## AN EXTREMAL PROBLEM ON THE CLASSICAL CARTAN DOMAINS

## By Yoshihisa Kubota

1. This paper is concerned with the following extremal problem: Let D be a bounded domain in the 2*n*-dimensional Euclidean space  $C^n$  of n complex variables  $z=(z_1, \dots, z_n)$ . Denote by  $\mathcal{F}(D)$  the family of holomorphic mappings from D into the unit hyperball  $B_n$  in  $C^n$ . It is required to find the precise value

$$M(z_0, D) = \sup_{f \in \mathcal{F}(D)} \left| \det \left( \frac{\partial f}{\partial z} \right)_{z=z_0} \right| \qquad (z_0 \in D),$$

where  $\left(\frac{\partial f}{\partial z}\right)$  denotes the Jacobian matrix of f:

$$\left(\frac{\partial f}{\partial z}\right) = \begin{pmatrix} \frac{\partial f_1}{\partial z_1} \cdots \frac{\partial f_1}{\partial z_n} \\ \cdots \cdots \cdots \\ \frac{\partial f_n}{\partial z_1} \cdots \frac{\partial f_n}{\partial z_n} \end{pmatrix}, \quad f = (f_1, \cdots, f_n).$$

If w=h(z) is a biholomorphic mapping from  $D_1$  onto  $D_2$  and  $w_0=h(z_0)$ , then

$$M(z_0, D_1) = M(w_0, D_2) \left| \det \left( \frac{\partial h}{\partial z} \right)_{z=z_0} \right|,$$

namely, the quantity M(z, D) is a relative invariant. Hence for a bounded homogeneous domain D it is sufficient to find the value  $M(z_0, D)$  for a fixed point  $z_0$  in D.

The automorphism of  $B_n$  which transforms a point  $a=(a_1, \dots, a_n)$  into the origin is given in the form

$$\varphi(z:a) = \mu(z-a)(I - \bar{a}'z)^{-1}U^{-1}$$
,

where  $|\mu|^2 = (1 - a\bar{a}')^{-1}$  and  $U'\bar{U} = (I - a'\bar{a})^{-1}$ . Here *I* is the identity matrix and  $\bar{A}$  denotes the conjugate matrix of *A* and *A'* the transposed matrix of *A*. Since

$$\left|\det\left(\frac{\partial\varphi}{\partial z}\right)_{z=a}\right| = (1 - a\bar{a}')^{-(n+1)2} \ge 1,$$

as far as  $M(z_0, D)$  is concerned, we can replace  $\mathcal{F}(D)$  by the subfamily  $\mathcal{F}_{z_0}(D)$  of

Received February 19, 1980

mappings which transform the point  $z_0$  into the origin.

Carathéodory [2] proved that for the polydisc  $P_n = \{(z_1, \ \cdots, \ z_n): \ | \ z_j | < 1, \ j = 1, \ \cdots, \ n\}$ 

$$M(0, P_n) = n^{-n/2}$$
.

We shall find the value M(0, D) for the classical Cartan domains.

By a classical Cartan domain we understand a domain of one of the following four types:

$$\begin{split} R_{\mathrm{I}}(r,\,s) &= \{Z \!=\! (z_{jk}) \colon I \!-\! Z\bar{Z}' \!>\! 0\,, \text{ where } Z \text{ is an } r \!\times\! s \text{ matrix} \}\,, \qquad (r \!\leq\! s)\,, \\ R_{\mathrm{II}}(p) \!=\! \{Z \!=\! (z_{jk}) \colon I \!-\! Z\bar{Z}' \!>\! 0\,, \text{ where } Z \text{ is a symmetric} \\ & \text{matrix of order } p \}\,, \\ R_{\mathrm{III}}(q) \!=\! \{Z \!=\! (z_{jk}) \colon I \!-\! Z\bar{Z}' \!>\! 0\,, \text{ where } Z \text{ is a skew-symmetric} \\ & \text{matrix of order } q \}\,, \end{split}$$

$$R_{\rm IV}(n) = \{z = (z_1, \cdots, z_n): 1 + |zz'|^2 - 2z\bar{z}' > 0, 1 - |zz'| > 0\}.$$

Obviously,

$$R_{\mathrm{I}}(r, s) \subset C^{rs}, \qquad R_{\mathrm{II}}(p) \subset C^{p(p+1)/2},$$
$$R_{\mathrm{III}}(q) \subset C^{q(q-1)/2}, \qquad R_{\mathrm{IV}}(n) \subset C^{n}.$$

Instead of  $R_{II}(p)$  we consider the following modified domain:

$$\hat{R}_{II}(p) = \{ Z = (z_{jk}) : z_{jk} = \sqrt{2} x_{jk} \ (j \neq k), \ z_{jj} = x_{jj},$$

where  $X = (x_{jk}) \in R_{II}(p)$ .

