

99. Some Results on Additive Number Theory. II

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In this note we outline the proof of the

Theorem. Let k be an integer > 1 , and let $\alpha_i < \beta_i$ ($i=1, \dots, k$). For sufficiently large positive integer N , let $A(N)$ denote the number of representations of N as the sum of k positive integers: $N = n_1 + \dots + n_k$ such that

$\log \log N + \alpha_i \sqrt{\log \log N} < \omega(n_i) < \log \log N + \beta_i \sqrt{\log \log N}$ ($i=1, \dots, k$) simultaneously, where $\omega(n_i)$ denotes the number of distinct prime factors of n_i . Then, as $N \rightarrow \infty$, we have

$$A(N) \sim \frac{N^{k-1}}{(k-1)!} (2\pi)^{-k/2} \prod_{i=1}^k \int_{\alpha_i}^{\beta_i} e^{-x^2/2} dx.$$

This theorem was announced as Theorem 3 in [2] without proof. Our proof is elementary and makes no use of any limit theorems in probability theory.

Lemma 1. Let a_i ($i=1, \dots, k$) and b be positive integers such that $d = (a_1, \dots, a_k)$ divides b . Let S denote the number of solutions of the Diophantine equation $a_1 x_1 + \dots + a_k x_k = b$ in positive integers, then we have $|S - db^{k-1}/[(k-1)! a_1 \dots a_k]| < Cb^{k-2}$, where C is a positive number dependent only on k and independent of a_i and b .

We define the set P_N consisting of primes as $P_N = \{p : e^{(\log \log N)^2} < p < N^{(\log \log N)^{-2}}\}$ and put $y(N) = \sum_{p \in P_N} 1/p$. Then we have

$$(1) \quad y(N) = \log \log N + O(\log \log \log N).$$

We denote by $\omega_N(n)$ the number of primes p such that $p|n$, $p \in P_N$.

For any positive integer t , we define the set $M(t)$ consisting of positive integers as $M(t) = M(N; t) = \{m : m \text{ is squarefree; } m \text{ has } t \text{ prime factors; } p|m \Rightarrow p \in P_N\}$. We put for convenience $M(0) = \{1\}$.

For any k positive integers t_i , we denote by $F(N; t_1, \dots, t_k)$ the number of representations of N as the sum of k positive integers: $N = n_1 + \dots + n_k$ such that $\omega_N(n_i) = t_i$ simultaneously.

For any k positive integers $m_i \in M(t_i)$ with some positive integers t_i , we denote by $G(N; m_1, \dots, m_k)$ the number of representations of N as the sum of k positive integers: $N = n_1 + \dots + n_k$ such that $\prod_{p|n_i, p \in P_N} p = m_i$ simultaneously. We have

$$F(N; t_1, \dots, t_k) = \sum_{m_1 \in M(t_1)} \dots \sum_{m_k \in M(t_k)} G(N; m_1, \dots, m_k).$$

For any $k + 1$ positive integers t_i and T , we put

$$\begin{aligned} \mathcal{H}^{(0)}(N; t_1, \dots, t_k; T) &= \sum_{m_1 \in \overline{M}(t_1)} \cdots \sum_{m_k \in \overline{M}(t_k)} \mathcal{K}^{(0)}(N; m_1, \dots, m_k; T), \\ \mathcal{K}^{(0)}(N; m_1, \dots, m_k; T) &= \sum_{\tau_1=0}^{2T} \cdots \sum_{\tau_k=0}^{2T} (-1)^{\tau_1 + \cdots + \tau_k} \mathcal{L}(N; m_1, \dots, m_k; \\ &\quad \tau_1, \dots, \tau_k), \\ \mathcal{L}(N; m_1, \dots, m_k; \tau_1, \dots, \tau_k) &= \sum_{\substack{\mu_1 \in \overline{M}(\tau_1) \\ (\mu_1, m_1)=1}} \cdots \sum_{\substack{\mu_k \in \overline{M}(\tau_k) \\ (\mu_k, m_k)=1}} \sum_{\substack{n_1 + \cdots + n_k = N \\ m_i \mu_i | n_i \ (i=1, \dots, k)}} 1. \end{aligned}$$

