

# Approximation Theorems for spherical monogenics of complex degree

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## Abstract

Spherical monogenics of complex degree correspond to local eigenfunctions of the (Atiyah-Singer) Dirac operator on the unit sphere  $S^{m-1}$  of  $\mathbb{R}^m$ . In this paper we will consider Runge approximation Theorems and some of their consequences for this class of functions.

## 1 Introduction

Let  $(e_1, \dots, e_m)$  be an orthonormal basis of Euclidean space  $\mathbb{R}^m$  endowed with the inner product  $\langle x, y \rangle = \sum_{i=1}^m x_i y_i$ ,  $x, y \in \mathbb{R}^m$ . By  $\mathbb{C}_m$  we denote the complex  $2^m$ -dimensional Clifford algebra over  $\mathbb{R}^m$  generated by the relations  $e_i^2 = -1$ ,  $i = 1, \dots, m$  and  $e_i e_j + e_j e_i = 0$ ,  $i \neq j$ . An element of  $\mathbb{C}_m$  is of the form  $a = \sum_{A \subset M} a_A e_A$ ,  $a_A \in \mathbb{C}$ ,  $M = \{1, \dots, m\}$  and  $e_\emptyset = e_0 = 1$ . The elements  $a \in \mathbb{C}_m$  such that  $a_A \in \mathbb{R}$  for all  $A \subset M$  determine a real subalgebra of  $\mathbb{C}_m$  denoted by  $\mathbb{R}_m$ ; this is the *real* Clifford algebra over  $\mathbb{R}^m$  generated by the above relations. Conjugation on  $\mathbb{C}_m$  is the anti-involution on  $\mathbb{C}_m$  given by  $\bar{a} = \sum_{A \subset M} \bar{a}_A \bar{e}_A$  where  $\bar{e}_A = \bar{e}_{\alpha_h} \dots \bar{e}_{\alpha_1}$  and  $\bar{e}_j = -e_j$ ,  $j = 1, \dots, m$ . Vectors  $x \in \mathbb{R}^m$  are identified with Clifford numbers  $x = \sum_{j=1}^m x_j e_j$ . For vectors  $x, y \in \mathbb{R}^m$ ,

$$xy = x \cdot y + x \wedge y$$

where the inner product and outer product are given by

$$x \cdot y = -\langle x, y \rangle = -\sum_{j=1}^m x_j y_j, \quad x \wedge y = \sum_{i < j} (x_i y_j - x_j y_i) e_{ij}.$$

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A norm  $|\cdot|_0$  on  $\mathbb{C}_m$  is given by  $|a|_0^2 = [a\bar{a}]_0$  and satisfies  $|a + b|_0 \leq |a|_0 + |b|_0$ ,  $|ab|_0 \leq 2^{\frac{m}{2}}|a|_0|b|_0$ .

Let  $\partial_x = \sum_{i=1}^m e_i \partial_{x_i}$  be the Dirac operator on  $\mathbb{R}^m$ . In spherical coordinates  $x = \rho\omega$ ,  $\rho = |x| = (x_1^2 + \dots + x_m^2)^{1/2}$  and  $\omega \in S^{m-1}$ , the Dirac operator admits the polar decomposition  $\partial_x = \omega(\partial_\rho + \frac{1}{\rho}\Gamma_\omega)$  where  $\Gamma_\omega = -x \wedge \partial_x$  is the spherical Dirac operator on  $S^{m-1}$ . In terms of the momentum operators  $L_{ij} = x_i \partial_{x_j} - x_j \partial_{x_i}$ ,  $i, j = 1, \dots, m$  on  $\mathbb{R}^m$  the  $\Gamma$ -operator is given by  $\Gamma = -\sum_{i < j} e_{ij} L_{ij}$ . In [18] we studied spherical monogenics of degree  $\alpha$ , ( $\alpha \in \mathbb{C}$ ) on the unit sphere  $S^{m-1}$  in  $\mathbb{R}^m$ . Let us recall the following

**Definition**

Let  $\Omega \subset S^{m-1}$  be open. A  $C^1$ -function  $f : \Omega \rightarrow \mathbb{C}_m$  satisfying  $(\Gamma + \alpha)f = 0$  in  $\Omega$  is called a spherical monogenic of order (degree)  $\alpha$  in  $\Omega$ . The right module of this class of functions is denoted by  $M_{(r)}^\alpha(\Omega)$ .

The value  $\alpha = \frac{-m+1}{2}$  plays a special role in the scheme presented. The corresponding spherical monogenics are null solutions of the (Atiyah-Singer) Dirac operator  $\omega(\Gamma_\omega + \frac{-m+1}{2})$  on  $S^{m-1}$  used in differential geometry (see [10]). Amongst the operators  $\omega(\Gamma + \alpha)$  this particular operator has the special property that it is conformally invariant. The family of operators  $\omega(\Gamma + \alpha)$ ,  $\alpha \in \mathbb{C}$ , can be regarded as a holomorphic perturbation of the Dirac operator on the sphere. Spherical monogenics of degree  $\alpha \neq \frac{-m+1}{2}$  can be regarded as (local) eigenfunctions of the (A-S) Dirac operator on  $S^{m-1}$ . If e.g.  $(\Gamma + \alpha)f = 0$  in  $\Omega$ , then  $\omega(\Gamma + \frac{-m+1}{2})(1 \pm \omega)f = \mp(\alpha + \frac{m-1}{2})(1 \pm \omega)f$  in  $\Omega$ . If on the other hand  $\omega(\Gamma + \frac{-m+1}{2})g = \lambda g$  in  $\Omega$ , then  $(\Gamma \pm (\lambda + \frac{-m+1}{2}))(1 \pm \omega)g = 0$  in  $\Omega$ . Hence eigenfunctions of the  $\Gamma$ -operator (which is the submanifold Dirac operator on  $S^{m-1}$  induced by the Dirac operator on the embedding space  $\mathbb{R}^m$ ) correspond to eigenfunctions (with shifted eigenvalue) of the Dirac operator on the sphere (see also [3]).

In this paper we prove the following type of Runge approximation Theorems. Let  $\Omega, \Omega' \subset S^{m-1}$  be open and let  $K \subset S^{m-1}$  be compact. Then  $M_{(r)}^\alpha(\Omega)$  is dense in  $M_{(r)}^\alpha(K)$ ,  $K \subset \Omega$  and  $M_{(r)}^\alpha(\Omega)$  is dense in  $M_{(r)}^\alpha(\Omega')$ ,  $\Omega' \subset \Omega$  iff  $\Omega \setminus K$  and  $\Omega \setminus \Omega'$  satisfy some topological condition. As our proof of these Theorems relies on the existence of a Cauchy kernel for the operator  $\Gamma + \alpha$ , we impose the condition  $\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$ . As a consequence we solve the equation  $(\Gamma + \alpha)f = g$ ,  $g \in C^\infty(\Omega)$ ,  $\Omega$  open and prove Mittag-Leffler's Theorem for the operator  $\Gamma + \alpha$  on  $S^{m-1}$ . As a result we solve the inhomogeneous equation  $(\Gamma + \alpha)f = g$  in  $\Omega$ ,  $g \in C^\infty(\Omega)$ ,  $\Omega \subset S^{m-1}$  open. This leads to Mittag-Leffler's Theorem for the operators  $\Gamma + \alpha$ .

**2 Some introductory Lemmas**

The following lemmas of a topological nature are of importance. We list them without proof.

