

Immersions and Embeddings of Lens Spaces

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§1. Introduction

Throughout this note q will denote an odd integer >1 , and n a positive integer. Let $L^n(q)$ be the $(2n+1)$ -dimensional standard lens space mod q , and let $L^\infty(q)$ be $\bigvee_n L^n(q)$, which is the Eilenberg-MacLane space $K(Z_q, 1)$, where Z_q is a cyclic group of order q . Denote by $\iota (\in H^1(K(Z_q, 1); Z_q) \cong Z_q)$ the fundamental class of $K(Z_q, 1)$. The element $y_n (\in H^1(L^n(q); Z_q) \cong Z_q)$ is called the distinguished generator if $y_n = i^* \iota$, where $i^*: H^1(K(Z_q, 1); Z_q) \rightarrow H^1(L^n(q); Z_q)$ is the isomorphism induced by the natural inclusion $i: L^n(q) \rightarrow L^\infty(q) = K(Z_q, 1)$.

For a given $d \in Z_q$, a continuous map $f: L^n(q) \rightarrow L^m(q)$ is said to have degree $d (= \deg(f))$, if $f^* y_m = d y_n$, where $f^*: H^1(L^m(q); Z_q) \rightarrow H^1(L^n(q); Z_q)$ is the homomorphism induced by f , and where y_n and y_m are the distinguished generators. If $n < m$, the set of homotopy classes of maps of $L^n(q)$ in $L^m(q)$ is in one-to-one correspondence with $H^1(L^n(q); Z_q) (\cong Z_q)$. Thus the homotopy class of a map $f: L^n(q) \rightarrow L^m(q)$, $n < m$, is completely characterized by $\deg(f)$.

The first purpose of this paper is to consider the question: "Which homotopy classes of continuous maps $L^n(q) \rightarrow L^m(q)$ contain a differentiable immersion (or a differentiable embedding)?"

S. Feder has investigated in [2] the question on complex projective spaces and H. Suzuki has studied in [10] and [11] the question in the case of higher order non-singular immersions for projective spaces. The problem for general manifolds is treated by E. Thomas [13], [14] and M. Adachi [1].

By the work of M. W. Hirsch [3] and D. Sjerve [8] we see that any map $L^n(q) \rightarrow L^m(q)$ is homotopic to an immersion for $m \geq n + [n/2] + 1$ if q is odd (cf. §2). For $m \leq n + [n/2]$, we have the following results.

THEOREM A. *Let q be an odd integer >1 , and let k be an integer with $0 < k \leq [n/2]$. If a map $f: L^n(q) \rightarrow L^{n+k}(q)$ with degree d is homotopic to an immersion, then*

$$\sum_{i+j=k} (-1)^i \binom{n+i}{i} \binom{n+k+1}{j} d^{2j}$$

is a quadratic residue mod q .

COROLLARY A. *If $(-1)^{\lfloor n/2 \rfloor} \binom{n + \lfloor n/2 \rfloor}{\lfloor n/2 \rfloor}$ is not a quadratic residue mod q , then a map $L^n(q) \rightarrow L^{n + \lfloor n/2 \rfloor}(q)$ with degree 0 is not homotopic to an immersion.*

THEOREM B. *Let p be an odd prime, and let k be an integer such that $0 < k < \lfloor n/2 \rfloor$. Assume that $\binom{n+l}{j} \equiv 0 \pmod p$ for some l and j with $k < l \leq j \leq \lfloor n/2 \rfloor$. Then a map $f: L^n(p) \rightarrow L^{n+k}(p)$ is homotopic to an immersion if and only if $\deg(f) = \pm 1$.*

COROLLARY B. *Suppose p is an odd prime and $\binom{n + \lfloor n/2 \rfloor}{\lfloor n/2 \rfloor} \equiv 0 \pmod p$. Then a map $f: L^n(p) \rightarrow L^{n + \lfloor n/2 \rfloor - 1}(p)$ is homotopic to an immersion if and only if $\deg(f) = \pm 1$.*

According to D. Sjerve [9, Th. (4.7)], for an odd prime p there are examples of immersions of $L^n(p)$ in $L^{n + \lfloor n/2 \rfloor - 1}(p)$ with degree 0, if $\binom{n + \lfloor n/2 \rfloor}{\lfloor n/2 \rfloor} \equiv 0 \pmod p$.

