

## On wild knots which are weakly tame

Osamu KAKIMIZU

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### 1. Introduction

In this paper, we are concerned mainly with knots, by which we mean topologically embedded circles in the 3-sphere  $S^3$ .

Let  $X$  be a subset of  $S^3$ . Then,  $X$  is *PL* if it is a subpolyhedron of  $S^3$ , *tame* if  $h(X)$  is PL for some homeomorphism  $h: S^3 \approx S^3$ , and *wild* if it is not tame. Furthermore,  $X$  is *locally tame* at  $x \in X$  if there are an open set  $V \ni x$  in  $S^3$  and a homeomorphism  $\phi: V \approx E^3$  such that  $\phi(V \cap X)$  is a subpolyhedron of  $E^3$  ( $E^n$  denotes the Euclidean  $n$ -space), and when  $X$  is a knot,  $X$  is *locally flat* at  $x \in X$  if  $\phi(V \cap X) = E^1$  in addition. For a knot  $J \subset S^3$ , we note that these local properties are equivalent to each other, and consider the closed subset

$$E(J) = \{x \in J \mid J \text{ is not locally tame at } x\} \subset J.$$

Then, Bing's theorem [2] says that  $J$  is tame if and only if  $E(J)$  is empty.

We shall say that a knot  $J \subset S^3$  is *weakly tame* if there is a PL knot  $K \subset S^3$  such that the complement  $S^3 - K$  is homeomorphic to  $S^3 - J$ , and *weakly flat* according to Duvall [7] if  $K$  is unknotted in addition; and we shall study several properties of such a knot  $J$  by taking notice of the set  $E(J)$ .

The main results are stated as follows.

**THEOREM I.** *Assume that a knot  $J \subset S^3$  is weakly tame, and let  $U$  be an open set in  $J$ . Then,  $J$  is locally tame at every point  $x \in U$  if so is at every point  $x \in U - C^*$ , where  $C^*$  is a Cantor set in  $U$ .*

**COROLLARY.** *If a knot  $J \subset S^3$  is weakly tame, then  $E(J)$  has no isolated points. If  $J$  is locally tame at every point  $x \in J - C^*$  for a Cantor set  $C^* \subset J$  in addition, then it turns out that  $E(J)$  is empty and  $J$  is tame.*

Theorem I means that  $E(J)$  for a weakly tame knot  $J$  can not be 0-dimensional. In contrast with this we can find a weakly tame knot  $J$  with 1-dimensional  $E(J)$ : most significant one is given by the following

**THEOREM II.** *For each PL knot  $K \subset S^3$ , there is a wild knot  $J \subset S^3$  such that  $S^3 - J$  is homeomorphic to  $S^3 - K$  and  $J$  is everywhere wild, i.e.,  $E(J) = J$ .*

A proof of Theorem I using Cannon's characterization of tame arcs in  $S^3$

will be given in §2. We can give also an elementary proof by comparing a system of neighborhoods of a Cantor set  $C^*$  with the standard one, as described in the original version of the paper.

Theorem II is proved in §3. Bing [3] developed the “hooked rug” method, by which Alford constructed a “nice” wild 2-sphere in  $S^3$  ([1]); it contains a wild knot  $J^*$  whose  $E(J^*)$  is an arc (Rushing [14]). We show that this knot  $J^*$  is weakly flat (Theorem 3.1), and then prove Theorem II by taking  $J$  as a connected sum of  $K$  and infinitely many copies of this  $J^*$ .

The following notation and the terminologies are used in this paper:

$\approx$ : homeomorphic,  $\text{id}$ : the identity map,  $\emptyset$ : empty set,  $\cong$ : isomorphic,  $E^n$ : Euclidean  $n$ -space,  $E_+^n = E^{n-1} \times [0, \infty)$ ,  $B^n = [-1, 1]^n$ ,  $rB^n = [-r, r]^n$  ( $r > 0$ ),  $S^n = \partial B^{n+1}$ : the  $n$ -sphere,  $d$ : a metric on  $S^n$ ,  $\text{diam } X$ : the diameter of  $X$ ,  $\text{Cl } X$ : the closure of  $X$ ,  $\text{Fr } X$ : the frontier of  $X$ ,  $N(X, r) = \{x \in S^3 \mid d(x, X) < r\}$  ( $X \subset S^3$ ).

For  $X \subset S^3$ ,  $X$  is *locally polyhedral* at  $x \in X$  if  $X \cap V$  is polyhedral for some closed neighborhood  $V$  of  $x$  in  $S^3$ . When  $X$  is a compact  $n$ -manifold ( $1 \leq n \leq 3$ ),  $X$  is *locally flat* at  $x \in X$  if it is locally tame at  $x$  by an open set  $V \ni x$  and  $\phi: V \approx E^3$  with  $\phi(V \cap X) = E_+^n$  or  $E^n$  according to  $x \in \partial X$  or not in addition (these local properties are equivalent), and  $X$  is *locally flat* if so it at every point  $x \in X$ .

## 2. Proof of Theorem I

We first recall a characterization of tame arcs in  $S^3$ .