Let  $u \in S^{m-1}$ . Then we define the ball  $B_S(u, \delta) = B(u, \delta) \cap S^{m-1} = \{\omega \in S^{m-1} : \sqrt{2(1 - \langle \omega, u \rangle)} < \delta\}$ . Obviously the sets  $B_S(u, \delta)$ ,  $0 < \delta \leq 2$ , form a fundamental system of connected neighbourhoods of  $u$  on  $S^{m-1}$ .

**Lemma 1.** *Let  $K \subset \Omega \subset S^{m-1}$ ,  $K$  compact and  $\Omega$  open. Then the following conditions are equivalent:*

- (i)  $\Omega \setminus K$  has no components of which the closure (in  $S^{m-1}$ ) is contained in  $\Omega$
- (ii) For each component  $W$  of  $S^{m-1} \setminus K$  :  $\overline{W} \cap (S^{m-1} \setminus \Omega) \neq \emptyset$  .

**Lemma 2.**  $V$  is a component of  $\Omega \setminus K$  satisfying  $\overline{V} \subset \Omega$  iff  $\partial V \subset K$ .

**Lemma 3.** Let  $\Omega, \Omega'$  be open subsets of  $S^{m-1}$  such that  $\Omega' \subset \Omega$ . Then the following conditions are equivalent:

- (i)  $\Omega \setminus \Omega'$  has no components which are closed in  $S^{m-1}$
- (ii) For each component  $W$  of  $S^{m-1} \setminus \Omega'$  :  $\overline{W} \cap (S^{m-1} \setminus \Omega) \neq \emptyset$
- (iii) For each component  $G$  of  $\Omega \setminus \Omega'$  :  $\overline{G} \cap \partial \Omega \neq \emptyset$  .

**Lemma 4.** Let  $\Omega, \Omega'$  be open subsets of  $S^{m-1}$ ,  $\Omega' \subset \Omega$  and let  $V$  be a component of  $\Omega \setminus \Omega'$  which is closed in  $S^{m-1}$ . Then  $\partial V \subset \partial \Omega'$ .

**Lemma 5.** (Exhaustion of open sets on  $S^{m-1}$  by means of compacta)

Define for  $j \in \mathbb{N}_0$ :

$$K_j = \left\{ \omega \in S^{m-1} : d(\omega, S^{m-1} \setminus \Omega) \geq \frac{1}{j} \right\}$$

$$G_j = \left\{ \omega \in S^{m-1} : d(\omega, S^{m-1} \setminus \Omega) > \frac{1}{j} \right\}$$

where  $d(\omega, \xi) = |\omega - \xi| = \sqrt{2(1 - \langle \omega, \xi \rangle)}$ ,  $\omega, \xi \in S^{m-1}$ . Then:

- (i)  $K_j \subset \overset{\circ}{K}_{j+1}$ ,  $\Omega = \cup K_j = \cup \overset{\circ}{K}_j$
- (ii) Each compact set  $K \subset \Omega$  is contained in some  $K_{j_0}$
- (iii) Each component of  $S^{m-1} \setminus K_j$  contains a component of  $S^{m-1} \setminus \Omega$
- (iv)  $\Omega \setminus K_j$  has no components of which the closure is contained in  $\Omega$
- (v) Put  $H_1 = G_2$ ,  $H_j = G_{j+1} \setminus \overline{G}_{j-1}$ ,  $j \geq 2$ ; then  $\{H_j\}_{j \geq 1}$  is a locally finite cover of  $\Omega$  .

**Theorem 6.** Let  $\Omega$  be a proper open subset of  $S^{m-1}$  and let  $K \subset \Omega$  be compact such that  $\Omega \setminus K$  has no components of which the closure is contained in  $\Omega$ . Then there exists a fundamental system  $\{F_i\}$  of compact neighbourhoods of  $K$  in  $\Omega$  such that for each  $i$ :

- (i)  $F_i$  has piecewise smooth boundary
- (ii)  $\Omega \setminus F_i$  has no components whose closure is contained in  $\Omega$  .

*Proof.*

Consider the sets

$$K_j = \left\{ \omega \in S^{m-1} : d(\omega, K) \leq \frac{1}{j} \right\} = \cup_{\omega \in K} \overline{B}_S(\omega, \frac{1}{j})$$

$$G_j = \left\{ \omega \in S^{m-1} : d(\omega, K) < \frac{1}{j} \right\} = \cup_{\omega \in K} B_S(\omega, \frac{1}{j}), \quad j \in \mathbb{N}_0.$$

Each  $K_j$  is compact while each  $G_j$  is open and  $K_{j+1} \subset G_j \subset \overset{\circ}{K}_j$ . By compactness of  $K$ ;  $\{G_j\}_{j \in \mathbb{N}_0}$  and  $\{K_j\}_{j \in \mathbb{N}_0}$  are fundamental systems of neighbourhoods of  $K$  on  $S^{m-1}$ . For each  $j$ ,  $G_j$  is an open cover of  $K$  and therefore has a finite subcover  $\cup_{i_j=1}^{n_j} B_S(\xi_{i_j}, \frac{1}{j}) \subset G_j$  covering  $K$ . Put  $\tilde{K}_j = \cup_{i_j=1}^{n_j} \overline{B}_S(\xi_{i_j}, \frac{1}{j})$ , then  $\tilde{K}_j$  is compact and has piecewise smooth boundary. As  $K \subset \tilde{K}_j \subset K_j$ ,  $\{\tilde{K}_j\}_{j \in \mathbb{N}_0}$  is a fundamental system of neighbourhoods of  $K$  on  $S^{m-1}$ ; since  $K_{j_0} \subset \Omega$  for some  $j_0$ ,  $\{\tilde{K}_j\}_{j \geq j_0}$  is then a fundamental system of neighbourhoods of  $K$  in  $\Omega$ . Consider now an arbitrary  $\tilde{K}_j$ ,  $j \geq j_0$ . Since  $\Omega \setminus \tilde{K}_j \subset \Omega \setminus K$ , each component of  $\Omega \setminus \tilde{K}_j$  is contained in a component of  $\Omega \setminus K$ . As  $\tilde{K}_j$  is the union of a finite number of closed balls,  $\Omega \setminus \tilde{K}_j$  has only a finite number of components; say  $W_i^l$ ,  $i = 1, \dots, n_j$ ,  $1 \leq l \leq k_j$  satisfying  $\overline{W}_i^l \subset \Omega$ . Call  $\Omega_i$  the components of  $\Omega \setminus K$  such that  $W_i^l \subset \Omega_i$ ,  $i = 1, \dots, n_j$ . Suppose now that  $G$  is a component of  $\Omega \setminus K$  which does not contain any component of  $\Omega \setminus \tilde{K}_j$ , then  $G \cap (\Omega \setminus \tilde{K}_j) = \emptyset$  or  $G \subset (\Omega \setminus K) \setminus (\Omega \setminus \tilde{K}_j) = \tilde{K}_j \setminus K \subset \tilde{K}_j$ , hence  $\overline{G} \subset \Omega$  which contradicts the assumption in the Lemma; therefore each component of  $\Omega \setminus K$  contains a component of  $\Omega \setminus \tilde{K}_j$ . In the same way each component of  $\Omega \setminus K$  contains a component of  $\Omega \setminus L$  where  $L$  is the compact set given by  $L = \tilde{K}_j \cup (\cup_{i,l} \overline{W}_i^l)$ ; as  $\Omega \setminus L$  and  $\Omega \setminus \tilde{K}_j$  have the same components of which the closure is not contained in  $\Omega$ , it follows that each  $\Omega_i$  contains a component  $G_i$  of  $\Omega \setminus \tilde{K}_j$  such that  $\overline{G}_i \cap \partial\Omega \neq \emptyset$ . Choose in each  $\Omega_i$  containing a component  $W_i^l$ , a set  $G_i$  satisfying  $\overline{G}_i \cap \partial\Omega \neq \emptyset$  and choose points  $a_i \in \overline{G}_i \cap \partial\Omega$ ,  $b_i^l \in W_i^l$ . As  $\Omega_i$  is open and connected,  $\Omega_i$  is path connected and for each  $l$  there is an arc  $L_i^l$  in  $\Omega_i$  connecting  $a_i$  and  $b_i^l$ . Choose for each  $\xi \in L_i^l$  an open ball  $B_S(\xi, r_\xi) \subset \Omega_i$ , the union of these balls forms an open cover of  $L_i^l$ ; as  $L_i^l$  is compact, there exists a finite subcover  $T_i^l = \cup_{j=1}^{N(l,i)} B_S(\xi_j, r_{\xi_j}) \subset \Omega_j$ . Put  $F_j = \tilde{K}_j \setminus \cup_{i,l} T_i^l$ , then  $F_j$  is compact and has piecewise smooth boundary. Since  $T_i^l \cap K = \emptyset$  it follows that  $K \subset F_j \subset \tilde{K}_j$ . Applying this construction to each  $j \geq j_0$  we thus obtain a fundamental system  $\{F_j\}_{j \geq j_0}$  of compact neighbourhoods of  $K$  in  $\Omega$ . Call  $H_{jk}$  the remaining components of  $\Omega \setminus \tilde{K}_j$ . Then:

