

REMARKS ON SQUARE-INTEGRABLE BASIC COHOMOLOGY SPACES ON A FOLIATED RIEMANNIAN MANIFOLD

Dedicated to Professor T. Otsuki on his 60th birthday

BY HARUO KITAHARA

B.L. Reinhart [7] showed that on compact foliated manifold with “bundle-like” metric, the cohomology of basic differential forms is isomorphic to the harmonic space of a certain semidefinite Laplacian. It is well known that the complex

$$d'' : 0 \longrightarrow \wedge^{0,0}(M) \longrightarrow \wedge^{0,1}(M) \longrightarrow \wedge^{0,2}(M) \longrightarrow \dots$$

is not elliptic. We shall define the completion $L_2^{0,s}(M)$ of compactly supported basic $(0, s)$ -forms and discuss the squareintegrable basic cohomology spaces in complete case analogous to K. Okamoto and H. Ozeki [2] on a Hermitian manifold.

§ 1. Definitions

Let M be an n dimensional C^∞ manifold which, topologically, is connected, orientable, paracompact Hausdorff space. We shall assume given on M a foliation E of codimension q , and we may find about each point a coordinate neighbourhood with coordinates $(x^1, \dots, x^p, y^1, \dots, y^q)$ ($n=p+q$) such that

(i) $|x^i| \leq 1, |y^\alpha| \leq 1,$

(ii) The integral manifolds of E are given locally by $y^1=c^1, \dots, y^q=c^q$ for constants c^α satisfying $|c^\alpha| \leq 1$. (Here and hereafter, Latin indices run from 1 to p , and Greek indices from 1 to q .)

Such a coordinate neighbourhood will be called flat, while each of slices given by a set of equations $y^\alpha=c^\alpha$ will be called a plaque. If U is a flat neighbourhood, the quotient space of U by its plaques will be called the local base and be denoted by U_y .

We may assume that there exist in U differential forms w^i and vectors v_α such that

(i) $\{\partial/\partial x^i\}$ forms the base for the space of cross-sections of E in U at each point,

Received December 17, 1977

(ii) $\{w^1, \dots, w^p, dy^1, \dots, dy^q\}$ and $\{\partial/\partial x^1, \dots, \partial/\partial x^p, v_1, \dots, v_q\}$ are dual bases for the cotangent and tangent spaces at each point of U respectively. Hence, $w^i = dx^i + \sum_{\alpha} a_{\alpha}^i dy^{\alpha}$ and $v_{\alpha} = \partial/\partial y^{\alpha} + \sum_i b_{\alpha}^i \partial/\partial x^i$.

Throughout this note, all local expressions for differential forms and vectors will be taken with respect to these bases.

§ 2. Square-integrable basic cohomology spaces

On a foliated manifold we may have the decomposition of differential forms into components in following way: Any C^{∞} - m -form ϕ may be expressed locally as

$$\sum_{\substack{i_1 < \dots < i_r \\ \alpha_1 < \dots < \alpha_s}} \sum_{r+s=m} \phi_{i_1 \dots i_r \alpha_1 \dots \alpha_s}(x, y) w^{i_1} \wedge \dots \wedge w^{i_r} \wedge dy^{\alpha_1} \wedge \dots \wedge dy^{\alpha_s}.$$

We then define $\Pi_{r,s}\phi$ to be the sum of all these terms with a fixed r and s . Since under change of flat coordinate system, $\{dy^{\alpha}\}$ goes into $\{dy^{*\alpha}\}$ and $\{w^i\}$ goes into $\{w^{*i}\}$, this operator $\Pi_{r,s}$ is independent of the choice of co-ordinate system. Here by $\{\cdot\}$ we mean the vector space generated by the set $\{\cdot\}$. $\Pi_{r,s}\phi$ is called the component of type (r, s) of ϕ . The type decomposition of forms induces a type decomposition of the exterior derivative d by the rule $(\Pi_{t,u}d)\phi = \sum_{r,s} \Pi_{r+t, s+u} d\Pi_{r,s}\phi$. Let $\Pi_{1,0}d = d'$ and $\Pi_{0,1}d = d''$. In general, there will be a component $\Pi_{-1,2}d$; since we are interested only in forms of type $(0, s)$, we shall not introduce a notion for this component.

