

Some Properties of Hopf Algebras Attached to Group Varieties

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In the previous paper [8] we developed a theory of invariant semi-derivations on group varieties defined over an algebraically closed field k of a positive characteristic p . Let G be a group variety defined over k and $\mathfrak{g}(G)$ the set of all left invariant semi-derivations of G . Then the direct sum $\mathfrak{S}(G) = k \oplus \mathfrak{g}(G)$ is a subalgebra of $\text{End}_k(k(G))$, where $k(G)$ is the field of the rational functions on G over k . This structure has a close connection with the group multiplication of G . On the other hand $\mathfrak{S}(G)$ may be identified with the set of point distributions of the local ring \mathcal{O} of G at the neutral element e , and then $\mathfrak{S}(G)$ has a structure of a coalgebra induced dually from that of \mathcal{O} as an algebra over k . These structures give to $\mathfrak{S}(G)$ a Hopf algebra structure over k . Using this structure we obtained some results on purely inseparable isogenies of group varieties in [8].

In this paper we shall show that our theory of the Hopf algebras $\mathfrak{S}(G)$ has more applications not only to the theory of purely inseparable isogenies of group varieties, but also to the general theory of algebraic groups over a field of a positive characteristic p . In particular $\mathfrak{S}(G)$ may play a similar role to that of the Lie algebra of invariant derivations on a group variety in the case of characteristic zero.

In §1 we give some definitions and results on Hopf algebras over a field which are necessary in the later sections. Let \mathcal{C} be the category of commutative and cocommutative Hopf algebras over a field k which are a union of finite dimensional Hopf subalgebras. Then it is shown that \mathcal{C} is an abelian category. In the next section we shall obtain a criterion, in the languages of Hopf algebras, for a morphism of a group variety to another to be separable. For this purpose we give a generalization of the theorem in the paper [4] on the existence of convenient pair of local parameters at the neutral elements for a given purely inseparable isogeny of group varieties. As an application of this criterion we give a modification of Serre's results on the group $\text{Ext}(A, B)$ in §3, where A and B are commutative group varieties. He treated in [6] the case of purely inseparable isogenies of exponent 1 making use of the Galois theory for such isogenies. However we obtain the same result for any purely inseparable isogeny of a commutative group variety using our Hopf algebras. Of course this result may be obtained in a different way if we use the fact that the category of commutative algebraic group

schemes over a field is abelian. In §4 we consider a rational representation ϕ of a group variety to the group GL_V of linear transformations of a vector space V over k . Then we give an operation of the Hopf algebra $\mathfrak{H}(G)$ attached to G on V determined by ϕ and show that a subspace W of V is a G -submodule of V if and only if W is a $\mathfrak{H}(G)$ -submodule of V . This is a modification, in a positive characteristic case, of the similar result in the case of characteristic zero, where the Lie algebra of invariant derivations works instead of the Hopf algebra $\mathfrak{H}(G)$. In the last section a condition for a Hopf subalgebra of $\mathfrak{H}(G)$ to be an algebraic one is given. For this purpose some results on formal groups over a field k are shown.

The terminologies are the same as in the paper [8], but as to those of Hopf algebras we shall refer to the book [7] freely.

§1 Preliminary results on Hopf algebras

In this section we give some results on Hopf algebras over a field k , which are necessary in the later. Let $(\mathfrak{H}, m, \eta, \Delta, \varepsilon, c)$ be a Hopf algebra over a field k with antipode c , where (\mathfrak{H}, m, η) (resp. $(\mathfrak{H}, \Delta, \varepsilon)$) is the algebra structure with multiplication m and unit η (resp. the coalgebra structure with diagonal Δ and augmentation ε). We may sometimes identify k with its image $\eta(k)$ in \mathfrak{H} . We denote by \mathfrak{H}^+ the kernel $\varepsilon^{-1}(0)$ of the augmentation ε . Let \mathfrak{H}' be another Hopf algebra over k and u a Hopf algebra homomorphism. Then we understand by *the h -kernel of u* the set of the elements x in \mathfrak{H} such such that $(id_{\mathfrak{H}} \otimes u) \Delta(x) = x \otimes 1$ and denote it by $h\text{-ker } u$. It is known that $h\text{-ker } u$ is a Hopf subalgebra of \mathfrak{H} if \mathfrak{H} is cocommutative (cf. Lemma 16.1.1 in [7]). Similarly we denote by $h\text{-coker } u$ the quotient space $\mathfrak{H}'/u(\mathfrak{H}^+)\mathfrak{H}'$, where $u(\mathfrak{H}^+)\mathfrak{H}'$ is the right idal of \mathfrak{H}' generated by $u(\mathfrak{H}^+)$. Then it is also known that $h\text{-coker } u$ has a Hopf algebra structure such that the natural homomorphism of H' onto $h\text{-coker } u$ is a Hopf algebra homomorphism, if $u(\mathfrak{H}^+)\mathfrak{H}'$ is a two-sided ideal of \mathfrak{H}' (cf. Lemma 16.1.2. in [7]). In particular if \mathfrak{H}' is commutative, $h\text{-coker } u$ is a Hopf algebra.

A sequence

$$\dots \longrightarrow \mathfrak{H}_{i-1} \xrightarrow{u_{i-1}} \mathfrak{H}_i \xrightarrow{u_i} \mathfrak{H}_{i+1} \xrightarrow{u_{i+1}} \dots$$

of Hopf algebras \mathfrak{H}_i with Hopf algebra homomorphisms u_i is called *exact* if $h\text{-ker } u_i$ is equal to the image of \mathfrak{H}_{i-1} under u_{i-1} for each i . Let $(\mathfrak{H}, m, \eta, \Delta, \varepsilon, c)$ and $(\mathfrak{H}', m', \eta', \Delta', \varepsilon', c')$ be two Hopf algebras over k . Then it is easy to see that the tensor product $\mathfrak{H} \otimes_k \mathfrak{H}'$ has a natural Hopf algebra structure $(\mathfrak{H} \otimes_k \mathfrak{H}', \bar{m}, \bar{\eta}, \bar{\Delta}, \bar{c})$ such that the canonical injections j and j' of \mathfrak{H} and \mathfrak{H}' into $\mathfrak{H} \otimes_k \mathfrak{H}'$ given by $j(x) = x \otimes 1$ and $j'(y) = 1 \otimes y$ are Hopf algebra homomorphisms respectively. Moreover we have the following

PROPOSITION 1. *Let \mathfrak{H} and \mathfrak{H}' be cocommutative Hopf algebras over k . Let*

j be the canonical injection of \mathfrak{H} into $\mathfrak{H} \otimes_k \mathfrak{H}'$ defined by $j(x) = x \otimes 1$ and ρ the linear mapping of $\mathfrak{H} \otimes_k \mathfrak{H}'$ onto \mathfrak{H}' defined by $\rho(x \otimes y) = \varepsilon(x)y$. Then the sequence

$$k \xrightarrow{\eta} \mathfrak{H} \xrightarrow{j} \mathfrak{H} \otimes_k \mathfrak{H}' \xrightarrow{\rho} \mathfrak{H}' \xrightarrow{\varepsilon'} k$$

is exact.

PROOF. It is easy to see that j (resp. ρ) is a Hopf algebra homomorphism (resp. an algebra homomorphism). Let x and y be elements of \mathfrak{H} and \mathfrak{H}' respectively, and put $\mathcal{A}(x) = \sum_{(x)} x_{(1)} \otimes x_{(2)}$ and $\mathcal{A}'(y) = \sum_{(y)} y_{(1)} \otimes y_{(2)}$. Then we have $\bar{\mathcal{A}}(x \otimes y) = \sum_{(x), (y)} x_{(1)} \otimes y_{(1)} \otimes x_{(2)} \otimes y_{(2)}$, by definition of $\bar{\mathcal{A}}$, and $(\rho \otimes \rho)\bar{\mathcal{A}}(x \otimes y) = (\rho \otimes \rho)(\sum_{(x), (y)} x_{(1)} \otimes y_{(1)} \otimes x_{(2)} \otimes y_{(2)}) = \sum_{(x), (y)} \varepsilon(x_{(1)})y_{(1)} \otimes \varepsilon(x_{(2)})y_{(2)} = \varepsilon(x)\mathcal{A}'(y) = \mathcal{A}'(\varepsilon(x)y) = \mathcal{A}'(\rho(x \otimes y))$. Since we have $\varepsilon'\rho = \bar{\varepsilon}$ and $c'\rho = \bar{c}$, this means that ρ is a Hopf algebra homomorphism. Next we show that $h\text{-ker } j$ is $\eta(k)$. Let $\{x_i\}_{i \in I}$ be a basis of \mathfrak{H} over k such that $\eta(1) = x_0$, and let x be an element of $h\text{-ker } j$. If $\mathcal{A}(x) = \sum \xi_{ij}x_i \otimes x_j$, we have $\xi_{ij} = \xi_{ji}$ by cocommutativity of \mathfrak{H} and $\sum_{i, j \in I} \xi_{ij}x_i \otimes x_j \otimes 1 = (id_{\mathfrak{H}} \otimes j)\mathcal{A}(x) = x \otimes 1 \otimes 1$. Therefore we have $\xi_{ij} = \xi_{ji} = 0$ for $i \neq j$, $\xi_{ii} = 0$ for $i \neq 0$ and $x = \xi_{00}x_0 = \eta(\xi_{00}) \in \eta(k)$. This means that the sequence $k \xrightarrow{\eta} \mathfrak{H} \xrightarrow{j} \mathfrak{H} \otimes_k \mathfrak{H}'$ is exact. Now let $\sum_i x_i \otimes y_i$ be in $h\text{-ker } \rho$ and put $\mathcal{A}(x_i) = \sum_{(y_j)} x_{i,(1)} \otimes x_{i,(2)}$ and $\mathcal{A}'(y_j) = \sum_{(x_i)} y_{j,(1)} \otimes y_{j,(2)}$. Then we have

$$\begin{aligned} \sum_i x_i \otimes y_i \otimes 1 &= (id_{\mathfrak{H} \otimes \mathfrak{H}'} \otimes \rho)\bar{\mathcal{A}}(\sum_i x_i \otimes y_i) \\ &= \sum_{i, (x_i), (y_i)} x_{i,(1)} \otimes y_{i,(1)} \otimes \varepsilon(x_{i,(2)})y_{i,(2)} \\ &= \sum_{i, (x_i)} \varepsilon(x_{i,(2)})x_{i,(1)} \otimes \mathcal{A}'(y_i) \\ &= \sum_i x_i \otimes \mathcal{A}'(y_i), \end{aligned}$$

since we have $(\varepsilon \otimes id_{\mathfrak{H}})\mathcal{A} = id_{\mathfrak{H}}$. We may assume that the set $\{x_i\}$ is linearly independent over k , and hence that $\mathcal{A}'(y_i) = y_i \otimes 1$ for each i . This means that y_i is in $k = \eta'(k)$ and that $\sum_i x_i \otimes y_i$ is in the image of j . Conversely it can be seen that $h\text{-ker } \rho$ contains the image of j . Therefore the sequence $\mathfrak{H} \xrightarrow{j} \mathfrak{H} \otimes_k \mathfrak{H}' \xrightarrow{\rho} \mathfrak{H}'$ is exact. Lastly the h -kernel of ε' is \mathfrak{H}' , since $(id_{\mathfrak{H}'} \otimes \varepsilon')\mathcal{A}' = id_{\mathfrak{H}'}$. This completes the proof. q. e. d.

Let \mathcal{O} be the category of commutative and cocommutative Hopf algebras over k such that any object of \mathcal{O} is a union of finite dimensional Hopf subalgebras and that the morphisms of \mathcal{O} are Hopf algebra homomorphisms. It is known that the full subcategory \mathcal{O}' of \mathcal{O} whose objects are of finite dimensions is an abelian category. Using this fact we show that \mathcal{O} is also abelian. Recall that the group composition of $\text{Hom}_{\mathcal{O}}(\mathfrak{H}, H')$ is given by the convolution

$f * g = m'(f \otimes g)\Delta$ for f and g in $\text{Hom}_\theta(\mathfrak{H}, \mathfrak{H}')$, that the inverse of f is $c'f = fc$ and that the neutral element of $\text{Hom}_\theta(\mathfrak{H}, \mathfrak{H}')$ is $\eta'\varepsilon$. First we give the following lemmas.