,

We shall prove the following theorem:

Theorem

(1.1) 
$$M(0, R_{\rm I}(r, s)) = r^{-rs/2},$$

(1.2) 
$$M(0, \hat{R}_{\rm II}(p)) = 2^{-p(p-1)/4} M(0, R_{\rm II}(p)) = p^{-p(p+1)/4},$$

(1.3) 
$$M(0, R_{\rm III}(q)) = \left[\frac{q}{2}\right]^{-q(q-1)/4}$$

where  $\left[\frac{q}{2}\right]$  denotes the integral part of the number  $\frac{q}{2}$ . (1.4)  $M(0, R_{IV}(n))=1$ .

Now, we consider the modified domains:

(1.5) 
$$R_{\rm I}^{\rm o}(r, s) = \{Z : \sqrt{r} Z \in R_{\rm I}(r, s)\}$$

YOSHIHISA KUBOTA

(1.6) 
$$R_{\rm II}^{0}(p) = \{ Z : \sqrt{p} Z \in \hat{R}_{\rm II}(p) \},\$$

(1.7) 
$$R_{\mathrm{III}}^{0}(q) = \{Z : \sqrt{\left[\frac{q}{2}\right]} Z \in R_{\mathrm{III}}(q)\},$$

(1.8) 
$$R_{\rm IV}^0(n) = R_{\rm IV}(n)$$

E. Cartan [3] proved that, if  $n \neq 16$ , 27, every irreducible bounded symmetric domain D in  $\mathbb{C}^n$  is biholomorphically equivalent to a domain of one of the classical Cartan domains. Hence there exists a biholomorphic mapping f from D onto a domain of one of the domains  $(1.5)\sim(1.8)$  such that f(0)=0, here we may assume that D contains the origin. Since these four domains are contained in the unit hyperball (see Lemma in § 2) and since

$$M(0, R_v^0) = 1$$
 (v=I, II, III, IV),

It follows that f is an extremal mapping, i.e.,

$$\left|\det\left(\frac{\partial f}{\partial z}\right)_{z=0}\right| = M(0, D).$$

2. Let *D* be a bounded domain in  $C^n$ . We denote by  $\rho(z_0, D)$  the greatest lower bound of the radii of hyperballs  $\{z=(z_1, \dots, z_n): |z_1-z_1^0|^2 + \dots + |z_n-z_n^0|^2 < \rho^2\}$ ,  $z_0=(z_1^0, \dots, z_n^0)$ , containing *D*. By appealing to methods of Hua (see [4]) we are able to compute the value of  $\rho(0, D)$  for the classical Cartan domains.

Lemma

(2.1) 
$$\rho(0, R_{\rm I}(r, s)) = \sqrt{r},$$

(2.2) 
$$\rho(0, \hat{R}_{II}(p)) = \rho(0, R_{II}(p)) = \sqrt{p},$$

(2.3) 
$$\rho(0, R_{\rm III}(q)) = \sqrt{\left[\frac{q}{2}\right]}$$

(2.4) 
$$\rho(0, R_{\rm IV}(n)) = 1$$
.

*Proof.* Let  $Z \in R_{I}(r, s)$ . According to a result of Hua (see [4]) there exist two unitary matrices U and V of orders r and s, respectively, such that

$$W = UZV = \begin{pmatrix} \zeta_1 & 0 \cdots 0 & 0 \cdots 0 \\ 0 & \zeta_2 \cdots 0 & 0 \cdots 0 \\ \cdots & \cdots & \cdots & \cdots \\ 0 & 0 \cdots \zeta_r & 0 \cdots 0 \end{pmatrix}$$

and  $W \in R_{I}(r, s)$ . Since  $W \in R_{I}(r, s)$ , it follows that  $|\zeta_{j}| < 1$   $(j=1, \dots, r)$ . We arrange the elements of the matrices Z and W in the form of vectors in  $C^{rs}$ 