PROPOSITION 2.1. (cf. [7]) *If ϕ is of type $(0, s)$, then $d\phi = d'\phi + d''\phi$. Moreover, $d'\phi = 0$ if and only if ϕ depends only upon y , in the sense that locally*

$$\phi = \sum \phi_{\alpha_1 \dots \alpha_s}(y) dy^{\alpha_1} \wedge \dots \wedge dy^{\alpha_s}.$$

DEFINITION 2.1. A form of type $(0, s)$ which is annihilated by d' will be called a basic form.

DEFINITION 2.2. A riemannian metric is bundle-like if and only if it is representable in each flat neighbourhood by an expression of the form

$$ds^2 = \sum g_{ij}(x, y) w^i w^j + \sum g_{\alpha\beta}(y) dy^{\alpha} dy^{\beta}.$$

Hereafter, we assume that the riemannian metric on M is bundle-like and all leaves are compact.

Remark. In this paragraph, we may replace “all leaves are compact” by “the volume of M is finite”, then the compact support of the basic form is replaced by the transversally compact support, i.e. $\phi_{\alpha_1 \dots \alpha_s}(y) dy^{\alpha_1} \wedge \dots \wedge dy^{\alpha_s}$ has compact support in variable y 's in local expression, and, for example, we may consider the torus foliated by a family of irrational spirals.

Let $\wedge^{0,s}(M)$ be the space of all C^{∞} -basic form of type $(0, s)$ and $\wedge^{0,s}_c(M)$ the subspace of $\wedge^{0,s}(M)$ composed of forms with compact supports. Restricted to

$\wedge^{0,*}(M) = \sum_{s=0}^{\infty} \wedge^{0,s}(M)$, $d''^2 = d^2 = 0$, so we may consider the cohomology of $\wedge^{0,*}(M)$ and d'' . (This is called the base-like cohomology by B. L. Reinhart [7].)

B. L. Reinhart [7] introduces the $*$ -operation on $\wedge^{0,s}(M)$, and defined by

$$*''\phi = \sum_{\substack{\alpha_1 < \dots < \alpha_s \\ \beta_1 < \dots < \beta_{q-s}}} \text{sgn} \binom{1 \dots \dots \dots q}{\alpha_1 \dots \alpha_s \beta_1 \dots \beta_{q-s}} (\det(g_{\alpha\beta}))^{1/2} \\ g^{\alpha_1 \nu_1} \dots g^{\alpha_s \nu_s} \phi_{\nu_1 \dots \nu_s} dy^{\beta_1} \wedge \dots \wedge dy^{\beta_{q-s}}.$$

According to B. L. Reinhart [7], we may define a riemannian metric on $\wedge^{0,s}(M)$ by

$$\langle \phi, \psi \rangle = \phi \wedge *''\psi \wedge dx^1 \wedge \dots \wedge dx^p,$$

and obtain a pre-Hilbertian metric on $\wedge^{0,s}(M)$ by

$$(\phi, \psi) = \int_M \langle \phi, \psi \rangle \\ = \int_M \phi \wedge *''\psi \wedge dx^1 \wedge \dots \wedge dx^p.$$

The differential operator d'' maps $\wedge^{0,s}(M)$ into $\wedge^{0,s+1}(M)$. We define $\delta'' : \wedge^{0,s}(M) \rightarrow \wedge^{0,s-1}(M)$ by

$$\delta''\phi = (-1)^{q+s+1} *'' d'' *''\phi.$$

Then we have

$$(d''\phi, \psi) = (\phi, \delta''\psi)$$

for $\phi \in \wedge^{0,s}(M)$, $\psi \in \wedge^{0,s+1}(M)$.