As for embeddings, we get the following

THEOREM C. *Let q be an odd integer > 1 , and let k be an integer with $0 < k \leq \lfloor n/2 \rfloor$. If a map $f: L^n(q) \rightarrow L^{n+k}(q)$ with degree d is homotopic to an embedding, then the following congruence holds:*

$$\sum_{i+j=k} (-1)^i \binom{n+i}{i} \binom{n+k+1}{j} d^{2j} \equiv d^{2n+2k+2} \pmod q.$$

COROLLARY C. *If $\binom{n + \lfloor n/2 \rfloor}{\lfloor n/2 \rfloor} \equiv 0 \pmod q$, then a map $L^n(q) \rightarrow L^{n + \lfloor n/2 \rfloor}(q)$ with degree 0 is not homotopic to an embedding.*

It is well-known that for an odd integer q there is no embedding of $L^n(q)$ in Euclidean $2n + 2\lfloor n/2 \rfloor + 1$ space $R^{2n+2\lfloor n/2 \rfloor+1}$ if $\binom{n + \lfloor n/2 \rfloor}{\lfloor n/2 \rfloor} \equiv 0 \pmod q$.

The second object of this note is to discuss the embeddability of $L^n(5)$ in Euclidean space. We have

THEOREM D. *If $n = 3 \cdot 5^{t+1} + 5^t$, then $L^n(5)$ cannot be embedded in R^{3n+2} .*

Recently, E. Rees has proved in [7, Th.1] that a smooth odd torsion manifold M of dimension m (i.e., a closed, smooth m -manifold such that $H_i(M; Z) \otimes Z_2 = 0$ for $0 < i < m$) can be embedded in R^r for every $r \geq 3(m+1)/2$. The result of E. Rees is seen to be the best possible general result for odd dimensional manifolds by the above theorem, because the mod 5 lens space is an odd torsion manifold.

In §2 some preliminaries are given. The proofs of Theorems A and B are carried out in §3, and Theorem C is proved in §4, by the technique of

S. Feder [2, Part I]. In §5 we verify Theorem D by making use of W.S. Massey's subalgebra (cf. [4], [5] and [6]).

§2. Preliminaries

Let X be a finite CW-complex. It is said that a stable bundle $\alpha \in \widetilde{KO}(X)$ has geometric dimension $\leq k$ if there is a k -plane bundle β over X such that $\alpha + k = \beta$. For a smooth manifold M we denote by $\tau(M)$ the tangent bundle and $\tau_0(M) (= \tau(M) - \dim M)$ the stable tangent bundle. The following theorem of M.W. Hirsch [3] reduces the problem to that in homotopy theory.

(2.1) THEOREM (M.W. Hirsch). *Let N and M be smooth manifolds with $\dim N < \dim M$, and $f: N \rightarrow M$ be a continuous map. Then f is homotopic to an immersion if and only if the stable bundle $f^! \tau_0(M) - \tau_0(N)$ has geometric dimension $\leq \dim M - \dim N$.*

As for the geometric dimension of the stable bundle over the lens space, D. Sjerve [8] obtains the following result.

(2.2) THEOREM (D. Sjerve). *Let q be an odd integer > 1 , and $\pi: S^{2n+1} \rightarrow L^n(q)$ be the natural projection. If $\xi \in \widetilde{KO}(L^n(q)) \cap \text{Ker } \pi^!$, then $g \cdot \dim \xi \leq 2\lfloor n/2 \rfloor + 1$.*

The next result is a consequence of (2.1) and (2.2).

