

## Eventual colorings of homeomorphisms

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**Abstract.** In this paper, we study some dynamical properties of fixed-point free homeomorphisms of separable metric spaces. For each natural number  $p$ , we define eventual colorings within  $p$  of homeomorphisms which are generalized notions of colorings of fixed-point free homeomorphisms, and we investigate the eventual coloring number  $C(f, p)$  of a fixed-point free homeomorphism  $f : X \rightarrow X$  with zero-dimensional set of periodic points. In particular, we show that if  $\dim X < \infty$ , then there is a natural number  $p$ , which depends on  $\dim X$ , and  $X$  can be divided into two closed regions  $C_1$  and  $C_2$  such that for each point  $x \in X$ , the orbit  $\{f^k(x)\}_{k=0}^\infty$  of  $x$  goes back and forth between  $C_1 - C_2$  and  $C_2 - C_1$  within the time  $p$ .

### 1. Introduction.

In this paper, we assume that all spaces are nonempty separable metric spaces and maps are continuous functions. Let  $\mathbb{N}$  be the set of all natural numbers, i.e.,  $\mathbb{N} = \{1, 2, 3, \dots\}$ . For a (separable metric) space  $X$ ,  $\dim X$  denotes the topological dimension of  $X$ . For each map  $f : X \rightarrow X$ , let  $P(f)$  be the set of all periodic points of  $f$ , i.e.,

$$P(f) = \{x \in X \mid f^j(x) = x \text{ for some } j \in \mathbb{N}\}.$$

Let  $f : X \rightarrow X$  be a fixed-point free closed map of a separable metric space  $X$ , i.e.,  $f(x) \neq x$  for each  $x \in X$ . In this paper, we assume that all maps are closed maps, i.e., for any closed subset  $A$  of  $X$ ,  $f(A)$  is closed in  $X$ . A subset  $C$  of  $X$  is called a *color* (see [9]) of  $f$  if  $f(C) \cap C = \emptyset$ . Note that  $f(C) \cap C = \emptyset$  if and only if  $C \cap f^{-1}(C) = \emptyset$ . We say that a cover  $\mathcal{C}$  of  $X$  is a *coloring* of  $f$  if each element  $C$  of  $\mathcal{C}$  is a color of  $f$ . The minimal cardinality  $C(f)$  of closed (or open) colorings of  $f$  is called the *coloring number* of  $f$ . The coloring number  $C(f)$  has been investigated by many mathematicians (see [1]–[5] and [7]–[9]).

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**THEOREM 1.1** (Lusternik and Schnirelman [7]). *Let  $f : S^n \rightarrow S^n$  be the antipodal map of the  $n$ -dimensional sphere  $S^n$ . Then  $C(f) = n + 2$ .*

**THEOREM 1.2** (Aarts, Fokkink and Vermeer [1]). *Let  $f : X \rightarrow X$  be a fixed-point free involution of a (separable) metric space  $X$  with  $\dim X = n < \infty$ . Then  $C(f) \leq n + 2$ .*

**THEOREM 1.3** (Aarts, Fokkink and Vermeer [1]). *Let  $f : X \rightarrow X$  be a fixed-point free homeomorphism of a (separable) metric space  $X$  with  $\dim X = n < \infty$ . Then  $C(f) \leq n + 3$ .*

Now, similarly we will consider more general notion of color as follows: Let  $f : X \rightarrow X$  be a fixed-point free map of a space  $X$  and  $p \in \mathbb{N}$ . A subset  $C$  of  $X$  is *eventually colored within  $p$  of  $f$*  if  $\bigcap_{i=0}^p f^{-i}(C) = \emptyset$ . Note that  $C$  is a color of  $f$  if and only if  $C$  is eventually colored within 1. Then we have the following simple proposition. For completeness, we give the proof.

**PROPOSITION 1.4.** *Let  $f : X \rightarrow X$  be a fixed-point free map of a separable metric space  $X$  and  $p \in \mathbb{N}$ . Then the followings hold.*

- (1) *A subset  $C$  of  $X$  is eventually colored within  $p$  of  $f$  if and only if each point  $x \in C$  wanders off  $C$  within  $p$ , i.e., for each  $x \in C$ ,  $f^i(x) \notin C$  with some  $i \leq p$ .*
- (2) *If a subset  $C$  of  $X$  satisfies the condition  $\bigcap_{i=0}^p f^i(C) = \emptyset$ , then  $C$  is eventually colored within  $p$  of  $f$ .*
- (3) *If  $f$  is an injective map, then a subset  $C$  of  $X$  is eventually colored within  $p$  of  $f$  if and only if  $C$  satisfies the condition  $\bigcap_{i=0}^p f^i(C) = \emptyset$ .*

**PROOF.** We prove (1). In fact, it is easily seen that  $\bigcap_{i=0}^p f^{-i}(C) \neq \emptyset$  if and only if there is an element  $x \in C$  such that  $f^i(x) \in C$  for any  $0 \leq i \leq p$ . We prove (2). Suppose, on the contrary, that there is a point  $x \in C$  such that  $f^i(x) \in C$  for each  $0 \leq i \leq p$ . Then  $f^p(x) \in \bigcap_{i=0}^p f^i(C) = \emptyset$ . This is a contradiction. Finally we prove (3). We suppose that  $f$  is injective. Let  $C$  be eventually colored within  $p$  of  $f$ . Suppose, on the contrary, that  $\bigcap_{i=0}^p f^i(C) \neq \emptyset$ . Take a point  $y \in \bigcap_{i=0}^p f^i(C)$ . Choose a point  $x \in C$  such that  $f^p(x) = y$ . Since  $f$  is injective, we see that  $f^i(x) \in C$  for each  $0 \leq i \leq p$ . This is a contradiction.  $\square$

**REMARK.** In general, the converse assertion of (2) in the proposition above is not true. Let  $X = \{a, b, c\}$  be a set consisting three points and let  $f : X \rightarrow X$  be the map defined by  $f(a) = b$ ,  $f(b) = c$ ,  $f(c) = b$ . Then  $C = \{a, b\}$  is eventually colored within 2 of  $f$ , but  $\bigcap_{i=0}^p f^i(C) \neq \emptyset$  ( $p \in \mathbb{N}$ ).