$$z = (z_{11}, \dots, z_{1s}, \dots, z_{r1}, \dots, z_{rs}),$$
  
$$w = (w_{11}, \dots, w_{1s}, \dots, w_{r1}, \dots, w_{rs})$$

Then by the relation W=UZV we have

$$w = zU' \times V$$

where  $U' \times V$  is the Kronecker product of matrices U' and V. Since  $U' \times V$  is also a unitary matrix of order rs, we have

$$||z||^2 = ||w||^2 = |\zeta_1|^2 + \cdots + |\zeta_r|^2 < r$$

where  $||z||^2 = |z_{11}|^2 + \dots + |z_{1s}|^2 + \dots + |z_{r1}|^2 + \dots + |z_{rs}|^2$ . Hence

 $\rho(0, R_{\rm I}(r, s)) \leq \sqrt{r}$ .

On the other hand, for arbitrary complex numbers  $\zeta_1, \dots, \zeta_r$  such that  $|\zeta_j| < 1$   $(j=1, \dots, r)$ , the point

$$Z = (z_{jk}), \quad z_{jk} = \begin{cases} \zeta_j & (j=k) \\ 0 & (j \neq k) \end{cases}$$

belongs to  $R_{I}(r, s)$  and, therefore, (2.1) follows.

Let  $Z \in \hat{R}_{II}(p)$  and set

$$X = (x_{jk}), \quad x_{jk} = \begin{cases} z_{jj} & (j=k) \\ \frac{1}{\sqrt{2}} z_{jk} & (j \neq k) \end{cases}$$

Then  $X \in R_{II}(p)$ . Again, by [4], there exists a unitary matrix U of order p such that

$$Y = UXU' = \begin{pmatrix} \zeta_1 & 0 \cdots 0 \\ 0 & \zeta_2 \cdots 0 \\ \cdots \cdots \cdots \\ 0 & 0 \cdots \zeta_p \end{pmatrix}$$

and  $Y \in R_{II}(p)$ . Obviously,  $|\zeta_j| < 1$   $(j=1, \dots, p)$ . We arrange the elements of the matrix Z in the form of a vector in  $C^{p(p+1)/2}$ 

$$z = (z_{11}, \dots, z_{1p}, z_{22}, \dots, z_{2p}, \dots, z_{pp}).$$

On the other hand we arrange the elements of the matrices X and Y in the form of vectors in  $C^{p^2}$ 

$$x = (x_{11}, \dots, x_{1p}, \dots, x_{p1}, \dots, x_{pp}),$$
  
$$y = (y_{11}, \dots, y_{1p}, \dots, y_{p1}, \dots, y_{pp}).$$

By the relation Y=UXU' we have  $y=xU'\times U'$ . Since  $U'\times U'$  is a unitary matrix of order  $p^2$ , we have

$$||z||^2 = ||x||^2 = ||y||^2 = |\zeta_1|^2 + \cdots + |\zeta_p|^2 < p.$$

Further, if  $\xi_1, \cdots, \xi_p$  are complex numbers such that  $|\xi_j| < 1$   $(j=1, \cdots, p)$ , then the point

$$Z = (z_{jk}), \quad z_{jk} = \begin{cases} \xi, & (j=k) \\ 0 & (j \neq k) \end{cases}$$

belongs to  $\hat{R}_{II}(p)$ . Thus it follows that

$$\rho(0, \hat{R}_{\rm II}(p)) = \sqrt{p}.$$

Similarly we have

$$\rho(0, R_{\rm II}(p)) = \sqrt{p}$$

For each  $Z \in R_{III}(q)$  there exists a unitary matrix U of order q such that

$$W = UZU' = \begin{pmatrix} 0 & \zeta_1 \\ -\zeta_1 & 0 \end{pmatrix} \dotplus \cdots \dotplus \begin{pmatrix} 0 & \zeta_m \\ -\zeta_m & 0 \end{pmatrix} \qquad (q = 2m)$$

$$W = UZU' = \begin{pmatrix} 0 & \zeta_1 \\ \vdots & \vdots \end{pmatrix} \downarrow \cdots \downarrow \begin{pmatrix} 0 & \zeta_m \\ \vdots & \vdots \end{pmatrix} \downarrow 0 \qquad (q = 2m)$$

or

$$W = UZU' = \begin{pmatrix} 0 & \zeta_1 \\ -\zeta_1 & 0 \end{pmatrix} \dotplus \cdots \dotplus \begin{pmatrix} 0 & \zeta_m \\ -\zeta_m & 0 \end{pmatrix} \dotplus 0 \qquad (q = 2m + 1),$$

and  $W \in R_{\text{III}}(q)$  (see [4]). Hence we obtain (2.3).

