

On a class of multilinear oscillatory singular integral operators

By Guoen HU, Shanzhen LU and Dachun YANG

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1. Introduction.

We will work on \mathbf{R}^n ($n \geq 1$). Let $\Phi(x) \in C^\infty(\mathbf{R}^n \setminus \{0\})$ be a real-valued function which satisfies

$$(1) \quad |D^\alpha \Phi(x)| \leq B_1 |x|^{a-|\alpha|}, \quad |\alpha| \leq 3,$$

and

$$(2) \quad \sum_{|\alpha|=2} |D^\alpha \Phi(x)| \geq B_2 |x|^{a-2},$$

where a is a fixed real number, B_1 and B_2 are positive constants. Let K_0 be a standard Calderón-Zygmund kernel. Define the oscillatory singular integral operator T by

$$(3) \quad Tf(x) = \int_{\mathbf{R}^n} e^{i\Phi(x-y)} K_0(x-y) f(y) dy.$$

For the special case $\Phi(x) = |x|^a$, such operators have been studied by many authors (see [1], [2], [7], [10], for example). Recently, Fan and Pan [6] considered the operators defined by (3) with smooth phase functions satisfying (1) and (2). They showed that

THEOREM A. *Let $1 < p < \infty$, T be defined as in (3). Suppose that Φ satisfies (1) and (2) for some $a \neq 0$. Then T is bounded on $L^p(\mathbf{R}^n)$ with bound $C(n, p)$.*

THEOREM B. *Let T be defined as in (3). Suppose that Φ satisfies (1) and (2) for some $a \neq 0, 1$. Then T is a bounded operator on the Hardy space $H^1(\mathbf{R}^n)$.*

The purpose of this paper is to consider a class of multilinear operators related to the operators defined by (3). Let m be a positive integer, K be C^1 away from the origin and satisfy

$$(4) \quad |K(x)| \leq C|x|^{-n}, \quad |\nabla K(x)| \leq C|x|^{-n-1},$$

and

$$(5) \quad \int_{a < |x| < b} K(x) x^\alpha dx = 0, \quad \text{for any } 0 < a < |x| < b < \infty \text{ and } |\alpha| = m.$$

Let A have derivatives of order m in $\text{BMO}(\mathbf{R}^n)$, $R_{m+1}(A; x, y)$ denote the $(m+1)$ -th order

Taylor series remainder of A at x expanded about y , i.e.,

$$R_{m+1}(A; x, y) = A(x) - \sum_{|\alpha| \leq m} \frac{1}{\alpha!} D^\alpha A(y)(x - y)^\alpha.$$

The operators we consider here are of the form

$$(6) \quad T_A f(x) = \int_{\mathbf{R}^n} e^{i\Phi(x-y)} K(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy.$$

As well-known, operators of this type related to the standard Calderón-Zygmund singular integral operators were first studied by Cohen [4], and then by Cohen and Gosselin [5] and Hofmann [9]. If the phase functions are replaced by real-valued polynomials on $\mathbf{R}^n \times \mathbf{R}^n$, the corresponding multilinear operators have been considered by Chen, Hu and Lu [3]. Our first result in this paper can be stated as follows.

THEOREM 1. *Let m be a positive integer, $K(x)$ be C^1 away from the origin and satisfy (4) and (5), A have derivatives of order m in $\text{BMO}(\mathbf{R}^n)$. Let T_A be defined as in (6). Suppose that Φ satisfies (1) and (2) for some $a \neq 0$. Then for $1 < p < \infty$,*

$$\|T_A f\|_p \leq C(n, m, p) \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p.$$

Let f^\sharp be the sharp function of Fefferman-Stein [8], i.e.,

$$f^\sharp(x) = \sup_{x \in Q} \frac{1}{|Q|} \int_Q |f(y) - m_Q(f)| dy,$$

where $m_Q(f)$ is the mean value of f on Q . In this paper, we will establish the sharp function estimate for the operator T_A .

THEOREM 2. *Let m be a positive integer, $K(x)$ be C^1 away from the origin and satisfy (4) and (5), A have derivatives of order m in $\text{BMO}(\mathbf{R}^n)$. Let T_A be defined as in (6). Suppose that Φ satisfies (1) and (2) for some $a \neq 0, 1$. Then for any $1 < p < \infty$, there exists a positive constant $C_{m,n,p}$ such that*

$$(T_A f)^\sharp(x) \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} M_p f(x), \quad f \in L_0^\infty(\mathbf{R}^n),$$

where M is the Hardy-Littlewood maximal operator, and $M_p f(x) = [M(|f|^p)(x)]^{1/p}$.

As a consequence of Theorem 2, we have the following endpoint estimate for the operator T_A .

COROLLARY. *Under the hypotheses of Theorem 2, T_A maps $L^\infty(\mathbf{R}^n)$ to $\text{BMO}(\mathbf{R}^n)$ boundedly, with bound $C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}}$.*

2. Proof of Theorem 1.

To begin with, we give some preliminary lemmas.

