

## ON MEROMORPHIC FUNCTIONS SHARING THREE VALUES AND ONE SET

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### Abstract

We give relations of two meromorphic functions sharing 0, 1,  $\infty$  and a set CM.

### 1. Introduction

For nonconstant meromorphic functions  $f$  and  $g$  on  $\mathbf{C}$  and a discrete set  $S$  in  $\hat{\mathbf{C}} = \mathbf{C} \cup \{\infty\}$ , we say that  $f$  and  $g$  share  $S$  CM (counting multiplicities) if  $f^{-1}(S) = g^{-1}(S)$  and if for each  $z_0 \in f^{-1}(S)$  two functions  $f - f(z_0)$  and  $g - g(z_0)$  have the same multiplicity of zero at  $z_0$ , where the notations  $f - \infty$  and  $g - \infty$  mean  $1/f$  and  $1/g$ , respectively. In particular if  $S$  is a one point set  $\{a\}$ , then we say also that  $f$  and  $g$  share  $a$  CM.

In [N], R. Nevalinna showed

**THEOREM A1.** *Let  $f$  and  $g$  be two distinct nonconstant meromorphic functions on  $\mathbf{C}$  and  $a_1, \dots, a_4$  four distinct points in  $\hat{\mathbf{C}}$ . If  $f$  and  $g$  share  $a_1, \dots, a_4$  CM, then  $f$  is a Möbius transformation of  $g$  and there exists a permutation  $\sigma$  of  $\{1, 2, 3, 4\}$  such that  $a_{\sigma(3)}, a_{\sigma(4)}$  are Picard exceptional values of  $f$  and  $g$  and the cross ratio  $(a_{\sigma(1)}, a_{\sigma(2)}, a_{\sigma(3)}, a_{\sigma(4)}) = -1$ .*

If  $a_1 = 0, a_2 = 1, a_3 = \infty$ , then the fourth point  $a_4$  such that the cross ratio is  $-1$  in some order is one of  $-1, 2$  and  $\frac{1}{2}$ . Then a part of Theorem A1 can be denoted as following:

**THEOREM A2.** *Let  $f$  and  $g$  be two nonconstant meromorphic functions on  $\mathbf{C}$  sharing 0, 1,  $\infty$  and a CM, where  $a \neq 0, 1, \infty$ . Then  $f$  and  $g$  have one of the following relations:*

$$f = g, \quad f = \frac{1}{g}, \quad f = \frac{g}{g-1} \quad \text{and} \quad f = -g + 1.$$

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Also, in [T] Tohge considered two meromorphic functions sharing 1,  $-1$ ,  $\infty$  and a two-point set containing none of them.

**THEOREM B1.** *Let  $f$  and  $g$  be two nonconstant meromorphic functions on  $\mathbf{C}$  sharing 1,  $-1$  and  $\infty$  CM. Let  $S = \{a, b\}$ , where  $a, b \neq 1, -1, \infty$ . If  $f$  and  $g$  share  $S$  CM, then they have one the following relations:*

$$f = \pm g, \quad fg = 1, \quad f + g = \pm 2, \quad (f \pm 1)(g \pm 1) = 4$$

$$f \pm 1 = \omega(g \pm 1) \quad \text{and} \quad \left(f + \frac{1 + \omega}{1 - \omega}\right) \left(g - \frac{1 + \omega}{1 - \omega}\right) = \frac{4}{3},$$

where  $\omega^3 = 1$ ,  $\omega \neq 1$  and double signs in same order respectively.

If we replace the first three points by 0, 1 and  $\infty$ , the result is changed as follows:

**THEOREM B2.** *Let  $f$  and  $g$  be two nonconstant meromorphic functions on  $\mathbf{C}$  sharing 0, 1 and  $\infty$  CM. Let  $S = \{a, b\}$  where  $a, b \neq 0, 1, \infty$ . If  $f$  and  $g$  share  $S$  CM, then they have one the following relations:*

$$f = g, \quad f = -g + 1, \quad f = \frac{g}{2g - 1}, \quad f = -g + 2, \quad f = -g, \quad fg = 1,$$

$$(f - 1)(g - 1) = 1, \quad f = \omega g, \quad f - 1 = \omega(g - 1) \quad \text{and} \quad f = \frac{g}{\left(1 - \frac{1}{\omega}\right)g + \frac{1}{\omega}},$$

where  $\omega^3 = 1$  and  $\omega \neq 1$ .

