

# The (outer) automorphism group of a group extension

Wim Malfait \*

## Abstract

If  $K \hookrightarrow G \twoheadrightarrow Q$  is a group extension, then any automorphism of  $G$  which sends  $K$  into itself, induces automorphisms respectively on  $K$  and on  $Q$ . This subgroup of automorphisms of  $G$  is denoted by  $\text{Aut}(G, K)$  and is called the automorphism group of the extension  $K \hookrightarrow G \twoheadrightarrow Q$ . After establishing an interesting group action of  $\text{Aut}(K) \times \text{Aut}(Q)$  on the set  $\mathcal{H}^2(Q, K)$  of all 2-cohomology classes of  $Q$  with coefficients in  $K$ , a full description of  $\text{Aut}(G, K)$  and  $\text{Out}(G, K) = \text{Aut}(G, K)/\text{Inn}(G)$  is obtained in terms of various commutative diagrams. This picture is as general as possible, hence covering and further complementing similar ideas developed earlier by C. Wells ([5]), P. Conner & F. Raymond ([1]), D.J.S. Robinson ([3], [4]) and the author ([2]).

## 1 Notations and preliminaries

If  $G$  is a group and  $x \in G$ , then  $\mu(x)$  is the inner automorphism determined by  $x$  (sending  $y \in G$  to  $xyx^{-1}$ ),  $\mu(G)$  is known as the inner automorphism group  $\text{Inn}(G)$  and  $\text{Out}(G) = \text{Aut}(G)/\text{Inn}(G)$  is called the outer automorphism group of  $G$ . Write  $p : \text{Aut}(G) \twoheadrightarrow \text{Out}(G)$  for the natural projection. For a subset  $X$  in  $G$ ,  $C_G X$  denotes the centralizer and  $N_G X$  is the normalizer of  $X$  in  $G$ . Let  $Z(G)$  be the center of  $G$ .

In the sequel of this paper, aspects of group cohomology (with non-abelian coefficients) will be intensively used. Therefore, we review some basic facts of this theory and meanwhile fix additional notations and terminology.

---

\*The author is Postdoctoral Fellows of the Fund for Scientific Research – Flanders (Belgium) (F.W.O.)

Received by the editors June 2001.

Communicated by M. Van den Bergh.

1991 *Mathematics Subject Classification* : 20E22, 20E36.

*Key words and phrases* : Group extension, (Outer) automorphism group, 2-cohomology.

Each group extension  $K \hookrightarrow G \xrightarrow{j} Q$  induces, by choosing a normalized section  $s : Q \rightarrow G$  ( $j \circ s = 1$  and  $s(1) = 1$ ) and via conjugation in  $G$ , a map (not necessarily a homomorphism!)  $\varphi : Q \rightarrow \text{Aut}(K)$  sending  $x \in Q$  to the  $K$ -automorphism  $\varphi(x) : k \in K \mapsto s(x) k s(x)^{-1}$ . This induces a homomorphism  $\psi : Q \rightarrow \text{Out}(K)$  which is called an abstract kernel. We say that the extension realizes  $\psi$  or that it is compatible with  $\psi$ .

Two extensions  $G$  and  $G'$ , both with kernel  $K$  and quotient  $Q$ , are equivalent if and only there is a homomorphism  $f : G \rightarrow G'$  such that

$$\begin{array}{ccccc} K & \hookrightarrow & G & \twoheadrightarrow & Q \\ & & \downarrow f & & \parallel \\ K & \hookrightarrow & G' & \twoheadrightarrow & Q \end{array}$$

commutes. Write  $\text{Ext}_\psi(Q, K)$  for the set of equivalence classes of group extensions realizing an abstract kernel  $\psi : Q \rightarrow \text{Out}(K)$ .

A 2-cocycle of  $Q$  with coefficients in  $K$  is a pair  $(\varphi, c)$ , where  $\varphi : Q \rightarrow \text{Aut}(K)$  and  $c : Q \times Q \rightarrow K$  are maps satisfying

$$\begin{cases} \varphi(x) \varphi(y) = \mu(c(x, y)) \varphi(xy) \\ \varphi(x)(c(y, z)) \cdot c(x, yz) = c(x, y) \cdot c(xy, z) \end{cases}$$

for all  $x, y, z$  in  $Q$ . We always assume that  $\varphi$  and  $c$  are normalized, i.e.  $\varphi(1) = 1$  and, for all  $x \in Q$ ,  $c(x, 1) = c(1, x) = 1$ . Each 2-cocycle  $(\varphi, c)$  determines an abstract kernel  $\psi = p \circ \varphi : Q \rightarrow \text{Out}(K)$ . For a fixed abstract kernel  $\psi : Q \rightarrow \text{Out}(K)$ , we write  $Z_\psi^2(Q, K)$  for the set of all 2-cocycles of  $Q$  with coefficients in  $K$  and inducing  $\psi$ . Two 2-cocycles  $(\varphi, c), (\varphi', c')$  are cohomologous (write  $(\varphi, c) \sim (\varphi', c')$ ) if and only if there exists a normalized map ("a cochain")  $\lambda : Q \rightarrow K$  such that, for all  $x, y \in Q$ :

$$\begin{cases} \varphi'(x) = \mu(\lambda(x)) \varphi(x) \\ c'(x, y) = \lambda(x) \cdot \varphi(x)(\lambda(y)) \cdot c(x, y) \cdot \lambda(xy)^{-1} \end{cases}$$

Let  $H_\psi^2(Q, K)$  denote the set of all cohomology classes of  $Q$  with coefficients in  $K$  and inducing the abstract kernel  $\psi$ .