(2.3) PROPOSITION. *Suppose q is odd. If $m \geq n + \lfloor n/2 \rfloor + 1$, any map $f: L^n(q) \rightarrow L^m(q)$ is homotopic to an immersion.*

PROOF. By (2.1) it is sufficient to show that the stable bundle $f^! \tau_0(L^m(q)) - \tau_0(L^n(q))$ has geometric dimension $\leq 2m - 2n$.

There is a map \bar{f} which satisfies the following commutative diagram :

$$\begin{array}{ccc} S^{2n+1} & \xrightarrow{\bar{f}} & S^{2m+1} \\ \downarrow \pi & & \downarrow \pi' \\ L^n(q) & \xrightarrow{f} & L^m(q) \end{array}$$

where π and π' are the natural projections. Thus we have

$$\begin{aligned} \pi^!(f^! \tau_0(L^m(q)) - \tau_0(L^n(q))) &= \bar{f}^! \pi'^! \tau_0(L^m(q)) - \pi^! \tau_0(L^n(q)) \\ &= \bar{f}^! \tau_0(S^{2m+1}) - \tau_0(S^{2n+1}) = 0, \end{aligned}$$

since the sphere is stably parallelizable. Hence, by (2.2),

$$g \cdot \dim (f^! \tau_0(L^m(q)) - \tau_0(L^n(q))) \leq 2\lfloor n/2 \rfloor + 1 < 2m - 2n. \quad \text{q. e. d.}$$

Let $\Delta : H^1(L^n(q); Z_q) \rightarrow H^2(L^n(q); Z_q)$ be the Bockstein homomorphism associated with the coefficient sequence: $0 \rightarrow Z_q \rightarrow Z_{q^2} \rightarrow Z_q \rightarrow 0$. For the distinguished generator $y_n \in H^1(L^n(q); Z_q)$, put $x_n = \Delta y_n \in H^2(L^n(q); Z_q)$, which is also called the distinguished generator. Since the Bockstein homomorphism is natural for maps and the above Δ is isomorphic, we have

(2.4) LEMMA. *A map $f: L^n(q) \rightarrow L^m(q)$ has degree $d \in Z_q$ if and only if $f^*x_m = dx_n$.*

Hereafter, we shall omit the subscripts and write x and y instead of x_n and y_n , respectively.

As is well-known, the cohomology algebra $H^*(L^n(q); Z_q)$ is the tensor product of the exterior algebra on y and the truncated polynomial algebra on x with relations: $\Delta y = x$ and $x^{n+1} = 0$.

The mod q Pontrjagin class p_i and mod q dual Pontrjagin class \bar{p}_i of $L^n(q)$ are given by the following equations [12, Cor. 3.2]:

$$(2.5) \quad p = \sum_{i=0}^{\lfloor n/2 \rfloor} p_i = (1 + x^2)^{n+1},$$

$$(2.6) \quad \bar{p} = \sum_{i=0}^{\lfloor n/2 \rfloor} \bar{p}_i = (1 + x^2)^{-n-1} = \sum_{i=0}^{\lfloor n/2 \rfloor} (-1)^i \binom{n+i}{i} x^{2i}.$$

§3. Immersions of $L^n(q)$ in $L^m(q)$

(3.1) LEMMA. *Let $g: L^n(q) \rightarrow L^{n+k}(q)$, $k > 0$, be an immersion with degree $d \in Z_q$. Denote by $\nu(g)$ the normal bundle of g . Then the Pontrjagin class $p(\nu(g))$ is given by the following:*

$$\begin{aligned} p(\nu(g)) &= \sum_{i=0}^{\lfloor n/2 \rfloor} \left\{ \sum_{t+j=i} (-1)^i \binom{n+i}{i} \binom{n+k+1}{j} d^{2j} \right\} x^{2i} \\ &= \sum_{i=0}^{\lfloor n/2 \rfloor} \binom{n+k+1}{i} (d^2 - 1)^i x^{2i} (1 + x^2)^{k-i}. \end{aligned}$$

