# Collineations of the Subiaco generalized quadrangles

#### S. E. Payne

Dedicated to J. A. Thas on his fiftieth birthday

#### Abstract

Each generalized quadrangle (GQ) of order  $(q^2, q)$  derived in the standard way from a conical flock via a q-clan with  $q = 2^e$  has subquadrangles of order q associated with a family of q + 1 (not necessarily projectively equivalent) ovals in PG(2, q). A new family of these GQ is announced in [1] and named the Subiaco GQ. We begin a study of their collineation groups. When e is odd,  $e \ge 5$ , the group is determined. In the standard notation for the GQ, the collineation group is transitive on the lines through the point  $(\infty)$ . As a corollary we have that up to the usual notions of equivalence, just one conical flock, one oval in PG(2, q), and one subquadrangle of order (q, q) arise.

### 1 Introduction

The objects studied in this paper are introduced in [1], and we thank its authors for making their work available to us as it was being developed. Moreover, Tim Penttila and Gordon Royle helped us eliminate a serious error in an early version of this work.

Let 
$$F = GF(q), q = 2^e$$
. For each  $t \in F$ , let  $A_t = \begin{pmatrix} x_t & y_t \\ 0 & z_t \end{pmatrix}$  be a  $2 \times 2$  matrix

over F. Put  $\mathcal{C} = \{A_t : t \in F\}$ . Then  $\mathcal{C}$  is a *q*-clan provided  $A_t - A_s$  is anisotropic (i.e.,  $\alpha(A_t - A_s)\alpha^T = 0$  if and only if  $\alpha = (0,0)$ ) whenever  $t, s \in F$ ,  $t \neq s$ . This holds if and only if  $(x_t - x_s)(z_t - z_s)(y_t - y_s)^{-2}$  has trace 1 whenever  $s \neq t$ . From

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There is a standard construction of a generalized quadrangle (GQ) S = S(C) as a coset geometry starting with the group

$$\overline{G} = \{ (\alpha, c, \beta) : \alpha, \beta \in F^2, \, c \in F \}$$

whose binary operation is given by

$$(\alpha, c, \beta) * (\alpha', c', \beta') = (\alpha + \alpha', c + c' + \beta(\alpha')^T, \beta + \beta'),$$
(1)

and a certain 4-gonal family of subgroups. Specifically, put  $\overline{A}(\infty) = \{(\vec{0}, 0, \beta) \in \overline{G} : \beta \in F^2\}$ , and for  $t \in F$ ,  $\overline{A}(t) = \{(\alpha, \alpha A_t \alpha^T, \alpha K_t) \in \overline{G} : \alpha \in F^2\}$ . Put  $\overline{\mathcal{F}} = \{\overline{A}(t) : t \in F \cup \{\infty\}\}$ , and  $C = \{(\vec{0}, 0, \vec{0}) \in \overline{G} : c \in F\}$ . For  $A \in \overline{\mathcal{F}}$ , put  $A^* = AC$ . Then  $\overline{\mathcal{F}}$  is a 4-gonal family for  $\overline{G}$  with associated groups (tangent spaces)  $\overline{\mathcal{F}}^* = \{A^* : A \in \overline{\mathcal{F}}\}$ . We assume the reader is familiar with W. M. Kantor's construction of a GQ  $\mathcal{S}(\overline{G}, \overline{\mathcal{F}})$  (cf. [3], [4], [8]). In [8], pp. 213–214, it is shown that for a fixed  $t_0 \in F$ , a new q-clan may be constructed so that each  $A_t \in \mathcal{C}$  is replaced with  $A_t - A_{t_0}$ , and the "new" GQ is isomorphic to the original. Then the

new matrices may be reindexed so that  $A_0 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ .

For two matrices  $A = \begin{pmatrix} x & y \\ z & w \end{pmatrix}$ ,  $B = \begin{pmatrix} r & s \\ t & u \end{pmatrix}$  over F, let  $A \equiv B$  mean that x = r, w = u, and y + z = s + t. So  $A \equiv B$  if and only if  $\alpha A \alpha^T = \alpha B \alpha^T$  for all  $\alpha \in F^2$ .

Let 
$$B = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{GL}(2, F)$$
. For  $A_t = \begin{pmatrix} x_t & y_t \\ 0 & z_t \end{pmatrix} \in \mathcal{C}$ , put  
 $\overline{A}_t = B \ A_t B^T \equiv \begin{pmatrix} a^2 x_t + a b y_t + b^2 z_t & (ad + bc) y_t \\ 0 & c^2 x_t + c d y_t + d^2 z_t \end{pmatrix}$ .

Then

$$(\alpha, c, \beta) \mapsto (\alpha B^{-1}, c, \beta B^T)$$
(2)

is an automorphism of  $\overline{G}$  that replaces  $\overline{\mathcal{F}}$  with a 4-gonal family derived from the q-clan  $\overline{\mathcal{C}} = \{\overline{A}_t : t \in F\}$  and that produces a GQ isomorphic to the original.

