

## MINIMAL SURFACES IN A RIEMANNIAN MANIFOLD OF CONSTANT CURVATURE

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For surfaces in a 4-dimensional Riemannian manifold of constant curvature, the author [3] proved the following

**THEOREM.** *Let  $M$  be a 2-dimensional connected compact Riemannian manifold which is minimally immersed in a unit sphere of dimension 4. If the normal scalar curvature  $K_N$  is non-zero constant, then  $M$  may be regarded as a Veronese surface.*

In this paper, he generalizes the above theorem and proves the following

**THEOREM.** *Let  $M$  be a 2-dimensional connected compact Riemannian manifold which is minimally immersed in a  $(2+\nu)$ -dimensional unit sphere  $S^{2+\nu}$ . If the normal scalar curvature  $K_N$  is non-zero constant and the square of the second curvature  $k_2$  is less than  $K_N/4$ , then  $M$  is a generalized Veronese surface.*

By a generalized Veronese surface we mean a surface defined by Ōtsuki [6].

### § 1. Preliminaries.

Let  $\bar{M}$  be a  $(2+\nu)$ -dimensional Riemannian manifold of constant curvature  $\bar{c}$  and  $M$  be a 2-dimensional Riemannian manifold immersed isometrically in  $\bar{M}$  by the immersion  $x: M \rightarrow \bar{M}$ .  $F(\bar{M})$  and  $F(M)$  denote the orthonormal frame bundles over  $\bar{M}$  and  $M$  respectively. Let  $B$  be the set of all elements  $b = (\bar{p}, e_1, e_2, e_3, \dots, e_{2+\nu})$  such that  $(\bar{p}, e_1, e_2) \in F(\bar{M})$  and  $(\bar{p}, e_1, e_2, e_3, \dots, e_{2+\nu}) \in F(\bar{M})$  identifying  $\bar{p} \in \bar{M}$  with  $x(\bar{p})$  and  $e_i$  with  $dx(e_i)$ ,  $i = 1, 2$ . Then  $B$  is naturally considered as a smooth submanifold of  $F(\bar{M})$ . Let  $\bar{\omega}_A, \bar{\omega}_{AB} = -\bar{\omega}_{BA}$ ,  $A, B = 1, 2, 3, \dots, 2+\nu$ , be the basic and connection forms of  $\bar{M}$  on  $F(\bar{M})$  which satisfy the structure equations:

$$(1.1) \quad \begin{aligned} d\bar{\omega}_A &= \sum_B \bar{\omega}_{AB} \wedge \bar{\omega}_B, \\ d\bar{\omega}_{AB} &= \sum_C \bar{\omega}_{AC} \wedge \bar{\omega}_{CB} - \bar{c} \bar{\omega}_A \wedge \bar{\omega}_B. \end{aligned}$$

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In this paper, we use the following convention on the range of indices:

$$1 \leq A, B, C, \dots \leq 2 + \nu, \quad 1 \leq i, j, \dots \leq 2, \quad 3 \leq \alpha, \beta, \gamma, \dots \leq 2 + \nu.$$

Deleting the bars of  $\bar{\omega}_A, \bar{\omega}_{AB}$  on  $B$ , as is well known, we have

$$(1.2) \quad \omega_\alpha = 0,$$

$$(1.3) \quad \omega_{i\alpha} = \sum_j A_{\alpha ij} \omega_j, \quad A_{\alpha ij} = A_{\alpha ji},$$

$$(1.4) \quad d\omega_i = \omega_{ij} \wedge \omega_j, \quad i \neq j,$$

$$(1.5) \quad d\omega_{ij} = \sum_k \omega_{ik} \wedge \omega_{kj} - \Omega_{ij}, \quad \Omega_{ij} = \frac{1}{2} \sum_{k,l} R_{ijkl} \omega_k \wedge \omega_l,$$

$$(1.6) \quad R_{ijkl} = \bar{c}(\delta_{ik}\delta_{jl} - \delta_{il}\delta_{jk}) + \sum_\alpha (A_{\alpha ik}A_{\alpha jl} - A_{\alpha il}A_{\alpha jk}),$$

$$(1.7) \quad d\omega_{\alpha\beta} = \sum_\gamma \omega_{\alpha\gamma} \wedge \omega_{\gamma\beta} - \Omega_{\alpha\beta}, \quad \Omega_{\alpha\beta} = \frac{1}{2} \sum_{i,j} R_{\alpha\beta ij} \omega_i \wedge \omega_j,$$

$$(1.8) \quad R_{\alpha\beta ij} = \sum_k (A_{\alpha ik}A_{\beta jk} - A_{\alpha jk}A_{\beta ik}).$$