**DEFINITION.** An arc  $A$  in  $S^3$  is said to *have 1-ALG complement* in  $S^3$  if for each  $\varepsilon > 0$  there is a  $\delta > 0$  such that each loop in  $S^3 - A$  which is null-homologous ( $\mathbb{Z}$ -coefficients) in a  $\delta$ -subset of  $S^3 - A$  bounds a singular  $\varepsilon$ -disk in  $S^3 - A$ .

**THEOREM 2.1** (J. W. Cannon [5, Th. 3.16]). *An arc  $A$  in  $S^3$  is tame if it has 1-ALG complement in  $S^3$ .*

We prove Theorem I by this theorem together with the following

**PROPOSITION 2.2.** *Let  $J$  be a knot in Theorem I and  $p$  be an arbitrary point of  $U$ . Then, for each open neighborhood  $W$  of  $p$  in  $S^3$  there is an open neighborhood  $V \subset W$  of  $p$  such that every loop in  $V - J$  which is null-homologous in  $V - J$  is null-homotopic in  $W - J$ .*

**PROOF OF THEOREM I.** Let  $A$  be an arc in  $U$  with  $\text{Int } A \subset C^*$ . For each  $\varepsilon > 0$ , we define an open covering  $\{V_x \mid x \in S^3\}$  of  $S^3$  as follows:

$$V_x = N(x, \min(\varepsilon/2, d(x, A))), \quad \text{for } x \in S^3 - A;$$

$V_x = V$  given by Proposition 2.2 for  $p = x$  and  $W = N(x, \varepsilon/2)$ , for  $x \in \text{Int } A$ ; and

$V_x \ni x$  is an open  $\varepsilon$ -subset with  $(V_x, A \cap V_x) \approx (E^3, E^1_+)$ , for  $x \in \partial A$ .

Then, there is a Lebesgue number  $\delta > 0$  for  $\{V_x\}$ , i.e., each  $\delta$ -subset of  $S^3$  is contained in some  $V_x$ . Thus,  $A$  has 1-ALG complement in  $S^3$ , and  $A$  is tame by Theorem 2.1.  $\square$

To prove Proposition 2.2, we prepare the following

LEMMA 2.3. *Suppose that a knot  $J \subset S^3$  is weakly tame. Then, there is a sequence  $\{P_n\}$  of locally flat solid tori in  $S^3$  such that*

- (1)  $\text{Int } P_n \supset P_{n+1}$ ,  $\cap P_n = J$  and  $P_n - \text{Int } P_{n+1} \approx \partial P_n \times [0, 1]$ , and
- (2)  $J$  is a deformation retract of  $P_n$ .

PROOF. Let  $K$  be a PL knot with  $S^3 - J \approx S^3 - K$  by assumption.

Case 1:  $K$  is a trivial knot. Let  $h: S^3 - J \approx S^1 \times E^2$  be a homeomorphism, and put

$$Q_n = h^{-1}(S^1 \times nB^2), \quad P_n = S^3 - \text{Int } Q_n.$$

Since  $Q_n$  is a locally flat solid torus in  $S^3$ , we note that  $P_n$  is a knot space. Since  $J \subset \text{Int } P_n$  is compact and  $J$  has codimension 2 in  $P_n$ ,  $P_n - J$  is connected and  $\pi_1(P_n - J) \rightarrow \pi_1(P_n)$  is an epimorphism (see p. 329 of [11]). Note that  $P_n - J \approx S^1 \times S^1 \times [0, \infty)$ . Then,  $\pi_1(P_n - J) \cong \mathbf{Z} \oplus \mathbf{Z}$ , and so  $\pi_1(P_n)$  is abelian. Hence,  $\pi_1(P_n) \cong H_1(P_n) \cong \mathbf{Z}$  and  $P_n$  is a solid torus.

Case 2:  $K$  is not trivial. Take  $h: S^3 - J \approx S^3 - K$  and a tubular neighborhood  $K \times E^2$  of  $K$ ;  $S^3 \supset K \times E^2 \subset K \times \{0\} = K$ .

$$Q_n = h^{-1}(S^3 - K \times \text{Int } (1/n)B^2), \quad P_n = S^3 - \text{Int } Q_n.$$

Then, the knot space  $Q_n$  is not a solid torus. It follows that  $P_n$  is a solid torus (cf. Rolfsen [13, Th. (4.C.1)]).