There are many results on two meromorphic functions sharing three values CM with additional conditions of defects or counting functions for another value, for example [Li] and [LY]. In this paper we consider two nonconstant meromorphic functions sharing three values 0, 1,  $\infty$  and a finite set containing none of them.

**THEOREM 1.1.** *Let  $f$  and  $g$  be two nonconstant meromorphic functions on  $\mathbf{C}$  sharing 0, 1 and  $\infty$  CM. Let  $S$  be a finite set in  $\mathbf{C}$  defined by the zeros of a monic polynomial  $P(z)$  without multiple zeros such that  $P(0) \neq 0$ ,  $P(1) \neq 0$ . If  $f$  and  $g$  share  $S$  CM, then they have one of the following relations:*

- (i)  $f = cg$ ;
- (ii)  $f - 1 = c(g - 1)$  i.e.,  $f = cg - c + 1$ ;
- (iii)  $\frac{f - 1}{f} = c \frac{g - 1}{g}$  i.e.,  $f = \frac{-g}{(c - 1)g - c}$ ;
- (iv)  $fg = 1$ ;
- (v)  $(f - 1)(g - 1) = 1$  i.e.,  $f = \frac{g}{g - 1}$ ;

- (vi)  $\frac{f-1}{f} = \frac{g-1}{g}$  i.e.,  $f = -g + 1$ ;
- (vii) there exist monic polynomials  $\Phi(X) \in \mathbf{C}[X]$  and  $\varphi(z) = z^p(z-1)^q$  with  $p, q > 0$  and  $(p, q) = 1$  such that

$$\varphi(f) = \omega\varphi(g), \quad P_0(z) = \Phi(\varphi(z)),$$

where  $\omega^t = 1$  for  $t$  such that the coefficient of  $X^t$  of  $\Phi(X)$  is not zero and  $P_0(z)$  is one of  $P(z), Q(z) := \frac{1}{P(0)}z^n P\left(\frac{1}{z}\right)$  and  $R(z) := \frac{1}{P(1)}z^n P\left(\frac{z-1}{z}\right)$ .

Here,  $c$  is a non-zero constant in (i), (ii) and (iii).

### 2. Representations of rank $N$ and Borel’s lemma

In this section we introduce the definition of representations of rank  $N$  which is a generalization of representations in [F, §2]. Let  $G$  be a torsion-free abelian multiplicative group, and consider a  $q$ -tuple  $A = (a_1, a_2, \dots, a_q)$  of elements  $a_i$  in  $G$ . For a subgroup  $\tilde{A}$  of  $G$  generated by  $a_1, a_2, \dots, a_q$ , we can take a basis  $\{b_1, \dots, b_t\}$  of  $\tilde{A}$ . Then each  $a_i$  can be uniquely represented as

$$(2.1) \quad a_j = b_1^{\mu_{j1}} b_2^{\mu_{j2}} \dots b_t^{\mu_{jt}}$$

with suitable integers  $\mu_{j\tau}$ . Let  $p_1, \dots, p_t$  be integers and put  $\mu_j := \mu_{j1}p_1 + \dots + \mu_{jt}p_t$ . If

$$(2.2) \quad \prod_{j=1}^q a_j^{\varepsilon_j} = \prod_{j=1}^q a_j^{\varepsilon'_j}$$

for integers  $\varepsilon_j$  and  $\varepsilon'_j$ , then

$$(2.3) \quad \sum_{j=1}^q \varepsilon_j \mu_j = \sum_{j=1}^q \varepsilon'_j \mu_j.$$

For we have, by substiting (2.1) into (2.2),

$$\prod_{k=1}^t b_k^{\sum_{j=1}^q \varepsilon_j \mu_{jk}} = \prod_{k=1}^t b_k^{\sum_{j=1}^q \varepsilon'_j \mu_{jk}}.$$