Similarly we put

$$\begin{aligned} \mathcal{H}^{(i)}(N; t_1, \dots, t_k; T) &= \sum_{m_1 \in \overline{M}(t_1)} \cdots \sum_{m_k \in \overline{M}(t_k)} \mathcal{K}^{(i)}(N; m_1, \dots, m_k; T), \\ \mathcal{K}^{(i)}(N; m_1, \dots, m_k; T) &= \sum_{\tau_1=0}^{2T} \cdots \sum_{\tau_i=0}^{2T+1} \cdots \sum_{\tau_k=0}^{2T} (-1)^{\tau_1 + \cdots + \tau_k} \mathcal{L}(N; m_1, \dots, m_k; \tau_1, \dots, \tau_k), \end{aligned}$$

where τ_i runs through $0, \dots, 2T + 1$, and other τ 's run through $0, \dots, 2T$.

Lemma 2. $\sum_{i=1}^k \mathcal{H}^{(i)} - (k-1)\mathcal{H}^{(0)} \leq F \leq \mathcal{H}^{(0)}$.

For brevity we write $\mathcal{H}^{(0)}$ etc. for $\mathcal{H}^{(0)}(N; t_1, \dots, t_k; T)$ etc. Now we have

$$\mathcal{L} = \sum_{n_1 + \cdots + n_k = N, m_i \mu_i | n_i} \prod_{i=1}^k \binom{\omega_N(n_i) - t_i}{\tau_i},$$

and, as in the proof of Lemma 3 in [1], we have

$$\sum_{i=1}^k \mathcal{K}^{(i)} - (k-1)\mathcal{K}^{(0)} \leq G \leq \mathcal{K}^{(0)},$$

from which the lemma follows.

We shall use this lemma to obtain a certain asymptotic formula for F by proving easier ones for $\mathcal{H}^{(0)}$ and $\mathcal{H}^{(i)}$ giving T an appropriate value. This procedure might be said to be a type of sieve method. As $\mathcal{H}^{(i)}$ can be dealt with in almost the same way as $\mathcal{H}^{(0)}$, we shall be concerned with $\mathcal{H}^{(0)}$. For this purpose we introduce some more functions.

We put

$$\begin{aligned} H_j(N; t_1, \dots, t_k; T) &= \sum_{m_1 \in \overline{M}(t_1)} \cdots \sum_{m_k \in \overline{M}(t_k)} K_j(N; m_1, \dots, m_k; T), \\ K_j(N; m_1, \dots, m_k; T) &= \sum_{\tau_1=0}^{2T} \cdots \sum_{\tau_k=0}^{2T} (-1)^{\tau_1 + \cdots + \tau_k} L_j(N; m_1, \dots, m_k; \tau_1, \dots, \tau_k), \\ L_0(N; m_1, \dots, m_k; \tau_1, \dots, \tau_k) &= \sum_{\substack{\mu_1 \in \overline{M}(\tau_1) \\ (\mu_1, m_1)=1 \\ (m_1 \mu_1, \dots, m_k \mu_k) | N}} \cdots \sum_{\substack{\mu_k \in \overline{M}(\tau_k) \\ (\mu_k, m_k)=1}} \frac{(m_1 \mu_1, \dots, m_k \mu_k)}{m_1 \mu_1 \cdots m_k \mu_k}, \\ L_1(N; m_1, \dots, m_k; \tau_1, \dots, \tau_k) &= \sum_{\substack{\mu_1 \in \overline{M}(\tau_1) \\ (\mu_1, m_1)=1 \\ (m_1 \mu_1, \dots, m_k \mu_k) | N}} \cdots \sum_{\substack{\mu_k \in \overline{M}(\tau_k) \\ (\mu_k, m_k)=1}} \frac{1}{m_1 \mu_1 \cdots m_k \mu_k}, \\ L_2(N; m_1, \dots, m_k; \tau_1, \dots, \tau_k) &= \sum_{\substack{\mu_1 \in \overline{M}(\tau_1) \\ (\mu_1, m_1)=1 \\ (m_1 \mu_1, \dots, m_k \mu_k) > 1}} \cdots \sum_{\substack{\mu_k \in \overline{M}(\tau_k) \\ (\mu_k, m_k)=1 \\ (m_1 \mu_1, \dots, m_k \mu_k) | N}} \frac{(m_1 \mu_1, \dots, m_k \mu_k)}{m_1 \mu_1 \cdots m_k \mu_k}, \\ L_3(N; m_1, \dots, m_k; \tau_1, \dots, \tau_k) &= \sum_{\substack{\mu_1 \in \overline{M}(\tau_1) \\ (\mu_1, m_1)=1 \\ (m_1 \mu_1, \dots, m_k \mu_k) > 1}} \cdots \sum_{\substack{\mu_k \in \overline{M}(\tau_k) \\ (\mu_k, m_k)=1}} \frac{1}{m_1 \mu_1 \cdots m_k \mu_k}. \end{aligned}$$