Clearly,  $\{P_n\}$  satisfies the other conditions in (1). Since  $J \approx S^1$  is an ANR, there are an open set  $R \supset J$  in  $S^3$  and a retraction  $r: R \rightarrow J$ . Then, there is an  $m$  such that  $P_n \subset R$  for all  $n \geq m$ . Let  $n \geq m$ . Then,  $r|_{P_n}: P_n \rightarrow J$  is a retraction, and so

$$\mathbf{Z} \cong \pi_1(P_n) \xrightarrow{(r|_{P_n})_*} \pi_1(J) \cong \mathbf{Z}$$

is an isomorphism. Thus,  $r|_{P_n}$  is a deformation retraction. Let  $n < m$ . Then, by the last condition in (1),  $P_n$  is a deformation retract of  $P_n$ ; and we see (2).  $\square$

PROOF OF PROPOSITION 2.2. By Bing [2, Th. 9], we may assume that  $J$  is

locally polyhedral at every point of  $U - C^*$ . Also we may assume that  $W \cap J \subset U$ . Take a subarc  $I$  of  $W \cap J$  such that  $p \in \text{Int } I$ , and both end points  $a_0$  and  $a_1$  of  $I$  are contained in  $U - C^*$ . Then, there are disjoint PL disks  $D_0$  and  $D_1$  in  $W$  such that  $D_i \cap J = \{a_i\}$  and  $J$  intersects  $D_i$  transversely at  $a_i$  ( $i=0, 1$ ). By Lemma 2.3, there is a locally flat solid torus  $P \subset S^3$  such that  $P \cap (\partial D_0 \cup \partial D_1) = \emptyset$ ,  $J \subset \text{Int } P$  and  $J$  is a deformation retract of  $P$ . Let  $X$  and  $X'$  be the components of  $\text{Int } P - (D_0 \cup D_1)$  containing  $\text{Int } I$  and  $J - I$ , respectively.

Claim 1.  $X \approx X'$ .

Suppose that  $X = X'$ . Take a point  $q \in J - I$ . Then, there is an arc  $H \subset X$  joining  $p$  and  $q$ . Let  $H' \ni a_0$  be the subarc of  $J$  which joins  $p$  and  $q$ . Then, the loop  $H \cup H'$  in  $\text{Int } P$  intersects  $D_0$  transversely at  $a_0$  and  $(H \cup H') \cap D_0 = \{a_0\}$ . Thus,  $H \cup H'$  is homotopic to  $J$  in  $\text{Int } P$ , because  $J$  is a deformation retract of  $P$ ,  $J \cap D_0 = \{a_0\}$  and  $J$  intersect transversely at  $a_0$ . But,  $(H \cup H') \cap D_1 = \emptyset$ ; this is a contradiction. Claim 1 follows.

Thus,  $Y = X \cap W$  is an open neighborhood of  $\text{Int } I$  in  $S^3$  and  $Y \cap X' = \emptyset$ . Take subdisks  $E_0$  and  $E_1$  of  $D_0$  and  $D_1$ , respectively, such that  $a_i \in \text{Int } E_i$  and  $E_i \subset \text{Int } P$  ( $i=0, 1$ ). By Lemma 2.3, there is a locally flat solid torus  $P' \subset \text{Int } P$  such that  $J \subset \text{Int } P'$ ,  $J$  is a deformation retract of  $P'$ ,  $P' - J \approx S^1 \times S^1 \times [0, \infty)$  and  $P' \cap ((D_0 \cup D_1 \cup \text{Fr } Y) - \text{Int } (E_0 \cup E_1)) = \emptyset$ . Let  $V$  be the component of  $\text{Int } P' - (D_0 \cup D_1)$  containing  $\text{Int } I$ . Then,  $V \subset Y$  by the same reason as in Claim 1.

Let  $f: S^1 = \partial B^2 \rightarrow V$  be a loop which is null-homologous in  $V - J$ . Then,  $f$  is also null-homologous in  $\text{Int } P' - J$ ; hence  $f$  extends to a map  $f: B^2 \rightarrow \text{Int } P' - J$ . We may assume that  $f$  is in general position with respect to  $E_0 \cup E_1$ ; hence  $f^{-1}(E_0 \cup E_1)$  is a finite union of disjoint circles in  $\text{Int } B^2$ . Let  $B'$  be the closure of the component of  $B^2 - f^{-1}(E_0 \cup E_1)$  which contains  $\partial B^2$ .

Claim 2. For each component  $L \subset f^{-1}(E_i)$  ( $i=0, 1$ ), the loop  $f|L: L \rightarrow E_i - \{a_i\}$  is null-homotopic.

If not, then some non-zero multiple of  $\partial E_i$  is homotopic to  $f|L$  in  $S^3 - J$  and  $f|L$  is null-homotopic in  $S^3 - J$ . Therefore the linking number of  $J$  and  $\partial E_i$  is zero. This is a contradiction, and Claim 2 follows.

Thus,  $f|B': B' \rightarrow (V \cup E_0 \cup E_1) - J$  extends to a map  $f': B^2 \rightarrow (V \cup E_0 \cup E_1) - J$ ; hence  $f: S^1 \rightarrow V$  bounds a singular disk in  $W - J$ .  $\square$

Thus, the proof of Theorem I is completed.

### 3. Proof of Theorem II

THEOREM 3.1. There is a wild knot  $J^* \subset S^3$  which satisfies the following conditions.

- (a)  $J^*$  is weakly flat.
- (b)  $E(J^*)$  is a non-empty subarc  $A^*$  of  $J^*$ .
- (c) There are an open subset  $U \subset S^3$  and a homeomorphism  $h: (U, U \cap J^*) \approx (E^3, E^1)$  such that  $D^* - J^* \approx (\partial D^* - J^*) \times [0, \infty)$  where  $D^* = S^3 - \text{Int } h^{-1}(B^3)$ .