Since  $b_1, \dots, b_t$  are linearly independent over  $\mathbf{Z}$ , we get

$$(2.4) \quad \sum_{j=1}^q \varepsilon_j \mu_{jk} = \sum_{j=1}^q \varepsilon'_j \mu_{jk} \quad (k = 1, \dots, t),$$

and hence

$$\begin{aligned} \sum_{j=1}^q \varepsilon_j \mu_j &= \sum_{j=1}^q \varepsilon_j \sum_{k=1}^t p_k \mu_{jk} = \sum_{k=1}^t p_k \sum_{j=1}^q \varepsilon_j \mu_{jk} \\ &= \sum_{k=1}^t p_k \sum_{j=1}^q \varepsilon'_j \mu_{jk} = \sum_{j=1}^q \varepsilon'_j \sum_{k=1}^t p_k \mu_{jk} = \sum_{j=1}^q \varepsilon'_j \mu_j. \end{aligned}$$

Let  $N$  be a positive integer. We call integers  $\mu_j$  *representations of rank  $N$*  of  $a_j$  if (2.3) implies (2.4) for any integers  $\varepsilon_j, \varepsilon'_j$  with  $\sum_{j=1}^q |\varepsilon_j| \leq N$  and  $\sum_{j=1}^q |\varepsilon'_j| \leq N$ . In particular we call representations of rank 1, simply, representations.

For the existence of representations of rank  $N$ , it is enough to take  $p_\tau = p^{\tau-1}$  ( $1 \leq \tau \leq t$ ) for an integer  $p > 2N \cdot \max\{|\mu_{jk}|; 1 \leq j \leq q, 1 \leq k \leq t\}$ .

We introduce the following Borel's Lemma, whose proof can be found, for example, on p. 186 of [La].

LEMMA 2.1. *If entire functions  $\alpha_0, \alpha_1, \dots, \alpha_n$  without zeros satisfy*

$$\alpha_0 + \alpha_1 + \dots + \alpha_n = 0,$$

*then for each  $j = 0, 1, \dots, n$  there exists some  $k \neq j$  such that  $\alpha_j/\alpha_k$  is constant.*

We use the following Lemma in the proof of Theorem 1.1 which is an application of Lemma 2.1.

LEMMA 2.2. *Let  $f$  and  $g$  be two nonconstant meromorphic functions sharing  $0, 1$  and  $\infty$  CM. If two of  $0, 1$  and  $\infty$  are the common Picard exceptional values of  $f$  and  $g$ , then  $f$  and  $g$  have one of the following relations:*

$$f = g, \quad fg = 1, \quad (f - 1)(g - 1) = 1 \quad \text{and} \quad \frac{f - 1}{f} \cdot \frac{g - 1}{g} = 1.$$

*Proof.* There exist entire functions  $\alpha_0, \alpha_1$  without zeros such that

$$(2.10) \quad f = \alpha_0 g, \quad f - 1 = \alpha_1 (g - 1).$$

First assume that  $0$  and  $\infty$  are the common Picard exceptional values of  $f$  and  $g$ . Then  $f$  and  $g$  are entire functions without zeros. We apply Lemma 2.1 to the second equation of (2.10). Since  $f$  and  $g$  are not constant, we have either  $f = \alpha_1 g, 1 = \alpha_1$  or  $f = -\alpha_1, -1 = \alpha_1 g$ . The former implies  $f = g$  and the latter  $fg = 1$ .

Next assume that  $1$  and  $\infty$  are the common Picard exceptional values of  $f$  and  $g$ . Then  $f - 1$  and  $g - 1$  are entire functions without zeros. We apply Lemma 2.1 to

$$(f - 1) = \alpha_0 (g - 1) + \alpha_0 - 1$$

which is induced from the former of (2.10). Since  $f$  and  $g$  are not constant, we have either  $f - 1 = \alpha_0 (g - 1), \alpha_0 = 1$  or  $f - 1 = \alpha_0, \alpha_0 (g - 1) = 1$ . The former implies  $f = g$  and the latter  $(f - 1)(g - 1) = 1$ .