LEMMA 1. Let m be a positive integer, $K(x)$ be C^1 away from the origin and satisfy (4) and (5), A have derivatives of order m in $\text{BMO}(\mathbf{R}^n)$. Define the operator

$$\tilde{T}_A f(x) = \sup_{\varepsilon > 0} \left| \int_{|x-y| > \varepsilon} K(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \right|.$$

Then for any $1 < p < \infty$,

$$\|\tilde{T}_A f\|_p \leq C(n, m, p) \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p.$$

For the case of $m = 1$, this result has been obtained by Cohen [4]. For general positive integer m , Lemma 1 can be proved by repeating the argument used in [4], together with some computation techniques of Cohen and Gosselin [5].

LEMMA 2. (see [5]). Let $b(x)$ be a function on \mathbf{R}^n with derivatives of order m in $L^q(\mathbf{R}^n)$ for some $n < q \leq \infty$. Then

$$|R_m(b; x, y)| \leq C_{m,n} |x-y|^m \sum_{|\alpha|=m} \left(\frac{1}{|\tilde{Q}(x, y)|} \int_{\tilde{Q}(x, y)} |D^\alpha b(z)|^q dz \right)^{1/q},$$

where $\tilde{Q}(x, y)$ is the cube centered at x with diameter $5\sqrt{n}|x-y|$.

LEMMA 3. Let A have derivatives of order m in $\text{BMO}(\mathbf{R}^n)$. Then the maximal operator

$$M_A f(x) = \sup_{r > 0} r^{-n-m} \int_{|x-y| < r} |R_{m+1}(A; x, y) f(y)| dy,$$

is bounded on $L^p(\mathbf{R}^n)$ for $1 < p < \infty$ with bound $C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}}$.

PROOF. Clearly, it suffices to consider the operator

$$\tilde{M}_A f(x) = \sup_{r > 0} r^{-n-m} \int_{r/2 < |x-y| \leq r} |R_{m+1}(A; x, y) f(y)| dy.$$

For fixed $x \in \mathbf{R}^n$ and $r > 0$, let $Q(x, r)$ be the cube centered at x and having side length r . Set

$$\tilde{A}(y) = A(y) - \sum_{|\alpha|=m} \frac{1}{\alpha!} m_{Q(x, r)}(D^\alpha A) y^\alpha.$$

Note that for each fixed α with $|\alpha| = m$, $D^\beta y^\alpha = 0$ if $|\beta| \geq m + 1$. Thus

$$R_{m+1}((\cdot)^\alpha; x, y) = x^\alpha - \sum_{|\beta| \leq m} \frac{1}{\beta!} D^\beta (y^\alpha) (x-y)^\beta = 0, \quad |\alpha| = m,$$

which means

$$R_{m+1}(\tilde{A}; x, y) = R_{m+1}(A; x, y) - \sum_{|\alpha|=m} \frac{1}{\alpha!} m_{Q(x, r)}(D^\alpha A) R_{m+1}((\cdot)^\alpha; x, y) = R_{m+1}(A; x, y).$$

Note that if $r/2 < |x - y| \leq r$, then $\tilde{Q}(x, y)$, the cube centered at x with diameter $5\sqrt{n}|x - y|$, is contained in a fixed multiple of $Q(x, r)$. Thus by Lemma 2, it follows that for some $q > n$,

$$\begin{aligned} |R_m(\tilde{A}; x, y)| &\leq C|x - y|^m \sum_{|\alpha|=m} \left(|\tilde{Q}(x, y)|^{-1} \int_{\tilde{Q}(x, y)} |D^\alpha A(z) - m_{Q(x, r)}(D^\alpha A)|^q dz \right)^{1/q} \\ &\leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} |x - y|^m. \end{aligned}$$

Thus for any $1 < t < \infty$,

$$\begin{aligned} \tilde{M}_A f(x) &\leq \sup_{r>0} r^{-n-m} \int_{r/2 < |x-y| < r} |R_m(\tilde{A}; x, y)| |f(y)| dy \\ &\quad + C \sum_{|\alpha|=m} \sup_{r>0} r^{-n} \int_{|x-y| < r} |D^\alpha A(y) - m_{Q(x, r)}(D^\alpha A)| |f(y)| dy \\ &\leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} Mf(x) \\ &\quad + C \sum_{|\alpha|=m} \sup_{r>0} r^{-n} \left(\int_{|x-y| < r} |D^\alpha A(y) - m_{Q(x, r)}(D^\alpha A)|^t dy \right)^{1/t} \\ &\quad \times \left(\int_{|x-y| < r} |f(y)|^t dy \right)^{1/t} \\ &\leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} M_t f(x). \end{aligned}$$

For each fixed $p, 1 < p < \infty$, we choose t such that $1 < t < p$, then

$$\|\tilde{M}_A f\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p.$$

PROOF OF THEOREM 1. Let $\varphi \in C_0^\infty(\mathbb{R}^n)$ such that

$$\text{supp } \varphi \subset \{1/2 \leq |x| \leq 2\} \quad \text{and} \quad \sum_{j=-\infty}^\infty \varphi(2^{-j}x) \equiv 1, \quad \text{for } |x| \neq 0.$$

Let $\varphi_j(x) = \varphi(2^{-j}x)$ for integer j . To prove Theorem 1, we consider the following two cases.