We define the eventual coloring number  $C(f, p)$  as follows. A cover  $\mathcal{C}$  of

$X$  is called an *eventual coloring within  $p$*  if each element  $C$  of  $\mathcal{C}$  is eventually colored within  $p$ . The minimal cardinality  $C(f, p)$  of all closed (or open) eventual colorings within  $p$  is called the *eventual coloring number* of  $f$  within  $p$ . Note that  $C(f, 1) = C(f)$ . If there is some  $p \in \mathbb{N}$  with  $C(f, p) < \infty$ , we say that  $f$  is eventually colored. Similarly, we can consider the index  $C^+(f, p)$  defined by

$$\min \left\{ |\mathcal{C}|; \mathcal{C} \text{ is a closed (open) cover of } X \right. \\ \left. \text{such that for each } C \in \mathcal{C}, \bigcap_{i=0}^p f^i(C) = \emptyset \right\}.$$

By the definitions, we see that  $C(f, p) \leq C^+(f, p)$ . In section 3, we show that  $C(f, p) = C^+(f, p)$  if  $X$  is compact.

In this paper, we need the following notions. A finite cover  $\mathcal{C}$  of  $X$  is a *closed partition* of  $X$  provided that each element  $C$  of  $\mathcal{C}$  is closed,  $\text{int}(C) \neq \emptyset$  and  $C \cap C' = \text{bd}(C) \cap \text{bd}(C')$  for any  $C, C' \in \mathcal{C}$ . Let  $\mathcal{B}$  be a collection of subsets of a space  $X$  with  $\dim X = n < \infty$ . Then we say that  $\mathcal{B}$  is *in general position in  $X$*  provided that if  $\mathcal{S} \subset \mathcal{B}$  with  $|\mathcal{S}| = m$ , then  $\dim(\bigcap \{S \mid S \in \mathcal{S}\}) \leq \max\{-1, n - m\}$ . By a *swelling* of a family  $\{A_s\}_{s \in S}$  of subsets of a space  $X$ , we mean any family  $\{B_s\}_{s \in S}$  of subsets of  $X$  such that  $A_s \subset B_s$  ( $s \in S$ ) and for every finite set of indices  $s_1, s_2, \dots, s_m \in S$ ,

$$\bigcap_{i=1}^m A_{s_i} \neq \emptyset \text{ if and only if } \bigcap_{i=1}^m B_{s_i} \neq \emptyset.$$

Conversely, for any cover  $\{B_s\}_{s \in S}$  of  $X$ , a cover  $\{A_s\}_{s \in S}$  of  $X$  is a *shrinking* of  $\{B_s\}_{s \in S}$  if  $A_s \subset B_s$  ( $s \in S$ ). The following facts are well-known;

- (1) for any locally finite collection  $\mathcal{F}$  of closed subsets of a space  $X$ ,  $\mathcal{F}$  has a swelling consisting of open subsets of  $X$  (e.g., see [9, Proposition 3.2.1]) and
- (2) for any open cover  $\mathcal{U}$  of  $X$ ,  $\mathcal{U}$  has a closed shrinking cover of  $X$  (e.g., see [9, Proposition A.7.1]).

Hence we see that if  $f : X \rightarrow X$  is a closed map and a closed finite cover  $\mathcal{B}$  of  $X$  is an eventual coloring of  $f$ , then we can find an open swelling  $\mathcal{C}$  of  $\mathcal{B}$  which is an eventual coloring of  $f$ .

## 2. Eventual coloring numbers of fixed-point free homeomorphisms.

In this section, we will define an index  $\varphi_n(k)$ . For each  $n = 0, 1, 2, \dots$ , and each  $k = 0, 1, 2, \dots, n+1$ , we define the index  $\varphi_n(k)$  as follows: Put  $\varphi_n(0) = 1$ . For each  $k = 1, 2, \dots, n+1$ , by induction on  $k$  we define the index  $\varphi_n(k)$  by

$$\varphi_n(k) = 2\varphi_n(k-1) + [n/(n+2-k)] \cdot (\varphi_n(k-1) + 1),$$

where  $[x] = \max\{m \in \mathbb{N} \cup \{0\} \mid m \leq x\}$  for  $x \in [0, \infty)$ . Note that  $\varphi_n(1) = 2$  ( $n \geq 0$ ) and  $\varphi_n(2) = 7$  ( $n \geq 1$ ). Also, note that  $\varphi_2(3) = 30$ ,  $\varphi_n(3) = 22$  ( $n \geq 3$ ),  $\varphi_3(4) = 113$ ,  $\varphi_4(4) = 90$  and  $\varphi_4(5) = 544$ .