Finally assume that 0 and 1 are the common Picard exceptional vaules of  $f$  and  $g$ . Then  $\frac{f-1}{f}$  and  $\frac{g-1}{g}$  are entire functions without zeros. We apply Lemma 2.1 to

$$\frac{f-1}{f} = \frac{1}{\alpha_0} \cdot \frac{g-1}{g} + 1 - \alpha_0$$

which is induced from the former of (2.10). Since  $f$  and  $g$  are not constant, we have either  $\frac{f-1}{f} = \frac{1}{\alpha_0} \cdot \frac{g-1}{g}$ ,  $\frac{1}{\alpha_0} = 1$  or  $\frac{f-1}{f} = -\frac{1}{\alpha_0}$ ,  $\frac{1}{\alpha_0} \cdot \frac{g-1}{g} = -1$ . The former implies  $f = g$  and the latter  $\frac{f-1}{f} \cdot \frac{g-1}{g} = 1$ . □

Now we investigate the torsion-free abelian multiplicative group  $G = \mathcal{E}/\mathcal{C}$ , where  $\mathcal{E}$  is the abelian group of entire functions without zeros and  $\mathcal{C}$  is the subgroup of all non-zero constant functions.

Let  $\alpha_1, \dots, \alpha_q$  be elements in  $\mathcal{E}$ . We represent by  $[\alpha_j]$  the element of  $\mathcal{E}/\mathcal{C}$  with the representative  $\alpha_j$ . Take representations  $\mu_j$  of rank  $N$  of  $[\alpha_j]$ . For  $\prod_{j=1}^q \alpha_j^{\varepsilon_j}$  we define its index by  $\sum_{j=1}^q \varepsilon_j \mu_j$ . The indices depend only on  $[\prod_{j=1}^q \alpha_j^{\varepsilon_j}]$ .

LEMMA 2.3. *Assume that there is a relation  $\Psi(\alpha_1, \dots, \alpha_q) \equiv 0$  where  $\Psi(X_1, \dots, X_q) \in \mathcal{C}[X_1, \dots, X_q]$  is a nonconstant polynomial of degree at most  $N$  of  $X_1, \dots, X_q$ . Then each term  $aX_1^{\varepsilon_1} \dots X_q^{\varepsilon_q}$  of  $\Psi(X_1, \dots, X_q)$  has another term  $bX_1^{\varepsilon'_1} \dots X_q^{\varepsilon'_q}$  such that  $\alpha_1^{\varepsilon_1} \dots \alpha_q^{\varepsilon_q}$  and  $\alpha_1^{\varepsilon'_1} \dots \alpha_q^{\varepsilon'_q}$  have the same indices, where  $a$  and  $b$  are non-zero constants.*

*Proof.* By using Lemma 2.1 each term  $aX_1^{\varepsilon_1} \dots X_q^{\varepsilon_q}$  has another term  $bX_1^{\varepsilon'_1} \dots X_q^{\varepsilon'_q}$  such that  $(\alpha_1^{\varepsilon_1} \dots \alpha_q^{\varepsilon_q})/(\alpha_1^{\varepsilon'_1} \dots \alpha_q^{\varepsilon'_q})$  is constant. This implies the conclusion of Lemma. □

### 3. Proof of Theorem 1.1

PROPOSITION 3.1. *Let  $f$  and  $g$  be two nonconstant meromorphic functions and  $P(z)$  a monic polynomial of degree  $n(\geq 1)$  such that  $P(0), P(1) \neq 0$ . Assume that there exist entire functions without zeros  $\alpha_0, \alpha_1, \alpha_2$  such that*

$$(3.1) \quad f = \alpha_0 g, \quad f - 1 = \alpha_1 (g - 1)$$

and

$$(3.2) \quad P(f) = \alpha_2 P(g).$$

and assume that  $f$  is not a Möbius transformation of  $g$  of type (i)~(vi) in Theorem 1.1. Then one of  $\alpha_2/\alpha_0^n$ ,  $\alpha_2/\alpha_1^n$  and  $\alpha_2$  is identically equal to 1.