CASE I $a > 0$. Let $\psi(x) = 1 - \sum_{j=1}^\infty \varphi_j(x)$. It is obvious that $\text{supp } \psi \subset \{|x| \leq 4\}$ and $\psi(x) \equiv 1$ if $|x| < 1$. Write

$$\begin{aligned} T_A f(x) &= \int_{\mathbb{R}^n} e^{i\Phi(x-y)} K(x-y) \psi(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \\ &\quad + \sum_{j=1}^\infty \int_{\mathbb{R}^n} e^{i\Phi(x-y)} K(x-y) \varphi_j(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \\ &= T_A^0 f(x) + \sum_{j=1}^\infty T_A^j f(x). \end{aligned}$$

Let us first consider the term T_A^0 . Write

$$\begin{aligned} |T_A^0 f(x)| &\leq \left| \int_{|x-y|\leq 1} K(x-y)\psi(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \right| \\ &\quad + \left| \int_{|x-y|\leq 1} (e^{i\Phi(x-y)} - 1)K(x-y)\psi(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \right| \\ &\quad + \left| \int_{|x-y|>1} e^{i\Phi(x-y)} K(x-y)\psi(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \right| \\ &= E + F + G. \end{aligned}$$

Recall that $\psi(x) \equiv 1$ for $|x| \leq 1$. Therefore,

$$E = \left| \int_{|x-y|\leq 1} K(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \right|.$$

Lemma 1 now tells us that

$$\|E\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

On the other hand, by the fact that $a > 0$ and (1), trivial computation shows that

$$F \leq C \int_{|x-y|\leq 1} \frac{|R_{m+1}(A; x, y)|}{|x-y|^{n+m-a}} |f(y)| dy \leq CM_A f(x).$$

This via Lemma 3 leads to that

$$\|F\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

Obviously,

$$G \leq \int_{1 \leq |x-y| \leq 4} \frac{|R_{m+1}(A; x, y)|}{|x-y|^{n+m}} |f(y)| dy \leq CM_A f(x),$$

which in turn implies that

$$\|G\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

Combining the estimates for E, F and G yields that

$$\|T_A^0\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

Now we consider the operator T_A^j for $j \geq 1$. By Lemma 3 we have the following crude estimate

$$(7) \quad \|T_A^j f\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

Our goal is to obtain a refined L^2 estimate for T_A^j , i.e., we want to show that there exists a positive constant $\varepsilon > 0$ such that

$$(8) \quad \|T_A^j f\|_2 \leq C2^{-\varepsilon j} \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_2.$$

If we can do this, an interpolation between the inequalities (7) and (8) then gives

$$\|T_A^j f\|_p \leq C2^{-\tilde{\varepsilon} j} \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

Summing over the last inequality for all $j \geq 1$ gives

$$\left\| \sum_{j=1}^{\infty} T_A^j f \right\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

We turn our attention to the operator

$$(9) \quad \tilde{T}_A^j f(x) = \int_{1/2 < |x-y| \leq 2} e^{i\phi(2^j(x-y))} K(x-y)\varphi(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy.$$

By dilation-invariance, we see that the inequality (8) is equivalent to the estimate

$$(10) \quad \|\tilde{T}_A^j f\|_2 \leq C2^{-\varepsilon j} \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_2.$$

Write $\mathbf{R}^n = \cup_d Q_d$, where each Q_d is a cube with side length 1 and these cubes have disjoint interiors. Set $f_d = f\chi_{Q_d}$. Since the support of $\tilde{T}_A^j f_d$ is contained in a fixed multiple of Q_d , the supports of various terms $\tilde{T}_A^j f_d$ have bounded overlaps. So we have the ‘‘almost orthogonality’’ property

$$\|\tilde{T}_A^j f\|_2^2 \leq \sum_d \|\tilde{T}_A^j f_d\|_2^2.$$

Thus we may assume that $\text{supp } f \subset Q$ for some cube with side length 1. Denote by Q^* the cube with the same center as Q but side length $100n$. Let $\phi \in C_0^\infty(\mathbf{R}^n)$ such that $0 \leq \phi \leq 1$, ϕ is identically one on $10nQ$ and vanishes outside of $20nQ$, $\|D^\nu \phi\|_\infty \leq C_\nu$ (independent of Q) for all multi-index ν . Let x_0 be a point on the boundary of $40nQ$. Set

$$A^\phi(y) = R_m \left(A(\cdot) - \sum_{|\beta|=m} \frac{1}{\beta!} m_{Q^*} (D^\beta A)(\cdot)^\beta; y, x_0 \right) \phi(y).$$

The observation of Cohen and Gosselin [5] says that for $y \in Q$ and $x \in 10nQ$,

$$R_{m+1}(A; x, y) = R_{m+1}(A^\phi; x, y).$$

Define the operator

$$\tilde{T}_\alpha^j h(x) = \int_{1/2 < |x-y| \leq 2} e^{i\phi(2^j(x-y))} K(x-y)\varphi(x-y) \frac{(x-y)^\alpha}{|x-y|^m} h(y) dy.$$

We see that

$$\begin{aligned}\tilde{T}_A^j f(x) &= \tilde{T}_{A^\phi}^j f(x) \\ &= A^\phi(x) \tilde{T}_0^j f(x) - \sum_{|\alpha| < m} \frac{1}{\alpha!} \tilde{T}_\alpha^j((D^\alpha A^\phi)f)(x) - \sum_{|\alpha|=m} \frac{1}{\alpha!} \tilde{T}_\alpha^j((D^\alpha A^\phi)f)(x) \\ &= \mathbf{H} + \mathbf{I} + \mathbf{J}.\end{aligned}$$

The estimates for these three terms follows from the following lemma.