Let $L_2^{0,s}(M)$ be the completion of $\wedge^{0,s}(M)$ with respect to the inner product $(,)$. We will denote by $\bar{\delta}$ the restriction of d'' to $\wedge^{0,s}(M)$ and by $\bar{\theta}$ the restriction of δ'' to $\wedge^{0,s}(M)$. Define

$$\bar{\delta} = (\bar{\theta})^* \quad \text{and} \quad \bar{\theta} = (\bar{\delta})^*$$

where $()^*$ denotes the adjoint operator of $()$ with respect to the inner product $(,)$. Then $\bar{\delta}$ (resp. $\bar{\theta}$) is a closed, densely defined operator of $L_2^{0,s}(M)$ into $L_2^{0,s+1}(M)$ (resp. $L_2^{0,s-1}(M)$). Let $D_{\bar{\delta}}^{0,s}$ (resp. $D_{\bar{\theta}}^{0,s}$) be the domain of the operator $\bar{\delta}$ (resp. $\bar{\theta}$) in $L_2^{0,s}(M)$. We put

$$Z_{\bar{\delta}}^{0,s}(M) = \{ \phi \in D_{\bar{\delta}}^{0,s} \mid \bar{\delta}\phi = 0 \} \\ Z_{\bar{\theta}}^{0,s}(M) = \{ \phi \in D_{\bar{\theta}}^{0,s} \mid \bar{\theta}\phi = 0 \}.$$

Since $\bar{\delta}$ and $\bar{\theta}$ are closed operators, $Z_{\bar{\delta}}^{0,s}(M)$ and $Z_{\bar{\theta}}^{0,s}(M)$ are closed in $L_2^{0,s}(M)$. Let $B_{\bar{\delta}}^{0,s}(M)$ and $B_{\bar{\theta}}^{0,s}(M)$ be the closure of $\bar{\delta}(D_{\bar{\delta}}^{0,s-1})$ and $\bar{\theta}(D_{\bar{\theta}}^{0,s+1})$ respectively.

DEFINITION 2.3. $H_2^{0,s}(M) = Z_{\bar{\delta}}^{0,s}(M) \ominus B_{\bar{\delta}}^{0,s}(M)$ is the square-integrable basic cohomology spaces, where \ominus denotes the orthogonal complement of $B_{\bar{\delta}}^{0,s}(M)$.

It is easy to see that

$$H_2^{0,s}(M) = Z_{\bar{\partial}}^{0,s}(M) \cap Z_{\partial}^{0,s}(M).$$

Since $Z_{\bar{\partial}}^{0,s}(M)$ and $Z_{\partial}^{0,s}(M)$ are closed in $L_2^{0,s}(M)$, $H_2^{0,s}(M)$ has canonically the structure of a Hilbert space.

THEOREM 2.1. (*The orthogonal decomposition theorem*)

$$L_2^{0,s}(M) = H_2^{0,s}(M) \oplus B_{\bar{\partial}}^{0,s}(M) \oplus B_{\partial}^{0,s}(M).$$

Proof is analogous to L. Hörmander [3], in fact, we have only to notice that $B_{\bar{\partial}}^{0,s}(M)$ and $B_{\partial}^{0,s}(M)$ are mutually orthogonal and $B_{\bar{\partial}}^{0,s}(M)^\perp \cap B_{\partial}^{0,s}(M)^\perp = H_2^{0,s}(M)$, where \perp denotes the orthogonal complement in $L_2^{0,s}(M)$.

Then we have the Dolbeault-Serre type theorem.

THEOREM 2.2. *If the bundle-like metric on M is complete, then,*

$$H_2^{0,s}(M) = H_2^{0,q-s}(M) \quad (\text{isomorphic as Hilbert space}).$$

In fact, we have only to notice that the following diagram is commutative.

$$\begin{array}{ccc} \wedge_0^{0,s}(M) & \xrightarrow{\quad ** \quad} & \wedge_0^{0,q-s}(M) \\ \bar{\partial} \downarrow \uparrow \bar{\partial} & (-1)^{s**} & \bar{\partial} \downarrow \uparrow \bar{\partial} \\ \wedge_0^{0,s-1}(M) & \xrightarrow{\quad} & \wedge_0^{0,q-s+1}(M). \end{array}$$

COROLLARY 2.1. (cf. [7]) *If $\dim H_2^{0,s}(M)$ is finite, then $\dim H_2^{0,s}(M) = \dim H_2^{0,q-s}(M)$.*

§ 3. Harmonic forms in complete bundle-like metric

Hereafter, we assume that the bundle-like metric is complete and all leaves are compact.