$$\Omega \setminus F_j = \Omega \setminus (\tilde{K}_j \setminus (\cup_{i,l} T_i^l)) = (\Omega \setminus \tilde{K}_j) \cup (\cup_{i,l} T_i^l) = \cup_{i,l} (W_i^l \cup T_i^l \cup G_i) \cup (\cup_k H_{jk}).$$

Put  $\tilde{W}_i^l = W_i^l \cup T_i^l \cup G_i$ ; then  $\tilde{W}_i^l$  is connected and  $\overline{\tilde{W}_i^l} \cap \partial\Omega \neq \emptyset$ . Since each component of  $\Omega \setminus F_j$  contains some connected set  $\tilde{W}_i^l$  or  $H_{jk}$ ,  $\Omega \setminus F_j$  has no components whose closure is contained in  $\Omega$ . This proves the Theorem. ■

The following is proved in [11].

**Theorem 7.** *Let  $Y$  be a locally compact Hausdorff space, let  $X$  be a closed subset of  $Y$  and let  $K$  be a connected component of  $X$  which is compact. Then there exists a fundamental system of neighbourhoods  $U$  of  $K$  in  $Y$  such that*

$$(\partial U) \cap X = \emptyset,$$

$\partial U$  denoting the boundary of  $U$  in  $Y$ .

In particular, this Theorem is valid when we put  $Y = \Omega$ ,  $X = \Omega \setminus \Omega'$ ,  $\Omega' \subset \Omega \subset S^{m-1}$ ;  $\Omega', \Omega$  open.

### 3 Runge Theorems

The Cauchy kernel for spherical monogenics of complex degree  $\alpha$  is denoted by  $E_\alpha(\xi, \omega)$  and satisfies  $(\Gamma + \alpha)E_\alpha(\xi, \omega) = \delta(\omega - \xi)\xi$ ,  $\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$  (see also [18]).

#### Definitions.

- (i) Let  $K \subset S^{m-1}$  be compact and let  $\mu$  be a  $\mathbb{C}_m$ -valued regular Borel measure on  $S^{m-1}$  with support  $[\mu]$  contained in  $K$ . Then the Cauchy transform  $CT_\alpha(\mu)$  of the measure  $\mu$  is defined by:

$$CT_\alpha(\mu)(\xi) = \int_{S^{m-1}} d\mu(\omega)E_\alpha(\xi, \omega), \quad \omega \in S^{m-1}.$$

By a standard argument  $(CT_\alpha(\mu)(\xi))(\Gamma_\xi - \beta) = 0$  in  $S^{m-1} \setminus [\mu]$ ,  $\alpha + \beta = -m + 1$ . By means of the Riesz Representation Theorem the dual of the right module  $C^0_{(r)}(K)$  of continuous functions on  $K$  can be identified with the left module of  $\mathbb{C}_m$ -valued regular Borel measures on  $S^{m-1}$  having support contained in  $K$  and

$$\langle \mu, h \rangle = \int_{S^{m-1}} d\mu(\omega)h(\omega), \quad h \in C^0_{(r)}(K).$$

- (ii) Let  $K \subset S^{m-1}$  be compact. Then  $M^\alpha_{(r)}(K)$  consists of the elements  $f$  which are null solutions of  $\Gamma + \alpha$  in some open neighbourhood of  $K$ . On  $M^\alpha_{(r)}(K)$  we consider two different topologies. First of all,  $M^\alpha_{(r)}(K)$  is a subspace of  $C^0(K)$ . The space  $C^0(K)$  endowed with the supremum norm  $\|f\| = \sup_K |f|_0$  is a Banach space and  $M^\alpha_{(r)}(K)$  can be given the topology inherited from the Banach space  $C^0(K)$ . In general  $M^\alpha_{(r)}(K)$  is not a closed subspace of  $C^0(K)$ . Secondly one can consider  $M^\alpha_{(r)}(K) = \lim \text{ind}_{K \subset \Omega} M^\alpha_{(r)}(\Omega)$ , i.e.  $M^\alpha_{(r)}(K)$  is given the inductive limit topology determined by the Fréchet modules  $M^\alpha_{(r)}(\Omega)$ ,  $K \subset \Omega$ .

The following Lemma plays an important role in the sequel.

**Lemma 8.** *Let  $K \subset S^{m-1}$  be compact and suppose that  $\mu$  is a  $\mathbb{C}_m$ -valued regular Borel measure on  $S^{m-1}$  having support contained in  $K$ . Then:*

$$\int_{S^{m-1}} d\mu(\omega)f(\omega) = 0 \text{ for all } f \in M^\alpha_{(r)}(K) \text{ iff } CT_\alpha(\mu)(\xi) = 0 \text{ in } S^{m-1} \setminus K.$$

*Proof.*

(Necessary condition.) Take  $\xi \in S^{m-1} \setminus K$  and put  $f(\omega) = E_\alpha(\xi, \omega)$ . Then  $f \in M_{(r)}^\alpha(K)$ , hence  $CT_\alpha(\mu)(\xi) = 0$  in  $S^{m-1} \setminus K$ .

(Sufficient condition.) Let  $f \in M_{(r)}^\alpha(K)$ ; then  $f \in M_{(r)}^\alpha(\Omega_f)$  for some open neighbourhood  $\Omega_f$  of  $K$ . Consider a compact neighbourhood  $K'$  having piecewise smooth boundary and such that  $K \subset \overset{\circ}{K}' \subset K' \subset \Omega_f$ . By Cauchy's Theorem:

$$f(\omega) = \int_{\partial K'} E_\alpha(\xi, \omega) n ds f(\xi), \quad \omega \in K,$$

and by Fubini's Theorem:

$$\int_{S^{m-1}} d\mu(\omega) f(\omega) = \int_{\partial K'} (CT_\alpha(\mu))(\xi) n ds f(\xi) = 0 .$$

■

**Lemma 9.** *Let  $\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$  and put  $M_{ij}^\omega = L_{ij}^\omega - \frac{1}{2}e_{ij}$ ,  $L_{ij}^\omega = \omega_i \partial_{\omega_j} - \omega_j \partial_{\omega_i}$  being the momentum operators. Then:*

(i) *The  $\Gamma$ -operator and  $M_{ij}$ -operators commute, i.e.  $[\Gamma_\omega, M_{ij}^\omega] = 0$*

(ii)  *$M_{ij}^\omega E_\alpha(\xi, \omega) = -E_\alpha(\xi, \omega) \overline{M}_{ij}^\xi$ .*

*Proof.*

(i) See [16].