LEMMA 4. *Suppose that Φ satisfies (1) and (2). Then for $j \in \mathbf{Z}$ and multi-index α*

$$\|\tilde{T}_\alpha^j h\|_2 \leq C 2^{-ja/2} \|h\|_2.$$

Lemma 4 can be proved by the same way as in [6]. We omit the details for brevity.

We now return to the proof of Theorem 1. Let α be a multi-index such that $|\alpha| \leq m$. A straightforward computation (see [5, p. 452]) yields that

$$(11) \quad D^\alpha A^\phi(y) = \sum_{\alpha=\mu+\nu} \frac{\alpha!}{\mu! \nu!} R_{m-|\mu|} \left(D^\mu \left(A(\cdot) - \sum_{|\beta|=m} \frac{1}{\beta!} m_{Q^\bullet} (D^\beta A)(\cdot)^\beta \right); y, x_0 \right) D^\nu \phi(y).$$

Recall that $\text{supp } \phi \subset 20nQ$, Lemma 2 now shows that if $|\alpha| < m$, then

$$\begin{aligned}|D^\alpha A^\phi(y)| &\leq C \sum_{|\beta|=m} \left(|\tilde{Q}(y, x_0)|^{-1} \int_{\tilde{Q}(y, x_0)} \left| D^\beta A(z) - m_{Q^\bullet} (D^\beta A) \right|^q dz \right)^{1/q} \\ &\leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}},\end{aligned}$$

where $n < q < \infty$. So by Lemma 4,

$$\|\mathbf{H}\|_2 \leq C \|A^\phi\|_\infty \|\tilde{T}_0^j f\|_2 \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} 2^{-aj/2} \|f\|_2,$$

and

$$\begin{aligned}\|\mathbf{I}\|_2 &\leq C \sum_{|\alpha| < m} \left\| \tilde{T}_\alpha^j \left((D^\alpha A^\phi)f \right) \right\|_2 \leq C 2^{-aj/2} \sum_{|\alpha| < m} \|D^\alpha A^\phi\|_\infty \|f\|_2 \\ &\leq C 2^{-aj/2} \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_2.\end{aligned}$$

To estimate \mathbf{J} , observe that

$$\|\tilde{T}_\alpha^j h\|_\infty \leq C \|h\|_1,$$

which together with Lemma 4 gives

$$(12) \quad \|\tilde{T}_\alpha^j h\|_q \leq C 2^{-aj/q} \|h\|_q, \quad 2 < q < \infty,$$

where $q' = q/(q - 1)$. If $|\alpha| = m$, by (11) and Lemma 2 we have

$$\begin{aligned} & |D^\alpha A^\phi(y)| \\ & \leq \sum_{\alpha=\mu+\nu, |\mu|<m} C_{\mu,\nu} \left| R_{m-|\mu|} \left(D^\mu \left(A(\cdot) - \sum_{|\beta|=m} \frac{1}{\beta!} m_{Q^*} (D^\beta A)(\cdot)^\beta \right); y, x_0 \right) D^\nu \phi(y) \right| \\ & \quad + \sum_{|\beta|=m} |(D^\beta A(y) - m_{Q^*}(D^\beta A))\phi(y)| \\ & \leq C \left(\sum_{|\beta|=m} \|D^\beta A\|_{\text{BMO}} + \sum_{|\beta|=m} |D^\beta A(y) - m_{Q^*}(D^\beta A)| \right) \chi_{Q^*}(y). \end{aligned}$$

Thus for any $1 < s < \infty$,

$$\|D^\alpha A^\phi\|_s \leq C_s \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}}.$$

Choose q_0, q_1 such that $2 < q_0 < \infty$ and $1/q'_0 = 1/q_1 + 1/2$. Since $\text{supp } \tilde{T}_\alpha^j((D^\alpha A)f) \subset 20nQ$, it follows from the inequality (12) that

$$\begin{aligned} \|J\|_2 & \leq C \|J\|_{q_0} \leq C \sum_{|\alpha|=m} \left\| \tilde{T}_\alpha^j((D^\alpha A^\phi)f) \right\|_{q_0} \\ & \leq C 2^{-aj/q_0} \sum_{|\alpha|=m} \|(D^\alpha A^\phi)f\|_{q'_0} \\ & \leq C 2^{-aj/q_0} \sum_{|\alpha|=m} \|D^\alpha A^\phi\|_{q_1} \|f\|_2 \\ & \leq C 2^{-aj/q_0} \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_2. \end{aligned}$$

This is our desired estimate.

