THE IDEAL STRUCTURE OF $\mathbf{Z} * \mathbf{Z}$

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1. Introduction. Let \mathbb{Z} be the set of integers with usual addition and multiplication. Then the Cartesian product $\mathbb{Z} \times \mathbb{Z}$ can be naturally made into a ring via the two operations componentwise addition and multiplication. We will denote this ring by $\mathbb{Z} \times \mathbb{Z}$.

However, there are other operations on the underlying set $\mathbb{Z} \times \mathbb{Z}$ which would make it into a ring. For example, consider the two operations given by,

$$(x, y) + (a, b) = (x + a, y + b)$$

 $(x, y) \cdot (a, b) = (xa, xb + ya + yb)$

where x, y, a and b are elements of \mathbb{Z} . Then it can be shown that the set $\mathbb{Z} \times \mathbb{Z}$ with these operations forms a commutative ring with identity element (1,0). In this paper, we will denote this new ring by $\mathbb{Z} * \mathbb{Z}$, just to distinguish it from the usual Cartesian product ring $\mathbb{Z} \times \mathbb{Z}$.

The multiplication operation in $\mathbb{Z} * \mathbb{Z}$ seems to be rather unnatural, but it is the same as the multiplication considered in the well known Dorroh Extension Theorem. According to this theorem, any ring R can be embedded in a ring S with identity. To construct S, one would consider the set $\mathbb{Z} \times R$ and define two operations as,

$$(z_1, r_1) + (z_2, r_2) = (z_1 + z_2, r_1 + r_2)$$

$$(z_1, r_1) \cdot (z_2, r_2) = (z_1 z_2, z_1 r_2 + z_2 r_1 + r_1 r_2).$$

It can be shown that the set $\mathbb{Z} \times R$ with the above operations forms a ring with identity element (1,0). Then denoting this ring by $S = \mathbb{Z} * R$, one can show that the map $f: R \to S$ given by f(r) = (0,r) is a ring monomorphism. For more details on Dorroh Extension Theorem the reader can refer to [2] and [4].

In view of this, our ring $\mathbb{Z} * \mathbb{Z}$ can be called "the Dorroh \mathbb{Z} ring". A good question to ask would be, "what is the ideal structure of $\mathbb{Z} * \mathbb{Z}$?" Also of interest is the comparison of the ideal structure of $\mathbb{Z} * \mathbb{Z}$ to that of $\mathbb{Z} \times \mathbb{Z}$. Therefore, it is appropriate to start with some remarks on the old ring $\mathbb{Z} \times \mathbb{Z}$.

It can be shown that all the ideals of $\mathbb{Z} \times \mathbb{Z}$ are of the form $I \times J$, where I and J are ideals of \mathbb{Z} . Furthermore, it can be shown that all the prime ideals of $\mathbb{Z} \times \mathbb{Z}$ are of the form $P \times \mathbb{Z}$ or $\mathbb{Z} \times P$ where P is a prime ideal of \mathbb{Z} .

Can we expect the same type of result to hold in our new ring $\mathbb{Z} * \mathbb{Z}$? Unfortunately, the answer is in the negative as the following result shows.

Proposition 1.1. Let I be the ideal in $\mathbb{Z} * \mathbb{Z}$ generated by the element (1,1), that is $\mathcal{I} = \langle (1,1) \rangle$. Then \mathcal{I} cannot be written in the form $I \times J$ for some ideals I and J in \mathbb{Z} .

<u>Proof.</u> Notice that $(1,1) \cdot (u,v) = (u,v+u+v) = (u,u+2v)$. Therefore, it follows that $\mathcal{I} = \{(u,u+2v) \mid u,v \in \mathbb{Z}\}$. From this, it can easily be observed that $\mathcal{I} = (\mathcal{E} \times \mathcal{E}) \cup (\mathcal{O} \times \mathcal{O})$ where \mathcal{E} is the set of even integers and \mathcal{O} is the set of odd integers. This will imply that \mathcal{I} cannot be written in the form $I \times J$ for some ideals I and J in \mathbb{Z} .

Before investigating the ideal structure of $\mathbb{Z} * \mathbb{Z}$ further, we will record the following two results regarding the invertible elements and zero divisors of $\mathbb{Z} * \mathbb{Z}$.