First, by reindexing the members of 
$$C$$
 we may assume  $x_t = t$  for all  $t \in F$ . So  $A_0 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$  and  $A_1 = \begin{pmatrix} 1 & y_1 \\ 0 & z_1 \end{pmatrix}$ . Then using  $B = \begin{pmatrix} 1 & 0 \\ 0 & y_1^{-1} \end{pmatrix}$  in equation (2),

we may assume  $A_1 = \begin{pmatrix} 1 & 1 \\ 0 & \delta \end{pmatrix}$ , where  $\delta \in F$  is some element with trace 1. We

again reindex the members of C to obtain  $y_t = t$  (probably destroying  $x_t = t$ ) for all  $t \in F$ . So without loss of generality we may assume that the *q*-clan C has been normalized to satisfy the following:

$$A_0 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}; \quad A_1 = \begin{pmatrix} 1 & 1 \\ 0 & \delta \end{pmatrix} (\text{with } \operatorname{tr}(\delta) = 1); \quad A_t = \begin{pmatrix} x_t & t \\ 0 & z_t \end{pmatrix}, \ t \in F.$$
(3)

From [5] recall the following notation: For  $\alpha \in F^2$ ,  $[\alpha]_{\infty} = (\overrightarrow{0}, 0, \alpha) \in \overline{G}$ ; for  $t \in F$ ,  $[\alpha]_t = (\alpha, \alpha A_t \alpha^T, \alpha K_t)$ ; for  $c \in F$ ,  $[c] = (\overrightarrow{0}, c, \overrightarrow{0}) \in \overline{G}$ . For  $t, u \in F \cup \{\infty\}$ ,  $t \neq u$ , put  $([\alpha]_t, [c], [\beta]_u) := [\alpha]_t * [c] * [\beta]_u$ . A simple computation shows that

$$([\alpha]_{\infty}, [c], [\beta]_0) * ([\alpha']_{\infty}, [c'], [\beta']_0) = ([\alpha + \alpha']_{\infty}, [c + c' + \beta(\alpha')^T], [\beta + \beta']_0).$$
(4)

And with  $\gamma = \alpha K_t$ ,

$$[\alpha]_t = (\alpha, \alpha A_t \alpha^T, \alpha K_t) = ([\alpha K_t]_{\infty}, [\alpha A_t \alpha^T], [\alpha]_0)$$

$$= ([\gamma]_{\infty}, [\gamma K_t^{-1} A_t K_t^{-1} \gamma^T], [\gamma K_t^{-1}]_0).$$

$$(5)$$

Since in the original description of  $\overline{G}$ ,  $(\alpha, c, \beta) = ([\alpha]_0, [c], [\beta]_\infty)$ , it follows that by interchanging the roles of  $\infty$  and 0 in the description of  $\overline{G}$  the matrix  $A_t \in \mathcal{C}$  is replaced with  $K_t^{-1}A_tK_t^{-1} \equiv y_t^{-2}\begin{pmatrix} z_t & y_t \\ 0 & x_t \end{pmatrix}$ . Now by using B = P in equation (2), we have that  $\hat{\mathcal{C}} = \left\{ A_0 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \right\} \cup \{y_t^{-2}A_t : 0 \neq t \in F\}$  is a q-clan associated with a GQ isomorphic to that derived from  $\mathcal{C}$ . By combining this operation with the shift  $\overline{A}_t = A_t - A_{t_0}$  mentioned earlier, we obtain

$$\hat{\mathcal{C}} = \{ (y_t - y_{t_0})^{-2} (A_t - A_{t_0}) : t_0 \neq t \in F \} \cup \left\{ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \right\}$$
(6)

is a q-clan associated with a GQ isomorphic to (essentially the same as) that derived from  $\mathcal{C}$ , but recoordinatized so that the line  $[A(t_0)]$  of  $\mathcal{S}(\mathcal{C})$  through the point  $(\infty)$ corresponds to the line  $[A(\infty)]$  through  $(\infty)$  in  $\mathcal{S}(\hat{\mathcal{C}})$ . (Also see [7], [9].)

A truly satisfactory geometric interpretation of the construction of a GQ from a q-clan (equivalent to a conical flock by J. A. Thas [10]) must somehow explain the existence of these q + 1 distinct (and not always equivalent) q-clans. For the purpose of distinguishing flocks, it is important to note that there is a collineation of  $\mathcal{S}(\mathcal{C})$  (fixing  $(\infty)$  and  $(\overrightarrow{0}, 0, \overrightarrow{0})$ ) moving the line  $[A(t_0)]$  to the line  $[A(\infty)]$  if and only if the flock associated with  $\mathcal{C}$  is equivalent to that associated with the q-clan  $\hat{\mathcal{C}}$ obtained in equation (6).

We now recall the slightly modified description of  $\overline{G}$  introduced in [6] (cf. also [4]). In characteristic 2, this revised version seems to us to be more natural and useful.

Let  $E = F(\zeta) = GF(q^2)$ ,  $\zeta^2 + \zeta + \delta = 0$  (for some  $\delta \in F$  with  $tr(\delta) = 1$ ). Then  $x \mapsto \overline{x} = x^q$  is the unique involutionary automorphism of E with fixed field F. Here  $\zeta + \overline{\zeta} = 1$  and  $\zeta \overline{\zeta} = \delta$ . The element  $\alpha = a + b\zeta \in E$   $(a, b \in F)$  is often (without notice) identified with the pair  $(a, b) \in F^2$ . For example, the inner product

$$\alpha \circ \beta = \alpha \overline{\beta} + \overline{\alpha} \beta \tag{7}$$

on E as a vector space over F may also appear as  $\alpha \circ \beta = \alpha P \beta^T$ . Note that  $\alpha \circ \beta = 0$  if and only if  $\{\alpha, \beta\}$  is F-dependent.