CASE II $a < 0$. Let $\eta(x) = 1 - \sum_{j=-\infty}^{-1} \varphi_j(x)$. Decompose T_A as

$$\begin{aligned} T_A f(x) & = \int_{\mathbb{R}^n} e^{i\phi(x-y)} K(x-y) \eta(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \\ & \quad + \sum_{j=-\infty}^{-1} \int_{\mathbb{R}^n} e^{i\phi(x-y)} K(x-y) \varphi_j(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \\ & = \bar{T}_A^0 f(x) + \sum_{j=-\infty}^{-1} T_A^j f(x). \end{aligned}$$

Noting that $\|\eta\|_\infty \leq C$, $\eta(x) \equiv 1$ if $|x| > 1$, and $\eta(x) \equiv 0$ if $|x| < 1/2$, thus as in Case I, we have

$$\begin{aligned}
|\bar{T}_A^0 f(x)| &\leq \left| \int_{|x-y|>1} K(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \right| \\
&\quad + \int_{|x-y|>1} \frac{|R_{m+1}(A; x, y)|}{|x-y|^{n+m-a}} |f(y)| dy \\
&\quad + \int_{1/2 \leq |x-y| < 1} \frac{|R_{m+1}(A; x, y)|}{|x-y|^{n+m}} |f(y)| dy \\
&\leq \left| \int_{|x-y|>1} K(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy \right| + CM_A f(x).
\end{aligned}$$

So

$$\|\bar{T}_A^0 f\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

Similarly to Case I, it follows that

$$\|T_A^j f\|_2 \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} 2^{-\varepsilon a j} \|f\|_2, \quad j \leq -1,$$

for some positive constant ε . Hence

$$\|T_A f\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

This finishes the proof of Theorem 1 for the case $a < 0$.

3. Proof of Theorem 2.

To prove Theorem 2, we need the following lemma.

LEMMA 5 (see [6]). *Let $0 < \delta < \infty$, $\xi \in C_0^\infty(\mathbb{R}^n)$ such that $\xi(x) \equiv 1$ if $1 \leq |x| \leq 2$ and $\xi(x) \equiv 0$ if $|x| < 3/4$ or $|x| > 4$. Suppose that the real-valued C^∞ function Φ satisfies (1) and (2). Then there exists a small positive number d , such that for any cube $Q \subset Q_0 = [-1/2, 1/2]^n$ with diameter $\text{diam } Q < d$ and positive integer j with $2^j \geq 3\sqrt{n}$, the operator*

$$S_Q^j f(x) = \xi(2^{-j}x) \int_Q e^{i\Phi(\delta x - \delta y)} f(y) dy$$

is bounded on $L^2(\mathbb{R}^n)$ with bound $C2^{jn/2} [(2^j \delta)^{a-1} \delta]^{-1/12}$, where C is independent of j , d and δ .

PROOF OF THEOREM 2. Without loss of generality, we may assume that $\sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} = 1$. Let Q_0 be the cube centered at the origin with side length 1, i.e. $Q_0 = [-1/2, 1/2]^n$. For each fixed $\delta > 0$, define

$$T_{\delta, A} f(x) = \int_{\mathbb{R}^n} e^{i\Phi(\delta x - \delta y)} K(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} f(y) dy.$$

By Theorem 1 and dilation-invariance, we have

$$\|T_{\delta,A}f\|_p \leq C \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \|f\|_p, \quad 1 < p < \infty.$$

Observe that if $b \in \text{BMO}(\mathbb{R}^n)$, then for any $t > 0$ and $z \in \mathbb{R}^n$, $b_t^z(x) = b(tx + z)$ also belongs to the space $\text{BMO}(\mathbb{R}^n)$ and $\|b_t^z\|_{\text{BMO}} = \|b\|_{\text{BMO}}$. Thus it suffices to show that for some $c = c(T_{\delta,A}f)$,

$$\int_{Q_0} |T_{\delta,A}f(y) - c| dy \leq C \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty,$$

with C independent of δ . Split f as

$$f = f\chi_{8\sqrt{n}Q_0} + f\chi_{\mathbb{R}^n \setminus 8\sqrt{n}Q_0} = f_1 + f_2.$$

Schwarz's inequality then shows that

$$\int_{Q_0} |T_{\delta,A}f_1(y)| dy \leq \|T_{\delta,A}f_1\|_p \leq C_{m,n,p} \|f_1\|_p \leq C \inf_{x \in Q_0} M_p f_1(x).$$

Thus our proof can be reduced to proving that for some $c = c(T_{\delta,A}f)$,

$$(13) \quad \int_{Q_0} |T_{\delta,A}f_2(y) - c| dy \leq C_{m,n,p} \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty.$$

We consider the following three cases.

