

## 155. Representation of Certain Banach $*$ -algebras<sup>\*</sup>

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Let  $A$  be a Banach  $*$ -algebra satisfying the condition: there exists a positive constant  $\alpha$  such that

$$\alpha \|x^*\| \|x\| \leq \|x^*x\|$$

for every  $x$  in  $A$ . The problem to realize such a Banach  $*$ -algebra as a  $C^*$ -algebra has been left to be solved after I. Kaplansky [3] asked whether or not every  $C$ -symmetric Banach  $*$ -algebra is symmetric. In the case when  $A$  is commutative, R. Arens [1] had proven that it is a  $B^*$ -algebra under an equivalent norm, and then B. Yood [8] gave a partial answer to this problem by showing that a Banach  $*$ -algebra with the above condition is a  $B^*$ -algebra under an equivalent norm if  $\alpha > c$  ( $c$ ; the unique real root of the equation  $4t^3 - 2t^2 + t - 1 = 0$ ).

The purpose of this note is to inform that this problem has been solved in the affirmative, and is to give a brief account of the proof. Our result is the following.

**Theorem.** *Let  $A$  be a Banach  $*$ -algebra whose norm satisfies the condition  $\alpha \|x^*\| \|x\| \leq \|x^*x\|$ . Then it is homeomorphic and  $*$ -isomorphic to a  $C^*$ -algebra.*

By a  $B^*$ -algebra, we shall mean a Banach  $*$ -algebra with the condition  $\|x^*x\| = \|x\|^2$ . At the present time, it is well known that a  $B^*$ -algebra is isometrically  $*$ -isomorphic to a  $C^*$ -algebra, a uniformly closed  $*$ -algebra of operators on Hilbert space.

Throughout this paper we shall consider a (complex) Banach  $*$ -algebra with unit  $e$  (the case without unit will be mentioned at the final step). Here we present a concise proof of the theorem which proceeds by stages. In the course of the representation of  $B^*$ -algebras (see the theorem of Fukamiya and Kaplansky [7; Theorem 4.8. 11], T. Ono [6] and J. Glimm-R. V. Kadison [2]), the problem one discussed for a long time was to extend the local  $C^*$ -property to the global one. Concerning our problem we are in the same situation as the case of  $B^*$ -algebras because Arens [1] tells us that our Banach  $*$ -algebras provide the local  $C^*$ -property. To clarify the essence of the proof we introduce a class of Banach  $*$ -algebras as follows. A Banach  $*$ -algebra  $A$  is said to be *locally equivalent to a  $C^*$ -algebra* if every maximal

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commutative \*-subalgebra of  $A$  is a  $B^*$ -algebra under an equivalent norm. For such a Banach \*-algebra  $A$ , first of all, we should observe the following facts.

(i) The (complex) closed subalgebra  $A(h)$  of  $A$  generated by a self-adjoint element  $h$  and  $e$  is a  $B^*$ -algebra under an equivalent norm, that is, it is homeomorphic and \*-isomorphic to the algebra of all continuous functions on a compact Hausdorff space.

(ii) The spectrum of  $h$  in  $A$  coincides with that of  $h$  in  $A(h)$ . Consequently, we have

(iii) Every self-adjoint element in  $A$  has real spectrum.

If a Banach \*-algebra  $A$  satisfies the norm condition stated in the theorem, then it follows from [1; Theorem 1] that  $A$  is locally equivalent to a  $C^*$ -algebra in our sense (note that every maximal commutative \*-subalgebra of  $A$  is automatically closed).

In what follows,  $A$  means a Banach \*-algebra which is locally equivalent to a  $C^*$ -algebra. We denote by  $\sigma(x)$  the spectrum of an element  $x$ ;  $\gamma(x)$  the spectral radius of  $x$ ;  $S$  the set of all self-adjoint elements in  $A$ . An element  $h \in S$  is said to be positive if  $\sigma(h)$  consists of non-negative scalars, and is denoted by  $h \geq 0$ . By a state  $\rho$  of  $A$ , we understand a linear functional of  $A$  such that  $\rho(h) \geq 0$  for every  $h \geq 0$  and  $\rho(e) = 1$ . The first step is to prove:

(1) *The following conditions are equivalent in  $A$ .*

(1.1) *The sum of positive elements is positive.*

(1.2) *For each element  $x$ ,  $x^*x$  is positive.*

(1.3) *For any  $h, k \in S$ ,  $\gamma(h+k) \leq \gamma(h) + \gamma(k)$ .*

(1.4) *Let  $h \in S$  and  $\lambda \in \sigma(h)$ . Then there exists a state  $\rho$  of  $A$  such that  $\rho(h) = \lambda$ .*

From this it turns out that a key to the problem is to prove that one of the conditions (1.1)–(1.4) holds for  $A$ . Actually we can prove:

(2)  $A$  satisfies the condition (1.1).