Now put  $G = \{(\alpha, c, \beta) : \alpha, \beta \in E = F^2, c \in F\}$  with binary operation

$$(\alpha, c, \beta) \cdot (\alpha', c', \beta') = (\alpha + \alpha', c + c' + \sqrt{\beta \circ \alpha'}, \beta + \beta').$$
(8)

It is straightforward to check that  $\overline{G} \to G : (\alpha, c, \beta) \mapsto (\alpha, \sqrt{c}, \beta P)$  is an isomorphism mapping the 4-gonal family  $\overline{\mathcal{F}}$  for  $\overline{G}$  to a 4-gonal family  $\mathcal{F}$  of G defined as follows:

$$A(\infty) = \{ (\overrightarrow{0}, 0, \beta) \in G : \beta \in E \};$$

$$A^*(\infty) = \{ (\overrightarrow{0}, c, \beta) \in G : c \in F, \beta \in F^2 \}.$$
(9)

And for  $t \in F$ ,

$$A(t) = \{ (\alpha, \sqrt{\alpha A_t \alpha^T}, y_t \alpha) \in G : \alpha \in F \},$$

$$A^*(t) = \{ (\alpha, c, y_t \alpha) \in G : \alpha \in E, c \in F \}.$$
(10)

Clearly  $\mathcal{F} = \{A(t) : t \in F \cup \{\infty\}\}$  yields a GQ  $\mathcal{S}(G, \mathcal{F})$  isomorphic to  $\mathcal{S}(\overline{G}, \overline{\mathcal{F}})$ . The revised description  $\mathcal{S}(G, \mathcal{F})$  makes it easy to recognize subquadrangles.

#### 2 Subquadrangles and ovals

Let  $\mathcal{C}$  be a q-clan (normalized as in equation (3)) with corresponding 4-gonal family  $\mathcal{F}$  for G (in the revised form just given), etc., and let  $\mathcal{S} = \mathcal{S}(G, \mathcal{F})$  be the associated GQ. For  $\vec{0} \neq \alpha \in E$ , put

$$G_{\alpha} = \{ (a\alpha, c, b\alpha) \in G : a, b, c \in F \}.$$

$$(11)$$

Since  $\alpha \circ \beta = 0$  if  $\beta = c\alpha, c \in F$ , in  $G_{\alpha}$  we have

$$(a\alpha, c, b\alpha) \cdot (a'\alpha, c', b'\alpha) = ((a+a')\alpha, c+c', (b+b')\alpha).$$
(12)

So  $G_{\alpha}$  is an elementary abelian group with order  $q^3$ . Define the following subgroups of  $G_{\alpha}$ :

$$A_{\alpha}(\infty) = A(\infty) \cap G_{\alpha} = \{ (\vec{0}, 0, b\alpha) \in G : b \in F \};$$

$$A_{\alpha}^{*}(\infty) = A^{*}(\infty) \cap G_{\alpha} = \{ (\vec{0}, c, b\alpha) \in G : c, b \in F \}.$$

$$(13)$$

And for  $t \in F$ ,

$$A_{\alpha}(t) = A(t) \cap G_{\alpha} = \{(a\alpha, a\sqrt{\alpha A_t \alpha^T}, at\alpha) \in G : a \in F\};$$
(14)  
$$A_{\alpha}^*(t) = A^*(t) \cap G_{\alpha} = \{(a\alpha, c, at\alpha) \in G : a, c \in F\}.$$

Here  $\mathcal{F}_{\alpha} = \{A_{\alpha}(t) : t \in F \cup \{\infty\}\}$  is immediately seen to be a 4-gonal family for  $G_{\alpha}$ . Moreover, by [6] we may view  $\mathcal{S}_{\alpha} = \mathcal{S}(G_{\alpha}, \mathcal{F}_{\alpha})$  as a subquadrangle (of order

q) of  $\mathcal{S} = \mathcal{S}(G, \mathcal{F})$ . Clearly  $G_{\alpha}$  is isomorphic to  $F^3$  under componentwise addition. Moreover, we can define a scalar multiplication on  $G_{\alpha}$  by

$$d(a\alpha, c, b\alpha) = (da\alpha, dc, db\alpha), a, b, c, d \in F.$$
(15)

Then it is also clear that  $\mathcal{F}_{\alpha}$  is an oval in the projective plane naturally associated with the 3-dimensional *F*-linear space  $G_{\alpha}$ .

Consider the three projective points  $p_1 = (\alpha, 0, \vec{0}), p_2 = (\vec{0}, 1, \vec{0}), p_3 = (\vec{0}, 0, \alpha)$ . The scalar triple  $(1, \sqrt{\alpha A_t \alpha^T}, t)$  on the points  $p_1, p_2$  and  $p_3$  results in  $(\alpha, 0, \vec{0}) \cdot (\vec{0}, \sqrt{\alpha A_t \alpha^T}, \vec{0}) \cdot (\vec{0}, 0, t\alpha) = (\alpha, \sqrt{\alpha A_t \alpha^T}, t\alpha) \leftrightarrow A_{\alpha}(t)$  considered as a projective point. Hence the oval  $\mathcal{F}_{\alpha}$  is the set of q + 1 points represented by the following set of triples of coordinates:

$$\mathcal{O}_{\alpha} = \{(0,0,1)\} \cup \{(1,\sqrt{\alpha A_t \alpha^T}, t) : t \in F\}, \text{ with nucleus } (0,1,0).$$
(16)

We say that the map  $t \mapsto \sqrt{\alpha A_t \alpha^T}$  is an  $\mathcal{O}$ -permutation. Specifically, a permutation  $\gamma: F \to F$  is an  $\mathcal{O}$ -permutation provided

(i) 
$$\gamma: 0 \mapsto 0$$
, and  
(ii)  $\frac{s^{\gamma} - t^{\gamma}}{s - t} \neq \frac{s^{\gamma} - u^{\gamma}}{s - u}$  (17)

whenever s, t and u are distinct members of F.