$M$  is said to be *minimal* if its mean curvature vector  $(1/2) \sum_{\alpha,i} A_{\alpha ii} e_\alpha$  vanishes identically, i.e., if  $\text{trace } A_\alpha = 0$  for all  $\alpha, A_\alpha = (A_{\alpha ij})$ . We say the dimension of the linear space of all second fundamental forms corresponding to normal vectors at  $p \in M$  with vanishing trace the *minimal index* at  $p$  and denote it by  $m\text{-index}_p M$ . We have easily

$$(1.9) \quad m\text{-index}_p M \leq 2 \quad \text{at each point } p \in M.$$

We denote the square of the norm of the system of all 2nd fundamental forms by

$$(1.10) \quad S = \frac{1}{2} \sum_{\alpha, i, j} A_{\alpha ij} A_{\alpha ij} = \sum_\alpha \|A_\alpha\|^2,$$

where for symmetric matrices  $A, B$  we define the inner product of  $A$  and  $B$  by

$$\langle A, B \rangle = \frac{1}{2} \text{trace } AB.$$

We define the *normal scalar curvature*  $K_N$  of  $M$  in  $\bar{M}$  as follows:

$$(1.11) \quad K_N = \sum_{i < j, \alpha < \beta} R_{\alpha\beta ij} R_{\alpha\beta ij} = \sum_{i < j, \alpha < \beta} \left( \sum_k (A_{\alpha ik} A_{\beta jk} - A_{\alpha jk} A_{\beta ik}) \right)^2.$$

Now, we assume that  $M$  is minimal in  $\bar{M}$  and  $K_N$  is non-zero non  $M$ . Then we have

$$(1.12) \quad m\text{-index}_p M = 2 \quad \text{at each point } p \text{ on } M.$$

Hence, as stated in [5], we can decompose the normal space  $N_p$  at  $p \in M$  as follows:

$$(1.13) \quad \begin{aligned} N_p &= N'_p + O_p, & N'_p &\perp O_p, & O_p &= \phi_b^{-1}(0), \\ \dim N'_p &= 2, \end{aligned}$$

where  $\phi_b$  is a linear mapping from  $N_p$  into the set of all symmetric matrices of order 2 defined by  $\phi_b(\sum_\alpha v_\alpha e_\alpha) = \sum_\alpha v_\alpha A_\alpha$ . This decomposition does not depend on the choice of a frame  $b$  over  $p$  and is smooth. Let  $B_0$  be the set of all  $b \in B$  such that  $e_3, e_4 \in N'_p$ . Then  $B_0$  is a smooth submanifold of  $B$ . On  $B_0$ , we have

$$(1.14) \quad \omega_{i\beta} = 0, \quad \text{i.e., } A_\beta = 0 \quad \text{for } \beta > 4.$$

Therefore we have

$$(1.15) \quad K_N = R_{3412}^2 = \left( \sum_k (A_{31k}A_{42k} - A_{32k}A_{41k}) \right)^2.$$

As a special case of [6], we can verify the following

LEMMA 1. *On  $B_0$ , for a fixed  $\beta > 4$ , we have  $\omega_{3\beta} \equiv \omega_{4\beta} \equiv 0 \pmod{\omega_1, \omega_2}$  and  $\omega_{3\beta} = \omega_{4\beta} = 0$  or else  $\omega_{3\beta} \wedge \omega_{4\beta} \neq 0$ .*

Now, by virtue of Lemma 1, we can define two linear mappings  $\varphi_{11}$  and  $\varphi_{12}$  from  $M_p$  into  $O_p$  corresponding to the normal vector  $e_3$  and  $e_4$  as follows: for any  $X \in M_p$ ,

$$(1.16) \quad \varphi_{11}(X) = \sum_{\beta > 4} \|A_3\| \cdot \omega_{3\beta}(X) e_\beta, \quad \varphi_{12}(X) = \sum_{\beta > 4} \|A_4\| \cdot \omega_{4\beta}(X) e_\beta.$$

As stated in [5], these two linear mappings have the same image of the tangent unit sphere  $S_p^1 = \{X \in M_p: \|X\| = 1\}$  and  $\varphi_{11}(X)$  and  $\varphi_{12}(X)$  are conjugate to each other with respect to the image when it is an ellipse. We define the second curvature  $k_2(p)$  of  $M$  at  $p$  by

$$(1.17) \quad k_2(p) = \max_{X \in S_p^1} \|\varphi_{11}(X)\| = \max_{X \in S_p^1} \|\varphi_{12}(X)\|.$$

It is clear that  $k_2(p)$  is continuous on  $M$ .

## § 2. Minimal surfaces with non-zero constant $K_N$ .

In this section we assume that  $M$  is connected, compact and minimal in  $\bar{M}$ ,  $K_N$  is non-zero constant and  $4k_2^2 < K_N$  at each point of  $M$ .

LEMMA 2. *We have identically*

$$(2.1) \quad S^2 = K_N \quad \text{on } M.$$

*Proof.* From (1.10), (1.14) and (1.15), we have

$$(2.2) \quad S^2 - K_N = \{(A_{311} - A_{412})^2 + (A_{312} + A_{411})^2\} \{(A_{311} + A_{412})^2 + (A_{312} - A_{411})^2\} \geq 0.$$

Hence, if it is not identically  $S^2 = K_N$ , the function  $S^2 - K_N$  takes its positive maximum at some point  $p_0 \in M$ , because  $M$  is compact. Let  $U$  be a neighborhood of  $p_0$  in which we can choose  $b \in B_0$  such that

$$(2.3) \quad A_3 = \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}, \quad A_4 = \begin{pmatrix} 0 & \mu \\ \mu & 0 \end{pmatrix}, \quad \lambda^2 > \mu^2 > 0,$$

where  $\lambda$  and  $\mu$  are differentiable functions on  $U$ . Then we have

$$K_N = 4\lambda^2\mu^2, \quad S = \lambda^2 + \mu^2.$$

From (1.1) and (2.3) we have

$$(2.4) \quad \begin{aligned} d\lambda \wedge \omega_1 + (2\lambda\omega_{12} - \mu\omega_{34}) \wedge \omega_2 &= 0, \\ d\lambda \wedge \omega_2 - (2\lambda\omega_{12} - \mu\omega_{34}) \wedge \omega_1 &= 0; \end{aligned}$$

$$(2.5) \quad \begin{aligned} d\mu \wedge \omega_1 + (2\mu\omega_{12} - \lambda\omega_{34}) \wedge \omega_2 &= 0, \\ d\mu \wedge \omega_2 - (2\mu\omega_{12} - \lambda\omega_{34}) \wedge \omega_1 &= 0. \end{aligned}$$