In this paper, we need the following two lemmas whose proofs are some modifications of the proofs of Kulesza [6, Lemma 3.3 and Lemma 3.5].

LEMMA 2.1 (cf. [6, Lemma 3.3]). *Let  $\mathcal{C} = \{C_i \mid 1 \leq i \leq m\}$  be an open cover of a separable metric space  $X$  with  $\dim X = n < \infty$  and let  $\mathcal{B} = \{B_i \mid 1 \leq i \leq m\}$  be a closed shrinking of  $\mathcal{C}$ . Suppose that  $O$  is an open set in  $X$  and  $Z$  is a zero-dimensional subset of  $O$ . Then there is an open shrinking  $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq m\}$  of  $\mathcal{C}$  such that for each  $i \leq m$ ,*

- (0)  $B_i \subset C'_i$ ,
- (1)  $C'_i = C_i$  if  $\text{bd}(C_i) \cap O = \emptyset$ ,
- (2)  $C'_i \cap (X - O) = C_i \cap (X - O)$ ,
- (3)  $\text{bd}(C'_i) \cap (X - O) \subset \text{bd}(C_i) \cap (X - O)$ ,
- (4)  $\text{bd}(C'_i) \cap Z = \emptyset$ , and
- (5)  $\{\text{bd}(C') \cap O \mid C' \in \mathcal{C}'\}$  is in general position.

PROOF. First, we will construct  $C'_1$ . Consider the subspace

$$Y_1 = \text{cl}(C_1) \cap \text{cl}(O) - (\text{bd}(O) \cap \text{bd}(C_1))$$

of  $X$ . Put  $E_1 = Y_1 \cap (\text{bd}(O) \cup B_1)$  and  $F_1 = Y_1 \cap \text{bd}(C_1)$ . Then  $E_1$  and  $F_1$  are disjoint closed subsets of  $Y_1$ . Then we can take a closed separator (or partition)  $S_1$  between  $E_1$  and  $F_1$  in  $Y_1$  such that  $\dim S_1 \leq n-1$  and  $S_1 \cap Z = \emptyset$  (e.g., see [9, Lemma 3.1.4]). Hence we have open subsets  $G_1$  and  $H_1$  of  $Y_1$  such that  $Y_1 - S_1 = G_1 \cup H_1$ ,  $G_1 \cap H_1 = \emptyset$  and  $G_1 \supset E_1, H_1 \supset F_1$ . Put  $C'_1 = (C_1 - O) \cup G_1$ . Then  $C'_1$  is an open set of  $X$ . By the construction, we see that  $C'_1$  satisfies the conditions (0)–(4).

We proceed by induction on  $i$ . Now we suppose that there are  $C'_j$  ( $j \leq i-1$ ) satisfying the conditions (0)–(4) and  $\{\text{bd}(C'_j) \cap O \mid 1 \leq j \leq i-1\}$  is in general position. Consider the subspace  $Y_i = \text{cl}(C_i \cap \text{cl}(O)) - (\text{bd}(O) \cap \text{bd}(C_i))$  of  $X$ .

Put  $E_i = Y_i \cap (\text{bd}(O) \cup B_i)$  and  $F_i = Y_i \cap \text{bd}(C_i)$ . Then  $E_i$  and  $F_i$  are disjoint closed subsets of  $Y_i$ . We can choose a zero-dimensional  $F_\sigma$  set  $Z'$  of  $O$  such that if  $\mathcal{S} \subset \{O \cap \text{bd}(C_j) \mid j \leq i-1\}$  with  $|\mathcal{S}| = m$ , then  $\dim(\bigcap\{S \mid S \in \mathcal{S}\} - Z') \leq \max\{-1, n-m-1\}$  (e.g., see [9, Lemma 3.11.16]). Then we can take a closed separator  $S_i$  between  $E_i$  and  $F_i$  in  $Y_i$  such that  $\dim S_i \leq n-1$  and  $S_i \cap (Z \cup Z') = \emptyset$ . Then we have open subsets  $G_i$  and  $H_i$  of  $Y_i$  such that  $Y_i - S_i = G_i \cup H_i$ ,  $G_i \cap H_i = \emptyset$  and  $G_i \supset E_i, H_i \supset F_i$ . Put  $C'_i = (C_i - O) \cup G_i$ . By the construction, we see that  $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq m\}$  satisfies the desired conditions.  $\square$

LEMMA 2.2 (cf. [6, Lemma 3.5]). *Suppose that  $f : X \rightarrow X$  is a fixed-point free homeomorphism of a separable metric space  $X$  such that  $\dim X = n < \infty$  and  $\dim P(f) \leq 0$ . Let  $\mathcal{C} = \{C_i \mid 1 \leq i \leq m\}$  be an open cover of  $X$  and let  $\mathcal{B} = \{B_i \mid 1 \leq i \leq m\}$  be a closed shrinking of  $\mathcal{C}$ . Then for any  $k \in \mathbb{N}$ , there is an open shrinking  $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq m\}$  of  $\mathcal{C}$  such that*

- (0)  $B_i \subset C'_i$ ,
- (1)  $\{f^j(\text{bd}(C')) \mid C' \in \mathcal{C}', -k \leq j \leq k\}$  is in general position,
- (2)  $\text{bd}(C') \cap P(f) = \emptyset$  for each  $C' \in \mathcal{C}'$ .