**Note.** This language is suggested by the term  $\mathcal{O}$ -polynomial in [2], except that we find it more convenient NOT to require that  $\gamma$  be normalized so that  $\gamma : 1 \mapsto 1$ . (We could also avoid  $\gamma : 0 \mapsto 0$ , but this holds in all the specific examples we consider.)

For 
$$\alpha = (a_1, a_2) \neq (0, 0), A_t = \begin{pmatrix} x_t & t \\ 0 & z_t \end{pmatrix}$$
, the above becomes  
 $t \mapsto a_1 \sqrt{x_t} + \sqrt{a_1 a_2} \sqrt{t} + a_2 \sqrt{z_t}$  is an  $\mathcal{O}$ -permutation. (18)

In the next section it will be convenient to represent *q*-clans in the form  $C = \begin{cases} A_t = \begin{pmatrix} f(t) & t^{1/2} \\ 0 & g(t) \end{pmatrix} : t \in F \end{cases}$ . If  $\sigma : x \mapsto x^2$  is the Frobenius automorphism of *F*, then clearly  $C^{\sigma} = \begin{cases} A_t^{\sigma} = \begin{pmatrix} f(t)^2 & t \\ 0 & g(t)^2 \end{pmatrix} : t \in F \end{cases}$  is also a *q*-clan, so

$$t \mapsto a^2 f(t) + abt^{1/2} + b^2 g(t)$$
 (19)

is an  $\mathcal{O}$ -permutation whenever  $(a, b) \neq (0, 0)$ .

**Note.** T. Penttila (private communication) has given a direct proof of (19) without mentioning GQ.

Using standard notation for the GQ  $S = S(G, \mathcal{F})$ , let  $\Gamma$  be the dual grid spanned by the points  $(\infty)$  and  $(\vec{0}, 0, \vec{0})$ . All the subquadrangles  $S_{\alpha}$  constructed above contain  $\Gamma$ . We know that two subquadrangles of order q in S that have a dual grid (with 2(q+1) points) in common must have in common just the points and lines of that dual grid. Hence  $S_{\alpha}$  and  $S_{\beta}$  are identical if  $\{\alpha, \beta\}$  is F-dependent, and they meet in  $\Gamma$  otherwise. It follows that we have constructed a family of q + 1 subquadrangles  $S_{\alpha}$  of order q pairwise intersecting in  $\Gamma$ .

### **3** Collineations

Suppose without loss of generality that the matrices of the *q*-clan  $\mathcal{C}$  are given in the form  $A_t = \begin{pmatrix} f(t) & t^{1/2} \\ 0 & g(t) \end{pmatrix}$ ,  $t \in F$ , with f(0) = g(0) = 0 and f(1) = 1. Then  $K_t^{-1}A_tK_t^{-1} \equiv \begin{pmatrix} t^{-1}g(t) & t^{-1/2} \\ 0 & t^{-1}f(t) \end{pmatrix}$ . Starting with the paragraph following equation (5), it is straightforward to prove the following.

**Theorem 3.1** If  $f(t^{-1}) = t^{-1}g(t)$  (equivalently,  $g(t^{-1}) = t^{-1}f(t)$ ), then the automorphism  $\theta : G \to G : (\alpha, c, \beta) \mapsto (\beta P, c + \sqrt{\alpha P \beta^T}, \alpha P)$  induces a collineation of  $\mathcal{S}(G, F)$  that interchanges  $A(\infty)$  and A(0), and interchanges A(t) and  $A(t^{-1})$  for  $0 \neq t \in F$ .

We now recall a result from [7], but modified to fit our group G introduced at the end of section 1.

**Theorem 3.2** Let  $C = \{A_t : t \in F\}$  be a q-clan with  $A_0 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ . Let  $\theta$  be a collineation of the GQ  $S = S(G, \mathcal{F})$  derived from C which fixes the point  $(\infty)$ , the line  $[A(\infty)]$  and the point  $(\vec{0}, 0, \vec{0})$ . Then the following must exist:

- (i) A permutation  $t \mapsto t'$  of the elements of F;
- (ii)  $\lambda \in F$ ,  $\lambda \neq 0$ ;
- (iii  $\sigma \in \operatorname{Aut}(F)$ ;
- (iv)  $D \in \operatorname{GL}(2,q)$  for which  $A_{t'} \equiv \lambda D^T A_t^{\sigma} D A_{0'}$  for all  $t \in F$ .