The last equality (2.4) is obvious.

3. We turn now to the proof of the theorem. We first prove (1.1). For  $Z=(z_{jk})\in R_{I}(r, s)$  we arrange the elements of Z in the form

$$z = (z_{11}, \dots, z_{1s}, \dots, z_{r1}, \dots, z_{rs}).$$

Let f be a mapping belonging to the family  $\mathcal{F}_0(R_{I}(r, s))$ . We set

$$f = (f_{11}, \dots, f_{1s}, \dots, f_{r1}, \dots, f_{rs}),$$
  
$$f_{jk}(z) = a_{11}^{(jk)} z_{11} + \dots + a_{1s}^{(jk)} z_{1s} + \dots + a_{r1}^{(jk)} z_{r1} + \dots + a_{rs}^{(jk)} z_{rs} + (\text{higher powers}).$$

Then

$$\left(\frac{\partial f}{\partial z}\right)_{z=0} = \begin{pmatrix} a_{11}^{(11)} \cdots a_{1s}^{(11)} \cdots a_{rt}^{(11)} \cdots a_{rs}^{(11)} \\ \cdots \\ a_{11}^{(rs)} \cdots a_{1s}^{(rs)} \cdots a_{rt}^{(rs)} \cdots a_{rs}^{(rs)} \end{pmatrix}.$$

There exists a unitary matrix U of order rs such that

$$U\left(\frac{\partial f}{\partial z}\right)_{z=0} = \begin{pmatrix} c_{11}^{(11)} & c_{12}^{(11)} \cdots c_{rs}^{(11)} \\ 0 & c_{12}^{(12)} \cdots c_{rs}^{(12)} \\ \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & c_{rs}^{(rs)} \end{pmatrix}.$$

We consider the mapping  $g = \varphi \circ f$ , where  $\varphi$  is the automorphism of  $B_{\tau s}$  defined by the linear transformation w = zU'. The mapping g belongs to  $\mathcal{F}_0(R_{\mathrm{I}}(r, s))$  and

(3.1) 
$$\left|\det\left(\frac{\partial f}{\partial z}\right)_{z=0}\right| = \left|\det\left(\frac{\partial g}{\partial z}\right)_{z=0}\right| = \left|c_{11}^{(11)}c_{12}^{(12)}\cdots c_{rs}^{(rs)}\right|.$$

Let  $\sigma$  be a one-to-one mapping from  $\{1, \dots, r\}$  into  $\{1, \dots, s\}$ . We take a unitary matrix  $V=(v_{\alpha\beta})$  of order rs such that  $v_{1\beta}=e^{i\theta_j}/\sqrt{r}$  for  $\beta=(j-1)s+\sigma(j)$   $(j=1,\dots,r)$  and  $v_{1\beta}=0$  for the other  $\beta$ 's, where  $\theta_1,\dots,\theta_r$  are arbitrary real numbers. We denote by  $\phi$  the automorphism of  $B_{rs}$  defined by w=zV'. The mapping

$$h = \phi \circ g = (h_{11}, \cdots, h_{1s}, \cdots, h_{r1}, \cdots, h_{rs})$$

belongs to  $\mathcal{F}_0(R_{I}(r, s))$ . We have the expansion

(3.2)  
$$h_{11}(z) = b_{11}z_{11} + \dots + b_{1s}z_{1s} + \dots + b_{r1}z_{r1} + \dots + b_{rs}z_{rs} + (\text{higher powers}),$$
$$b_{j\sigma(j)} = \frac{1}{\sqrt{r}} \left\{ e^{i\theta_1} c_{j\sigma(j)}^{(1\sigma(1))} + e^{i\theta_2} c_{j\sigma(j)}^{(2\sigma(2))} + \dots + e^{i\theta_j} c_{j\sigma(j)}^{(j\sigma(j))} \right\} \quad (j = 1, \dots, r).$$

