

## On Macaulayfication of certain quasi-projective schemes

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### 1. Introduction.

Let  $X$  be a Noetherian scheme. A birational proper morphism  $Y \rightarrow X$  of schemes is said to be a *Macaulayfication* of  $X$  if  $Y$  is a Cohen-Macaulay scheme. This notion was introduced by Faltings [8] and he established that there exists a Macaulayfication of a quasi-projective scheme over a Noetherian ring possessing a dualizing complex if its non-Cohen-Macaulay locus is of dimension 0 or 1. Of course, a desingularization is a Macaulayfication and Hironaka gave a desingularization of arbitrary algebraic variety over a field of characteristic 0. But Faltings' method to construct a Macaulayfication is independent of the characteristic of a scheme. Furthermore, several authors are interested in a Macaulayfication.

For example, Goto and Schenzel independently showed the converse of Faltings' result in a sense. Let  $A$  be a Noetherian local ring possessing a dualizing complex, hence its non-Cohen-Macaulay locus is closed, and assume that  $\dim A/\mathfrak{p} = \dim A$  for any associated prime ideal  $\mathfrak{p}$  of  $A$ . Then the non-Cohen-Macaulay locus of  $A$  consists of only the maximal ideal if and only if  $A$  is a generalized Cohen-Macaulay ring but not a Cohen-Macaulay ring [16]. When this is the case, Faltings [8, Satz 2] showed that there exists a parameter ideal  $\mathfrak{q}$  of  $A$  such that the blowing-up  $\text{Proj } A[\mathfrak{q}t]$  of  $\text{Spec } A$  with center  $\mathfrak{q}$  is Cohen-Macaulay, where  $t$  denotes an indeterminate. Conversely, Goto [9] proved that if there is a parameter ideal  $\mathfrak{q}$  of  $A$  such that  $\text{Proj } A[\mathfrak{q}t]$  is Cohen-Macaulay, then  $A$  is a generalized Cohen-Macaulay ring. Moreover, he showed that  $A$  is Buchsbaum if and only if  $\text{Proj } A[\mathfrak{q}t]$  is Cohen-Macaulay for every parameter ideal  $\mathfrak{q}$  of  $A$ : see also [20].

Brodmann [3] also studied the blowing-up of a generalized Cohen-Macaulay ring with center a parameter ideal. Furthermore, he constructed Macaulayfications in a quite different way from Faltings. Let  $A$  be a Noetherian local ring possessing a dualizing complex. We let  $d = \dim A$  and  $s$  be the dimension of its non-Cohen-Macaulay locus. If  $s = 0$ , then Brodmann [4, Proposition 2.13] gave an ideal  $\mathfrak{b}$  of height  $d - 1$  such that  $\text{Proj } A[\mathfrak{b}t]$  is Cohen-Macaulay. If  $s = 1$ , then Faltings' Macaulayfication [8, Satz 3] of  $\text{Spec } A$  consists of two consecutive blowing-ups  $Y \rightarrow X \rightarrow \text{Spec } A$  where the center of the first blowing-up is an ideal of height  $d - 1$ . In this case, Brodmann gave two other Macaulayfications of  $\text{Spec } A$ : the first one [1] is the composite of a blowing-up  $X \rightarrow \text{Spec } A$  with center an ideal of height  $d - 1$  and a finite morphism  $Y \rightarrow X$ ; the second one [4, Corollary 3.11] consists of two consecutive blowing-ups  $Y \rightarrow X \rightarrow \text{Spec } A$  where the center of the first blowing-up is an ideal of height  $d - 2$ .

In this article, we are interested in a Macaulayfication of the Noetherian scheme whose non-Cohen-Macaulay locus is of dimension 2. Let  $A$  be a Noetherian ring possessing a dualizing complex and  $X$  a quasi-projective scheme over  $A$ . Then  $X$  has a dualizing complex with codimension function  $v$ . Furthermore the non-Cohen-Macaulay locus  $V$  of  $X$  is closed. We define a function  $u : X \rightarrow \mathbf{Z}$  to be  $u(p) = v(p) + \dim \overline{\{p\}}$ . We will establish the following theorem:

**THEOREM 1.1.** *If  $\dim V \leq 2$  and  $u$  is locally constant on  $V$ , then  $X$  has a Macaulayfication.*

If  $\dim V \leq 1$ , then  $u$  is always locally constant on  $V$ . Therefore, this theorem contains Faltings' result. Furthermore, we note if  $X$  is a projective scheme over a Gorenstein local ring, then  $u$  is constant on  $X$ .

We agree that  $A$  denotes a Noetherian local ring with maximal ideal  $\mathfrak{m}$  except for Section 6. Assume that  $d = \dim A > 0$ . We refer the reader to [11], [12], [15], and [21] for unexplained terminology.

**2. Preliminaries.**

In this section, we state some definitions and properties of a local cohomology and an ideal transform. Let  $\mathfrak{b}$  be an ideal of  $A$ .

**DEFINITION 2.1.** The local cohomology functor  $H_{\mathfrak{b}}^p(-)$  and the ideal transform functor  $D_{\mathfrak{b}}^p(-)$  with respect to  $\mathfrak{b}$  are defined to be

$$H_{\mathfrak{b}}^p(-) = \operatorname{inj} \lim_m \operatorname{Ext}_A^p(A/\mathfrak{b}^m, -) \quad \text{and} \quad D_{\mathfrak{b}}^p(-) = \operatorname{inj} \lim_m \operatorname{Ext}_A^p(\mathfrak{b}^m, -),$$

respectively.

For an  $A$ -module  $M$ , there exist an exact sequence

$$(2.1.1) \quad 0 \rightarrow H_{\mathfrak{b}}^0(M) \rightarrow M \xrightarrow{i} D_{\mathfrak{b}}^0(M) \rightarrow H_{\mathfrak{b}}^1(M) \rightarrow 0$$

and isomorphisms

$$D_{\mathfrak{b}}^p(M) \cong H_{\mathfrak{b}}^{p+1}(M) \quad \text{for all } p > 0.$$

They induce that

$$(2.1.2) \quad H_{\mathfrak{b}}^p D_{\mathfrak{b}}^0(M) = \begin{cases} 0, & p = 0, 1; \\ H_{\mathfrak{b}}^p(M), & \text{otherwise.} \end{cases}$$

If  $\mathfrak{b}$  contains an  $M$ -regular element  $a$ , then we can regard  $D_{\mathfrak{b}}^0(M)$  as a submodule of the localization  $M_a$  with respect to  $a$  and  $i$  is the inclusion.

It is well-known that  $H_{\mathfrak{b}}^p(-)$  is naturally isomorphic to the direct limit of Koszul cohomology. In particular, let  $\mathfrak{b} = (f_1, \dots, f_h)$  and  $M$  be an  $A$ -module. Then

$$H_{\mathfrak{b}}^h(M) = \operatorname{inj} \lim_m M/(f_1^m, \dots, f_h^m)M \quad \text{and} \quad H_{\mathfrak{b}}^0(M) = \bigcap_{i=1}^h 0_M \langle f_i \rangle,$$

where  $0 : \langle f_i \rangle$  denotes  $\bigcup_{m=1}^{\infty} 0 : f_i^m$ . Furthermore, let  $A \rightarrow B$  be a ring homomorphism. Then there exists a natural isomorphism  $H_b^p(M) \cong H_{bB}^p(M)$  for a  $B$ -module  $M$ .

The following lemma is frequently used in this article.

LEMMA 2.2 (Brodmann [2]). *Let  $b = (f_1, \dots, f_h)$  and  $c = (f_1, \dots, f_{h-1})$  be two ideals. Then there exists a natural long exact sequence*

$$\dots \rightarrow [H_c^{p-1}(-)]_{f_h} \rightarrow H_b^p(-) \rightarrow H_c^p(-) \rightarrow [H_c^p(-)]_{f_h} \rightarrow \dots$$

Next we state on the annihilator of local cohomology modules.

DEFINITION 2.3. For any finitely generated  $A$ -module  $M$ , we define an ideal  $\alpha_A(M)$  to be

$$\alpha_A(M) = \prod_{p=0}^{\dim M - 1} \text{ann } H_m^p(M).$$

We note that a finitely generated  $A$ -module  $M$  is Cohen-Macaulay if and only if  $\alpha_A(M) = A$ , and that  $M$  is generalized Cohen-Macaulay if and only if  $\alpha_A(M)$  is an  $m$ -primary ideal. The notion of  $\alpha_A(-)$  plays a key role in this article. In fact, Schenzel [17] showed that  $V(\alpha_A(A))$  coincides with the non-Cohen-Macaulay locus of  $A$  if it possesses a dualizing complex and is equidimensional. He also gave the following lemma [17, 18]:

LEMMA 2.4. *Let  $M$  be a finitely generated  $A$ -module and  $x_1, \dots, x_n$  a system of parameters for  $M$ . Then  $(x_1, \dots, x_{i-1})M : x_i \subseteq (x_1, \dots, x_{i-1})M : \alpha_A(M)$  for any  $1 \leq i \leq n$ . In particular, if  $x_i \in \alpha_A(M)$ , then the equality holds.*

Let  $R = \bigoplus_{n \geq 0} R_n$  be a Noetherian graded ring where  $R_0 = A$ . A graded module  $M = \bigoplus_n M_n$  is said to be *finitely graded* if  $M_n = 0$  for all but finitely many  $n$ . The following lemma is an easy consequence of [7].

LEMMA 2.5. *Let  $b$  be a homogeneous ideal of  $R$  containing  $R_+ = \bigoplus_{n > 0} R_n$  and  $M$  a finitely generated graded  $R$ -module. We assume that  $A$  possesses a dualizing complex. Let  $p$  be the largest integer such that, for all  $q \leq p$ ,  $H_b^q(M)$  is finitely graded. Then  $\text{depth } M_{(\mathfrak{p})} \geq p$  for any closed point  $\mathfrak{p}$  of  $\text{Proj } R$ , that is,  $\mathfrak{p}$  is a homogeneous prime ideal such that  $\dim R/\mathfrak{p} = 1$  and  $R_+ \not\subseteq \mathfrak{p}$ .*

### 3. A Rees algebra obtained by an ideal transform.

DEFINITION 3.1. A sequence  $f_1, \dots, f_h$  of elements of  $A$  is said to be a  $d$ -sequence on an  $A$ -module  $M$  if  $(f_1, \dots, f_{i-1})M : f_i f_j = (f_1, \dots, f_{i-1})M : f_j$  for any  $1 \leq i \leq j \leq h$ .

We shall say that  $f_1, \dots, f_h$  is an unconditioned strong  $d$ -sequence (for short, *u.s.d.-sequence*) on  $M$  if  $f_1^{n_1}, \dots, f_h^{n_h}$  is a  $d$ -sequence on  $M$  in any order and for arbitrary positive integers  $n_1, \dots, n_h$ .

The notion of u.s.d-sequences was introduced by Goto and Yamagishi [10] to refine arguments on Buchsbaum rings and generalized Cohen-Macaulay rings. Their theory contains Brodmann's study on the Rees algebra with respect to an ideal generated by a

pS-sequences [3]. But Brodmann [5] also studied the ideal transform of such a Rees algebra. The purpose of this section is to study an ideal transform of the Rees algebra with respect to an ideal generated by a u.s.d-sequence.

Let  $f_0, \dots, f_h$  be a sequence of elements of  $A$  where  $h \geq 1$  and  $\mathfrak{q} = (f_1, \dots, f_h)$ .