PROOF. Alford [1] constructed a wild 3-cell  $B^*$  in  $S^3$  such that  $S^3 - B^* \approx E^3$  and  $A^* = \{x \in \partial B^* \mid \partial B^* \text{ is not locally tame at } x\}$  is a non-empty arc on  $\partial B^*$ .  $B^*$  and  $A^*$  are the limits of PL 3-cells  $\{B_n \subset S^3\}$  and PL arcs  $\{A_n \subset \partial B_n\}$  respectively:  $B_0$  is a PL 3-cell and  $A_0$  is a PL arc on  $\partial B_0$ .  $B_n$  is obtained from  $B_{n-1}$  by adding "cubes-with-eyebolts" to  $\partial B_{n-1}$  along  $A_{n-1}$  and removing a thin slice from the loop of each cube-with-eyebolt. There is a homeomorphism  $f_n: B_{n-1} \approx B_n$  such that  $f_n|_{\text{Cl}(B_{n-1} - C_{n-1})} = \text{id}$  where  $C_{n-1}$  is a regular neighborhood of  $A_{n-1}$  in  $B_{n-1}$ , and  $A_n = f_n(A_{n-1})$ .  $\{f_n f_{n-1} \cdots f_1: B_0 \rightarrow B_n\}$  is a Cauchy sequence converging to the embedding  $f^*: B_0 \rightarrow S^3$  such that  $B^* = f^*(B_0)$  and  $A^* = f^*(A_0)$ . By the construction (cf. Bing [3, §4] and Gillman [9, §3]), we have PL cubes-with-handles  $\{M_n\}$  such that

- (M1)  $\text{Int } M_n \supset M_{n+1}$  and  $\bigcap_n M_n = A^*$ ,
- (M2)  $M_n \cap B_{n-1} = C_{n-1}$  and  $M_n \cap B_n = f_n(C_{n-1})$ .

Now we take a PL circle  $J_0$  on  $\partial B$  with  $J_0 \supset A_0$ , and put  $J^* = f^*(J_0)$ . Then,  $J^*$  is a wild knot in  $S^3$  with  $E(J^*) = A^*$  by [14]; hence  $J^*$  satisfies (b).

Next, we prove (a). By applying the "stretching argument" to a regular neighborhood of  $B_n$  ( $n \geq 1$ ) as used in [9, §§4-5], we can construct PL 3-cells  $\{N_n\}$  satisfying the following

- (N1)  $\text{Int } N_n \supset N_{n+1}$  and  $\bigcap N_n = B^*$ ,
- (N2)  $M_n \cap N_i$  is a PL 3-cell and  $M_n \cap N_i \cap \partial W_n$  is a PL disk for  $i > n$ , where  $W_n = M_n \cap N_n$ .

Let  $p: S^3 \rightarrow S^3/A^*$  be the projection. Since  $B^*$  is locally tame at every  $x \in B^* - A^*$  and  $B^*$  is cellular in  $S^3$  by (N1), it follows from Meyer [12, Th. 2] that

$$S^3 \approx S^3/B^* \approx S^3/A^* \supset \partial B^*/A^* \approx S^2$$

and  $\partial B^*/A^*$  is locally tame at every point of  $\partial B^*/A^* - p(A^*)$ .

Now we show that  $\partial B^*/A^*$  is flat in  $S^3/A^*$ . Since  $B^*/A^*$  is a 3-cell, it is sufficient to show that  $\text{Cl}(S^3/A^* - B^*/A^*)$  is a 3-cell, and this is equivalent to that  $S^3/A^* - B^*/A^*$  is 1-LC at  $p(A^*)$  by Bing [4, Th. 2]. Here, for closed subset  $A \subset X$  in  $S^3$ ,  $S^3 - X$  is 1-LC at  $A$  if each open set  $U \subset A$  in  $S^3$  contains an open set  $V \subset A$  such that each loop in  $V - X$  is null-homotopic in  $U - X$ . Thus, it is sufficient to show that  $S^3 - B^*$  is 1-LC at  $A^*$ . By (M1) and (N1-2),

$$\text{Int } W_n \supset W_{n+1}, \quad \bigcap_n W_n = A^* \quad \text{and} \quad W_n - B^* = \bigcup_{i \geq n+1} (W_n - N_i).$$

Moreover,  $\text{Cl}(W_n - N_i) = \text{Cl}(W_n - (M_n \cap N_i))$  is a PL 3-cell for  $i \geq n+1$  by (N2). Thus,  $S^3 - B^*$  is 1-LC at  $A^*$ , and  $\partial B^*/A^*$  is flat in  $S^3/A^*$ . From this,  $J^*/A^*$  is flat in  $S^3/A^*$ . Then, we see (a), because

$$S^3 - J^* \approx (S^3/A^*) - (J^*/A^*) \approx S^3 - S^1 \approx S^1 \times E^2.$$

Finally we verify (c). Take  $\phi: (S^3/A^*, J^*/A^*) \approx (S^3, S^1)$ , and choose an open set  $U' \subset S^3 - \phi p(A^*)$  and  $h': (U', U' \cap S^1) \approx (E^3, E^1)$ . Furthermore, put  $U = p^{-1}\phi^{-1}(U') \subset S^3 - A^*$  and  $h = h'\phi p: (U, U \cap J^*) \approx (E^3, E^1)$ . Then, we have  $D^* - J^* \approx B^3 - B^1 \approx (\partial D^* - J^*) \times [0, \infty)$  for  $D^* = S^3 - \text{Int } h^{-1}(B^3)$ .