Proposition 1.2. The only invertible elements of $\mathbb{Z} * \mathbb{Z}$ are (1,0), (-1,0), (1,-2) and (-1,2). In fact,

$$(1,0)^{-1} = (1,0), \ (-1,0)^{-1} = (-1,0), \ (1,-2)^{-1} = (1,-2) \text{ and } (-1,2)^{-1} = (-1,2).$$

<u>Proof.</u> Suppose (x, y) is an invertible element of $\mathbb{Z} * \mathbb{Z}$. Then $(x, y) \cdot (u, v) = (1, 0)$ for some u, v in \mathbb{Z} . Hence we obtain that, (xu, xv + yu + yv) = (1, 0) which is the same as saying xu = 1 and xv + yu + yv = 0. It is interesting to notice that adding these last two equations also yields another equation (x + y)(u + v) = 1. Hence, our question is equivalent to solving the simultaneous equations xu = 1 and (x + y)(u + v) = 1 for integer solutions x, y, u and v. The rest is not difficult since the only divisors of 1 are 1 and -1. We will leave the details to the reader.

<u>Remark.</u> One can also show that $\mathbb{Z} * \mathbb{Z}$ has exactly four idempotent elements (see [1], [2], [4] and [6]).

Proposition 1.3. The set S of zero divisors of $\mathbb{Z} * \mathbb{Z}$ is given by

 $S = \{(0, y) \mid y \in \mathbb{Z}\} \cup \{(x, -x) \mid x \in \mathbb{Z}\}.$

<u>Proof.</u> The proof is a straightforward exercise.

2. Ideals in $\mathbb{Z} * \mathbb{Z}$ Generated by Two Elements. In this section we will consider the ideals of $\mathbb{Z} * \mathbb{Z}$ generated by two elements. There is an interesting connection between such ideals and integer solutions of certain systems of equations as the following theorem and its corollary show.

<u>Theorem 2.1.</u> Consider the following system of equations with given integer coefficients m_i and n_i , i = 1, 2.

(1)
$$m_1 x_1 + m_2 x_2 = 1$$

(2)
$$m_1y_1 + n_1x_1 + n_1y_1 + m_2y_2 + n_2x_2 + n_2y_2 = 0.$$

This system of equations has integer solutions for x_i and y_i if and only if $gcd(m_1, m_2) = 1$ and $gcd(m_1 + n_1, m_2 + n_2) = 1$.

<u>Proof.</u> The trick is to add the equations (1) and (2). This yields, rather surprisingly, the equation

$$(m_1 + n_1)(x_1 + y_1) + (m_2 + n_2)(x_2 + y_2) = 1.$$

Therefore, our original system of equations is equivalent to the new system

$$m_1 x_1 + m_2 x_2 = 1$$

(m_1 + n_1)(x_1 + y_1) + (m_2 + n_2)(x_2 + y_2) = 1.

Clearly this system has integer solutions for x_i and y_i if and only if the conditions in the theorem are satisfied.

<u>Remark</u>. For given integers m and n simultaneously not equal to zero, gcd(m, n) denotes the largest positive integer which divides both m and n. If m = n = 0, we will use the convention that gcd(m, n) = 0.

Corollary 2.2. Consider the ideal \mathcal{I} in $\mathbb{Z} * \mathbb{Z}$ generated by the two elements (m_1, n_1) and (m_2, n_2) , i.e. $\mathcal{I} = \langle (m_1, n_1), (m_2, n_2) \rangle$. Then $\mathcal{I} = \mathbb{Z} * \mathbb{Z}$ if and only if $gcd(m_1, m_2) = 1$ and $gcd(m_1 + n_1, m_2 + n_2) = 1$.

<u>Proof</u>. The proof follows directly from Theorem 2.1, since $\mathcal{I} = \mathbb{Z} * \mathbb{Z}$ if and only if $(1,0) \in \mathcal{I}$ if and only if there are integers x_1, x_2, y_1 and y_2 such that

$$(m_1, n_1) \cdot (x_1, y_1) + (m_2, n_2) \cdot (x_2, y_2) = (1, 0),$$
 etc.

<u>Remark</u>. The significance of Corollary 2.2 is that it enables us to find out whether a given ideal generated by two elements in $\mathbb{Z} * \mathbb{Z}$ is a proper ideal.