Since  $K_N = 4\lambda^2\mu^2$  is constant, from (2.4) and (2.5) we have

$$4\lambda\mu\omega_{12} = (\lambda^2 + \mu^2)\omega_{34},$$

and hence

$$(2.6) \quad K_N\omega_{12} = \lambda\mu S\omega_{34}.$$

Differentiating both sides of (2.6), we get

$$(2.7) \quad \begin{aligned} K_N d\omega_{12} &= \lambda\mu dS \wedge \omega_{34} + \lambda\mu S d\omega_{34} \\ &= \lambda\mu dS \wedge \omega_{34} - 2\lambda^2\mu^2 S \omega_1 \wedge \omega_2 - \lambda\mu S \sum_{\beta>4} \omega_{3\beta} \wedge \omega_{4\beta}. \end{aligned}$$

On the other hand, since  $\omega_{i\beta} = 0$  ( $\beta > 4$ ),  $i = 1, 2$ , we have

$$d\omega_{i\beta} = \omega_{i3} \wedge \omega_{3\beta} + \omega_{i4} \wedge \omega_{4\beta} = 0,$$

which reduce to

$$\begin{aligned} \lambda\omega_{3\beta} \wedge \omega_1 + \mu\omega_{4\beta} \wedge \omega_2 &= 0, \\ \lambda\omega_{3\beta} \wedge \omega_2 - \mu\omega_{4\beta} \wedge \omega_1 &= 0. \end{aligned}$$

By Cartan's Lemma, we may put

$$\begin{aligned}\lambda\omega_{3\bar{\beta}} &= f_{\bar{\beta}}\omega_1 + g_{\bar{\beta}}\omega_2, \\ \mu\omega_{4\bar{\beta}} &= g_{\bar{\beta}}\omega_1 - f_{\bar{\beta}}\omega_2,\end{aligned}$$

and define two normal vectors  $F_1 = \sum_{4 < \alpha} f_{\alpha}e_{\alpha}$  and  $G_1 = \sum_{4 < \alpha} g_{\alpha}e_{\alpha}$ . Then we have

$$(2.8) \quad \lambda\mu \sum_{\bar{\beta} > 4} \omega_{3\bar{\beta}} \wedge \omega_{4\bar{\beta}} = -(\|F_1\|^2 + \|G_1\|^2)\omega_1 \wedge \omega_2.$$

By means of Cartan's Lemma, from (2.4) and (2.5) we have

$$\begin{aligned}2\lambda\omega_{12} - \mu\omega_{34} &= \lambda_2\omega_1 - \lambda_1\omega_2, \\ 2\mu\omega_{12} - \lambda\omega_{34} &= \mu_2\omega_1 - \mu_1\omega_2,\end{aligned}$$

putting  $d\lambda = \lambda_1\omega_1 + \lambda_2\omega_2$  and  $d\mu = \mu_1\omega_1 + \mu_2\omega_2$ . Thus we get

$$(\lambda^2 - \mu^2)\omega_{34} = (\lambda_2\mu - \lambda\mu_2)\omega_1 - (\lambda_1\mu - \lambda\mu_1)\omega_2.$$

Since  $\lambda\mu = \text{constant}$  and hence  $\lambda\mu_i + \lambda_i\mu = 0$ , we have

$$\omega_{34} = \frac{2\mu}{\lambda^2 - \mu^2}(\lambda_2\omega_1 - \lambda_1\omega_2)$$

and

$$\lambda\mu dS = 2\mu(\lambda^2 - \mu^2)d\lambda = 2\mu(\lambda^2 - \mu^2)(\lambda_1\omega_1 + \lambda_2\omega_2).$$

Hence we have

$$(2.9) \quad \lambda\mu dS \wedge \omega_{34} = -4\mu^2\|\nabla\lambda\|^2\omega_1 \wedge \omega_2,$$

where  $\nabla\lambda$  is the gradient vector of  $\lambda$ . From (2.7), (2.8) and (2.9), we have

$$(2.10) \quad KK_N = 4\mu^2\|\nabla\lambda\|^2 + \frac{K_N S}{2} - S(\|F_1\|^2 + \|G_1\|^2),$$

where  $K$  is the Gaussian curvature of  $M$ . Since  $K_N > 4k_2^2 \geq 0$ ,  $2k_2^2 \geq \|F_1\|^2 + \|G_1\|^2$ , and  $S > 0$ , we have

$$KK_N \geq 4\mu^2\|\nabla\lambda\|^2 + \frac{1}{2}K_N S - 2Sk_2^2 > 0,$$

so that we get

$$(2.11) \quad K > 0 \quad \text{on } U.$$

From (2.4) and (2.5), we have

$$\begin{aligned}d(\lambda^2 - \mu^2) \wedge \omega_1 + 4(\lambda^2 - \mu^2)d\omega_1 &= 0, \\ d(\lambda^2 - \mu^2) \wedge \omega_2 + 4(\lambda^2 - \mu^2)d\omega_2 &= 0,\end{aligned}$$