This completes the proof of Theorem 3.1  $\square$

**LEMMA 3.2.** *Suppose that  $J^*$  and  $D^*$  are as in Theorem 3.1. Let  $g: S^1 \rightarrow S^3$  be an embedding such that there is an open set  $U' \subset S^3$  with  $h': (U', U' \cap g(S^1)) \approx (E^3, E^1)$ . Take a locally flat 3-cell  $D' = h'^{-1}(B^3)$  in  $S^3$  and a subarc  $C' = g^{-1}(D')$  of  $S^1$ . Then, there is an embedding  $f: S^1 \rightarrow S^3$  with the following (1)–(4):*

- (1)  $f|_{S^1 - \text{Int } C'} = g|_{S^1 - \text{Int } C'}$ .
- (2)  $f(\text{Int } C') \subset \text{Int } D'$ .
- (3)  $(D', D' \cap f(S^1)) \approx (D^*, D^* \cap J^*)$ .
- (4) *There is  $\phi: S^3 - g(S^1) \approx S^3 - f(S^1)$  such that  $\phi = \text{id}$  on  $S^3 - (g(S^1) \cup \text{Int } D')$ .*

**PROOF.** Suppose that  $J^*$ ,  $U$ ,  $h$  and  $D^*$  are as in Theorem 3.1. Then, there is an embedding  $e: S^1 \rightarrow S^3$  such that  $J^* = e(S^1)$ . Take a subarc  $C = e^{-1}(D) \subset S^1$  where  $D = h^{-1}(B^3)$ , and put

$$S^1 \# S^1 = (S^1 - \text{Int } C') \cup_{\bar{g}} (S^1 - \text{Int } C), \quad \bar{g} = e^{-1}h^{-1}h': \partial C' \longrightarrow \partial C,$$

$$\text{and } S^3 \# S^3 = (S^3 - \text{Int } D') \cup_{\bar{h}} (S^3 - \text{Int } D), \quad \bar{h} = h^{-1}h': \partial D' \longrightarrow \partial D.$$

Then, there are  $p: S^1 \approx S^1 \# S^1$  and  $q: S^3 \approx S^3 \# S^3$  such that  $p|_{S^1 - \text{Int } C'} = \text{id}$  and  $q|_{S^3 - \text{Int } D'} = \text{id}$ . We can define an embedding  $g': S^1 \# S^1 \rightarrow S^3 \# S^3$  by

$$g'|_{S^1 - \text{Int } C'} = g|_{S^1 - \text{Int } C'} \quad \text{and} \quad g'|_{S^1 - \text{Int } C} = e|_{S^1 - \text{Int } C}.$$

Therefore, we get an embedding  $f = q^{-1}g'p: S^1 \rightarrow S^3$ . Clearly,  $f$  satisfies (1)–(3). From (c) of Theorem 3.1, we can easily verify (4).  $\square$

**LEMMA 3.3.** *Let  $J^*$  and  $D^*$  be the ones in Theorem 3.1. Let  $f_0: S^1 \rightarrow S^3$  be a PL embedding, and  $V_n$  ( $n \geq 1$ ) be connected open sets in  $S^1$ , which forms a basis of open sets. Then, there are  $B \subset A \subset \{1, 2, \dots\}$ ,  $D_n \subset U_n \subset S^3$ ,  $h_n: U_n \approx E^3$  and  $C_n \subset V_n$  for  $n \in A$ , embeddings  $f_n: S^1 \rightarrow S^3$  and  $\phi_n: S^3 - f_n(S^1) \approx S^3 - f_n(S^1)$  for*

$n \geq 1$ , which satisfy the following conditions (F1)–(F6):

(F1) If  $n \notin A$ , then  $f_{n-1}(V_n)$  is everywhere wild,  $f_n = f_{n-1}$  and  $\phi_n = id$ .

(F2) For each  $n \in A$ ,  $U_n$  is open in  $S^3$ ,  $h_n: (U_n, U_n \cap f_{n-1}(S^1)) \approx (E^3, E^1)$ ,  $D_n = h_n^{-1}(B^3) \subset U_n$  is a locally flat 3-cell with  $\text{diam } D_n < 1/2^n$ , and  $C_n = f_{n-1}^{-1}(D_n) \subset V_n$  is a subarc of  $S^1$  with  $\text{diam } C_n < 1/n$ .

