

## RUSCHEWEYH'S UNIVALENCE CRITERION AND QUASICONFORMAL EXTENSIONS

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### Abstract

Ruscheweyh extended the work of Becker and Ahlfors on sufficient conditions for a normalized analytic function on the unit disk to be univalent there. In this paper we refine the result to a quasiconformal extension criterion with the help of Becker's method. As an application, a positive answer is given to an open problem proposed by Ruscheweyh.

### 1. Introduction

Throughout the paper,  $\mathbf{D}$  denotes the unit disk  $\{|z| < 1\}$  in the complex plane  $\mathbf{C}$  and  $\mathbf{D}^*$  the exterior domain of  $\mathbf{D}$  in the Riemann sphere  $\hat{\mathbf{C}} = \mathbf{C} \cup \{\infty\}$ .

Let  $\mathcal{A}$  be a family of normalized analytic functions  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$  on  $\mathbf{D}$ . We say that a sense-preserving homeomorphism  $f$  of a plane domain  $G \subset \mathbf{C}$  is  $k$ -quasiconformal if  $f$  is absolutely continuous on almost all lines parallel to the coordinate axes and  $|f_{\bar{z}}| \leq k|f_z|$ , almost everywhere  $G$ , where  $f_{\bar{z}} = \partial f / \partial \bar{z}$ ,  $f_z = \partial f / \partial z$  and  $k$  is a constant with  $0 \leq k < 1$ .

Ahlfors [1] has shown that the following condition is sufficient for quasiconformal extensibility of univalent functions as an extension of Becker's univalence condition [2] (see also [7], p. 175);

**THEOREM A** ([1], [3]). *Let  $f \in \mathcal{A}$ . If there exists a  $k$ ,  $0 \leq k < 1$ , such that for a constant  $c \in \mathbf{C}$  satisfying  $|c| \leq k$  and all  $z \in \mathbf{D}$*

$$(1) \quad \left| c|z|^2 + (1 - |z|^2) \frac{zf''(z)}{f'(z)} \right| \leq k$$

*then  $f$  has a  $k$ -quasiconformal extension to  $\mathbf{C}$ .*

The limiting case  $k \rightarrow 1$  in the above theorem ensures univalence of  $f$  in  $\mathbf{D}$ . Ruscheweyh [8] extended this univalence condition in the following way;

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**THEOREM B** ([8]). *Let  $s = a + ib$ ,  $a > 0$ ,  $b \in \mathbf{R}$  and  $f \in \mathcal{A}$ . Assume that for a constant  $c \in \mathbf{C}$  and all  $z \in \mathbf{D}$*

$$(2) \quad \left| c|z|^2 + s - a(1 - |z|^2) \left\{ s \left( 1 + \frac{zf''(z)}{f'(z)} \right) + (1 - s) \frac{zf'(z)}{f(z)} \right\} \right| \leq M$$

with

$$M = \begin{cases} a|s| + (a - 1)|s + c|, & \text{if } 0 < a \leq 1, \\ |s|, & \text{if } 1 < a, \end{cases}$$

then  $f$  is univalent in  $\mathbf{D}$ .

The case  $s = 1$  with  $c$  replaced by  $-1 - c$  is the special case of Theorem A.

The purpose of this paper is to refine Ruscheweyh's univalence condition to a quasiconformal extension criterion which includes Theorem A;

**THEOREM 1.** *Let  $s = a + ib$ ,  $a > 0$ ,  $b \in \mathbf{R}$ ,  $k \in [0, 1)$  and  $f \in \mathcal{A}$ . Assume that for a constant  $c \in \mathbf{C}$  and all  $z \in \mathbf{D}$*

$$(3) \quad \left| c|z|^2 + s - a(1 - |z|^2) \left\{ s \left( 1 + \frac{zf''(z)}{f'(z)} \right) + (1 - s) \frac{zf'(z)}{f(z)} \right\} \right| \leq M$$

with

$$M = \begin{cases} ak|s| + (a - 1)|s + c|, & \text{if } 0 < a \leq 1, \\ k|s|, & \text{if } 1 < a, \end{cases}$$