A 2-cocycle  $(\varphi, c) \in Z_\psi^2(Q, K)$  gives rise to an extension  $G = K \times_{(\varphi, c)} Q$  of  $K$  by  $Q$  realizing  $\psi$ , with group operation

$$\forall k, l \in K \quad \forall x, y \in Q \quad (k, x) \cdot_{(\varphi, c)} (l, y) = (k \cdot \varphi(x)(l) \cdot c(x, y), xy).$$

Conversely, by choosing a normalized section  $s : Q \rightarrow G$  of an extension  $K \hookrightarrow G \xrightarrow{j} Q$  realizing an abstract kernel  $\psi$ , we obtain a 2-cocycle  $(\varphi, c) \in Z_\psi^2(Q, K)$  given by  $\varphi : Q \rightarrow \text{Aut}(K)$  sending  $x \in Q \mapsto \mu(s(x))|_K$  and, for  $x, y \in Q$ ,  $c(x, y) = s(x) s(y) s(xy)^{-1}$ . This establishes a one-to-one correspondence between  $\text{Ext}_\psi(Q, K)$  and  $H_\psi^2(Q, K)$ . Moreover, if  $\text{Ext}_\psi(Q, K)$  is not empty, then  $H_\psi^2(Q, Z(K))$  acts on it simply transitively. If we take  $\mathcal{H}^2(Q, K) = \bigcup_\psi H_\psi^2(Q, K)$ , where  $\psi$  runs through all abstract kernels  $Q \rightarrow \text{Out}(K)$ , then  $\mathcal{H}^2(Q, K)$  is in one-to-one correspondence with  $\mathcal{E}(Q, K) = \bigcup_\psi \text{Ext}_\psi(Q, K)$ , the set of all equivalence classes of group extensions with kernel  $K$  and quotient  $Q$ .

## 2 Crucial group actions

In this section, we introduce group actions which play a crucial role in the sequel.

**Proposition 2.1.** *Assume an abstract kernel  $Q \rightarrow \text{Out}(K)$ . There is a group action of  $\text{Aut}(K)$  on  $\mathcal{H}^2(Q, K)$  defined as follows:*

$$\text{Aut}(K) \times \mathcal{H}^2(Q, K) \rightarrow \mathcal{H}^2(Q, K) : (\nu, \langle \varphi, c \rangle) \mapsto \langle \mu(\nu) \circ \varphi, \nu \circ c \rangle$$

*Proof.* Assume  $(\varphi, c) \in Z_{\psi}^2(Q, K)$  and  $\nu \in \text{Aut}(K)$ . We claim that  $(\mu(\nu) \circ \varphi, \nu \circ c) \in Z_{\mu(p(\nu) \circ \psi}^2(Q, K)$ . Take  $x, y, z$  in  $Q$ . First there is

$$\begin{aligned} \mu[\nu(c(x, y))] &= \nu \circ \mu(c(x, y)) \circ \nu^{-1} = \nu[\varphi(x)\varphi(y)\varphi(xy)^{-1}] \nu^{-1} \\ &= (\nu\varphi(x)\nu^{-1})(\nu\varphi(y)\nu^{-1})(\nu\varphi(xy)\nu^{-1})^{-1} \end{aligned}$$

and secondly we verify,

$$\begin{aligned} \nu(c(x, y)) \cdot \nu(c(xy, z)) &= \nu[c(x, y) \cdot c(xy, z)] = \nu[\varphi(x)(c(y, z)) \cdot c(x, yz)] \\ &= (\nu\varphi(x)\nu^{-1})(\nu(c(y, z))) \cdot \nu(c(x, yz)). \end{aligned}$$

If now  $(\varphi, c) \sim (\varphi', c')$ , then  $(\mu(\nu) \circ \varphi, \nu \circ c) \sim (\mu(\nu) \circ \varphi', \nu \circ c')$ . Indeed, let  $\lambda : Q \rightarrow K$  be the cochain such that  $\varphi' = \mu(\lambda)\varphi$ . Then  $\mu(\nu) \circ \varphi' = \mu(\nu \circ \lambda)(\mu(\nu) \circ \varphi)$  and, for each  $x, y \in Q$ :

$$\begin{aligned} \nu(c'(x, y)) &= \nu[\lambda(x) \cdot \varphi(x)(\lambda(y)) \cdot c(x, y) \cdot \lambda(xy)^{-1}] \\ &= (\nu \circ \lambda)(x) \cdot (\nu\varphi(x)\nu^{-1})((\nu \circ \lambda)(y)) \cdot \nu(c(x, y)) \cdot ((\nu \circ \lambda)(xy))^{-1}. \end{aligned}$$

We now easily conclude that we have a group action. ■

**Proposition 2.2.** *Assume an abstract kernel  $Q \rightarrow \text{Out}(K)$ . There is a group action of  $\text{Aut}(Q)$  on  $\mathcal{H}^2(Q, K)$  defined as follows:*

$$\text{Aut}(Q) \times \mathcal{H}^2(Q, K) \rightarrow \mathcal{H}^2(Q, K) : (\Phi, \langle \varphi, c \rangle) \mapsto \langle \varphi \circ \Phi^{-1}, c \circ (\Phi^{-1} \times \Phi^{-1}) \rangle$$

*Proof.* Take  $(\varphi, c) \in Z_{\psi}^2(Q, K)$  and  $\Phi \in \text{Aut}(Q)$ . Obviously  $(\varphi \circ \Phi^{-1}, c \circ (\Phi^{-1} \times \Phi^{-1})) \in Z_{\psi \circ \Phi^{-1}}^2(Q, K)$ . Moreover, if  $(\varphi, c) \sim (\varphi', c')$  and  $\lambda : Q \rightarrow K$  is the corresponding cochain, then  $(\varphi \circ \Phi^{-1}, c \circ (\Phi^{-1} \times \Phi^{-1})) \sim (\varphi' \circ \Phi^{-1}, c' \circ (\Phi^{-1} \times \Phi^{-1}))$  via the cochain  $\lambda \circ \Phi^{-1} : Q \rightarrow K$ . Thus we have a group action. ■

Combining the above results, one easily obtains that

**Proposition 2.3.** *Assume an abstract kernel  $Q \rightarrow \text{Out}(K)$ . There is a group action of  $\text{Aut}(K) \times \text{Aut}(Q)$  on  $\mathcal{H}^2(Q, K)$  defined as follows:*

$$(\text{Aut}(K) \times \text{Aut}(Q)) \times \mathcal{H}^2(Q, K) \rightarrow \mathcal{H}^2(Q, K) : ((\nu, \Phi), \langle \varphi, c \rangle) \mapsto {}^{(\nu, \Phi)}\langle \varphi, c \rangle$$

where  ${}^{(\nu, \Phi)}\langle \varphi, c \rangle = \langle \mu(\nu) \circ \varphi \circ \Phi^{-1}, \nu \circ c \circ (\Phi^{-1} \times \Phi^{-1}) \rangle$ .