LEMMA 1. *Let \mathfrak{H}' and \mathfrak{H}'' be Hopf subalgebras of a Hopf algebra \mathfrak{H} over a field k . Then the intersection $\mathfrak{H}' \cap \mathfrak{H}''$ is also a Hopf subalgebra of \mathfrak{H} .*

PROOF. Let $\{x_\lambda\}_{\lambda \in L}$ be a basis of $\mathfrak{H}' \cap \mathfrak{H}''$ over k and let $\{x_\lambda\}_{\lambda \in L} \cup \{x'_\mu\}_{\mu \in M}$ (resp. $\{x_\lambda\}_{\lambda \in L} \cup \{x''_\nu\}_{\nu \in N}$) be a basis of \mathfrak{H}' (resp. of \mathfrak{H}'') over k . Then the set $\{x_\lambda\}_{\lambda \in L} \cup \{x'_\mu\}_{\mu \in M} \cup \{x''_\nu\}_{\nu \in N}$ is linearly independent over k . If x is an element of $\mathfrak{H}' \cap \mathfrak{H}''$, $\Delta(x)$ is a linear combination of the elements $x_\lambda \otimes x_{\lambda'}$, $x_\lambda \otimes x'_{\mu'}$, $x'_\mu \otimes x_{\lambda'}$ and $x'_\mu \otimes x'_{\mu'}$ ($\lambda, \lambda' \in L, \mu, \mu' \in M$) with uniquely determined coefficients in k since x is in \mathfrak{H}' . Similarly $\Delta(x)$ is a linear combination of the elements $x_\lambda \otimes x_{\lambda'}$, $x_\lambda \otimes x''_{\nu'}$, $x''_\nu \otimes x_{\lambda'}$ and $x''_\nu \otimes x''_{\nu'}$ ($\lambda, \lambda' \in L, \nu, \nu' \in N$), since x is in \mathfrak{H}'' . Therefore $\Delta(x)$ must be a linear combination of the elements $x_\lambda \otimes x_{\lambda'}$ ($\lambda, \lambda' \in L$), since $x_\lambda \otimes x_{\lambda'}$, $x_\lambda \otimes x'_{\mu'}$, $x_\lambda \otimes x''_{\nu'}$, $x'_\mu \otimes x_{\lambda'}$, $x'_\mu \otimes x'_{\mu'}$, $x''_\nu \otimes x_{\lambda'}$ and $x''_\nu \otimes x''_{\nu'}$ are linearly independent over k . This means that $\mathfrak{H}' \cap \mathfrak{H}''$ is a subcoalgebra of \mathfrak{H} and hence it is easy to see that $\mathfrak{H}' \cap \mathfrak{H}''$ is a Hopf subalgebra of \mathfrak{H} . q. e. d.

LEMMA 2. *Let \mathfrak{H}' be a Hopf subalgebra of a Hopf algebra \mathfrak{H} over a field k and I a coideal of \mathfrak{H} . Then $\mathfrak{H}' \cap I$ is a coideal of \mathfrak{H}' .*

The proof of this lemma is exactly the same as that of Lemma 1 and therefore we omit the detail.

LEMMA 3. *Let u be a Hopf algebra homomorphism of \mathfrak{H} into \mathfrak{H}' and \mathfrak{R} a subcoalgebra of \mathfrak{H} such that $u(\mathfrak{R}^+) = 0$, where $\mathfrak{R}^+ = \mathfrak{R} \cap \mathfrak{H}^+$. Then \mathfrak{R} is contained in the h -kernel of u .*

PROOF. By assumption we have $u(x) = \varepsilon' u(x) = \varepsilon(x)$ for any element x in \mathfrak{R} . Therefore if $\Delta(y) = \sum_{(y)} y_{(1)} \otimes y_{(2)}$ for y in \mathfrak{R} , we have

$$\begin{aligned} (id_{\mathfrak{H}} \otimes u)\Delta(y) &= \sum_{(y)} (id_{\mathfrak{H}} \otimes u)(y_{(1)} \otimes y_{(2)}) \\ &= \sum_{(y)} \varepsilon(y_{(2)}) y_{(1)} \otimes \mathbf{1} \\ &= y \otimes \mathbf{1}. \end{aligned}$$

This means that y is in the h -kernel of u . q. e. d.

PROPOSITION 2. *The category \mathcal{C} is abelian.*

PROOF. It is easy to see that \mathcal{C} is an additive category with 0-object k and that the product (resp. the coproduct) of \mathfrak{H}_1 and \mathfrak{H}_2 is $\mathfrak{H}_1 \otimes_k \mathfrak{H}_2$ with the projections ρ_1 and ρ_2 (resp. with the injections j_1 and j_2) defined as in Proposition 1. We shall show that \mathcal{C} has kernels and cokernels of morphisms in \mathcal{C} and that \mathcal{C} is normal and conormal in the sense of chapter I in [5]. Then \mathcal{C} is an

abelian category by Th.20.1 of Chap. I in [5]. Let $u : \mathfrak{H}_1 \rightarrow \mathfrak{H}_2$ be a morphism in \mathcal{O} , and let \mathfrak{K} and \mathfrak{K}' be the h -kernel and the h -cokernel of u respectively. Then \mathfrak{K} is a Hopf subalgebra of \mathfrak{H}_1 and \mathfrak{K}' is a quotient Hopf algebra of \mathfrak{H}_2 . By assumptions on \mathcal{O} there exist Hopf subalgebras \mathfrak{N}_α of finite dimensions such that $\mathfrak{H}_1 = \bigvee_\alpha \mathfrak{N}_\alpha$, and hence we have $\mathfrak{K} = \bigvee_\alpha \mathfrak{K} \cap \mathfrak{N}_\alpha$. But $\mathfrak{K} \cap \mathfrak{N}_\alpha$ is a finite dimensional Hopf subalgebra of \mathfrak{K} by Lemma 1. Therefore \mathfrak{K} is an object of \mathcal{O} . Similarly there exist Hopf subalgebras of finite dimensions \mathfrak{M}_β such that $\mathfrak{H}_2 = \bigvee_\beta \mathfrak{M}_\beta$. Then $I_\beta = \mathfrak{M}_\beta \cap u(\mathfrak{H}_1^+) \mathfrak{H}_2$ is a Hopf ideal of \mathfrak{M}_β by Lemma 2, since $u(\mathfrak{H}_1^+) \mathfrak{H}_2$ is a Hopf ideal of \mathfrak{H}_2 . If we identify $\mathfrak{M}_\beta / I_\beta$ with its canonical image in $\mathfrak{K}' = \mathfrak{H}_2 / u(\mathfrak{H}_1^+) \mathfrak{H}_2$, we have $\mathfrak{K}' = \bigvee_\beta \mathfrak{M}_\beta / I_\beta$, where each $\mathfrak{M}_\beta / I_\beta$ is of finite dimension over k . Therefore \mathfrak{K}' is also an object in \mathcal{O} . It is easy to check that \mathfrak{K} and \mathfrak{K}' are the kernel and the cokernel of u in \mathcal{O} from the definitions and Lemma 3. Next we see that \mathcal{O} is normal and conormal. Let $u : \mathfrak{H}_1 \rightarrow \mathfrak{H}_2$ be an epimorphism in \mathcal{O} . Then u is a surjection as a linear mapping over k . We must show that (\mathfrak{H}_2, u) is a cokernel of a monomorphism in \mathcal{O} . In fact let \mathfrak{K} be the h -kernel of u and let x be an element of \mathfrak{K}^+ . There exists a finite dimensional Hopf subalgebra \mathfrak{N} of \mathfrak{H}_1 such that $x \in \mathfrak{N} \cap \mathfrak{K}^+ = \mathfrak{N}^+$, and hence that x is contained in $(u|_{\mathfrak{N}})^{-1}(0) \subset u^{-1}(0)$ by Lemma 16.0.2 in [7]. Since u is an algebra homomorphism, this means that $\mathfrak{K}^+ \mathfrak{H}_1$ is contained in $u^{-1}(0)$. Conversely let x be an element of $u^{-1}(0)$ and let \mathfrak{N} be a finite dimensional Hopf subalgebra of \mathfrak{H}_1 such that $x \in \mathfrak{N}$. Then x is contained in $\mathfrak{N}^+ \mathfrak{N}$ by Lemma 16.0.2 in [7], where \mathfrak{N} is the h -kernel of the morphism $u|_{\mathfrak{N}}$. It is clear that $\mathfrak{N} = \mathfrak{N} \cap \mathfrak{K}$ and in particular that \mathfrak{N}^+ is contained in \mathfrak{K}^+ . Therefore x is contained in $\mathfrak{K}^+ \mathfrak{H}_1$. This means that $u^{-1}(0)$ is equal to $\mathfrak{K}^+ \mathfrak{H}_1$ and hence (\mathfrak{H}_2, u) is the cokernel of the canonical injection of \mathfrak{K} into \mathfrak{H}_1 in \mathcal{O} . In other words \mathcal{O} is conormal. Lastly let $j : \mathfrak{H}_1 \rightarrow \mathfrak{H}_2$ be a monomorphism in \mathcal{O} . Then we may assume that \mathfrak{H}_1 is a Hopf subalgebra of \mathfrak{H}_2 and $\mathfrak{H}_1^+ \mathfrak{H}_2$ is a Hopf ideal of \mathfrak{H}_2 . If π is the natural mapping of \mathfrak{H}_2 onto the quotient space $\mathfrak{K} = \mathfrak{H}_2 / \mathfrak{H}_1^+ \mathfrak{H}_2$, π is a Hopf algebra homomorphism. We must show that (\mathfrak{H}_1, j) is the kernel of π in \mathcal{O} . If \mathfrak{H} is the h -kernel of j , we see that $\mathfrak{H}^+ \mathfrak{H}_2 = \mathfrak{H}_1^+ \mathfrak{H}_2 = \pi^{-1}(0)$ from the result just obtained in the above. Let x be in \mathfrak{H}^+ and let \mathfrak{M} be a finite dimensional Hopf subalgebra of \mathfrak{H}_2 such that x is in \mathfrak{M} . By Lemma 1 there exists the smallest Hopf subalgebra \mathfrak{M}_0 of \mathfrak{H}_2 containing x . If $\{x_0 = x, x_1, \dots, x_s\}$ is a basis of \mathfrak{M}_0^+ over k , each x_i is in $\mathfrak{H}^+ \subset \mathfrak{H}_1^+ \mathfrak{H}_2$ and hence we have $x_i = \sum_j y_{ij} z_{ij}$ for $y_{ij} \in \mathfrak{H}_1^+$ and $z_{ij} \in \mathfrak{H}_2$. Then we may assume that \mathfrak{M} contains these elements y_{ij} and z_{ij} , replacing it with larger one if necessary. Therefore we have $\mathfrak{M}_0^+ \subset \mathfrak{M}_1^+ \mathfrak{M}$, where $\mathfrak{M}_1 = \mathfrak{H}_1 \cap \mathfrak{M}$, and the composition of the canonical injection of \mathfrak{M}_0 into \mathfrak{M} and the canonical projection of \mathfrak{M} onto $\mathfrak{M} / \mathfrak{M}_1^+ \mathfrak{M}$ is the zero-morphism in \mathcal{O} . Since the full subcategory \mathcal{O}' of \mathcal{O} is abelian, \mathfrak{M}_1 is the kernel of the morphism $\pi : \mathfrak{M} \rightarrow \mathfrak{M} / \mathfrak{M}_1^+ \mathfrak{M}$ in \mathcal{O}' and hence \mathfrak{M}_0 is contained in \mathfrak{M}_1 by Lemma 3. This means that \mathfrak{H} is contained in \mathfrak{H}_1 . Conversely \mathfrak{H} contains \mathfrak{H}_1 by Lemma 3, since \mathfrak{H} is the h -kernel of π and $\pi \cdot j$ is \mathcal{O} -morphism in \mathcal{O} . Therefore \mathfrak{H} is equal to \mathfrak{H}_1 and \mathcal{O} is normal. q.e.d.

§2 Separability of morphisms of group varieties

In the following let k be an algebraically closed field of a positive characteristic p . Let G and G' be group varieties defined over k and denote by \mathcal{O} and \mathcal{O}' the local rings $\mathcal{O}_{e,G}$ and $\mathcal{O}_{e',G'}$ of G and G' at the neutral elements e and e' respectively. If α is an algebraic homomorphism of G into G' defined over k , there exists a local homomorphism α^* of \mathcal{O}' into \mathcal{O} . First we give a generalization of Theorem in [4].

PROPOSITION 3. *Let α be an algebraic homomorphism of a group variety G of dimension n into a group variety G' of dimension m . Let G'' be the kernel of α and \mathcal{O}'' the local ring of G'' at e . Then if the image $\alpha(G)$ is of dimension r , there exist regular systems $\{t_1, \dots, t_n\}$ and $\{s_1, \dots, s_m\}$ of parameters of \mathcal{O} and \mathcal{O}' respectively satisfying the following conditions:*

- (i) $\alpha^*(s_i) = t_i^{p_i}$ for $i=1, 2, \dots, r$,
 - (ii) $\alpha^*(s_j) = 0$ for $j=r+1, \dots, m$,
- and (iii) $\{\bar{t}_{r+1}, \dots, \bar{t}_n\}$ is a regular system of parameters of \mathcal{O}'' , where \bar{t}_i is the image of t_i under the natural homomorphism of \mathcal{O} onto \mathcal{O}'' .