then  $f$  has an  $l$ -quasiconformal extension to  $\mathbf{C}$ , where

$$(4) \quad l = \frac{2ka + (1 - k^2)|b|}{(1 + k^2)a + (1 - k^2)|s|} < 1.$$

*Remark 1.1.* If  $f \in \mathcal{A}$ , then it is easy to verify that there exists a sequence  $\{z_n\} \subset \mathbf{D}$  with  $|z_n| \rightarrow 1$  such that for each  $s \in \{z \in \mathbf{C} : \operatorname{Re} z > 0\}$

$$\sup_n \left| s \left( 1 + \frac{z_n f''(z_n)}{f'(z_n)} \right) + (1 - s) \frac{z_n f'(z_n)}{f(z_n)} \right| < \infty$$

which shows that (3) implies the inequality

$$(5) \quad |c + s| \leq M.$$

This inequality is needed for proving that  $f(z)$  has no zeros in  $0 < |z| < 1$  (see Lemma 7). In [8], it is mentioned that (3) implies  $f(z) \neq 0$ ,  $0 < |z| < 1$ , without proof. The part of (5) can be found in [8].

*Remark 1.2.* A similar argument to Remark 1.1 is also valid for Theorem A. It follows that the assumption  $|c| \leq k$  is embedded in the inequality (1).

The next application follows from Theorem 1. Let  $\alpha > 0$  and  $\beta \in \mathbf{R}$ . It follows from a result of Sheil-Small [9, Theorem 2] that

$$(6) \quad \operatorname{Re} \left\{ 1 + \frac{zf''(z)}{f'(z)} + (\alpha + i\beta - 1) \frac{zf'(z)}{f(z)} \right\} > 0 \quad (z \in \mathbf{D})$$

is sufficient for  $f \in \mathcal{A}$  to be a Bazilevič function of type  $(\alpha, \beta)^1$  (see also [5]). Here, a function  $f \in \mathcal{A}$  is called *Bazilevič of type  $(\alpha, \beta)$*  if

$$f(z) = \left[ (\alpha + i\beta) \int_0^z g(\zeta)^\alpha h(\zeta) \zeta^{i\beta-1} d\zeta \right]^{1/(\alpha+i\beta)}$$

for a starlike univalent function  $g \in \mathcal{A}$  and an analytic function  $h$  with  $h(0) = 1$  satisfying  $\operatorname{Re}(e^{i\lambda} h) > 0$  in  $\mathbf{D}$  for some  $\lambda \in \mathbf{R}$ . Together with this fact, the next theorem follows;

**THEOREM 2.** *Let  $\alpha > 0$ ,  $\beta \in \mathbf{R}$  and  $k \in [0, 1)$ . If  $f \in \mathcal{A}$  satisfies*

$$(7) \quad \left| 1 + \frac{zf''(z)}{f'(z)} + (\alpha + i\beta - 1) \frac{zf'(z)}{f(z)} - \frac{\alpha^2 + \beta^2}{\alpha} \right| \leq M$$

for all  $z \in \mathbf{D}$  with

$$M = \begin{cases} k & \text{if } \alpha < \alpha^2 + \beta^2, \\ k(\alpha^2 + \beta^2)/\alpha & \text{if } \alpha^2 + \beta^2 \leq \alpha, \end{cases}$$

then  $f$  is a Bazilevič function of type  $(\alpha, \beta)$  and can be extended to a  $\tilde{k}$ -quasiconformal automorphism of  $\mathbf{C}$ , where

$$\tilde{k} = \frac{2k\alpha + (1 - k^2)|\beta|}{(1 + k^2)\alpha + (1 - k^2)\sqrt{\alpha^2 + \beta^2}}.$$

Next, we shall discuss quasiconformal extensibility of functions  $g(z) = z + \frac{d}{z} + \dots$  analytic in  $\mathbf{D}^*$ .