### 3 A fundamental automorphism diagram

Fix a group extension  $K \twoheadrightarrow G \twoheadrightarrow Q$ . An automorphism  $\sigma$  in  $\text{Aut}(G, K)$  restricts to an automorphism of  $K$  and consequently induces an automorphism of  $Q$ . Write  $A : \text{Aut}(G, K) \rightarrow \text{Aut}(K)$  for the restriction to  $K$  and  $B : \text{Aut}(G, K) \rightarrow \text{Aut}(Q)$  for the corresponding homomorphism. So, each  $\sigma$  gives rise to a commutative diagram:

$$\begin{array}{ccccc} K & \twoheadrightarrow & G & \twoheadrightarrow & Q \\ \downarrow A(\sigma) & & \downarrow \sigma & & \downarrow B(\sigma) \\ K & \twoheadrightarrow & G & \twoheadrightarrow & Q \end{array}$$

Let  $\Theta : \text{Aut}(G, K) \rightarrow \text{Aut}(K) \times \text{Aut}(Q)$  denote the homomorphism sending  $\sigma \mapsto (A(\sigma), B(\sigma))$ . A pair  $(\nu, \Phi) \in \text{Aut}(K) \times \text{Aut}(Q)$  is called *inducible* ([5]) if there is an automorphism  $\sigma \in \text{Aut}(G, K)$  inducing  $\nu$  on  $K$  and  $\Phi$  on  $Q$ . The set of inducible pairs is hence precisely  $\text{Im}(\Theta)$ .

An important observation, using the group action introduced in Proposition 2.3, is

**Proposition 3.1.** *Assume  $K \twoheadrightarrow G \twoheadrightarrow Q$  is an extension determining a cohomology class  $a = \langle \varphi, c \rangle \in \mathcal{H}^2(Q, K)$ . Then, for all  $\sigma \in \text{Aut}(G, K)$ ,  ${}^{(A(\sigma), B(\sigma))} \langle \varphi, c \rangle = \langle \varphi, c \rangle$ .*

*Proof.* Take  $G = K \times_{(\varphi, c)} Q$  and consider  $\sigma \in \text{Aut}(G, K)$ . Construct the map  $\xi_\sigma : Q \rightarrow K$  defined by  $\sigma(1, x) = (\xi_\sigma(x), B(\sigma)(x))$  ( $x \in Q$ ). For every  $(k, x) \in G$ ,

$$(k, x) = (k, 1) \cdot_{(\varphi, c)} (1, x) = (1, x) \cdot_{(\varphi, c)} (\varphi(x)^{-1}(k), 1).$$

Therefore we find that

$$\sigma(k, 1) \cdot_{(\varphi, c)} \sigma(1, x) = (A(\sigma)(k), 1) \cdot_{(\varphi, c)} (\xi_\sigma(x), B(\sigma)(x)) = (A(\sigma)(k) \cdot \xi_\sigma(x), B(\sigma)(x))$$

while

$$\begin{aligned} \sigma(1, x) \cdot_{(\varphi, c)} \sigma(\varphi(x)^{-1}(k), 1) &= (\xi_\sigma(x), B(\sigma)(x)) \cdot_{(\varphi, c)} (A(\sigma)(\varphi(x)^{-1}(k)), 1) \\ &= (\xi_\sigma(x) \cdot \varphi(B(\sigma)(x))[A(\sigma)(\varphi(x)^{-1}(k))], B(\sigma)(x)). \end{aligned}$$

So  $\xi_\sigma$  must satisfy

$$\forall x \in Q \quad \mu(\xi_\sigma(x)^{-1}) \circ A(\sigma) = \varphi(B(\sigma)(x)) \circ A(\sigma) \circ \varphi(x)^{-1} \quad (1)$$

and because  $B(\sigma) \in \text{Aut}(Q)$ , this is equivalent to

$$\mu(A(\sigma)) \circ \varphi \circ B(\sigma)^{-1} = \mu(\xi_\sigma \circ B(\sigma)^{-1}) \circ \varphi.$$

Now, take  $x, y \in Q$  and obtain that

$$\sigma[(1, x) \cdot_{(\varphi, c)} (1, y)] = \sigma[(c(x, y), 1) \cdot_{(\varphi, c)} (1, xy)] = (A(\sigma)(c(x, y)) \cdot \xi_\sigma(xy), B(\sigma)(xy))$$

which must be equal to

$$\begin{aligned} \sigma(1, x) \cdot_{(\varphi, c)} \sigma(1, y) &= (\xi_\sigma(x), B(\sigma)(x)) \cdot_{(\varphi, c)} (\xi_\sigma(y), B(\sigma)(y)) \\ &= (\xi_\sigma(x) \cdot \varphi(B(\sigma)(x))(\xi_\sigma(y)) \cdot c(B(\sigma)(x), B(\sigma)(y)), B(\sigma)(xy)) \end{aligned}$$

So we have that

$$A(\sigma)(c(x, y)) = \xi_\sigma(x) \cdot \varphi(B(\sigma)(x))(\xi_\sigma(y)) \cdot c(B(\sigma)(x), B(\sigma)(y)) \cdot \xi_\sigma(xy)^{-1} \quad (2)$$

and this translates into

$$\begin{aligned} & (A(\sigma) \circ c \circ (B(\sigma)^{-1} \times B(\sigma)^{-1}))(x, y) \\ &= (\xi_\sigma \circ B(\sigma)^{-1})(x) \cdot \varphi(x)[(\xi_\sigma \circ B(\sigma)^{-1})(y)] \cdot c(x, y) \cdot ((\xi_\sigma \circ B(\sigma)^{-1})(xy))^{-1}. \end{aligned}$$

We conclude that  ${}^{(A(\sigma), B(\sigma))} \langle \varphi, c \rangle = \langle \varphi, c \rangle$ .  $\blacksquare$