(F3) If  $n < m$ , then either  $D_n \cap D_m = \emptyset = C_n \cap C_m$ , or  $D_m \subset \text{Int } D_n$  and  $C_m \subset \text{Int } C_n$ .

(F4)  $f_n|_{S^1 - \text{Int } C_n} = f_{n-1}|_{S^1 - \text{Int } C_n}$ ,  $f_n(\text{Int } C_n) \subset \text{Int } D_n$ ,  $(D_n, D_n \cap f_n(S^1)) \approx (D^*, D^* \cap J^*)$  and  $\phi_n|_{S^3 - (f_{n-1}(S^1) \cup \text{Int } D_n)} = id$ .

(F5) If  $n \in B$ , then  $D_n \cap \bar{D}_n = \emptyset$  where  $\bar{D}_n = \cup_{i < n} D_i$ .

(F6) If  $n \in A - B$ , then  $D_n \subset \text{Int } D_i$  for some  $i < n$ . If  $k$  is the smallest integer of such  $i$  in addition, then

$$D_n \subset \text{Int } D_k - \phi_{n-1} \cdots \phi_k h_k^{-1}(K_n) \text{ where } K_n = B^1 \times (B^2 - (1/n)B^2).$$

PROOF. The requirements in the lemma with (F1)–(F6) are defined by induction on  $n$  as follows:

Case 1:  $V_n - \bar{C}_n \neq \emptyset$  where  $\bar{C}_n = \cup_{i < n} C_i$ . Let  $n \in B$  and  $n \in A$ . By (F4) in the inductive assumptions, we have

$$f_{n-1}|_{V_n - \bar{C}_n} = f_0|_{V_n - \bar{C}_n} \text{ and } f_{n-1}(V_n - \bar{C}_n) \subset S^3 - \bar{D}_n.$$

Then, there are an open set  $U_n \subset S^3 - \bar{D}_n$  with  $U_n \cap f_{n-1}(S^1) \subset f_{n-1}(V_n - \bar{C}_n)$  and  $h_n: (U_n, U_n \cap f_{n-1}(S^1)) \approx (E^3, E^1)$ . Put  $D_n = h_n^{-1}(B^3)$  and  $C_n = f_{n-1}^{-1}(D_n)$ . We may assume that  $\text{diam } D_n < 1/2^n$  and  $\text{diam } C_n < 1/n$ . Then, (F2), (F3) and (F5) hold. By Lemma 3.2, we get an embedding  $f_n: S^1 \rightarrow S^3$  with (F4).

Case 2:  $V_n \subset E(f_{n-1})$ , where  $E(f_{n-1}) = f_{n-1}^{-1}(E(f_{n-1}(S^1)))$ . Set  $n \notin A$ ,  $f_n = f_{n-1}$  and  $\phi_n = id$ .

Case 3:  $V_n \subset \bar{C}_n$  and  $V_n - E(f_{n-1}) \neq \emptyset$ . Set  $n \in A$  and  $m \notin B$ . Since  $\bar{C}_n$  is a finite union of pairwise disjoint arcs, (F3) implies that  $V_n \subset \cup_{i < n} \text{Int } C_i$ . Take a point  $p \in V_n - E(f_{n-1})$  and put

$$j(p) = \max \{i \mid p \in \text{Int } C_i\}, \quad k(p) = \min \{i \mid p \in \text{Int } C_i\} \text{ and}$$

$$C(p) = \cup \{C_i \mid C_i \subset \text{Int } C_{j(p)}\}.$$

Then,  $V_n \cap (\text{Int } C_{j(p)} - C(p)) \ni p$  is open in  $S^1$ . (F4) shows that

$$f_{n-1} = f_{j(p)} \text{ on } \text{Int } C_{j(p)} - C(p) \text{ and}$$

$$\phi_{n-1} = \phi_{j(p)} \text{ on } \text{Int } D_{j(p)} - D(p),$$

where  $D(p) = \cup \{D_i \mid D_i \subset \text{Int } D_{j(p)}\}$ . Moreover

$$N = (\text{Int } D_{j(p)} - D(p)) - \phi_{n-1} \cdots \phi_{k(p)} h_{k(p)}^{-1}(K_n)$$

is a neighborhood of  $f_{n-1}(p)$  in  $S^3$ . Since  $p \notin E(f_{n-1})$ , i.e.,  $f_{n-1}(S^1)$  is locally flat at  $f_{n-1}(p)$ , there is an open set  $U_n \ni f_{n-1}(p)$  in  $\text{Int } N$  such that

$$U_n \cap f_{n-1}(S^1) \subset f_{n-1}(V_n \cap (\text{Int } C_{j(p)} - C(p))).$$

Then, we can define  $h_n$ ,  $D_n$  and  $C_n$  with (F2), (F3) and (F6), and an embedding  $f_n$  with (F4) by Lemma 3.2.  $\square$

**PROOF OF THEOREM II.** Let  $g: S^1 \rightarrow S^3$  be a PL embedding with  $g(S^1) = K$ . By using Lemma 3.3 for  $f_0 = g$ , we define  $J$  as follows.