(ii) Up to a constant  $E_\alpha(\xi, \omega)$  is given by  $\xi C_\alpha^{\frac{m}{2}}(-\langle \omega, \xi \rangle) + \omega C_{\alpha-1}^{\frac{m}{2}}(-\langle \omega, \xi \rangle)$  and

$$\begin{aligned} & L_{ij}^\omega [\xi C_\alpha^{\frac{m}{2}}(-\langle \omega, \xi \rangle) + \omega C_{\alpha-1}^{\frac{m}{2}}(-\langle \omega, \xi \rangle)] \\ &= -(\omega_i \xi_j - \omega_j \xi_i) [\xi C_\alpha^{\frac{m}{2}}'(-\langle \omega, \xi \rangle) + \omega C_{\alpha-1}^{\frac{m}{2}}'(-\langle \omega, \xi \rangle)] \\ & \quad + (\omega_i e_j - \omega_j e_i) C_{\alpha-1}^{\frac{m}{2}}(-\langle \omega, \xi \rangle) \end{aligned}$$

while

$$\begin{aligned} & [\xi C_\alpha^{\frac{m}{2}}(-\langle \omega, \xi \rangle) + \omega C_{\alpha-1}^{\frac{m}{2}}(-\langle \omega, \xi \rangle)] L_{ij}^\xi \\ &= (\omega_i \xi_j - \omega_j \xi_i) [\xi C_\alpha^{\frac{m}{2}}'(-\langle \omega, \xi \rangle) + \omega C_{\alpha-1}^{\frac{m}{2}}'(-\langle \omega, \xi \rangle)] + (\xi_i e_j - \xi_j e_i) C_\alpha^{\frac{m}{2}}(-\langle \omega, \xi \rangle) , \end{aligned}$$

where ' denotes derivation with respect to the variable  $-\langle \omega, \xi \rangle$ . Hence

$$\begin{aligned} & M_{ij}^\omega [\xi C_\alpha^{\frac{m}{2}}(-\langle \omega, \xi \rangle) + \omega C_{\alpha-1}^{\frac{m}{2}}(-\langle \omega, \xi \rangle)] + [\xi C_\alpha^{\frac{m}{2}}(-\langle \omega, \xi \rangle) + \omega C_{\alpha-1}^{\frac{m}{2}}(-\langle \omega, \xi \rangle)] \overline{M}_{ij}^\xi \\ &= [\frac{1}{2}[\xi, e_{ij}] + (\xi_i e_j - \xi_j e_i)] C_\alpha^{\frac{m}{2}}(-\langle \omega, \xi \rangle) + [\frac{1}{2}[\omega, e_{ij}] + (\omega_i e_j - \omega_j e_i)] C_{\alpha-1}^{\frac{m}{2}}(-\langle \omega, \xi \rangle) \\ &= 0 , \end{aligned}$$

since  $\frac{1}{2}[\omega, e_{ij}] = -\omega_i e_j + \omega_j e_i$ .

■

**Lemma 10.** *Let  $\Omega$  be an open connected subset of  $S^{m-1}$ , let  $\xi \in \Omega$  and let  $f \in M_{(r)}^\alpha(\Omega)$ . If  $M_{i_1 j_1} \dots M_{i_k j_k} f(\omega)|_{\omega=\xi} = 0$  for all couples  $(i_l, j_l)$ ,  $i_l < j_l$ ,  $1 \leq i_l, j_l \leq m$ ,  $0 \leq l \leq k$ ,  $k \in \mathbb{N}$ , then  $f \equiv 0$  in  $\Omega$ .*

*Proof.*

Extend  $f$  to an  $\alpha$ -homogeneous null solution  $\tilde{f}$  of  $\partial_{\tilde{x}}$  in the connected cone  $\mathbb{R}_+\Omega$ . By assumption all derivatives of  $\tilde{f}$  in  $\xi$  vanish. Since  $\tilde{f}$  is real analytic in  $\mathbb{R}_+\Omega$ ,  $\tilde{f} \equiv 0$  in  $\mathbb{R}_+\Omega$ . ■

**Definition.**

Let  $\xi \in S^{m-1}$  and let  $\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$ . Then we define the set

$$R^\alpha(\xi) = \{M_{i_1 j_1}^\omega \dots M_{i_k j_k}^\omega E_\alpha(\xi, \omega), (i_l, j_l) \in \{1, \dots, m\} \times \{1, \dots, m\},$$

$$i_l < j_l, 0 \leq l \leq k, k \in \mathbb{N}\} .$$

In view of Lemma 9(i) the operators  $M_{ij}$  and  $\Gamma$  commute; therefore each element of this set belongs to  $M_{(r)}^\alpha(S^{m-1} \setminus \{\xi\})$ .

Consider a set  $V = \{\xi^i, \xi^i \in S^{m-1}, i \in I\}$  of points  $\xi^i$  on  $S^{m-1}$ , then we put:

$$R^\alpha(V) = \cup_{i \in I} R^\alpha(\xi^i)$$

and we call  $R_{(r)}^\alpha(V)$  the right  $\mathbb{C}_m$ -span of the set  $R^\alpha(V)$ , i.e.  $R_{(r)}^\alpha(V)$  is the space of finite right  $\mathbb{C}_m$ -linear combinations of elements of  $R^\alpha(V)$ . Clearly  $R_{(r)}^\alpha(V)$  is a right  $\mathbb{C}_m$ -module of null solutions of  $\Gamma + \alpha$  having singularities in the set  $V$ .

**Theorem 11.** *Let  $K \subset S^{m-1}$  be compact and let  $S^{m-1} \setminus K = \cup_{i=1}^\infty \Omega_i$  be the decomposition of  $S^{m-1} \setminus K$  in connected components. Choose in each  $\Omega_i$  a point  $\xi^i$  and put  $V = \{\xi^i, i \in \mathbb{N}_0\}$ . Then:*

*$R_{(r)}^\alpha(V)$  is dense in  $M_{(r)}^\alpha(K)$  with respect to the topology given by the supremum norm on  $K$ .*