LEMMA 3.2. *If  $f_1, \dots, f_h$  be a d-sequence on  $A/f_0A$ , then*

$$[(f_1, \dots, f_k)\mathfrak{q}^n] : f_0 = (f_1, \dots, f_k)[\mathfrak{q}^n : f_0] + 0 : f_0$$

for any  $1 \leq k \leq h$  and  $n > 0$ .

PROOF. It is obvious that the left hand side contains the right one. We shall prove the inverse inclusion by induction on  $k$ . Let  $a$  be an element of the left hand side.

When  $k = 1$ , we put  $f_0a = f_1b$  where  $b \in \mathfrak{q}^n$ . By using [10, Theorem 1.3], we obtain  $b \in (f_0) : f_1 \cap \mathfrak{q}^n \subseteq (f_0)$ . If we put  $b = f_0a'$ , then  $a' \in \mathfrak{q}^n : f_0$  and  $f_0(a - f_1a') = 0$ . Thus we get  $a \in f_1[\mathfrak{q}^n : f_0] + 0 : f_0$ .

When  $k > 1$ , we put  $f_0a = b + f_kc$  where  $b \in (f_1, \dots, f_{k-1})\mathfrak{q}^n$  and  $c \in \mathfrak{q}^n$ . Then we obtain

$$\begin{aligned} c &\in (f_0, \dots, f_{k-1}) : f_k \cap \mathfrak{q}^n \\ &\subseteq (f_0) + (f_1, \dots, f_{k-1})\mathfrak{q}^{n-1} \end{aligned}$$

by using [10, Theorem 1.3] again. If we put  $c = f_0a' + b'$  where

$$b' \in (f_1, \dots, f_{k-1})\mathfrak{q}^{n-1},$$

then  $a' \in \mathfrak{q}^n : f_0$ . Thus we get

$$\begin{aligned} a - f_ka' &\in [(f_1, \dots, f_{k-1})\mathfrak{q}^n] : f_0 \\ &= (f_1, \dots, f_{k-1})[\mathfrak{q}^n : f_0] + 0 : f_0 \end{aligned}$$

by induction hypothesis. The proof is completed. □

Let  $\bar{\mathfrak{q}} = \mathfrak{q} : \langle f_0 \rangle$ . If  $f_0$  is  $A$ -regular and  $f_1, \dots, f_h$  is a d-sequence on  $A/f_0^lA$  for all  $l > 0$ , then Lemma 3.2 assures us that

$$(3.2.1) \quad \mathfrak{q}^{n-1}\bar{\mathfrak{q}} = \bar{\mathfrak{q}}^n = \mathfrak{q}^n : \langle f_0 \rangle \quad \text{for all } n > 0.$$

Therefore the Rees algebra  $\bar{R} = A[\bar{\mathfrak{q}}t]$  is finitely generated over  $R = A[\mathfrak{q}t]$ . The following is an analogue of [9, Lemma 3.4].

THEOREM 3.3. *Let  $B = A[\bar{\mathfrak{q}}/f_h] = \bar{R}_{(f_h t)}$ . If  $f_0$  is  $A$ -regular and  $f_1, \dots, f_h$  is a d-sequence on  $A/f_0^lA$  for all  $l > 0$ , then  $f_h, f_1/f_h, \dots, f_{h-1}/f_h, f_0$  is a regular sequence on  $B$ .*

PROOF. First we note that  $f_1, \dots, f_h$  is a d-sequence on  $A$ . In fact, by using Krull's intersection theorem, we obtain

$$\begin{aligned} (f_1, \dots, f_{i-1}) : f_i f_j &= \bigcap_{l=1}^{\infty} (f_0^l, f_1, \dots, f_{i-1}) : f_i f_j \\ &= \bigcap_{l=1}^{\infty} (f_0^l, f_1, \dots, f_{i-1}) : f_j \\ &= (f_1, \dots, f_{i-1}) : f_j \end{aligned}$$

for any  $1 \leq i \leq j \leq h$ . Next we show that

$$(3.3.1) \quad (f_1, \dots, f_{k-1}) : f_k \cap \bar{q}^n = (f_1, \dots, f_{k-1})\bar{q}^{n-1},$$

for any  $1 \leq k \leq h+1$  and  $n > 1$ , where  $f_{h+1} = 1$ . If  $a$  is an element of the left hand side, then  $f_0^l a \in \mathfrak{q}^n$  for a sufficiently large  $l$ . By [10, Theorem 1.3], we have

$$\begin{aligned} f_0^l a &\in (f_1, \dots, f_{k-1}) : f_k \cap \mathfrak{q}^n \\ &= (f_1, \dots, f_{k-1})\mathfrak{q}^{n-1}. \end{aligned}$$

Lemma 3.2 says

$$a \in [(f_1, \dots, f_{k-1})\mathfrak{q}^{n-1}] : \langle f_0 \rangle = (f_1, \dots, f_{k-1})\bar{q}^{n-1}.$$

The inverse inclusion is clear. By (3.3.1) and [10, Theorem 1.7], we obtain that

$$f_h, \frac{f_1}{f_h}, \dots, \frac{f_{h-1}}{f_h}$$

is a regular sequence on  $B$ .

Finally we shall show that  $f_0$  is regular on  $B/(f_h, f_1/f_h, \dots, f_{h-1}/f_h)B$ . Let  $\alpha \in (f_h, f_1/f_h, \dots, f_{h-1}/f_h)B : f_0$ . For a sufficiently large  $n > 1$ , we may assume  $\alpha = a_0/f_h^n$  and

$$f_0 \frac{a_0}{f_h^n} = f_h \frac{a_h}{f_h^n} + \frac{f_1}{f_h} \frac{a_1}{f_h^n} + \dots + \frac{f_{h-1}}{f_h} \frac{a_{h-1}}{f_h^n}$$

where  $a_0, \dots, a_h \in \bar{q}^n$ . Therefore

$$f_h^{m+1} f_0 a_0 = f_h^m (f_h^2 a_h + f_1 a_1 + \dots + f_{h-1} a_{h-1})$$

in  $A$  for some  $m > 0$ . Take an integer  $l$  such that  $f_0^l a_h \in \mathfrak{q}^n$ . Then

$$\begin{aligned} f_h^{m+2} f_0^l a_h &\in (f_0^{l+1}, f_1, \dots, f_{h-1}) \cap \mathfrak{q}^{n+m+2} \\ &= (f_0^{l+1}) \cap \mathfrak{q}^{n+m+2} + (f_1, \dots, f_{h-1})\mathfrak{q}^{n+m+1} \\ &\subseteq f_0^{l+1} \bar{q}^{n+m+2} + (f_1, \dots, f_{h-1})\mathfrak{q}^{n+m+1}. \end{aligned}$$

If we put

$$f_h^{m+2} f_0^l a_h = f_0^{l+1} b_0 + f_1 b_1 + \dots + f_{h-1} b_{h-1}$$

where  $b_0 \in \bar{q}^{n+m+2}$  and  $b_1, \dots, b_{h-1} \in \mathfrak{q}^{n+m+1}$ , then

$$\begin{aligned} f_h^{m+2} a_h - f_0 b_0 &\in [(f_1, \dots, f_{h-1})\mathfrak{q}^{n+m+1}] : \langle f_0 \rangle \\ &= (f_1, \dots, f_{h-1})\bar{q}^{n+m+1}. \end{aligned}$$

Let

$$f_h^{m+2} a_h - f_0 b_0 = f_1 c_1 + \dots + f_{h-1} c_{h-1}$$

where  $c_1, \dots, c_{h-1} \in \bar{q}^{n+m+1}$ . Then

$$f_0 (f_h^{m+1} a_0 - b_0) \in (f_1, \dots, f_{h-1})\mathfrak{q}^{n+m}.$$

Therefore

$$f_h^{m+1}a_0 - b_0 \in (f_1, \dots, f_{h-1})\bar{q}^{n+m},$$

that is,

$$\alpha - f_h \frac{b_0}{f_h^{n+m+2}} \in \left( \frac{f_1}{f_h}, \dots, \frac{f_{h-1}}{f_h} \right) B.$$

The proof is completed. □

In the rest of this section, we assume that  $f_0$  is  $A$ -regular and that  $f_1, \dots, f_h$  is a u.s.d-sequence on  $A/f_0^l A$  for all  $l > 0$ . Let  $G = \bigoplus_{n \geq 0} q^n/q^{n+1}$  and  $\bar{G} = \bigoplus_{n \geq 0} \bar{q}^n/\bar{q}^{n+1}$  be associated graded rings with respect to  $q$  and  $\bar{q}$ , respectively. We shall compute local cohomology modules of  $\bar{G}$  and  $\bar{R}$  with respect to  $\mathfrak{N} = (f_0, \dots, f_h)R + R_+$ .

**THEOREM 3.4.** *If  $p < h + 1$ , then*

$$[H_{\mathfrak{N}}^p(\bar{G})]_n = 0 \quad \text{for } n \neq 1 - p.$$

*Furthermore*

$$[H_{\mathfrak{N}}^{h+1}(\bar{G})]_n = 0 \quad \text{for } n > -h.$$

**PROOF.** We shall prove that

$$(3.4.1) \quad [H_{(f_0, f_1 t, \dots, f_k t)}^p(\bar{G})]_n = 0 \quad \text{for } n \neq 1 - p$$

if  $p < k + 1$  by induction on  $k$ . It is obvious that  $f_0$  is  $\bar{G}$ -regular. Therefore  $H_{(f_0)}^0(\bar{G}) = 0$ .

Suppose  $k > 0$ . Then  $H_{(f_0, f_1 t, \dots, f_{k-1} t)}^p(\bar{G})_{f_k t} = 0$  for  $p < k$  by induction hypothesis. By Lemma 2.2, we obtain isomorphisms

$$H_{(f_0, f_1 t, \dots, f_k t)}^p(\bar{G}) \cong H_{(f_0, f_1 t, \dots, f_{k-1} t)}^p(\bar{G}) \quad \text{for } p < k.$$

Therefore (3.4.1) is proved if  $p < k$ . We also obtain an exact sequence

$$0 \rightarrow H_{(f_0, f_1 t, \dots, f_k t)}^k(\bar{G}) \rightarrow H_{(f_0, f_1 t, \dots, f_{k-1} t)}^k(\bar{G}) \rightarrow H_{(f_0, f_1 t, \dots, f_{k-1} t)}^k(\bar{G})_{f_k t}$$

from Lemma 2.2. Hence  $H_{(f_0, f_1 t, \dots, f_k t)}^k(\bar{G})$  is the limit of the direct system  $\{K_m\}_{m > 0}$  such that

$$K_m = \frac{(f_0^m, (f_1 t)^m, \dots, (f_{k-1} t)^m)\bar{G} : \langle f_k t \rangle}{(f_0^m, (f_1 t)^m, \dots, (f_{k-1} t)^m)\bar{G}} (m(k-1)) \quad \text{for } m > 0$$

and the homomorphism  $K_m \rightarrow K_{m'}$  is induced from the multiplication of  $(f_0 \cdot f_1 t \cdots f_{k-1} t)^{m'-m}$  for any  $m' > m$ . We shall show that it is the zero map except for degree  $1 - k$  if  $m'$  is sufficiently larger than  $m$ .