Since  $d(f_n, f_{n-1}) < 1/2^n$  by (F2) and (F4),  $\{f_n\}$  is a Cauchy sequence converging to a continuous map  $f: S^1 \rightarrow S^3$ . We show that  $f$  is an embedding, and put  $J = f(S^1)$ .

By induction, it is easy to check that, for all  $i \geq n$ ,

$$f_i(\text{Int } C_n) \subset \text{Int } D_n \quad \text{and} \quad f_i(S^1 - \text{Int } C_n) \subset S^3 - \text{Int } D_n.$$

From this, we have

- (i)  $f(\text{Int } C_n) \subset \text{Int } D_n$  ( $n \geq 1$ ) and
- (ii)  $f(S^1 - \text{Int } C_n) \subset S^3 - \text{Int } D_n$  ( $n \geq 1$ ).

To see (i), we take  $x \in \text{Int } C_n$ . Suppose that  $x \in \text{Int } C_k \subset C_k \subset \text{Int } C_n$  for some  $k > n$ . Then,  $f_i(x) \in \text{Int } D_k$  for each  $i \geq k$ , and so  $f(x) = \lim f_i(x) \in D_k \subset \text{Int } D_n$ . Suppose that  $x \notin \text{Int } C_i$  ( $i > n$ ). Then,  $f_i(x) = f_n(x)$  ( $i \geq n$ ), and so  $f(x) = f_n(x) \in \text{Int } D_n$ . Thus, (i) holds. (ii) is also easy to verify.

Now let  $x, y \in S^1$  be distinct points.

Case 1. If  $x \in \text{Int } C_n$  and  $y \in S^1 - \text{Int } C_n$ , then  $f(x) \in \text{Int } D_n$  and  $f(y) \in S^3 - \text{Int } D_n$  by (i) and (ii), and so  $f(x) \neq f(y)$ .

Case 2. If  $x, y \in S^1 - \bigcup_{n \in A} \text{Int } C_n$ , then  $f(x) = g(x) \neq g(y) = f(y)$ .

Case 3. If  $x, y \in \text{Int } C_n$  and  $x, y \in S^3 - \text{Int } C_i$  for every  $i > n$ , then we have  $f(x) = f_n(x) \neq f_n(y) = f(y)$ . Thus,  $f$  is an embedding.

We shall see that  $J$  is everywhere wild by proving the following claims A1-3:

Claim A.1.  $E(f_n) \subset E(f_i)$  and  $E(f_n) \cap C_i = \emptyset$  ( $n < i$ ).

This claim is shown by induction.

Claim A.2. For each  $n \notin A$ ,  $f(V_n) = f_n(V_n)$  is everywhere wild.

In fact, let  $n \notin A$ . Then,  $f_n(V_n) = f_{n-1}(V_n)$  is everywhere wild by (F1). Thus,  $V_n \subset E(f_n)$  and so  $V_n \subset S^1 - C_i$  ( $i > n$ ) by claim A.1. Then,  $f_i|_{V_n} = f_n|_{V_n}$  ( $i > n$ ), and hence we have Claim A.2.

Claim A.3.  $\bigcup_{n \notin A} V_n$  is dense in  $S^1$ .

If  $n \in A$ , then  $V_n \supset C_n \supset \text{Int } C_n \cap \text{Int } E(f_n) \neq \emptyset$  by (F4). Hence,  $\text{Int } C_n \cap \text{Int } E(f_n) \supset V_i$  for some  $i > n$ . Thus, we have the claim.

Since  $E(f)$  is a closed subset of  $S^1$ , Claims A.2 and A.3 show that  $E(f) = S^1$ , i.e.,  $J$  is everywhere wild.

Finally, we shall prove that  $S^3 - J \approx S^3 - K$  by showing the following Claims B1-6: For each  $n$ , we define closed sets  $A(n, i)$  ( $i \in B$ ) of  $S^3 - K = S^3 - g(S^1)$  by

$$A(n, i) = \begin{cases} h_i^{-1}(B^1 \times ((1/n)B^2 - \{0\})) = h_i^{-1}(B^1 \times (1/n)B^2) - K & (i < n) \\ h_i^{-1}(B^1 \times (B^2 - \{0\})) = D_i - K & (i \geq n). \end{cases}$$

Claim B.1. *The collection  $\{A(n, i)\}_{i \in B}$  is locally finite in  $S^3 - K$ .*

Suppose that this claim is false. Then, there are a point  $y \in S^3 - K$  and a sequence  $\{y_k\}$  in  $S^3 - K$  converging to  $y$  such that  $y_k \in A(n, i(k))$  for a sequence  $i(1) < i(2) < \dots$  in  $B$ . Since  $A(n, i(k)) \subset D_{i(k)}$ ,  $\lim \text{diam } D_{i(k)} = 0$  and  $D_{i(k)} \cap K = f_{i(k)-1}(C_{i(k)}) \neq \emptyset$ , we see that  $y \in K$ . This is a contradiction; and the claim follows.