PROOF. By the assumption we have the Whitney sum decomposition:

$$\nu(g) \oplus \tau(L^n(q)) = g^* \tau(L^{n+k}(q)).$$

Since $H^*(L^n(q); Z)$ has no 2-torsion for odd q , it holds that

$$p(\nu(g))p(\tau(L^n(q))) = g^*p(\tau(L^{n+k}(q))).$$

By (2.5) and (2.4), we obtain

$$p(\nu(g)) = (g^*(1 + x^2)^{n+k+1})(1 + x^2)^{-n-1} = (1 + d^2x^2)^{n+k+1}(1 + x^2)^{-n-1}$$

$$\begin{aligned} &= \sum_{j=0}^{\lfloor n/2 \rfloor} \binom{n+k+1}{j} d^{2j} x^{2j} \sum_{i=0}^{\lfloor n/2 \rfloor} \binom{-n-1}{i} x^{2i} \\ &= \sum_{i=0}^{\lfloor n/2 \rfloor} \left\{ \sum_{i+j=t} (-1)^i \binom{n+i}{i} \binom{n+k+1}{j} d^{2j} \right\} x^{2t}. \end{aligned}$$

Also we have

$$\begin{aligned} p(\nu(g)) &= (1+x^2+(d^2-1)x^2)^{n+k+1}(1+x^2)^{-n-1} \\ &= \sum_{i=0}^{\lfloor n/2 \rfloor} \binom{n+k+1}{i} (d^2-1)^i x^{2i} (1+x^2)^{k-i} \end{aligned} \quad \text{q. e. d.}$$

PROOF OF THEOREM A. Suppose that the map f is homotopic to an immersion $g: L^n(q) \rightarrow L^{n+k}(q)$. Then $\deg(g) = \deg(f) = d$. Let $\nu(g)$ be the normal bundle of g . By (3.1) we have

$$p_k(\nu(g)) = \sum_{i+j=k} (-1)^i \binom{n+i}{i} \binom{n+k+1}{j} d^{2j} x^{2k}.$$

On the other hand, $p_k(\nu(g)) = x(\nu(g))^2$, where $x(\nu(g))$ is the Euler class of $\nu(g)$. Thus we obtain the desired result. q. e. d.

Let $S^{2n+1} = \{(z_0, \dots, z_n \in C^{n+1} \mid \sum_{i=0}^n |z_i|^2 = 1\}$ be the unit sphere in complex $(n+1)$ -space C^{n+1} . The image of (z_0, \dots, z_n) by the natural projection $S^{2n+1} \rightarrow L^n(q)$ is denoted by $[z_0, \dots, z_n]$.

PROOF OF THEOREM B. Suppose that the map f is homotopic to an immersion $g: L^n(p) \rightarrow L^{n+k}(p)$. Then $\deg(g) = \deg(f) = d$. Let $i: L^{n+k}(p) \rightarrow L^{n+l-1}(p)$ be the standard inclusion defined by $i[z_0, \dots, z_{n+k}] = [z_0, \dots, z_{n+k}, \overbrace{0, \dots, 0}^{l-k-1}]$. Then, clearly, the composite map $ig: L^n(p) \rightarrow L^{n+l-1}(p)$ is an immersion with degree d . Since the normal bundle $\nu(ig)$ is $(2l-2)$ -dimensional and p is odd, we must have $p_j(\nu(ig)) = 0$ for $j \geq l$. Thus it follows from the second equality of (3.1) that

$$p_j(\nu(ig)) = \sum_{i=1}^j \binom{n+l}{i} \binom{l-1-i}{j-i} (d^2-1)^i x^{2j} = 0, \quad j \geq l.$$

A simple calculation shows that, for any j with $l \leq j \leq \lfloor n/2 \rfloor$,

$$\binom{n+l}{j} (d^2-1)^j \equiv 0 \pmod{p}.$$

By the assumption, we see that $d = \pm 1$, because p is a prime.