Let  $\alpha_1, \dots, \alpha_r$  be arbitrary complex numbers such that  $|\alpha_j|=1$   $(j=1, \dots, r)$ . If  $|\zeta| < 1$ , then the point

$$Z = (z_{jk}), \quad z_{jk} = \begin{cases} \alpha_j \zeta & (k = \sigma(j)) \\ 0 & (k \neq \sigma(j)) \end{cases}$$

belongs to  $R_{I}(r, s)$ . Hence the function

$$\tilde{h}(\zeta) = h_{11}(z) = \{b_{1\sigma(1)}\alpha_1 + \dots + b_{r\sigma(r)}\alpha_r\} \zeta + (\text{higher powers})$$

is holomorphic in  $|\zeta| < 1$  and satisfies the conditions  $|\tilde{h}(\zeta)| < 1$ ,  $\tilde{h}(0)=0$ . Therefore, by Schwarz lemma,

$$(3.3) |b_{1\sigma(1)}\alpha_1 + \cdots + b_{r\sigma(r)}\alpha_r| \leq 1.$$

Since  $\theta_j$  and  $\alpha_j$  are arbitrary, we have, by (3.2) and (3.3),

 $|c_{1\sigma(1)}^{(1\sigma(1))}| + |c_{2\sigma(2)}^{(2\sigma(2))}| + \dots + |c_{r\sigma(r)}^{(r\sigma(r))}| \leq \sqrt{r}.$ 

Therefore we obtain

(3.4) 
$$|c_{11}^{(11)}| + \dots + |c_{1s}^{(1s)}| + \dots + |c_{r1}^{(r1)}| + \dots + |c_{rs}^{(rs)}| \leq \sqrt{r} s.$$

Now, from (3.1) and (3.4) we have

$$\left|\det\left(\frac{\partial f}{\partial z}\right)_{z=0}\right| \leq r^{-rs/2}.$$

On the other hand, it follows from the Lemma that the mapping

$$w_{jk} = \frac{1}{\sqrt{r}} z_{jk}$$
 (j=1, ..., r; k=1, ..., s)

belongs to  $\mathcal{F}_0(R_{I}(r, s))$ . Therefore, (1.1) follows.

4. Next we prove (1.2). For  $Z = (z_{jk}) \in \hat{R}_{II}(p)$  we arrange the elements of Z in the form of a vector in  $C^{p(p+1)/2}$ 

$$z = (z_{11}, \dots, z_{1p}, z_{22}, \dots, z_{2p}, \dots, z_{pp}).$$

Let f be a mapping in  $\mathcal{F}_0(\hat{R}_{II}(p))$ . We set

$$f = (f_{11}, \dots, f_{1p}, f_{22}, \dots, f_{2p}, \dots, f_{pp}),$$
  
$$f_{jk}(z) = a_{11}^{(jk)} z_{11} + \dots + a_{1p}^{(jk)} z_{1p} + a_{22}^{(jk)} z_{22} + \dots + a_{2p}^{(jk)} z_{2p} + \dots + a_{pp}^{(jk)} z_{pp}$$

+(higher powers).

We may assume that  $\left(\frac{\partial f}{\partial z}\right)_{z=0}$  is a triangular matrix of order p(p+1)/2:

$$\left(\frac{\partial f}{\partial z}\right)_{z=0} = \begin{pmatrix} a_{11}^{(11)} & a_{12}^{(11)} \cdots a_{pp}^{(11)} \\ 0 & a_{12}^{(12)} \cdots a_{pp}^{(12)} \\ \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & a_{pp}^{(pp)} \end{pmatrix}.$$

Hence

(4.1) 
$$\left| \det \left( \frac{\partial f}{\partial z} \right)_{z=0} \right| = |a_{11}^{(11)} \cdots a_{1p}^{(1p)} a_{22}^{(22)} \cdots a_{2p}^{(2p)} \cdots a_{pp}^{(pp)} |.$$

We consider a modified mapping F which maps  $\hat{R}_{II}(p)$  into  $B_{p^2}$ :

$$F = (F_{11}, \dots, F_{1p}, \dots, F_{p1}, \dots, F_{pp}),$$
  
$$F_{jk} = F_{kj} = \frac{1}{\sqrt{2}} f_{jk} \ (j < k), \quad F_{jj} = f_{jj}.$$