As to the converse, one has

**Proposition 3.2.** *Let  $K \hookrightarrow G \twoheadrightarrow Q$  be a group extension determining a cohomology class  $a = \langle \varphi, c \rangle \in \mathcal{H}^2(Q, K)$ . Assume  $\nu \in \text{Aut}(K)$  and  $\Phi \in \text{Aut}(Q)$  such that  ${}^{(\nu, \Phi)} \langle \varphi, c \rangle = \langle \varphi, c \rangle$ . Then there exists  $\sigma \in \text{Aut}(G, K)$  such that  $\Theta(\sigma) = (\nu, \Phi)$ .*

*Proof.* Write  $a = \langle \varphi, c \rangle$  and consider  $G$  as  $K \times_{(\varphi, c)} Q$ . Let  $\nu \in \text{Aut}(K)$  and  $\Phi \in \text{Aut}(Q)$ . If  ${}^{(\nu, \Phi)} \langle \varphi, c \rangle = \langle \varphi, c \rangle$ , then there is a cochain  $\lambda : Q \rightarrow K$  such that

$$\eta : K \times_{(\mu(\nu) \circ \varphi \circ \Phi^{-1}, \nu \circ c \circ (\Phi^{-1} \circ \Phi^{-1}))} Q \rightarrow K \times_{(\varphi, c)} Q : (k, x) \mapsto (k \cdot \lambda(x), x)$$

is a group isomorphism. Moreover, one can introduce another isomorphism  $\varsigma$  as follows:

$$\varsigma : K \times_{(\varphi, c)} Q \rightarrow K \times_{(\mu(\nu) \circ \varphi \circ \Phi^{-1}, \nu \circ c \circ (\Phi^{-1} \circ \Phi^{-1}))} Q : (k, x) \mapsto (\nu(k), \Phi(x)).$$

Indeed, let  $k, k' \in K$  and  $x, x' \in Q$ , then

$$\begin{aligned} & \varsigma(k, x) \cdot {}_{(\mu(\nu) \circ \varphi \circ \Phi^{-1}, \nu \circ c \circ (\Phi^{-1} \circ \Phi^{-1}))} \varsigma(k', x') \\ &= (\nu(k), \Phi(x)) \cdot {}_{(\mu(\nu) \circ \varphi \circ \Phi^{-1}, \nu \circ c \circ (\Phi^{-1} \circ \Phi^{-1}))} (\nu(k'), \Phi(x')) \\ &= (\nu(k) \cdot \nu(\varphi(x)(k')) \cdot \nu(c(x, y)), \Phi(xx')) = \varsigma[(k, x) \cdot_{(\varphi, c)} (k', x')]. \end{aligned}$$

Consequently,  $\sigma = \eta \circ \varsigma \in \text{Aut}(G, K)$  and  $A(\sigma) = \nu$ ,  $B(\sigma) = \Phi$ .  $\blacksquare$

This leads to an explicit description of the inducible pairs of a group extension.

**Theorem 3.3.** *If  $K \hookrightarrow G \twoheadrightarrow Q$  is an extension compatible with  $\psi : Q \rightarrow \text{Out}(K)$  and  $a \in H_\psi^2(Q, K)$  is the corresponding cohomology class, then*

$$\text{Im}(\Theta) = \text{Stab}_{\text{Aut}(K) \times \text{Aut}(Q)} a.$$

Now recall the following definition (introduced in [5]):

**Definition 3.4.** *Let  $\psi : Q \rightarrow \text{Out}(K)$  be an abstract kernel. A pair  $(\nu, \Phi)$  in  $\text{Aut}(K) \times \text{Aut}(Q)$  is called compatible with respect to  $\psi$  if and only if  $\psi \circ \Phi = \mu(p(\nu)) \circ \psi$ . The set of all compatible pairs with respect to  $\psi$  is denoted by  $\text{Comp}(\psi)$ .*

Note that

**Proposition 3.5.** *Assume  $K \hookrightarrow G \twoheadrightarrow Q$  is an extension compatible with  $\psi : Q \rightarrow \text{Out}(K)$ . Then  $\text{Im}(\Theta) \subseteq \text{Comp}(\psi) \subseteq p^{-1}(N_{\text{Out}(K)} \psi(Q)) \times \text{Aut}(Q)$ .*

*Proof.* If  $(\nu, \Phi) \in \text{Aut}(K) \times \text{Aut}(Q)$  stabilizes the cohomology class  $a \in H_\psi^2(Q, K)$  corresponding to the given extension, then  $\mu(p(\nu)) \circ \psi = \psi \circ \Phi$ . This also implies that  $p(\nu)$  belongs to the normalizer of  $\psi(Q)$  in  $\text{Out}(K)$ .  $\blacksquare$

For an abstract kernel  $\psi : Q \rightarrow \text{Out}(K)$ , the restriction map  $\text{Aut}(K) \rightarrow \text{Aut}(Z(K))$  induces a map  $\text{Out}(K) \rightarrow \text{Aut}(Z(K))$ , which defines a  $Q$ -module structure on  $Z(K)$  (also write  $\psi$  for this map). It is well known ([5]) that

**Theorem 3.6.** *Let  $K \twoheadrightarrow G \twoheadrightarrow Q$  be a group extension realizing an abstract kernel  $\psi : Q \rightarrow \text{Out}(K)$ . Then*

$$\text{Ker}(\Theta) = \text{Ker}(A) \cap \text{Ker}(B) \cong Z_\psi^1(Q, Z(K)).$$

*Proof.* To fix notations, we sketch the proof of this result. Let  $a = \langle \varphi, c \rangle$ ,  $G = K \times_{(\varphi, c)} Q$  and  $\sigma \in \text{Ker}(\Theta)$ . Then the map  $\xi_\sigma : Q \rightarrow K$ , defined by  $\sigma(1, x) = (\xi_\sigma(x), x)$ , takes its values in  $Z(K)$  (because of (1)) and, translating (2), it satisfies  $\xi_\sigma(xy) = \xi_\sigma(x) \cdot \varphi(x)(\xi_\sigma(y))$  (for all  $x, y \in Q$ ), which means that  $\xi_\sigma \in Z_\psi^1(Q, Z(K))$ . It is now an easy exercise to show that  $\xi : \text{Ker}(\Theta) \rightarrow Z_\psi^1(Q, Z(K))$  sending  $\sigma \mapsto \xi_\sigma$  is an isomorphism. ■

**Definition 3.7.** *For each extension  $K \twoheadrightarrow G \xrightarrow{j} Q$ , realizing an abstract kernel  $\psi : Q \rightarrow \text{Out}(K)$ , we define  $\overline{B}_\psi^1(Q, Z(K)) = \{\xi_{\mu(g)} \mid g \in C_G K, j(g) \in Z(Q)\}$ .*

At first sight, this notion might look rather peculiar but in the following proposition, we exhibit its importance in this context.