Our next theorem is quite important. It will produce a single generator for an ideal in $\mathbb{Z} * \mathbb{Z}$ generated by two elements.

<u>Theorem 2.3.</u> Consider the ideal $\mathcal{I} = \langle (m_1, n_1), (m_2, n_2) \rangle$ in $\mathbb{Z} * \mathbb{Z}$. It follows that $\mathcal{I} = \langle (g_1, g_2 - g_1) \rangle$ where $g_1 = \gcd(m_1, m_2)$ and $g_2 = \gcd(m_1 + n_1, m_2 + n_2)$.

<u>Proof.</u> Let $\mathcal{J} = \langle (g_1, g_2 - g_1) \rangle$. To show that $\mathcal{J} \subseteq \mathcal{I}$, we will show $(g_1, g_2 - g_1) \in \mathcal{I}$. This is the same as finding integers x_i and y_i such that

$$(g_1, g_2 - g_1) = (m_1, n_1) \cdot (x_1, y_1) + (m_2, n_2) \cdot (x_2, y_2).$$

This reduces to solving the following two equations in \mathbb{Z} .

(3)
$$m_1 x_1 + m_2 x_2 = g_1$$

(4)
$$m_1y_1 + n_1x_1 + n_1y_1 + m_2y_2 + n_2x_2 + n_2y_2 = g_2 - g_1$$

Exactly as in the proof of Theorem 2.1, adding the equations (3) and (4) yields the equation $(m_1+n_1)(x_1+y_1)+(m_2+n_2)(x_2+y_2)=g_2$. Therefore, the question is equivalent to solving the following system in \mathbb{Z} .

(5)
$$m_1 x_1 + m_2 x_2 = g_1$$

(6)
$$(m_1 + n_1)(x_1 + y_1) + (m_2 + n_2)(x_2 + y_2) = g_2.$$

Since $gcd(m_1, m_2) = g_1$, there exist integers x_1 and x_2 such that $m_1x_1 + m_2x_2 = g_1$. Also, since $g_2 = gcd(m_1 + n_1, m_2 + n_2)$, there exist integers z_1 and z_2 such that

$$(m_1 + n_1)z_1 + (m_2 + n_2)z_2 = g_2.$$

Define $y_i = z_i - x_i$ for i = 1, 2. Then it is clear that x_i and y_i satisfy the system of equations (5) and (6). This shows that $\mathcal{J} \subseteq \mathcal{I}$.

Conversely, to prove that $\mathcal{I} \subseteq \mathcal{J}$, one must show that $(m_i, n_i) \in \mathcal{J}$ for i = 1, 2. Fix such *i*. The question is the same as finding integers u_i and v_i such that

$$(m_i, n_i) = (g_1, g_2 - g_1) \cdot (u_i, v_i).$$

This is equivalent to finding integer solutions for u_i and v_i to the following system of equations

(7)
$$m_i = g_1 u_i$$

(8)
$$n_i = g_1 v_i + (g_2 - g_1) u_i + (g_2 - g_1) v_i.$$

Add equations (7) and (8) to obtain the new equation $m_i + n_i = g_2(u_i + v_i)$. Hence, the above system is equivalent to the new system

(9)
$$m_i = g_1 u_i$$

(10)
$$m_i + n_i = g_2(u_i + v_i).$$

Since $g_1 = \gcd(m_1, m_2)$, there is an integer u_i such that $m_i = g_1 u_i$. On the other hand, since $g_2 = \gcd(m_1 + n_1, m_2 + n_2)$, there is an integer w_i such that $m_i + n_i = g_2 w_i$. Define $v_i = w_i - u_i$. Then it is clear that these u_i and v_i satisfy the system of equations given by (9) and (10). This will prove that $\mathcal{I} \subseteq \mathcal{J}$. Hence, the theorem follows.

<u>Remark</u>. The above theorem means that any finitely generated ideal of $\mathbb{Z} * \mathbb{Z}$ is a principal ideal. In other words, $\mathbb{Z} * \mathbb{Z}$ is a Bezout ring (see [3] and [5]). Even though we

omit the details here, the same proof can be extended to show that any ideal of $\mathbb{Z} * \mathbb{Z}$ is principal.

