

## *A Note on Coreflexive Coalgebras*

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### **Introduction**

E. J. Taft [6] has introduced the concept of coreflexive coalgebras. Finite-dimensional coalgebras are coreflexive and the coalgebra of divided powers is coreflexive. The latter is a cocommutative coconnected coalgebra and its space of primitive elements is 1-dimensional. Taft has shown that if a cocommutative coconnected coalgebra is coreflexive, then the space of primitive elements is finite-dimensional. In this paper we show the converse of this result.

To this end, following D. E. Radford's idea in discussing coreflexivity in [3], we introduce a topology in the dual algebra of a coalgebra and give a necessary and sufficient condition for a coalgebra to be coreflexive.

Throughout this paper we employ the notations and terminology used in [4] and [6]. All vector spaces are over a fixed field  $k$ . For a vector space  $V$  and a subspace  $X$  of  $V$

$$X^\perp = \{v^* \in V^* : \langle v^*, X \rangle = 0\}$$

and for a subspace  $Y$  of  $V^*$

$$Y^\perp = \{v \in V : \langle Y, v \rangle = 0\}.$$

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1. The following lemma was indicated in [4], p. 240.

**LEMMA 1.** *Let  $\{C_\mu, \sigma_\nu^\mu\}$  be an inductive system with a directed set  $M$ . If every  $C_\mu$  has a coalgebra structure and every  $\sigma_\nu^\mu$  is a coalgebra map, then  $C = \varinjlim C_\mu$  has a coalgebra structure such that every canonical map  $\sigma^\mu: C_\mu \rightarrow C$  is a coalgebra map.*

*Furthermore, the dual algebra  $C^*$  is isomorphic to  $\varinjlim C_\mu^*$  as algebras by the canonical map.*

**PROOF.** We denote by  $\Delta_\mu$  and  $\varepsilon_\mu$  the coalgebra structure of  $C_\mu$ . Since  $\sigma_\nu^\mu$  is a coalgebra map the maps  $\Delta_\mu$  induce a map  $\Delta': C \rightarrow \varinjlim (C_\mu \otimes C_\mu)$  such that

$$\begin{array}{ccc}
C & \longrightarrow & \varinjlim (C_\mu \otimes C_\mu) \\
\sigma^\mu \uparrow & & \uparrow \text{cano.} \\
C_\mu & \longrightarrow & C_\mu \otimes C_\mu
\end{array}$$

is a commutative diagram. Further, we have a map  $\theta$  induced by  $\sigma^\mu \otimes \sigma^\mu: C_\mu \otimes C_\mu \rightarrow C \otimes C$  such that a diagram

$$\begin{array}{ccc}
\varinjlim (C_\mu \otimes C_\mu) & \xrightarrow{\theta} & C \otimes C \\
\text{cano.} \swarrow & & \nearrow \sigma^\mu \otimes \sigma^\mu \\
& C_\mu \otimes C_\mu &
\end{array}$$

commutes. Put  $\Delta = \theta \Delta'$ . Then the following diagram commutes:

$$\begin{array}{ccc}
C & \xrightarrow{\Delta} & C \otimes C \\
\sigma^\mu \uparrow & & \uparrow \sigma^\mu \otimes \sigma^\mu \\
C_\mu & \longrightarrow & C_\mu \otimes C_\mu
\end{array}$$

Similarly, we have a map  $\varepsilon$  such that a diagram

$$\begin{array}{ccc}
C & \xrightarrow{\varepsilon} & k \\
\sigma^\mu \swarrow & & \nearrow \varepsilon_\mu \\
& C_\mu &
\end{array}$$

commutes. It is then easily verified that  $(C, \Delta, \varepsilon)$  is a coalgebra.