**Proposition 3.8.** *Assume  $K \twoheadrightarrow G \twoheadrightarrow Q$  is a group extension compatible with  $\psi : Q \rightarrow \text{Out}(K)$ . Then*

1.  $B_\psi^1(Q, Z(K))$  is a subgroup of  $\overline{B}_\psi^1(Q, Z(K))$ .
2.  $\overline{B}_\psi^1(Q, Z(K))$  is a subgroup of  $Z_\psi^1(Q, Z(K))$ .
3.  $\text{Ker}(\Theta) \cap \text{Inn}(G) \cong \overline{B}_\psi^1(Q, Z(K)) \cong (j^{-1}(Z(Q)) \cap C_G K) / Z(G)$ .

*Proof.* Let  $G = K \times_{(\varphi, c)} Q$ . Take  $\gamma \in B_\psi^1(Q, Z(K))$  sending  $x \in Q \mapsto k_0 \cdot \varphi(x)(k_0^{-1})$ , for some  $k_0 \in Z(K)$ . Then  $\lambda = \xi_{\mu(k_0, 1)} \in \overline{B}_\psi^1(Q, Z(K))$ . Now take  $k_0 \in K$  and  $x_0 \in Q$ . Then  $\mu(k_0, x_0) \in \text{Ker}(\Theta)$  if and only if  $(k_0, x_0) \in C_G(K)$  and  $x_0 \in Z(Q)$ . Therefore, by definition and because of the proof of Proposition 3.6,  $\overline{B}_\psi^1(Q, Z(K))$  is exactly the subgroup of  $Z_\psi^1(Q, Z(K)) \cong \text{Ker}(\Theta)$  corresponding to  $\text{Ker}(\Theta) \cap \text{Inn}(G)$ . ■

Now define

**Definition 3.9.** *Let  $K \twoheadrightarrow G \twoheadrightarrow Q$  be a group extension compatible with  $\psi : Q \rightarrow \text{Out}(K)$ . Write  $\overline{H}_\psi^1(Q, Z(K)) = Z_\psi^1(Q, Z(K)) / \overline{B}_\psi^1(Q, Z(K))$ .*

Obviously,  $\overline{B}_\psi^1(Q, Z(K)) / B_\psi^1(Q, Z(K)) \twoheadrightarrow H_\psi^1(Q, Z(K)) \twoheadrightarrow \overline{H}_\psi^1(Q, Z(K))$  is exact.

We are now ready to summarize the above results in the following

**Theorem 3.10.** *If  $\psi : Q \rightarrow \text{Out}(K)$  is an abstract kernel and  $K \hookrightarrow G \xrightarrow{j} Q$  is an extension compatible with  $\psi$  determining a cohomology class  $a \in H^2_\psi(Q, K)$ , then there is a commutative diagram of groups and group homomorphisms such that both the rows and the columns are exact sequences:*

$$\begin{array}{ccccc}
 \overline{B}^1_\psi(Q, Z(K)) & \hookrightarrow & \text{Inn}(G) & \xrightarrow{\Theta} & G/(j^{-1}(Z(Q)) \cap C_G K) \\
 \downarrow & & \downarrow & & \downarrow \\
 Z^1_\psi(Q, Z(K)) & \hookrightarrow & \text{Aut}(G, K) & \xrightarrow{\Theta} & \text{Stab}_{\text{Aut}(K) \times \text{Aut}(Q)} a \\
 \downarrow & & \downarrow & & \downarrow \\
 \overline{H}^1_\psi(Q, Z(K)) & \hookrightarrow & \text{Out}(G, K) & \twoheadrightarrow & Q_0
 \end{array} \quad (3)$$

*Proof.* Most of the proof of this theorem is already finished by combining Theorems 3.3, 3.6 and Proposition 3.8. To understand the upper-right corner of the diagram, it is sufficient to realize that  $\text{Inn}(G) \cong G/Z(G)$ . ■

**Remark 3.11.** The middle row in (3) is the main component in the diagram and is conceptually the exact sequence originally due to C. Wells ([5]) although he did not describe the image of  $\Theta$  concretely (as being a stabilizer). Additionally, here we also obtain information on the outer automorphisms of the group extension.

## 4 Alternative automorphism diagrams

Using the terminology and notations introduced above, what can be done to reach alternative diagrams which provide extra information on the (outer) automorphism group of a group extension  $K \hookrightarrow G \twoheadrightarrow Q$ , is concentrating on the homomorphisms  $A : \text{Aut}(G, K) \rightarrow \text{Aut}(K)$  and  $B : \text{Aut}(G, K) \rightarrow \text{Aut}(Q)$  respectively, rather than on  $\Theta : \text{Aut}(G, K) \rightarrow \text{Aut}(K) \times \text{Aut}(Q)$  as we did above.