which imply that there exists a neighborhood  $V$  of  $p_0$  where we have isothermal coordinates  $(u, v)$  such that

$$ds^2 = E\{du^2 + dv^2\}, \quad \omega_1 = \sqrt{E} du, \quad \omega_2 = \sqrt{E} dv, \quad \sqrt{\lambda^2 - \mu^2} E = 1,$$

where  $E = E(u, v)$  is a positive function on  $V$ . With respect to these isothermal coordinates,  $K$  is given by  $K = -(1/2E) \Delta \log E$ . Since  $\sqrt{\lambda^2 - \mu^2} E = 1$  and  $(\lambda^2 - \mu^2)^2 = S^2 - K_N$ , we obtain

$$(2.12) \quad K = \frac{\sqrt{\lambda^2 - \mu^2}}{8} \Delta \log (S^2 - K_N),$$

which, together with (2.11), implies

$$\Delta \log (S^2 - K_N) > 0 \quad \text{on } V.$$

Thus  $\log (S^2 - K_N)$  is a subharmonic function on  $V$  and takes its maximum at  $p_0$  by our assumption, so that  $\log (S^2 - K_N)$  must be constant. Then, (2.12) implies  $K = 0$ , which contradicts  $K > 0$ . Q. E. D.

By Lemma 2, for a frame  $b \in B_0$  we have  $\|A_3\| = \|A_4\|$  and  $\langle A_3, A_4 \rangle = 0$ . Therefore, on a neighborhood  $U(p)$  of  $p$  of  $M$  we can choose a frame field  $b \in B_0$  such that

$$(2.13) \quad A_3 = \begin{pmatrix} k_1 & 0 \\ 0 & -k_1 \end{pmatrix}, \quad A_4 = \begin{pmatrix} 0 & k_1 \\ k_1 & 0 \end{pmatrix},$$

where  $k_1$  is non-zero constant on  $M$ . It follows from (2.13) that

$$(2.14) \quad \omega_{34} = 2\omega_{12}$$

and we may put

$$\begin{aligned} k_1 \omega_{3\beta} &= f_\beta \omega_1 + g_\beta \omega_2, \\ k_1 \omega_{4\beta} &= g_\beta \omega_1 - f_\beta \omega_2, \quad 4 < \beta, \end{aligned}$$

as in the proof of Lemma 2. Then, (2.14) implies

$$\|F_1\|^2 + \|G_1\|^2 = 2k_1^2(k_1^2 - K),$$

where  $F_1 = \sum_{4 < \beta} f_\beta e_\beta$  and  $G_1 = \sum_{4 < \beta} g_\beta e_\beta$ .

Since  $\|F_1\|^2 + \|G_1\|^2 \leq 2k_1^2 < K_N/2 = 2k_1^4$  and  $K = \bar{c} - S = \bar{c} - 2k_1^2$ , we see

$$(2.15) \quad K = \text{positive constant on } M.$$

Then, we have the following

LEMMA 3. *The image of  $S_p^2$  under  $\varphi_{11}$  (or  $\varphi_{12}$ ) is a circle with constant radius  $k_2 = k_1 \sqrt{k_1^2 - K}$ , where the circle is a point if  $k_2 = 0$  on  $M$ .*

*Proof.* Putting

$$l_2 = \text{Min}_{x \in S_p^1} \|\varphi_{11}(X)\| = \text{Min}_{x \in S_p^1} \|\varphi_{12}(X)\|,$$

we can see

$$(k_2^2 - l_2^2)^2 = (\|F_1\|^2 - \|G_1\|^2)^2 + 4 \langle F_1, G_1 \rangle^2 \geq 0,$$

so  $(k_2^2 - l_2^2)^2$  is a differentiable function on  $M$ , because  $\{p, F_1, G_1\}$  obey an analogous rule to the rotation of the 2-frame  $\{p, e_3, e_4\}$ . Hence, if  $k_2 = l_2$  does not hold identically on  $M$ , then  $(k_2^2 - l_2^2)^2$  takes its positive maximum at some point  $p_1$  on  $M$ . Let  $U_1$  be a neighborhood of  $p_1$  on which  $k_2 > l_2$  and we can choose isothermal coordinate  $(u, v)$  and a frame  $b \in B_0$  satisfying (2.13) and

$$(2.16) \quad ds^2 = E \{du^2 + dv^2\}, \quad \omega_1 = \sqrt{E} du, \quad \omega_2 = \sqrt{E} dv,$$

where  $E = E(u, v)$  is a positive function on  $U_1$ . Since  $\|F_1\|^2 + \|G_1\|^2 > 0$  on  $U_1$ , we may assume  $F_1 \neq 0$  on a small neighborhood  $V_1$  of  $p_1$  in  $U_1$ . Then we can choose a frame field  $b \in B_0$  satisfying (2.13), (2.16) and

$$F_1 = fe_5, \quad G_1 = \sum_{4 < \alpha} g_\alpha e_\alpha,$$

where  $f$  is a non-zero differentiable function and  $g_\alpha$  are differentiable functions on  $V_1$ . Then we have

$$\begin{aligned} k_1 \omega_{35} &= f \omega_1 + g_5 \omega_2, & k_1 \omega_{3\beta} &= g_\beta \omega_2, \\ k_1 \omega_{45} &= g_5 \omega_1 - f \omega_2, & k_1 \omega_{4\beta} &= g_\beta \omega_1, \quad 5 < \beta. \end{aligned}$$