PROPOSITION 3.1.

$$N_{\bar{\partial}}^{0,s}(M) \cap L_2^{0,s}(M) \subset Z_{\bar{\partial}}^{0,s}(M)$$

$$N_{\partial}^{0,s}(M) \cap L_2^{0,s}(M) \subset Z_{\partial}^{0,s}(M),$$

where $N_{\bar{\partial}}^{0,s}(M) = \{\phi \in \wedge^{0,s}(M) \mid d''\phi = 0\}$ and $N_{\partial}^{0,s}(M) = \{\phi \in \wedge^{0,s}(M) \mid \delta''\phi = 0\}$.

In order to prove this proposition, we need some facts analogous to A. Andreotti and E. Vesentini [1].

We consider a differentiable function μ on \mathbf{R} (the reals) satisfying

- (i) $0 \leq \mu \leq 1$ on \mathbf{R} ,
- (ii) $\mu(t) = 1$ for $t \leq 1$,
- (iii) $\mu(t) = 0$ for $t \geq 2$.

It is known that a geodesic orthogonal to a leaf is orthogonal to all leaves (cf. B. L. Reinhart [6]). We fix a point o in M , and for each point p in M , we

denote by $\rho(p)$ the distance between leaves through o and p . Then we set

$$w_k(p) = \mu(\rho(p))/k \quad \text{for } k=1, 2, 3, \dots.$$

LEMMA 3.1. *Under the above notations, there exists a positive number A , depending only on μ , such that*

$$(i) \quad \|d''w_k \wedge \phi\|^2 < \frac{nA^2}{k^2} \|\phi\|^2$$

$$(ii) \quad \|d''w_k \wedge *''\phi\|^2 < \frac{nA^2}{k^2} \|\phi\|^2$$

for all $\phi \in \wedge^{0,s}(M)$, where $\|\phi\|^2 = (\phi, \phi)$.

In order to prove this lemma, we have to notice that the function $\rho(p)$ is a locally Lipschitz function and, at points where the derivatives exist, it holds

$$\sum g^{\alpha\beta} v_\alpha(\rho) v_\beta(\rho) < n.$$

Then we have

$$|d''w_k|^2 = \sum g^{\alpha\beta} v_\alpha(w_k) v_\beta(w_k) < \frac{nA^2}{k^2}$$

where A is a positive number depending only on $\sup \left| \frac{d\mu}{dt} \right|$.

We remark that $d'w_k = 0$ and $w_k\phi$ has compact support for each $\phi \in \wedge^{0,s}(M)$. Then we have that $w_k\phi \in D_{\bar{\partial}}^{0,s} \cap D_{\partial}^{0,s}$ for $\phi \in \wedge^{0,s}(M)$, and that

$$\bar{\partial}(w_k\phi) = d''(w_k\phi)$$

$$\bar{\partial}'(w_k\phi) = \delta''(w_k\phi).$$

Now we prove Proposition 3.1. Let ϕ be in $N_{\bar{\partial}}^{0,s} \cap L_{\partial}^{0,s}(M)$. By the above remarks

$$\begin{aligned} \bar{\partial}(w_k\phi) &= d''(w_k\phi) \\ &= d''w_k \wedge \phi + w_k d''\phi \\ &= d''w_k \wedge \phi. \end{aligned}$$

Hence, by Lemma 3.1, we have

$$\|\bar{\partial}(w_k\phi)\|^2 < \frac{nA^2}{k^2} \|\phi\|^2.$$

Putting $\phi_k = w_k\phi$, we we have

$$\bar{\partial}\phi_k \longrightarrow 0 \quad (k \longrightarrow \infty) \quad (\text{strong}).$$

On the other hand, $\phi_k \rightarrow \phi$ ($k \rightarrow \infty$) (strong). Since $\bar{\partial}$ is a closed operator, we see that ϕ is in $D_{\bar{\partial}}^{0,s}$ and $\bar{\partial}\phi = 0$. This proves $\phi \in Z_{\bar{\partial}}^{0,s}(M)$. In the same way, we have $N_{\partial}^{0,s} \cap L_{\bar{\partial}}^{0,s}(M) \subset Z_{\partial}^{0,s}(M)$. This proves Proposition 3.1.