Now, from the above definitions, we at once have

$$(2) \quad H_0 = H_1 + H_2,$$

$$(3) \quad H_1 + H_3 = \prod_{i=1}^k \sum_{m_i \in \mathcal{M}(t_i)} \frac{1}{m_i} \sum_{\tau_i=0}^{2T} (-1)^{\tau_i} \sum_{\mu_i \in \mathcal{M}(\tau_i), (\mu_i, m_i)=1} \frac{1}{\mu_i}.$$

Lemma 3. *Let $T = [4y(N)] + 1$. Then, as $N \rightarrow \infty$, we have*

$$H_1 + H_3 = (t_1! \cdots t_k!)^{-1} \{y(N)\}^{t_1 + \cdots + t_k} e^{-ky(N)} \{1 + o(1)\}$$

uniformly in t_i with $t_i < 2y(N)$ simultaneously.

We consider the k factors on the right-hand side of (3) separately. By similar reasoning as in the proofs of Lemmas 4 and 5 in [1], we can see that

$$\sum_{m_i \in \mathcal{M}(t_i)} \frac{1}{m_i} \sum_{\tau_i=0}^{2T} (-1)^{\tau_i} \sum_{\mu_i \in \mathcal{M}(\tau_i), (\mu_i, m_i)=1} \frac{1}{\mu_i} = \frac{\{y(N)\}^{t_i} e^{-y(N)}}{t_i!} \{1 + o(1)\}$$

uniformly in t_i with $t_i < 2y(N)$, which gives the lemma.

Lemma 4. *As $N \rightarrow \infty$, we have*

$$H_2 = o[(t_1! \cdots t_k!)^{-1} \{y(N)\}^{t_1 + \cdots + t_k} e^{-ky(N)}]$$

uniformly in t_i with $t_i < 2y(N)$ and in arbitrary T , and similarly for H_3 .

For each summand of the sum defining L_2 , we put $d = (m_1 \mu_1, \dots, m_k \mu_k)$, $m_i \mu_i = dm'_i \mu'_i$ with $m'_i | m_i$, $\mu'_i | \mu_i$. Then, since $(m_i, \mu_i) = 1$, it follows that

$$(4) \quad |H_2| \leq \sum_d \frac{1}{d} \cdot \left(\prod_{i=1}^k \sum_{t_i=0}^{\infty} \sum_{m_i \in \mathcal{M}(t_i)} \frac{1}{m_i} \right)^2,$$

and this inequality remains true, when we let d run through the square-free integers > 1 such that the number of prime factors of d is $< \log N$ and each prime factor of d is $> e^{(\log \log N)^2}$. Now easy calculations give

$$(5) \quad \sum_d 1/d = O(e^{-(\log \log N)^2} \log N).$$

On the other hand

$$(6) \quad \sum_{t_i=0}^{\infty} \sum_{m_i \in \mathcal{M}(t_i)} \frac{1}{m_i} \leq \sum_{t_i=0}^{\infty} \frac{\{y(N)\}^{t_i}}{t_i!} = e^{y(N)}.$$

It follows from (4), (5) and (6) that

$$H_2 = O(e^{2ky(N) - (\log \log N)^2} \log N).$$

From this and (1), we obtain the desired estimation for H_2 . H_3 can be treated similarly.