**THEOREM 3.** *Let  $s = a + ib$ ,  $a \geq 1$ ,  $b \in \mathbf{R}$  and  $k \in [0, 1)$  which satisfies  $|b/s| \leq k$ . Let  $g(\zeta) = \zeta + \frac{d}{\zeta} + \dots$  be analytic in  $\mathbf{D}^*$  and fulfill*

$$(8) \quad \left| ib + (1 - |\zeta|^2)a \left\{ (1 - s) \left( 1 - \frac{\zeta g'(\zeta)}{g(\zeta)} \right) - s \frac{\zeta g''(\zeta)}{g'(\zeta)} \right\} \right| \leq ak|s| - |b|(a - 1)$$

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<sup>1</sup>The author would like to thank Professor Yong Chan Kim for this remark.

for all  $\zeta \in \mathbf{D}^*$ . Then  $g$  can be extended to an  $l$ -quasiconformal automorphism of  $\hat{\mathbf{C}}$ , where

$$l = \frac{2ka + (1 - k^2)|b|}{(1 + k^2)a + (1 - k^2)|s|}.$$

The case  $k \rightarrow 1$  corresponds to a univalence criterion which is due to Ruscheweyh [8].

Theorem 3 yields the following corollary which gives a positive answer to an open problem proposed by Ruscheweyh [8], i.e., whether a function  $g(\zeta) = \zeta + d/\zeta + \dots$  with  $(|\zeta|^2 - 1)|1 + (\zeta f''(\zeta)/f'(\zeta)) - (\zeta f'(\zeta)/f(\zeta))| \leq k$  for all  $\zeta \in \mathbf{D}^*$  admits a quasiconformal extension to  $\mathbf{C}$ ;

**COROLLARY 4.** Let  $g(\zeta) = \zeta + \frac{d}{\zeta} + \dots$  be analytic in  $\mathbf{D}^*$ . If there exists  $k \in [0, 1)$  such that

$$(|\zeta|^2 - 1) \left| 1 + \frac{\zeta g''(\zeta)}{g'(\zeta)} - \frac{\zeta g'(\zeta)}{g(\zeta)} \right| \leq k$$

for all  $\zeta \in \mathbf{D}^*$ , then  $g$  can be extended to a  $k$ -quasiconformal automorphism of  $\hat{\mathbf{C}} - \{0\}$ .

From the above corollary we have another extension criterion for analytic functions on  $\mathbf{D}$ ;

**COROLLARY 5.** Let  $f \in \mathcal{A}$  with  $f''(0) = 0$ . If there exists  $k \in [0, 1)$  such that

$$(1 - |z|^2) \left| 1 + \frac{zf''(z)}{f'(z)} - \frac{zf'(z)}{f(z)} \right| \leq k$$

for all  $z \in \mathbf{D}$ , then  $f$  can be extended to a  $k$ -quasiconformal automorphism of  $\mathbf{C}$ .

## 2. Preliminaries

Our investigations are based on the theory of Löwner chains. A function  $f_t(z) = f(z, t) = a_1(t)z + \sum_{n=2}^{\infty} a_n(t)z^n$ ,  $a_1(t) \neq 0$ , defined on  $\mathbf{D} \times [0, \infty)$  is called a *Löwner chain* if  $f_t(z)$  is holomorphic and univalent in  $\mathbf{D}$  for each  $t \in [0, \infty)$  and satisfies  $f_s(\mathbf{D}) \subsetneq f_t(\mathbf{D})$  and  $f(0, s) = f(0, t)$  for  $0 \leq s \leq t < \infty$ , and if  $a_1(t)$  is locally absolutely continuous in  $t \in [0, \infty)$  with  $\lim_{t \rightarrow \infty} |a_1(t)| = \infty$ . Then  $f(z, t)$  is absolutely continuous in  $t \in [0, \infty)$  for each  $z \in \mathbf{D}$  and satisfies the *Löwner differential equation*

$$(9) \quad \dot{f}(z, t) = h(z, t)zf'(z, t)$$

for  $z \in \mathbf{D}$  and almost every  $t \in [0, \infty)$ . Here,  $\dot{f}(z, t) = \partial f(z, t)/\partial t$ ,  $f'(z, t) = \partial f(z, t)/\partial z$  and  $h(z, t)$  is a function measurable on  $t \in [0, \infty)$ , holomorphic in  $|z| < 1$  and  $\text{Re } h(z, t) > 0$  ([6]).