Conversely, given  $\sigma$ , D,  $\lambda$  and a permutation  $t \mapsto t'$  satisfying the above conditions, the following automorphism  $\theta$  of G induces a collineation of  $\mathcal{S}(G, \mathcal{F})$  fixing  $(\infty)$ ,  $[A(\infty)]$  and  $(\vec{0}, 0, \vec{0})$ :

$$\theta = \theta(\sigma, D, \lambda) : G \to G :$$

$$(\alpha, c, \beta) \mapsto (\lambda^{-1} \alpha^{\sigma} D^{-T}, \lambda^{-1/2} c^{\sigma} + \lambda^{-1} \sqrt{\alpha^{\sigma} D^{-T} A_{0'} D^{-1} (\alpha^{\sigma})^{T}},$$

$$\beta^{\sigma} P D P + \lambda^{-1} y_{0'} \alpha^{\sigma} D^{-T}).$$

$$(20)$$

**Theorem 3.3** For  $A_t = \begin{pmatrix} f(t) & t^{1/2} \\ 0 & g(t) \end{pmatrix}$ , the conditions in theorem 3.2 are equivalent to having a permutation  $t \mapsto t', \ 0 \neq \lambda \in F, \ D = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \in \operatorname{GL}(2,F),$ 

 $\sigma \in \operatorname{Aut}(F)$ , for which

- (i)  $t' = \lambda^2 (ad + bc)^2 t^{\sigma} + 0'$ , for all  $t \in F$ .
- (ii)  $f(t') = \lambda [a^2 f(t)^{\sigma} + abt^{\sigma/2} + b^2 g(t)^{\sigma}] + f(0')$ , for all  $t \in F$ . (21)
- (iii)  $g(t') = \lambda [c^2 f(t)^{\sigma} + c dt^{\sigma/2} + d^2 g(t)^{\sigma}] + g(0')$ , for all  $t \in F$ .

For completeness, we note that right multiplication by elements of G induces a group of  $q^5$  collineations of  $\mathcal{S}(G, \mathcal{F})$  acting regularly on the set of points not collinear with  $(\infty)$  and fixing each line through  $(\infty)$ .

The Subjaco GQ introduced in [1] all have q-class of the form used in theorem 3.3 with the following additional specializations:

- (i)  $f(t) = \frac{f'(t)}{k(t)} + Ht^{1/2}, t \in F;$ (ii)  $g(t) = \frac{g'(t)}{k(t)} + Kt^{1/2}, t \in F;$  where
- (iii) k(t) is the square of an irreducible quadratic polynomial (22)(say  $k(t) = t^4 + c_2 t^2 + c_0$ );
- f'(t) and g'(t) are polynomials over F of degree at most 4 (iv)with f'(0) = g'(0) = 0 (and f(1) = 1); and
- Hand Kare nonzero elements of F.  $(\mathbf{v})$

Then the conditions of theorem 3.3 can be rewritten.

**Theorem 3.4** Suppose 
$$A_t = \begin{pmatrix} \frac{f'(t)}{k(t)} + Ht^{1/2} & t^{1/2} \\ 0 & \frac{g'(t)}{k(t)} + Kt^{1/2} \end{pmatrix}$$
,  $t \in F$ , with the con-

ditions of (22) satisfied. Then the conditions in equation (21) of theorem 3.3 take on the following form:

(i) 
$$t' = \lambda^2 (ad + bc)^2 t^{\sigma} + 0'.$$

- $f'(t')k(t)^{\sigma}k(0') + \lambda(a^2 f'(t)^{\sigma} + b^2 q'(t)^{\sigma})k(t')k(0') + k(t')k(t)^{\sigma} f'(0')$ (ii)  $+\lambda k(t')k(t)^{\sigma}k(0')[a^{2}H^{\sigma} + ab + b^{2}K^{\sigma} + H(ad + bc)]t^{\sigma/2} = 0$ (23)
- $g'(t')k(t)^{\sigma}k(0') + \lambda(c^2f'(t)^{\sigma} + d^2g'(t)^{\sigma})k(t')k(0') + k(t')k(t)^{\sigma}g'(0')$ (iii)  $+\lambda k(t')k(t)^{\sigma}k(0')[c^{2}H^{\sigma}+cd+d^{2}K^{\sigma}+K(ad+bc)]t^{\sigma/2}.$

In equations (23)(ii) and (iii) replace t' with  $\lambda^2(ad+bc)^2t^{\sigma}+0'$  and write the resulting expressions as polynomials in  $t^{\sigma}$ . Now square both sides. The terms touched by  $t^{\sigma/2}$  (before squaring) have odd positive integer exponents < 17. The other terms have even exponents < 16. Since e > 5, the coefficients on  $t^{\sigma/2}$  in equations (23)(ii) and (iii) must be zero. Hence equation (23) can be replaced with

(i) 
$$(a^2H^{\sigma} + ab + b^2K^{\sigma})/H = ad + bc = (c^2H^{\sigma} + cd + d^2K^{\sigma})/K \neq 0.$$

(ii) 
$$f'(t')k(t)^{\sigma}k(0') + \lambda [a^2 f'(t)^{\sigma} + b^2 g'(t)^{\sigma}]k(t')k(0') = k(t')k(t)^{\sigma} f'(0').$$
 (24)

(iii)  $q'(t')k(t)^{\sigma}k(0') + \lambda [c^2 f'(t)^{\sigma} + d^2 q'(t)^{\sigma}]k(t')k(0') = k(t')k(t)^{\sigma}q'(0').$ 

#### Subjaco GQ with $q = 2^e$ , e odd 4

From now on we assume F = GF(q),  $q = 2^e$ , e odd and  $e \ge 5$ . So  $1 + t^2 + t^4 \ne 0$ for all  $t \in F$ .  $C = \left\{ A_t = \begin{pmatrix} h(t) + t^{1/2} & t^{1/2} \\ 0 & t^2 h(t) + t^{1/2} \end{pmatrix} : t \in F \right\}$ , where h(t) = $(t+t^2)/(1+t^2+t^4)$ . Let  $\mathcal{F}$  denote the corresponding 4-gonal family for G, and let  $\mathcal{S} = \mathcal{S}(\mathcal{C}) = \mathcal{S}(G, \mathcal{F})$  be the associated GQ. This example arises as a specialization of the general construction of Subiaco GQ in [1].