PROOF. The proof is a modification of the proof of [6, Lemma 3.5]. We proceed by induction on  $k$ . First we will show that the case  $k = 0$  is true. In fact, if we put  $O = X$  and  $Z = P(f)$ , we see that the case  $k = 0$  follows from Lemma 2.1. Now we suppose that the result for the case  $k-1$  is true. We may assume that there is an open shrinking  $\mathcal{D} = \{D_i \mid 1 \leq i \leq m\}$  of  $\mathcal{C}$  such that

- (0)  $B_i \subset D_i$ ,
- (1)  $\{f^j(\text{bd}(D)) \mid D \in \mathcal{D}, -k+1 \leq j \leq k-1\}$  is in general position,
- (2)  $\text{bd}(D) \cap P(f) = \emptyset$  for each  $D \in \mathcal{D}$ .

Put  $F = \bigcup\{\text{bd}(D) \mid D \in \mathcal{D}\}$ . Since  $F \cap P(f) = \emptyset$ , we can choose a star finite open cover  $\mathcal{O} = \{O_j \mid j \in \mathbb{N}\}$  of  $F$  such that  $O_j \cap F \neq \emptyset$  and  $f^p(O_j) \cap f^q(O_j) = \emptyset$  for each  $j \in \mathbb{N}$  and for  $p \neq q$ ,  $-2k \leq p, q \leq 2k$ . We will construct a sequence  $\{\mathcal{D}(j) \mid j = 0, 1, 2, \dots\}$  of open shrinkings of  $\mathcal{C} = \{C_i \mid 1 \leq i \leq m\}$  such that  $\mathcal{D}(j+1)$  is a shrinking of  $\mathcal{D}(j)$  for each  $j$  satisfying the following conditions:

- (a)  $\mathcal{D}(j) = \{D(j)_i \mid 1 \leq i \leq m\}$ .
- (b)  $B_i \subset D(j)_i$ .
- (c)  $\mathcal{D}(0) = \mathcal{D}$ .
- (d)  $D(j-1)_i \cap (X - O_j) = D(j)_i \cap (X - O_j)$ ,  $\text{bd}(D(j-1)_i) \cap (X - O_j) \supset \text{bd}(D(j)_i) \cap (X - O_j)$ , and if  $\text{bd}(D(j-1)_i) \cap O_j = \emptyset$ , then  $\text{bd}(D(j)_i) \cap O_j = \emptyset$ .
- (e)  $\mathcal{B}_j = \{f^p(\text{bd}(D)) \mid D \in \mathcal{D}(j), -k+1 \leq p \leq k-1\} \cup \{f^{-k}(\text{bd}(D)) \cap (\bigcup_{p=1}^j O_p) \mid D \in \mathcal{D}(j)\} \cup \{f^k(\text{bd}(D)) \cap (\bigcup_{p=1}^j O_p) \mid D \in \mathcal{D}(j)\}$  is in general position.
- (f)  $\text{bd}(D) \cap P(f) = \emptyset$  for  $D \in \mathcal{D}(j)$ .

Also, we proceed by induction on  $j$ . Suppose that we have  $\mathcal{D}(j)$ . We will construct  $\mathcal{D}(j+1)$ . For each  $p$  with  $-k \leq p \leq k$ , consider the collection  $\mathcal{S}_p = \{B \cap f^p(O_{j+1}) \mid B \in \mathcal{B}_j\}$ . Then there is a zero-dimensional  $F_\sigma$ -set  $Z_p$  of  $f^p(O_{j+1})$  such that if  $\mathcal{S} \subset \mathcal{S}_p$ ,  $|\mathcal{S}| = m$ , then  $\dim(\bigcap \mathcal{S} - Z_p) \leq \max\{-1, n - m - 1\}$ . Let  $Z = (\bigcup_{p=-k}^k f^{-p}(Z_p)) \cup (P(f) \cap O_{j+1})$ . Note that  $Z$  is a zero-dimensional  $F_\sigma$ -set of  $O_{j+1}$ . Now, we use the same arguments as in the proof of Lemma 2.1. First, we construct  $D(j+1)_1$  and by induction on  $i$ , we can construct  $D(j+1)_i$  ( $2 \leq i \leq m$ ). Consequently we obtain  $\mathcal{D}(j+1) = \{D(j+1)_i \mid 1 \leq i \leq m\}$ . By the constructions and the similar arguments to the proof of [6, Lemma 3.5], we see that  $\mathcal{D}(j+1)$  satisfies the conditions (a)–(f).

Now, we obtain the above  $\{\mathcal{D}(j) \mid j = 0, 1, 2, \dots\}$  satisfying the conditions (a)–(f). Then we put  $C'_i = \bigcap_{j=0}^\infty D(j)_i$  for each  $i = 1, 2, \dots, m$ . Since  $\mathcal{O}$  is star finite and by the construction of  $\{\mathcal{D}(j) \mid j = 0, 1, 2, \dots\}$ , we see that  $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq m\}$  is an open cover of  $X$ . Also we see that  $\mathcal{C}'$  satisfies the desired conditions.  $\square$

The following result is the main theorem of this paper.

**THEOREM 2.3** (cf. [1]). *Let  $f : X \rightarrow X$  be a fixed-point free homeomorphism of a separable metric space  $X$  with  $\dim X = n < \infty$ . If  $\dim P(f) \leq 0$ , then*

$$C(f, \varphi_n(k)) \leq n + 3 - k$$

for each  $k = 0, 1, 2, \dots, n + 1$ .