An interesting method connecting the theory of quasiconformal extensions with Löwner chains was obtained by Becker;

**THEOREM C** ([2], see also [4]). *Suppose that  $f(z, t)$  is a Löwner chain for which  $h(z, t)$  of (9) satisfies the condition*

$$\left| \frac{h(z, t) - 1}{h(z, t) + 1} \right| \leq k$$

for all  $z \in \mathbf{D}$  and almost all  $t \in [0, \infty)$ . Then  $f_t(z)$  admits a continuous extension to  $\bar{\mathbf{D}}$  for each  $t \geq 0$  and the map defined by

$$\hat{f}(re^{i\theta}) = \begin{cases} f(re^{i\theta}, 0) & \text{if } r < 1, \\ f(e^{i\theta}, \log r) & \text{if } r \geq 1, \end{cases}$$

is a  $k$ -quasiconformal extension of  $f_0$  to  $\mathbf{C}$ .

### 3. Proof of Theorem 1

The proof is divided into two parts. The first part of the proof is based on [8].

(i) First we assume that  $f(z)/z \neq 0$  for all  $z \in \mathbf{D}$ . Then we can define

$$f(z, t) = f(e^{-st}z) \left\{ 1 - \frac{a}{c}(e^{2t} - 1) \frac{e^{-st}zf'(e^{-st}z)}{f(e^{-st}z)} \right\}^s$$

and let

$$(10) \quad F(z, t) = f(z, t/|s|).$$

A straightforward calculation shows

$$(11) \quad h(z, t) = \frac{\dot{F}(z, t)}{zF'(z, t)} = \frac{s}{|s|} \cdot \frac{1 + P(e^{-st/|s|}z, t/|s|)}{1 - P(e^{-st/|s|}z, t/|s|)},$$

where

$$P(z, t) = \frac{c}{a}e^{-2t} + 1 + (e^{-2t} - 1)H_s(z)$$

and

$$H_s(z) = s \left( 1 + \frac{zf''(z)}{f'(z)} \right) + (1 - s) \frac{zf'(z)}{f(z)}.$$

Since  $h(z, t)$  is holomorphic in  $z \in \mathbf{D}$  and measurable on  $t \in [0, \infty)$ , applying Theorem C to (11), we see that the condition

$$\left| \frac{s(1 + P(e^{-st/|s|}z, t/|s|)) - |s|(1 - P(e^{-st/|s|}z, t/|s|))}{s(1 + P(e^{-st/|s|}z, t/|s|)) + |s|(1 - P(e^{-st/|s|}z, t/|s|))} \right| \leq l$$

implies  $l$ -quasiconformal extensibility of  $f(z)$ . This is equivalent to

$$(12) \quad \left| P + \frac{(1+l^2)b}{(1+l^2)a + (1-l^2)|s|} i \right| \leq \frac{2l|s|}{(1+l^2)a + (1-l^2)|s|}.$$

Here, we shall prove the following Lemma;

LEMMA 6. *Under the assumption of Theorem 1, we have*

$$(13) \quad |aP(e^{-st/|s|}z, t/|s|) + ib| < k|s|$$

for  $z \in \mathbf{D}$  and  $t \in [0, \infty)$ .

*Proof.* We have

$$|aP + ib| \leq m_1 + m_2$$

by triangle inequality, where

$$m_1 = (1 - e^{-2t/|s|}) \left| \frac{ce^{-2at/|s|} + s}{1 - e^{-2at/|s|}} - aH_s(e^{-st/|s|}z) \right|$$

and

$$m_2 = \left| (ce^{-2at/|s|} + s) \frac{1 - e^{-2t/|s|}}{1 - e^{-2at/|s|}} - (ce^{-2t/|s|} + s) \right|.$$