PROOF. First we assume that α is a separable homomorphism of G onto G' . Then we see that there exist regular systems $\{t_1, \dots, t_n\}$ and $\{s_1, \dots, s_m\}$ of parameters of \mathcal{O} and \mathcal{O}' respectively such that $\alpha^*(s_i) = t_i$ for $i=1, 2, \dots, m$ (cf. the proof of Proposition 14 in [8]). From this if $\{s'_1, \dots, s'_m\}$ is any regular system of parameters of \mathcal{O}' , we can easily see that $\{\alpha^*(s'_1), \dots, \alpha^*(s'_m), t_{m+1}, \dots, t_n\}$ is a regular system of parameters of \mathcal{O} . Next we assume that α is a surjective morphism. If we denote by G_1 the quotient group variety G/G'' and by π the canonical homomorphism of G onto G_1 , there exists a purely inseparable isogeny α_1 of G' onto G_1 such that $\alpha = \alpha_1 \pi$. If \mathcal{O}_1 is the local ring of G_1 at the neutral element e_1 , there exist regular systems $\{u_1, \dots, u_m\}$ of parameters of \mathcal{O}_1 and $\{s_1, \dots, s_m\}$ of \mathcal{O}' respectively such that $\alpha_1^*(s_i) = u_i^{p_i}$ for $i=1, 2, \dots, m$ by Theorem in [4]. Since π is a separable morphism, there exists a subset $\{t_{m+1}, \dots, t_n\}$ of \mathcal{O} such that $\{t_1 = \pi^*(u_1), \dots, t_m = \pi^*(u_m), t_{m+1}, \dots, t_n\}$ is a regular system of parameters of \mathcal{O} as shown in the above. Therefore these $\{t_1, \dots, t_n\}$ and $\{s_1, \dots, s_m\}$ are our solution in this case. In fact we see easily $\{\bar{t}_{m+1}, \dots, \bar{t}_n\}$ is a regular system of parameters of \mathcal{O}'' . In general cases let G_2 be the image $\alpha(G)$ and \mathcal{O}_2 the local ring of G_2 at e' . If \mathfrak{p} is a prime ideal of \mathcal{O}' corresponding to the subvariety G_2 of G' , $\mathcal{O}'/\mathfrak{p}$ is isomorphic to \mathcal{O}_2 . In particular $\mathcal{O}'/\mathfrak{p}$ is a regular local ring. Therefore \mathfrak{p} is generated by a subset $\{s_{r+1}, \dots, s_m\}$ of a regular system of parameters of \mathcal{O}' by Theorem 26, Chap. VIII in [9]. Moreover we see that $\{s_1, \dots, s_r, s_{r+1}, \dots, s_m\}$ is a regular system of parameters of \mathcal{O}' for any subset $\{s_1, \dots, s_r\}$ of \mathcal{O}' such that the image of $\{s_1, \dots, s_r\}$ in $\mathcal{O}'/\mathfrak{p}$ is that of $\mathcal{O}'/\mathfrak{p}$. Combining this with the results obtained in the above for a special case, we see that our assertion is true. q. e. d.

REMARK. (i) The notation being as in Proposition 3, let $\{t'_{r+1}, \dots, t'_n\}$ be any regular system of parameters of O'' . Then we can find $\{t_1, \dots, t_n\}$ in Proposition 3 satisfying $\bar{t}_i = t'_i$ for $i = r + 1, \dots, n$. In fact we may replace $\{t_{r+1}, \dots, t_n\}$ by any set of $m - r$ elements in O whose image in O'' is a regular system of parameters of O'' , since the ideal $(t_1, \dots, t_r)O$ is the prime ideal corresponding to the subvariety G'' such that O'' is isomorphic to $O/(t_1, \dots, t_r)O$.

(ii) Similarly if $\alpha(G)$ is a normal subgroup of G' , $\{s_{r+1}, \dots, s_m\}$ may be replaced with any regular system of parameters of the local ring of the quotient group variety $G'/\alpha(G)$ at the neutral element.

COROLLARY. In Proposition 3, α is a separable morphism if and only if $e_i = 0$ for $i = 1, 2, \dots, r$.

PROOF. Let G_1 be the quotient group variety G/G' , and π the canonical homomorphism of G onto G_1 . Then there exists a purely inseparable isogeny α_1 of G_1 onto $\alpha(G)$ such that $\alpha = \alpha_1\pi$. Then α is a separable morphism if and only if α_1 is an isomorphism. On the other hand we know that $e_1 + \dots + e_r = s$, where $[k(G_1) : k(\alpha(G))] = [k(G) : k(\alpha(G_1))]_i = p^s$ by Theorem in [4]. This completes the proof. q. e. d.

Let G be a group variety defined over k . Then recall that the Hopf algebra $\mathfrak{H}(G)$ attached to G is the subalgebra $k \oplus \mathfrak{g}(G)$ of the algebra $\text{Hom}_k(k(G), k(G))$ over k , where $\mathfrak{g}(G)$ consists of all the left invariant semi-derivations of G . Moreover if $\{t_1, \dots, t_n\}$ is a regular system of parameters of O , then there exists a basis $\{I_{e_1 \dots e_n} \mid e_i \geq 0, \sum e_i > 0\}$ of $\mathfrak{g}(G)$, which is uniquely determined by the condition that $I_{e_1 \dots e_n}(t_1^{e_1} \dots t_n^{e_n}) - 1$ and $I_{e_1 \dots e_n}(t_1^{e'_1} \dots t_n^{e'_n})$ for $(e_1, \dots, e_n) \neq (e'_1, \dots, e'_n)$ are in the maximal ideal of O (cf. Theorem 1 in [8]). For convenience' sake we denote by $I_{0 \dots 0}$ the identity mapping of $k(G)$. Then $\{I_{e_1 \dots e_n} \mid e_i \geq 0 \text{ for each } i\}$ is called the canonical basis of $\mathfrak{H}(G)$ with respect to $\{t_1, \dots, t_n\}$. Now we have the following

THEOREM 1. Let α be an algebraic homomorphism of a group variety G into a group variety G' defined over k , and assume that $\{t_1, \dots, t_n\}$ and $\{s_1, \dots, s_m\}$ are regular systems of parameters of O and O' satisfying the conditions (i), (ii) and (iii) in Proposition 3. Let $\{I_{a_1 \dots a_n}\}$ be the canonical basis of the Hopf algebra $\mathfrak{H}(G)$ attached to G with respect to $\{t_1, \dots, t_n\}$. Then the h -kernel of the Hopf algebra homomorphism α_* of $\mathfrak{H}(G)$ into $\mathfrak{H}(G')$ induced from α is the linear subspace of $\mathfrak{H}(G)$ generated by the elements $I_{a_1 \dots a_n}$ such that $a_i < p^{e_i}$ for $i = 1, 2, \dots, r$.

PROOF. Let $\{I'_{b_1 \dots b_m} \mid b_i \geq 0\}$ be the canonical basis of $\mathfrak{H}(G')$ with respect to $\{s_1, \dots, s_m\}$. Since $\alpha_*(D)(x) = D(\alpha^*(x))$ for any element x in O' and any element D in $\mathfrak{H}(G)$, we see that

$$\alpha_*(I_{a_1 p^{e_1} \dots a_r p^{e_r} 0 \dots 0}) = I'_{a_1 \dots a_r 0 \dots 0}$$

and $\alpha_*(I_{b_1 \dots b_n}) = 0$ for $(b_1, \dots, b_n) \neq (a_1 p^{e_1}, \dots, a_r p^{e_r}, 0, \dots, 0)$

from the definition of the canonical basis and the condition in Proposition 3 satisfied by $\{t_1, \dots, t_n\}$ and $\{s_1, \dots, s_m\}$. If we denote by \mathcal{A} the diagonal of the Hopf algebra $\mathfrak{H}(G)$, we know that $\mathcal{A}(I_{a_1 \dots a_n}) = \sum_{(a')+(a'')=(a)} I'_{a_1 \dots a'_n} \otimes I''_{a_1 \dots a''_n}$ (cf. §5 in [8]). Therefore we have

$$\begin{aligned} (id_{\mathfrak{H}(G)} \otimes \alpha_*) \mathcal{A}(I_{a_1 \dots a_n}) &= \sum_{(a')+(a'')=(a)} I'_{a_1 \dots a'_n} \otimes \alpha_*(I''_{a_1 \dots a''_n}) \\ &= \sum'_{(a')+(b p^e)=(a)} I'_{a_1 \dots a'_n} \otimes I'_{b_1 \dots b_r, 0 \dots 0}, \end{aligned}$$

where $\sum'_{(a')+(b p^e)=(a)}$ runs over all pairs $((a'), (b))$ such that

$$(a'_1, \dots, a'_n) + (b_1 p^{e_1}, \dots, b_r p^{e_r}, 0, \dots, 0) = (a_1, \dots, a_n).$$

This means that

$$(id_{\mathfrak{H}(G)} \otimes \alpha_*) \mathcal{A}(I_{a_1 \dots a_n}) \neq I_{a_1 \dots a_n} \otimes 1$$

if $a_i \geq p^{e_i}$ for some $i < r$, since $\{I_{a_1 \dots a_n} \otimes I'_{b_1 \dots b_m}\}$ is a basis of $\mathfrak{H}(G) \otimes_k \mathfrak{H}(G')$ over k . On the other hand if $a_i < p^{e_i}$ for any $i \leq r$, we have $(id_{\mathfrak{H}(G)} \otimes \alpha_*) \mathcal{A}(I_{a_1 \dots a_n}) = I_{a_1 \dots a_n} \otimes 1$ and hence $I_{a_1 \dots a_n}$ is contained in the h -kernel of α_* . In general if $D = \sum_{(a)} \gamma_{a_1 \dots a_n} I_{a_1 \dots a_n}$, we have

$$(id_{\mathfrak{H}(G)} \otimes \alpha_*) \mathcal{A}(D) = \sum_{(a)} \gamma_{a_1 \dots a_n} \sum'_{(a')+(b p^e)=(a)} I'_{a_1 \dots a'_n} \otimes I'_{b_1 \dots b_r, 0 \dots 0}.$$

Now it is easy to see that $((a'), (b p^e)) \neq ((a'_1), (b_1 p^e))$ if $(a') + (b p^e) \neq (a'_1) + (b_1 p^e)$. Therefore we see

$$(id_{\mathfrak{H}(G)} \otimes \alpha_*) \mathcal{A}(D) \neq D \otimes 1,$$

if $\gamma_{a_1 \dots a_n} \neq 0$ for such (a_1, \dots, a_n) that $a_i \geq p^{e_i}$ for some $i \leq r$. This means that the h -kernel of α_* is generated by the elements $I_{a_1 \dots a_n}$ such that $a_i < p^{e_i}$ for any $i \leq r$. q.e.d.

THEOREM 2. *Let G_1, G_2 and G_3 be group varieties defined over k and let α_i be an algebraic homomorphism of G_i into G_{i+1} defined over k for $i=1, 2$ such that the image of G_1 into G_2 is equal to the connected component of the kernel of α_2 containing the neutral element. Then α_2 is a separable morphism if and only if the sequence $\mathfrak{H}(G_1) \xrightarrow{\alpha_{1*}} \mathfrak{H}(G_2) \xrightarrow{\alpha_{2*}} \mathfrak{H}(G_3)$ of Hopf algebras is exact.*

PROOF. We may assume that G_1 is a group subvariety of G_2 and that α_1 is the canonical injection. In fact if α is a surjective algebraic homomorphism of G onto G' in Proposition 3, we have $\alpha^*(s_i) = t_i^{p_i^{e_i}}$ for $i=1, 2, \dots, m$. Then we have $\alpha_*(I_{a_1 p^{e_1} \dots a_m p^{e_m} 0 \dots 0}) = I'_{a_1 \dots a_m}$ for any (a_1, \dots, a_m) , where $\{I_{a_1 \dots a_n}\}$ and $\{I'_{b_1 \dots b_m}\}$ are the canonical basis of $\mathfrak{H}(G)$ and $\mathfrak{H}(G')$ with respect to $\{t_1, \dots, t_n\}$

and $\{s_1, \dots, s_m\}$ respectively (cf. the proof of Theorem 1). This means that α_* is also a surjection and we may replace G_1 with the image $\alpha_1(G_1)$.

Therefore we assume that G_1 is the connected component of the kernel of α_2 containing the neutral element e_2 . Then, from Proposition 3 and Remark (i) below it, there exists a regular system $\{t_1, \dots, t_n\}$ of parameters of O_{e_2, G_2} and that $\{s_1, \dots, s_m\}$ of O_{e_3, G_3} satisfying the following conditions:

- (i) $\alpha_2^*(s_i) = t_i^{p^{e_i}}$ for $i=1, \dots, r = \dim \alpha_2(G_2)$,
- (ii) $\alpha_2^*(s_j) = 0$ for $j=r+1, \dots, m$

and (iii) $\{\bar{t}_{r+1}, \dots, \bar{t}_n\}$ is a regular system of parameters of O_{e_1, G_1} , where \bar{t}_n is the canonical image of t_n in O_{e_1, G_1} . By Corollary of Proposition 3, α_2 is separable if and only if $e_i=0$ for $i=1, 2, \dots, r$. On the other hand if $\{\bar{I}_{a_1 \dots a_n}\}$ is the canonical basis of $\mathfrak{S}(G_2)$ with respect to $\{t_1, \dots, t_n\}$, we easily see that $\alpha_{1*}(\mathfrak{S}(G_1))$ is the subspace of $\mathfrak{S}(G_2)$ generated by the elements $\bar{I}_{0 \dots 0 a_{r+1} \dots a_n}$ for $a_i \geq 0$ as seen in the proof of Theorem 1 and that the h -kernel of α_{2*} is the subspace of $\mathfrak{S}(G_2)$ generated by the elements $\bar{I}_{a_1 \dots a_n}$ such that $a_i < p^{e_i}$ for any $i \leq r$. This means that $e_1 = \dots = e_r = 0$ if and only if $\alpha_{1*}(\mathfrak{S}(G_1))$ is the h -kernel of α_{2*} . Therefore our assertion is proved. q. e. d.

§3 Groups $\text{Ext}(A, B)$ for purely inseparable isogenies

The aim of this section is to give a generalization of Serre's result on groups $\text{Ext}(A, B)$ for purely inseparable isogenies of exponent 1 in §3, $n^\circ 8$ in [6] for cases of higher exponents. Let A and B be two commutative group varieties defined over k . Now recall that $\text{Ext}(A, B)$ is the set of isomorphism classes of extensions C of A by B , i.e., the set of isomorphism classes of strictly exact sequences $0 \rightarrow B \rightarrow C \rightarrow A \rightarrow 0$ of commutative group varieties defined over k , and that $\text{Ext}(A, B)$ is an additive functor in both A and B into abelian groups (cf. §3, $n^\circ 7$ in [6]). More generally let \mathcal{A} be an abelian category and let A and B be two objects in \mathcal{A} . Then there exists an abelian group $\text{Ext}(A, B)$ called "the group of Yoneda extensions of A by B " (cf. Chap. VII in [5]). In particular the Hopf algebra $\mathfrak{S}(A)$ attached to a commutative group variety A defined over k is in the abelian category \mathcal{O} given in §1, and hence $\text{Ext}(\mathfrak{X}, \mathfrak{S}(A))$ is defined for any object \mathfrak{X} in \mathcal{O} .