Using these equations and  $\omega_{34} = 2\omega_{12}$ , from the structure equations we obtain

$$\begin{aligned} df \wedge \omega_1 + dg_5 \wedge \omega_2 + 3fd\omega_1 + 3g_5d\omega_2 &= \omega_2 \wedge \left( \sum_{5 < \beta} g_\beta \omega_{\beta 5} \right), \\ dg_5 \wedge \omega_1 - df \wedge \omega_2 + 3g_5d\omega_1 - 3fd\omega_2 &= \omega_1 \wedge \left( \sum_{5 < \beta} g_\beta \omega_{\beta 5} \right), \\ dg_\beta \wedge \omega_2 + 3g_\beta d\omega_2 &= -f\omega_1 \wedge \omega_{\beta 5} - g_5\omega_2 \wedge \omega_{\beta 5} + \omega_2 \wedge \left( \sum_\gamma g_\gamma \omega_{\gamma \beta} \right), \\ dg_\beta \wedge \omega_1 + 3g_\beta d\omega_1 &= -g_5\omega_1 \wedge \omega_{\beta 5} + f\omega_2 \wedge \omega_{\beta 5} + \omega_1 \wedge \left( \sum_\gamma g_\gamma \omega_{\gamma \beta} \right), \end{aligned}$$

which imply that the complex valued function  $E^3(\|G_1\|^2 - \|F_1\|^2) + 2tE^3 \langle F_1, G_1 \rangle$  is holomorphic in  $z = u + iv$ , so that

$$(2.17) \quad \begin{aligned} -6\Delta \log E &= \Delta \log \{(\|F_1\|^2 - \|G_1\|^2)^2 + 4 \langle F_1, G_1 \rangle^2\} \\ &= \Delta \log (k_2^2 - l_2^2)^2 \quad \text{on } V_1. \end{aligned}$$

Since  $K$  is given by  $K = -(1/2E)\Delta \log E$  and is positive from (2.15), (2.17) implies that  $\log(k_2^2 - l_2^2)^2$  is a subharmonic function on  $V_1$ . Since  $(k_2^2 - l_2^2)^2$  takes its positive

maximum at  $p_1$  in  $V_1$ ,  $\log(k_2^2 - l_2^2)^2$  must be constant so that (2.7) implies  $K=0$ , which contradicts  $K>0$ . Thus,  $k_2=l_2$  holds at every point on  $M$ . Furthermore, we have  $\|F_1\|=\|G_1\|$  and  $\langle F_1, G_1 \rangle=0$  for any frame  $b \in B_0$  satisfying (2.13). Since  $2k_2^2 = \|F_1\|^2 + \|G_1\|^2 = 2k_1^2(k_1^2 - K)$  is constant on  $M$ ,  $k_2 = l_2 = k_1 \sqrt{k_1^2 - K}$  is constant on  $M$ . Q. E. D.

By Lemma 3, if  $k_2=0$  on  $M$ , then the geodesic codimension of  $M$  in  $\bar{M}$  is 2, so that  $M$  is a Veronese surface (see [3]). If  $k_2 \neq 0$  on  $M$ , then by Lemma 2 and 3, on a neighborhood  $U(p)$  of a  $p$  of  $M$  we can choose a frame field  $b \in B_0$  satisfying (2.13) and

$$(2.18) \quad \begin{aligned} k_1\omega_{35} &= k_2\omega_1 = k_1\omega_{46} \\ k_1\omega_{36} &= k_2\omega_2 = -k_1\omega_{45}, \quad \omega_{3\beta} = \omega_{4\beta} = 0, \quad 6 < \beta, \end{aligned}$$

where  $k_2$  is non-zero constant on  $M$ . Then, from (2.14) and (2.18) we obtain

$$(2.19) \quad \omega_{56} = 3\omega_{12}$$

and we may put

$$\begin{aligned} k_2\omega_{5\beta} &= f_\beta\omega_1 + g_\beta\omega_2 \\ k_2\omega_{6\beta} &= g_\beta\omega_1 - f_\beta\omega_2, \quad 6 < \beta, \end{aligned}$$

where  $f_\beta$  and  $g_\beta$  are differentiable functions on  $U(p)$ . We consider two linear mappings  $\varphi_{21}$  and  $\varphi_{22}$  from  $M_p$  into  $N_p$  as follows

$$\begin{aligned} \varphi_{21}(X) &= \sum_{\beta} k_2\omega_{5\beta}(X)e_{\beta} = \omega_1(X)F_2 + \omega_2(X)G_2, \\ \varphi_{22}(X) &= \sum_{\beta} k_2\omega_{6\beta}(X)e_{\beta} = \omega_1(X)G_2 - \omega_2(X)F_2, \end{aligned}$$

where  $X$  is a tangent vector to  $M$  and  $F_2 = \sum_{6 < \beta} f_\beta e_{\beta}$  and  $G_2 = \sum_{6 < \beta} g_\beta e_{\beta}$  are normal vector fields on  $U(p)$ . Using (2.19) and the structure equations, we obtain

$$\|F_2\|^2 + \|G_2\|^2 = k_2^2 \left( \frac{2k_2^2}{k_1^2} - 3K \right) = \text{constant on } M.$$