Then it is enough to show that  $m_1 + m_2 < k|s|$ . (3) implies

$$\left| \frac{c|e^{st/|s|}z|^2 + s}{1 - |e^{st/|s|}z|^2} - aH_s(e^{-st/|s|}z) \right| \leq \frac{M}{1 - |e^{st/|s|}z|^2} \leq \frac{M}{1 - e^{-2at/|s|}}$$

for  $z \in \mathbf{D}$ . Let  $q(t) = (1 - e^{-2t/|s|}) / (1 - e^{-2at/|s|})$ . Applying the maximum modulus principle to the function

$$\frac{ce^{-2at/|s|} + s}{1 - e^{-2at/|s|}} - aH_s(e^{-st/|s|}z)$$

we have

$$m_1 \leq q(t)M.$$

On the other hand

$$m_2 \leq |c + s| |1 - q(t)|.$$

Since  $1 \leq q(t) < 1/a$  if  $0 < a \leq 1$  and  $1/a < q(t) \leq 1$  if  $1 < a$  for all  $t \in [0, \infty)$ , we conclude that  $m_1 + m_2 < k|s|$  which is our desired inequality.  $\square$

We now let  $\Delta$  and  $\Delta'$  be disks which are defined by replacing  $P$  in (12) and (13) to a complex variable  $w$ . It remains to find the smallest  $l$  so that  $\Delta'$  is

contained by  $\Delta$ . Note that if  $k = l = 1$  then these two disks coincide. The following condition is necessary and sufficient for  $\Delta' \subset \Delta$ ;

$$(14) \quad \left| \frac{(1 + l^2)b}{(1 + l^2)a + (1 - l^2)|s|} - \frac{b}{a} \right| \leq \frac{2l|s|}{(1 + l^2)a + (1 - l^2)|s|} - \frac{k|s|}{a}.$$

Then we conclude

$$l \leq \frac{2ka + (1 - k^2)|b|}{(1 + k^2)a + (1 - k^2)\sqrt{a^2 + b^2}}.$$

which is suitable for our purpose.

(ii) In order to eliminate the additional assumption that  $f(z)/z \neq 0$  in  $\mathbf{D}$ , we need a sort of stability of the condition (3);

LEMMA 7. *If  $f \in \mathcal{A}$  satisfies the assumption of Theorem 1, then so does  $f_r(z) = \frac{1}{r}f(rz)$ ,  $r \in (0, 1)$ .*

*Proof.* It follows from the assumption that  $aH_s(rz)$  is contained in the disk

$$\Delta = \left\{ w \in \mathbf{C} : \left| w - \frac{cr^2|z|^2 + s}{1 - r^2|z|^2} \right| \leq \frac{M}{1 - r^2|z|^2} \right\}.$$

We want to deduce that  $aH_s(rz)$  lies in the disk

$$\Delta' = \left\{ w \in \mathbf{C} : \left| w - \frac{c|z|^2 + s}{1 - |z|^2} \right| \leq \frac{M}{1 - |z|^2} \right\}.$$

Therefore it is enough to see that  $\Delta \subset \Delta'$ , that is,

$$(15) \quad \left| \frac{c|z|^2 + s}{1 - |z|^2} - \frac{cr^2|z|^2 + s}{1 - r^2|z|^2} \right| \leq \frac{M}{1 - |z|^2} - \frac{M}{1 - r^2|z|^2}.$$

In view of the identity

$$\frac{|z|^2}{1 - |z|^2} - \frac{r^2|z|^2}{1 - |z|^2} = \frac{1}{1 - |z|^2} - \frac{1}{1 - r^2|z|^2},$$

the inequality (15) is equivalent to (5). □

Now we shall show that the condition  $f(z)/z \neq 0$  in  $\mathbf{D}$  follows from the assumption of Theorem 1. Suppose, to the contrary, that  $f(z_0) = 0$  for some  $0 < |z_0| < 1$ . We may assume that  $f(z) \neq 0$  for  $0 < |z| < |z_0|$ . Then by Lemma 7 we can apply Theorem 1 to the function  $f_{r_0}(z) = f(r_0z)/r_0$ ,  $r_0 = |z_0|$  to conclude that  $f_{r_0}$  has a quasiconformal extension to  $\mathbf{C}$ . In particular,  $f_{r_0}$  is injective on  $\bar{\mathbf{D}}$ . This, however, contradicts the relation  $f_{r_0}(z_0/r_0) = f_{r_0}(0) = 0$ . □

*Remark 3.1.* We can replace  $|s|$  in (10) to any positive real value and continue our argument. However, it will be found that  $|s|$  gives the smallest  $l$  by calculations.