Let ρ be a purely inseparable isogeny of a commutative group variety A onto A' defined over k and $N=N(\rho)$ the Hopf subalgebra of $\mathfrak{S}(A)$ corresponding to ρ in the sense of Theorem 4 in [8]. Then we have

LEMMA 4. *The sequence*

$$k \longrightarrow N(\rho) \xrightarrow{-i} \mathfrak{S}(A) \xrightarrow{\rho_*} \mathfrak{S}(A') \longrightarrow k$$

of Hopf algebras is exact in \mathcal{O} .

PROOF. By Theorem in [4], there exists a regular system $\{t_1, \dots, t_n\}$ of

parameters of $\mathcal{O}_{e,A}$ such that $\{t_1^{p^{e_1}}, \dots, t_n^{p^{e_n}}\}$ is that of $\mathcal{O}_{e',A'}$, where we identify $\mathcal{O}_{e',A'}$ with the subring $\rho^*(\mathcal{O}_{e',A'})$ of $\mathcal{O}_{e,A}$. Then the h-kernel \mathfrak{R} of ρ_* has a basis $\{I_{a_1, \dots, a_n} | a_i < p^{e_i} \text{ for } i=1, 2, \dots, n\}$ by Theorem 1, where $\{I_{a_1, \dots, a_n} | a_i \geq 0\}$ is the canonical basis of $\mathfrak{S}(A)$ with respect to $\{t_1, \dots, t_n\}$. By the definition of $N(\rho)$ and Proposition 15 in [8], we see $D(k(A'))=0$ for any element D in $N(\rho)^+$. This means that \mathfrak{R} is contained in $N(\rho)$ by Lemma 3. But we know that $\dim_k N(\rho) = [k(A) : k(A')] = p^{\sum_{i=1}^n e_i} = \dim_k \mathfrak{R}$, and hence we see $N(\rho) = \mathfrak{R}$.

q. e. d.

If B is another commutative group variety defined over k , we denote by $\text{Hom}(A, B)$ (resp. $\text{Hom}(A', B)$) the group of algebraic homomorphisms of A (resp. A') into B defined over k . Then there exists a group homomorphism $\tilde{\rho}$ of $\text{Hom}(A', B)$ into $\text{Hom}(A, B)$ defined by $\tilde{\rho}(\alpha) = \alpha\rho$. Similary we define a mapping \tilde{i} of $\text{Hom}(A, B)$ into $\text{Hom}_{\mathcal{O}}(N(\rho), \mathfrak{S}(B))$ by $\tilde{i}(\alpha) = \alpha_*i$ for α in $\text{Hom}(A, B)$, where α_* is the tangential mapping of $\mathfrak{S}(A)$ to $\mathfrak{S}(B)$ induced by α . Then \tilde{i} is a group homomorphism. In fact, let δ_A be the diagonal mapping of A into $A \times A$ given by $\delta_A(x) = x \times x$ and μ_B the multiplication of $B \times B$ onto B given by $\mu_B(y \times z) = y + z$. If f and g are in $\text{Hom}(A, B)$, we have $f + g = \mu_B(f \times g)\delta_A$ and hence $(f + g)_* = \mu_{B*}(f \times g)_*\delta_{A*} = m_{\mathfrak{S}(B)}(f_* \otimes g_*)A_{\mathfrak{S}(A)} = (f_*)_*(g_*)$. This means that $\tilde{i}(f + g) = (f + g)_*i = (f_*i)_*(g_*i) = \tilde{i}(f)_*\tilde{i}(g)$. Then we have

LEMMA 5. *The sequence*

$$0 \longrightarrow \text{Hom}(A', B) \xrightarrow{\tilde{\rho}} \text{Hom}(A, B) \xrightarrow{\tilde{i}} \text{Hom}_{\mathcal{O}}(N(\rho), \mathfrak{S}(B))$$

of abelian groups is exact.

PROOF. It is clear that $\tilde{\rho}$ is injective. Let g be an element of $\text{Hom}(A', B)$ and put $f = \tilde{\rho}(g) = g \cdot \rho$. If i^* is the natural homomorphism of $\mathcal{O}_{e,A}$ onto $R = N(\rho)^D$, we have $i^*\rho^*(\mathfrak{m}') = 0$, where \mathfrak{m}' is the maximal ideal of $\mathcal{O}_{e',A'}$ (cf. §7 in [8]). From this we easily see that $\tilde{i}(f) = f_*i = g_*\rho_*i$ is the zero morphism of $N(\rho)$ into $\mathfrak{S}(B)$ in \mathcal{O} , since $\mathfrak{S}(B)$ and $N(\rho)$ may be considered as subspaces of the dual spaces of $\mathcal{O}_{e,B}$ and $R = N(\rho)^D$ over k respectively. This means that the image of $\tilde{\rho}$ is contained in the kernel of \tilde{i} . Conversely assume that $\tilde{i}(f) = 0$ and put $f(A) = B'$. If j is the canonical injection of B' into B , we have $f = j \cdot f'$, where f' is a surjective homomorphism of A to B' . Now we identify the fields $k(A')$ and $k(B')$ with the subfields $\rho^*(k(A'))$ and $f'^*(k(B'))$ of $k(A)$. Then we have $f'_*(D) = D|_{k(B')}$ for any D in $\mathfrak{S}(A)$ by Proposition 15 in [8]. Since j_* is injective, we have $f'_*i = 0$ by the hypothesis $\tilde{i}(f) = f_*i = 0$. Therefore we have $D|_{k(B')} = 0$ for any element D in $N(\rho)^+$. On the other hand $k(A')$ is the set of the elements x in $k(A)$ such that $D(x) = 0$ for any D in $N(\rho)^+$ by (D) in §6 of [8], and hence $k(A')$ contains $k(B')$. From this we see that there exists an algebraic homomorphism g' of A' onto B' such that $f' = g' \cdot \rho$ and hence $f = jf' = (jg')\rho = \tilde{\rho}(jg')$.

q. e. d.

Let g be an element of $\text{Hom}_{\mathcal{O}}(N(\rho), \mathfrak{H}(B))$. Then there exists the push-out \mathfrak{H}_g of $\mathfrak{H}(A)$ and $\mathfrak{H}(B)$ over $N(\rho)$ with respect to (i, g) , since \mathcal{O} is an abelian category (cf. TH. 20.1. of Chap. I in [5]). Let p_1 and p_2 be the canonical morphisms of $\mathfrak{H}(A)$ and $\mathfrak{H}(B)$ into \mathfrak{H}_g such that $p_1 i = p_2 g$. Recall that \mathfrak{H}_g is constructed as follows: let μ be a morphism of $N(\rho)$ into $\mathfrak{H}(A) \otimes_k \mathfrak{H}(B)$ defined by $\mu = (i_A \cdot i) * (c i_B g)$, where c is the antipode of the direct sum $(\mathfrak{H}(A) \otimes_k \mathfrak{H}(B), i_A, i_B)$ of $\mathfrak{H}(A)$ and $\mathfrak{H}(B)$ in \mathcal{O} . Then if (\mathfrak{H}_g, ν) is the cokernel of μ , $(\mathfrak{H}_g, p_1 = \nu i_A, p_2 = \nu i_B)$ is the push-out of $\mathfrak{H}(A)$ and $\mathfrak{H}(B)$ over $N(\rho)$. If we put $N' = \mu(N(\rho))$, N' is a Hopf subalgebra of $\mathfrak{H}(A) \otimes_k \mathfrak{H}(B) = \mathfrak{H}(A \otimes B)$ of a finite dimension. Therefore there exists a purely inseparable isogeny π of $A \times B$ onto a commutative group variety C_g such that $\text{Spec}(N'^D)$ is the kernel of π by Theorems 3 and 4 in [8]. It is clear by Lemma 4 that \mathfrak{H}_g and ν may be identified with $\mathfrak{H}(C_g)$ and π_* respectively. Moreover $\pi^*(k(C_g))$ is the set of y in $k(A \times B)$ such that $D(y) = 0$ for any D in N'^+ . On the other hand if $k(A)$ is identified with the subfield $p_A^*(k(A))$ of $k(A \times B)$, we have $D(x) = \mu D(x)$ for x in $k(A)$ and D in N by the definition of the morphism μ . Therefore $\pi^*(k(C_g))$ contains $\rho^*(k(A'))$ and hence there exist an algebraic homomorphism ϕ of C_g onto A' such that $\phi \pi = \rho p_A$. On the other hand it is easy to see that g gives a morphism g_1 of $\text{Spec}(N(\rho)^D)$ to B as k -group schemes such that $(g_1)_* = g$. Similarly i gives a morphism i_1 of $\text{Spec}(N(\rho)^D)$ to A such that $(i_1)_* = i$. Then we have

LEMMA 6. *The diagram*

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Spec}(N(\rho)^D) & \xrightarrow{i_1} & A & \xrightarrow{\rho} & A' \longrightarrow 0 \\ & & g_1 \downarrow & & \pi i_A \downarrow & & id_{A'} \downarrow \\ 0 & \longrightarrow & B & \xrightarrow{\pi i_B} & C_g & \longrightarrow & A' \longrightarrow 0 \end{array}$$

of k -schemes is commutative and the second row is strictly exact.

PROOF. Since $(\pi i_A)_* = p_1$ and $(\pi i_B)_* = p_2$, we have $(\pi i_B g_1)_* = p_2 g = p_2 i = (\pi i_A i_1)_*$ and hence $\pi i_B g_1 = \pi i_A i_1$. Therefore the first assertion is seen, and from this we have a commutative diagram of Hopf algebras:

$$\begin{array}{ccccccc} k & \longrightarrow & N(\rho) & \xrightarrow{i} & \mathfrak{H}(A) & \xrightarrow{\rho_*} & \mathfrak{H}(A') \longrightarrow k \\ & & g \downarrow & & p_1 \downarrow & & id_{\mathfrak{H}(A')} \downarrow \\ k & \longrightarrow & \mathfrak{H}(B) & \xrightarrow{p_2} & \mathfrak{H}_g = \mathfrak{H}(C_g) & \xrightarrow{\phi_*} & \mathfrak{H}(A') \longrightarrow k \end{array}$$

Then the second row is also exact in \mathcal{O} by the dual of Corollary 20.3 of Chap. I in [5], since $\mathfrak{H}(C_g)$ is the push-out of $\mathfrak{H}(A)$ and $\mathfrak{H}(B)$ over $N(\rho)$. From this we see that the sequence $0 \longrightarrow B \xrightarrow{\pi i_B} C_g \xrightarrow{\phi} A' \longrightarrow 0$ is strictly exact by Theorem 2. q. e. d.

LEMMA 7. *Let C be a commutative group variety defined over k satisfying the commutative diagram*

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \text{Spec}(N(\rho)^D) & \xrightarrow{i_1} & A & \longrightarrow & A' \longrightarrow 0 \\
 & & \downarrow g_1 & & \downarrow q_1 & & \downarrow id_{A'} \\
 0 & \longrightarrow & B & \xrightarrow{q_2} & C & \xrightarrow{\phi} & A' \longrightarrow 0,
 \end{array}$$

where the second row is strictly exact. Then there exists an isomorphism λ of C_g onto C satisfying the following commutative diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & B & \xrightarrow{\pi i_B} & C_g & \xrightarrow{\phi} & A' \longrightarrow 0 \\
 & & \downarrow id_B & & \downarrow \lambda & & \downarrow id_{A'} \\
 0 & \longrightarrow & B & \xrightarrow{q_2} & C & \xrightarrow{\phi} & A' \longrightarrow 0.
 \end{array}$$

PROOF. By hypothesis we have a commutative diagram of Hopf algebras with exact rows:

$$\begin{array}{ccccccc}
 k & \longrightarrow & N(\rho) & \xrightarrow{i} & \mathfrak{H}(A) & \xrightarrow{\rho^*} & \mathfrak{H}(A') \longrightarrow k \\
 & & \downarrow g & & \downarrow (q_1)_* & & \downarrow \mathfrak{H}(A') \\
 k & \longrightarrow & \mathfrak{H}(B) & \xrightarrow{(q_2)_*} & \mathfrak{H}(C) & \xrightarrow{\phi_*} & \mathfrak{H}(A') \longrightarrow k.
 \end{array}$$

Then, by the dual of Corollary 1.2. of Chap. VII in [5], there exists an isomorphism σ of $\mathfrak{H}(C_g)$ onto $\mathfrak{H}(C)$ such that $\sigma p_1 = (q_1)_*$, $\sigma p_2 = (q_2)_*$ and $\phi_* \sigma = \phi_*$, since C_g satisfies also the condition for C by Lemma 6. Let p_A and p_B be the canonical projections of $A \times B$ onto A and B respectively and put $\alpha = q_1 p_A + q_2 p_B$. Then we can easily see that $\alpha_* = \pi_*$. Therefore there exists an isomorphism λ of C_g onto C such that $\alpha = \lambda \pi$. Then it is clear that we may replace σ by λ_* and it is seen that $q_1 = \lambda p_1$ and $q_2 = \lambda p_2$, since $(q_1)_* = (\lambda p_1)_*$ and $(q_2)_* = (\lambda p_2)_*$. The equality $\phi \lambda = \phi$ is also obtained easily. q. e. d.