We first consider the case that p is even, i.e., p=2m. Denote by  $S_m$  the set of all one-to-one mappings  $\sigma$  from  $\{1, \dots, p\}$  onto itself such that

 $\sigma(j) \neq j, \quad \sigma \circ \sigma(j) = j \quad (j=1, \dots, p).$ 

Let  $\sigma \in S_m$  and let  $j_1, \cdots, j_m$  be the natural numbers such that

$$1 = j_1 < j_2 < \cdots < j_m < p, \quad j_v < \sigma(j_v) \quad (v = 1, \dots, m)$$

We take a unitary matrix  $V=(v_{\alpha\beta})$  of order  $p^2$  such that  $v_{1\beta}=e^{i\theta_j}/\sqrt{p}$  for  $\beta=(j-1)p+\sigma(j)$   $(j=1, \dots, p)$  and  $v_{1\beta}=0$  for the other  $\beta$ 's, and denote by  $\phi$  the automorphism of  $B_{p^2}$  given by V. The mapping

$$G = \phi \circ F = (G_{11}, \cdots, G_{1p}, \cdots, G_{p1}, \cdots, G_{pp})$$

maps  $\hat{R}_{II}(p)$  into  $B_{p^2}$ . Since

$$G_{11} = \frac{1}{\sqrt{2p}} \left\{ (e^{i\theta_{j_1}} + e^{i\theta_{\sigma(j_1)}}) f_{j_1\sigma(j_1)} + \dots + (e^{i\theta_{j_m}} + e^{i\theta_{\sigma(j_m)}}) f_{j_m\sigma(j_m)} \right\},\$$

we have the expansion

$$G_{11}(z) = b_{11}z_{11} + \dots + b_{1p}z_{1p} + b_{22}z_{22} + \dots + b_{2p}z_{2p} + \dots + b_{pp}z_{pp} + (\text{higher powers}),$$

(4.2)  
$$b_{j_{v}\sigma(j_{v})} = \frac{1}{\sqrt{2p}} \left\{ (e^{i\theta_{j_{1}}} + e^{i\theta_{\sigma(j_{1})}}) a_{j_{v}\sigma(j_{v})}^{(j_{1}\sigma(j_{1}))} + \dots + (e^{i\theta_{j_{v}}} + e^{i\theta_{\sigma(j_{v})}}) a_{j_{v}\sigma(j_{v})}^{(j_{v}\sigma(j_{v}))} \right\},$$
$$(v=1, \dots, m).$$

Let  $\alpha_1, \dots, \alpha_m$  be arbitrary complex numbers such that  $|\alpha_v| = 1$   $(v=1, \dots, m)$ . If  $|\zeta| < 1$ , the point  $Z=(z_{jk})$  such that  $z_{jv\sigma(jv)}=z_{\sigma(jv)jv}=\sqrt{2}\alpha_v\zeta$  for  $v=1, \dots, m$ and  $z_{jk}=0$  for the other j, k belongs to  $\hat{R}_{II}(p)$ . Hence the function

$$\widetilde{G}(\zeta) = G_{11}(z) = \sqrt{2} (b_{j_1 \sigma(j_1)} \alpha_1 + \dots + b_{j_m \sigma(j_m)} \alpha_m) \zeta + (\text{higher powers})$$

is holomorphic in  $|\zeta|<\!1$  and satisfies the conditions  $|\,\widetilde{G}(\zeta)\,|<\!1,\,\widetilde{G}(0)\!=\!0.$  Thus we have

(4.3) 
$$\sqrt{2} |b_{j_1 \sigma(j_1)} \alpha_1 + \dots + b_{j_m \sigma(j_m)} \alpha_m| \leq 1.$$

Since  $\theta_j$  and  $\alpha_v$  are arbitrary, we have, by (4.2) and (4.3),

(4.4) 
$$2(|a_{j_1\sigma(j_1)}^{(j_1\sigma(j_1))}| + \dots + |a_{j_m\sigma(j_m)}^{(j_m\sigma(j_m))}|) \leq \sqrt{p}.$$