*Remark 3.2.* We have  $l \geq k$ , where  $l = k$  if and only if  $b = 0$ . Indeed, let  $l = l(k)$ . Then we have  $l'(k) > 0$  and  $l''(k) \leq 0$  which imply  $l \geq k$ . If we suppose  $l = k \neq 0$ , then the right-hand side of (14) is greater than or equal to 0 only if  $b = 0$ . In the case  $l = k = 0$  we also have  $b = 0$  by (14). It easily follows from (4) that  $l = k$  if  $b = 0$ .

**4. Proof of Theorem 2**

It is easy to see from (6) that  $f$  is a Bazilevič function of type  $(\alpha, \beta)$  under our assumption since  $M$  is always less than or equal to  $(\alpha^2 + \beta^2)/\alpha$ .

Let us now prove quasiconformal extensibility of  $f$ . Setting  $1/s = \alpha + i\beta$  which implies  $a = \operatorname{Re} s = \alpha/(\alpha^2 + \beta^2)$  and  $b = \operatorname{Im} s = -\beta/(\alpha^2 + \beta^2)$ , (7) turns to

$$\left| 1 + \frac{zf''(z)}{f'(z)} + \left(\frac{1}{s} - 1\right) \frac{zf'(z)}{f(z)} - \frac{1}{a} \right| \leq \begin{cases} k, & 0 < a < 1, \\ k/a, & 1 \leq a. \end{cases}$$

Therefore, Theorem 2 follows from Theorem 1 with  $c = -s$ . □

**5. Proof of Theorem 3**

First let  $s \neq 1$ . In that case we may assume  $g(\zeta) \neq 0$  for all  $\zeta \in \mathbf{D}^*$  because of a similar discussion of the proof of Theorem 1;

LEMMA 8. *Let  $g(\zeta) = \zeta + \frac{d}{\zeta} + \dots$  be analytic in  $\mathbf{D}^*$ . If  $g$  satisfies the same assumption of Theorem 3, then so does  $g_R(\zeta) = \frac{1}{R}f(R\zeta)$ ,  $R > 1$ .*

*Proof.* We need to prove

$$\left| \frac{ib}{|\zeta|^2 - 1} - aG_s(R\zeta) \right| \leq \frac{ak|s| - |b|(a - 1)}{|\zeta|^2 - 1}$$

by using

$$\left| \frac{ib}{R^2|\zeta|^2 - 1} - aG_s(R\zeta) \right| \leq \frac{ak|s| - |b|(a - 1)}{R^2|\zeta|^2 - 1},$$

where

$$G_s(\zeta) = (1 - s) \left( \frac{\zeta g'(\zeta)}{g(\zeta)} - 1 \right) + s \frac{\zeta g''(\zeta)}{g'(\zeta)}.$$



In a similar way to the proof of Lemma 7, it suffices to see that

$$\left| \frac{ib}{|\zeta|^2 - 1} - \frac{ib}{R^2|\zeta|^2 - 1} \right| \leq \frac{ak|s| - |b|(a - 1)}{|\zeta|^2 - 1} - \frac{ak|s| - |b|(a - 1)}{R^2|\zeta|^2 - 1}.$$

This is equivalent to  $|b| \leq k|s|$ . □

Then we let

$$f(1/\zeta, t) = \frac{1}{g(e^{st}\zeta)} \left\{ 1 - (1 - e^{-2t})e^{st}\zeta \frac{g'(e^{st}\zeta)}{g(e^{st}\zeta)} \right\}^{-s}$$

and

$$F(1/\zeta, t) = f(1/\zeta, t/|s|).$$

Since

$$h(1/\zeta, t) = \frac{\dot{F}(1/\zeta, t)}{(1/\zeta)F'(1/\zeta, t)} = \frac{s}{|s|} \cdot \frac{1 + P(e^{st/|s|}\zeta, t/|s|)}{1 - P(e^{st/|s|}\zeta, t/|s|)}$$