From Lemmas 6 and 7, there exists a uniquely determined element (C, q_2, ϕ) in $\text{Ext}(A', B)$ satisfying the condition of Lemma 7 for any element g in $\text{Hom}_\theta(N(\rho), \mathfrak{H}(B))$. Now we define a morphism d of $\text{Hom}_\theta(N(\rho), \mathfrak{H}(B))$ to $\text{Ext}(A', B)$ by $d(g) = (C, q_2, \phi) = C$. Then d is a group homomorphism. In fact let g_1 and g_2 be two elements in $\text{Hom}_\theta(N(\rho), \mathfrak{H}(B))$, and put $C_{g_i} = d(g_i)$ for $i=1, 2$. Then by the definition of the sum in the group $\text{Ext}(A', B)$, there exists the following commutative diagram of group varieties:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & B \times B & \longrightarrow & C_{g_1} \times C_{g_2} & \longrightarrow & A' \times A' \longrightarrow 0 \\
 & & \downarrow m_B & & \downarrow \tau & & \downarrow id \\
 0 & \longrightarrow & B & \longrightarrow & \bar{C} & \xrightarrow{\eta} & A' \times A' \longrightarrow 0 \\
 & & \uparrow id_B & & \uparrow \xi & & \uparrow \Delta_{A'} \\
 0 & \longrightarrow & B & \longrightarrow & C_{g_1} + C_{g_2} & \xrightarrow{\zeta} & A' \longrightarrow 0,
 \end{array} \tag{1}$$

where \bar{C} is the push-out of B and $C_{g_1} \times C_{g_2}$ over $B \times B$ and where $C_{g_1} + C_{g_2}$ is the pull-back of \bar{C} and A' over $A' \times A'$. On the other hand by the definition of C_{g_1} we have diagrams

$$\begin{array}{ccccccc}
 0 \rightarrow & \text{Spec}(N(\rho)^D) & \xrightarrow{i_1} & A & \xrightarrow{\rho} & A' & \rightarrow 0 \\
 & \downarrow (g_i)_1 & & \downarrow r_i & & \downarrow id_{A'} & \\
 0 & \longrightarrow & B & \longrightarrow & C_{g_i} & \xrightarrow{\phi_i} & A' \rightarrow 0
 \end{array}$$

From this and (1) we have a diagram

$$\begin{array}{ccccccc}
 0 \rightarrow & \text{Spec}(N(\rho)^D) & \xrightarrow{i_1} & A & \xrightarrow{\rho} & A' & \rightarrow 0 \\
 & \downarrow \sigma_1 & & \downarrow \sigma_2 & & \downarrow \Delta_{A'} & \\
 0 & \longrightarrow & B & \longrightarrow & \bar{C} & \xrightarrow{\eta} & A' \times A' \rightarrow 0
 \end{array} \tag{2}$$

where $\sigma_1 = m_B((g_1)_1 \times (g_2)_1) \Delta_{ND}$ and $\sigma_2 = \tau(r_1 \times r_2) \Delta_A$. Since $C_{g_1} + C_{g_2}$ is the pull-back of A' and \bar{C} over $A' \times A'$, there exists an algebraic homomorphism ω of A to $C_{g_1} + C_{g_2}$ satisfying the following diagram

$$\begin{array}{ccccccc}
 0 \rightarrow & \text{Spec}(N(\rho)^D) & \xrightarrow{i_1} & A & \xrightarrow{\rho} & A' & \rightarrow 0 \\
 & & & \downarrow \omega & & \downarrow id_{A'} & \\
 0 & \longrightarrow & B & \longrightarrow & C_{g_1} + C_{g_2} & \xrightarrow{\xi} & A' \rightarrow 0 \\
 & & \downarrow id_B & & \downarrow \xi & & \downarrow \Delta_{A'} \\
 0 & \longrightarrow & B & \longrightarrow & \bar{C} & \xrightarrow{\eta} & A' \times A' \rightarrow 0
 \end{array} \tag{3}$$

such that $\sigma_2 = \xi w$. Hence we have a commutative diagram of Hopf algebras :

$$\begin{array}{ccccccc}
 k \rightarrow & N(\rho) & \xrightarrow{i} & \mathfrak{H}(A) & \xrightarrow{\rho_*} & \mathfrak{H}(A') & \longrightarrow k \\
 & \downarrow g_1 * g_2 & & \downarrow \omega^* & & \downarrow id & \\
 k \rightarrow & \mathfrak{H}(B) & \longrightarrow & \mathfrak{H}(C_{g_1} + C_{g_2}) & \xrightarrow{\xi_*} & \mathfrak{H}(A') & \longrightarrow k \\
 & \downarrow id & & \downarrow \xi^* & & \downarrow & \\
 k \rightarrow & \mathfrak{H}(B) & \longrightarrow & \mathfrak{H}(\bar{C}) & \xrightarrow{\eta_*} & \mathfrak{H}(A') \otimes_k \mathfrak{H}(A') & \longrightarrow k.
 \end{array} \tag{4}$$

Since each row of this diagram is exact in \mathcal{O} , $\mathfrak{H}(C_{g_1} + C_{g_2})$ is the push-out of $\mathfrak{H}(A')$ and $\mathfrak{H}(\bar{C})$ over $\mathfrak{H}(A' \times A')$. Then using the commutative diagrams of Hopf algebras obtained from (1) and (2), we can easily see by Lemma 1.1. of Chap. VII in [5] that we may add the morphism $g_1 * g_2 = m_{\mathfrak{H}(B)}(g_1 \otimes g_2) \Delta_N$ of N into $\mathfrak{H}(B)$ in (4) without breaking the commutativity, and hence we may add in (3) the morphism $(g_1 * g_2)_1 = m_B((g_1)_1 \times (g_2)_1) \Delta_{ND}$ of $\text{Spec}(N(\rho)^D)$ into B . This means that $d(g_1 * g_2) = C_{g_1} + C_{g_2} = d(g_1) + d(g_2)$. Now we have

LEMMA 8. *The sequence*

$$\text{Hom}(A, B) \xrightarrow{\tilde{i}} \text{Hom}_{\mathcal{O}}(N(\rho), \mathfrak{H}(B)) \xrightarrow{d} \text{Ext}(A', B)$$

is exact.

PROOF. Let f be an element of $\text{Hom}(A, B)$ and put $g = i(f) = f_* i$ and $\sigma = \pi(i_A - i_B f)$, where i_A and i_B are the canonical injection of A and B into

$A \times B$ respectively and where π is the isogeny of $A \times B$ onto C_g defined in the above. Recall that $(\mathfrak{S}(C_g), \pi_*)$ is the cokernel of the morphism $\mu = ((i_A)_*i)_*(c(i_B)_*g)$, and hence we see that $\sigma_*i = \pi_*(i_A - i_Bf)_*i = \pi_*\mu$ is the zero-morphism of $\text{Hom}_\theta(N(\rho), \mathfrak{S}(C_g))$. This means that there exists a uniquely determined algebraic homomorphism σ_1 of A' into C_g such that $\sigma = \sigma'_1\rho$ by Lemma 5. If ϕ is the homomorphism of C_g onto A' defined in Lemma 6, we see from the definition of σ that $\phi\sigma_1$ is the identity of A' . This means that the sequence $0 \rightarrow B \rightarrow C_g \xrightarrow{\phi} A' \rightarrow 0$ is split, i.e., $d(g) = 0$. Conversely assume that $d(g) = 0$ for g in $\text{Hom}_\theta(N(\rho), \mathfrak{S}(B))$. Then the sequence $0 \rightarrow B \xrightarrow{\pi_{i_B}} C_g \xrightarrow{\phi} A' \rightarrow 0$ is split and hence there exists an algebraic homomorphism h of C_g onto B such that $h\pi_{i_B} = id_B$. If we put $f = h\pi_{i_A}$, we see easily that $g = f_*i = i(f)$. This completes the proof. q. e. d.

Now we denote by $\bar{\rho}_1$ the group homomorphism of $\text{Ext}(A', B)$ into $\text{Ext}(A, B)$ induced from ρ . Then we have

LEMMA 9. *The sequence*

$$\text{Hom}_\theta(N(\rho), \mathfrak{S}(B)) \xrightarrow{d} \text{Ext}(A', B) \xrightarrow{\bar{\rho}_1} \text{Ext}(A, B)$$

is exact.

PROOF. If we put $C = \bar{\rho}_1(C_g)$ for g in $\text{Hom}_\theta(N(\rho), \mathfrak{S}(B))$, we have, by the definition of $\bar{\rho}_1$, the commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & B & \longrightarrow & C & \xrightarrow{\alpha} & A \longrightarrow 0 \\ & & id_B \downarrow & & \beta \downarrow & & \rho \downarrow \\ 0 & \longrightarrow & B & \xrightarrow{\pi_{i_B}} & C_g & \xrightarrow{\phi} & A' \longrightarrow 0. \end{array}$$

Moreover C is the pll-back of A and C_g over A' and hence there exists an algebraic homomorphism h of A into C such that $\alpha h = id_A$ and $\beta h = \pi_{i_A}$. This means that the sequence $0 \rightarrow B \rightarrow C \xrightarrow{\alpha} A' \rightarrow 0$ is split. Conversely let (C', γ, ρ') be an element of $\text{Ext}(A', B)$ satisfying the commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & B & \xrightarrow{i_B} & A \times B & \xleftarrow{\rho_A} & A \longrightarrow 0 \\ & & \downarrow & & \beta \downarrow & & i_A \downarrow \\ 0 & \longrightarrow & B & \xrightarrow{\gamma} & C' & \xrightarrow{\rho'} & A' \longrightarrow 0. \end{array}$$

If we put $h = \beta i_A$, we see $\rho = \rho'h$ and hence have a commutative diagram with exact rows:

$$\begin{array}{ccccccc} k & \longrightarrow & N & \xrightarrow{i} & \mathfrak{S}(A) & \xrightarrow{\rho_*} & \mathfrak{S}(A') \longrightarrow k \\ & & & & h_* \downarrow & & id_{\mathfrak{S}(A')} \downarrow \\ k & \longrightarrow & \mathfrak{S}(B) & \xrightarrow{\gamma_*} & \mathfrak{S}(C') & \xrightarrow{\rho'_*} & \mathfrak{S}(A') \longrightarrow k. \end{array}$$

Therefore there exists a morphism g of N into $\mathfrak{S}(B)$ such that $\gamma_*g = h_*i$. From this we see that $C' = C_g$ q. e. d.

If (C, γ, ϕ) is an element of $\text{Ext}(A, B)$, $(\mathfrak{S}(C), \gamma_*, \phi_*)$ is in $\text{Ext}(\mathfrak{S}(A), \mathfrak{S}(B))$ by Theorem 2. Denoting by i_1 the homomorphism of $\text{Ext}(\mathfrak{S}(A), \mathfrak{S}(B))$ into $\text{Ext}(N(\rho), \mathfrak{S}(B))$ induced from the morphism i of $N(\rho)$ into $\mathfrak{S}(A)$, we define \tilde{i}_1 of $\text{Ext}(A, B)$ into $\text{Ext}(N(\rho), \mathfrak{S}(B))$ by $\tilde{i}_1(C) = i_1(\mathfrak{S}(C))$. Then we have

LEMMA 10. *The sequence*

$$\text{Ext}(A', B) \xrightarrow{\tilde{\rho}_1} \text{Ext}(A, B) \xrightarrow{\tilde{i}_1} \text{Ext}(N(\rho), \mathfrak{S}(B))$$

is exact.

PROOF. Since the sequence

$$\text{Ext}(\mathfrak{S}(A'), \mathfrak{S}(B)) \xrightarrow{(\tilde{\rho}_*)_1} \text{Ext}(\mathfrak{S}(A), \mathfrak{S}(B)) \xrightarrow{i_1} \text{Ext}(N(\rho), \mathfrak{S}(B))$$

is exact by Prop. 2.2. of Chap. VII in [5], we can easily see that the image of $\tilde{\rho}_1$ is contained in the kernel of \tilde{i}_1 . Conversely let (C, γ, α) be an element of $\text{Ext}(A, B)$ such that $\tilde{i}_1(C) = 0$. Then we have the following commutative diagram

$$\begin{array}{ccccccc} k & \longrightarrow & \mathfrak{S}(B) & \xrightarrow{\gamma_*} & \mathfrak{S}(C) & \xrightarrow{\alpha_*} & \mathfrak{S}(A) \longrightarrow k \\ & & \uparrow \text{id}_{\mathfrak{S}(B)} & & \uparrow \sigma & \swarrow \lambda & \uparrow i \\ k & \longrightarrow & \mathfrak{S}(B) & \longrightarrow & \mathfrak{S}(B) \otimes_k N(\rho) & \xrightarrow{i_N} & N(\rho) \longrightarrow k \end{array}$$

with split second row. Since $\lambda = \sigma i_N$ is a monomorphism in \mathcal{O} , $\lambda(N)$ may be identified with N . If ψ is a purely inseparable isogeny of C onto a group variety C' corresponding to $N = \lambda(N)$, there exists an algebraic homomorphism ϕ of C' into A' such that $\phi\psi = \rho\alpha$ by Lemma 5, since $\rho_*\alpha_*\lambda_*(N^+) = \rho_*i(N^+) = 0$. Then the sequence $0 \rightarrow B \xrightarrow{\psi\gamma} C' \xrightarrow{\phi} A' \rightarrow 0$ is strictly exact. For we can easily see that the sequence $0 \rightarrow \mathfrak{S}(B) \xrightarrow{(\psi\gamma)_*} \mathfrak{S}(C') \xrightarrow{\phi_*} \mathfrak{S}(A') \rightarrow 0$ is exact in \mathcal{O} . Moreover we see, from the definition of C and C' , $C = \tilde{\rho}_1(C')$. q. e. d.