**REMARK.** If we do not assume  $\dim P(f) \leq 0$ , the above theorem is not true. Let  $f : S^n \rightarrow S^n$  be the antipodal map of the  $n$ -dimensional sphere  $S^n$ . Note that  $P(f) = S^n$  and  $C(f, p) = C(f, 1) = n + 2$  for any  $p \in \mathbb{N}$ .

**PROOF OF THEOREM 2.3.** We proceed by induction on  $k$ . In the case  $k = 0$ , Theorem 2.3 follows from Theorem 1.3. Now we suppose that Theorem 2.3 holds for  $k - 1$ . We have an open cover  $\mathcal{C} = \{C_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$  of  $X$  which is an eventual coloring within  $\varphi_n(k - 1)$ . Take a closed shrinking  $\mathcal{B} = \{B_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$  of  $\mathcal{C}$ . By use of Lemma 2.2, we have an open cover  $\mathcal{C}' = \{C'_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$  such that

- (0)  $B_i \subset C'_i$ ,
- (1)  $\{f^j(\text{bd}(C')) \mid C' \in \mathcal{C}', 0 \leq j \leq \varphi_n(k - 1) + [n/(n + 2 - k)] \cdot (\varphi_n(k - 1) + 1)\}$  is in general position,
- (2)  $\text{bd}(C') \cap P(f) = \emptyset$  for each  $C' \in \mathcal{C}'$ .

Put  $K_i = \text{cl}(C'_i)$  for  $1 \leq i \leq n + 3 - (k - 1)$  and let  $\mathcal{K} = \{K_i \mid 1 \leq i \leq n + 3 - (k - 1)\}$ . Put

$$L_1 = K_1, \quad L_i = \text{cl}(K_i - (K_1 \cup K_2 \cup \cdots \cup K_{i-1})) \quad (i \geq 2).$$

Then the collection  $\mathcal{L} = \{L_i \mid 1 \leq i \leq n+3-(k-1)\}$  is a closed partition of  $X$  and  $\mathcal{L}$  satisfies the condition; for  $1 \leq i_1 < i_2 < \cdots < i_m \leq n+3-(k-1)$ ,

$$\text{bd}(L_{i_1}) \cap \text{bd}(L_{i_2}) \cap \cdots \cap \text{bd}(L_{i_m}) \subset \text{bd}(K_{i_1}) \cap \text{bd}(K_{i_2}) \cap \cdots \cap \text{bd}(K_{i_{m-1}}).$$

Put  $D = L_{n+3-(k-1)} \in \mathcal{L}$ . Let  $x \in D$ . Since  $D$  is eventually colored within  $\varphi_n(k-1)$ , we see that  $|J_x| \geq [n/(n+2-k)] + 1$ , where

$$J_x = \{j \mid 0 \leq j \leq \varphi_n(k-1) + [n/(n+2-k)] \cdot (\varphi_n(k-1) + 1) \text{ and } f^j(x) \notin D\}.$$

For each  $j \in J_x$ , put

$$I(j) = \{i \in \{1, 2, \dots, n+3-k\} \mid f^j(x) \in L_i\}.$$

Suppose, on the contrary, that  $|I(j)| = n+3-k$  for all  $j \in J_x$ . Then

$$f^j(x) \in \bigcap_{i=1}^{n+3-k} L_i = \bigcap_{i=1}^{n+3-k} \text{bd}(L_i) \subset \bigcap_{i=1}^{n+2-k} \text{bd}(K_i) \subset \bigcap_{i=1}^{n+2-k} \text{bd}(C'_i).$$

Since  $\{f^j(\text{bd}(C')) \mid C' \in \mathcal{C}', 0 \leq j \leq \varphi_n(k-1) + [n/(n+2-k)] \cdot (\varphi_n(k-1) + 1)\}$  is in general position, we see that  $([n/(n+2-k)] + 1)(n+2-k) \leq n$ . However, we have the following inequality

$$([n/(n+2-k)] + 1)(n+2-k) \geq n+1.$$

This is a contradiction. Hence there is some  $j(x) \in J_x$  such that  $|I(j(x))| < n+3-k$ . We choose  $L_{i(x)}$  such that  $f^{j(x)}(x) \notin L_{i(x)}$ . Take an open neighborhood  $U(x)$  of  $x$  in  $D$  such that  $f^{j(x)}(\text{cl}(U(x))) \cap (D \cup L_{i(x)}) = \emptyset$ . Consider the collection  $\mathcal{U} = \{U(x) \mid x \in D\}$  and take a locally finite closed refinement  $\mathcal{W}$  of  $\mathcal{U}$ . For each  $W \in \mathcal{W}$ , we can choose  $U(x)$  such that  $W \subset U(x)$ . Put  $j(W) = i(x)$ . For each  $1 \leq j \leq n+3-k$ , put  $E_j = \bigcup \{W \in \mathcal{W} \mid j(W) = j\}$  and define  $F_j = L_j \cup E_j$ . We will show that  $F_j$  is eventually colored within  $\varphi_n(k)$ .