Let  $\alpha$  be a homogeneous element of  $K_m$  of degree  $n$  and  $a$  its representative. That is,  $a \in \bar{q}^{n+m(k-1)}$  and

$$f_k^l a \in f_0^m \bar{q}^{n+m(k-1)+l} + (f_1^m, \dots, f_{k-1}^m) \bar{q}^{n+m(k-2)+l} + \bar{q}^{n+m(k-1)+l+1}$$

for some  $l > 0$ . Take an integer  $m' > m$  such that  $f_0^{m'-m}\bar{q} \subseteq \mathfrak{q}$ . Then  $f_0^{m'-m}\bar{q}^n \subseteq \mathfrak{q}^n$  for any  $n > 0$  by (3.2.1). By replacing  $\alpha$  by its image in  $K_{m'}$ , we may assume that  $a \in \mathfrak{q}^{n+m(k-1)}$  and

$$f_k^l a \in f_0^m \bar{q}^{n+m(k-1)+l} + (f_1^m, \dots, f_{k-1}^m) \mathfrak{q}^{n+m(k-2)+l} + \mathfrak{q}^{n+m(k-1)+l+1}.$$

We put  $f_k^l a = b + c$  where  $b \in f_0^m \bar{q}^{n+m(k-1)+l} + (f_1^m, \dots, f_{k-1}^m) \mathfrak{q}^{n+m(k-2)+l}$  and  $c \in \mathfrak{q}^{n+m(k-1)+l+1}$ . Then, by using [10, Theorem 2.6], we obtain

$$\begin{aligned} c &\in (f_0^m, \dots, f_{k-1}^m, f_k^l) \cap \mathfrak{q}^{n+m(k-1)+l+1} \\ &\subseteq f_0^m \bar{q}^{n+m(k-1)+l+1} + (f_1^m, \dots, f_{k-1}^m) \mathfrak{q}^{n+m(k-2)+l+1} + f_k^l \mathfrak{q}^{n+m(k-1)+1}. \end{aligned}$$

If we put  $c = b' + f_k^l a'$  where  $b' \in f_0^m \bar{q}^{n+m(k-1)+l+1} + (f_1^m, \dots, f_{k-1}^m) \mathfrak{q}^{n+m(k-2)+l+1}$  and  $a' \in \mathfrak{q}^{n+m(k-1)+1}$ , then  $a - a'$  is also a representative of  $\alpha$ . Therefore we may assume that  $c = 0$ .

By using [10, Theorem 2.8], we obtain

$$\begin{aligned} a &\in (f_0^m, \dots, f_{k-1}^m) : f_k \cap \mathfrak{q}^{n+m(k-1)} \\ &= (f_0^m) \cap \mathfrak{q}^{n+m(k-1)} + (f_1^m, \dots, f_{k-1}^m) \mathfrak{q}^{n+m(k-2)} \\ &\quad + \sum_{\substack{I \subseteq \{1, \dots, k-1\} \\ \#I \cdot (m-1) \geq n+m(k-1)}} \left\{ \prod_{i \in I} f_i^{m-1} \right\} \{ [(f_0^m) + (f_i \mid i \in I)] : f_k \} \\ &\subseteq f_0^m \bar{q}^{n+m(k-1)} + (f_1^m, \dots, f_{k-1}^m) \mathfrak{q}^{n+m(k-2)} + \mathfrak{q}^{n+m(k-1)+1} \\ &\quad + \sum_{\substack{I \subseteq \{1, \dots, k-1\} \\ \#I \cdot (m-1) = n+m(k-1)}} \left\{ \prod_{i \in I} f_i^{m-1} \right\} \{ [(f_0^m) + (f_i \mid i \in I)] : f_k \}. \end{aligned}$$

Here  $\#I$  denotes the number of elements in  $I$ . If  $n > 1 - k$ , then there is no subset  $I$  of  $\{1, \dots, k-1\}$  such that  $\#I \cdot (m-1) = n + m(k-1)$ . If  $n < 1 - k$ , then such  $I$  is a proper subset. Let  $j \in \{1, \dots, k-1\} \setminus I$  and

$$d \in [(f_0^m) + (f_i \mid i \in I)] : f_k = [(f_0^m) + (f_i \mid i \in I)] : f_j.$$

Then

$$(f_0 \cdots f_{k-1}) \left\{ \prod_{i \in I} f_i^{m-1} \right\} d \in f_0^{m+1} \bar{q}^{n+(m+1)(k-1)} + (f_1^{m+1}, \dots, f_{k-1}^{m+1}) \mathfrak{q}^{n+(m+1)(k-2)}.$$

In fact, if we put  $f_j d = f_0^m e + g$  where  $g \in (f_i \mid i \in I)$ , then  $e \in \bar{q}$ . Thus the image of  $\alpha$  in  $K_{m+1}$  is zero if  $n \neq 1 - k$ .

Put  $k = h$ . Then

$$[H_{\mathfrak{q}}^p(\bar{G})]_n = [H_{(f_0, f_1, \dots, f_h)}^p(\bar{G})]_n = 0 \quad \text{for } n \neq 1 - p$$

if  $p < h + 1$ . The first assertion is proved.

Next we compute  $H_{(f_0, f_1 t, \dots, f_h t)}^{h+1}(\bar{G})$ . It is the limit of the direct system  $\{K'_m\}_{m>0}$  such that

$$K'_m = \bar{G}/(f_0^m, (f_1 t)^m, \dots, (f_h t)^m) \bar{G}(mh) \quad \text{for } m > 0$$

and the homomorphism  $K'_m \rightarrow K'_{m'}$  is induced from the multiplication of  $(f_0 \cdot f_1 t \cdots f_h t)^{m'-m}$  for any  $m' > m$ . We shall show that it is the zero map for degree  $n > -h$  if  $m'$  is sufficiently larger than  $m$ .

Let  $\alpha$  be a homogeneous element of  $K'_m$  of degree  $n$  and  $a$  its representative. That is,  $a \in \bar{q}^{n+mh}$ . If  $n > -h$ , then

$$(f_0 \cdots f_h)^{m'-m} a \in \bar{q}^{n+m'h} = (f_1^{m'}, \dots, f_h^{m'}) \bar{q}^{n+m'(h-1)}$$

for a sufficiently larger  $m'$  than  $m$ . Thus the image of  $\alpha$  in  $K'_{m'}$  is zero if  $n > -h$ . Therefore  $[H_{\mathfrak{R}}^{h+1}(\bar{G})]_n = 0$  for  $n > -h$ . □

By this theorem, we can compute local cohomology of  $\bar{R}$ .

**COROLLARY 3.5.** *If  $h = 1, 2$ , then*

$$H_{\mathfrak{R}}^p(\bar{R}) = 0 \quad \text{for } p \neq 1, h + 2$$

and  $H_{\mathfrak{R}}^1(\bar{R}) = [H_{\mathfrak{R}}^1(\bar{R})]_0 = H_{(f_0, \dots, f_h)}^1(A)$ .

*If  $h \geq 3$ , then*

$$H_{\mathfrak{R}}^p(\bar{R}) = 0 \quad \text{for } p = 0, 2, 3$$

and  $H_{\mathfrak{R}}^1(\bar{R}) = [H_{\mathfrak{R}}^1(\bar{R})]_0 = H_{(f_0, \dots, f_h)}^1(A)$ . Furthermore, if  $4 \leq p \leq h + 1$ , then

$$[H_{\mathfrak{R}}^p(\bar{R})]_n = \begin{cases} H_{(f_0, \dots, f_h)}^{p-1}(A), & \text{for } -1 \geq n \geq 3 - p; \\ 0, & \text{otherwise.} \end{cases}$$

**PROOF.** Passing through the completion, we may assume that  $A$  possesses a dualizing complex. Since  $H_{\mathfrak{R}}^p(\bar{G})$  is finitely graded for  $p < h + 1$ ,  $H_{\mathfrak{R}}^p(\bar{R})$  is finitely graded for  $p \leq h + 1$  [14, Proposition 3]. Considering the following two exact sequences

$$0 \rightarrow \bar{R}_+ \rightarrow \bar{R} \rightarrow A \rightarrow 0 \quad \text{and} \quad 0 \rightarrow \bar{R}_+(1) \rightarrow \bar{R} \rightarrow \bar{G} \rightarrow 0,$$

we obtain the assertion: see the proof of [5, Theorem 4.1]. □

Let  $S = \bar{R}/R$ , that is,  $S = \bigoplus_{n>0} \bar{q}^n/q^n$ . The following proposition shall play an important role in the next section.

**PROPOSITION 3.6.** *If  $p < h$ , then*

$$[H_{\mathfrak{R}}^p(S)]_n = 0 \quad \text{for } n \neq 1 - p.$$

Moreover,

$$[H_{\mathfrak{R}}^h(S)]_n = 0 \quad \text{for } n > 1 - h.$$

**PROOF.** In the same way as the proof of Theorem 3.3, we find that  $f_1, \dots, f_h$  is a u.s.d-sequence on  $A$ . Hence, by using [10, Theorem 4.2],

$$[H_{(f_1 t, \dots, f_h t)}^p(G)]_n = 0 \quad \text{for } n \neq -p$$



if  $p < h$ . Furthermore,

$$[H_{(f_1, \dots, f_h)}^h(G)]_n = 0 \quad \text{for } n > -h.$$

By using Lemma 2.2, we obtain

$$[H_{\mathfrak{R}}^p(G)]_n = 0 \quad \text{for } n \neq 1 - p, -p$$

if  $p < h$  and

$$[H_{\mathfrak{R}}^p(G)]_n = 0 \quad \text{for } n > 1 - p$$

if  $p = h, h + 1$ .

Since  $\bar{q}^2 = q\bar{q}$ , there exists an exact sequence

$$0 \rightarrow S(1) \rightarrow G \xrightarrow{\phi} \bar{G} \rightarrow S \rightarrow 0.$$

Let  $T$  be the image of  $\phi$ . We shall show

$$[H_{\mathfrak{R}}^p(S)]_n = [H_{\mathfrak{R}}^p(T)]_n = 0 \quad \text{for } n > 1 - p$$

by induction on  $h - p$ . If  $p > h + 1$ , then the assertion is obvious. Let  $p \leq h + 1$ . Then following two exact sequences

$$\begin{aligned} H_{\mathfrak{R}}^p(\bar{G}) &\rightarrow H_{\mathfrak{R}}^p(S) \rightarrow H_{\mathfrak{R}}^{p+1}(T) \rightarrow H_{\mathfrak{R}}^{p+1}(\bar{G}), \\ H_{\mathfrak{R}}^p(G) &\rightarrow H_{\mathfrak{R}}^p(T) \rightarrow H_{\mathfrak{R}}^{p+1}(S)(1) \rightarrow H_{\mathfrak{R}}^{p+1}(G) \end{aligned}$$

and the induction hypothesis imply

$$[H_{\mathfrak{R}}^p(S)]_n = [H_{\mathfrak{R}}^p(T)]_n = 0 \quad \text{for } n > 1 - p.$$

In the same way, we can prove that

$$[H_{\mathfrak{R}}^p(S)]_n = [H_{\mathfrak{R}}^p(T)]_n = 0 \quad \text{for } n < 1 - p$$

if  $p < h$  by induction on  $p$ . □

Finally we show that  $\bar{R}$  is an ideal transform of  $R$  in a sense.

**PROPOSITION 3.7.**  $\bar{R}_+ = D_{(f_0, \dots, f_h)}^0(R_+)$ .

**PROOF.** We first show that  $f_0, f_1$  is a regular sequence on  $\bar{R}_+$ . Let  $n > 0$ . Since  $f_0$  is  $A$ -regular, it is also  $\bar{q}^n$ -regular. Let  $a \in [f_0\bar{q}^n] : f_1 \cap \bar{q}^n$ . Then  $f_0^l a \in \bar{q}^n$  for a sufficiently large  $l$ . Since  $f_1 a \in (f_0)$ , we have  $f_0^l a \in (f_0^{l+1}) : f_1 \cap \bar{q}^n \subseteq f_0^{l+1}\bar{q}^n$ , that is,  $a \in f_0\bar{q}^n$ . Thus we have shown that  $f_1$  is  $\bar{R}_+/f_0\bar{R}_+$ -regular.