In conclusion we have the following

THEOREM 3. *The notation being as above, we have the following exact sequene:*

$$\begin{aligned} 0 \longrightarrow \text{Hom}(A', B) \xrightarrow{\tilde{\rho}} \text{Hom}(A, B) \xrightarrow{\tilde{i}} \text{Hom}_{\mathcal{O}}(N(\rho), \mathfrak{S}(B)) \xrightarrow{d} \text{Ext}(A', B) \\ \xrightarrow{\rho_1} \text{Ext}(A, B) \xrightarrow{\tilde{i}_1} \text{Ext}(N(\rho), \mathfrak{S}(B)). \end{aligned}$$

§4 Hopf algebras and rational representations

First we give some results on Hopf subalgebras of the Hopf algebra $\mathfrak{S}(G)$

attached to a group variety G corresponding to group subvarieties of G . In this section we identify the Hopf algebra $\mathfrak{H}(H)$ attached to a group subvariety H of G with Hopf subalgebra $i_*(\mathfrak{H}(H))$ of $\mathfrak{H}(G)$, where i_* is the tangential homomorphism of $\mathfrak{H}(H)$ to $\mathfrak{H}(G)$ induced by the canonical injection i of H into G .

PROPOSITION 4. *Let H and K be two group subvarieties of a group variety G . Then K is a group subvariety of H if and only if $\mathfrak{H}(H)$ contains $\mathfrak{H}(K)$.*

PROOF. Let \mathfrak{a} and \mathfrak{b} be the prime ideals of the local ring $\mathcal{O}=\mathcal{O}_{e,G}$ corresponding to H and K respectively. By Lemma 14 and Proposition 16 in [8] we know that $\mathfrak{H}(G)$ may be identified with the set of continuous k -linear homomorphism of \mathcal{O} with the \mathfrak{m} -adic topology to k with the discrete topology where \mathfrak{m} is the maximal ideal of \mathcal{O} . Then we easily see that $\mathfrak{H}(H)$ (resp. $\mathfrak{H}(K)$) consists of the elements D of $\mathfrak{H}(G)$ such that $D(\mathfrak{a})=0$ (resp. $D(\mathfrak{b})=0$). On the other hand \mathfrak{a} (resp. \mathfrak{b}) consists of the elements x of \mathcal{O} such that $D(x)=0$ for any D in $\mathfrak{H}(H)$ (resp. $\mathfrak{H}(K)$), since $\mathfrak{a}=\bigcap_{n=0}^{\infty}(\mathfrak{m}^n+\mathfrak{a})$ and $\mathfrak{b}=\bigcap_{n=0}^{\infty}(\mathfrak{m}^n+\mathfrak{b})$. Therefore \mathfrak{a} is contained in \mathfrak{b} if and only if $\mathfrak{H}(H)$ contains $\mathfrak{H}(K)$. This completes the proof. q.e.d.

COROLLARY. *Let G, H and K be as in Proposition 4. Let \mathfrak{a} be the prime ideal of the local ring $\mathcal{O}=\mathcal{O}_{e,G}$ corresponding to the group subvariety H of G and $\{t_1, \dots, t_n\}$ be a regular system of parameters of \mathcal{O} such that \mathfrak{a} is generated by a subset $\{t_1, \dots, t_r\}$ of $\{t_1, \dots, t_n\}$. If $\{I_{a_1 \dots a_n} \mid a_i \geq 0\}$ is the canonical basis of $\mathfrak{H}(G)$ with respect to $\{t_1, \dots, t_n\}$, then the following three conditions are equivalent:*

- (i) K is a group subvariety of H .
- (ii) If $D = \sum_{(a)} \alpha_{a_1 \dots a_n} I_{a_1 \dots a_n}$ is in $\mathfrak{H}(K)$ and if $i \leq r$, we have $\alpha_{a_1 \dots a_n} = 0$ for $a_i = 1$ and $a_j = 0$ ($i \neq j$).
- (iii) If $D = \sum_{(a)} \alpha_{a_1 \dots a_n} I_{a_1 \dots a_n}$ is in $\mathfrak{H}(K)$ and if $i \leq r$, we have $\alpha_{a_1 \dots a_n} = 0$ for $a_i \neq 0$.

PROOF. First we assume the condition (i). Then, as shown in the proof of Proposition 4, we see $D(\mathfrak{a})=0$ for any D in $\mathfrak{H}(K)$ if $\mathfrak{H}(G)$ is identified with the set of continuous k -linear homomorphisms of \mathcal{O} into k . Since $t_1^{a_1} \dots t_n^{a_n}$ is contained in \mathfrak{a} if $a_i \neq 0$ for some $i \leq r$, this means that $\alpha_{a_1 \dots a_n} = D(t_1^{a_1} \dots t_n^{a_n}) = 0$ and hence the condition (iii) is satisfied. It is trivial the condition (ii) is satisfied if (iii) is so. Lastly assume that the condition (ii) is true. If K is not a group subvariety of H , then there exists an element t_i contained in \mathfrak{a} such that t_i does not belong to the prime ideal \mathfrak{b} of \mathcal{O} corresponding to K . As seen in the proof of Proposition 4 there exists an element D in $\mathfrak{H}(G)$ such that $D(t_i) \neq 0$ and $D(\mathfrak{b})=0$. If $D = \sum_{(a)} \alpha_{a_1 \dots a_n} I_{a_1 \dots a_n}$, this means that $D(t_i) = \alpha_{0 \dots 0 \overset{i}{1} 0 \dots 0} \neq 0$. This is a contradiction. q.e.d.

Now we denote by G_n the general linear group GL_n whose affine ring

over k is $k[t_{11}, \dots, t_{nn}, D^{-1}]$, where $D = \det(t_{ij})$. Then we have $XY = (\sum_{h=1}^n \xi_{ih} \eta_{hj})$ for $X = (\xi_{ij})$ and $Y = (\eta_{ij})$ in G_n . If we put $s_{ij} = t_{ij} - \delta_{ij}$ for $i, j = 1, 2, \dots, n$, where $\delta_{ij} = 0$ for $i \neq j$ and $\delta_{ii} = 1$, we see that $\{s_{11}, \dots, s_{nn}\}$ is a regular system of parameters of the local ring \mathcal{O}_{e, G_n} of G_n at the point $E = (\delta_{ij})$. Let $\{I_{a_{11} \dots a_{nn}} \mid a_{ij} \geq 0\}$ be the canonical basis of $\mathfrak{S}(G_n)$ with respect to $\{s_{11}, \dots, s_{nn}\}$. In particular we denote by $I_{ij}^{(r)}$ the element $I_{a_{11} \dots a_{nn}}$ such that $a_{ij} = p^r$ and $a_{hl} = 0$ for $(h, l) \neq (i, j)$ and call it a distinguished element of height r . Then we have

LEMMA 11. *Let $\{s_{11}, \dots, s_{nn}\}$ and $\{I_{a_{11} \dots a_{nn}} \mid a_{ij} \geq 0\}$ be as above. Then we have*

- (i) $I_{ij}^{(r)}(s_{lm}^{p^u}) = (s_{ij}^{p^u} + \delta_{ij}) \delta_{jm} \delta_{ru}$,
- and (ii) $I_{a_{11} \dots a_{nn}}(s_{lm}^{p^u}) = 0$ if $I_{a_{11} \dots a_{nn}}$ is not a distinguished element.

PROOF. Let X and Y be two independent generic points of G_n over k and put $s_{ij}(X) = \xi_{ij}$ and $s_{ij}(Y) = \eta_{ij}$ for $i, j = 1, 2, \dots, n$. Since we have

$$s_{ij}(XY) + \delta_{ij} = \sum_{h=1}^n (s_{ih}(X) + \delta_{ih})(s_{hj}(Y) + \delta_{hj}),$$

it is easily see that

$$s_{ij}(XY)^{p^u} - s_{ij}(X)^{p^u} = \sum_{h=1}^n (\xi_{ih}^{p^u} + \delta_{ih}) \eta_{hj}^{p^u}.$$

From this equality, we see that (i) and (ii) in our lemma are given from the definition of $\{I_{a_{11} \dots a_{nn}}\}$ (cf. §4 in [8]). q. e. d.

Now let $M_n(k)$ be the ring of all the square matrices of degree n with elements in the field k . Then we define a mapping ρ_r of $\mathfrak{S}(G_n)$ to $M_n(k)$ by $\rho_r(D) = (D_e(s_{ij}^{p^r}))$ for D in $\mathfrak{S}(G_n)$, where D_e is the local component of D at e defined in §3 of [8]. If $D = \sum_{(a)} \alpha_{a_{11} \dots a_{nn}} I_{a_{11} \dots a_{nn}}$, we see that $\rho_r(D) = (\alpha_{ij}^{(r)})$ by the definition of the canonical basis $\{I_{a_{11} \dots a_{nn}} \mid a_{ij} \geq 0\}$ of $\mathfrak{S}(G_n)$, where $\alpha_{ij}^{(r)}$ is the element $\alpha_{a_{11} \dots a_{nn}}$, for each (i, j) and r , such that $a_{ij} = p^r$ and $a_{hl} = 0$ for $(h, l) \neq (i, j)$. Now we show the following

PROPOSITION 5. *The notation being as above, ρ_r is a k -algebra homomorphism of $\mathfrak{S}(G_n)$ to $M_n(k)$ for any non-negative integer r .*

PROOF. It is clear that ρ_r is a k -linear mapping, and hence it is sufficient to show that

$$\rho_r(I_{a_{11} \dots a_{nn}} I_{b_{11} \dots b_{nn}}) = \rho_r(I_{a_{11} \dots a_{nn}}) \rho_r(I_{b_{11} \dots b_{nn}}).$$

If one of $I_{a_{11} \dots a_{nn}}$ and $I_{b_{11} \dots b_{nn}}$ is not a distinguished element of height r , we see by Lemma 11

$$\rho_r(I_{a_{11} \dots a_{nn}} I_{b_{11} \dots b_{nn}}) = \rho_r(I_{a_{11} \dots a_{nn}}) \rho_r(I_{b_{11} \dots b_{nn}}) = 0.$$

Thus we may assume that $I_{a_{11}\dots a_{nn}} = I_{ij}^{(r)}$ and $I_{b_{11}\dots b_{nn}} = I_{lm}^{(r)}$. Let $E_{ij} = (\varepsilon_{\lambda\mu})$ be the square matrix of degree n such that $\varepsilon_{ij} = 1$ and $\varepsilon_{\lambda\mu} = 0$ for $(\lambda, \mu) \neq (i, j)$. By Lemma 11, we have

$$\begin{aligned} I_{ij}^{(r)} I_{lm}^{(r)}(s_{\lambda\mu}^{br}) &= I_{ij}^{(r)}((s_{\lambda l}^{br} + \delta_{\lambda l})\delta_{m\mu}) \\ &= \delta_{m\mu}\delta_{lj}(s_{\lambda i}^{br} + \delta_{\lambda i}), \end{aligned} \tag{*}$$

and hence $I_{ij}^{(r)} I_{lm}^{(r)}(s_{\lambda\mu}^{br}) = 0$ if $j \neq l$.

This means that

$$\rho_r(I_{ij}^{(r)} I_{lm}^{(r)}) = 0 = \rho_r(I_{ij}^{(r)})\rho_r(I_{lm}^{(r)}) \quad \text{for } j \neq l,$$

since $\rho_r(I_{ij}^{(r)}) = E_{ij}$ and $\rho_r(I_{lm}^{(r)}) = E_{lm}$. If $j = l$, we have

$$\rho_r(I_{ij}^{(r)} I_{jm}^{(r)}) = E_{im} = E_{ij}E_{jm} = \rho_r(I_{ij}^{(r)})\rho_r(I_{jm}^{(r)}),$$

since $(I_{ij}^{(r)} I_{jm}^{(r)})_e(s_{\lambda\mu}^{br}) = \delta_{\lambda i}\delta_{m\mu}$ by the equality (*). This completes the the proof. q.e.d.

Let V be a vector space of dimension n over k and GL_V the group of linear automorphisms of V which has a structure of a group variety defined over k . Precisely if $\{v_1, \dots, v_n\}$ is a basis of V over k , GL_V may be identified with the general linear group G_n naturally such that an element l in GL_V corresponds to (λ_{ij}) , where $l(v_i) = \sum_{j=1}^n \lambda_{ij}v_j$.

Now let G be a group variety over k and assume that there exists a rational representation ϕ of G to GL_V defined over k . Then we show that V has a structure of $\mathfrak{X}(G)$ -module determined depending on ϕ . In fact let ϕ_* be the tangential mapping of $\mathfrak{X}(G)$ to $\mathfrak{X}(GL_V) = H(\mathfrak{G}_n)$ induced by ϕ and ρ_0 the k -algebra homomorphism of $\mathfrak{X}(G_n)$ to $M_n(k)$ defined in the above. Moreover we consider any element $A = (\alpha_{ij})$ of $M_n(k)$ as a linear endomorphism of V such that $A(v_i) = \sum_{j=1}^n \alpha_{ij}v_j$ for each $i = 1, 2, \dots, n$. Then if we denote by $D(v)$ the element $\rho_0(\phi_*(D))(v)$ of V for D in $\mathfrak{X}(G)$ and v in V , we see by Proposition 4 that

$$(\alpha D + \alpha' D')(v) = \alpha D(v) + \alpha' D'(v)$$

$$(DD')(v) = D(D'(v))$$

$$D(\alpha v + \alpha' v') = \alpha D(v) + \alpha' D(v')$$

$$1(v) = v$$

for D and D' in $\mathfrak{X}(G)$, v and v' in V , and α and α' in k . It is easy to see that this structure is determined independently of the choice of the basis

$\{v_1, \dots, v_n\}$ of V over k .