For example, it is natural to define the following subgroup of  $\text{Aut}(K)$ :

**Definition 4.1.** *Assume  $K$  and  $Q$  are groups such that  $\mathcal{H}^2(Q, K)$  is non-empty. For each element  $a = \langle \varphi, c \rangle \in \mathcal{H}^2(Q, K)$ , we define the subgroup  $\mathcal{M}_{K,a}$  of  $\text{Aut}(K)$  as*

$$\mathcal{M}_{K,a} = \{\nu \in \text{Aut}(K) \mid \exists \Phi \in \text{Aut}(Q) \text{ such that } {}^{(\nu, \Phi)} \langle \varphi, c \rangle = \langle \varphi, c \rangle\}.$$

Of course,

**Proposition 4.2.** *Assume  $K \hookrightarrow G \twoheadrightarrow Q$  an extension determining a cohomology class  $a \in \mathcal{H}^2(Q, K)$ . Then,*

$$\text{Im}(A) = \mathcal{M}_{K,a} \subseteq p^{-1}(N_{\text{Out}(K)}\psi(Q)).$$

*Proof.* Because of Proposition 3.1, we immediately have that for each  $\sigma \in \text{Aut}(G, K)$ ,  $A(\sigma) \in \mathcal{M}_{K,a}$ . Conversely, if  $\nu \in \mathcal{M}_{K,a}$  with  $a = \langle \varphi, c \rangle$ , then there exists  $\Phi \in \text{Aut}(Q)$  such that  ${}^{(\nu, \Phi)} \langle \varphi, c \rangle = \langle \varphi, c \rangle$  and because of Proposition 3.2, there is an automorphism  $\sigma$  of  $G$  such that  $A(\sigma) = \nu$ . ■

Analogously, we introduce the following subgroup of  $\text{Aut}(Q)$ :

**Definition 4.3.** Let  $K$  and  $Q$  be groups such that  $\mathcal{H}^2(Q, K)$  is non-empty. For each element  $a = \langle \varphi, c \rangle \in \mathcal{H}^2(Q, K)$ , we define the subgroup  $\mathcal{M}_{Q,a}$  of  $\text{Aut}(Q)$  as

$$\mathcal{M}_{Q,a} = \{\Phi \in \text{Aut}(Q) \mid \exists \nu \in \text{Aut}(K) \text{ such that } {}^{(\nu, \Phi)}\langle \varphi, c \rangle = \langle \varphi, c \rangle\}.$$

Then

**Proposition 4.4.** If  $K \rightarrowtail G \twoheadrightarrow Q$  is a group extension determining a cohomology class  $a \in \mathcal{H}^2(Q, K)$ , then  $\text{Im}(B) = \mathcal{M}_{Q,a}$ .

*Proof.* Proposition 3.1 implies that for each  $\sigma \in \text{Aut}(G, K)$ ,  $B(\sigma) \in \mathcal{M}_{Q,a}$ . Conversely, for any  $\Phi \in \mathcal{M}_{Q,a}$ , with  $a = \langle \varphi, c \rangle$ , there exists  $\nu \in \text{Aut}(K)$  such that  ${}^{(\nu, \Phi)}\langle \varphi, c \rangle = \langle \varphi, c \rangle$  and it follows from Proposition 3.2 that there exists  $\sigma \in \text{Aut}(G, K)$  such that  $B(\sigma) = \Phi$ . ■

Referring to the action of  $\text{Aut}(K)$  on  $\mathcal{H}^2(Q, K)$  (as introduced in Proposition 2.1), it is now also easy to conclude that

**Proposition 4.5.** Let  $K \rightarrowtail G \twoheadrightarrow Q$  be an extension. Write  $\psi : Q \rightarrow \text{Aut}(K)$  for the induced abstract kernel and  $a \in H_\psi^2(Q, K)$  for the corresponding cohomology class. Then

$$A(\text{Ker}(B)) = \text{Stab}_{\text{Aut}(K)} a \subseteq p^{-1}(C_{\text{Out}(K)} \psi(Q)).$$

*Proof.* Assume  $\sigma \in \text{Aut}(G, K)$  such that  $B(\sigma) = 1_Q$ , the identity on  $Q$ . Because of Proposition 3.1,  $(A(\sigma), 1_Q)$  stabilizes  $a$ . It immediately follows that  $A(\sigma) \in \text{Stab}_{\text{Aut}(K)} a$ . Conversely, let  $\nu \in \text{Stab}_{\text{Aut}(K)} a$ . Then  $(\nu, 1_Q)$  stabilizes  $a$  and the desired result now follows from Proposition 3.2. Finally, note that if  $\nu \in \text{Aut}(K)$  belongs to the stabilizer of  $a \in H_\psi^2(Q, K)$ , then  $\mu(p(\nu)) \circ \psi = \psi$ . So  $\text{Stab}_{\text{Aut}(K)} a$  is contained in the inverse image in  $\text{Aut}(K)$  of the centralizer of  $\psi(Q)$  in  $\text{Out}(K)$ . ■

Using the action of  $\text{Aut}(Q)$  on  $\mathcal{H}^2(Q, K)$  (as introduced in Proposition 2.2), we have

**Proposition 4.6.** Assume  $K \rightarrowtail G \twoheadrightarrow Q$  is a group extension compatible with an abstract kernel  $\psi : Q \rightarrow \text{Out}(K)$  and with cohomology class  $a \in H_\psi^2(Q, K)$ . Then

$$B(\text{Ker}(A)) = \text{Stab}_{\text{Aut}(Q)} a \subseteq \{\Phi \in \text{Aut}(Q) \mid \psi \circ \Phi = \psi\}.$$

*Proof.* If for  $\sigma \in \text{Aut}(G, K)$ ,  $A(\sigma) = 1_K$ , the identity on  $K$ , then  $(1_K, B(\sigma))$  belongs to the stabilizer of  $a$  (Proposition 3.1). Thus  $B(\sigma) \in \text{Stab}_{\text{Aut}(Q)} a$ . Conversely, if  $\Phi \in \text{Stab}_{\text{Aut}(Q)} a$ , then  $(1_K, \Phi)$  stabilizes  $a \in H_\psi^2(Q, K)$  (observe that  $\psi \circ \Phi = \psi$ ) and applying Proposition 3.2 finishes the proof. ■