By this and (2.1.1), we obtain

$$(3.7.1) \quad D_{(f_0, \dots, f_h)}^0(R_+) \subseteq D_{(f_0, \dots, f_h)}^0(\bar{R}_+) = \bar{R}_+.$$

Since  $\bar{q}^n = q^{n-1}\bar{q}$  for  $n \geq 2$ ,  $(f_0^l, f_1, \dots, f_h)\bar{R}_+ \subseteq R_+$  for a sufficiently large  $l$ . Hence, we obtain the inverse inclusion of (3.7.1). The proof is completed. □

**4. A blowing-up with respect to a certain subsystem of parameters.**

In this section, we assume that  $A$  possesses a dualizing complex. We fix an integer  $s \geq \dim A/\mathfrak{a}_A(A)$ . Since  $\dim A/\mathfrak{a}_A(M) < \dim M$  for any finitely generated  $A$ -module  $M$  [19, Korollar 2.2.4], there exists a system of parameters  $x_1, \dots, x_d$  for  $A$  such that

$$(4.0.1) \quad \begin{cases} x_{s+1}, \dots, x_d \in \mathfrak{a}_A(A); \\ x_i \in \mathfrak{a}_A(A/(x_{i+1}, \dots, x_d)), \text{ for } i \leq s. \end{cases}$$

This notion is a slight improvement of a p-standard system of parameters, which was introduced by Cuong [6]. He also gave the statement (1) of Theorem 4.2.

LEMMA 4.1. *Let  $n_1, \dots, n_i$  be arbitrary positive integers. Then*

$$\begin{aligned} (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{k+1}, \dots, x_d) &: x_i^{n_i} \cap (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_k, \dots, x_d) \\ &= (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{k+1}, \dots, x_d) \end{aligned}$$

for any  $1 \leq i \leq k \leq d$ .

PROOF. It is obvious that the left hand side contains the right one. Let  $a$  be an element of the left hand side and  $a = b + x_k c$  where  $b \in (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{k+1}, \dots, x_d)$ . Then

$$\begin{aligned} c &\in (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{k+1}, \dots, x_d) : x_i^{n_i} x_k \\ &= (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{k+1}, \dots, x_d) : x_k \end{aligned}$$

by Lemma 2.4. Therefore  $x_k c, a \in (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{k+1}, \dots, x_d)$ . The proof is completed. □

Let  $\mathfrak{q} = (x_{s+1}, \dots, x_d)$ . Lemma 2.2 assures us that  $x_{s+1}, \dots, x_d$  is a u.s.d-sequence on  $A$ . Furthermore, we have the following theorem:

THEOREM 4.2. (1) *The sequences  $x_1^{n_1}, \dots, x_s^{n_s}, x_{\sigma(s+1)}^{n_{s+1}}, \dots, x_{\sigma(d)}^{n_d}$  is a  $d$ -sequence on  $A$  for any positive integers  $n_1, \dots, n_d$  and for any permutation  $\sigma$  on  $s + 1, \dots, d$ .*

(2) *If  $s > 0$ , then  $x_1^{n_1}, \dots, x_s^{n_s}$  is a  $d$ -sequence on  $A/\mathfrak{q}^n$  for any positive integers  $n_1, \dots, n_s$  and  $n$ .*

PROOF. (1): Let  $1 \leq i \leq j \leq d$ . We have only to prove that

$$(x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) : x_i^{n_i} x_j^{n_j} = (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) : x_j^{n_j}$$

for any positive integers  $n_1, \dots, n_d$ . If  $j > s$ , then the both sides are equal to  $(x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) : \mathfrak{a}_A(A)$ .

Assume that  $j \leq s$  and take an element  $a$  of the left hand side. By using Lemma 2.4, we get

$$\begin{aligned} a &\in (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{j+1}, \dots, x_d) : x_i^{n_i} x_j^{n_j} \\ &= (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{j+1}, \dots, x_d) : x_j^{n_j}. \end{aligned}$$

Hence we have

$$\begin{aligned} x_j^{n_j} a &\in (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) : x_i^{n_i} \cap (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{j+1}, \dots, x_d) \\ &= (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) \end{aligned}$$

by repeating to use Lemma 4.1.

(2): If  $n = 1$ , then the assertion is proved in the same way as above. Let  $1 \leq i \leq j \leq s$  and  $n > 1$ . Then  $x_{s+1}, \dots, x_d$  is a  $d$ -sequence on  $A/(x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_i^{n_i} x_j^{n_j})$ . By using Lemma 3.2, we obtain

$$\begin{aligned} &[(x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) + \mathfrak{q}^n] : x_i^{n_i} x_j^{n_j} \\ &= (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) : x_i^{n_i} x_j^{n_j} + \mathfrak{q}^{n-1} [(x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{s+1}, \dots, x_d) : x_i^{n_i} x_j^{n_j}] \\ &= (x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) : x_j^{n_j} + \mathfrak{q}^{n-1} [(x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}, x_{s+1}, \dots, x_d) : x_j^{n_j}] \\ &\subseteq [(x_1^{n_1}, \dots, x_{i-1}^{n_{i-1}}) + \mathfrak{q}^n] : x_j^{n_j}. \end{aligned}$$

Here the second equality follows from the case of  $n = 1$ . Thus the proof is completed.  $\square$

In the same way as the proof of Theorem 3.3, we find that any subsequence of  $x_1^{n_1}, \dots, x_d^{n_d}$  is a  $d$ -sequence on  $A$  and any subsequence of  $x_1^{n_1}, \dots, x_s^{n_s}$  is a  $d$ -sequence on  $A/\mathfrak{q}^n$  for arbitrary positive integers  $n_1, \dots, n_d$  and  $n$ .

**COROLLARY 4.3.** *Fix an integer  $k$  such that  $1 \leq k \leq d$ . Then*

$$H_{(x_k, \dots, x_d)}^p(A) = \text{inj} \lim_m \frac{(x_k^m, \dots, x_{k+p-1}^m) : x_{k+p}}{(x_k^m, \dots, x_{k+p-1}^m)} \quad \text{for } p < d - k + 1.$$

**PROOF.** We shall prove that

$$H_{(x_k, \dots, x_l)}^p(A) = \text{inj} \lim_m \frac{(x_k^m, \dots, x_{k+p-1}^m) : x_{k+p}}{(x_k^m, \dots, x_{k+p-1}^m)} \quad \text{for } p < l - k + 1$$

by induction on  $l \geq k$ . If  $l = k$ , then  $H_{(x_k)}^0(A) = 0 :_A x_k$ .

Suppose  $l > k$ . Then  $x_k, \dots, x_{l-1}$  is a regular sequence on  $A_{x_l}$  because  $x_k, \dots, x_l$  is a  $d$ -sequence on  $A$ . Hence we obtain isomorphisms

$$H_{(x_k, \dots, x_l)}^p(A) \cong H_{(x_k, \dots, x_{l-1})}^p(A) \quad \text{for all } p < l - k$$

and an exact sequence

$$0 \rightarrow H_{(x_k, \dots, x_l)}^{l-k}(A) \rightarrow H_{(x_k, \dots, x_{l-1})}^{l-k}(A) \rightarrow H_{(x_k, \dots, x_{l-1})}^{l-k}(A)_{x_l}$$

by Lemma 2.2. This exact sequence is the direct limit of the exact sequence

$$0 \rightarrow \frac{(x_k^m, \dots, x_{l-1}^m) : x_l}{(x_k^m, \dots, x_{l-1}^m)} \rightarrow A/(x_k^m, \dots, x_{l-1}^m) \rightarrow [A/(x_k^m, \dots, x_{l-1}^m)]_{x_l}.$$

Thus the proof is completed.  $\square$

If  $s = 0$ , then  $\text{Proj } A[q^t] \rightarrow \text{Spec } A$  is a Macaulayfication of  $\text{Spec } A$ : see Theorem 5.1 for details. In the rest of this section, we shall observe  $\text{Proj } A[q^t]$  when  $s > 0$ . Assume that  $s > 0$  and fix an integer  $k$  such that  $1 \leq k \leq s$ . We shall compute local cohomology modules of  $R = A[q^t]$  with respect to  $(x_k, \dots, x_{s+1})$ . Let  $\mathfrak{M} = \mathfrak{m}R + R_+$ .

**THEOREM 4.4.**  $H^0_{(x_k, \dots, x_{s+1})}(R) = 0 :_A x_k$ .

**PROOF.** Since  $x_k, x_{s+1}, \dots, x_d$  is a d-sequence on  $A$ ,  $0 :_A x_k \cap \mathfrak{q}^n = 0$  for  $n > 0$  by [10, Theorem 1.3]. That is,

$$H^0_{(x_k, \dots, x_{s+1})}(\mathfrak{q}^n) = \begin{cases} 0 :_A x_k, & \text{if } n = 0; \\ 0, & \text{otherwise.} \end{cases}$$

Therefore,  $H^0_{(x_k, \dots, x_{s+1})}(R) = \bigoplus_{n \geq 0} H^0_{(x_k, \dots, x_{s+1})}(\mathfrak{q}^n) = 0 :_A x_k$ . □

Let  $C = A[t]/R$ , that is,  $C = \bigoplus_{n > 0} A/\mathfrak{q}^n$ .

**LEMMA 4.5.** For  $k \leq l \leq s + 1$  and  $p \leq l - k$ , the natural homomorphism

$$\alpha_l^p : H^p_{(x_k, \dots, x_l)}(A[t]) \rightarrow H^p_{(x_k, \dots, x_l)}(C)$$

is a monomorphism except for degree 0.

**PROOF.** We shall work by induction on  $l$ . If  $l = k$ , then  $0 :_A x_k \cap \mathfrak{q}^n = 0$  for  $n > 0$ . Therefore

$$\alpha_k^0 : 0 :_A x_k \rightarrow \bigoplus_{n > 0} \mathfrak{q}^n : x_k/\mathfrak{q}^n$$

is a monomorphism except for degree 0. Let  $k < l \leq s$ . Then  $x_k, \dots, x_{l-1}$  is a regular sequence on  $A_{x_l}$  and on  $C_{x_l}$  by Theorem 4.2. By using Lemma 2.2, we obtain commutative diagrams

$$\begin{array}{ccc} H^p_{(x_k, \dots, x_l)}(A[t]) & \xrightarrow{\sim} & H^p_{(x_k, \dots, x_{l-1})}(A[t]) \\ \alpha_l^p \downarrow & & \alpha_{l-1}^p \downarrow \\ H^p_{(x_k, \dots, x_l)}(C) & \xrightarrow{\sim} & H^p_{(x_k, \dots, x_{l-1})}(C) \end{array} \quad \text{for } p < l - k$$

and

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^{l-k}_{(x_k, \dots, x_l)}(A[t]) & \longrightarrow & H^{l-k}_{(x_k, \dots, x_{l-1})}(A[t]) & \longrightarrow & H^{l-k}_{(x_k, \dots, x_{l-1})}(A[t])_{x_l} \\ & & \alpha_l^{l-k} \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & H^{l-k}_{(x_k, \dots, x_l)}(C) & \longrightarrow & H^{l-k}_{(x_k, \dots, x_{l-1})}(C) & \longrightarrow & H^{l-k}_{(x_k, \dots, x_{l-1})}(C)_{x_l} \end{array}$$

whose rows are exact. Therefore the assertion is true for  $p < l - k$  and we find that  $\alpha_l^{l-k}$  is the direct limit of

$$\alpha_{l,m} : \frac{(x_k^m, \dots, x_{l-1}^m)A[t] : x_l}{(x_k^m, \dots, x_{l-1}^m)A[t]} \rightarrow \bigoplus_{n > 0} \frac{[(x_k^m, \dots, x_{l-1}^m) + \mathfrak{q}^n] : x_l}{(x_k^m, \dots, x_{l-1}^m) + \mathfrak{q}^n}.$$

Since  $x_l, x_{s+1}, \dots, x_d$  is a  $d$ -sequence on  $A/(x_k^m, \dots, x_{l-1}^m)$ ,

$$(x_k^m, \dots, x_{l-1}^m) : x_l \cap [(x_k^m, \dots, x_{l-1}^m) + \mathfrak{q}^n] = (x_k^m, \dots, x_{l-1}^m) \quad \text{for } n > 0.$$

Therefore  $\alpha_{l,m}$  is a monomorphism except for degree 0 and  $\alpha_i^{l-k}$  is also.