*Proof.* Delete  $f$  and  $g$  from the relations (3.1) and (3.2). Then we have

$$(3.3) \quad \alpha_2\{P(0)\alpha_0^n + (-1)^n P(1)\alpha_1^n + (*) + 1\} \\ - \{(-1)^n \alpha_0^n \alpha_1^n + (**)\} + P(1)\alpha_0^n + P(0)(-1)^n \alpha_1^n (*) = 0.$$

Here the degree of each term of  $(*)$  is not greater than  $n$  about  $\alpha_0$  and  $\alpha_1$  and not greater than  $n - 1$  about each  $\alpha_j$  ( $j = 0, 1$ ), and the degree of each term of  $(**)$  is not greater than  $2n - 1$  and not smaller than  $n$  about  $\alpha_0$  and  $\alpha_1$  and not greater than  $n$  about each  $\alpha_j$  ( $j = 0, 1$ ).

Let  $\mu_0, \mu_1, \mu_2$  be representations of  $\alpha_0, \alpha_1, \alpha_2$  with rank  $2n$ . By assumption  $\mu_j \neq 0$  ( $j = 0, 1$ ) and  $\mu_0 \neq \mu_1$ , and we may assume  $\mu_0 < \mu_1$ . In addition we assume  $\mu_2 \neq 0$ .

(I) The case of  $\mu_0 < \mu_1 \leq \mu_2$ . If  $0 < \mu_0 < \mu_1 \leq \mu_2$ , the minimal indices of each terms in (3.3) may be only  $n\mu_0$  and  $\mu_2$ . Hence we have  $n\mu_0 = \mu_2$  by Lemma 2.3. If  $\mu_0 < 0 < \mu_1 \leq \mu_2$ , the minimal index of each terms in (3.3) is only  $n\mu_0$ , which contradicts to Lemma 2.3. If  $\mu_0 < \mu_1 < 0 < \mu_2$ , the minimal index of each terms in (3.3) is only  $n(\mu_0 + \mu_1)$ , which contradicts to Lemma 2.3. If  $\mu_0 < \mu_1 \leq \mu_2 < 0$ , the minimal indices of each terms in (3.3) is only  $n(\mu_0 + \mu_1)$ , which contradicts to Lemma 2.3. So we have  $n\mu_0 = \mu_2$  in this case.

(I') The case of  $\mu_2 \leq \mu_0 < \mu_1$ . We have also  $n\mu_0 = \mu_1$  as in the case (I).

(II) The case of  $\mu_0 < \mu_2 < \mu_1$ . If  $0 < \mu_0 < \mu_2 < \mu_1$ , the minimal indices of each terms in (3.3) may be only  $n\mu_0$  and  $\mu_2$ . Hence we have  $n\mu_0 = \mu_2$  by Lemma 2.3. If  $\mu_0 < 0 < \mu_2 < \mu_1$ , the minimal index of each terms in (3.3) is only  $n\mu_0$ , which contradicts to Lemma 2.3. If  $\mu_0 < \mu_2 < 0 < \mu_1$ , the minimal index of each terms in (3.3) is only  $n\mu_1$ , which contradicts to Lemma 2.3. If  $\mu_0 < \mu_2 < \mu_1 < 0$ , the minimal indices of each terms in (3.3) may be only  $n(\mu_0 + \mu_1)$  and  $n\mu_0 + \mu_2$ . Hence we have  $n\mu_1 = \mu_2$  by Lemma 2.3. So we have  $n\mu_0 = \mu_2$  in this case.

(III) The case of  $\mu_2 = \mu_0 < \mu_1$ . If  $0 < \mu_2 = \mu_0 < \mu_1$ , the minimal index of each terms in (3.3) is only  $\mu_2$ , which contradicts to Lemma 2.3. If  $\mu_2 = \mu_0 < 0 < \mu_1$ , the minimal index of each terms in (3.3) is only  $n\mu_0 + \mu_2$ , which contradicts to Lemma 2.3. If  $\mu_2 = \mu_0 < \mu_1 < 0$ , the minimal indices of each terms in (3.3) may be only  $n(\mu_0 + \mu_1)$  and  $n\mu_0 + \mu_2$ . Hence we have  $n\mu_1 = \mu_2$  by Lemma 2.3. So we have  $n\mu_1 = \mu_2$  in the case (III).

(III') The case of  $\mu_0 < \mu_1 = \mu_2$ . We have  $n\mu_0 = \mu_1$  as in this case.