Conversely, if $\deg(f) = 1$, f is homotopic to the standard inclusion which is obviously an immersion with degree 1, and if $\deg(f) = -1$, f is homotopic to the map $t: L^n(p) \rightarrow L^{n+k}(p)$ defined by $t[z_0, \dots, z_n] = [\bar{z}_0, \dots, \bar{z}_n, \overbrace{0, \dots, 0}^k]$ which is seen to be an immersion with degree -1 . q. e. d.

§ 4. Embeddings of $L^n(q)$ in $L^m(q)$

(4.1) LEMMA. If $g: L^n(q) \rightarrow L^{n+k}(q)$, $k > 0$, is an embedding with degree d , then the mod q Euler class $x(\nu(g))$ of the normal bundle $\nu(g)$ of g is equal to $d^{n+k+1}x^k$.

PROOF. Let $g_*: H^0(L^n(q); Z_q) \rightarrow H^{2k}(L^{n+k}(q); Z_q)$ be the Gysin homomorphism defined by the following commutative diagram:

$$(4.2) \quad \begin{array}{ccc} H^0(L^n(q); Z_q) & \xrightarrow{g_*} & H^{2k}(L^{n+k}(q); Z_q) \\ \downarrow & & \downarrow \\ H_{2n+1}(L^n(q); Z_q) & \xrightarrow{g_*} & H_{2n+1}(L^{n+k}(q); Z_q) \end{array}$$

where the vertical maps are the Poincaré duality isomorphisms, and the lower horizontal map is the homomorphism induced by g . Then, according to S. Feder [2, Th. 1.3], we have

$$x(\nu(g)) = g_* g_*(1) = g_*(d^{n+1}x^k) = d^{n+k+1}x^k,$$

by (4.2), (2.4) and the definition of the degree. q. e. d.

PROOF OF THEOREM C. Suppose that the map f is homotopic to an embedding $g: L^n(q) \rightarrow L^{n+k}(q)$. Then $\deg(g) = \deg(f) = d$. By the first equality of (3.1) we have

$$p_k(\nu(g)) = \sum_{i+j=k} (-1)^i \binom{n+i}{i} \binom{n+k+1}{j} d^{2j} x^{2k}.$$

On the other hand, (4.1) shows that

$$p_k(\nu(g)) = x(\nu(g))^2 = d^{2n+2k+2} x^{2k}.$$

Thus we get the desired formula. q. e. d.

§ 5. Embeddability of $L^n(5)$ in R^m

First, we recall the properties of W.S. Massey's subalgebra. Suppose that a compact, connected, smooth manifold M of dimension m is embedded differentiably in $(m+l)$ -sphere S^{m+l} . Let E be the total space of the normal S^{l-1} -bundle associated with the embedding, and let $p: E \rightarrow M$ be the projection. Then there is a subalgebra $A^* = \Sigma A^i$ of $H^*(E; Z_q)$ satisfying the following properties (cf. [4] and [5]):

- (5.1) A^* is closed under cohomology operations.

(5.2) The following direct sum decomposition holds:

$$H^i(E; Z_q) = p^*H^i(M; Z_q) + A^i, \quad 0 < i < m + l - 1.$$

(5.3) $A^i = 0$ for $i \geq m + l - 1$.

PROOF OF THEOREM D. Suppose that $L^n(5)$ is embedded differentially in R^{3n+2} . We may assume that $L^n(5)$ is embedded differentially in S^{3n+2} . Let E be the total space of the normal S^n -bundle associated with the embedding, and let $p: E \rightarrow L^n(5)$ be the projection. Then there exists a subalgebra $A^* = \Sigma A^i$ of $H^*(E; Z_5)$ which satisfies the properties (5.1-3) for $M = L^n(5)$ and $l = n + 1$.