If  $l = s + 1$ , then  $x_k, \dots, x_s$  is a regular sequence on  $A_{x_{s+1}}$  and  $C_{x_{s+1}} = 0$ . The assertion is proved in the same way as above.  $\square$

Of course,  $\alpha_{s+1}^p$  is the zero map in degree 0. Therefore there exists an exact sequence

$$(4.5.1) \quad 0 \rightarrow \text{Coker } \alpha_{s+1}^{p-1} \rightarrow H_{(x_k, \dots, x_{s+1})}^p(R) \rightarrow H_{(x_k, \dots, x_{s+1})}^p(A) \rightarrow 0$$

for  $0 < p \leq s - k + 1$ .

**THEOREM 4.6.** *Let  $0 \leq q \leq s - k$ . Then*

$$(x_{k+q}, \dots, x_d) \text{Coker } \alpha_{s+1}^q = 0$$

and  $H_{\mathfrak{M}}^p(\text{Coker } \alpha_{s+1}^q)$  is finitely graded for  $p < d - s$ .

**PROOF.** We know that  $\text{Coker } \alpha_{s+1}^q = \text{Coker } \alpha_{k+q}^q = \text{inj } \lim_m \text{Coker } \alpha_{k+q,m}$  and

$$\text{Coker } \alpha_{k+q,m} = \bigoplus_{n>0} \frac{[(x_k^m, \dots, x_{k+q-1}^m) + \mathfrak{q}^n] : x_{k+q}}{(x_k^m, \dots, x_{k+q-1}^m) : x_{k+q} + \mathfrak{q}^n}.$$

By using Theorem 4.2 and Lemma 3.2, we obtain

$$(4.6.1) \quad \begin{aligned} & [(x_k^m, \dots, x_{k+q-1}^m) + \mathfrak{q}^n] : x_{k+q} \\ &= (x_k^m, \dots, x_{k+q-1}^m) : x_{k+q} + \mathfrak{q}^{n-1} [(x_k^m, \dots, x_{k+q-1}^m, x_{s+1}, \dots, x_d) : x_{k+q}]. \end{aligned}$$

Therefore  $\text{Coker } \alpha_{k+q,m}$  is annihilated by  $(x_{k+q}, \dots, x_d)$  and  $\text{Coker } \alpha_{s+1}^q$  is also.

Next we compute local cohomology modules of  $\text{Coker } \alpha_{s+1}^q$ . We note that  $x_{k+q}$  is a regular element on  $A/(x_k^m, \dots, x_{k+q-1}^m) : x_{k+q}$  and that  $x_{s+1}, \dots, x_d$  is a u.s.d-sequence on  $A/(x_k^m, \dots, x_{k+q-1}^m) : x_{k+q} + (x_{k+q}^l)$  for any  $l > 0$ : see [13, Proposition 2.2]. Therefore, by Proposition 3.6,

$$(4.6.2) \quad H_{(x_{k+q}, x_{s+1}, \dots, x_d)R+R_+}^p(\text{Coker } \alpha_{k+q,m}) \text{ is concentrated in degree } 1 - p$$

if  $p < d - s$ . Hence  $H_{(x_{k+q}, x_{s+1}, \dots, x_d)R+R_+}^p(\text{Coker } \alpha_{s+1}^q)$  is also. By the spectral sequence  $E_2^{pq} = H_{\mathfrak{M}}^p H_{(x_{k+q}, x_{s+1}, \dots, x_d)R+R_+}^q(-) \Rightarrow H_{\mathfrak{M}}^{p+q}(-)$ , we obtain the second assertion.  $\square$

Next we compute  $H_{(x_k, \dots, x_{s+1})}^{s-k+2}(R)$ .

**THEOREM 4.7.** *Let  $A_m = A/(x_k^m, \dots, x_s^m)$  and  $\mathfrak{q}_m = \mathfrak{q}A_m$  for any positive integer  $m$ . Then*

$$H_{(x_k, \dots, x_{s+1})}^{s-k+2}(R) = \text{inj } \lim_{m,l} A_m[\mathfrak{q}_m^l] / x_{s+1}^l A_m[\mathfrak{q}_m^l].$$

In particular,  $H_{\mathfrak{M}}^p H_{(x_k, \dots, x_{s+1})}^{s-k+2}(R)$  is finitely graded for  $p < d - s$ .

PROOF. We consider the exact sequence

$$H_{(x_k, \dots, x_s)}^{s-k}(A[t]) \xrightarrow{\alpha_s^{s-k}} H_{(x_k, \dots, x_s)}^{s-k}(C) \longrightarrow H_{(x_k, \dots, x_s)}^{s-k+1}(R) \longrightarrow H_{(x_k, \dots, x_s)}^{s-k+1}(A[t]) \xrightarrow{\beta} H_{(x_k, \dots, x_s)}^{s-k+1}(C).$$

Since  $\beta$  is the direct limit of

$$A[t]/(x_k^m, \dots, x_s^m)A[t] \rightarrow C/(x_k^m, \dots, x_s^m)C,$$

we have  $\text{Ker } \beta = \text{inj } \lim_m A_m[\mathfrak{q}_m t]$ . Taking local cohomology modules of a short exact sequence

$$0 \rightarrow \text{Coker } \alpha_s^{s-k} \rightarrow H_{(x_k, \dots, x_s)}^{s-k+1}(R) \rightarrow \text{Ker } \beta \rightarrow 0$$

with respect to  $(x_{s+1})$ , we obtain

$$(4.7.1) \quad H_{(x_{s+1})}^1 H_{(x_k, \dots, x_s)}^{s-k+1}(R) = H_{(x_{s+1})}^1(\text{Ker } \beta),$$

because  $\text{Coker } \alpha_s^{s-k} = \text{Coker } \alpha_{s+1}^{s-k}$  is annihilated by  $x_{s+1}$ . The left hand side of (4.7.1) coincides with  $H_{(x_k, \dots, x_{s+1})}^{s-k+2}(R)$  by Lemma 2.2. Thus the first assertion is proved.

Since  $x_{s+1}, \dots, x_d$  is a u.s.d-sequence on  $A_m$ ,  $H_{(x_{s+1}, \dots, x_d)R+R_+}^p(A_m[\mathfrak{q}_m t])$  is concentrated in degree  $0 \geq n \geq s - d + 2$  if  $p \leq d - s$ : see [10, Theorem 4.1]. From the exact sequence

$$0 \rightarrow 0 : x_{s+1} \rightarrow A_m[\mathfrak{q}_m t] \xrightarrow{x_{s+1}^l} A_m[\mathfrak{q}_m t] \rightarrow A_m[\mathfrak{q}_m t]/x_{s+1}^l A_m[\mathfrak{q}_m t] \rightarrow 0$$

and the spectral sequence  $E_2^{pq} = H_{\mathfrak{W}}^p H_{(x_{s+1}, \dots, x_d)R+R_+}^q(-) \Rightarrow H_{\mathfrak{W}}^{p+q}(-)$ , we find that

$$(4.7.2) \quad H_{\mathfrak{W}}^p(A_m[\mathfrak{q}_m t]/x_{s+1}^l A_m[\mathfrak{q}_m t]) \text{ is concentrated in degree } 0 \geq n \geq s - d + 2$$

if  $p < d - s$ . Taking the direct limit of it, we obtain the second assertion. □

Finally we compute local cohomology modules of  $B = A[\mathfrak{q}/x_{s+1}] = R_{(x_{s+1}t)}$ .

THEOREM 4.8. *Let  $\mathfrak{n}$  be a maximal ideal of  $B$ . Then*

$$H_{\mathfrak{n}}^p H_{(x_k, \dots, x_{s+1})}^q(B) = 0 \quad \text{if } q = 0 \text{ or } p < d - s - 1.$$

Furthermore  $(x_{k+q-1}, \dots, x_{s+1})H_{(x_k, \dots, x_{s+1})}^q(B) = 0$  for  $q < s - k + 2$ .

PROOF. Since the blowing-up  $\text{Proj } R \rightarrow \text{Spec } A$  is a closed map, there exists a homogeneous prime ideal  $\mathfrak{p}$  of  $R$  such that  $x_{s+1}t \notin \mathfrak{p}$ ,  $\dim R/\mathfrak{p} = 1$  and  $\mathfrak{n} = [\mathfrak{p}R_{x_{s+1}t}]_0$ .

Since  $x_{s+1}$  is  $B$ -regular,  $H_{(x_k, \dots, x_{s+1})}^0(B) = 0$ .

Let  $1 \leq q \leq s - k + 1$ . By applying Lemma 2.5 to (4.6.2), we obtain

$$H_{\mathfrak{n}}^p((\text{Coker } \alpha_{k+q-1, m})_{(x_{s+1}t)}) = 0 \quad \text{for } p < d - s - 1.$$

By taking the direct limit of it and using (4.5.1), we have

$$H_{\mathfrak{n}}^p H_{(x_k, \dots, x_{s+1})}^q(B) = 0 \quad \text{for } p < d - s - 1.$$

Moreover Theorem 4.6 also assures us  $(x_{k+q-1}, \dots, x_{s+1})H_{(x_k, \dots, x_{s+1})}^q(B) = 0$ .

Next we consider  $H_{(x_k, \dots, x_{s+1})}^{s-k+2}(B)$ . By applying Lemma 2.5 to (4.7.2) and by taking direct limit, we have

$$H_n^p H_{(x_k, \dots, x_{s+1})}^{s-k+2}(B) = 0 \quad \text{for } p < d - s - 1.$$

Thus the proof is completed. □

**5. Macaulayfications of local rings.**

In this section, we shall construct a Macaulayfication of the affine scheme  $\text{Spec } A$  if its non-Cohen-Macaulay locus is of dimension 2. Assume that  $A$  possesses a dualizing complex and  $\dim A/\mathfrak{p} = d$  for any associated prime ideal  $\mathfrak{p}$  of  $A$ . Then  $V(\mathfrak{a}_A(A))$  coincides with the non-Cohen-Macaulay locus of  $A$ . We fix an integer  $s \geq \dim A/\mathfrak{a}_A(A)$  and let  $x_1, \dots, x_d$  be a system of parameters for  $A$  satisfying (4.0.1).

First we review Faltings' results [8, Sätze 2 and 3]. Let  $\mathfrak{q} = (x_{s+1}, \dots, x_d)$ ,  $R = A[\mathfrak{q}t]$  and  $X = \text{Proj } R$ .