Therefore since  $\mu_j$ 's have rank  $2n$ , one of  $\alpha_2/\alpha_0^n, \alpha_2/\alpha_1^n$  and  $\alpha_2$  is constant. Write it by  $C$ , then one of

$$P(f) = CP(g), \quad \frac{P(f)}{f^n} = C \frac{P(g)}{g^n}, \quad \frac{P(f)}{(f-1)^n} = C \frac{P(g)}{(g-1)^n}$$

holds. Since  $f$  and  $g$ , by assumption and Lemma 2.2, take simultaneously each of 0, 1 and  $\infty$  except at most one, we can conclude  $C = 1$ . □

*Remark.* Note that we get formalizations

$$P(f) = P(g), \quad f = \alpha_0 g, \quad f - 1 = \alpha_1 (g - 1)$$

in the case of  $\alpha_2 \equiv 1$ ,

$$Q\left(\frac{1}{f}\right) = Q\left(\frac{1}{g}\right), \quad \frac{1}{f} = \frac{1}{\alpha_0} \frac{1}{g}, \quad \frac{1}{f} - 1 = \frac{\alpha_1}{\alpha_0} \left(\frac{1}{g} - 1\right)$$

in the case  $\alpha_2/\alpha_0^n \equiv 1$  and

$$R\left(\frac{1}{1-f}\right) = R\left(\frac{1}{1-g}\right), \quad \frac{1}{1-f} = \frac{1}{\alpha_1} \frac{1}{1-g}, \quad \frac{1}{1-f} - 1 = \frac{\alpha_0}{\alpha_1} \left(\frac{1}{1-g} - 1\right)$$

in the case of  $\alpha_2/\alpha_1^n \equiv 1$ . Here monic polynomials  $Q(z)$  and  $R(z)$  of degree  $n$  are defined by

$$Q(z) = \frac{1}{P(0)} z^n P(1/z) \quad \text{and} \quad R(z) = \frac{1}{P(1)} z^n P\left(\frac{z-1}{z}\right)$$

which satisfy  $Q(0) \neq 0, Q(1) \neq 0, R(0) \neq 0, R(1) \neq 1$ .

**PROPOSITION 3.2.** *Let  $f$  and  $g$  be two nonconstant meromorphic functions and  $P(z)$  a monic polynomial of degree  $n(\geq 1)$  such that  $P(0) \neq 0, P(1) \neq 0$ . Assume that there exist entire functions without zeros  $\alpha_0, \alpha_1$  satisfying (3.1) and assume that  $f$  is not a Möbius transformation of  $g$  of type (i)~(vi) in Theorem 1.1. If in addition*

$$(3.4) \quad P(f) = P(g)$$

holds, then there exist polynomials  $\Phi(X) \in \mathbf{C}[X]$  and  $\varphi(z) = z^p(z-1)^q$  with  $p, q > 0$  and  $(p, q) = 1$  such that

$$\varphi(f) = \omega \varphi(g) \quad P_0(z) = \Phi(\varphi(z)),$$

where  $\omega^t = 1$  for  $t$  such that the coefficient of  $X^t$  of  $\Phi(X)$  is not zero.

*Remark.* If  $n = 1$ , (3.4) implies  $f = g$ . If  $n = 2$  and  $f \neq g$ , (3.4) implies  $f + g + a = 0$  for some constant  $a$ . However,  $a = 0$  or  $a = -2$  by Lemma 2.2, which are (i) and (ii), respectively.

*Proof.* We proceed the proof by induction on  $n$ .

Assume that the result holds for polynomials of degree not greater than  $n - 1$ .

Let  $c(\neq 0)$  the constant term of  $P(z)$ . There exist integers  $m_0 \geq 1$  and  $k_0 \geq 0$  and a monic polynomial  $P_1(z)$  such that

$$(3.5) \quad P(z) = z^{m_0}(z-1)^{k_0} P_1(z) + c \quad \text{and} \quad P_1(0) \neq 0, P_1(1) \neq 0.$$

Then we have

$$(3.6) \quad P_1(f) = \left(\frac{g}{f}\right)^{m_0} \left(\frac{g-1}{f-1}\right)^{k_0} P_1(g) = \frac{1}{\alpha_0^{m_0} \alpha_1^{k_0}} P_1(g).$$