In the same manner as the proof of Lemma 3, we can prove the following

LEMMA 4. *If  $k_2 = \text{constant} \neq 0$  on  $M$ , the image of  $S_p^1$  under  $\varphi_{21}$  (or  $\varphi_{22}$ ) is a circle with constant radius  $k_2 \sqrt{k_2^2/k_1^2 - 3K/2}$ , where the circle is a point if  $2k_2^2 = 3k_1^2K$  on  $M$ .*

If  $2k_2^2 = 3k_1^2K$  on  $M$ , then the geodesic codimension of  $M$  is 4, because  $\omega_{i\beta} = 0$  ( $4 < \beta$ ),  $\omega_{3\gamma_1} = \omega_{4\gamma_1} = 0$  ( $6 < \gamma_1$ ) and  $\omega_{5\gamma_2} = \omega_{6\gamma_2} = 0$  ( $8 < \gamma_2$ ). Henceforth, we may consider the case  $2k_2^2 \neq 3k_1^2K$  on  $M$ . Then, by Lemmas 2, 3 and 4, on a neighborhood of a point  $p$  on  $M$  we can choose a frame field  $b \in B_0$  satisfying (2.13), (2.18) and the following conditions:

$$k_2\omega_{57} = k_3\omega_1 = k_2\omega_{68},$$

$$k_2\omega_{58} = k_3\omega_2 = -k_2\omega_{67}, \quad \omega_{57} = \omega_{67} = 0, \quad 8 < \gamma,$$

where  $k_3$  is a non-zero constant on  $M$ . From the above equations we get

$$(2.20) \quad \omega_{78} = 4\omega_{12}.$$

We use the following convention about indices:

$$I_0 = \{1, 2\}, \quad I_t = \{2t+1, 2t+2\}, \quad t = 1, 2, \dots, m,$$

and if we write  $\alpha_1, \alpha_2 \in I_t$ , then  $\alpha_1 < \alpha_2$ .

Now we shall prove the following

**THEOREM 1.** *Let  $M$  be a 2-dimensional connected compact Riemannian manifold which is isometrically and minimally immersed in a Riemannian manifold  $\bar{M}$  of constant curvature  $\bar{c}$ . If the normal scalar curvature  $K_N$  is non-zero constant on  $M$  and the square of the second curvature  $k_2$  is less than  $K_N/4$ , then the geodesic codimension of  $M$  in  $\bar{M}$  is even  $2m$  ( $m$  is a positive integer), and we can choose a frame  $b \in B_0$  such that*

$$(2.21) \quad \begin{aligned} k_{t-1}\omega_{\alpha_1\beta_1} &= k_t\omega_1 = k_{t-1}\omega_{\alpha_2\beta_2}, & \omega_{\alpha_1\gamma} &= 0, \\ k_{t-1}\omega_{\alpha_1\beta_2} &= k_t\omega_2 = -k_{t-1}\omega_{\alpha_2\beta_1}, & \omega_{\alpha_2\gamma} &= 0, \\ \alpha_1, \alpha_2 &\in I_{t-1}, & \beta_1, \beta_2 &\in I_t, & 2t+2 &< \gamma, \\ t &= 1, 2, \dots, m, \end{aligned}$$

where  $k_0 = 1$  and  $k_t$  ( $2 \leq t \leq m$ ) are non-zero constant on  $M$ . Furthermore, we obtain

$$(2.22) \quad \omega_{\alpha_1\alpha_2} = (t+1)\omega_{12}, \quad \alpha_1, \alpha_2 \in I_t \quad (t = 1, 2, \dots, m),$$

$$(2.23) \quad (t+1)K = \frac{2k_t^2}{k_{t-1}^2} - \frac{2k_{t+1}^2}{k_t^2} \quad (t = 1, \dots, m-1),$$

$$(2.24) \quad (m+1)K = \frac{2k_m^2}{k_{m-1}^2}.$$

*Proof.* By induction with respect to  $t$ , we shall prove the theorem. For  $t=1$ , 2 and 3, we proved our assertions by Lemmas 2, 3 and 4 respectively. Hence, we suppose that our (2.21), (2.22) and (2.23) hold for all  $t \leq t_0$ . In this case, we shall prove that our assertion holds for  $t_0+1$ . Then, since  $\omega_{\alpha_{17}} = \omega_{\alpha_{27}} = 0$ ,  $\alpha_1, \alpha_2 \in I_{t_0-1}$ ,  $2t_0+2 < \gamma$ , we have

$$\begin{aligned} k_{t_0}\omega_{\beta_{17}} \wedge \omega_1 + k_{t_0}\omega_{\beta_{27}} \wedge \omega_2 &= 0, \\ k_{t_0}\omega_{\beta_{17}} \wedge \omega_2 - k_{t_0}\omega_{\beta_{27}} \wedge \omega_1 &= 0, \quad \beta_1, \beta_2 \in I_{t_0}, \end{aligned}$$

which, together with Cartan's lemma, imply that we may put

$$k_{t_0}\omega_{\beta_1\gamma} = f_\gamma\omega_1 + g_\gamma\omega_2,$$

$$k_{t_0}\omega_{\beta_2\gamma} = g_\gamma\omega_1 - f_\gamma\omega_2, \quad 2t_0 + 2 < \gamma,$$