Let  $y \in F_j (= L_j \cup E_j)$ . If  $y \in E_j$ , then we can choose  $W \in \mathcal{W}$  and  $U(x) \in \mathcal{U}$  such that  $y \in W \subset U(x)$ . Then  $j(x) \leq \varphi_n(k-1) + [n/(n+2-k)] \cdot (\varphi_n(k-1) + 1)$  and  $f^{j(x)}(y) \notin (L_j \cup D)$ . If  $y \in L_j$ , we can choose  $p \leq \varphi_n(k-1)$  such that  $y' = f^p(x) \notin L_j$ . If  $y' \notin E_j$ , then  $f^p(x) \notin F_j$ . Finally, if  $y' \in E_j$ , the previous argument shows that there is  $q \leq \varphi_n(k-1) + [n/(n+2-k)] \cdot (\varphi_n(k-1) + 1)$  such

that  $f^q(y') \notin F_j$ . Hence  $f^{p+q}(y) \notin F_j$  and  $p+q \leq \varphi_n(k)$ . Then the closed cover  $\mathcal{F} = \{F_j \mid 1 \leq j \leq n+3-k\}$  of  $X$  is an eventual coloring within  $\varphi_n(k)$ . This implies that  $C(f, \varphi_n(k)) \leq n+3-k$ . This completes the proof.  $\square$

**COROLLARY 2.4.** *Let  $f : X \rightarrow X$  be a fixed-point free homeomorphism of a separable metric space  $X$  with  $\dim X = n < \infty$ . If  $\dim P(f) \leq 0$ , then  $C(f, 2) \leq n+2$  ( $n \geq 0$ ) and  $C(f, 7) \leq n+1$  ( $n \geq 1$ ).*

Now we have the following general problem for eventual coloring numbers.

**PROBLEM 2.5.** For each  $n \geq 0$  and each  $1 \leq k \leq n+1$ , determine the minimal number  $m_n(k)$  of natural numbers  $p$  satisfying the condition; if  $f : X \rightarrow X$  is any fixed-point free homeomorphism of a separable metric space  $X$  such that  $\dim X = n$  and  $\dim P(f) \leq 0$ , then  $C(f, p) \leq n+3-k$ .

Next, we will consider another index  $\tau_n(k)$  defined by  $\tau_n(k) = k(2n+1) + 1$  for each  $n = 0, 1, 2, \dots$ , and each  $k = 0, 1, 2, \dots, n+1$ .

**THEOREM 2.6.** *Let  $f : X \rightarrow X$  be a fixed-point free homeomorphism of a separable metric space  $X$  with  $\dim X = n < \infty$ . If  $\dim P(f) \leq 0$ , then*

$$C(f, \tau_n(k)) \leq n+3-k$$

for each  $k = 0, 1, 2, \dots, n+1$ .

**PROOF.** The proof is similar to the proof of Theorem 2.3. We proceed by induction on  $k$ . In the case  $k = 0$ , Theorem 2.6 follows from Theorem 1.3. Now we suppose that  $k \geq 1$  and there is an open cover  $\mathcal{C} = \{C_i \mid 1 \leq i \leq n+3-(k-1)\}$  of  $X$  such that  $\text{cl}(C_1), \text{cl}(C_2)$  are eventually colored within  $\tau_n(k-1) = (k-1)(2n+1)+1$  and  $\text{cl}(C_i)$  ( $3 \leq i \leq n+3-(k-1)$ ) are colored (=eventually colored within 1). By use of Lemma 2.2, we may assume that

$$\{f^j(\text{bd}(C)) \mid C \in \mathcal{C}, 0 \leq j \leq 2n+1\}$$

is in general position. In particular,  $\{f^j(\text{bd}(C_1)) \mid 0 \leq j \leq 2n+1\}$  is in general position.

Put  $K_i = \text{cl}(C_i)$  for  $1 \leq i \leq n+3-(k-1)$  and let  $\mathcal{K} = \{K_i \mid 1 \leq i \leq n+3-(k-1)\}$ . Put

$$L_1 = K_1, \quad L_i = \text{cl}(K_i - (K_1 \cup K_2 \cup \dots \cup K_{i-1})) \quad (i \geq 2).$$

Then the collection  $\mathcal{L} = \{L_i \mid 1 \leq i \leq n+3-(k-1)\}$  is a closed partition of  $X$ .



Note that  $L_1 \cap L_2 \subset \text{bd}(C_1)$ . Let  $x \in L_3$ . Since  $L_3$  is colored,  $|J_3(x)| \geq n + 1$ , where  $J_3(x) = \{j \mid 0 \leq j \leq 2n + 1 \text{ and } f^j(x) \notin L_3\}$ . By the similar argument to the proof of Theorem 2.3, we see that there is some  $j(x) \in J_3(x)$  such that  $f^{j(x)}(x) \notin L_1$  or  $f^{j(x)}(x) \notin L_2$ . Also, by the similar argument to the proof of Theorem 2.3, we have a closed cover

$$\mathcal{F} = \{F_i \mid 1 \leq i \leq n + 3 - k\}$$

of  $X$  such that  $F_1, F_2$  are eventually colored within  $\tau_n(k) = k(2n + 1) + 1$  and  $F_i$  ( $3 \leq i \leq n + 3 - k$ ) are colored.  $\square$

We have the following result which is the case  $C(f, p) = 2$ .

