

## 98. Positive Linear Functionals on Self-Adjoint B-Algebras

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(Comm. by K. KUNUGI, M.J.A., Oct. 12, 1953)

1. A self-adjoint Banach ( $B$ -) algebra (or abbrev.  $B_*$ -algebra)  $A$  is a  $B$ -algebra over the complex scalar field  $K$  which admits such  $a^*$ -operation as is a conjugate linear, involutory, anti-automorphism of  $A$ , i. e.  $(\alpha a + b)^* = \bar{\alpha} a^* + b^*$ ,  $a^{**} = a^*$ , and  $(ab)^* = b^* a^*$  for  $a, b \in A$ ,  $\alpha \in K$ .

If a  $B$ -algebra  $A$  has an approximate identity  $\{e^\lambda\}$ ,  $ae^\lambda \rightarrow a$  and  $e^\lambda a \rightarrow a$  (strongly), we call  $A$  *semi-unitary*, and if  $A$  has identity  $e$  (of norm 1), *unitary*.

The collection of all hermitian elements,  $a^* = a$ , of  $A$  is denoted by  $H(A)$  and called the *hermitian kernel* of  $A$ ;  $H(A)$  forms a normed sub-space of  $A$ , and if  $A$  is commutative, a sub- $B$ -algebra which is necessarily real.

A  $B_*$ -algebra possessing an additional condition  $\|a^* a\| = \|a\| \cdot \|a^*\|$  is a  $B^*$ -algebra in the sense of R.V. Kadison<sup>1)</sup>.

A commutative real  $B$ -algebra is always regarded as a  $B_*$  algebra, over the reals, with  $A = H(A)$ .

2. For a real commutative unitary  $B$ -algebra, i.e. unitary  $A$  with  $A = H(A)$ , the following assertion is a well-known fact:

*The set  $\Pi$  of real linear functionals on  $A$  which is non-negative on squares and 1 on  $e$  is a  $w^*$ -compact,<sup>2)</sup> convex set.*

*If  $\Pi$  is non-void, each of its extreme points is a multiplicative linear functional, so that  $f^{-1}(0)$  is a maximal ideal of  $A$  for every extreme  $f$  of  $\Pi$ .<sup>3)</sup>*

In this note, we intend to pursue the relations between extreme points of  $\Pi$  and maximal ideals in the case of non-commutative  $B_*$ -algebras  $A_*$ .

We begin with some notations:

$\Gamma(\cdot)$  = the dual space of a normed vector space  $(\cdot)$ .

$\mathcal{E}(A_*)$  = the sub-space of  $\Gamma(A_*)$ , over the reals, whose elements satisfy  $f(a^*) = \overline{f(a)}$ .

$\hat{\Pi}(A_*)$  = the convex subset of  $\mathcal{E}(A_*)$ , such that  $f(a^* a) \geq 0$ .

$\Phi(A_*)$  = the set of all multiplicative linear functionals on  $A_*$ ;

1) A representation theory for commutative topological algebra, *Memoirs of Amer. Math. Soc.*, **7** (1951).

2) With respect to the weak topology as functionals.

3) R.V. Kadison, *loc. cit.*, pp. 23-24.

$\widehat{\Phi}(A_*) = \Phi(A_*) \cap \mathcal{E}(A_*)$ , which is clearly  $\subset \widehat{\Pi}(A_*)$ .

*Proposition 1.* For any  $f \in \Gamma(A_*)$ , the set  $'\mathfrak{S}_f$ ,  $\mathfrak{S}'_f$ , or  $\mathfrak{S}_f$ ,

$$' \mathfrak{S}_f = \{a; f(xa) = 0 \text{ for every } x \text{ of } A_*\},$$

$$\mathfrak{S}'_f = \{a; f(ax) = 0 \text{ for every } x \text{ of } A_*\},$$

$$\mathfrak{S}_f = \{a; f(xay) = 0 \text{ for every } x, y \text{ of } A_*\},$$

forms a closed left, right, or two-sided ideal of  $A_*$  respectively; if  $A_*$  is semi-unitary,  $f(a) = 0$  for  $a \in ' \mathfrak{S}_f$ ,  $\mathfrak{S}'_f$ , or  $\mathfrak{S}_f$ .

*Proposition 2.* For any  $f \in \widehat{\Pi}(A_*)$ , the quotient  $B$ -space  $A_*/'\mathfrak{S}_f$  (or  $A_*/\mathfrak{S}'_f$ ) forms a pre-Hilbert space with the inner product

$$(2.1) \quad (X_a, X_b)_f = f(b^*a) \quad (\text{or } = f(ab^*)),$$

where  $X_a$  is a residue class containing  $a$ ; the completion of  $A_*/'\mathfrak{S}_f$  with respect to the norm  $\|X_a\| = (X_a, X_a)^{1/2}$  is a Hilbert algebra.