*Proof.*

Let  $C_{(r)}^0(K)$  be the right module of  $\mathbb{C}_m$ -valued continuous functions on  $K$  endowed with the supremum norm on  $K$ . Then we have the following inclusions where the supremum norm on  $K$  is restricted to the corresponding subspaces of  $C_{(r)}^0(K)$ :

$$R_{(r)}^\alpha(V) \subset M_{(r)}^\alpha(K) \subset C_{(r)}^0(K) .$$

By the Hahn-Banach Theorem each continuous linear functional on  $R_{(r)}^\alpha(V)$  can be extended to a continuous linear functional on  $M_{(r)}^\alpha(K)$  and hence also to  $C_{(r)}^0(K)$ . In view of the Riesz Representation Theorem the dual of  $C_{(r)}^0(K)$  can be identified with the left module of  $\mathbb{C}_m$ -valued regular Borel measures on  $S^{m-1}$  having support in  $K$ . The space  $R_{(r)}^\alpha(V)$  will be dense in  $M_{(r)}^\alpha(K)$  iff the zero functional on  $R_{(r)}^\alpha(V)$  has only the zero functional on  $M_{(r)}^\alpha(K)$  as continuous extension. To prove this it is sufficient to prove that each regular Borel measure on  $S^{m-1}$  having support in  $K$  which annihilates the space  $R_{(r)}^\alpha(V)$  also annihilates  $M_{(r)}^\alpha(K)$ . Consider such a measure  $\mu$ . By assumption  $\mu$  annihilates in particular  $R^\alpha(\xi^i)$ , hence for all couples  $(i_l, j_l) \in \{1, \dots, m\} \times \{1, \dots, m\}, i_l < j_l, 0 \leq l \leq k, k \in \mathbb{N}$ :

$$\langle \mu(\omega), M_{i_1 j_1}^\omega \dots M_{i_k j_k}^\omega E_\alpha(\xi^i, \omega) \rangle = 0 .$$

By Lemma 9(ii):

$$\begin{aligned} M_{i_1 j_1}^\omega \dots M_{i_k j_k}^\omega E_\alpha(\xi^i, \omega) &= -M_{i_1 j_1}^\omega \dots M_{i_{k-1} j_{k-1}}^\omega [E_\alpha(\xi, \omega) \overline{M}_{i_k j_k}^\xi] |_{\xi=\xi^i} \\ &= (-1)^k [E_\alpha(\xi, \omega) \overline{M}_{i_1 j_1}^\xi \dots \overline{M}_{i_k j_k}^\xi] |_{\xi=\xi^i}, \end{aligned}$$

hence

$$\langle \mu(\omega), E_\alpha(\xi, \omega) \rangle \overline{M}_{i_1 j_1}^\xi \dots \overline{M}_{i_k j_k}^\xi |_{\xi=\xi^i} = 0.$$

Since  $CT_\alpha(\mu)(\xi) = \langle \mu(\omega), E_\alpha(\xi, \omega) \rangle$  is a right null solution of  $\Gamma_\xi - \beta$  in  $S^{m-1} \setminus K$  ( $\alpha + \beta = -m + 1$ ) one has by Lemma 10 that  $CT_\alpha(\mu)(\xi) \equiv 0$  in  $\Omega_i$ ,  $i$  being chosen arbitrarily, hence  $CT_\alpha(\mu) \equiv 0$  in  $S^{m-1} \setminus K$ . By Lemma 8  $\mu$  annihilates  $M_{(r)}^\alpha(K)$ , q.e.d. ■

We will now determine under which conditions  $M_{(r)}^\alpha(\Omega)$  is dense in  $M_{(r)}^\alpha(K)$ ,  $K \subset \Omega$  compact,  $\Omega$  open. In view of the previous Theorem such a result will hold when we can choose  $V$  such that  $V \cap \Omega = \emptyset$ . This will only be possible if  $\Omega$  satisfies some further topological condition with respect to  $K$ . This is formulated in the following

**Theorem 12.** (*First Approximation Theorem of Runge*)

Let  $K \subset \Omega \subset S^{m-1}$ ,  $\Omega$  open and  $K$  compact. Then the following conditions are equivalent:

- (i)  $M_{(r)}^\alpha(\Omega)$  is dense in  $M_{(r)}^\alpha(K) = \lim \text{ind}_{K \subset \Omega} M_{(r)}^\alpha(\Omega)$
- (ii)  $\Omega \setminus K$  has no components of which the closure (in  $S^{m-1}$ ) is contained in  $\Omega$ .

*Proof.*

(ii)  $\Rightarrow$  (i) First of all we prove that  $M_{(r)}^\alpha(\Omega)$  is dense in  $M_{(r)}^\alpha(K)$  for  $\text{sup}_K$ . Let  $G_i$ ,  $i \in \mathbb{N}_0$  be the components of  $S^{m-1} \setminus K$ . By the topological condition on  $\Omega \setminus K$  and Lemma 1:  $\overline{G}_i \cap (S^{m-1} \setminus \Omega) \neq \emptyset$ . Choose for all  $i \in \mathbb{N}_0$  points  $\xi^i \in \overline{G}_i \cap (S^{m-1} \setminus \Omega) \subset \overline{G}_i \cap (S^{m-1} \setminus K) = G_i$  and put  $V = \{\xi^i, i \in \mathbb{N}_0\}$ . By the previous Theorem  $R_{(r)}^\alpha(V)$  is dense in  $M_{(r)}^\alpha(K)$  for  $\text{sup}_K$ . Since  $V \subset S^{m-1} \setminus \Omega$ ,  $R_{(r)}^\alpha(V)$  is a subspace of  $M_{(r)}^\alpha(\Omega)$ ; thus  $M_{(r)}^\alpha(\Omega)$  is dense in  $M_{(r)}^\alpha(K)$  for  $\text{sup}_K$ .

Let  $f \in M_{(r)}^\alpha(K)$ ; then there is an open set  $\Omega_f$ ,  $K \subset \Omega_f \subset \Omega$  such that  $f \in M_{(r)}^\alpha(\Omega_f)$ . In view of Theorem 6 one can always find a compact set  $F_{i_0}$ ,  $K \subset F_{i_0} \subset \Omega_f$  such that  $\Omega \setminus F_{i_0}$  has no components of which the closure is contained in  $\Omega$ . Hence there is a sequence  $(f_i)_{i \in \mathbb{N}_0}$ ,  $f_i \in M_{(r)}^\alpha(\Omega)$  such that  $f_i \rightarrow f$  in  $\text{sup}_{F_{i_0}}$  and thus  $f_i \rightarrow f$  in the Fréchet module  $M_{(r)}^\alpha(\overset{\circ}{F}_{i_0})$ . As the inductive limit topology on  $M_{(r)}^\alpha(K)$  is the strongest locally convex topology on  $M_{(r)}^\alpha(K)$  which is weaker than the topology on any  $M_{(r)}^\alpha(\Omega)$ ,  $K \subset \Omega$ , the sequence  $(f_i)_{i \in \mathbb{N}_0}$  converges to  $f$  in  $\lim \text{ind}_{K \subset \Omega} M_{(r)}^\alpha(\Omega)$ .

(i)  $\Rightarrow$  (ii) Suppose that  $W$  is a component of  $\Omega \setminus K$  such that  $\overline{W} \subset \Omega$ ; by Lemma 2,  $\partial W \subset K$ . Take a fixed point  $\nu \in W$  and consider the function  $f(\omega) = E_\alpha(\nu, \omega)$ ; then  $f \in M_{(r)}^\alpha(K)$ . By assumption there is a compact set  $F$ ,  $K \subset \overset{\circ}{F} \subset F \subset \Omega \setminus \{\nu\}$  and a sequence of functions  $(f_j)_{j \in \mathbb{N}_0}$ ,  $f_j \in M_{(r)}^\alpha(\Omega)$  such that  $f_j \rightarrow f$  for  $\text{sup}_F$ . Since  $\partial W \subset K \subset \overset{\circ}{F}$  and  $\nu \in W \setminus F$  it follows that  $\overline{W} \setminus \overset{\circ}{F} = W \setminus \overset{\circ}{F}$  is a non empty compact subset of  $W$ . Therefore one can always find a compact set  $C$  which covers  $\overline{W} \setminus \overset{\circ}{F}$

and has piecewise smooth boundary  $\partial C$  contained in  $W \setminus (\overline{W} \setminus \overset{\circ}{F}) = W \setminus (W \setminus \overset{\circ}{F}) = W \cap \overset{\circ}{F} \subset \overset{\circ}{F}$ . For all  $\omega \in \overline{W} \setminus \overset{\circ}{F}$ :

$$(f_i - f_j)(\omega) = \int_{\partial C} E_\alpha(\xi, \omega) n ds (f_i - f_j)(\xi) .$$