Let  $\phi: C^* \rightarrow \varinjlim C_\mu^*$  be the canonical linear isomorphism. Then for  $c^* \in C^*$ ,  $d^* \in C^*$ , we have

$$\begin{aligned}
\sigma_\mu \phi(c^* d^*) &= (\sigma^\mu)^*(c^* d^*) = (\sigma^\mu)^*(c^*) (\sigma^\mu)^*(d^*) \\
&= \sigma_\mu \phi(c^*) \sigma_\mu \phi(d^*), \\
\sigma_\mu \phi \varepsilon &= (\sigma^\mu)^* \varepsilon = \varepsilon \sigma^\mu = \varepsilon_\mu,
\end{aligned}$$

where  $\sigma_\mu$  denotes the projection of  $\varinjlim C_\mu^*$  to  $C_\mu^*$ .

q. e. d.

Let  $A$  be an algebra and let  $\mathfrak{R}$  be the Jacobson radical of  $A$ . If  $\sigma_m^n$  denotes the canonical map of  $A/\mathfrak{R}^m$  to  $A/\mathfrak{R}^n$ , then  $\{A/\mathfrak{R}^n, \sigma_m^n\}$  and  $\{(A/\mathfrak{R}^n)^{0*}, (\sigma_m^n)^{0*}\}$  are projective systems and  $\{(A/\mathfrak{R}^n)^0, (\sigma_m^n)^0\}$  is an inductive system.

LEMMA 2. Let  $\pi_n: A \rightarrow A/\mathfrak{R}^n$  be the canonical map, and let  $\psi$  be a map induced by  $(\pi_n)^0: (A/\mathfrak{R}^n)^0 \rightarrow A^0$  such that a diagram

$$\begin{array}{ccc}
\varinjlim (A/\mathfrak{R}^n)^0 & \xrightarrow{\psi} & A^0 \\
\sigma^n \swarrow & & \nearrow (\pi_n)^0 \\
& (A/\mathfrak{R}^n)^0 &
\end{array}$$

commutes. Then  $\psi$  is a coalgebra isomorphism.

PROOF. We denote the coalgebra structures of  $\varinjlim (A/\mathfrak{R}^n)^0$ ,  $A^0$  and  $(A/\mathfrak{R}^n)^0$  by  $\{\Delta, \varepsilon\}$ ,  $\{\bar{\Delta}, \bar{\varepsilon}\}$  and  $\{\Delta_n, \varepsilon_n\}$  respectively. First we show that  $\psi$  is a coalgebra map. If  $a^0 \in \varinjlim (A/\mathfrak{R}^n)^0$ , then  $\sigma^n(a_n^0) = a^0$  for some  $n$  and  $a_n^0 \in (A/\mathfrak{R}^n)^0$ . We have

$$\begin{aligned} \bar{\Delta}\psi(a^0) &= \bar{\Delta}\psi\sigma^n(a_n^0) = \bar{\Delta}(\pi_n)^0(a_n^0) \\ &= ((\pi_n)^0 \otimes (\pi_n)^0)\Delta_n(a_n^0) \\ &= (\psi \otimes \psi)(\sigma^n \otimes \sigma^n)\Delta_n(a_n^0) \\ &= (\psi \otimes \psi)\Delta\sigma^n(a_n^0) \\ &= (\psi \otimes \psi)\Delta(a^0), \\ \bar{\varepsilon}\psi(a^0) &= \bar{\varepsilon}\psi\sigma^n(a_n^0) = \bar{\varepsilon}(\pi_n)^0(a_n^0) \\ &= \varepsilon_n(a_n^0) = \varepsilon\sigma^n(a_n^0) \\ &= \varepsilon(a^0). \end{aligned}$$

Since  $(\pi_n)^0$  is injective,  $\psi$  is injective. Finally, we show that  $\psi$  is surjective. Let  $a^0 \in A^0$  and let  $\mathfrak{a}$  be a cofinite ideal contained in  $\text{Ker } a^0$ . Then for some  $n > 0$   $\mathfrak{R}^n \subseteq \mathfrak{a}$  and so  $\mathfrak{a}^\perp \subseteq (\mathfrak{R}^n)^\perp$ . We take an  $a_n^0 \in (A/\mathfrak{R}^n)^0$  such that  $(\pi_n)^0(a_n^0) = a^0$ . Then  $a^0 = \psi\sigma^n(a_n^0)$ . This completes the proof.