Since the Euler class of the normal bundle of the embedding vanishes, the Gysin sequence breaks up into pieces of length 3 as follows:

$$0 \longrightarrow H^i(L^n(5); Z_5) \xrightarrow{p^*} H^i(E; Z_5) \xrightarrow{\psi} H^{i-n}(L^n(5); Z_5) \longrightarrow 0.$$

Let $a \in H^n(E; Z_5)$ be an element such that $\psi(a) = 1 \in H^0(L^n(5); Z_5)$. Then we have the following direct sum decomposition (cf. [4, §8]):

(5.4) $H^i(E; Z_5) = p^*H^i(L^n(5); Z_5) + a \cdot p^*H^{i-n}(L^n(5); Z_5)$.

We may assume that $a \in A^n$. Then, by (5.1), $a^2 \in A^{2n}$. By (5.4), there exist unique elements $\alpha \in H^{2n}(L^n(5); Z_5)$ and $\beta \in H^n(L^n(5); Z_5)$ such that

(5.5) $a^2 = p^*\alpha + a \cdot p^*\beta$.

According to [4, Th. IV], it holds that $4\alpha + \beta^2 = \bar{p}_{n|2}$, and hence

(5.6) $\beta^2 = x^n + \alpha$,

because $\bar{p}_{n|2} = x^n$. It follows from (5.2) and (5.4) that

(5.7) $A^i \cong H^{i-n}(L^n(5); Z_5) \cong Z_5$ for $n \leq i \leq 3n$.

Note that $\alpha = \pm x^n, \pm 2x^n$ or 0 . If $\alpha = x^n$ or $2x^n$, then $\beta^2 = 2x^n$ or $-2x^n$, respectively, by (5.6). But this is impossible. If $\alpha = -x^n$, then $\beta = 0$, by (5.6), and so, $a^2 = -p^*x^n \neq 0$, by (5.5). This is inconsistent with the fact that $a^2 \in A^{2n}$ and the direct sum decomposition (5.2).

Assume that $\alpha = -2x^n$. Then, by (5.6), $\beta = \pm 2x^{n/2}$. Thus, by (5.5),

$$a^2 = p^*(-2x^n) + a \cdot p^*(\pm 2x^{n/2}).$$

Let $p^*u + a \cdot p^*x^{n/2}y$ be a non-zero element of A^{2n+1} , where $u \in H^{2n+1}(L^n(5); Z_5)$. Then $a(p^*u + a \cdot p^*x^{n/2}y) = a \cdot p^*(u \pm 2x^n y) \in A^{3n+1}$. Since $A^n \cdot A^{2n+1} \subset A^{3n+1} = 0$ by (5.3), we have $u = \mp 2x^n y$. Therefore, we see, by (5.7), that A^{2n+1} is generated by $p^*(\mp 2x^n y) + a \cdot p^*x^{n/2}y$.

Put $s = n/8 = 2 \cdot 5^t$, and let $\mathcal{P}^s: A^n \rightarrow A^{2n}$ be the reduced power operation mod 5. According to [6, Th. 1], we have

$$\mathcal{P}^s a = p^* \alpha_s + a \cdot p^* Q_s,$$

where α_s is an element of $H^{2n}(L^n(5); Z_5)$, and where $Q_s \in H^n(L^n(5); Z_5)$ is the characteristic class defined by $Q_s = \phi^{-1} \mathcal{P}^s U$ (ϕ is the Thom isomorphism of the normal bundle and U is its Thom class). By an easy calculation, we can see that $Q_s = -2x^{n/2}$. Finally, consider the operation $\mathcal{P}^s: A^{2n+1} \rightarrow A^{3n+1}$. Then, $\mathcal{P}^s(p^*(\mp 2x^n y) + a \cdot p^* x^{n/2} y) = a \cdot p^* x^n y \neq 0$. While, $A^{3n+1} = 0$, by (5.3). This is a contradiction.

In the case $\alpha = 0$, we get a contradiction in the same way. q. e. d.

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