Hence

$$\sup_{\omega \in \overline{W} \setminus \overset{\circ}{F}} |(f_i - f_j)(\omega)|_0 \leq A(\partial C) \sup_{\omega \in \overline{W} \setminus \overset{\circ}{F}, \xi \in \partial C} |E_\alpha(\xi, \omega)|_0 \sup_{\xi \in \partial C} |(f_i - f_j)(\xi)|_0 ,$$

$A(\partial C)$  denoting the area of  $\partial C$ . Since  $\overline{W} \setminus \overset{\circ}{F}$  and  $\partial C$  are compact and  $\partial C \subset F$  there is a constant  $K(\overline{W}, F, \partial C)$  such that

$$\sup_{\omega \in \overline{W} \setminus \overset{\circ}{F}} |(f_i - f_j)(\omega)|_0 \leq K \sup_{\omega \in F} |(f_i - f_j)(\omega)|_0 .$$

From  $F \cup \overline{W} \subset F \cup (\overline{W} \setminus \overset{\circ}{F})$  it follows that

$$\sup_{\omega \in F \cup \overline{W}} |(f_i - f_j)(\omega)|_0 \leq (1 + K) \sup_{\omega \in F} |(f_i - f_j)(\omega)|_0 .$$

Since  $(f_i)_{i \in \mathbb{N}_0}$  is a Cauchy sequence in  $M_{(r)}^\alpha(F)$  for  $\sup_F$ ,  $(f_i)_{i \in \mathbb{N}_0}$  is also Cauchy in  $M_{(r)}^\alpha(F \cup \overline{W})$  for  $\sup_{F \cup \overline{W}}$ . Consequently there is an  $\tilde{f}$  such that  $f_i \rightarrow \tilde{f}$  for  $\sup_{F \cup \overline{W}}$  and  $\tilde{f} \in M_{(r)}^\alpha(\overset{\circ}{F} \cup W)$  where  $\tilde{f}|_F = f$ . Since  $\overset{\circ}{F} \cap W \neq \emptyset$  there is a component  $G$  of  $\overset{\circ}{F}$  such that  $G \cap W \neq \emptyset$ , therefore  $G \cup W$  is connected and  $\tilde{f}$  is the unique extension of  $f$  to the region  $G \cup W$ ; but  $\nu \in W$  which leads to a contradiction ( $f$  is singular in  $\nu$ ) . ■

**Theorem 13.** (Second Approximation Theorem of Runge)

Let  $\Omega, \Omega'$  be open subsets of  $S^{m-1}$ ,  $\Omega' \subset \Omega$ . Then the following conditions are equivalent:

- (i)  $M_{(r)}^\alpha(\Omega)$  is dense in  $M_{(r)}^\alpha(\Omega')$  in the sense of Fréchet modules
- (ii)  $\Omega \setminus \Omega'$  has no components which are closed in the topology on  $S^{m-1}$  .

*Proof.*

(ii)  $\Rightarrow$  (i) Consider the exhaustion of  $\Omega'$  by means of the compact sets

$$K'_j = \{ \omega \in S^{m-1} : d(\omega, S^{m-1} \setminus \Omega') \geq \frac{1}{j} \}, \quad j \in \mathbb{N}_0 .$$

The space  $M_{(r)}^\alpha(\Omega)$  will be dense in  $M_{(r)}^\alpha(\Omega')$  in the sense of Fréchet modules if for each  $j \in \mathbb{N}_0$  the space  $M_{(r)}^\alpha(\Omega)$  is dense in  $M_{(r)}^\alpha(K'_j)$  for  $\sup_{K'_j}$ . Choose an arbitrary fixed  $j \in \mathbb{N}_0$ ; then  $S^{m-1} \setminus \Omega' \subset S^{m-1} \setminus K'_j$  and by Lemma 5 each component of  $S^{m-1} \setminus K'_j$  contains some component  $G_i$  of  $S^{m-1} \setminus \Omega'$ . By assumption and Lemma 3:  $\overline{G}_i \cap (S^{m-1} \setminus \Omega) \neq \emptyset$  for each component  $G_i$  of  $S^{m-1} \setminus \Omega'$ . Choose for each component  $G_i$ ,  $i \in I$  points  $\xi^i \in \overline{G}_i \cap (S^{m-1} \setminus \Omega) \subset \overline{G}_i \cap (S^{m-1} \setminus \Omega') = \overline{G}_i \cap (\cup_{j \in I} G_j) = G_i$  and

put  $V = \{\xi^i, i \in I\}$ , then  $V$  intersects each component of  $S^{m-1} \setminus K'_j$ . By Theorem 11 the space  $R_{(r)}^\alpha(V)$  is dense in  $M_{(r)}^\alpha(K'_j)$  for  $\sup_{K'_j}$ . The particular choice of points  $\xi^i$  shows that  $R_{(r)}^\alpha(V) \subset M_{(r)}^\alpha(\Omega)$ ; since  $j$  was chosen arbitrary, this proves the first part.

(i)  $\Rightarrow$  (ii) Suppose that  $\Omega \setminus \Omega'$  has some compact component  $V$ . By Theorem 7 there exists an open  $U$  such that  $V \subset U \subset \bar{U} \subset \Omega$  and  $\partial U \subset \Omega'$ . Choose for each  $\xi \in \partial U$  a ball  $B_S(\xi, r_\xi) \subset \Omega'$ . By compactness of  $\partial U$  there exists a finite number of  $\xi^i \in \partial U, i = 1, \dots, N$  such that  $\partial U \subset \cup_{i=1}^N B_S(\xi^i, r_{\xi^i})$ . Put  $U_1 = U \cup (\cup_{i=1}^N B_S(\xi^i, r_{\xi^i}))$  and  $U_2 = U \cup (\cup_{i=1}^N B_S(\xi^i, \frac{r_{\xi^i}}{2}))$ ; then  $U_1$  has piecewise smooth boundary  $\partial U_1 \subset \Omega'$  and  $V \subset U_2 \subset \bar{U}_2 \subset U_1$ . Choose a fixed point  $\xi \in V$  and put  $f(\omega) = E_\alpha(\xi, \omega)$ ; then  $f \in M_{(r)}^\alpha(\Omega')$ . By assumption there is a sequence  $(f_j)_{j \in \mathbb{N}_0}$  in  $M_{(r)}^\alpha(\Omega)$  such that  $f_j \rightarrow f$  uniformly on each compact subset of  $\Omega'$ , in particular  $f_j \rightarrow f$  for  $\sup_{\partial U_1}$ . Hence

$$\sup_{\omega \in \bar{U}_2} |f_i(\omega) - f_j(\omega)|_0 = \sup_{\omega \in \bar{U}_2} \left| \int_{\partial U_1} E_\alpha(\xi, \omega) n ds (f_i - f_j)(\xi) \right|_0 \rightarrow 0, \inf(i, j) \rightarrow \infty,$$

thus  $(f_i)_{i \in \mathbb{N}_0}$  is a Cauchy sequence in the Fréchet space  $M_{(r)}^\alpha(U_2)$ . Call  $W$  the connected component of  $U_2$  which contains  $V$  and let  $\zeta \in \partial V$ ; since  $W$  is open,  $B_S(\zeta, \delta) \subset W$  for sufficiently small  $\delta$ . By Lemma 4,  $\partial V \subset \partial \Omega'$ , hence  $B_S(\zeta, \delta) \cap \Omega' \neq \emptyset$  or  $W \cap \Omega' \neq \emptyset$ . Therefore there is a component  $G$  of  $\Omega'$  such that  $W \cap G \neq \emptyset$ ; this implies that  $W \cup G$  is connected. As each closed subset of  $W \cup G$  can be written as the union of a closed subset of  $W$  and  $G$ ,  $(f_j)_{j \in \mathbb{N}_0}$  is a Cauchy sequence in  $M_{(r)}^\alpha(W \cup G)$ . By the principle of analytic continuation  $f_j \rightarrow f = E_\alpha(\xi, \omega)$  in  $M_{(r)}^\alpha(W \cup G)$ , a contradiction ( $\xi \in V \subset W$ ). ■