**Theorem 4.1** Each  $\sigma \in \text{Aut}(F)$  induces a collineation of S fixing  $[A(\infty)]$  and mapping [A(t)] to  $[A(t^{\sigma})]$  for  $t \in F$ .

**Proof.** Clearly  $f(t)^{\sigma} = f(t^{\sigma})$  and  $g(t)^{\sigma} = g(t^{\sigma})$ . In equation (21) put  $\lambda = a = d = 1$ , b = c = 0' = 0. Then the conditions are all satisfied with  $t' = t^{\sigma}$ .

**Theorem 4.2** There is a collineation of S interchanging  $[A(\infty)]$  and [A(0)] and interchanging [A(t)] and  $[A(t^{-1})]$  for  $0 \neq t \in F$ .

**Proof.** Check that  $f(t^{-1}) = t^{-1}g(t)$ , with  $f(t) = h(t) + t^{1/2}$  and  $g(t) = t^2h(t) + t^{1/2}$ , and use theorem 3.1.

From the form of f and g we know that S is not classical. (Alternatively, we will show that the group of collineations fixing the point  $(\infty)$  and the line  $[A(\infty)]$  is not transitive on the other lines through  $(\infty)$ .) Hence the point  $(\infty)$  is fixed by the full collineation group of S (cf. [9]). And because of theorem 4.1, to find all collineations fixing  $[A(\infty)]$ , it suffices to find all solutions of equation (24) (since the *q*-clan of this section has the form given in theorem 3.4 with  $\sigma = id$ . And we use  $g'(t) = t^2 f'(t)$ ,  $f'(t) = t + t^2$ ,  $t' = \lambda^2 (ad + bc)^2 t + 0'$ . Now compute  $(t')^2$  times equation (24)(ii) added to equation (24)(iii), and divide by k(t') to obtain:

$$\lambda(t+t^{2})k(0')[a^{2}(\lambda^{4}(ad+bc)^{4}t^{2}+(0')^{2} +b^{2}t^{2}(\lambda^{4}(ad+bc)^{4}t^{2}+(0')^{2})+c^{2}+d^{2}t^{2}]$$
  
=  $\lambda^{4}(ad+bc)^{4}t^{2}(1+t^{2}+t^{4})f'(0').$  (25)

The coefficient on t in equation (25) is  $\lambda k(0')[a^2(0')^2 + c^2]$ , implying

$$c = a0'. (26)$$

The coefficient on  $t^2$  is then  $\lambda k(0')\lambda^4(ad+bc)^4f'(0')$ , implying f'(0') = 0. Hence

$$0' \in \{0, 1\}. \tag{27}$$

The coefficient on  $t^5$  is  $\lambda k(0')b^2\lambda^4(ad+bc)$ , implying

$$b = 0 \text{ and } ad \neq 0. \tag{28}$$

Then from equation (24)(i),  $a^2 = ad$ , so

$$a = d. \tag{29}$$

Now equation (25) appears as  $\lambda(t+t^2)k(0')[a^2\lambda^4a^8t^2+a^2t^2]=0$ , from which we conclude

$$\lambda a^2 = 1. \tag{30}$$

We now have established the following:

(i) 
$$0' \in \{0, 1\}$$
  
(ii)  $c = a0'$   
(iii)  $b = 0 \neq a = d$   
(iv)  $\lambda a^2 = 1.$   
(31)

A straightforward check shows that if the conditions of equation (31) all hold, then the conditions of equation (24) all hold with t' = t+0'. This establishes the following: **Theorem 4.3** The group of collineations of S fixing  $[A(\infty)]$  and  $(\vec{0}, 0, \vec{0})$  (and of course  $(\infty)$ ) has order 2e(q-1) and has  $\{[A(0)], [A(1)]\}$  as an orbit.

At this point, including collineations induced by right multiplication by elements of G, we have a group of collineations of  $\mathcal{S} = \mathcal{S}(G, \mathcal{F})$  with order  $6e(q-1)q^5$ , and which as a permutation group acting on the set of indices of the lines through the point  $(\infty)$  includes the following:

(i) For 
$$\sigma \in \text{Aut}(F)$$
,  $\sigma : \infty \mapsto \infty$  and  $\sigma : t \mapsto t^{\sigma}$  for  $t \in F$ .  
(ii)  $\theta : \infty \leftrightarrow 0$ , and  $\theta : t \leftrightarrow t^{-1}$  for  $0 \neq t \in F$ .  
(iii)  $\phi : \infty \leftrightarrow \infty$ , and  $\phi : t \mapsto t + 1$  for  $t \in F$ .  
(32)

The set  $\{\infty, 0, 1\}$  is invariant under the permutations exhibited in equation (32).  $S_3 \cong \langle \theta, \phi \rangle$  has  $\{\infty, 0, 1\}$  as one orbit, and for  $t \in F \setminus \{0, 1\}$  has  $\Omega_t = \{t, t+1, (t+1)^{-1}, t/(t+1), (t+1)/t, t^{-1}\}$  as an orbit. Note that  $|\Omega_t| = 6$  since  $q = 2^e$  with e odd. But the automorphisms  $\sigma \in \text{Aut}(F)$  act on the  $\Omega_t$  differently for different t and different e.