**THEOREM 5.1.** *With notation as above,*

$$\text{depth } \mathcal{O}_{X,p} \geq d - s \quad \text{for any closed point } p \text{ of } X.$$

*If  $s = 0$  or  $A/\mathfrak{q}$  is Cohen-Macaulay, then  $X$  is Cohen-Macaulay.*

**PROOF.** Since  $x_{s+1}, \dots, x_d$  is a u.s.d-sequence on  $A$ ,  $H_{(x_{s+1}, \dots, x_d)R+R_+}^p(R)$  is finitely graded for  $p \leq d - s$ : see [10, Theorem 4.1]. By using Lemma 2.5, we obtain the first assertion.

Furthermore since  $\dim \mathcal{O}_{X,p} = d$  for any closed point  $p$  of  $X$ ,  $X$  is Cohen-Macaulay if  $s = 0$ .

Assume that  $s > 0$  and  $A/\mathfrak{q}$  is Cohen-Macaulay. Then  $x_1, \dots, x_s$  is a regular sequence on  $A/\mathfrak{q}$ . We use theorems in Section 4 as  $k = 1$ . From (4.6.1), we find that  $\text{Coker } \alpha_{s+1}^q = 0$  for all  $q \leq s - 1$ . That is,  $H_{\mathfrak{M}(x_1, \dots, x_{s+1})}^p H_{(x_1, \dots, x_{s+1})}^q(R)$  is finitely graded if  $p < d - s$  or  $q < s + 1$ . By the spectral sequence  $E_2^{pq} = H_{\mathfrak{M}(x_1, \dots, x_{s+1})}^p H_{(x_1, \dots, x_{s+1})}^q(-) \Rightarrow H_{\mathfrak{M}}^{p+q}(-)$ , we find that  $H_{\mathfrak{M}}^p(R)$  is finitely graded for  $p < d + 1$ . Lemma 2.5 assures us

$$\text{depth } \mathcal{O}_{X,p} \geq d \quad \text{for any closed point } p \text{ of } X.$$

The proof is completed. □

From now on, we assume that  $s > 0$ .

Since  $x_s$  is  $A$ -regular,  $\mathfrak{q}$  is a reduction of  $\bar{\mathfrak{q}} = \mathfrak{q} : x_s$  by (3.2.1). We put  $\bar{R} = A[\bar{\mathfrak{q}}t]$  and  $\bar{X} = \text{Proj } \bar{R}$ . Then  $\bar{X} \rightarrow X$  is a finite morphism.

**THEOREM 5.2.** *With notation as above,*

$$\text{depth } \mathcal{O}_{\bar{X},\bar{p}} \geq d - s + 1 \quad \text{for any closed point } \bar{p} \text{ of } \bar{X}.$$

*In particular, if  $s = 1$ , then  $\bar{X}$  is Cohen-Macaulay.*

**PROOF.** By Corollary 3.5,  $H_{(x_s, \dots, x_d)R+R_+}^p(\bar{R})$  is finitely graded for  $p \leq d - s + 1$ . By using Lemma 2.5, we obtain the assertion. □

Next we consider an ideal  $\mathfrak{b} = \mathfrak{q}^2 + x_s \mathfrak{q} = (x_s, \dots, x_d) \mathfrak{q}$ . We put  $S = A[\mathfrak{b}t]$  and  $Y = \text{Proj } S$ . Then  $Y$  is the blowing-up of  $X$  with center  $(x_s, \dots, x_d) \mathcal{O}_X$ .

**THEOREM 5.3.** *With notation as above,*

$$\text{depth } \mathcal{O}_{Y,q} \geq d - s + 1 \quad \text{for any closed point } q \text{ of } Y.$$

Furthermore, if  $s = 1$  or  $A$  is Cohen-Macaulay, then  $Y$  is Cohen-Macaulay.

**PROOF.** Since  $(x_s x_{s+1}, \dots, x_s x_d, x_{s+1}^2, \dots, x_d^2) \mathfrak{b}^{d-s-1} = \mathfrak{b}^{d-s}$ , we have only to compute the depth of  $C_0 = A[\mathfrak{b}/x_s x_{s+1}]$  and  $C_1 = A[\mathfrak{b}/x_{s+1}^2]$ . If we put  $B = A[\mathfrak{q}/x_{s+1}]$ , then

$$\begin{aligned} C_0 &= B[x_{s+1}/x_s] \cong B[T]/(x_s T - x_{s+1}) : \langle x_s \rangle, \\ C_1 &= B[x_s/x_{s+1}] \cong B[T]/(x_{s+1} T - x_s) : \langle x_{s+1} \rangle, \end{aligned}$$

where  $T$  denotes an indeterminate. We note that  $B, C_0, C_1$  are subrings of the total quotient ring of  $A$  because  $x_1, \dots, x_d$  are  $A$ -regular elements.

First we consider  $C_0$ . We regard it as a homomorphic image of  $B[T]$ . Let  $\mathfrak{l}_0$  be a maximal ideal of  $C_0$  and  $\mathfrak{n} = \mathfrak{l}_0 \cap B$ . Then  $\mathfrak{n}$  is a maximal ideal of  $B$  because  $\text{Spec } C_0 \cup \text{Spec } C_1 \rightarrow \text{Spec } B$  is a blowing-up with center  $(x_s, x_{s+1})B$ , hence a closed map. There exists a polynomial  $f$  over  $B$  such that  $\mathfrak{l}_0 = \mathfrak{n}C_0 + fC_0$  and the leading coefficient of  $f$  is not contained in  $\mathfrak{n}$ .

By Lemma 2.2 and Theorem 4.8, we have, for any  $1 \leq k \leq s$ ,

$$(5.3.1) \quad H_{\mathfrak{n}B[T]+fB[T]}^p H_{(x_k, \dots, x_{s+1})}^q(B[T]) = 0 \quad \text{if } p < d - s \text{ or } q = 0.$$

In fact, the leading coefficient of  $f$  is a regular element on  $H_{\mathfrak{n}}^{d-s} H_{(x_k, \dots, x_{s+1})}^q(B[T])$  because it acts on the injective envelope of  $B/\mathfrak{n}$  as isomorphism. Taking the local cohomology of a short exact sequence

$$0 \rightarrow B[T] \xrightarrow{x_s T - x_{s+1}} B[T] \rightarrow B[T]/(x_s T - x_{s+1}) \rightarrow 0$$

with respect to  $(x_k, \dots, x_{s+1}) = (x_k, \dots, x_s, x_s T - x_{s+1})$ , we obtain an exact sequence

$$\begin{aligned} 0 \rightarrow H_{(x_k, \dots, x_{s+1})}^{s-k+1}(B[T]) &\rightarrow H_{(x_k, \dots, x_{s+1})}^{s-k+1}(B[T]/(x_s T - x_{s+1})) \\ &\rightarrow H_{(x_k, \dots, x_{s+1})}^{s-k+2}(B[T]) \rightarrow H_{(x_k, \dots, x_{s+1})}^{s-k+2}(B[T]) \rightarrow 0, \end{aligned}$$

because  $(x_s, x_{s+1}) H_{(x_k, \dots, x_{s+1})}^{s-k+1}(B) = 0$  by Theorem 4.8. This and (5.3.1) show that

$$H_{\mathfrak{n}B[T]+fB[T]}^p H_{(x_k, \dots, x_{s+1})}^{s-k+1}(B[T]/(x_s T - x_{s+1})) = 0 \quad \text{for } p < d - s.$$

Taking the local cohomology of an exact sequence

$$0 \rightarrow \frac{(x_s T - x_{s+1}) : \langle x_s \rangle}{(x_s T - x_{s+1})} \rightarrow B[T]/(x_s T - x_{s+1}) \rightarrow C_0 \rightarrow 0$$

with respect to  $(x_k, \dots, x_{s+1})$ , we obtain

$$H_{(x_k, \dots, x_{s+1})}^{s-k+1}(C_0) = H_{(x_k, \dots, x_{s+1})}^{s-k+1}(B[T]/(x_s T - x_{s+1})),$$



that is,

$$(5.3.2) \quad H_{l_0}^p H_{(x_k, \dots, x_{s+1})}^{s-k+1}(C_0) = 0 \quad \text{for } p < d - s.$$

We note that  $x_s$  is  $C_0$ -regular. Put  $k = s$ . Then we have

$$H_{l_0}^p H_{(x_s, x_{s+1})}^q(C_0) = 0 \quad \text{if } p < d - s \text{ or } q < 1.$$

By the spectral sequence  $E_2^{pq} = H_{l_0}^p H_{(x_s, x_{s+1})}^q(-) \Rightarrow H_{l_0}^{p+q}(-)$ , we obtain

$$(5.3.3) \quad H_{l_0}^p(C_0) = 0 \quad \text{for } p < d - s + 1,$$

that is,  $\text{depth}(C_0)_{l_0} \geq d - s + 1$ .

In the same way, we can show that  $\text{depth}(C_1)_{l_1} \geq d - s + 1$  for any maximal ideal  $l_1$  of  $C_1$ . Thus the first assertion is proved. In particular,  $Y$  is Cohen-Macaulay if  $s = 1$ .

Assume that  $A$  is Cohen-Macaulay. Using [8, Lemma 1] twice, we find that

$$x_{s+1}T_{s+2} - x_{s+2}, \dots, x_{s+1}T_d - x_d, x_sT_{s+1} - x_{s+1}$$

is a regular sequence on  $A[T_{s+1}, \dots, T_d]$ . Therefore

$$C_0 \cong A[T_{s+1}, \dots, T_d]/(x_{s+1}T_{s+2} - x_{s+2}, \dots, x_{s+1}T_d - x_d, x_sT_{s+1} - x_{s+1})$$

is Cohen-Macaulay. In the same way, we can show that  $C_1$  is Cohen-Macaulay. The proof is completed.  $\square$

In the rest of this section, we assume that  $s \geq 2$  and let  $\bar{\mathfrak{b}} = \mathfrak{b} : \langle x_{s-1} \rangle$ .

LEMMA 5.4. For any positive integer  $n$ ,

$$\bar{\mathfrak{b}}^n = \mathfrak{b}^n : \langle x_{s-1} \rangle = \mathfrak{q}\mathfrak{b}^{n-1}[(x_s, \dots, x_d) : x_{s-1}] + x_s^n \mathfrak{q}^{n-1}[\mathfrak{q} : x_{s-1}].$$

In particular,  $\bar{\mathfrak{b}}^2 = \mathfrak{b}\bar{\mathfrak{b}}$ .