### 4 The equation $(\Gamma + \alpha)f = g$

We will first determine the global solutions of  $(\Gamma + \alpha)f = g, \alpha \in \mathbb{C}, g$  belonging to  $C^\infty(S^{m-1})$  or  $\mathcal{E}'(S^{m-1})$ . Of course the situation is quite different when  $\alpha \in \mathbb{N} \cup (-m + 1 - \mathbb{N})$  because in this case the kernel of the operator  $\Gamma + \alpha$  consists precisely of the classical inner and outer spherical monogenics. Next we will consider the equation  $(\Gamma + \alpha)f = g, g \in C^\infty(\Omega), \Omega \subset S^{m-1}$  open. In case  $g$  has compact support contained in  $\Omega$ , the problem is reduced to the global case by extending  $g$  to a  $C^\infty$ -function on  $S^{m-1}$  equal to zero in  $S^{m-1} \setminus \Omega$ . However in case  $g \in C^\infty(\Omega)$  the problem is not so straightforward and requires Runge's Theorem.

#### 4.1 The case $\Omega = S^{m-1}$

Let us repeat the following expansions in terms of spherical monogenics given in [17].

(i)

$$\delta(\omega - \xi) = \frac{1}{A_m} \sum_{k=0}^{\infty} [K_k(\omega, \xi) - \omega K_k(\omega, \xi)\xi]$$

(ii)

$$\frac{\pi}{A_m \sin \pi \alpha} K_\alpha(-\omega, \xi) = \frac{1}{A_m} \sum_{k=0}^{\infty} \left[ \frac{K_k(\omega, \xi)}{\alpha - k} - \frac{\omega K_k(\omega, \xi) \xi}{\alpha + k + m - 1} \right],$$

$$\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$$

(Mittag-Leffler expansion)

(iii)

$$PV\left[\frac{\pi}{A_m \sin \pi \alpha} K_\alpha(-\omega, \xi), \alpha = k\right] = \frac{1}{A_m} \sum_{\substack{l=0 \\ l \neq k}}^{\infty} \frac{K_l(\omega, \xi)}{k - l} - \frac{1}{A_m} \sum_{l=0}^{\infty} \frac{\omega K_l(\omega, \xi) \xi}{k + l + m - 1},$$

$$k \in \mathbb{N}$$

where the series converge in  $\mathcal{E}'(S^{m-1})$ . The principal value  $PV[f, z = z_0]$  of  $f$  in  $z_0$  is defined to be the value in  $z_0$  of the regular part of  $f$ . In [13] the following Theorem was proved.

**Theorem 14.** (Characterisation of the spaces  $C^\infty(S^{m-1})$  and  $\mathcal{E}'(S^{m-1})$  in terms of spherical monogenics)

(i)  $g(\omega) = \sum_{k=0}^{\infty} P_k(\omega) + Q_k(\omega) \in C^\infty(S^{m-1})$  implies for all  $s \in \mathbb{N}$  the existence of a constant  $c_s > 0$  such that  $\sup_{\omega \in S^{m-1}} \{|P_k(\omega)|_0, |Q_k(\omega)|_0\} \leq c_s(1+k)^{-s}$  for each  $k \in \mathbb{N}$ .

Conversely, let  $(P_k, Q_k)_{k \in \mathbb{N}}$  be a sequence of spherical monogenics which satisfies the above estimate, then  $g(\omega) = \sum_{k=0}^{\infty} P_k(\omega) + Q_k(\omega) \in C^\infty(S^{m-1})$ .

(ii)  $S(\omega) = \sum_{k=0}^{\infty} P_k(\omega) + Q_k(\omega) \in \mathcal{E}'(S^{m-1})$  implies for all  $s \in \mathbb{N}$  the existence of a constant  $d_s > 0$  such that  $\sup_{\omega \in S^{m-1}} \{|P_k(\omega)|_0, |Q_k(\omega)|_0\} \leq d_s(1+k)^s$  for each  $k \in \mathbb{N}$ .

Conversely, let  $(P_k, Q_k)_{k \in \mathbb{N}}$  be a sequence of spherical monogenics which satisfies the above estimate, then  $S(\omega) = \sum_{k=0}^{\infty} P_k(\omega) + Q_k(\omega) \in \mathcal{E}'(S^{m-1})$ .

By means of this Theorem and the decompositions above one can easily prove the following

**Theorem 15.**

Let  $g = \sum_{k=0}^{\infty} P_k + Q_k \in C^\infty(S^{m-1})$  and  $S = \sum_{k=0}^{\infty} P_k + Q_k \in \mathcal{E}'(S^{m-1})$ . Then:

(i) In case  $\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$

1. the equation  $(\Gamma + \alpha)f = g$  has a unique solution  $f \in C^\infty(S^{m-1})$  given by

$$f(\omega) = \sum_{k=0}^{\infty} \frac{P_k(\omega)}{\alpha - k} + \frac{Q_k(\omega)}{\alpha + k + m - 1}$$

$$= \int_{S^{m-1}} \frac{\pi}{A_m \sin \pi \alpha} K_\alpha(-\omega, \xi) g(\xi) dS(\xi)$$

where the series converges in  $C^\infty(S^{m-1})$ ,

2. the equation  $(\Gamma + \alpha)T = S$  has a unique solution  $T \in \mathcal{E}'(S^{m-1})$  given by

$$T(\omega) = \sum_{k=0}^{\infty} \frac{P_k(\omega)}{\alpha - k} + \frac{Q_k(\omega)}{\alpha + k + m - 1}$$

where the series converges in  $\mathcal{E}'(S^{m-1})$ .

(ii) In case  $\alpha = k \in \mathbb{N}$

1. the equation  $(\Gamma + k)f = g$  has a solution iff  $P_k(\omega) = 0$ . In this case the unique solution  $f \in C^\infty(S^{m-1})$  such that  $P(k)(f) = 0$  is given by

$$\begin{aligned} f(\omega) &= \sum_{\substack{l=0 \\ l \neq k}}^{\infty} \frac{P_l(\omega)}{k-l} + \sum_{l=0}^{\infty} \frac{Q_l(\omega)}{k+l+m-1} \\ &= \int_{S^{m-1}} PV \left[ \frac{\pi}{A_m \sin \pi \alpha} K_\alpha(-\omega, \xi), \alpha = k \right] g(\xi) dS(\xi) \end{aligned}$$

where the series converges in  $C^\infty(S^{m-1})$ . The total solution space is then given by  $\{f(\omega) + P_k(\omega), P_k \in M^+(k)\}$ ,

2. the equation  $(\Gamma + k)T = S$  has a solution iff  $P_k(\omega) = 0$ . In this case the unique solution  $f \in \mathcal{E}'(S^{m-1})$  such that  $P(k)(f) = 0$  is given by

$$T(\omega) = \sum_{\substack{l=0 \\ l \neq k}}^{\infty} \frac{P_l(\omega)}{k-l} + \sum_{l=0}^{\infty} \frac{Q_l(\omega)}{k+l+m-1}$$

where the series converges in  $\mathcal{E}'(S^{m-1})$ . The total solution space is then given by  $\{T(\omega) + P_k(\omega), P_k \in M^+(k)\}$ .