where

$$P(\zeta, t) = (e^{2t/|s|} - 1)G_s(\zeta),$$

it is sufficient to see that

$$(16) \quad |aP(e^{st/|s|}\zeta, t/|s|) + ib| < k|s|$$

for all  $\zeta \in \mathbf{D}^*$  and  $t \in [0, \infty)$  under the assumption of the theorem. By triangle inequality we have

$$|aP + ib| \leq \left| \frac{1 - e^{2t/|s|}}{1 - e^{2at/|s|}} (ib + (1 - e^{2at/|s|})aG_s(e^{st/|s|}\zeta)) \right| + \left| ib \left( 1 - \frac{1 - e^{2t/|s|}}{1 - e^{2at/|s|}} \right) \right|$$

for  $\zeta \in \mathbf{D}^*$  and  $t \in [0, \infty)$ . Following the lines of the proof of Lemma 6, one can obtain that (8) implies (16). Therefore, a similar argument of the proof of Theorem 1 implies our assertion. The case  $s = 1$  follows from a theorem of Becker [2]. □

### 6. Proof of Corollary 4 and 5

*Proof of Corollary 4.* Let  $R > 1$  be an arbitrary but fixed number. We would like to show that  $g_R(\zeta) = g(R\zeta)/R$  can be extended to a  $k$ -quasiconformal mapping of  $\hat{\mathbf{C}} - \{0\}$ . Since  $g(\zeta) \neq 0$  in  $\zeta \in \mathbf{D}^*$  from the assumption, there exists a certain constant  $A$  such that

$$(|\zeta|^2 - 1) \left| 1 - \frac{\zeta g'_R(\zeta)}{g_R(\zeta)} \right| \leq A < \infty$$

for all  $\zeta \in \overline{\mathbf{D}}^*$ . We also have

$$\left| 1 - \frac{\zeta g'_R(\zeta)}{g_R(\zeta)} + \frac{\zeta g''_R(\zeta)}{g'_R(\zeta)} \right| \leq \frac{k}{|\zeta R|^2 - 1}$$

for  $\zeta \in \mathbf{D}^*$ . Thus we obtain with  $s = R^2 A/k(R^2 - 1)$

$$(|\zeta|^2 - 1) \left| \frac{1}{s} \left( 1 - \frac{\zeta g'_R(\zeta)}{g_R(\zeta)} \right) - 1 - \frac{\zeta g''_R(\zeta)}{g'_R(\zeta)} + \frac{\zeta g'_R(\zeta)}{g_R(\zeta)} \right| \leq \frac{A}{s} + k \frac{|\zeta|^2 - 1}{|\zeta R|^2 - 1} \leq k$$

which implies quasiconformal extensibility of  $g_R$  by Theorem 3. A limiting procedure proves Corollary 4. □

*Proof of Corollary 5.* Note that the function  $1 + (zf''(z)/f'(z)) - (zf'(z)/f(z))$  is analytic in  $\mathbf{D}$  and has a zero of order 2 at the origin by the condition  $f''(0) = 0$ . Thus, we obtain from the assumption that

$$\frac{1}{|z|^2} (1 - |z|^2) \left| 1 + \frac{zf''(z)}{f'(z)} - \frac{zf'(z)}{f(z)} \right| \leq k$$

by the maximum modulus principle. Let  $g(\zeta)$  be a function defined by

$$g(\zeta) = \frac{1}{f(z)}$$

where  $\zeta = 1/z$ . Then  $g$  is analytic in  $\mathbf{D}^*$  and has the form  $g(\zeta) = \zeta + d/\zeta + \dots$ . From the relations

$$\frac{zf'(z)}{f(z)} = \frac{\zeta g'(\zeta)}{g(\zeta)}$$

and

$$1 + \frac{zf''(z)}{f'(z)} = -1 - \frac{\zeta g''(\zeta)}{g'(\zeta)} + 2 \frac{\zeta g'(\zeta)}{g(\zeta)},$$

we can deduce our assertion by applying Corollary 4. □

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