CASE I $a > 1$. Set $r = \max\{8, \delta^{-a/(a-1)}\}$ and

$$f_2 = f\chi_{r\sqrt{n}Q_0 \setminus 8\sqrt{n}Q_0} + f\chi_{\mathbb{R}^n \setminus r\sqrt{n}Q_0} = f_{21} + f_{22}.$$

We first estimate $T_{\delta,A}f_{21}$. Write

$$\begin{aligned} T_{\delta,A}h(x) &= \int_{\mathbb{R}^n} [e^{i\Phi(\delta x - \delta y)} - e^{i\Phi(\delta y)}] K(x - y) \frac{R_{m+1}(A; x, y)}{|x - y|^m} h(y) dy \\ &\quad + \int_{\mathbb{R}^n} K(x - y) \frac{R_{m+1}(A; x, y)}{|x - y|^m} e^{i\Phi(\delta y)} h(y) dy. \end{aligned}$$

By (1) we see that if $x \in Q_0$, and $y \in \mathbb{R}^n \setminus 8\sqrt{n}Q_0$, then

$$|e^{i\Phi(\delta x - \delta y)} - e^{i\Phi(\delta y)}| \leq C\delta^a |y|^{a-1}.$$

So we have that for any function h with $\text{supp } h \subset \mathbb{R}^n \setminus 8\sqrt{n}Q_0$ and $x \in Q_0$,

$$(14) \quad \begin{aligned} |T_{\delta,A}h(x) - c| &\leq C\delta^a \int_{\mathbb{R}^n \setminus 8\sqrt{n}Q_0} \frac{|R_{m+1}(A; x, y)|}{|x - y|^{n+m+1-a}} |h(y)| dy \\ &\quad + \left| \int_{\mathbb{R}^n} K(x - y) \frac{R_{m+1}(A; x, y)}{|x - y|^m} h(y) e^{i\Phi(\delta y)} dy - c \right|. \end{aligned}$$

Using the techniques of Cohen and Gosselin [5], we can prove that for some c

$$\begin{aligned} & \int_{Q_0} \left| \int_{\mathbb{R}^n} K(x-y) \frac{R_{m+1}(A; x, y)}{|x-y|^m} e^{i\Phi(\delta y)} f_{21}(y) dy - c \right| dx \\ & \leq C_{m,n,p} \sum_{|\alpha|=m} \|D^\alpha A\|_{\text{BMO}} \inf_{x \in Q_0} M_p f(x). \end{aligned}$$

For each fixed $j \in N$, set

$$A_j(y) = A(y) - \sum_{|\alpha|=m} \frac{1}{\alpha!} m_{2^j \sqrt{n} Q_0} (D^\alpha A) y^\alpha,$$

then $R_{m+1}(A; x, y) = R_{m+1}(A_j; x, y)$. Let $j_0 \in N$ such that $2^{j_0} < r \leq 2^{j_0+1}$. It is easy to find that for $x \in Q_0$,

$$\begin{aligned} & \delta^a \int_{r\sqrt{n}Q_0 \setminus 8\sqrt{n}Q_0} \frac{|R_{m+1}(A; x, y)|}{|x-y|^{m+n+1-a}} |f(y)| dy \\ & \leq C\delta^a \sum_{j=1}^{j_0} \int_{2^{j+1}\sqrt{n}Q_0 \setminus 2^j\sqrt{n}Q_0} \frac{|R_{m+1}(A_j; x, y)|}{|y|^{m+n+1-a}} |f(y)| dy \\ & \leq C\delta^a \sum_{j=1}^{j_0} \int_{2^{j+1}\sqrt{n}Q_0 \setminus 2^j\sqrt{n}Q_0} \frac{|R_m(A_j; x, y)|}{|y|^{n+m+1-a}} |f(y)| dy \\ & \quad + C\delta^a \sum_{|\alpha|=m} \int_{2^{j+1}\sqrt{n}Q_0 \setminus 2^j\sqrt{n}Q_0} \frac{|D^\alpha A(y) - m_{2^j\sqrt{n}Q_0}(D^\alpha A)|}{|y|^{n+1-a}} |f(y)| dy \\ & \leq C\delta^a \sum_{j=2}^{j_0} 2^{j(a-1)} \inf_{x \in Q_0} M_p f(x) \\ & \leq C\delta^a r^{a-1} \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty. \end{aligned}$$

In the second-to-last inequality, we have invoked the fact that

$$|R_m(A_j; x, y)| \leq C|x-y|^m, \quad \text{if } x \in Q_0 \quad \text{and} \quad y \in 2^{j+1}\sqrt{n}Q_0 \setminus 2^j\sqrt{n}Q_0 \quad \text{for } j \geq 2.$$

If $T_{\delta,A}f_{21} \neq 0$, then $r > 8$ and $r^{a-1}\delta^a = 1$. Thus by (14) we see that for some c ,

$$\int_{Q_0} |T_{\delta,A}f_{21}(y) - c| dy \leq C \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty.$$