Combining these properties, we conclude with

**Theorem 4.7.** *Let  $\psi : Q \rightarrow \text{Out}(K)$  be an injective abstract kernel and  $K \rightarrowtail G \xrightarrow{j} Q$  is an extension realizing  $\psi$  and determining a cohomology class  $a \in H_\psi^2(Q, K)$ . Then there are two commutative diagrams built with exact rows and columns:*

$$\begin{array}{ccccc} \Gamma_A^0 = \text{Ker}(A) \cap \text{Inn}(G) & \rightarrowtail & \text{Inn}(G) & \xrightarrow{A} & G/C_G K \\ \downarrow & & \downarrow & & \downarrow \\ \Gamma_A^1 = \text{Ker}(A) & \rightarrowtail & \text{Aut}(G, K) & \xrightarrow{A} & \mathcal{M}_{K,a} \\ \downarrow & & \downarrow & & \downarrow \\ \Gamma_A^2 = \text{Ker}(A)/(\text{Ker}(A) \cap \text{Inn}(G)) & \rightarrowtail & \text{Out}(G, K) & \rightarrow & Q_1 \end{array} \quad (4)$$

and

$$\begin{array}{ccccc} \overline{B}_\psi^1(Q, Z(K)) & \rightarrowtail & \Gamma_A^0 & \xrightarrow{B} & \text{Ker}(\psi)/(\text{Ker}(\psi) \cap Z(Q)) \\ \downarrow & & \downarrow & & \downarrow \\ Z_\psi^1(Q, Z(K)) & \rightarrowtail & \Gamma_A^1 & \xrightarrow{B} & \text{Stab}_{\text{Aut}(Q)} a \\ \downarrow & & \downarrow & & \downarrow \\ \overline{H}_\psi^1(Q, Z(K)) & \rightarrowtail & \Gamma_A^2 & \rightarrow & Q_3 \end{array} \quad (5)$$

where

$$Q_1 = \mathcal{M}_{K,a}/A(\text{Inn}(G)) \cong \overline{\mathcal{M}_{K,a}}/\psi(Q)$$

with  $\overline{\mathcal{M}_{K,a}} = \mathcal{M}_{K,a}/\text{Inn}(K) \subseteq \text{Out}(K)$  and  $Q_1 \rightarrowtail N_{\text{Out}(K)}\psi(Q)/\psi(Q)$ .

*Proof.* This easily follows from Propositions 4.2 and 4.6. The reader only has to note that  $B(\text{Ker}(A) \cap \text{Inn}(G))$  is isomorphic to

$$\left( C_G K / Z(G) \right) / \left( (j^{-1}(Z(Q)) \cap C_G K) / Z(G) \right) \cong \text{Ker}(\psi) / (\text{Ker}(\psi) \cap Z(Q))$$

because  $\overline{B}_\psi^1(Q, Z(K)) \cong (j^{-1}(Z(Q)) \cap C_G K) / Z(G)$  (Proposition 3.8),  $\Gamma_A^0 \cong C_G K / Z(G)$  and  $\text{Ker}(\psi) \cong C_G K / Z(K)$ .  $\text{Inn}(K)$  clearly is normal in  $\mathcal{M}_{K,a}$ . Since  $A(\text{Inn}(G)) \cong G/C_G K$  and  $\text{Inn}(K) \cong K/Z(K)$ , it follows that  $A(\text{Inn}(G))/\text{Inn}(K) \cong Q/\text{Ker}(\psi) \cong \psi(Q)$ . Therefore,  $Q_1 \cong \overline{\mathcal{M}_{K,a}}/\psi(Q)$  and the announced embedding follows from Proposition 4.2.  $\blacksquare$

Somehow “dual” to the above is the following:

**Theorem 4.8.** *Assume  $K \rightarrowtail G \xrightarrow{j} Q$  is a group extension compatible with  $\psi : Q \rightarrow \text{Out}(K)$  and corresponding to a cohomology class  $a \in H_\psi^2(Q, K)$ . Then the following are commutative diagrams built with exact rows and columns:*

$$\begin{array}{ccccc} \Gamma_B^0 = \text{Ker}(B) \cap \text{Inn}(G) & \rightarrowtail & \text{Inn}(G) & \xrightarrow{B} & \text{Inn}(Q) \\ \downarrow & & \downarrow & & \downarrow \\ \Gamma_B^1 = \text{Ker}(B) & \rightarrowtail & \text{Aut}(G, K) & \xrightarrow{B} & \mathcal{M}_{Q,a} \\ \downarrow & & \downarrow & & \downarrow \\ \Gamma_B^2 = \text{Ker}(B)/(\text{Ker}(B) \cap \text{Inn}(G)) & \rightarrowtail & \text{Out}(G, K) & \rightarrow & Q_2 \end{array} \quad (6)$$

and

$$\begin{array}{ccccc}
 \overline{B}_\psi^1(Q, Z(K)) & \hookrightarrow & \Gamma_B^0 & \xrightarrow{A} & p^{-1}(\psi(Z(Q))) \\
 \downarrow & & \downarrow & & \downarrow \\
 Z_\psi^1(Q, Z(K)) & \hookrightarrow & \Gamma_B^1 & \xrightarrow{A} & \text{Stab}_{\text{Aut}(K)}^a \\
 \downarrow & & \downarrow & & \downarrow \\
 \overline{H}_\psi^1(Q, Z(K)) & \hookrightarrow & \Gamma_B^2 & \twoheadrightarrow & Q_4
 \end{array} \tag{7}$$

where

$$Q_4 = \text{Stab}_{\text{Aut}(K)}^a / A(\text{Ker}(B) \cap \text{Inn}(G)) \cong \overline{\text{Stab}}_{\text{Aut}(K)}^a / \psi(Z(Q))$$

with

$$\overline{\text{Stab}}_{\text{Aut}(K)}^a = \text{Stab}_{\text{Aut}(K)}^a / \text{Inn}(K) \subseteq \text{Out}(K)$$

and

$$Q_4 \hookrightarrow C_{\text{Out}(K)}^{\psi(Q) / \psi(Z(Q))}.$$

*Proof.* This is an immediate consequence of Propositions 4.4 and 4.5. Only observe that if  $a = \langle \varphi, c \rangle$  and  $(k, x) \in G = K \times_{(\varphi, c)} Q$ , then  $A(\mu(k, x)) = \mu(k) \circ \varphi(x)$  and  $B(\mu(k, x)) = \mu(x)$ . This implies that  $\text{Ker}(B) \cap \text{Inn}(G) \cong j^{-1}(Z(Q)) / Z(G)$  and  $A(\text{Ker}(B) \cap \text{Inn}(G))$  is the preimage in  $\text{Aut}(K)$  of  $\psi(Z(Q))$ . Obviously,  $\text{Inn}(K)$  is contained in  $\text{Stab}_{\text{Aut}(K)}^a$  and hence  $Q_4$  is isomorphic to  $\overline{\text{Stab}}_{\text{Aut}(K)}^a / \psi(Z(Q))$ . And because of Proposition 4.5,  $Q_4$  hence embeds into  $C_{\text{Out}(K)}^{\psi(Q) / \psi(Z(Q))}$ . ■