PROOF. It is sufficient to prove

$$\mathfrak{b}^n : \langle x_{s-1} \rangle \subseteq \mathfrak{q}\mathfrak{b}^{n-1}[(x_s, \dots, x_d) : x_{s-1}] + x_s^n \mathfrak{q}^{n-1}[\mathfrak{q} : x_{s-1}].$$

Take  $a \in \mathfrak{b}^n : \langle x_{s-1} \rangle$ . Then, by Lemma 2.4, Lemma 3.2 and Theorem 4.2, we have

$$\begin{aligned} a &\in (x_s, \dots, x_d)^{2n} : \langle x_{s-1} \rangle \\ &= (x_s, \dots, x_d)^{2n-1}[(x_s, \dots, x_d) : x_{s-1}] \\ &= [\mathfrak{q}^{2n-1} + x_s \mathfrak{q}^{2n-2} + \dots + (x_s^{2n-1})][(x_s, \dots, x_d) : x_{s-1}] \\ &\subseteq \mathfrak{q}\mathfrak{b}^{n-1}[(x_s, \dots, x_d) : x_{s-1}] + (x_s^n). \end{aligned}$$

If we put  $a = b + x_s^n a'$  where  $b \in \mathfrak{q}\mathfrak{b}^{n-1}[(x_s, \dots, x_d) : x_{s-1}]$ , then  $x_s^n a' \in \mathfrak{b}^n : \langle x_{s-1} \rangle$ . Since  $x_{s-1}^l x_s^n a' \in \mathfrak{b}^n$  for a sufficiently large  $l$ , we can put  $x_{s-1}^l x_s^n a' = c + x_s^n d$  where  $c \in \mathfrak{q}^{2n} + \dots + x_s^{n-1} \mathfrak{q}^{n+1}$  and  $d \in \mathfrak{q}^n$ . Then  $x_{s-1}^l a' - d \in \mathfrak{q}^{n+1} : \langle x_s \rangle = \mathfrak{q}^n[\mathfrak{q} : x_s]$ . Hence,  $x_{s-1}^l a' \in \mathfrak{q}^n$  and  $a' \in \mathfrak{q}^n : \langle x_{s-1} \rangle = \mathfrak{q}^{n-1}[\mathfrak{q} : x_{s-1}]$ . The proof is completed.  $\square$

Therefore the Rees algebra  $\bar{S} = A[\bar{\mathfrak{b}}t]$  is finitely generated over  $S$ . Let  $\bar{Y} = \text{Proj } \bar{S}$ .

PROPOSITION 5.5.  $D_{(x_{s-1}, x_s, x_{s+1})}^0(S_+) = \bar{S}_+$ .

PROOF. First show that  $x_{s-1}, x_s$  is an  $\bar{S}_+$ -regular sequence. Let  $n > 0$ . It is clear that  $x_{s-1}$  is  $\bar{\mathfrak{b}}^n$ -regular because it is  $A$ -regular. Let  $a \in (x_{s-1}\bar{\mathfrak{b}}^n : x_s) \cap \bar{\mathfrak{b}}^n$ . Then  $x_{s-1}^l a \in \bar{\mathfrak{b}}^n$  for a sufficiently large  $l$ . Since  $x_s a \in (x_{s-1})$  and  $x_s, \dots, x_d$  is a  $d$ -sequence on  $A/x_{s-1}^{l+1}A$ ,

$$\begin{aligned} x_{s-1}^l a &\in (x_{s-1}^{l+1}) : x_s \cap \bar{\mathfrak{b}}^n \\ &\subseteq (x_{s-1}^{l+1}) : x_s \cap (x_{s-1}^{l+1}, x_s, \dots, x_d) \\ &= (x_{s-1}^{l+1}). \end{aligned}$$

Hence  $a \in (x_{s-1})$ . If we put  $a = x_{s-1}a'$ , then  $a' \in \bar{\mathfrak{b}}^n : x_{s-1}^{l+1} \subseteq \bar{\mathfrak{b}}^n$ , that is,  $a \in x_{s+1}\bar{\mathfrak{b}}^n$ . Thus we have proved that  $x_s$  is  $\bar{S}_+/x_{s-1}\bar{S}_+$ -regular.

By (2.1.1), we have

$$(5.5.1) \quad D_{(x_{s-1}, x_s, x_{s+1})}^0(S_+) \subseteq D_{(x_{s-1}, x_s, x_{s+1})}^0(\bar{S}_+) = \bar{S}_+.$$

Since  $\mathfrak{q} : x_{s-1} \subseteq \mathfrak{q} : x_s$  by Theorem 4.2,  $(x_{s-1}, \dots, x_d)\bar{\mathfrak{b}}^n \subseteq \bar{\mathfrak{b}}^n$  for all  $n > 0$  by Lemma 5.4, that is,  $(x_{s-1}, \dots, x_d)\bar{S}_+ \subseteq S_+$ . We have shown the inverse inclusion of (5.5.1).  $\square$

The following theorem is one of main aims of this section.

THEOREM 5.6. *With notation as above,*

$$\text{depth } \mathcal{O}_{\bar{Y}, \bar{q}} \geq d - s + 2 \quad \text{for any closed point } \bar{q} \text{ of } \bar{Y}.$$

*In particular, if  $s = 2$ , then  $\bar{Y}$  is Cohen-Macaulay.*

PROOF. We have only to compute the depth of

$$\bar{C}_0 = A[\bar{\mathfrak{b}}/x_s x_{s+1}] \quad \text{and} \quad \bar{C}_1 = A[\bar{\mathfrak{b}}/x_{s+1}^2].$$

Proposition 5.5 says that  $\bar{C}_i = D_{(x_{s-1}, x_s, x_{s+1})}^0(C_i)$  and it is a finitely generated  $C_i$ -module for  $i = 0, 1$ .

Let  $\bar{l}_i$  be a maximal ideal of  $\bar{C}_i$  and  $l_i = \bar{l}_i \cap C_i$ . Then  $l_i$  is a maximal ideal of  $C_i$  because  $\bar{C}_i$  is integral over  $C_i$ . We use (5.3.2) as  $k = s - 1$ , that is,

$$(5.6.1) \quad H_{l_i}^p H_{(x_{s-1}, x_s, x_{s+1})}^2(C_i) = 0 \quad \text{for } p < d - s.$$

By using (2.1.2), we obtain

$$H_{l_i}^p H_{(x_{s-1}, x_s, x_{s+1})}^q(\bar{C}_i) = 0 \quad \text{if } p < d - s \text{ or } q < 2.$$

By the spectral sequence  $E_2^{pq} = H_{l_i}^p H_{(x_{s-1}, x_s, x_{s+1})}^q(-) \Rightarrow H_{l_i}^{p+q}(-)$ , we find

$$(5.6.2) \quad H_{l_i}^p(\bar{C}_i) = 0 \quad \text{for } p < d - s + 2,$$

that is,  $\text{depth } (\bar{C}_i)_{l_i} \geq d - s + 2$ . Thus the proof is completed.  $\square$

The following corollary shall be used in the next section.

COROLLARY 5.7. *If  $A/(x_s, \dots, x_d)$  is Cohen-Macaulay, then*

$$\text{depth } \mathcal{O}_{Y, q} \geq d - s + 2 \quad \text{for any closed point } q \text{ of } Y.$$

PROOF. It is sufficient to prove  $\bar{b} = b$ . Let  $a \in \bar{b}$  and  $l$  be an integer such that  $x_{s-1}^l a \in b$ . Then we have

$$\begin{aligned} a &\in (x_s, \dots, x_d)^2 : x_{s-1}^l \\ &= (x_s, \dots, x_d)[(x_s, \dots, x_d) : x_{s-1}^l] \\ &= (x_s, \dots, x_d)^2 = b + (x_s^2) \end{aligned}$$

by Lemma 3.2. Hence, we may assume that  $a \in (x_s^2)$ . Let  $a = x_s^2 a'$ . Since  $x_{s-1}^l a \in b \subseteq \mathfrak{q}$ ,  $a' \in \mathfrak{q} : x_{s-1}^l x_s^2 = \mathfrak{q} : x_s$  by Theorem 4.2. Hence  $a = x_s^2 a' \in x_s \mathfrak{q} \subset b$ .  $\square$

We shall give another Macaulayfication of  $\text{Spec } A$  by considering an ideal  $\mathfrak{c} = (x_{s-1}, \dots, x_d)b$ . Let  $Z = \text{Proj } A[ct]$ , which is the blowing-up of  $Y$  with respect to  $(x_{s-1}, \dots, x_d)\mathcal{O}_Y$ .

THEOREM 5.8. *With notation as above,*

$$\text{depth } \mathcal{O}_{Z,r} \geq d - s + 2 \text{ for any closed point } r \text{ of } Z.$$

Furthermore, if  $s = 2$  or  $A$  is Cohen-Macaulay, then  $Z$  is Cohen-Macaulay.

PROOF. Since  $(x_{s-1}x_s, x_s^2)\mathfrak{q} + x_{s-1}(x_{s+1}^2, \dots, x_d^2) + (x_{s+1}^3, \dots, x_d^3)$  is a reduction of  $\mathfrak{c}$ , we have only to compute the depth of

$$D_0 = A[\mathfrak{c}/x_{s-1}x_sx_{s+1}] = C_0[x_s/x_{s-1}],$$

$$D_1 = A[\mathfrak{c}/x_s^2x_{s+1}] = C_0[x_{s-1}/x_s],$$

$$D_2 = A[\mathfrak{c}/x_{s-1}x_{s+1}^2] = C_1[x_{s+1}/x_{s-1}],$$

and

$$D_3 = A[\mathfrak{c}/x_{s+1}^3] = C_1[x_{s-1}/x_{s+1}].$$

For  $i = 0$  or  $1$ , let  $\mathfrak{l}_i$  be a maximal ideal of  $C_i$ . By (2.1.1), there exists an exact sequence

$$0 \rightarrow C_i \rightarrow \bar{C}_i \rightarrow H_{(x_{s-1}, x_s, x_{s+1})}^1(C_i) \rightarrow 0.$$

By using (5.3.3) and (5.6.2), we obtain

$$H_{\mathfrak{l}_i}^p H_{(x_{s-1}, x_s, x_{s+1})}^1(C_i) = 0 \text{ for all } p < d - s.$$

Furthermore,  $(x_{s-1}, \dots, x_d)\bar{C}_i \subseteq C_i$ : see the proof of Proposition 5.5. Therefore, by (5.6.1), we have

$$(5.8.1) \quad H_{\mathfrak{l}_i}^p H_{(x_{s-1}, x_s, x_{s+1})}^q(C_i) = 0 \text{ if } p < d - s \text{ or } q = 0$$

and

$$(5.8.2) \quad (x_{s-1}, \dots, x_d)H_{(x_{s-1}, x_s, x_{s+1})}^1(C_i) = 0.$$

Therefore we can prove

$$\text{depth}(D_i)_{\mathfrak{r}_i} \geq d - s + 2$$

for any maximal ideal  $\mathfrak{r}_i$  of  $D_i$  and  $i = 0, \dots, 3$  in the same way as Theorem 5.3.