Now we estimate $T_{\delta,A}f_{22}$. Let $K_A(y, z) = K(y-z)R_{m+1}(A; y, z)|y-z|^{-m}$, $y_0 \in 3\sqrt{n}Q_0 \setminus 2\sqrt{n}Q_0$. Then

$$\begin{aligned} T_{\delta,A}f_{22}(y) &= \int_{\mathbb{R}^n} e^{i\Phi(\delta y - \delta z)} [K_A(y, z) - K_A(y_0, z)] f_{22}(z) dz \\ & \quad + \int_{\mathbb{R}^n} e^{i\Phi(\delta y - \delta z)} K_A(y_0, z) f_{22}(z) dz \\ &= Rf_{22}(y) + Sf_{22}(y). \end{aligned}$$

Obviously,

$$|Rf_{22}(y)| \leq \int_{\mathbb{R}^n \setminus 8\sqrt{n}Q_0} |K_A(y, z) - K_A(y_0, z)| |f(z)| dz.$$

The standard argument (see [5]) shows that

$$|Rf_{22}(y)| \leq C \inf_{x \in Q_0} M_p f(x), \quad y \in Q_0, \quad 1 < p < \infty.$$

Let d be the small positive constant appeared in Lemma 5. Decompose Q_0 as

$$Q_0 = \bigcup_{k=1}^N Q_k,$$

where $N = N(d)$ is a fixed positive integer, each Q_k is a cube with diameter smaller than d , and the cubes $\{Q_k\}$ have disjoint interiors. Let $\xi \in C_0^\infty(\mathbb{R}^n)$ such that $\text{supp } \xi \subset \{3/4 \leq |x| \leq 4\}$ and $\xi(x) \equiv 1$ if $1 \leq |x| \leq 2$. Denote by V_j the set $\{2^j < |x| \leq 2^{j+1}\}$ for $j \in \mathbb{N}$. Define the operator

$$T_{Q_k}^j h(x) = \chi_{Q_k}(x) \int_{\mathbb{R}^n} e^{i\Phi(\delta x - \delta y)} \xi(2^{-j}y) h(y) dy$$

and write

$$\begin{aligned} Sf_{22}(y) \chi_{Q_k}(y) &= \sum_{j=0}^\infty \chi_{Q_k}(y) \int_{2^j < |z| \leq 2^{j+1}} e^{i\Phi(\delta y - \delta z)} \xi(2^{-j}z) K_A(y_0, z) f_{22}(z) dz \\ &= \sum_{j=0}^\infty T_{Q_k}^j (K_A(y_0, \cdot) \chi_{V_j} f_{22})(y). \end{aligned}$$

By Lemma 5 and the duality, we see that

$$(15) \quad \|T_{Q_k}^j h\|_2 \leq C 2^{jn/2} [(2^j \delta)^{a-1} \delta]^{-1/12} \|h\|_2, \quad 2^j \geq 3\sqrt{n}.$$

On the other hand, we have the crude estimate

$$(16) \quad \|T_{Q_k}^j h\|_1 \leq \|h\|_1.$$

Interpolation between the inequalities (15) and (16) leads to that

$$(17) \quad \|T_{Q_k}^j h\|_q \leq C 2^{jn/q'} [(2^j \delta)^{a-1} \delta]^{-1/(6q')} \|h\|_q, \quad 2^j \geq 3\sqrt{n}, \quad 1 < q \leq 2.$$

For each fixed p , let $1 < q < \min(2, p)$. Then

$$\begin{aligned} \int_{Q_0} |Sf_{22}(y)| dy &\leq C \sum_{k=1}^N \|(Sf_{22}) \chi_{Q_k}\|_q \\ &\leq C \sum_{k=1}^N \sum_{2^{j+1} \geq r\sqrt{n}} \|T_{Q_k}^j (K_A(y_0, \cdot) \chi_{V_j} f_{22})\|_q \\ &\leq C \sum_{k=1}^N \sum_{j=j_0-1}^\infty 2^{jn/q'} [(2^j \delta)^{a-1} \delta]^{-1/(6q')} \|K_A(y_0, \cdot) \chi_{V_j} f_{22}\|_q. \end{aligned}$$

Set

$$\tilde{A}_j(y) = A(y) - \sum_{|\alpha|=m} \frac{1}{\alpha!} m_{V_j} (D^\alpha A) y^\alpha, \quad j \in N.$$

We have

$$\begin{aligned} \left\| K_A(y_0, \cdot) \chi_{V_j} f_{22} \right\|_q &= \left\| K_{\tilde{A}_j}(y_0, \cdot) \chi_{V_j} f_{22} \right\|_q \\ &\leq C \left(\int_{V_j} \left(\frac{|R_m(\tilde{A}_j; y_0, y)|}{|y - y_0|^{n+m}} |f_{22}(y)| \right)^q dy \right)^{1/q} \\ &\quad + C \sum_{|\alpha|=m} 2^{-jn} \left(\int_{V_j} \left(|D^\alpha A(y) - m_{V_j}(D^\alpha)| |f_{22}(y)| \right)^q dy \right)^{1/q}. \end{aligned}$$