**COROLLARY 2.7.** *Let  $f : X \rightarrow X$  be a fixed-point free homeomorphism of a separable metric space  $X$  with  $\dim X = n < \infty$ . If  $\dim P(f) \leq 0$ , then there is some  $p \in \mathbb{N}$  with  $p \leq \min\{\varphi_n(n + 1), \tau_n(n + 1)\}$  such that*

$$C(f, p) = 2.$$

*In other words,  $X$  can be divided into two closed subsets  $C_1, C_2$  (i.e.,  $X = C_1 \cup C_2$ ) and there is some  $p \in \mathbb{N}$  such that if  $x \in C_i$  ( $i \in \{1, 2\}$ ), there is a strictly increasing sequence  $\{n_x(k)\}_{k=1}^\infty$  of natural numbers such that  $1 \leq n_x(1) \leq p$ ,  $n_x(k + 1) - n_x(k) \leq p$  and if  $j \in \{1, 2\}$  with  $j \neq i$ , then*

$$f^{n_x(k)}(x) \in C_j - C_i \text{ (} k : \text{odd}), \quad f^{n_x(k)}(x) \in C_i - C_j \text{ (} k : \text{even}).$$

By the above corollary, we see that  $m_n(k) \leq \min\{\varphi_n(k), \tau_n(k)\}$ . We see that  $\varphi_0(1) = 2$ ,  $\varphi_1(2) = 7$ ,  $\varphi_2(3) = 30$ ,  $\varphi_3(4) = 113$  and  $\varphi_4(5) = 544$ . Also,  $\tau_0(1) = 2$ ,  $\tau_1(2) = 7$ ,  $\tau_2(3) = 16$ ,  $\tau_3(4) = 29$  and  $\tau_4(5) = 46$ . Hence we have the partial answer to the above problem.

**COROLLARY 2.8.** *Suppose that  $f : X \rightarrow X$  is a fixed-point free homeomorphism of a separable metric space  $X$  and  $\dim P(f) \leq 0$ .*

- (1) *If  $\dim X = 0$ , then  $C(f, 2) = 2$ .*
- (2) *If  $\dim X = 1$ , then  $C(f, 7) = 2$ .*
- (3) *If  $\dim X = 2$ , then  $C(f, 16) = 2$ .*
- (4) *If  $\dim X = 3$ , then  $C(f, 29) = 2$ .*
- (5) *If  $\dim X = 4$ , then  $C(f, 46) = 2$ .*

*In other words,  $m_0(1) = 2$ ,  $m_1(2) \leq 7$ ,  $m_2(3) \leq 16$ ,  $m_3(4) \leq 29$  and  $m_4(5) \leq 46$ .*

### 3. Eventual coloring numbers of fixed-point free maps of compact metric spaces.

In this section, we consider eventual coloring numbers of fixed-point free maps of compact metric spaces. Let  $X$  be a compact metric space and let  $f : X \rightarrow X$  be a map. Consider the inverse limit  $(X, f)$  of  $f$ , i.e.

$$(X, f) = \{(x_i)_{i=0}^\infty \mid x_i \in X, f(x_i) = x_{i-1} \text{ for } i \in \mathbb{N}\} \subset X^\infty = \prod_{j=0}^\infty X_j.$$

Then we have the shift homeomorphism  $\tilde{f} : (X, f) \rightarrow (X, f)$  of  $f$  and the natural projection  $p_j : (X, f) \rightarrow X_j = X$  ( $j \geq 0$ ) defined by

$$\tilde{f}((x_i)_{i=0}^\infty) = (f(x_i))_{i=0}^\infty, \quad p_j((x_i)_{i=0}^\infty) = x_j.$$

Note that  $p_j \cdot \tilde{f} = f \cdot p_j$ . We see that if  $f : X \rightarrow X$  is a fixed-point free map of a compact metric space  $X$ , then  $\tilde{f} : (X, f) \rightarrow (X, f)$  is a fixed-point free homeomorphism. By a modification of the proof of [1, Theorem 6], we have the following theorem which is a more precise result than [1, Theorem 6].

**THEOREM 3.1.** *Let  $f : X \rightarrow X$  be a fixed-point free map of a compact metric space  $X$  and let  $\tilde{f} : (X, f) \rightarrow (X, f)$  be the shift homeomorphism of  $f$ . Then for  $p \in \mathbb{N}$ ,*

$$C(f, p) = C^+(f, p) = C(\tilde{f}, p).$$

**PROOF.** Since  $\tilde{f}$  is a homeomorphism, we see that  $C(\tilde{f}, p) = C^+(\tilde{f}, p)$ . Also, note that  $C^+(f, p) \geq C(f, p)$ . First, we suppose that the map  $f : X \rightarrow X$  is surjective. We show that  $C^+(f, p) \leq C(\tilde{f}, p) \leq C(f, p)$ . We will prove  $C(\tilde{f}, p) \leq C(f, p)$ . Let  $\mathcal{C}$  be an eventual (closed) coloring within  $p$  of  $f$ . Since  $p_0 \cdot \tilde{f} = f \cdot p_0$ , we see that  $p_0^{-1}(\mathcal{C})$  is a closed cover of  $(X, f)$  and

$$\bigcap_{i=0}^p \tilde{f}^{-i}(p_0^{-1}(\mathcal{C})) = p_0^{-1}\left(\bigcap_{i=0}^p f^{-i}(\mathcal{C})\right) = \emptyset$$

for each  $C \in \mathcal{C}$ . This implies that  $C(\tilde{f}, p) \leq C(f, p)$ . We prove that  $C^+(f, p) \leq C(\tilde{f}, p) = C^+(\tilde{f}, p)$ . Let  $\mathcal{C}$  be an eventual (closed) coloring within  $p$  of  $f$ . Let  $C \in \mathcal{C}$ . Then we see that  $\bigcap_{i=0}^p \tilde{f}^i(C) = \emptyset$ . Take an open neighborhood  $U(C)$  of