Further we take a unitary matrix  $V_0 = (v_{\alpha\beta}^0)$  of order  $p^2$  such that  $v_{1\beta}^0 = e^{i\theta_j}/\sqrt{p}$  for  $\beta = (j-1)p+j$  (j=1, ..., p) and  $v_{1\beta}^0 = 0$  for the other  $\beta$ 's, and we consider the point

$$Z=(z_{jk}), \quad z_{jk}=\begin{cases} \alpha_j \zeta & (j=k) \\ 0 & (j\neq k) \end{cases}$$

where  $|\alpha_j|=1$   $(j=1, \dots, p)$ ,  $|\zeta|<1$ . Then we have the inequality

$$(4.5) |a_{11}^{(11)}| + |a_{22}^{(22)}| + \dots + |a_{pp}^{(pp)}| \leq \sqrt{p}.$$

Now, the number of the elements of  $S_m$  is  $(2m)!/2^mm!$ , and for each fixed pair j, k (j < k) there are  $(2m-2)!/2^{m-1}(m-1)!$  mappings  $\sigma \in S_m$  such that  $\sigma(j) = k$ . Therefore from the inequalities (4.4) and (4.5) we have

$$\frac{(2m-2)!}{2^{m-2}(m-1)!} (|a_{11}^{(11)}| + \dots + |a_{1p}^{(1p)}| + |a_{22}^{(22)}| + \dots + |a_{2p}^{(2p)}| + \dots + |a_{pp}^{(pp)}|)$$

$$\leq \frac{(2m)!}{2^{m}m!} \sqrt{p} + \frac{(2m-2)!}{2^{m-2}(m-1)!} \sqrt{p} = \frac{(2m-2)!}{2^{m}m!} \sqrt{p}$$

and so

$$(4.6) \qquad |a_{11}^{(11)}| + \dots + |a_{1p}^{(1p)}| + |a_{22}^{(22)}| + \dots + |a_{2p}^{(2p)}| + \dots + |a_{pp}^{(pp)}| \leq \frac{p(p+1)}{2\sqrt{p}}.$$

By (4.1) and (4.6) we obtain

$$\det\left(\frac{\partial f}{\partial z}\right)_{z=0} \leq p^{-p(p+1)/4}$$

Next we consider the case that p is odd, i.e., p=2m+1. Denote by  $T_m$  the set of all one-to-one mappings  $\tau$  from  $\{1, \dots, p\}$  onto itself such that  $\tau(j_0)=j_0$  for a certain  $j_0$  and  $\tau(j)\neq j$ ,  $\tau\circ\tau(j)=j$  for all other j. Let  $\tau\in T_m$  and let  $j_0, j_1, \dots, j_m$  be the natural numbers such that

$$1 \leq j_1 < j_2 < \cdots < j_m < p, \quad j_v < \tau(j_v) \ (v=1, \ \cdots, \ m), \quad \tau(j_0) = j_0.$$

We take a unitary matrix  $V=(v_{\alpha\beta})$  of order  $p^2$  such that  $v_{1\beta}=e^{i\theta_j}/\sqrt{p}$  for  $\beta=(j-1)p+\tau(j)$   $(j=1, \dots, p)$  and  $v_{1\beta}=0$  for the other  $\beta$ 's, and denote by  $\phi$  the automorphism of  $B_{p^2}$  given by V. Considering the mapping  $\phi \circ F$  and the points  $Z=(z_{jk})$  such that  $z_{j_0j_0}=\alpha_0\zeta$ ,  $z_{j_v\tau(j_v)}=z_{\tau(j_v)j_v}=\sqrt{2\alpha_v\zeta}$   $(v=1,\dots,m)$  and  $z_{jk}=0$  for the other j, k, where  $|\alpha_v|=1$   $(v=0, 1, \dots, m)$  and  $|\zeta|<1$ , we obtain the inequality

$$(4.7) |a_{j_{0}j_{0}}^{(j_{0}j_{0})}| + 2(|a_{j_{1}\tau(j_{1})}^{(j_{1}\tau(j_{1}))}| + \dots + |a_{j_{m}\tau(j_{m})}^{(j_{m}\tau(j_{m}))}|) \leq \sqrt{p}.$$

Furthermore we have

$$(4.8) |a_{11}^{(11)}| + |a_{22}^{(22)}| + \cdots |a_{pp}^{(pp)}| \leq \sqrt{p}.$$