Put  $n_1 := \deg P_1(z) = n - m_0 - k_0$ . If  $n_1 = 0$ , then  $\alpha_0^{m_0} \alpha_1^{k_0} = 1$ , and hence  $k_0 \geq 1$  and there is nothing to prove. So we consider the case of  $n_1 > 0$ . Apply Proposition 3.1 to (3.6) in place of (3.2), then one of the followings holds:

$$(3.7) \quad \alpha_0^{m_0} \alpha_1^{k_0} = 1;$$

$$(3.8) \quad \frac{1}{\alpha_0^{m_0} \alpha_1^{k_0}} / \alpha_0^{n_1} = 1 \quad i.e., \quad \alpha_0^{n-k_0} \alpha_1^{k_0} = 1;$$

$$(3.9) \quad \frac{1}{\alpha_0^{m_0} \alpha_1^{k_0}} / \alpha_1^{n_1} = 1 \quad i.e., \quad \alpha_0^{m_0} \alpha_1^{n-m_0} = 1.$$

Since  $\alpha_0$  and  $\alpha_1$  are nonconstant by assumption, all above exponents of  $\alpha_0$  and  $\alpha_1$  are positive. Now assume that (3.8) holds. Then we have

$$Q_1\left(\frac{1}{f}\right) = Q_1\left(\frac{1}{g}\right), \quad \frac{1}{g} = \frac{1}{\alpha_0} \frac{1}{g}, \quad \frac{1}{f} - 1 = \frac{\alpha_1}{\alpha_0} \left(\frac{1}{g} - 1\right)$$

where  $Q_1(z) := \frac{1}{P_1(0)} z^{n_1} P_1\left(\frac{1}{z}\right)$ . By applying the same process above to  $\frac{1}{f}, \frac{1}{g}$  and  $Q_1(z)$  there exist non-negative integers  $v_0, v_1$  such that  $v_0 + v_1 > 0$  and that

$$\left(\frac{1}{\alpha_0}\right)^{v_0} \left(\frac{\alpha_1}{\alpha_0}\right)^{v_1} = 1 \quad i.e., \quad \alpha_1^{v_1} = \alpha_0^{v_0+v_1},$$

which induce with (3.8) that one of  $\alpha_0$  and  $\alpha_1$  is constant. This is a contradiction to the assumption. Hence (3.8) is excluded and so is (III) by the same manner. We have now (3.9) and then  $P_1(f) = P(g)$  holds from (3.5). Note that  $k_0 > 0$ . By the assumption of induction there exists monic polynomials  $\Phi_1(X) \in \mathbf{C}[X]$  and  $\varphi_1(z) = z^{p_1}(z-1)^{q_1}$  with  $p_1, q_1 > 0$  and  $(p_1, q_1) = 1$  satisfying

$$P_1(z) = \Phi_1(\varphi_1(z)) \quad \text{and} \quad \varphi_1(f) = \omega_1 \varphi_1(g)$$

where  $\omega_1$  is a radical root of unity such that  $\omega_1^t = 1$  if the coefficients of  $X^t$  are not zero. Since some power of  $\omega_1 = \alpha_0^{p_1} \alpha_1^{q_1}$  is  $1 = \alpha_0^{m_0} \alpha_1^{k_0}$ , we have  $p_1 : q_1 = m_0 : k_0$ . For, otherwise,  $\alpha_0$  and  $\alpha_1$  are constant. So there exist an integer  $N$  such that

$$m_0 = Np_1, \quad k_0 = Nq_1,$$

and then  $\omega := \alpha_0^{p_1} \alpha_1^{q_1}$  is a constant such that  $\omega^N = 1$ . We obtain  $p_0 = p_1, q_0 = q_1$  and complete the proof by taking  $\Phi(X) = X^N \Phi_1(X) + c$  and  $\varphi(z) = \varphi_1(z)$  from (3.5) and  $P(z) = \{z^{p_1}(z-1)^{q_1}\}^N \Phi_1(\varphi_1(z)) + c$ . □

We have proved in place of Theorem 1.1

**THEOREM 3.3.** *Let  $f$  and  $g$  be two nonconstant meromorphic functions and  $P(z)$  a monic polynomial of degree  $n$  such that  $P(0), P(1) \neq 0$ . Assume that there exist entire functions without zeros  $\alpha_0, \alpha_1, \alpha_2$  satisfying (3.1) and (3.2). Then  $f$  and  $g$  have one of the relations (i)~(vii) in Theorem 1.1.*



**4. The case of cubic polynomials**

In this section we consider cubic polynomials  $P(z)$ . Let  $P(z) = z^3 + az^2 + bz + c$  a cubic polynomial without multiple zeros where  $a, b, c$  are constants with  $c \neq 0, a + b + c \neq -1$ . Let  $S$  be the zero points of  $P(z)$ .