The relation between diagram (3) and the alternative automorphism diagrams established above, is given by:

**Proposition 4.9.** *If  $K \hookrightarrow G \xrightarrow{j} Q$  is a group extension inducing an abstract kernel  $\psi : Q \rightarrow \text{Out}(K)$  and a cohomology class  $a \in H_\psi^2(Q, K)$ , then the following are commutative diagrams built with exact rows and columns:*

$$\begin{array}{ccccc}
 \text{Ker}(\psi) / (\text{Ker}(\psi) \cap Z(Q)) & \hookrightarrow & G / (j^{-1}(Z(Q)) \cap C_G K) & \twoheadrightarrow & G / C_G K \\
 \downarrow & & \downarrow & & \downarrow \\
 \text{Stab}_{\text{Aut}(Q)}^a & \hookrightarrow & \text{Stab}_{\text{Aut}(K) \times \text{Aut}(Q)}^a & \twoheadrightarrow & \mathcal{M}_{K,a} \\
 \downarrow & & \downarrow & & \downarrow \\
 Q_3 & \hookrightarrow & Q_0 & \twoheadrightarrow & Q_1
 \end{array}$$

and

$$\begin{array}{ccccc}
 p^{-1}(\psi(Z(Q))) & \hookrightarrow & G / (j^{-1}(Z(Q)) \cap C_G K) & \twoheadrightarrow & \text{Inn}(Q) \\
 \downarrow & & \downarrow & & \downarrow \\
 \text{Stab}_{\text{Aut}(K)}^a & \hookrightarrow & \text{Stab}_{\text{Aut}(K) \times \text{Aut}(Q)}^a & \twoheadrightarrow & \mathcal{M}_{Q,a} \\
 \downarrow & & \downarrow & & \downarrow \\
 Q_4 & \hookrightarrow & Q_0 & \twoheadrightarrow & Q_2
 \end{array}$$

*Proof.* This follows immediately when applying the canonical epimorphisms  $\text{Aut}(K) \times \text{Aut}(Q) \twoheadrightarrow \text{Aut}(K)$  and  $\text{Aut}(K) \times \text{Aut}(Q) \twoheadrightarrow \text{Aut}(Q)$  on  $\text{Im}(\Theta)$ . ■

We conclude with a “cube automorphism diagram”, which arises by glueing together diagrams (4), (5), (6) and (7).

**Theorem 4.10.** *Let  $K \twoheadrightarrow G \twoheadrightarrow Q$  be an extension realizing  $\psi : Q \rightarrow \text{Out}(K)$  and with  $a \in H_\psi^2(Q, K)$  as cohomology class. Then the following “cube” is a commutative diagram built with exact rows and columns:*

$$\begin{array}{ccccccc}
 & & \text{Ker}(\psi)/(\text{Ker}(\psi) \cap Z(Q)) & \longrightarrow & \text{Inn}(Q) & \longrightarrow & \Delta_1 \\
 & \nearrow B & \downarrow & & \downarrow B & & \downarrow \\
 & \Gamma_A^0 & \longrightarrow & \text{Inn}(G) & \xrightarrow{A} & G/C_G K & \\
 \overline{B}_\psi^1(Q, Z(K)) & \longrightarrow & \Gamma_B^0 & \xrightarrow{A} & p^{-1}(\psi(Z(Q))) & \longrightarrow & \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 & \nearrow B & \text{Stab Aut}(Q)^a & \longrightarrow & \mathcal{M}_{Q,a} & \longrightarrow & \Delta_2 \\
 & \Gamma_A^1 & \longrightarrow & \text{Aut}(G, K) & \xrightarrow{A} & \mathcal{M}_{K,a} & \\
 Z_\psi^1(Q, Z(K)) & \longrightarrow & \Gamma_B^1 & \xrightarrow{A} & \text{Stab Aut}(K)^a & \longrightarrow & \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 & \nearrow B & Q_3 & \longrightarrow & Q_2 & \longrightarrow & \Delta_3 \\
 & \Gamma_A^2 & \longrightarrow & \text{Out}(G, K) & \longrightarrow & Q_1 & \\
 \overline{H}_\psi^1(Q, Z(K)) & \longrightarrow & \Gamma_B^2 & \longrightarrow & Q_4 & \longrightarrow & 
 \end{array}$$

## References

- [1] Conner, P. E. and Raymond, F. *Deforming Homotopy Equivalences to Homeomorphisms in Aspherical Manifolds*. Bull. A.M.S., 1977, 83 (1), pp. 36–85.
- [2] Igodt, P. and Malfait, W. *Extensions realising a faithful abstract kernel and their automorphisms*. Manuscripta Math., 1994, 84, pp. 135–161.
- [3] Robinson, D. J. S. *Applications of cohomology to the theory of groups*. Groups St. Andrews 1981, Lond. Math. Soc. Lect. Note Ser. 71, 1982, pp. 46–80.
- [4] Robinson, D. J. S. *Automorphisms of group extensions*. Lecture Notes in Pure and Appl. Math., 1984, 91, pp. 163–167.
- [5] Wells, C. *Automorphisms of group extensions*. Trans. Amer. Math. Soc., 1971, 155, 1, pp. 189–194.

Department of Mathematics  
Katholieke Universiteit Leuven Campus Kortrijk  
Universitaire Campus  
B-8500 Kortrijk  
Belgium  
email : Wim.Malfait@kulak.ac.be