The Hilbert space, completed from  $A_*/'\mathfrak{S}_f$  (or  $A_*/\mathfrak{S}'_f$ ), is denoted by  $'\mathfrak{H}_f$  (or resp.  $\mathfrak{H}'_f$ ).

*Proposition 3.* We have  $\Phi(A_*) = \widehat{\Phi}(A_*)$ , and for any  $\varphi \in \Phi(A_*)$ , the set  $\mathfrak{S}_\varphi = \varphi^{-1}(0)$  forms a maximal two-sided regular ideal such that  $A_*/\mathfrak{S}_\varphi \cong K$ .

For the proof of Prop. 2, generalized Cauchy-Schwarz's lemma,  $|f(ab^*)|^2 = |f(ba^*)|^2 \leq f(aa^*) f(bb^*)$ , is useful.

3. Next, we shall define two manners of product in  $H(A_*)$ ;  
1) Jordan product

$$(3.1) \quad a \circ b = \frac{1}{2}(ab + ba),$$

which is always commutative, distributive, but non-associative, and  $a \circ a = a^2$ .

2) Special Poisson's product

$$(3.2) \quad [a, b] = \frac{1}{2i}(ab - ba),$$

which is skew-symmetric, distributive, and satisfies the Jacobi's equality. The set of all  $[a, b]$ ,  $a, b \in H(A_*)$  is denoted by  $W(A_*)$ , which is contained in  $H(A_*)$ .

If  $A_*$  is commutative,  $a \circ b = ab = ba$  and  $W(A_*) = (0)$ .

$\widetilde{\Pi}(H(A_*)) =$  the convex subset of  $\Gamma(H(A_*))$  consisting of all such functionals;

$$(3.3) \quad 2|f([a, b])| \leq f(a^2) + f(b^2), \quad a, b \in H(A_*).$$

$\widetilde{\Phi}(H(A_*)) =$  all of multiplicative linear functionals on  $H(A_*)$  with respect to the product (3.1), vanishing on  $W(A_*)$ .

*Theorem 1.*  $\mathcal{E}(A_*) \cong \Gamma(H(A_*))$ ,  $\widehat{\Pi}(A_*) \cong \widetilde{\Pi}(H(A_*))$  and  $\Phi(A_*) \cong \widetilde{\Phi}(H(A_*))$ , where the sign " $\cong$ " means a topological isomorphism in which the restriction on  $H(A_*)$  of each element in the left coincides with the corresponding one in the right.

In virtue of this Theorem, the Krein-Milman's "extreme points" theorem is also valid for a bounded, regularly convex set

in  $\mathcal{E}(A_*)$  even in the case of complex algebra; denoting the unit sphere of  $\Gamma(A_*)$  (or  $\Gamma(H(A_*))$ ) by  $E$  (or resp.  $E_0$ ),  $E \cap \mathcal{E}$  is  $w^*$ -compact, which is a modified formula of Kakutani-Dieudonné's theorem.

4. Now,  $\tilde{\Pi}(H(A_*))$  is  $w^*$ -closed in  $\Gamma(H(A_*))$ , so that  $\tilde{E}_0 = E_0 \cap \tilde{\Pi}(H(A_*))$  is  $w^*$ -compact and regularly convex, then it has the set  $S(\tilde{E}_0)$  of extreme points whose convex hull is dense in  $\tilde{E}_0$ ; if  ${}^1\mathfrak{S}_r = {}^1\mathfrak{S}_s$ , we write  $\hat{f} \sim \hat{g}$ , calling them *equivalent*<sup>4)</sup>  $\hat{f} \sim \hat{g}$  yields  $\hat{f} \sim (\alpha \hat{f} + \beta \hat{g})$ , for  $\alpha, \beta \geq 0$  with  $\alpha + \beta = 1$ .

*Definition.* If  $\hat{f} \in \tilde{E}_0$  is equivalent to no linear convex combination of  $\hat{g}$  and  $\hat{h}$ , each of which is in  $E_0$  and not equivalent to  $\hat{f}$ , then  $\hat{f}$  is said to be *weakly extreme* (*w. extr.*) in  $\tilde{E}_0$ .

From the definition, it follows immediately:

i) If  $\hat{f}$  is *w. extr.* in  $\tilde{E}_0$  and if  $\hat{f} \sim \hat{g}$ , then  $\hat{g}$  is also *w. extr.* in  $\tilde{E}_0$ ,

ii)  ${}^1\mathfrak{S}_r \cap {}^1\mathfrak{S}_s \subset {}^1\mathfrak{S}_{\alpha r + \beta s}$  for  $\alpha, \beta \geq 0, \alpha + \beta = 1$ .