and define two normal vector fields  $F_{t_0} = \sum_\gamma f_\gamma e_\gamma$  and  $G_{t_0} = \sum_\gamma g_\gamma e_\gamma$ . We consider two linear mappings  $\varphi_{t_0,1}$  and  $\varphi_{t_0,2}$  from  $M_p$  into  $N_p$  as follows:

$$\varphi_{t_0,1}(X) = \sum_\gamma k_{t_0}\omega_{\beta_1\gamma}(X)e_\gamma = \omega_1(X)F_{t_0} + \omega_2(X)G_{t_0},$$

$$\varphi_{t_0,2}(X) = \sum_\gamma k_{t_0}\omega_{\beta_2\gamma}(X)e_\gamma = \omega_1(X)G_{t_0} - \omega_2(X)F_{t_0},$$

where  $X$  is a tangent vector to  $M$ . Putting

$$k_{t_0+1} = \text{Max}_{x \in S^1_p} \|\varphi_{t_0,1}(X)\| = \text{Max}_{x \in S^1_p} \|\varphi_{t_0,2}(X)\| \quad \text{and} \quad l_{t_0+1} = \text{Min}_{x \in S^1_p} \|\varphi_{t_0,1}(X)\| = \text{Min}_{x \in S^1_p} \|\varphi_{t_0,2}(X)\|,$$

we can see

$$(k_{t_0+1}^2 - l_{t_0+1}^2)^2 = (\|F_{t_0}\|^2 - \|G_{t_0}\|^2)^2 + 4 \langle F_{t_0}, G_{t_0} \rangle^2,$$

so  $(k_{t_0+1}^2 - l_{t_0+1}^2)^2$  is a differentiable function on  $M$ , because  $\{p, F_{t_0}, G_{t_0}\}$  obey an analogous rule to the rotation of the 2-frame  $\{p, e_{\beta_1}, e_{\beta_2}\}$ . Hence, similarly to the proof of Lemma 3, we can see that  $k_{t_0+1} = l_{t_0+1}$  holds everywhere on  $M$ . On the other hand, since  $\omega_{\beta_1\beta_2} = (t_0 + 1)\omega_{12}$ , we get

$$2k_{t_0+1}^2 = \|F_{t_0}\|^2 + \|G_{t_0}\|^2 = k_{t_0}^2 \left( \frac{2k_{t_0}^2}{k_{t_0-1}^2} - (t_0 + 1)K \right) = \text{constant on } M.$$

If  $2k_{t_0}^2 = (t_0 + 1)k_{t_0-1}^2 K$  on  $M$ , we can see that the geodesic codimension of  $M$  is  $2t_0$  and (2.24) holds. Therefore, we consider the case  $2k_{t_0}^2 \neq (t_0 + 1)k_{t_0-1}^2 K$  on  $M$ . Then, by the above argument, we can choose a frame field  $b \in B_0$  satisfying (2.21) for all  $t \leq t_0$  and

$$k_{t_0}\omega_{\beta_1\gamma_1} = k_{t_0+1}\omega_1 = k_{t_0}\omega_{\beta_2\gamma_2}, \quad \omega_{\beta_1\gamma} = 0,$$

$$k_{t_0}\omega_{\beta_1\gamma_2} = k_{t_0+1}\omega_2 = -k_{t_0}\omega_{\beta_2\gamma_1}, \quad \omega_{\beta_2\gamma} = 0,$$

$$\gamma_1, \gamma_2 \in I_{t_0+1}, \quad 2t_0 + 4 < \gamma,$$

where  $k_{t_0+1}$  is non-zero constant on  $M$ , which imply that (2.21), (2.22) and (2.23) hold for  $t_0 + 1$ . Thus, it is clear that the geodesic codimension of  $M$  in  $\bar{M}$  is even  $2m$ . Then, since we have  $\omega_{2m+1 \ 2m+2} = (m + 1)\omega_{12}$  and  $\omega_{2m+1 \ \gamma} = \omega_{2m \ \gamma} = 0$  ( $2m + 2 < \gamma$ ), we obtain (2.24). Q. E. D.

§ 3. The proof of the main theorem.

By an analogous computation to the one in § 4 in [7], from Theorem 1 we obtain the following

THEOREM 2. Let  $M$  be a 2-dimensional connected compact Riemannian manifold which is isometrically and minimally immersed in a Riemannian manifold  $\bar{M}$  of constant curvature  $\bar{c}$ . If the normal scalar curvature  $K_N$  is non-zero constant on  $M$  and if the square of the second curvature  $k_2$  is less than  $K_N/4$ , then the geodesic codimension is even  $2m$  and the Gaussian curvature  $K$  is positive constant, and supposing  $K=1$ , there exist  $m$  constants  $b_t=(m-t+1)(m+t+2)/4$   $1 \leq t \leq m$ , and  $m$  complex normal vector fields  $\xi_1, \dots, \xi_m$  such that

$$(I) \quad \begin{aligned} \xi_t \cdot \xi_s &= \xi_t \cdot \bar{\xi}_s = 0, & t \neq s, \\ \xi_t \cdot \xi_t &= 0, & \xi_t \cdot \bar{\xi}_t = 2, & t = 2, 3, \dots, m \end{aligned}$$