DEFINITION 3.1. The Laplacian acting on $\wedge^{0,*}(M)$ is defined by

$$\square = -(d''\delta'' + \delta''d'').$$

For any $\phi \in L_2^{0,s}(M) \cap \wedge^{0,s}(M)$, we have

$$(3.1) \quad (d''\phi, d''\alpha)_{B(k)} + (\delta''\phi, \delta''\alpha)_{B(k)} = (-\square\phi, \alpha)_{B(k)}$$

for all $\alpha \in \wedge_{B(k)}^{0,s}(M)$, where $\wedge_{B(k)}^{0,s}(M)$ is the space of all forms of type $(0, s)$ with compact support contained in $B(k)$ and $B(k)$ is an open tube of radius k of the leaf through the fixed point o in M . For $\alpha = w_k^2\phi$, we have

$$\begin{aligned} d''\alpha &= w_k^2 d''\phi + 2w_k d''w_k \wedge \phi \\ \delta''\alpha &= w_k^2 \delta''\phi + (-1)^{q+s+1} *''(2w_k d''w_k \wedge *''\phi). \end{aligned}$$

Substituting in (3.1), we have

$$(3.2) \quad \begin{aligned} &\|w_k d''\phi\|_{B(k)}^2 + \|w_k \delta''\phi\|_{B(k)}^2 \\ &\leq |(\square\phi, w_k^2\phi)_{B(k)}| + |(d''\phi, 2w_k d''w_k \wedge \phi)_{B(k)}| \\ &\quad + |(\delta''\phi, *''(2w_k d''w_k \wedge *''\phi))_{B(k)}|. \end{aligned}$$

On the other hand, the Schwartz inequality gives the following

$$\begin{aligned} |(d''\phi, 2w_k d''w_k \wedge \phi)_{B(k)}| &\leq \frac{1}{2}(\|w_k d''\phi\|_{B(k)}^2 + 4\|d''w_k \wedge \phi\|_{B(k)}^2) \\ |(\delta''\phi, *''(2w_k d''w_k \wedge *''\phi))_{B(k)}| &\leq \frac{1}{2}(\|w_k \delta''\phi\|_{B(k)}^2 + 4\|d''w_k \wedge *''\phi\|_{B(k)}^2) \end{aligned}$$

and

$$|(\square\phi, w_k^2\phi)_{B(k)}| \leq \frac{1}{2} \left(\frac{1}{\sigma} \|w_k \phi\|_{B(k)}^2 + \sigma \|\square\phi\|_{B(k)}^2 \right)$$

for every $\sigma > 0$.

Substituting in (3.2),

$$\begin{aligned} &\|w_k d''\phi\|_{B(k)}^2 + \|w_k \delta''\phi\|_{B(k)}^2 \\ &< \sigma \|\square\phi\|_{B(k)}^2 + \left(\frac{1}{\sigma} + \frac{8nA^2}{k^2} \right) \|\phi\|_{B(k)}^2. \end{aligned}$$

Letting $k \rightarrow \infty$, we have

$$\|d''\phi\|^2 + \|\delta''\phi\|^2 < \sigma \|\square\phi\|^2 + \frac{1}{\sigma} \|\phi\|^2$$

for every $\sigma > 0$. In particular, setting $\square\phi = 0$ and letting $\sigma \rightarrow \infty$, we have

LEMMA 3.2. *Let the bundle-like metric on M be complete and all leaves be compact. If $\phi \in L_2^{0,s}(M) \cap \wedge^{0,s}(M)$ such that $\square\phi = 0$, then $d''\phi = 0$ and $\delta''\phi = 0$, i. e. $\phi \in N_{\sharp}^{0,s}(M) \cap N_{\flat}^{0,s}(M)$.*

From Proposition 3.1 and Lemma 3.2, we have the following theorem.

THEOREM 3.1. *Let the bundle-like metric on M be complete and all leaves be compact. If $\phi \in L_2^{0,s}(M) \cap \wedge^{0,s}(M)$ such that $\square\phi=0$, then $\phi \in H_2^{0,s}(M)$.*

Remark. The bundle-like metric can be deformed to a continuous complete metric if all leaves are compact, but the deformed metric can not be C^∞ -metric (cf. [4, 5]).

Remark. It is well known by I. Vaisman [8] that for a compact oriented foliated riemannian manifold M , the space $\mathcal{A}^{r,s}(M)$ of foliated harmonic forms is a subspace of the de Rham cohomology space $H^{r,s}(M)$.

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DEPARTMENT OF MATHEMATICS
COLLEGE OF LIBERAL ARTS
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