It is not sure whether an extreme point of  $\tilde{E}_0$  is *w. extr.* or not in general cases. But we can settle an important result:

*Theorem 2.* For a semi-unitary  $B_*$ -algebra, a necessary and sufficient condition that  ${}^1\mathfrak{S}_r$  would be maximal is that  $\hat{f}$  is *w. extr.* in  $\tilde{E}_0$  for  $\|f\| \leq 1$ .

To prove this, we make use of the Hilbert space completed from  $A_*/\mathfrak{S}_r$  and orthogonal decomposition in it.

The above assertions are also valid for  $\mathfrak{S}'_r$  or  $\mathfrak{S}_r$  at all. We shall define another notion;

*Definition.* If i)  ${}^1\mathfrak{S}_r, \mathfrak{S}'_r$ , or  $\mathfrak{S}_r$  is a regular ideal and ii)  $f(j) = 1$  for an identity  $j$  modulo the corresponding ideal, then  $f$  (or  $\hat{f}$ ) is called *left, right, or two-sided regular*; but we need essentially only two regularities of  $f$ , *one-sided and two-sided*, since if  $f$  is left regular having an identity  $j$  modulo  ${}^1\mathfrak{S}_r$ , then  $f$  is also right regular, having an identity  $j^*$  modulo  $\mathfrak{S}'_r = ({}^1\mathfrak{S}_r)^*$ .

The set intersection of  $\tilde{E}_0$  and of all one-sided (two-sided) regular functionals is denoted by  $\hat{E}_0$  (resp.  $\hat{\hat{E}}_0$ ), which is evidently convex and  $w^*$ -closed.

If  $A_*$  is unitary, it holds  $\hat{E}_0 = \hat{\hat{E}}_0$ , each of whose element is called a "state" in the case of  $C^*$ -algebra.<sup>5)</sup>

*Theorem 3.* For  $\hat{f}$  in  $\hat{E}_0$  (or  $\hat{\hat{E}}_0$ ), if  ${}^1\mathfrak{S}_r$  (resp.  $\mathfrak{S}_r$ ) is not maximal, then there exists a segment in  $\hat{E}_0$  (resp.  $\hat{\hat{E}}_0$ ) just in which  $\hat{f}$  is an inner point.

4)  $\hat{f}$  is a corresponding element of  $\tilde{\Pi}(H(A))$  to  $f$  of  $\hat{\Pi}(A_*)$  with respect to the isomorphism in Thr. 1;  $f = \hat{f}$  on  $H(A_*)$ .

5) See, I. E. Segal, Two-sided ideals in operator algebras, *Ann. Math.*, **50** (1949).

*Corollary 3. 1.* For an extreme  $\hat{f}$  in  $\hat{E}_0$  (or  $\hat{\hat{E}}_0$ ), each of  $\mathfrak{S}$ , and  $\mathfrak{S}'$  (or resp.  $\mathfrak{S}'$ ) is maximal and regular.

By means of this Corollary and Thr. 2, we have

*Corollary 3. 2.* Every extreme point of  $\hat{E}_0$  (or, if the algebra is unitary, of  $\tilde{E}_0$ ) is *w. extr.* in it.

*Theorem 4.* Every non-null  $\varphi$  in  $\Phi(A_*)$  (i.e. multiplicative) is an extreme point of  $\hat{E}_0$  and of  $\hat{\hat{E}}_0$ .

In Thr. 3~Thr. 4,  $A_*$  is assumed to be semi-unitary.

*Theorem 5.* If  $A_*$  is commutative and unitary, it holds

$$\text{extr. } \hat{E}_0 = \text{extr. } \hat{\hat{E}}_0 = S(\tilde{E}_0) = \Phi^0(A_*),$$

where  $\Phi^0$  means the collection of non-zero elements of  $\Phi$ .

5. Assume that  $A_*$  is the group-algebra on a LC group  $G$ , then  $\tilde{E}_0 = \hat{E}_0$  and  $\tilde{E}_0$  is one-to-one corresponding to the collection of all continuous positive definite (c.p.d.) function on  $G$  with norms less than 1, by the relation

$$f(a) = \int_G \overline{\xi(x)} a(x) dx, \quad \text{for } a \in A_*, f \in E_0,$$

and  $\xi(\cdot)$  is c.p.d. on  $G$  with  $\|\xi\| = \sup_{x \in G} |\xi(x)| \leq 1$ .

In the case, every extreme  $\hat{f}_0$  corresponds to an elementary c.p.d. function and all *w. extr.* points  $f$  consists of a segment combining each  $\hat{f}_0$  and 0, i.e.  $\hat{f} = \lambda \hat{f}_0$  for  $0 < \lambda \leq 1$ .<sup>6)</sup>

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6) See, for example, R. Godement, Les fonctions de type positif et la théorie des groupes, Trans. Amer. Math. Soc., 63 (1948).