A vector subspace W of V is called a G -submodule of V , if $\phi(g)W$ is equal to W for any element g of G . Then a rational representation ϕ' of G to GL_W is obtained naturally from ϕ . Similarly W is called a $\mathfrak{S}(G)$ -submodule if $D(W)$ is contained in W for any element D of $\mathfrak{S}(G)$. If \mathfrak{g}_0 is the Lie algebra of G consisting of left invariant derivations of G , we can also give the definition of \mathfrak{g}_0 -submodules of V , and it is known, in characteristic 0, that W is a G -submodule of V if and only if it is a \mathfrak{g}_0 -submodule of V (cf. e. g., Proposition 3.31 in [3]). The following theorem is a modification of this fact in a positive characteristic p .

THEOREM 4. *Let V be a finite dimensional vector space over k , and let ϕ be a rational representation of a group variety G to GL_V . Then a subspace W of V is a G -submodule of V if and only if it is a $\mathfrak{S}(G)$ -submodule of V .*

PROOF. Let H be the group subvariety of GL_V which consists of the elements x of GL_V such that xW is contained in W . Then we show that $\rho_0(\mathfrak{S}(H))$ is the set of the elements A in $M_n(k)$ such that $AW \subset W$. For let $\{v_1, \dots, v_n\}$ be a basis of V such that $\{v_1, \dots, v_r\}$ is that of W , and we identify GL_V with G_n using this basis as seen in the above. Then H is the subgroup of G_n consisting of the elements $\begin{pmatrix} A & 0 \\ B & C \end{pmatrix}$ of G_n , where A and C are square matrices of degree r and $n-r$ respectively and $\{\bar{s}_{ij} \mid i > r+1 \text{ or } j < r\}$ is a regular system of parameters of the local ring $O_{e,H}$, where \bar{s}_{ij} is the image of s_{ij} under the canonical mapping of $O_{e,G}$ to $O_{e,H}$. Therefore if we denote by $\{I_{a_{11}\dots a_{nn}} \mid a_{ij} \geq 0 \text{ and } a_{hl} = 0 \text{ if } 1 \leq h \leq r \text{ and } r+1 \leq l \leq n\}$ the canonical basis of $\mathfrak{S}(H)$ with respect to $\{\bar{s}_{ij}\}$, we see $j_*(I_{a_{11}\dots a_{nn}}) = I_{a_{11}\dots a_{nn}}$, where j_* is the tangential mapping of $\mathfrak{S}(H)$ to $\mathfrak{S}(GL_V)$ induced by the canonical injection j of H into GL_V . Then by the definition of ρ_0 we see easily $\rho_0(\mathfrak{S}(H)) = \{A \in M_n(k) \mid AW \subset W\}$.

First we assume that W is a G -submodule. Then $\phi(G)$ is contained in H and hence $\phi_*(\mathfrak{S}(G))$ is contained in $\mathfrak{S}(H)$. Since $\rho_0(\mathfrak{S}(H))W$ is equal to W , this means that W is a $\mathfrak{S}(G)$ -submodule of V by the definition of the operation of the operation of $\mathfrak{S}(G)$ on V . Conversely assume that W is a $\mathfrak{S}(G)$ -submodule of V . If G_1 is the image $\phi(G)$ of G , G_1 is a group subvariety of GL_V and ϕ_* maps $\mathfrak{S}(G)$ onto $\mathfrak{S}(G_1)$. This means that W is also a $\mathfrak{S}(G_1)$ -submodule of V . On the other hand W is a G -submodule of V if and only if it is a G_1 -submodule of V . Therefore we may assume that G is a group subvariety of GL_V and that ϕ is the canonical injection. Let $D = \sum_{(a)} \alpha_{a_{11}\dots a_{nn}} I_{a_{11}\dots a_{nn}}$ be an element in $\mathfrak{S}(G)$ and let (i, j) be such a pair that $1 \leq i \leq r$ and $r+1 \leq j \leq n$. Since $\rho_0(\mathfrak{S}(G))$ is contained in $\rho_0(\mathfrak{S}(H))$ by assumption, we see that $\alpha_{a_{11}\dots a_{nn}} = 0$ if $a_{ij} = 1$ and $a_{hl} = 0$ for $(h, l) \neq (i, j)$. It is clear that the prime ideal of O_{e,G_n} corresponding to H is generated by the subset $\{s_{ij} \mid 0 \leq i \leq r, r+1 \leq j \leq n\}$ of the regular system $\{s_{ij} \mid 1 \leq i, j \leq n\}$ of parameters, and there-

fore H contains G by Corollary of Proposition 4. This means that W is a G -submodule of V . q.e.d.

COROLLARY. *Let G, V and ϕ be as above. Then V is a completely reducible G -module if and only if it is a completely reducible $\mathfrak{H}(G)$ -module.*

§5 Formal groups and algebraic Hopf algebras

Let G be a group variety defined over k . If we identify the Hopf algebra $\mathfrak{H}(G)$ of G with the set of continuous k -linear homomorphisms of $\mathcal{O}_{e,G}$ to k , the Hopf algebra $\mathfrak{H}(H)$ of a group subvariety H of G may be identified with the Hopf subalgebra of $\mathfrak{H}(G)$ which consists of the elements D in $\mathfrak{H}(G)$ such that D annihilates the prime ideal of $\mathcal{O}_{e,G}$ corresponding to H . Therefore the set of group subvarieties of G defined over k corresponds injectively to a subset of Hopf subalgebras of $\mathfrak{H}(G)$ by Proposition 4. Now we understand by an algebraic Hopf subalgebra of $\mathfrak{H}(G)$ a Hopf subalgebra corresponding to a group subvariety of G in this way. The aim of this section is to give a condition for a Hopf subalgebra of $\mathfrak{H}(G)$ to be algebraic.

For this purpose we give some results on Hopf algebras attached to formal groups which are already known (cf. §1 and §10 in [2], and [1]). Here we understand by a formal group over a field k a noetherian complete local ring R with maximal ideal \mathfrak{m} satisfying the following conditions:

- (i) R contains k and R/\mathfrak{m} is canonically isomorphic to k .
- (ii) There exists a continuous k -algebra homomorphism Δ of R with the \mathfrak{m} -adic topology to the complete tensor product $R \widehat{\otimes}_k R$ such that $(\Delta \widehat{\otimes} id_R)\Delta = (id_R \widehat{\otimes} \Delta)\Delta$.
- (iii) If ε is the canonical homomorphism of R to $k=R/\mathfrak{m}$, $(\varepsilon \widehat{\otimes} id_R)\Delta$ and $(id_R \widehat{\otimes} \varepsilon)\Delta$ are the natural isomorphism of R to $k \otimes R$ and $R \otimes k$ respectively.
- (iv) There exists a continuous k -algebra automorphism c of R such that $\widehat{m}(id_R \widehat{\otimes} c)\Delta = \eta\varepsilon$ and $\widehat{m}(c \widehat{\otimes} id_R)\Delta = \eta\varepsilon$, where \widehat{m} is the completion of the multiplication of R and η is the canonical injection of k into R .

Now we denote by $\mathfrak{H}(R)$ the set of continuous k -linear mappings of R with the \mathfrak{m} -adic topology to k with the discrete topology. Then the vector space $\mathfrak{H}(R)$ over k is a Hopf algebra over k . In fact the coalgebra structure (Δ, ε) of $\mathfrak{H}(R)$ is naturally defined by the algebra structure (m, η) of R by $\Delta(D)(x \otimes y) = D(xy)$ and $\varepsilon(D) = D(\eta)$ for D in $\mathfrak{H}(R)$ and x, y in R by Proposition 6.0.2 in [7], if we identify $\mathfrak{H}(R) \widehat{\otimes}_k \mathfrak{H}(R)$ with a subspace of the dual space of $R \widehat{\otimes}_k R$. As to the algebra structure of $\mathfrak{H}(R)$ we define the multiplication $\bar{m}(D \widehat{\otimes} D') = D \cdot D'$ by $D \cdot D'(x) = (D \widehat{\otimes} D')(\Delta(x))$. It is easy to see that $D \cdot D'$ is contained in $\mathfrak{H}(R)$ and that this composition satisfies the associative law. Moreover we see that $\varepsilon \cdot D = D \cdot \varepsilon = D$ for any D in $\mathfrak{H}(R)$. If we define $\tilde{\eta}$ of k to $\mathfrak{H}(R)$ by $\tilde{\eta}(\alpha) = \alpha\varepsilon$, $(\bar{m}, \tilde{\eta})$ is an algebra structure of $\mathfrak{H}(R)$. The antipode $\tilde{\varepsilon}$ of $\mathfrak{H}(R)$ is given by $\tilde{\varepsilon}(D) = Dc$ for any D in $\mathfrak{H}(R)$. Then it is easy to check that

$(\mathfrak{H}(R), \tilde{m}, \tilde{\eta}, \tilde{A}, \tilde{\varepsilon}, \tilde{c})$ is a Hopf algebra over k .

PROPOSITION. 6. *Let R be a formal group over k , and let \mathfrak{H}_i be the subspace of $\mathfrak{H}(R)$ consisting of the elements D such that $D(\mathfrak{m}_i)=0$, where \mathfrak{m}_i is the ideal of R generated by all the p^i -th exponents x^{p^i} of x in the maximal ideal \mathfrak{m} . Then \mathfrak{H}_i is a Hopf subalgebra of $\mathfrak{H}(R)$ and \mathfrak{m}_i is the set of all elements x in R such that $D(x)=0$ for any D in \mathfrak{H}_i .*

PROOF. Let $\{D_s | s \in S\}$ be a basis of \mathfrak{H}_i and let $\{D'_t | t \in T\}$ be a subset of $\mathfrak{H}(R)$ such that the union $\{D_s | s \in S\} \cup \{D'_t | t \in T\}$ is a basis of $\mathfrak{H}(R)$. Then, for any element D in \mathfrak{H}_i , we have

$$\tilde{A}(D) = \sum_{j=1}^m E_{s_j} \otimes D_{s_j} + \sum_{h=1}^n E'_{t_h} \otimes D'_{t_h},$$

where E_{s_j} and E'_{t_h} are non-zero elements of $\mathfrak{H}(R)$. If $n > 0$, there exists an element x of \mathfrak{m}_i such that $D'_{i_1}(x) \neq 0$ and $D'_{i_h}(x) = 0$ for $h \neq 1$. Therefore if y is an element of R such that $E'_{i_1}(y) \neq 0$, we have

$$\tilde{A}(D)(y \otimes x) = E'_{i_1}(y)D'_{i_1}(x) \neq 0.$$

But by the definition of \tilde{A} , we have $\tilde{A}(D)(y \otimes x) = D(yx) = 0$, since D is in \mathfrak{H}_i . This is a contradiction. Therefore we see that $\tilde{A}(D) = \sum_{j=1}^m E_{s_j} \otimes D_{s_j}$. Similarly we see from this that $\tilde{A}(D) = \sum_{j,h} \alpha_{jh} D_j \otimes D_h$ for α_{ij} in k . This means that \mathfrak{H}_i is a subcoalgebra of $\mathfrak{H}(R)$. On the other hand we see easily that $\mathcal{A}(\mathfrak{m}_i)$ is contained in the ideal of $R \otimes R$ generated by $(\mathfrak{m}_i \otimes R + R \otimes \mathfrak{m}_i)$, since $\mathcal{A}(\mathfrak{m})$ is contained in $(\mathfrak{m} \otimes R + R \otimes \mathfrak{m})R \otimes R$. Hence we have $D \cdot D'(y) = (D \otimes D')(\mathcal{A}(y)) = 0$ for y in \mathfrak{m}_i and D, D' in \mathfrak{H}_i . This means that \mathfrak{H}_i is subalgebra of $\mathfrak{H}(R)$. It is clear that $\tilde{c}(\mathfrak{H}_i) = \mathfrak{H}_i$. Therefore \mathfrak{H}_i is a Hopf subalgebra of $\mathfrak{H}(R)$. Moreover \mathfrak{H}_i is the dual space of R/\mathfrak{m}_i and hence the last assertion is seen, since R/\mathfrak{m}_i is of finite dimension. q. e. d.

By Proposition 6, R/\mathfrak{m}_i is also a formal group over k and \mathfrak{H}_i may be identified with the Hopf algebra $\mathfrak{H}(R/\mathfrak{m}_i)$ of R/\mathfrak{m}_i . Then $\mathfrak{H}(R)$ is the inductive limit of $\mathfrak{H}(R/\mathfrak{m}_i)$. Now we denote by R_i the formal group R/\mathfrak{m}_i and call it the formal subgroup of R of exponent i . In general we call a residue class ring R/α of R a formal subgroup of R if R/α has a structure $(R/\alpha, \mathcal{A}_\alpha, \varepsilon_\alpha, c_\alpha)$ of a formal group over k such that $(\pi \otimes \pi)\mathcal{A} = \mathcal{A}_\alpha \pi$, $\varepsilon = \pi \varepsilon_\alpha$ and $c_\alpha \pi = \pi c$, where π is the canonical homomorphism of R onto R/α . Then if we define a mapping π_* of $\mathfrak{H}(R/\alpha)$ to $\mathfrak{H}(R)$ by $\pi_*(D) = D\pi$ for D in $\mathfrak{H}(R/\alpha)$, we can easily see that π_* is a Hopf algebra homomorphism. Moreover we see that π_* is a monomorphism and that $\pi_*(\mathfrak{H}(R/\alpha))$ consists of the elements D in $\mathfrak{H}(R)$ such that $D(\alpha) = 0$. In the following we identify $\mathfrak{H}(R/\alpha)$ with $\pi_*(\mathfrak{H}(R/\alpha))$. Then we have

THEOREM 5. *Let R be a formal group over k and let \mathfrak{H} be a Hopf subalgebra*

of $\mathfrak{H}(R)$. Then there exists a unique formal subgroup $R/\alpha_{\mathfrak{H}}$ of R such that $\mathfrak{H} = \mathfrak{H}(R/\alpha_{\mathfrak{H}})$.