For example, when e = 5, there are five disjoint  $\Omega_t$  on which  $\operatorname{Aut}(F)$  acts transitively:  $\Omega_t \cap \Omega_{t^{\sigma}} \neq \phi$  if and only if  $\sigma = id$ . So in this case (i.e., q = 32), the collineation group of S either has two orbits on the lines through the point  $(\infty)$ (one of which is  $\{[A(\infty)], [A(0)], [A(1)]\}$ ), or it has just one orbit. (We will show in section 5 that the full collineation group is transitive on the set of q+1 lines through  $(\infty)$  for all odd  $e \geq 5$ .)

**Note.** If 3|e, then  $x^3 + x^2 + 1 = 0$  has a root  $t_0 \in F$ . In this case  $\Omega_{t_0}$  is broken into two orbits under Aut (F), since for each  $t \in \Omega_{t_0}$ ,  $t^2 = (t+1)^{-1}$ .

### **5** Recoordinatizing the GQ

Start with the *standard form* of the *q*-clan given at the beginning of section 4. Fix  $w \in F$ . The idea is to let w play the role of  $\infty$ , i.e., w plays the role of  $t_0$  in equation (6).

$$\mathcal{C}^{w} = \left\{ A_{t}^{w} = \left( \begin{array}{cc} \frac{h(t)+h(w)}{t+w} + (t+w)^{-1/2} & (t+w)^{-1/2} \\ 0 & \frac{t^{2}h(t)+w^{2}h(w)}{t+w} + (t+w)^{-1/2} \end{array} \right) : w \neq t \in F \right\} \\
\cup \left\{ \left( \begin{array}{c} 0 & 0 \\ 0 & 0 \end{array} \right) \right\}.$$
(33)

Put  $x = (t + w)^{-1}$ , so  $t = w + x^{-1}$ , and substitute into equation (33) to obtain

$$\mathcal{C}^{w} = \left\{ A_{x}^{w} = \left( \begin{array}{cc} f^{w}(x) + x^{1/2} & x^{1/2} \\ 0 & g^{w}(x) + x^{1/2} \end{array} \right) : x \in F \right\},$$
(34)

where

(i) 
$$f^w(x) = f'(x)/k(x), g^w(x) = g'(x)/k(x)$$
, and  
(ii)  $f'(t) = t^4(1 + w^2 + w^4) + t^3(1 + w + w^4) + t(w + w^2)$ ,  
(iii)  $g'(t) = t^4(w^2 + w^4 + w^6) + t^3(w + w^4 + w^5) + t^2(1 + w^2 + w^4) + t(1 + w^2 + w^3)$ ,  
(iv)  $k(t) = t^4(1 + w^2 + w^4)^2 + (t^2 + 1)(1 + w^2 + w^4)$ .  
(35)

If there is a collineation of the GQ  $\mathcal{S}$  (with q-clan in standard form) mapping  $[A(\infty)]$  to [A(w)], then there must be a collineation  $\theta = \theta(\sigma, D, \lambda)$  (in the *new* coordinatization) which is an involution fixing  $[A(\infty)]$  and interchanging all other lines through  $(\infty)$  in pairs. So there is an involution  $\theta$  of the form

$$\theta: (\alpha, c, \beta) \mapsto$$

$$(36)$$

$$(\lambda^{-1}\alpha^{\sigma}D^{-T}, \lambda^{-1/2}c^{\sigma} + \lambda^{-1}\sqrt{\alpha^{\sigma}D^{-T}A_{0'}D^{-1}(\alpha^{\sigma})^{T}}\beta^{\sigma}PDP + \lambda^{-1}y_{0'}\alpha^{\sigma}D^{-T}),$$
with  $D = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \in GF(2, q).$ 

Since theorem 3.4 applies (with the new coordinates), equation (24)(i) holds with H = K = 1.

$$ad + bc = a^2 + ab + b^2 = c^2 + cd + d^2.$$
 (37)

Compute the effect of  $\theta^2 = id$  on  $(\vec{0}, c, \vec{0}) \in G : (\vec{0}, c, \vec{0}) = (\vec{0}, \lambda^{-(\sigma+1)/2}c^{\sigma^2}, \vec{0})$  for all  $c \in F$ . This implies  $\sigma^2 = id$ , so  $\sigma = id$  since e is odd, and also  $\lambda = 1$ . Similarly,  $id = \theta^2 : (\vec{0}, 0, \beta) \mapsto (\vec{0}, 0, \beta(PDP)^2)$  for all  $\beta \in F^2$ , implying  $D^2 = I$ . This implies a = d and  $1 = \det(D) = a^2 + bc = a^2 + ab + b^2 = a^2 + ac + c^2$ , forcing  $1 - a^2 = bc = ab + b^2 = ac + c^2$ . Hence b(a + b + c) = c(a + b + c) = 0. Suppose first that  $a + b + c \neq 0$ . Then D = I, and from the fact that the coefficient on  $t^{\sigma} = t$  in equation (24)(ii) must be 0 it follows that 0' = 0. This means that  $\theta$  is not the involution we seek, hence a + b + c = 0.