The following example will illustrate Corollary 2.2 and Theorem 2.3.

Example. Consider the ideal $\mathcal{I} = \langle (4, -1), (6, 2) \rangle$ in $\mathbb{Z} * \mathbb{Z}$. Then according to Corollary 2.2, \mathcal{I} must be a proper ideal of $\mathbb{Z} * \mathbb{Z}$ since $gcd(4, 6) \neq 1$. In addition, since $g_1 = gcd(4, 6) = 2$ and $g_2 = gcd(4 + (-1), 6 + 2) = 1$, Theorem 2.3 will imply that \mathcal{I} can be generated by the single element (2, -1).

In the next section, we will investigate the prime and maximal ideals of $\mathbb{Z} * \mathbb{Z}$.

3. Prime and Maximal Ideals of Z * Z.

<u>Theorem 3.1</u>. All the distinct prime ideals of $\mathbb{Z} * \mathbb{Z}$ are given by

(1) $\mathcal{I}_1 = <(0,1) >$ (2) $\mathcal{I}_2 = <(1,-1) >$ (3) $\mathcal{I}_3 = <(1,-1+p) >$ (4) $\mathcal{I}_4 = <(p,1-p) >$ where p is any prime.

<u>Proof.</u> Let $\mathcal{I} = \langle (m, n) \rangle$ be a prime ideal of $\mathbb{Z} * \mathbb{Z}$ where $m, n \in \mathbb{Z}$. Observe that both m and n cannot be simultaneously equal to zero in view of Proposition 1.3. We will first consider the case m = 0. Then $n \neq 0$ and $\mathcal{I} = \{(0, nt) \mid t \in \mathbb{Z}\}$. Without loss of generality one can assume that n > 0. Let u be any positive divisor of n. Therefore n = uvfor some positive integer v. Then it is clear that $(0, n) = (0, u) \cdot (0, v)$. Therefore since \mathcal{I} is a prime ideal, either $(0, u) \in \mathcal{I}$ or $(0, v) \in \mathcal{I}$. Since $\mathcal{I} = \{(0, nt) \mid t \in \mathbb{Z}\}$, it will follow that n|u or n|v. However, we know that u|n and v|n. Therefore u = n or v = n which implies that u = n or u = 1. Therefore n = 1 or n = p for some prime p. This means that if $\langle (0, n) \rangle$ is a prime ideal with n > 0, then n = 1 or n = p for some prime p. It is not hard to show that $\langle (0, 1) \rangle$ is a prime ideal of $\mathbb{Z} * \mathbb{Z}$. However, $\langle (0, p) \rangle$ is not a prime ideal of $\mathbb{Z} * \mathbb{Z}$. This is clear by observing that $(0, p) = (0, 1) \cdot (p - 1, 1)$ but $(0, 1) \notin \langle (0, p) \rangle$ and $(p - 1, 1) \notin \langle (0, p) \rangle$.

Next consider the case $m \neq 0$. Without loss of generality, one can assume that m > 0. Write m = xy with x and y positive integers. It is easy to observe that there exist $\alpha, \beta \in \mathbb{Z}$ such that $(m, n) = (x, \alpha) \cdot (y, \beta)$. Therefore, since \mathcal{I} is a prime ideal, we will obtain $(x, \alpha) \in \mathcal{I}$ or $(y, \beta) \in \mathcal{I}$. Now suppose that $(x, \alpha) \in \mathcal{I}$. Then

$$(x, \alpha) = (m, n) \cdot (u, v) = (mu, mv + nu + nv)$$
 for some $u, v \in \mathbb{Z}$.

Therefore, x = mu and m|x. Similarly, if $(y, \beta) \in \mathcal{I}$, one can obtain that m|y. However, since m = xy, we know that x|m and y|m. Hence, it follows that x = m or y = m. This will imply that m = 1 or m = p for some prime number p. This means that we have to consider two cases $\mathcal{I} = \langle (1, n) \rangle$ and $\mathcal{I} = \langle (p, n) \rangle$ where p is a prime. In either case, the

trick is to consider the canonical ring homomorphism $f: \mathbb{Z} \to \mathbb{Z} * \mathbb{Z}$ given by f(z) = (z, 0) for $z \in \mathbb{Z}$.

<u>Case I</u>. $\mathcal{I} = \langle (1, n) \rangle$.