PROOF. We denote by $\alpha_{\mathfrak{H}}$ the set of the elements x in R such that $D(x) = 0$ for any D in H . We shall see that $R/\alpha_{\mathfrak{H}}$ is a formal subgroup of R . Let \mathfrak{H}'_i be the intersection of \mathfrak{H} and \mathfrak{H}_i , and let α_i be the set of elements x in R such that $D(x) = 0$ for any D in \mathfrak{H}'_i . Then \mathfrak{H}'_i is a Hopf subalgebra of $\mathfrak{H}(R)$ for any i by Lemma 1 and proposition 6, and we have $\mathfrak{H} = \bigcap_{i=1}^{\infty} \mathfrak{H}'_i$. On the other hand R/\mathfrak{m}_i is a Hopf algebra over k and $\mathfrak{H}_i = \mathfrak{H}(R/\mathfrak{m}_i)$ is the dual Hopf algebra of R/\mathfrak{m}_i , since R/\mathfrak{m}_i is a formal group with the discrete topology by Proposition 6. Then we easily see that the annihilator α_i/\mathfrak{m}_i of \mathfrak{H}'_i is a Hopf ideal of R/\mathfrak{m}_i by Proposition 1.4.3. and Proposition 1.4.6 in [7]. This means that R/α_i is a Hopf algebra over k . Denote by Δ_i and c_i the diagonal and the antipode of R/α_i . Then if π_{ij} is the natural homomorphism of R/α_i to R/α_j for $i \geq j$, we easily see that $(\pi_{ij} \otimes \pi_{ij})\Delta_i = \Delta_j \pi_{ij}$ and $\pi_{ij}c_i = c_j \pi_{ij}$. Now we easily see that $\alpha_{\mathfrak{H}}$ is contained in $\alpha' = \bigcap_{i=1}^{\infty} \alpha_i$, since \mathfrak{H} contains \mathfrak{H}'_i for any i . Conversely let x be any element of α' . Then x is contained in α_i for any i and hence we see that $D(x) = 0$ for any element D in \mathfrak{H}'_i . Since $\mathfrak{H} = \bigcap_{i=1}^{\infty} \mathfrak{H}'_i$, x must be contained in $\alpha_{\mathfrak{H}}$. Therefore $\alpha_{\mathfrak{H}}$ is equal to $\alpha' = \bigcap_{i=1}^{\infty} \alpha_i$. Moreover the family $\{\alpha_i/\alpha_{\mathfrak{H}} \mid i=1, 2, \dots\}$ is a fundamental basis of neighbourhoods of 0 in the $\mathfrak{m}/\alpha_{\mathfrak{H}}$ -adic topology of $\bar{R} = R/\alpha_{\mathfrak{H}}$ by Theorem 13 of Chap. VIII in [9], since \bar{R} is a complete local ring with a descending chain of ideals $\{\alpha_i/\alpha_{\mathfrak{H}}\}$ such that $\bigcap_{i=1}^{\infty} \alpha_i/\alpha_{\mathfrak{H}} = 0$. Since $R/\alpha_{\mathfrak{H}} = \varprojlim_i R/\alpha_i$, there exists a unique mapping $\Delta_{\mathfrak{H}}$ (resp. $c_{\mathfrak{H}}$) of $R/\alpha_{\mathfrak{H}}$ to $R/\alpha_{\mathfrak{H}} \widehat{\otimes} R/\alpha_{\mathfrak{H}} = \varprojlim_i R/\alpha_i \widehat{\otimes} R/\alpha_i$ (resp. $R/\alpha_{\mathfrak{H}}$) induced by Δ_i (resp. c_i) ($i=1, 2, \dots$). Then it is easy to see that $(R/\alpha_{\mathfrak{H}}, \Delta_{\mathfrak{H}}, c_{\mathfrak{H}})$ is a formal subgroup of R and that \mathfrak{H} corresponds to $R/\alpha_{\mathfrak{H}}$. q.e.d.

Now we consider a group variety G defined over k with the local ring $\mathcal{O} = \mathcal{O}_{e,G}$ at the neutral element e . Then if \mathfrak{m} is the maximal ideal of \mathcal{O} , it is well known that the completion $R = \bar{\mathcal{O}}$ of \mathcal{O} with respect to the \mathfrak{m} -adic topology is a formal group over k whose diagonal Δ_R and the antipode c_R is naturally obtained from the group structure of G . We call this formal group R the formalization of G . The Hopf algebra $\mathfrak{H}(R)$ of R is isomorphic to $\mathfrak{H}(G)$ and hence we may identify them. If \mathcal{O}' is the local ring $\mathcal{O}_{e \times e, G \times G}$ of $G \times G$ at the point $e \times e$, it is the quotient ring $(\mathcal{O} \otimes_k \mathcal{O})_S$ of $\mathcal{O} \otimes_k \mathcal{O}$ with respect to the multiplicatively closed set S which is the complement of the maximal ideal $\mathfrak{m} \otimes \mathcal{O} + \mathcal{O} \otimes \mathfrak{m}$ in $\mathcal{O} \otimes_k \mathcal{O}$. The comorphism Δ_G of $k(G)$ to $k(G \times G)$ defined by the multiplication of $G \times G$ to G induces a homomorphism Δ of \mathcal{O} to \mathcal{O}' . Then Δ is the restriction of the diagonal Δ_R of R to \mathcal{O} by the definition of the formalization R , if we identify \mathcal{O}' with a subring of $R \widehat{\otimes} R$. Moreover we denote by c the restriction of c_R to \mathcal{O} .

PROPOSITION 7. *Let G, O, O' and R be as above, and let \mathfrak{S} be a Hopf subalgebra of $\mathfrak{S}(R)$. If α is the set of the elements x of O such that $D(x)=0$ for any D in \mathfrak{S} . Then α is an ideal of O . Moreover if \mathfrak{S} is the set of the elements D in $\mathfrak{S}(R)$ such that $D(\alpha)=0, \Delta(\alpha)$ is contained in the ideal of O' generated by $\alpha \otimes O + O \otimes \alpha$ and $c(\alpha)$ is equal to α .*

PROOF. By Theorem 5 it is clear that $\alpha = O \cap \alpha_{\mathfrak{S}}$ is an ideal of O . Moreover if \mathfrak{S} is the set of the elements D in $\mathfrak{S}(R)$ such that $D(\alpha)=0$, the closure $\bar{\alpha}$ of α in R is $\alpha_{\mathfrak{S}}$. In fact if there exists an element x in $\alpha_{\mathfrak{S}}$ but not in $\bar{\alpha}$, x is not contained in $\alpha + m^{ps}$ for a sufficiently large s . Then there exists an element D in $\mathfrak{S}(R)$ such that $D(x) \neq 0$ and $D(\alpha + m^{ps}) = 0$. By assumption D is contained in \mathfrak{S} . But this is a contradiction to the fact that x is in $\alpha_{\mathfrak{S}}$.

Now let x be in α . Using the notations of the proof of Theorem 5, x is contained in α_i for any i . Since R/α_i is a Hopf algebra over k , it is seen that $\Delta_R(x)$ is contained in $\mathfrak{b}_i = (\alpha_i \otimes R + R \otimes \alpha_i)R \widehat{\otimes} R$. On the other hand the set of the ideals $\mathfrak{b}_i (i=1, 2, \dots)$ is a fundamental system of neighbourhoods of $\alpha_{\mathfrak{S}} \otimes R + R \otimes \alpha_{\mathfrak{S}}$ in the completion $\bar{O}' = R \widehat{\otimes} R$ of O' , we have

$$\begin{aligned} \bigcap_{i=1}^{\infty} ((\alpha_i \otimes R + R \otimes \alpha_i)R \widehat{\otimes} R) &= \overline{\alpha_{\mathfrak{S}} \otimes R + R \otimes \alpha_{\mathfrak{S}}} \\ &= \overline{\alpha \otimes O + O \otimes \alpha} \quad \text{in } R \widehat{\otimes} R, \end{aligned}$$

since α is dense in $\alpha_{\mathfrak{S}}$ as seen in the above. It is clear that $\Delta(x)$ is in O' . Therefore $\Delta(x)$ belongs to $(\overline{\alpha \otimes O + O \otimes \alpha}) \cap O' = (\alpha \otimes O + O \otimes \alpha)O'$. This means that $\Delta(\alpha)$ is contained in $(\alpha \otimes O + O \otimes \alpha)O'$. Similarly $\Delta(\alpha)$ is contained in $\Delta_R(\alpha R) = \alpha_{\mathfrak{S}}$ and hence in $\alpha = \alpha \bar{R} \cap O = \alpha_{\mathfrak{S}} \cap O$. q. e. d.

LEMMA 12. *Let G be a group variety over k and H a closed subset of G satisfying the following conditions:*

- (i) *there exists a dense open subset U of H such that $U \cdot U$ is contained in H .*
- and (ii) *there exists a dense open subset V of H such that V^{-1} is contained in H .*

Then H is an algebraic subgroup of G .

The proof is easy and hence we omit it.

COROLLARY. *Let G, O and O' be as in proposition 7 and let α be an ideal of O such that $\Delta(\alpha) \subset (\alpha \otimes O + O \otimes \alpha)O'$ and $c(\alpha) \subset \alpha$. Then if α is equal to its radical $\sqrt{\alpha}$, α is a prime ideal of O corresponding to a group subvariety of G .*

PROOF. If H is the algebraic subset of G defined by α , any component of H contains the neutral element e of G . On the other hand if $V = \text{Spec}(B)$ is an affine open set of G containing e , there exists an affine open set $U = \text{Spec}(A)$ of G containing e such that $U \cdot U \subset V$ and $U^{-1} \subset V$. Then the restriction Δ_B

(resp. c_B) of the diagonal Δ of O to O' (resp. the antipode c of O to O) to B is a homomorphism of B to $A \otimes_k A$ (resp. to A). Now recall that if \mathfrak{p} and \mathfrak{p}' are two prime ideals of O , $\mathfrak{p} \otimes O + O \otimes \mathfrak{p}'$ is also a prime ideal of $O \otimes_k O$. On the other hand we can easily see in a similar way to the proof of Lemma 1 that $(\alpha_1 \otimes O + O \otimes \mathfrak{b}) \cap (\alpha_2 \otimes O + O \otimes \mathfrak{b}) = (\alpha_1 \cap \alpha_2) \otimes O + O \otimes \mathfrak{b}$ for any ideals α_1, α_2 and \mathfrak{b} of O . Therefore if α is an intersection of prime ideals $\mathfrak{p}_i (i=1, 2, \dots, s)$, $\alpha \otimes O + O \otimes \alpha$ is the intersection of prime ideals $\mathfrak{p}_i \otimes O + O \otimes \mathfrak{p}_i (i, j=1, 2, \dots, s)$. In particular we see that $(\alpha \otimes O + O \otimes \alpha)O' \cap (O \otimes O) = \alpha \otimes O + O \otimes \alpha$, since S and $\mathfrak{p}_i \otimes O + O \otimes \mathfrak{p}_j$ have the empty intersection for any i, j . By assumption we have

$$\begin{aligned} A_B(\alpha \cap B) &\subset (\alpha \otimes O + O \otimes \alpha)O' \cap (A \otimes_k A) \\ &= (\alpha \otimes O + O \otimes \alpha) \cap (A \otimes_k A) \\ &= (\alpha \cap A) \otimes_k A + A \otimes_k (\alpha \cap A) \end{aligned}$$

and $c_B(\alpha \cap B) \subset \alpha \cap A$. This means that

$$(H \cap U)(H \cap U) \subset H \cap V \subset H \text{ and } (H \cap U)^{-1} \subset H \cap V \subset H.$$

Therefore, by Lemma 12, H is an algebraic subgroup of G , since $H \cap U$ is a dense open subset of H . Then α must be a prime ideal, because a connected algebraic group is irreducible. q.e.d.

Now we have the last

THEOREM 6. *Let G be a group variety defined over k and $\mathfrak{H}(G)$ the Hopf algebra attached to G . Then a Hopf subalgebra \mathfrak{H} of $\mathfrak{H}(G)$ is an algebraic one if and only if \mathfrak{H} satisfies the following conditions:*

- (i) *the ideal α of $O_{e,G}$ consisting of the elements x such that $D(x)=0$ for any D in \mathfrak{H} is equal to its radical $\sqrt{\alpha}$,*
- and (ii) *\mathfrak{H} is the set of the elements D in $\mathfrak{H}(G)$ such that $D(\alpha)=0$.*

PROOF. It is sufficient to see the “if” part. We assume that \mathfrak{H} satisfies (i) and (ii) in our theorem. Then, by Proposition 7, α satisfies the condition in Corollary of Lemma 12 and hence, by the corollary, α is a prime ideal corresponding to a group subvariety H of G , since $\alpha = \sqrt{\alpha}$. This means that \mathfrak{H} is a Hopf algebra attached to H by the condition (ii). q.e.d.

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