The coefficients on  $(t^{\sigma})^7 = t^7$  in equation (24)(ii) and (iii) must both be 0. This leads to a system of two linear equations in  $a^2$  and  $b^2$  which is easily solved. And then  $c^2 = a^2 + b^2$  leads to the following:

Put  $v = (1 + w + w^2)^{1/2}$ . Then

(i) 
$$a = d = (1 + w^5)/v^5,$$
  
(ii)  $b = (1 + w + w^4)/v^5,$   
(iii)  $c = (w + w^4 + w^5)/v^5.$ 
(38)

Finally, considering the coefficient of  $t^{\sigma} = t$  in equation (24)(ii), we compute

$$0' = 1/v^2. (39)$$

It is now a tedious but uninspired task to show that the unique possible involution  $\theta$  determined by equations (38) and (39) does satisfy the conditions of equation (24). Tracing back through the recoordinatization process, we find that the involution  $\theta$  induces the following permutation on the indices of the lines through  $(\infty)$  in the *original coordinatization* of section 4:

$$t \mapsto (t(1+w^2)+w^2)/(t+1+w^2).$$
 (40)

In particular,  $\infty \leftrightarrow 1 + w^2$  and  $w \leftrightarrow w$ . Here w can be any element of F, and w = 1 gives the original involution found in theorem 4.2. This proves the following:

**Theorem 5.1** The full collineation group  $\mathcal{G}$  of the GQ given in section 4 (with  $q \geq 32$ ) has order  $2e(q^2 - 1)q^5$  and acts transitively on the lines through the point  $(\infty)$ .

## 6 The Action of $\mathcal{G}$ on the subquadrangles $\mathcal{S}_{\alpha}$

The action of the full collineation group  $\mathcal{G}$  on the subquadrangles  $\mathcal{S}_{\alpha}$  is determined by its action on the subgroups  $G_{\alpha}$ . Clearly the stabilizer  $\mathcal{G}_0$  of the point  $(\vec{0}, 0, \vec{0})$ must leave the dual grid  $\Gamma$  invariant, so it must permute the  $\mathcal{S}_{\alpha}$  among themselves.

Let  $w \in F$ . Then the map  $\phi_w$  defined by

$$\phi_w: G \to G: (\alpha, c, \beta) \mapsto ((y_w \alpha + \beta)P, c + \sqrt{\alpha A_w \alpha^T + \alpha P \beta^T}, \alpha P)$$
(41)

is an automorphism of G that corresponds to the recoordinatization of section 5. It is convenient to have its inverse

$$\phi_w^{-1}: G \to G: (\gamma, d, \delta) \mapsto (\delta P, d + \sqrt{\delta P A_w P \delta^T + \delta P \gamma^T}, (y_w \delta + \gamma) P).$$
(42)

Now consider an involution of the recoordinatized GQ of the type  $\theta_w = \theta_w(id, D, 1)$ with D as in equation (38). For such a D,  $PD^{-T}P = D$  and  $PDP = D^T = D^{-T}$ .

$$\theta_w : G \to G :$$

$$(\alpha, c, \beta) \mapsto (\alpha D^{-T}, c + \sqrt{\alpha D^{-T} A_{0'} D^{-1} \alpha^T}, (\beta + 0' \alpha) D^{-T}), \qquad (43)$$

with  $0' = (1 + w + w^2)^{-1}$ .

Then  $\overline{\theta}_w = \phi_w \circ \theta_w \circ \phi_w^{-1}$  (doing  $\phi_w$  first) as an automorphism of G is an involution of the GQ  $\mathcal{S}$  expressed in the original coordinates. To consider its effect on the  $G_\alpha$ we do not need to compute the middle coordinate.

$$\overline{\theta}_w : (\alpha, c, \beta) \mapsto ((\alpha + 0'(y_w \alpha + \beta))D, -, 0'y_w^2 \alpha D + (y_w 0' + 1)\beta D).$$
(44)

From equation (44) it is clear that  $G_{\alpha} \to G_{\alpha D}$ .

From here on we use just the group  $\mathcal{G}_0$ . The stabilizer  $\mathcal{G}_{0,\infty}$  of  $[A(\infty)]$  (see theorem 4.3) has order 2e(q-1), and for  $0 \neq a \in F$ ,  $\sigma \in \operatorname{Aut}(F)$ ,  $0' \in \{0,1\}$ , consists of the following maps:

$$(\alpha, c, \beta) \mapsto (a\alpha^{\sigma} K, ac^{\sigma} + a\sqrt{\alpha^{\sigma} K A_{0'} K^T (\alpha^{\sigma})^T}, a(\beta^{\sigma} + 0'\alpha^{\sigma})K),$$
(45)

with

$$K = \left(\begin{array}{cc} 1 & 0\\ 0' & 1 \end{array}\right).$$

Put  $\alpha = (0, 1)$  and determine the stabilizer of this  $\alpha$ . Since  $\alpha K = (0', 1)$ , the stabilizer of  $\alpha$  in  $\mathcal{G}_{0,\infty}$  has order e(q-1), since 0' must be 0. Write D(w) for the D given by equation (38). The collineations  $\theta(id, D(w), 1)$  are coset representatives for those cosets of  $\mathcal{G}_{0,\infty}$  in  $\mathcal{G}_0$  different from  $\mathcal{G}_{0,\infty}$ . So to find the stabilizer of  $\alpha$  in  $\mathcal{G}_{0,\infty} \cdot D(w)$  we consider  $\alpha KD(w) = (0', 1) \cdot D(w) = (0'(1+w^5)+1+w+w^4, 0'(w+w^4+w^5)+1+w^5)/(1+w+w^2)^{5/2}$ . The first coordinate is 0 if and only if 0' = 1 and w = 0. So there are e(q-1) such collineations, implying that the stabilizer of  $G_{\alpha}$  in  $\mathcal{G}_0$  has order  $2e(q^2-1)$ ,  $\mathcal{G}_0$  is transitive on the set of  $q+1G_{\alpha}$ , and hence on the  $q+1\mathcal{S}_{\alpha}$  as well, implying also that only one oval arises.

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