)}$  and  $(\theta_{t,y})_{y \in L}$  be sets of global sections of  $\mathcal{M}$  and  $\mathcal{N}$ , respectively, as described before. Note that  $L$  is a subgroup of  $K(\delta)(k)$ . By using Theorem 4 of [5, p. 302], there is a constant  $\lambda \in k$  such that  $(\lambda \cdot \theta_{t,y})_{y \in L}$  is a subset of  $(\theta_{s,x})_{x \in K(\delta)(k)}$  via  $f^*: \Gamma(B, \mathcal{N}) \hookrightarrow \Gamma(A, \mathcal{M})$ . The definition of the Weil height yields the proof. □

**2. Proofs of Theorem 0.3 and Theorem 0.4.**

**Proof of Theorem 0.3.** Fix a theta-structure  $s$  of  $\mathcal{G}(\mathcal{M})(k)$  satisfying

$$h_n(A, \mathcal{M}, s) = h_n(A, \mathcal{M}).$$

As indicated in the previous section, we have an isogeny  $f: A \rightarrow B$ , an ample line bundle  $\mathcal{N}$  over  $B$  of type  $(8, \dots, 8)$ , and a theta-structure  $t$  of  $\mathcal{G}(\mathcal{N})(k)$  which is compatible with  $s$  such that

$$\deg f = \deg \mathcal{L} \quad \text{and} \quad f^*\mathcal{N} \simeq \mathcal{M}.$$

As a line bundle of type  $(8, \dots, 8)$  is automatically very ample [6, pp. 83–84], the naive height is defined. A property of the Néron–Tate heights shows

$$q_A(\mathcal{M}, P) = q_A(f^*\mathcal{N}, P) = q_B(\mathcal{N}, f(P))$$

for  $P \in A(F)$ .

Thus we have

$$\begin{aligned} & \# \{P \in A(F) \mid q_A(\mathcal{M}, P) < \frac{1}{C \Delta D}\} \\ & \leq \deg f \cdot \# \{Q \in B(F) \mid q_B(\mathcal{N}, Q) < \frac{1}{C \Delta D}\} \\ & = \deg \mathcal{L} \cdot \# \{Q \in B(F) \mid q_B(\mathcal{N}, Q) < \frac{1}{C \Delta D}\}. \end{aligned}$$

By virtue of the Masser–David theorem, we obtain

$$\begin{aligned} & \# \{P \in A(F) \mid q_A(\mathcal{M}, P) < \frac{1}{C \Delta D}\} \\ & < \deg \mathcal{L} \cdot C \cdot h_n(B, \mathcal{N})^{3g/2} \Delta^{3g/2} (1 + \log \Delta)^g \\ & \quad D^g (1 + \log D)^g \\ & \leq \deg \mathcal{L} \cdot C \cdot h_n(B, \mathcal{N}, t)^{3g/2} \Delta^{3g/2} \\ & \quad (1 + \log \Delta)^g D^g (1 + \log D)^g. \end{aligned}$$

Together with the additive law of Néron–Tate heights, we get

$$\begin{aligned} q_A(\mathcal{M}, P) &= q_A((\mathcal{L} \otimes (-1)^*\mathcal{L})^{\otimes 4}, P) \\ &= 4 \cdot q_A(\mathcal{L}, P) + 4 \cdot q_A((-1)^*\mathcal{L}, P) \\ &= 4 \cdot q_A(\mathcal{L}, P) + 4 \cdot q_A(\mathcal{L}, -P) \\ &= 8 \cdot q_A(\mathcal{L}, P). \end{aligned}$$

Theorem 0.1 gives the desired inequality.  $\square$

**Proof of Theorem 0.4.** Notation being as above, for  $P \in A(F)$  we have

$$q_A(\mathcal{L}, P) = \frac{1}{8} q_B(\mathcal{N}, f(P)).$$

By Theorem 0.1, it suffices to prove the theorem

for  $(B, \mathcal{N})$ . The conclusion is immediate by an easy argument using the theorem of Masser and David.  $\square$

**Acknowledgements.** Deep appreciation goes to Professor Masser who sent a copy of the letter [3] to the author from which this work was started. Thanks are also due to Professor Hirata-Kohno for her suggestions about its redaction.

### References

- [1] S. David: Fonctions thêta et points de torsion des variétés abéliennes. *Compositio Math.*, **78**, 121–160 (1991).
- [2] G. Faltings: Endlichkeitssätze für abelsche Varietäten über Zahlkörpern. *Invent. Math.*, **73**, 349–366 (1983); Erratum. *Invent. Math.*, **75**, 381 (1984).
- [3] D. W. Masser: A letter to D. Bertrand, 17 November (1986).
- [4] D. W. Masser: Counting points of small height on elliptic curves. *Bull. Soc. Math. France*, **117**, 247–265 (1989).
- [5] D. Mumford: On the equations defining abelian varieties I. *Invent. Math.*, **1**, 287–354 (1966).
- [6] D. Mumford: On the equations defining abelian varieties II. *Invent. Math.*, **3**, 75–135 (1967).
- [7] D. Mumford: *Abelian Varieties*. Tata Inst. Fundamental Research Studies Math., no. 5, Oxford Univ. Press, Bombay, p. 225 (1974).
- [8] R. Hartshorne: *Algebraic Geometry*. Graduate Texts in Math., no. 52, Springer-Verlag, New York, p. 110, p. 153 (1977).