By (i), an element in  $A$  is positive if and only if it is expressible as the square of an element in  $S$ . Therefore, to prove (2) we may show that for  $a, b$  in  $S$ ,  $a^2 + b^2$  is positive; that is,  $a^2 + b^2 + \lambda e$  is invertible for any  $\lambda > 0$ . Following the procedure as in [5; IX, p. 302] this can be reduced to show that for any invertible element  $x$ ,  $x^*x$  is positive. Consider the algebra  $A_0 = A(x^*x)$  generated by  $x^*x$  and  $e$ . Then  $A_0$  is \*-isomorphic to the algebra  $C(\Omega)$  of all continuous functions on the compact Hausdorff space  $\Omega$  (the space of all multiplicative linear functionals  $\varphi$  on  $A_0$  with  $\varphi(e) = 1$ ). Having noticed that  $x^*x$  has the inverse in  $A_0$ , we define

$$\begin{aligned}\Omega_1 &= \{\varphi \in \Omega \mid (x^*x)^\wedge(\varphi) > 0\}; \\ \Omega_2 &= \{\varphi \in \Omega \mid (x^*x)^\wedge(\varphi) < 0\},\end{aligned}$$

where  $(x^*x)^\wedge$  is the image of  $x^*x$  under the  $*$ -isomorphism of  $A_0$  onto  $C(\Omega)$ . Then  $\Omega = \Omega_1 \cup \Omega_2$  and further  $\Omega_1, \Omega_2$  are open and closed in  $\Omega$ . Let us denote by  $p$  the element in  $A_0$  corresponding to the characteristic function of  $\Omega_2$ . Obviously  $p$  is a projection (self-adjoint and idempotent) commuting with  $x^*x$ . Here  $x^*x(e-p) \geq 0$  and  $x^*xp \leq 0$ . Thus the proof will complete by showing  $p=0$ . Suppose  $p \neq 0$ , i.e.,  $\Omega_2 \neq \emptyset$ . Then, since  $\Omega_2$  is compact, there is a  $\varphi_0 \in \Omega_2$  such that

$$\sup_{\varphi \in \Omega_2} (px^*xp)^\wedge(\varphi) = (px^*xp)^\wedge(\varphi_0) < 0.$$

This means that there is a constant  $\beta < 0$  such that  $px^*xp \leq \beta p$ , so that we may assume without loss of generality that  $px^*xp \leq -p$  holds. Note that  $p(x^*x)^{-1}p = (x^*x)^{-1}p \leq 0$  and find a self-adjoint element  $h$  in  $A_0$  such that  $(x^*x)^{-1}p = -h^2$ . Let  $y = xh$ . Then  $yp = y$  and  $y^*y = -p$ . Define  $z = y - py$  and observe that  $z^2 = 0$ . Thus it follows from (i) that  $z^*z \geq 0$  (see [5; Lemma 1.1]). On the other hand, a direct computation shows  $z^*z = -p - y^*py$  and hence  $(py)^*(py) = y^*py \leq -p$ . Here we should notice that  $w = py$  commutes with  $p$ . Clearly the set  $B$  of all elements which commute with  $p$  forms a closed  $*$ -subalgebra of  $A$ , and  $p$  belongs to the center of  $B$ . Therefore, restricting our attention to  $pBp$ , it is possible to assume that  $w^*w \leq -e$ . In this case, since  $(w^*w)^{-1}$  exists and it is negative, there is an element  $k \in S$  commuting with  $w^*w$  such that  $(w^*w)^{-1} = -k^2$ . Let  $u = wk$ . Then we have

$$u^*u = -e, uu^* = -q (q; \text{a projection}).$$

Suppose  $q' = e - q \neq 0$ . Put  $v = uq'$  and observe that  $q'u = 0$ . Then  $q'v = 0$ ,  $vq' = v$  and  $v^2 = 0$ . In this case, as mentioned above,  $v^*v \geq 0$ . But  $v^*v = q'u^*uq' = -q' < 0$ , which is a contradiction.<sup>1)</sup> Thus  $q = e$  and so  $u$  is normal. Therefore  $u^*u = -e$  is impossible by our assumption. This completes the proof of (2).

After having established the proposition (2), there is no difficulty to obtain a faithful  $*$ -representation of  $A$  into the algebra of operators on Hilbert space. What we need is only to follow the standard treatment in the representation of Banach  $*$ -algebras (cf. [6]).

- (3.1)  $S$  is closed in  $A$ .
- (3.2) The involution  $*$  is continuous in  $A$ .
- (3.3) A state of  $A$  is bounded.

Now, according to (1), (2) and (3), we construct a  $*$ -representation  $\pi_\rho$  of  $A$  on a Hilbert space  $\pi_\rho$ , associated with each state  $\rho$  of  $A$ , and consider the direct sum  $\pi$  of  $\pi_\rho$ . Then, by (1.4),  $\pi$  is faithful.

- (4)  $A$  admits a faithful  $*$ -representation into the algebra  $\mathcal{L}(H)$

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1) This argument has been inspired by [3; Lemma 4.2, (c)]. Indeed,  $q'$  is minimal in the closed subalgebra generated by polynomials  $p(u, u^*)$ . The structure theorem of isometries on Hilbert space suggests us this fact. See also [4; Lemma 4].

of all operators on a Hilbert space  $H$ .

Let us return to a Banach \*-algebra  $A$  in Theorem. By (4), it is \*-isomorphic to a \*-subalgebra  $\pi(A)$  of  $\mathcal{L}(H)$ , and furthermore the norm condition yields  $\alpha\|h\| \leq \gamma(h)$  for every  $h \in S$  ([1]). Therefore  $\alpha\|h\| \leq \|\pi(h)\| \leq \|h\|$  for every  $h \in S$ . This implies that  $\pi(S)$  is closed in  $\mathcal{L}(H)$  and hence  $\pi(A)$  is closed in  $\mathcal{L}(H)$ . That is,  $\pi(A)$  is a  $C^*$ -algebra and  $\pi$  is a homeomorphism.

In the case when a Banach \*-algebra in Theorem has no unit, it can be isometrically embedded into a Banach \*-algebra with unit which satisfies the norm condition as in the theorem ([1; Lemma 4]).

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