Since \mathcal{I} is a prime ideal of $\mathbb{Z} * \mathbb{Z}$, $f^{-1}(\mathcal{I})$ must be a prime ideal of \mathbb{Z} . Therefore, $f^{-1}(\mathcal{I}) = (0)$ or $f^{-1}(\mathcal{I}) = (q)$ for some prime q.

<u>Subcase</u>. $f^{-1}(\mathcal{I}) = (0)$.

In this case we will show that n = -1. One can write $\mathcal{I} = \{(u, v + nu + nv) \mid u, v \in \mathbb{Z}\}$. Therefore, whenever u and v are any two integers satisfying v + nu + nv = 0, then u = 0. Assume that $n + 1 \neq 0$. Define u = k(n + 1) and v = k - u where k is any nonzero integer. Then $u \neq 0$ and one can observe that v + nu + nv = 0. This will imply that u = 0, which is a contradiction. Therefore n = -1. This means, if $\mathcal{I} = \langle (1, n) \rangle$ is a prime ideal, then n = -1. Indeed one can show that $\mathcal{I} = \langle (1, -1) \rangle$ is a prime ideal of $\mathbb{Z} * \mathbb{Z}$.

<u>Subcase</u>. $f^{-1}(\mathcal{I}) = (q)$ for some prime q.

In this case we will show that n = q - 1 or n = -q - 1. Since $f(q) = (q, 0) \in \mathcal{I}$, (q, 0) = (u, v + nu + nv) for some $u, v \in \mathbb{Z}$. Therefore, q = u and 0 = v + nu + nv. Adding these two equations will yield q = (u + v)(n + 1). This will imply the following choices for u + v and n + 1.

(a) u + v = q and n + 1 = 1.

Therefore, n = 0 and $\mathcal{I} = \langle (1,0) \rangle$. This will imply that $\mathcal{I} = \mathbb{Z} * \mathbb{Z}$, which is a contradiction.

(b) u + v = -q and n + 1 = -1.

Therefore, n = -2 and $\mathcal{I} = \langle (1, -2) \rangle$. One can show that this will also imply that $\mathcal{I} = \mathbb{Z} * \mathbb{Z}$, which is a contradiction.

(c) u + v = 1 and n + 1 = q.

Hence, n = q - 1, and one can, in fact, show that $\mathcal{I} = \langle (1, q - 1) \rangle$ is a prime ideal of $\mathbb{Z} * \mathbb{Z}$.

(d) u + v = -1 and n + 1 = -q.

Hence, n = -q - 1 and one can show that $\mathcal{I} = \langle (1, -q - 1) \rangle$ is a prime ideal of $\mathbb{Z} * \mathbb{Z}$. It is not too hard to show that the prime ideal in (c) is equal to the one in (d).

<u>Case II</u>. $\mathcal{I} = \langle (p, n) \rangle$ where p is a prime.

As in Case I, $f^{-1}(\mathcal{I}) = (0)$ or $f^{-1}(\mathcal{I}) = (q)$ for some prime q.

<u>Subcase</u>. $f^{-1}(\mathcal{I}) = (0)$.

Proceeding as in the first subcase of Case I, one can show that n = -p. However, it turns out that $\mathcal{I} = \langle (p, -p) \rangle$ is not a prime ideal of $\mathbb{Z} * \mathbb{Z}$. We will leave the details to the reader.

<u>Subcase</u>. $f^{-1}(\mathcal{I}) = (q)$ for some prime q.

In this case we will show that n = 1 - p or n = -1 - p as follows. As in Case I, one can write (q, 0) = (pu, pv + nu + nv) for some $u, v \in \mathbb{Z}$. Therefore q = pu and 0 = pv + nu + nv. The first of these equations will imply that p|q, which in turn will imply that p = q. Add the two equations to obtain p = (p+n)(u+v). This reduces to the following four cases.

(a) u + v = p and p + n = 1.

Therefore, n = 1 - p and one can show that $\mathcal{I} = \langle (p, 1 - p) \rangle$ is a prime ideal of $\mathbb{Z} * \mathbb{Z}$.

(b) u + v = -p and p + n = -1.