REMARK. By the last part of the above proof, it is clear that if  $A$  is a proper algebra then  $\bigcap_{n \geq 0} \mathfrak{R}^n = \{0\}$ . This is an extended result of Theorem 2.5 (b) in [1].

By Lemma 1,  $\phi: (\varinjlim (A/\mathfrak{R}^n)^0)^* \rightarrow \varinjlim (A/\mathfrak{R}^n)^0^*$  is an algebra isomorphism. Let  $\Lambda$  be a map induced by  $\Lambda_{A/\mathfrak{R}^n}$  such that a diagram

$$\begin{array}{ccc} \varinjlim A/\mathfrak{R}^n & \xrightarrow{\Lambda} & \varinjlim (A/\mathfrak{R}^n)^0^* \\ \text{proj.} \downarrow & & \downarrow \text{proj.} \\ A/\mathfrak{R}^n & \xrightarrow{\Lambda_{A/\mathfrak{R}^n}} & (A/\mathfrak{R}^n)^0^* \end{array}$$

commutes. We denote by  $\Phi$  the composite map

$$\begin{aligned} \varinjlim A/\mathfrak{R}^n &\longrightarrow \varinjlim (A/\mathfrak{R}^n)^0^* \\ &\xrightarrow{\phi^{-1}} (\varinjlim (A/\mathfrak{R}^n)^0)^* \xrightarrow{(\psi^{-1})^*} A^{0*}. \end{aligned}$$

LEMMA 3. The diagram

$$\begin{array}{ccc} A & \xrightarrow{\Lambda_A} & A^{0*} \\ \pi \searrow & & \nearrow \Phi \\ & \varinjlim A/\mathfrak{R}^n & \end{array}$$

commutes, where  $\pi$  denotes the canonical map.

PROOF. For  $a \in A$  and  $a^0 \in A^0$  we have

$$\begin{aligned} \langle \Phi\pi(a), a^0 \rangle &= \langle (\psi^{-1})^* \phi^{-1} \Lambda\pi(a), a^0 \rangle \\ &= \langle \phi^{-1} \Lambda\pi(a), \psi^{-1}(a^0) \rangle. \end{aligned}$$

Since  $\psi^{-1}(a^0) = \sigma^n(a_n^0)$  for some  $a_n^0 \in (A/\mathfrak{R}^n)^0$ ,

$$\begin{aligned} \langle \Phi\pi(a), a^0 \rangle &= \langle (\sigma^n)^* \phi^{-1} \Lambda\pi(a), a_n^0 \rangle \\ &= \langle (\Lambda_{A/\mathfrak{R}^n})\pi_n(a), a_n^0 \rangle \\ &= \langle a_n^0, \pi_n(a) \rangle = \langle (\pi_n)^0(a_n^0), a \rangle \\ &= \langle \psi\sigma^n(a_n^0), a \rangle = \langle a^0, a \rangle \\ &= \langle \Lambda_A(a), a^0 \rangle. \end{aligned} \quad \text{q. e. d.}$$

2. Let  $A$  be an algebra. Then  $\mathfrak{A}[A] = \{a : a \text{ is a cofinite ideal of } A\} \neq \emptyset$  and is a filter base, and so we can define a uniform topology on  $A$ . With this topology  $A$  is a topological algebra. If  $A$  is proper then it is a Hausdorff space. We denote the closure of a subset  $X$  of  $A$  by  $\bar{X}$ . We can prove the following lemma by a way similar to Lemma 2.

LEMMA 4. *Let  $A$  be a proper algebra. Then  $\varinjlim (A/\mathfrak{R}^n)^0$  is isomorphic to  $A^0$  as coalgebras.*

Let  $C$  be a coalgebra and let  $R$  be its coradical. Then  $C^*$  is a proper algebra and  $R^\perp$  is the Jacobson radical of  $C^*$  ([1], Theorem 2.5). We introduce into  $C^*$  the linear weak topology determined by a dual pair  $\langle C, C^* \rangle$ . If  $X$  is a subspace of  $C^*$ , then the linear weak closure of  $X$  is  $X^{\perp\perp}$  and clearly  $\bar{X} \subseteq X^{\perp\perp}$ . In particular, if  $C$  is a coreflexive coalgebra then  $\bar{X} = X^{\perp\perp}$ . With this topology  $C^*$  is again a topological algebra. We define  $C_n = \bigwedge^{n+1} R$ ,  $n \geq 0$ , as usual.