$C$  in  $(X, f)$  such that  $\bigcap_{i=0}^p \tilde{f}^i(U(C)) = \emptyset$ . Note that for any  $\epsilon > 0$ , there is a sufficiently large  $j \in \mathbb{N}$  such that  $p_j$  is an  $\epsilon$ -map, i.e.,  $\text{diam } p_j^{-1}(x) < \epsilon$  for  $x \in X$ . Hence we see that there is  $j \in \mathbb{N}$  such that  $p_j^{-1}(f^i(p_j(C))) \subset \tilde{f}^i(U(C))$ . Hence  $\bigcap_{i=0}^p f^i(p_j(C)) = \emptyset$ . Since  $p_j : (X, f) \rightarrow X$  is surjective, the family  $p_j(\mathcal{C})$  is a closed cover of  $X$ . This implies that  $C^+(f, p) \leq C(\tilde{f}, p)$  and hence  $C(f, p) = C^+(f, p) = C(\tilde{f}, p)$ . Next, we consider the general case in which  $f$  is any map. Put

$$K = \bigcap \{f^j(X) \mid j \in \mathbb{N}\}.$$

Consider the map  $g = f|_K : K \rightarrow K$ . Note that  $g$  is surjective. We prove that  $C^+(g, p) \geq C^+(f, p)$ . Consider any closed cover  $\mathcal{C}$  of  $K$  such that for each  $C \in \mathcal{C}$ ,  $\bigcap_{i=0}^p f^i(C) = \emptyset$ . Take an open swelling  $\mathcal{C}'$  of  $\mathcal{C}$  in  $X$ . We may assume that for each  $C' \in \mathcal{C}'$ ,  $\bigcap_{i=0}^p f^i(C') = \emptyset$ . Note that  $K$  is an attractor of  $f$ , i.e., there is  $q \in \mathbb{N}$  such that  $f^q(X) \subset U = \bigcup \mathcal{C}'$ . Then  $f^{-q}(\mathcal{C}')$  is an open cover of  $X$  and for each  $C' \in \mathcal{C}'$ ,

$$\bigcap_{i=0}^p f^i(f^{-q}(C')) \subset \bigcap_{i=0}^p f^{-q}(f^i(C')) = f^{-q}\left(\bigcap_{i=0}^p f^i(C')\right) = \emptyset.$$

This implies that  $C^+(g, p) \geq C^+(f, p)$ . Note that  $(X, f) = (K, g)$  and  $\tilde{f} = \tilde{g}$ . Since  $g$  is surjective, by the above arguments we see that  $C(g, p) = C^+(g, p) = C(\tilde{f}, p)$ . Note that  $C(g, p) \leq C(f, p) \leq C^+(f, p) \leq C^+(g, p)$ . Hence  $C(g, p) = C(f, p) = C^+(f, p) = C^+(g, p)$ . Consequently,  $C(f, p) = C^+(f, p) = C(\tilde{f}, p)$ .  $\square$

**COROLLARY 3.2** (cf. [1, Theorem 6]). *Let  $f : X \rightarrow X$  be a fixed-point free map of a compact metric space  $X$  with  $\dim X = n < \infty$ . If  $\dim P(f) \leq 0$ , then there is  $p \in \mathbb{N}$  with  $p \leq \min\{\varphi_n(k), \tau_n(k)\}$  such that*

$$C(f, p) \leq n + 3 - k$$

for each  $k = 0, 1, 2, \dots, n + 1$ .

**PROOF.** Let  $0 \leq k \leq n + 1$ . Since  $\dim P(f) \leq 0$ , we see that  $\dim P(\tilde{f}) \leq 0$ . Note that  $\tilde{f}$  is a fixed-point free homeomorphism and  $\dim(X, f) \leq n$ . By Theorems 2.3 and 2.6,  $C(\tilde{f}, p) \leq n + 3 - k$  some  $p \leq \min\{\varphi_n(k), \tau_n(k)\}$ . By Theorem 3.1, we see that

$$C(f, p) = C(\tilde{f}, p) \leq n + 3 - k. \quad \square$$

EXAMPLE. There are a (zero-dimensional) separable metric space  $X$  and a fixed-point free map  $f : X \rightarrow X$  such that  $\dim P(f) \leq 0$  and

- (1)  $f$  is closed,
- (2)  $f$  is finite-to-one, and
- (3)  $f$  cannot be eventually colored within any  $p \in \mathbb{N}$ .

In fact, let  $f : X \rightarrow X$  be the map as in [9, Theorem 3.12.7]. Then we see that  $P(f) = \emptyset$  and  $f$  cannot be colored and satisfies the conditions (1), (2) (see [9, Theorem 3.12.7]). Let  $\mathcal{U}$  be any finite open cover of  $X$ . Then there exist some  $U \in \mathcal{U}$  and a point  $x \in U$  such that  $f^p(x) \in U$  for any  $p \in \mathbb{N}$  (see [9, Corollary 3.12.6] and the proof of [9, Theorem 3.12.7]). This implies that  $\mathcal{U}$  is not an eventual coloring within any  $p \in \mathbb{N}$ .

REMARK. In the statement of Theorem 1.3, “a separable metric space  $X$ ” can be replaced with “a paracompact space  $X$ ” (see [M. A. van Hartskamp and J. Vermeer, On colorings of maps, *Topology and its Applications* 73 (1996), 181–190]). Hence Theorem 2.3 is also true for the case that  $X$  is a paracompact space.

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