*Proof.*

Follows from applying  $\Gamma$  under the summation symbol and the decompositions above. The uniqueness in (i) follows from the fact that a global  $C^\infty$ -or distributional null solution of  $\Gamma + \alpha$ ,  $\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$  must be identical zero. ■

### 4.2 The general case $g \in C^\infty(\Omega)$

Consider the locally finite cover  $(H_j)_{j \in \mathbb{N}_0}$  of  $\Omega$  (see Lemma 5). Let  $(\phi_j)_{j \in \mathbb{N}_0}$  be a partition of unity subordinate to this cover; then  $\phi_j \in \mathcal{D}(H_j, \mathbb{R})$  and

$$g(\omega) = \sum_{j=1}^{\infty} \phi_j g(\omega) \text{ in } C^\infty(\Omega)$$

Since  $\phi_j g$  is  $C^\infty$  on  $S^{m-1}$  and has support contained in  $H_j$ , the function

$$g_j(\omega) = \int_{S^{m-1}} \frac{\pi}{A_m \sin \pi \alpha} K_\alpha(-\omega, \xi) (\phi_j g)(\xi) dS(\xi)$$

is  $C^\infty$  on  $S^{m-1}$  and satisfies  $(\Gamma + \alpha)g_j = \phi_j g$ . Hence  $(\Gamma + \alpha)g_j = 0$  in  $G_{j-1}$  ( $G_{j-1} \cap H_j = \emptyset$ ), thus  $g_j \in M_{(r)}^\alpha(K_{j-2})$ . In view of Lemma 5 we can apply Runge's Theorem 12 which ensures the existence of a sequence  $(h_j)_{j \geq 3}$  in  $M_{(r)}^\alpha(\Omega)$  such that

$$\sup_{\omega \in K_{j-2}} |(g_j - h_j)(\omega)|_0 < 2^{-j}.$$

Therefore the series  $g_1 + g_2 + \sum_{j=3}^{\infty} (g_j - h_j)$  converges in the compact open topology on  $C^0(\Omega)$  to an element  $f \in C^0(\Omega)$ . Moreover  $f \in C^\infty(\Omega)$ ; this can be seen as follows: consider an arbitrary ball  $\bar{B}_S(u, \delta) \subset \Omega$ ; for  $l$  sufficiently large  $\bar{B}_S(u, \delta) \subset \overset{\circ}{K}_l$  and the

finite sum  $g_1 + g_2 + \sum_{j=3}^{l+1}(g_j - h_j) \in C^\infty(B_S(u, \delta))$  while by Weierstrass' Theorem for spherical monogenics of complex degree:

$$\sum_{j=l+2}^{\infty} (g_j - h_j) \in M_{(r)}^\alpha(B_S(u, \delta)) .$$

Hence  $f \in C^\infty(\Omega)$  and for each  $\omega \in \Omega$ :

$$(\Gamma_\omega + \alpha)f(\omega) = \sum_{j=1}^{\infty} (\Gamma_\omega + \alpha)g_j(\omega) = \sum_{j=1}^{\infty} \phi_j g(\omega) = g(\omega) .$$

■

We thus proved the following

**Theorem 16.** *Let  $\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$  and let  $g \in C^\infty(\Omega)$ ,  $\Omega \subset S^{m-1}$  open. Then the equation  $(\Gamma + \alpha)f = g$  has a solution  $f \in C^\infty(\Omega)$ .*

We can now prove the following important Theorem.

**Theorem 17.** *(Mittag-Leffler's Theorem for the operator  $\Gamma + \alpha$ )*

*Let  $\alpha \in \mathbb{C} \setminus (\mathbb{N} \cup (-m + 1 - \mathbb{N}))$ . Let  $\Omega \subset S^{m-1}$  be open and let  $(V_i)_{i \in I}$  be an open cover of  $\Omega$ . Suppose that for each  $j, k \in I$  such that  $V_j \cap V_k \neq \emptyset$  there is a  $f_{jk} \in M_{(r)}^\alpha(V_j \cap V_k)$  satisfying the cocycle condition:*

- (i)  $f_{jk} = -f_{kj}$  in  $V_k \cap V_j$
- (ii)  $f_{jk} + f_{kl} + f_{lj} = 0$  in  $V_k \cap V_j \cap V_l$  .

*Then there exists a family of functions  $(f_i)_{i \in I}$ ,  $f_i \in M_{(r)}^\alpha(V_i)$  such that  $f_k - f_j = f_{kj}$  in  $V_k \cap V_j$ .*

*Proof.*

First of all remark that the following  $C^\infty$ -equivalent of this problem always has a solution. Let  $\phi_{jk} \in C^\infty(V_j \cap V_k)$  satisfy:

- (i)  $\phi_{jk} = -\phi_{kj}$  in  $V_k \cap V_j$
- (ii)  $\phi_{jk} + \phi_{kl} + \phi_{lj} = 0$  in  $V_j \cap V_k \cap V_l$ ;

then there exists a family of functions  $(\phi_i)_{i \in I}$ ,  $\phi_i \in C^\infty(V_i)$  such that  $\phi_k - \phi_j = \phi_{kj}$  in  $V_k \cap V_j$  for all  $k, j \in I$ .

To see this, let  $(\psi_i)_{i \in I}$  be a partition of unity subordinate to the cover  $(V_i)_{i \in I}$  and define

$$\phi_j(\omega) = \sum_k \psi_k(\omega) \phi_{kj}(\omega), \quad \omega \in V_i;$$

then  $\phi_j \in C^\infty(V_j)$  and in  $V_j \cap V_k$ :

$$\begin{aligned} \phi_j(\omega) - \phi_k(\omega) &= \sum_l \psi_l(\omega) [\phi_{lj}(\omega) - \phi_{lk}(\omega)] \\ &= \sum_l \psi_l(\omega) \phi_{kj}(\omega) \\ &= \phi_{kj} . \end{aligned}$$

Therefore one can always find functions  $h_j \in C^\infty(V_j)$  such that  $h_j - h_k = f_{jk}$  in  $V_j \cap V_k$  for all  $j, k \in I$ . Define  $h|_{V_i} = (\Gamma + \alpha)h_i$ ,  $i \in I$ ; then  $h$  is well defined ( $(\Gamma + \alpha)h_j = (\Gamma + \alpha)h_k$  in  $V_j \cap V_k$ ) and  $h \in C^\infty(\Omega)$ . By the previous Theorem 16 there is a  $g \in C^\infty(\Omega)$  such that  $(\Gamma + \alpha)g = h$  in  $\Omega$ . Put  $f_i = h_i - g$  in  $V_i$ , then  $(\Gamma + \alpha)f_i = 0$  in  $V_i$  and  $f_j - f_k = (h_j - g) - (h_k - g) = h_j - h_k = f_{jk}$  in  $V_j \cap V_k$ . ■

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