By virtue of this claim, we can define open sets  $X_n$  of  $S^3 - K$  by

$$X_n = (S^3 - K) - \bigcup_{i \in B} A(n, i) \quad (n \geq 1).$$

Claim B.2.  $X_1 \neq X_2 \subset \dots$  and  $\bigcup_n X_n = S^3 - K$ .

This follows easily from the definition of  $\{X_n\}$ .

Claim B.3.  $\phi_{n-1} \cdots \phi_1(X_n) \subset S^3 - (f_{n-1}(S^1) \cup \tilde{D}_n)$ , where  $\tilde{D}_n = \bigcup_{i > n} D_i$ .

We prove this by induction on  $n$ . This holds for  $n = 1$  since  $X_1 = S^3 - (K \cup \bigcup_{i \in B} D_i) = S^3 - (g(S^1) \cup \tilde{D}_1)$  by (F5).

If  $n - 1 \notin B$ , then  $X_n = X_{n-1}$  and

$$\begin{aligned} \phi_{n-1} \cdots \phi_1(X_n) &\subset \phi_{n-1}(S^3 - (f_{n-2}(S^1) \cup \tilde{D}_{n-1})) \quad (\text{by induction hypothesis}) \\ &= \phi_{n-1}(S^3 - (f_{n-1}(S^1) \cup \tilde{D}_{n-1})) \subset S^3 - (f_{n-1}(S^1) \cup \tilde{D}_n) \quad (\text{by (F4)}). \end{aligned}$$

If  $n - 1 \in B$ , then  $X_n = X_{n-1} \cup h_{n-1}^{-1}(K_n)$  and

$$\phi_{n-1} \cdots \phi_1 h_{n-1}^{-1}(K_n) = \phi_{n-1} h_{n-1}^{-1}(K_n), \quad \phi_{n-1} h_{n-1}^{-1}(K_n) \cap f_{n-1}(S^1) = \emptyset.$$

Thus, it suffices to show that

$$\phi_{n-1} h_{n-1}^{-1}(K_n) \cap D_i = \emptyset \quad \text{for each } i \geq n.$$

This is trivial in case of  $D_i \cap D_{n-1} = \emptyset$ . If  $D_i \subset \text{Int } D_{n-1}$ , then (F6) shows that

$$\begin{aligned} D_i &\subset \text{Int } D_{n-1} - \phi_{i-1} \cdots \phi_{n-1} h_{n-1}^{-1}(K_i) \\ &\subset \text{Int } D_{n-1} - \phi_{i-1} \cdots \phi_{n-1} h_{n-1}^{-1}(K_n) = \text{Int } D_{n-1} - \phi_{n-1} h_{n-1}^{-1}(K_n). \end{aligned}$$

Therefore, we see Claim B.3.

By (F4), we see that  $S^3 - (f_{n-1}(S^1) \cup \tilde{D}_n) = S^3 - (f(S^1) \cup \tilde{D}_n)$ . Therefore, by Claim B.3, an embedding  $\psi_n: X_n \rightarrow S^3 - J = S^3 - f(S^1)$  can be defined by

$$\psi_n = \phi_{n-1} \cdots \phi_1 | X_n \quad \text{for each } n.$$

Claim B.4.  $\psi_{n+1} | X_n = \psi_n$ .

Since  $\phi_n | \phi_{n-1} \cdots \phi_1(X_n) = \text{id}$  by Claim B.3 and (F4), we see Claim B.4.

Claim B.5. For each  $y \in S^3 - J$ ,  $\{n | y \in D_n\}$  is a finite set.

Suppose that there is a sequence  $n(1) < n(2) < \cdots$  such that  $y \in D_{n(k)}$ . Then,  $D_{n(1)} \supset D_{n(2)} \supset \cdots$ ,  $\lim \text{diam } D_{n(k)} = 0$ ,  $C_{n(1)} \supset C_{n(2)} \supset \cdots$ ,  $\lim \text{diam } C_{n(k)} = 0$ , by (F3). Thus,  $\{y\} = \bigcap_k D_{n(k)} = f(\bigcap_k C_{n(k)}) \subset J$ ; and the claim follows.

Claim B.6.  $S^3 - J = \bigcup_n \psi_n(X_n)$ .

For  $y \in S^3 - J$ , put  $k = \min \{n | y \in D_n\}$ ,  $j = \max \{n | y \in D_n\}$  and  $z = \phi_k^{-1} \cdots \phi_j^{-1}(y) \in D_k - K$ . Then,  $z \in X_n$  for some  $n > j$ . Thus,

$$\psi_n(z) = \phi_{n-1} \cdots \phi_1(z) = \phi_j \cdots \phi_k(z) = y,$$

and the claim holds.

Now, by Claims B.2, B.4 and B.6, we have a homeomorphism  $\psi: S^3 - K \approx S^3 - J$  given by  $\psi | X_n = \psi_n$ .

This completes the proof of Theorem II.  $\square$

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*Department of Mathematics,  
Faculty of Science,  
Hiroshima University*