Let  $\iota_n: C_n \rightarrow C$  be the inclusion map. Then we have the maps  $\Psi$  and  $\lambda$  induced respectively by  $(\iota_n)^{*0}: (C_n)^{*0} \rightarrow C^{*0}$  and  $\Lambda_{C_n}: C_n \rightarrow C_n^{*0}$  such that a diagram

$$\begin{array}{ccccc} C & \xrightarrow{\lambda} & \varinjlim C_n^{*0} & \xrightarrow{\Psi} & C^{*0} \\ \uparrow \iota_n & & \uparrow \text{cano.} & \nearrow (\iota_n)^{*0} & \\ C_n & \xrightarrow{\Lambda_{C_n}} & C_n^{*0} & & \end{array}$$

commutes. Then the following lemma is easily verified.

LEMMA 5. *A diagram*

$$\begin{array}{ccc}
 C & \xrightarrow{\Lambda_C} & C^{*0} \\
 \lambda \searrow & & \nearrow \Psi \\
 & \varinjlim C_n^{*0} &
 \end{array}$$

commutes.

**THEOREM 6.** *Let  $C$  be a coalgebra. Then  $C$  is coreflexive if and only if (i) all  $C_n$  are coreflexive and (ii)  $C_n^\perp = \overline{\mathfrak{R}^{n+1}}$ , where  $\mathfrak{R}$  denotes the Jacobson radical of  $C^*$ .*

**PROOF.** Suppose that  $C$  is coreflexive. Then (i) is clear by Proposition 6.4 of [6]. We show (ii) by induction. If  $n=1$ , then by Proposition 9.0.0 b) of [4]

$$C_1^\perp = (R \wedge R)^\perp = (R^\perp R^\perp)^{\perp\perp} = (\mathfrak{R}^2)^{\perp\perp} = \overline{\mathfrak{R}^2}.$$

Assuming (ii) for  $n-1$  we get

$$\begin{aligned}
 C_n^\perp &= (R \wedge C_{n-1})^\perp = (R^\perp(C_{n-1})^\perp)^{\perp\perp} = (\mathfrak{R}\overline{\mathfrak{R}^n})^{\perp\perp} \\
 &= \overline{\mathfrak{R}\overline{\mathfrak{R}^n}} = \overline{\mathfrak{R}^{n+1}}.
 \end{aligned}$$

Conversely, we assume the conditions (i) and (ii). We prove the coreflexivity of  $C$ . First we show that  $\Psi$  is an isomorphism. The composite

$$C^* \xrightarrow{\text{cano.}} C^*/\overline{\mathfrak{R}^{n+1}} = C^*/C_n^\perp \xrightarrow{\text{cano.}} C_n^*$$

coincides with  $\iota_n^*$ , and so by the universality of inductive systems and by a commutative diagram

$$\begin{array}{ccccc}
 C_n^{*0} & \xrightarrow{\text{iso.}} & (C^*/\overline{\mathfrak{R}^{n+1}})^0 & \longrightarrow & C^{*0} \\
 \downarrow & & \downarrow & & \nearrow \Psi \\
 \varinjlim C_n^{*0} & \xrightarrow{\text{iso.}} & \varinjlim (C^*/\overline{\mathfrak{R}^{n+1}})^0 & &
 \end{array}$$

the composite  $\varinjlim C_n^{*0} \rightarrow \varinjlim (C^*/\overline{\mathfrak{R}^{n+1}})^0 \rightarrow C^{*0}$  and  $\Psi$  coincide with each other. By assumption  $\Lambda_{C_n}$  is an isomorphism, and so  $\lambda$  is also an isomorphism. Thus we see that  $\Lambda_C$  is an isomorphism, i.e.,  $C$  is coreflexive. q.e.d.