Lemma 5. *Let $T = [4y(N)] + 1$. Then, as $N \rightarrow \infty$, we have*

$$H_0 = (t_1! \cdots t_k!)^{-1} \{y(N)\}^{t_1 + \cdots + t_k} e^{-ky(N)} \{1 + o(1)\}$$

uniformly in t_i with $t_i < 2y(N)$.

The lemma follows from (2) and Lemmas 3 and 4.

Lemma 6. *Let $T = [4y(N)] + 1$. Then, as $N \rightarrow \infty$, we have*

$$\mathcal{A}^{(0)} = \{(k-1)! t_1! \cdots t_k!\}^{-1} N^{k-1} \{y(N)\}^{t_1 + \cdots + t_k} e^{-ky(N)} \{1 + o(1)\}$$

uniformly in t_i with $t_i < 2y(N)$, and similarly for $\mathcal{A}^{(t)}$.

By Lemma 1,

$$(7) \quad \mathcal{H}^{(0)} - N^{k-1}H_0/(k-1)! = O\left\{N^{k-2}\left(\prod_{i=1}^k \sum_{t_i=0}^{2T} \sum_{m_i \in \mathcal{M}(t_i)} 1\right)^2\right\},$$

since $t_i < T$. Also, since $T < 5 \log \log N$ for large N by (1), we can see that

$$\sum_{t_i=0}^{2T} \sum_{m_i \in \mathcal{M}(t_i)} 1 = O(N^{10(\log \log N)^{-1}}).$$

It follows from this and (7) that

$$\mathcal{H}^{(0)} - N^{k-1}H_0/(k-1)! = O(N^{k-2+20k(\log \log N)^{-1}}).$$

The desired formula for $\mathcal{H}^{(0)}$ follows from this and Lemma 5. $\mathcal{H}^{(i)}$ can be treated similarly.

Lemma 7. *As $N \rightarrow \infty$, we have*

$$F = \{(k-1)!t_1! \cdots t_k!\}^{-1}N^{k-1}\{y(N)\}^{t_1+\cdots+t_k}e^{-ky(N)}\{1+o(1)\}$$

uniformly in t_i with $t_i < 2y(N)$.

The lemma follows from Lemmas 2 and 6.

Lemma 8. *Let $\alpha_i < \beta_i$ ($i=1, \dots, k$). Let t_i ($i=1, \dots, k$) be positive integers such that $t_i = y(N) + x_i\sqrt{y(N)}$ with $\alpha_i < x_i < \beta_i$. Then, as $N \rightarrow \infty$, we have*

$$F = \{(k-1)!\}^{-1}N^{k-1}\{2\pi y(N)\}^{-k/2}e^{-(x_1^2+\cdots+x_k^2)/2}\{1+o(1)\}$$

uniformly in t_i .

This Lemma corresponds to Lemma 6 in [1]. The Stirling formula is used in the proof.

Lemma 9. *Let $\alpha_i < \beta_i$ ($i=1, \dots, k$). Let $A^*(N)$ denote the number of representations of N as the sum of k positive integers: $N = n_1 + \cdots + n_k$ such that*

$$y(N) + \alpha_i\sqrt{y(N)} < \omega_N(n_i) < y(N) + \beta_i\sqrt{y(N)} \quad (i=1, \dots, k)$$

simultaneously. Then, as $N \rightarrow \infty$, we have

$$A^*(N) \sim \frac{N^{k-1}}{(k-1)!} (2\pi)^{-k/2} \prod_{i=1}^k \int_{\alpha_i}^{\beta_i} e^{-x^2/2} dx.$$

This lemma corresponds to Lemma 7 in [1]. It can also be proved by (1) that

$$\sum_{n_1+\cdots+n_k=N} \{\omega(n_i) - \omega_N(n_i)\} = O(N^{k-1} \log \log \log N).$$

The theorem now follows from this, (1), and Lemma 9 by similar way as the proofs of Lemmas 8 and 9 in [1].

We could prove the theorem by induction on k . By this, however, the proof will not essentially be shortened.

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References

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