To make sure, we compute the depth of  $D_0 \cong C_0[T]/(x_{s-1}T - x_s) : \langle x_{s-1} \rangle$ . First we note that  $x_{s+1} \in x_s C_0$  and  $x_{s+1} \in x_s D_0$ . Let  $\mathfrak{r}_0$  be a maximal ideal of  $D_0$  and  $\mathfrak{l}_0 = \mathfrak{r}_0 \cap C_0$ . Then  $\mathfrak{l}_0$  is a maximal ideal of  $C_0$  and there exists a polynomial  $f$  over  $C_0$  such that  $\mathfrak{r}_0 = \mathfrak{l}_0 D_0 + f D_0$  and the leading coefficient of  $f$  is not contained in  $\mathfrak{l}_0$ . We obtain

$$H_{\mathfrak{l}_0 C_0[T] + f C_0[T]}^p H_{(x_{s-1}, x_s)}^q(C_0[T]) = 0 \quad \text{if } p < d - s + 1 \text{ or } q = 0$$

from (5.8.1). Taking the local cohomology of an exact sequence

$$0 \rightarrow C_0[T] \xrightarrow{x_{s-1}T - x_s} C_0[T] \rightarrow C_0[T]/(x_{s-1}T - x_s) \rightarrow 0,$$

we have an exact sequence

$$\begin{aligned} 0 \rightarrow H_{(x_{s-1}, x_s)}^1(C_0[T]) &\rightarrow H_{(x_{s-1}, x_s)}^1(C_0[T]/(x_{s-1}T - x_s)) \\ &\rightarrow H_{(x_{s-1}, x_s)}^2(C_0[T]) \rightarrow H_{(x_{s-1}, x_s)}^2(C_0[T]) \rightarrow 0 \end{aligned}$$

because of (5.8.2). This says that

$$H_{\mathfrak{l}_0 C_0[T] + f C_0[T]}^p H_{(x_{s-1}, x_s)}^1(C_0[T]/(x_{s-1}T - x_s)) = 0 \quad \text{for } p < d - s + 1.$$

Taking the local cohomology of an exact sequence

$$0 \rightarrow \frac{(x_{s-1}T - x_s) : \langle x_{s-1} \rangle}{(x_{s-1}T - x_s)} \rightarrow C_0[T]/(x_{s-1}T - x_s) \rightarrow D_0 \rightarrow 0$$

with respect to  $(x_{s-1}, x_s)$ , we obtain

$$H_{\mathfrak{r}_0}^p H_{(x_{s-1}, x_s)}^1(D_0) = 0 \quad \text{for } p < d - s + 1.$$

Of course,  $H_{(x_{s-1}, x_s)}^0(D_0) = 0$ . By the spectral sequence

$$E_2^{pq} = H_{\mathfrak{r}_0}^p H_{(x_{s-1}, x_s)}^q(-) \Rightarrow H_{\mathfrak{r}_0}^{p+q}(-),$$

we get  $H_{\mathfrak{r}_0}^p(D_0) = 0$  for any  $p < d - s + 2$ . That is,  $\text{depth}(D_0)_{\mathfrak{r}_0} \geq d - s + 2$ .

The last assertion is also proved in the same way as Theorem 5.3. □

### 6. The proof of Theorem 1.1.

This section is devoted to the proof of Theorem 1.1. Let  $A$  be a Noetherian ring possessing a dualizing complex and  $X$  a quasi-projective scheme over  $A$ . That is,  $X$  is a dense open subscheme of  $X^* = \text{Proj } R$  where  $R = \bigoplus_{n \geq 0} R_n$  is a Noetherian graded ring such that  $R_0$  is a homomorphic image of  $A$  and  $R$  is generated by  $R_1$  as an  $R_0$ -algebra. Let  $V^*$  be the non-Cohen-Macaulay locus of  $X^*$  and  $U^* = X^* \setminus V^*$ . Of course  $V = V^* \cap X$  is the non-Cohen-Macaulay locus of  $X$ . Let  $D^*$  be a dualizing complex of  $R$  with codimension function  $v$ . Assume that  $X$  satisfies the assumption of Theorem 1.1.

Without loss of generality, we may assume that

$$(6.0.1) \quad v(\mathfrak{p}) = 0 \text{ for all associated prime ideal } \mathfrak{p} \text{ of } R :$$

see [8, p. 191]. Then the local ring  $\mathcal{O}_{X,p}$  of  $p \in X$  satisfies the assumption of Section 5,

that is,  $\dim \mathcal{O}_{X,p}/\mathfrak{p} = \dim \mathcal{O}_{X,p}$  for any associated prime ideal  $\mathfrak{p}$  of  $\mathcal{O}_{X,p}$ . For the sake of completeness, we sketch out the proof. Let  $\mathfrak{a}$  be a homogeneous ideal of  $R$  such that  $V^* = V(\mathfrak{a})$ . Then the closed immersion  $\text{Proj } R/H_{\mathfrak{a}}^0(R) \rightarrow X^*$  is birational as follows. For any minimal prime ideal  $\mathfrak{p}$  of  $R$ ,  $\mathfrak{a} \not\subseteq \mathfrak{p}$  and  $H_{\mathfrak{a}}^0(R) \subseteq \mathfrak{p}$  because  $R_{\mathfrak{p}}$  is Cohen-Macaulay. Hence the underlying set of  $\text{Proj } R/H_{\mathfrak{a}}^0(R)$  coincides with the one of  $X^*$ . Furthermore,  $f^{-1}(U^*) \rightarrow U^*$  is an isomorphism and  $U^*$  is dense in  $X^*$ . By replacing  $R$  by  $R/H_{\mathfrak{a}}^0(R)$ , we may assume that

$$(6.0.2) \quad \text{every associated prime ideal of } R \text{ is minimal.}$$

Next we fix a primary decomposition of  $(0)$  in  $R$ . For all integer  $i$ , let  $\mathfrak{q}_i$  be the intersection of all primary component  $\mathfrak{q}$  of  $(0)$  such that  $v(\sqrt{\mathfrak{q}}) = i$ . Then  $g : \coprod_i \text{Proj } R/\mathfrak{q}_i \rightarrow X^*$  is a finite morphism and  $g^{-1}(U^*) \rightarrow U^*$  is an isomorphism as follows. Note that  $\mathfrak{q}_i = R$  for all but finitely many  $i$ . Furthermore, for any  $\mathfrak{p} \in U^*$ ,  $\mathfrak{p} \supseteq \mathfrak{q}_i$  if and only if  $v(\mathfrak{p}) - \dim R_{\mathfrak{p}} = i$  because  $R_{\mathfrak{p}}$  is Cohen-Macaulay, hence equidimensional. Therefore  $U^*$  is the disjoint union of  $U^* \cap V(\mathfrak{q}_i)$ . Moreover  $R_{(\mathfrak{p})} = [R/\mathfrak{q}_i]_{(\mathfrak{p})}$  if  $\mathfrak{p} \in U^* \cap V(\mathfrak{q}_i)$ . Because of (6.0.2),  $g^{-1}(U^*)$  and  $U^*$  are dense in  $\text{Proj } R/\mathfrak{q}_i$  and  $X^*$ , respectively. Thus  $g^{-1}(X) \rightarrow X$  is birational proper and the connected components of  $g^{-1}(X)$  satisfy the assumption of Theorem 1.1.

Since  $u$  is locally constant,  $V_i = u^{-1}(i) \cap V$  is closed for any positive integer  $i$ . We put  $s_i = \dim V_i$ . By (6.0.1), we find that  $V_1 = \emptyset$ ,  $s_2 \leq 0$  and  $s_3 \leq 1$ . Let  $d$  be the largest integer such that  $V_d \neq \emptyset$  and  $s = s_d$ . We shall give a closed subscheme  $W$  of  $X$  such that  $V_d = V \cap W$  and  $\mathcal{O}_{Y,q}$  is Cohen-Macaulay for all  $q \in \pi^{-1}(W)$  where  $\pi : Y \rightarrow X$  is the blowing-up of  $X$  with center  $W$ . Let  $\mathfrak{a} = \prod_{i>0} \text{ann } H^i(D^\bullet)$ , which is finite product. Then it is obvious that  $V^* = V(\mathfrak{a})$ . Fix a primary decomposition of  $\mathfrak{a}$  and let  $\mathfrak{a}_d$  be the intersection of all primary component  $\mathfrak{q}$  of  $\mathfrak{a}$  such that  $\sqrt{\mathfrak{q}} \in V_d$ . Then we can take homogeneous elements  $z_1, \dots, z_d \in R$  such that

$$(6.0.3) \quad V_i \cap V((z_{d-s_i}, \dots, z_d)) = \emptyset \quad \text{for } i < d;$$

$$(6.0.4) \quad d(\mathfrak{p}) = d \quad \text{for all minimal prime ideal } \mathfrak{p} \text{ of } R/(z_1, \dots, z_d) : \langle R_+ \rangle;$$

$$(6.0.5) \quad \begin{cases} z_{s+1}, \dots, z_d \in \mathfrak{a}_d; \\ z_i \in \prod_{j>d-i} \text{ann } H^j(\text{Hom}(R/(z_{i+1}, \dots, z_d), D^\bullet)), \quad \text{for } i \leq s \end{cases}$$

in the same way as Section 4. We put

$$\mathfrak{b} = \begin{cases} (z_1, \dots, z_d), & \text{if } s = 0; \\ (z_1, \dots, z_d)(z_2, \dots, z_d), & \text{if } s = 1; \\ (z_1, \dots, z_d)(z_2, \dots, z_d)(z_3, \dots, z_d), & \text{if } s = 2 \end{cases}$$

and prove that  $W = V(\mathfrak{b}) \cap X$  satisfies the required properties.

Because of (6.0.3),  $V_i \cap W = \emptyset$  for  $i < d$ . Let  $\pi : Y \rightarrow X$  be the blowing-up of  $X$  with center  $W$ ,  $q$  a closed point of  $\pi^{-1}(W)$  and  $\mathfrak{p} \subseteq R$  the image of  $q$ . Take an element  $y \in R_1 \setminus \mathfrak{p}$  and put  $x_i = z_i/y^{\deg z_i}$  for all  $i$ . Since  $(D^\bullet)_{(\mathfrak{p})}$  is a dualizing complex of  $R_{(\mathfrak{p})}$ , we obtain

$$\begin{cases} x_{s+1}, \dots, x_d \in \mathfrak{a}_{R_{(\mathfrak{p})}}(R_{(\mathfrak{p})}); \\ x_i \in \mathfrak{a}_{R_{(\mathfrak{p})}}(R_{(\mathfrak{p})}/(x_{i+1}, \dots, x_d)), \quad \text{for } i \leq s. \end{cases}$$

from (6.0.5).

When  $s = 2$ , there exist three cases: If  $z_1, z_2 \in \mathfrak{p}$ , then  $x_1, \dots, x_d$  is a system of parameters for  $R_{(\mathfrak{p})}$  satisfying (4.0.1) or a regular sequence on the Cohen-Macaulay ring  $R_{(\mathfrak{p})}$ . Since  $\mathfrak{b}_{(\mathfrak{p})} = (x_1, \dots, x_d)(x_2, \dots, x_d)(x_3, \dots, x_d)$ ,  $\mathcal{O}_{Y,q}$  is Cohen-Macaulay by Theorem 5.8.

If  $z_2 \in \mathfrak{p}$  but  $z_1 \notin \mathfrak{p}$ , then  $x_2, \dots, x_d$  is a subsystem of parameters for  $R_{(\mathfrak{p})}$  satisfying (4.0.1) or a regular sequence on the Cohen-Macaulay ring  $R_{(\mathfrak{p})}$ . Furthermore  $\mathfrak{b}_{(\mathfrak{p})} = (x_2, \dots, x_d)(x_3, \dots, x_d)$  and  $R_{(\mathfrak{p})}/(x_2, \dots, x_d)$  is Cohen-Macaulay because  $x_1 \in \alpha_{R_{(\mathfrak{p})}}(R_{(\mathfrak{p})}/(x_2, \dots, x_d))$  is a unit. Hence  $\mathcal{O}_{Y,q}$  is Cohen-Macaulay by Corollary 5.7.

If  $z_1, z_2 \notin \mathfrak{p}$ , then  $x_3, \dots, x_d \in \alpha_{R_{(\mathfrak{p})}}(R_{(\mathfrak{p})})$  is a subsystem of parameters for  $R_{(\mathfrak{p})}$  and  $R_{(\mathfrak{p})}/(x_3, \dots, x_d)$  is Cohen-Macaulay. Since  $\mathfrak{b}_{(\mathfrak{p})} = (x_3, \dots, x_d)$ ,  $\mathcal{O}_{Y,q}$  is Cohen-Macaulay by Theorem 5.1.

When  $s = 0$  or  $1$ , we can prove the assertion in the same way as above.

By repeating this procedure, we obtain a Macaulayfication of  $X$ . We complete the proof of Theorem 1.1.

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