**LEMMA 7.** *Let  $C$  be a cocommutative coalgebra satisfying the minimum condition on subcoalgebras. Then every ideal of  $C^*$  is linearly closed and  $C^*$  is a Noetherian algebra.*

**PROOF.** For  $c^* \in C^*$  we denote by  $c_R^*$  the right translation by  $c^*$ . Then  $c_R^*: C^* \rightarrow C^*$  is a continuous linear map with respect to the linear topology. Since  $C^*$  is linearly compact ([2], § 10, 10), this implies that the ideal generated by  $c^*$  is linearly closed. Hence it is sufficient for us to show the last part.

Let  $\mathfrak{a}$  be an ideal of  $C^*$ . Then  $\{\mathfrak{b} : \mathfrak{b} \text{ is a finitely generated ideal contained}$

in  $\mathfrak{a}$  is a non-empty family consisting of linearly closed ideals, and so it has a maximal element  $\mathfrak{a}' \subseteq \mathfrak{a}$  by assumption. Then  $\mathfrak{a}' = \mathfrak{a}$ . In fact, if  $\mathfrak{a}' \neq \mathfrak{a}$ , then for any  $c^* \in \mathfrak{a} - \mathfrak{a}'$ ,  $\mathfrak{a}' + (c^*)$  is a finitely generated ideal contained in  $\mathfrak{a}$  and contains  $\mathfrak{a}'$  properly. Thus we see that  $C^*$  is Noetherian. This completes the proof.

**THEOREM 8.** *Let  $C$  be a cocommutative coalgebra satisfying the minimum condition on subcoalgebras. Then  $C$  is coreflexive and  $C^*$  is  $\mathfrak{R}$ -adically complete, where  $\mathfrak{R}$  is the Jacobson radical of  $C^*$ .*

**PROOF.** By Lemma 7,  $(\mathfrak{R}^{n+1})^{\perp\perp} = \mathfrak{R}^{n+1}$ , and so  $C_n^\perp = (\mathfrak{R}^{n+1})^{\perp\perp} = \mathfrak{R}^{n+1} = \overline{\mathfrak{R}^{n+1}}$ . By assumption  $R$  is finite-dimensional, and so  $\mathfrak{R}$  is cofinite and all  $\mathfrak{R}^n$  are cofinite ideals. This implies that each  $C_n$  is finite-dimensional and therefore it is coreflexive. By Theorem 6, this implies that  $C$  is coreflexive.

Further since all  $\mathfrak{R}^n$  are cofinite,  $C^*/\mathfrak{R}^n$  are finite-dimensional and so they are reflexive algebras. Hence  $\Phi$  in Lemma 3 is an isomorphism. Therefore  $\pi: C^* \rightarrow \varinjlim C^*/\mathfrak{R}^n$  is also an isomorphism since so is  $\Delta_{C^*}$  ([6], Proposition 6.1). This completes the proof.

**COROLLARY 9.** (i) *Let  $C$  be a cocommutative coconnected coalgebra. Then  $C$  is coreflexive if and only if the space of primitive elements  $P(C)$  of  $C$  is finite-dimensional.*

(ii) *Let  $C_i$ ,  $i=1, 2, \dots, n$ , be cocommutative coconnected coalgebras. Then  $C_1 \otimes C_2 \otimes \dots \otimes C_n$  is coreflexive if and only if every  $C_i$  is coreflexive.*

**PROOF.** (i) By Heyneman's Theorem [5], if  $P(C)$  is finite-dimensional  $C$  satisfies the minimum condition on subcoalgebras. Hence  $C$  is coreflexive. The converse has been shown by Taft ([6], p. 1127).

(ii) By Corollary 11.0.7 of [4],  $P(C_1 \otimes \dots \otimes C_n) = P(C_1) \oplus \dots \oplus P(C_n)$ , and so this follows from (i). q. e. d.

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