The number of the elements of  $T_m$  is  $(2m+1)!/2^mm!$  and for each fixed pair  $j, k \ (j < k)$  there are  $(2m-1)!/2^{m-1}(m-1)!$  mappings  $\tau \in T_m$  such that  $\tau(j) = k$ , and further, for each fixed j there are  $(2m)!/2^mm!$  mappings  $\tau \in T_m$  such that  $\tau(j) = j$ . Hence, using the inequalities (4.7) and (4.8) we obtain

$$\frac{(2m-1)!}{2^{m-2}(m-1)!} (|a_{11}^{(11)}| + \dots + |a_{1p}^{(1p)}| + |a_{22}^{(22)}| + \dots + |a_{2p}^{(2p)}| + \dots + |a_{pp}^{(pp)}|)$$

$$\leq \frac{(2m+1)!}{2^{m}m!} \sqrt{p} + \left(\frac{(2m-1)!}{2^{m-2}(m-1)!} - \frac{(2m)!}{2^{m}m!}\right) \sqrt{p} = \frac{(2m+2)(2m)!}{2^{m}m!} \sqrt{p}$$

i. e.,

$$|a_{11}^{(11)}| + \dots + |a_{1p}^{(1p)}| + |a_{22}^{(22)}| + \dots + |a_{2p}^{(2p)}| + \dots + |a_{pp}^{(pp)}| \le \frac{p(p+1)}{2\sqrt{p}}$$

Therefore we have

$$\left|\det\left(\frac{\partial f}{\partial z}\right)_{z=0}\right| \leq p^{-p(p+1)/4}.$$

Since the mapping

$$w_{jk} = \frac{1}{\sqrt{p}} z_{jk} \qquad (j=1, \cdots, p; j \leq k \leq p)$$

belongs to  $\mathcal{F}_0(\hat{R}_{II}(p))$ , we obtain

$$M(0, \hat{R}_{II}(p)) = p^{-p(p+1)/4}$$

By an analogous argument we can prove (1.3).

5. Finally we prove (1.4). Let f be a mapping in  $\mathcal{F}_0(R_{IV}(n))$ . We set

$$f = (f_1, \dots, f_n),$$
  
$$f_j(z) = a_{j1}z_1 + \dots + a_{jn}z_n + (\text{higher powers}).$$

We may assume that  $\left(\frac{\partial f}{\partial z}\right)_{z=0}$  is a triangular matrix of order n:

$$\left(\frac{\partial f}{\partial z}\right)_{z=0} = \begin{pmatrix} a_{11} & a_{12} \cdots a_{1n} \\ 0 & a_{22} \cdots a_{2n} \\ \cdots & \cdots & \cdots \\ 0 & 0 & \cdots & a_{nn} \end{pmatrix}.$$

Hence

$$\left|\det\left(\frac{\partial f}{\partial z}\right)_{z=0}\right| = |a_{11}a_{22}\cdots a_{nn}|.$$

Let k be a natural number such that  $1 \le k \le n$ . If  $|\zeta| < 1$ , then the point  $z=(z_1, \dots, z_n)$  such that  $z_k=\zeta$  and  $z_j=0$  for  $j \ne k$  belongs to  $R_{IV}(n)$ . Hence the function

$$\tilde{f}(\zeta) = f_k(z) = a_{kk} \zeta + (\text{higher powers})$$

is holomorphic in  $|\zeta| < 1$  and satisfies the conditions  $|\tilde{f}(\zeta)| < 1$ ,  $\tilde{f}(0)=0$ . Hence we have

 $|a_{kk}| \leq 1$ 

and (1.4) follows. This concludes the proof of the Theorem.

## References

- AHLFORS, L.V., AND A. BEURLING, Conformal invariants and function-theoretic null-sets. Acta Math. 83 (1950), 101-129.
- [2] CARATHÉODORY, C., Über die Abbildungen, die durch Systeme von analytischen Funktionen von mehreren Veränderlichen erzeugt werden. Math. Z. 34 (1932), 758-792.
- [3] CARTAN, E., Sur les domaines bornés, homogènes de l'espace de n variables complexes. Abh. Math. Sem. Univ. Hamburg. 11 (1935), 116-162.
- [4] HUA, L.K., On the theory of automorphic functions of a matrix variable, I, Geometrical basis. Amer. J. Math. 66 (1944), 470-488.
- [5] HUA, L.K., Harmonic Analysis of Functions of Several Complex Variables in the Classical Domains. Amer. Math. Soc., Providence, Rhode Island, 1963.

TOKYO GAKUGEI UNIVERSITY