Assume that two nonconstant meromorphic functions  $f$  and  $g$  on  $\mathbb{C}$  share  $0, 1, \infty$  and the set  $S$  CM. Let  $\alpha_0$  and  $\alpha_1$  be the entire functions without zeros satisfying (3.1).

Further we assume that none of (i)~(vi) holds. Then by Theorem 1.1 there exists a monic polynomial  $\varphi(z) = z^p(z - 1)^q$  with relatively prime positive integers  $p$  and  $q$  such that

$$P_0(z) = \varphi(z) + c \quad \text{and} \quad \varphi(f) = \varphi(g).$$

Note that  $\Phi(X) = X + c$  in this case.

If  $P_0(z) = P(z)$ , then  $P(z) = z^2(z - 1) + c$  or  $P(z) = z(z - 1)^2 + c$ . In the former

$$f = \frac{\alpha_0(\alpha_0 + 1)}{\alpha_0^2 + \alpha_0 + 1}, \quad g = \frac{\alpha_0 + 1}{\alpha_0^2 + \alpha_0 + 1}$$

with  $\alpha_0^2\alpha_1 = 1$ . In the latter

$$f = \frac{1}{\alpha_1^2 + \alpha_1 + 1}, \quad g = \frac{\alpha_1^2}{\alpha_1^2 + \alpha_1 + 1}$$

with  $\alpha_0\alpha_1^2 = 1$ .

If  $P_0(z) = Q(z)$ , then  $P(z) = z^3 - cz + c$  or  $P(z) = z^3 + cz^2 - 2cz + c$ . In the former

$$f = \frac{\alpha_0^2 + \alpha_0 + 1}{\alpha_0 + 1}, \quad g = \frac{\alpha_0^2 + \alpha_0 + 1}{\alpha_0(\alpha_0 + 1)}$$

with  $\alpha_0^2 = \alpha_1$ . In the latter

$$f = \frac{\alpha_1^2 + \alpha_1\alpha_0 + \alpha_0^2}{\alpha_0^2}, \quad g = \frac{\alpha_1^2 + \alpha_1\alpha_0 + \alpha_0^2}{\alpha_1^2}$$

with  $\alpha_1^2 = \alpha_0^3$ .

If  $P_0(z) = R(z)$ , then  $P(z) = z^3 - 3z^2 + bz - 1$  or  $P(z) = z^3 + az^2 + 3z - 1$ . In the former

$$f = \frac{\alpha_1^2}{\alpha_1 + 1}, \quad g = \frac{1}{\alpha_1(\alpha_1 + 1)}$$

with  $\alpha_0 = \alpha_1^3$ . In the latter

$$f = -\frac{\alpha_0(\alpha_0 + \alpha_1)}{\alpha_1^2}, \quad g = -\frac{\alpha_1(\alpha_0 + \alpha_1)}{\alpha_0^2}$$

with  $\alpha_0^2 = \alpha_1^3$ .

Now we identify the space of all monic polynomials of degree  $n$  with  $\mathbf{C}^n$  by the correspondence  $z^n + \sum_{j=1}^n a_j z^{n-j}$  with  $(a_1, \dots, a_n)$ . Let  $X_n$  be the subspace of all monic polynomials  $P(z)$  of degree  $n$  such that there exist two distinct nonconstant meromorphic functions  $f$  and  $g$  on  $\mathbf{C}$  satisfying (3.1) and (3.2) for some entire functions  $\alpha_j$  ( $j = 0, 1, 2$ ) and not any of (i)~(vi) in Theorem 1.1. Then  $X_3$  has dimension one under the above identification. As well if  $n$  is an odd prime number, so does  $X_n$ .

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