and

$$(II) \quad \begin{aligned} dx &= \frac{1}{h}(\bar{\xi}_0 dz + \xi_0 d\bar{z}), & \xi_0 &= e_1 + ie_2, \\ \bar{D}\xi_0 &= \frac{1}{h}\xi_0(\bar{z}dz - zd\bar{z}) + \frac{2\sqrt{b_1}}{h}\xi_1 d\bar{z}, & h &= 1 + z\bar{z}, \\ \bar{D}\xi_1 &= -\frac{2\sqrt{b_1}}{h}\xi_0 dz + \frac{2}{h}\xi_1(\bar{z}dz - zd\bar{z}) + \frac{2\sqrt{b_2}}{h}\xi_2 d\bar{z}, \\ & \dots\dots\dots, \\ \bar{D}\xi_t &= -\frac{2\sqrt{b_t}}{h}\xi_{t-1} dz + \frac{t+1}{h}\xi_t(\bar{z}dz - zd\bar{z}) + \frac{2\sqrt{b_{t+1}}}{h}\xi_{t+1} d\bar{z}, \\ & \dots\dots\dots, \\ \bar{D}\xi_m &= -\frac{2\sqrt{b_m}}{h}\xi_{m-1} dz + \frac{m+1}{h}\xi_m(\bar{z}dz - zd\bar{z}), \end{aligned}$$

where  $z$  is an isothermal complex coordinate of  $M$  and  $\bar{D}$  denotes the covariant differentiation of  $\bar{M}$ .

In Theorem 2 we may consider  $\bar{M}^{2+2m} = \bar{M} = S^{2+2m}(R)$ , where  $S^{2+2m}(R)$  denotes the  $(2+2m)$ -sphere of radius  $R$ :

$$\frac{1}{R^2} = \bar{c} = \frac{(m+1)(m+2)}{2}.$$

We regard as  $S^{2+2m}(R) \subset E^{3+2m}$  and put

$$(3.1) \quad \frac{x}{R} = e_{3+2m}.$$

By (3.1) we have

$$(3.2) \quad dx = R e_{3+2m} = \frac{1}{h}(\bar{\xi}_0 dz + \xi_0 d\bar{z}).$$

From (II) in Theorem 2 and the above relation, we have easily

$$\begin{aligned}
 d\xi_0 &= \frac{1}{h} \xi_0(\bar{z}dz - zd\bar{z}) + \frac{2\sqrt{b_1}}{h} \xi_1 dz - \frac{2}{Rh} e_{3+2m} dz, \\
 d\xi_1 &= \frac{2\sqrt{b_1}}{h} \xi_0 dz + \frac{2}{h} \xi_1(\bar{z}dz - zd\bar{z}) + \frac{2\sqrt{b_2}}{h} \xi_2 d\bar{z}, \\
 &\dots\dots\dots, \\
 d\xi_t &= -\frac{2\sqrt{b_t}}{h} \xi_{t-1} dz + \frac{t+1}{h} \xi_t(\bar{z}dz - zd\bar{z}) + \frac{2\sqrt{b_{t+1}}}{h} \xi_{t+1} d\bar{z}, \\
 &\dots\dots\dots, \\
 d\xi_m &= -\frac{2\sqrt{b_m}}{h} \xi_{m-1} dz + \frac{m+1}{h} \xi_m(\bar{z}dz - zd\bar{z}),
 \end{aligned}
 \tag{3.3}$$

where  $d$  denotes the ordinary differential operator in  $E^{3+2m}$ . Since equations (3.3) are the same ones as (II) in Theorem 2 in Ōtsuki [7] when we put formally  $P = -(1/R)e_{3+2m}$  in the case  $M^{n+2m} = E^{n+2m}$ ,  $M$  is congruent to the surface given by

$$\begin{aligned}
 (3.4) \quad x &= \frac{\sqrt{m!}}{(m+2)\sqrt{(2m+2)(2m+1)\cdots(m+3)}(1+z\bar{z})^{m+1}} \\
 &\times \left[ \sum_{j=0}^m (-1)^{j+1} \left\{ \sum_{s=0}^j (-1)^s \binom{2m+2-j}{m+1-s} \binom{j}{s} (z\bar{z})^s \right\} (\bar{z}^{m+1-j} A_j + z^{m+1-j} \bar{A}_j) \right. \\
 &\quad \left. + (-1)^m \sum_{s=0}^{m+1} (-1)^s \binom{m+1}{s}^2 (z\bar{z})^s A_{m+1} \right],
 \end{aligned}$$

where  $A_0, A_1, \dots, A_{m+1}$  are constant complex vectors in  $C^{m+2}$  such that

$$\begin{aligned}
 (3.5) \quad &A_t \cdot A_t = 0, \quad t=0, 1, \dots, m, \quad A_{m+1} = \bar{A}_{m+1}, \\
 &A_t \cdot A_s = A_t \cdot \bar{A}_s = 0, \quad t \neq s, \quad t, s=0, 1, \dots, m+1, \\
 &A_0 \cdot \bar{A}_0 = 2, \quad A_t \cdot \bar{A}_t = 2 \binom{2m+2}{t}, \quad t=1, 2, \dots, m+1.
 \end{aligned}$$

Thus we have proved that  $M$  may be regarded as a generalized Veronese surface of index  $m$  defined by Ōtsuki [7].

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