Therefore n = -1 - p and one can show that $\mathcal{I} = \langle (p, -1 - p) \rangle$ is a prime ideal of $\mathbb{Z} * \mathbb{Z}$. Further it can be shown that this prime ideal is equal to the one in (a).

(c) u + v = 1 and p + n = p.

Therefore n = 0 and $\mathcal{I} = \langle (p, 0) \rangle$. However, one can show that $\langle (p, 0) \rangle$ is not a prime ideal of $\mathbb{Z} * \mathbb{Z}$. For example, $(p, 0) = (1, p - 1) \cdot (p, 1 - p)$ and it is not hard to prove that $(1, p - 1) \notin \mathcal{I}$ and $(p, 1 - p) \notin \mathcal{I}$.

(d) u + v = -1 and p + n = -p.

Therefore n = -2p and $\mathcal{I} = \langle (p, -2p) \rangle$. However, one can show that $\langle (p, -2p) \rangle$ is not a prime ideal of $\mathbb{Z} * \mathbb{Z}$. For example, $(p, -2p) = (1, p - 1) \cdot (p, -1 - p)$ and it is not difficult to show that $(1, p - 1) \notin \mathcal{I}$ and $(p, -1 - p) \notin \mathcal{I}$.

The above discussion tells us that the only prime ideals of $\mathbb{Z} * \mathbb{Z}$ are < (0,1) >, < (1,-1) >, < (1,-1+p) > and < (p,1-p) > where p is a prime. It can also be shown that they are all distinct from each other. Hence the theorem.

Our final theorem describes the maximal ideals of $\mathbb{Z} * \mathbb{Z}$.

<u>Theorem 3.2</u>. All the distinct maximal ideals of $\mathbb{Z} * \mathbb{Z}$ are given by

(1) $\mathcal{I}_3 = \langle (1, -1+p) \rangle$ and

(2) $\mathcal{I}_4 = \langle (p, 1-p) \rangle$ where p is a prime.

<u>Proof.</u> Since every maximal ideal is a prime ideal, referring to Theorem 3.1, all the maximal ideals must be of the form $\mathcal{I}_1, \mathcal{I}_2, \mathcal{I}_3$ or \mathcal{I}_4 . However, $\mathcal{I}_1 = \langle (0,1) \rangle$ is not a maximal ideal of $\mathbb{Z} * \mathbb{Z}$ since $\mathcal{I}_1 \subset \mathcal{I}_4$ for any prime p. This follows by observing that for any $p, (0,1) = (p, 1-p) \cdot (0,1)$. Also \mathcal{I}_2 cannot be a maximal ideal since $\mathcal{I}_2 \subset \mathcal{I}_3$. This is clear because $(1,-1) = (1,-1+p) \cdot (1,-1)$ for any prime p. Therefore, the only candidates for maximal ideals of $\mathbb{Z} * \mathbb{Z}$ are \mathcal{I}_3 and \mathcal{I}_4 .

Let us show \mathcal{I}_4 is a maximal ideal of $\mathbb{Z} * \mathbb{Z}$. Consider

$$(\alpha,\beta) \notin \mathcal{I}_4 = \{ (pu, (1-p)u + v) \mid u, v \in \mathbb{Z} \}.$$

We need to show that $\langle (p, 1-p), (\alpha, \beta) \rangle = \mathbb{Z} * \mathbb{Z}$. But $(\alpha, \beta) \notin \mathcal{I}_4$ means that there are no integers u and v simultaneously satisfying the equations $\alpha = pu$ and $\beta = (1-p)u + v$. However, if $p|\alpha$, it is clear that one can always find $u, v \in \mathbb{Z}$ simultaneously satisfying those two equations. Hence, $p \not\mid \alpha$. Therefore,

$$< (p, 1-p), (\alpha, \beta) > = < (\operatorname{gcd}(p, \alpha), \operatorname{gcd}(1, \alpha + \beta) - \operatorname{gcd}(p, \alpha)) >$$
$$= < (1, 1-1) > = < (1, 0) > = \mathbb{Z} * \mathbb{Z}.$$

This proves that \mathcal{I}_4 is a maximal ideal of $\mathbb{Z} * \mathbb{Z}$. In a very similar fashion one can also show that \mathcal{I}_3 is a maximal ideal of $\mathbb{Z} * \mathbb{Z}$. Hence, the theorem follows.

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