If $y \in V_j \cap (\mathbf{R}^n \setminus r\sqrt{n}Q_0)$, by the familiar argument involving Lemma 2,

$$|R_m(\tilde{A}_j; y_0, y)| \leq C|y - y_0|^m.$$

Hölder's inequality now gives

$$\begin{aligned} \left\| K_A(y_0, \cdot) \chi_{V_j} f_{22} \right\|_q &\leq C2^{-jn/q} \inf_{x \in Q_0} M_q f(x) + C2^{-jn/q} \inf_{x \in Q_0} M_p f(x) \\ &\leq C2^{-jn/q} \inf_{x \in Q_0} M_p f(x). \end{aligned}$$

Therefore,

$$\begin{aligned} \int_{Q_0} |Sf_{22}| dy &\leq C \sum_{k=1}^N \sum_{j=j_0-1}^{\infty} \left[(2^j \delta)^{a-1} \delta \right]^{-1/(6q')} \inf_{x \in Q_0} M_p f(x) \\ &\leq C \left(\delta^a r^{a-1} \right)^{-1/(6q')} \inf_{x \in Q_0} M_p f(x) \leq C \inf_{x \in Q_0} M_p f(x). \end{aligned}$$

CASE II $a < 1$, $a \neq 0$ and $\delta^{a/(1-a)} \leq 4$. Note that for each $y \in Q_0$ and $1 < p < \infty$,

$$\begin{aligned} &\int_{\mathbf{R}^n \setminus 8\sqrt{n}Q_0} \frac{|R_{m+1}(A; y, z)|}{|y - z|^{m+n+1-a}} |f(z)| dz \\ &\leq \sum_{j=2}^{\infty} \int_{2^{j+1}\sqrt{n}Q_0 \setminus 2^j\sqrt{n}Q_0} \frac{|R_m(A_j; y, z)|}{|y - z|^{m+n+1-a}} |f(z)| dz \\ &\quad + C \sum_{j=2}^{\infty} \sum_{|\alpha|=m} \int_{2^{j+1}\sqrt{n}Q_0 \setminus 2^j\sqrt{n}Q_0} \frac{|D^\alpha A(z) - m_{2^j\sqrt{n}Q_0}(D^\alpha A)|}{|x - y|^{n+1-a}} |f(z)| dz \\ &\leq C \sum_{j=2}^{\infty} 2^{(a-1)j} \inf_{x \in Q_0} M_p f(x) \leq C \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty, \end{aligned}$$

and that for some c ,

$$\int_{Q_0} \left| \int_{\mathbb{R}^n \setminus 8\sqrt{n}Q_0} K(y-z) \frac{R_{m+1}(A; y, z)}{|y-z|^m} f(z) dz - c \right| dy \leq C_{m,n,p} \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty.$$

The inequality (14) then gives our desired estimate (13) in this case.

CASE III $a < 1$, $a \neq 0$ and $\delta^{a/(1-a)} \geq 4$. Let $r = \delta^{a/(1-a)}$ and $j_0 \in \mathbb{N}$ such that $2^{j_0} < r \leq 2^{j_0+1}$. For $y \in Q_0$, we have

$$\begin{aligned} & \delta^a \int_{\mathbb{R}^n \setminus r\sqrt{n}Q_0} \frac{|R_{m+1}(A; y, z)|}{|y-z|^{m+n+1-a}} |f(z)| dz \\ &= \delta^a \sum_{j=j_0}^{\infty} \int_{2^{j+1}\sqrt{n}Q_0 \setminus 2^j\sqrt{n}Q_0} \frac{|R_m(A_j; y, z)|}{|y-z|^{m+n+1-a}} |f(z)| dz \\ & \quad + \delta^a \sum_{j=j_0}^{\infty} \sum_{|\alpha|=m} \int_{2^{j+1}\sqrt{n}Q_0 \setminus 2^j\sqrt{n}Q_0} \frac{|D^\alpha A(z) - m_{2^j\sqrt{n}Q_0}(D^\alpha A)|}{|y-z|^{n+1-a}} |f(z)| dz \\ & \leq C \delta^a \sum_{j=j_0}^{\infty} 2^{j(a-1)} \inf_{x \in Q_0} M_p f(x) \\ & \leq C \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty. \end{aligned}$$

Again by (14), we see that for some c ,

$$\int_{Q_0} |T_{\delta,A}(f\chi_{\mathbb{R}^n \setminus r\sqrt{n}Q_0})(y) - c| dy \leq C \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty.$$

Using inequality (17), as in Case I, we can verify that

$$\begin{aligned} \int_{Q_0} |T_{\delta,A}(f\chi_{r\sqrt{n}Q_0 \setminus 8\sqrt{n}Q_0})(y)| dy & \leq C \sum_{j=1}^{j_0} \left[(2^j\delta)^{a-1} \delta \right]^{-\gamma} \inf_{x \in Q_0} M_p f(x) \\ & \leq C \inf_{x \in Q_0} M_p f(x), \quad 1 < p < \infty, \end{aligned}$$

where γ is a positive constant. This finishes the proof of Theorem 2.

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Guoen HU, Shanzhen LU and Dachun YANG

Department of Mathematics

Beijing Normal University

Beijing 100875

People's Republic of China

E-mails:

lusz@bnu.edu.cn (S. Lu)

dcyang@bnu.edu.cn (D. Yang)