

## On Moishezon manifolds homeomorphic to $P_c^n$

Dedicated to Professor Kunihiko Kodaira

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(Received Nov. 7, 1991)

### § 0. Introduction.

There are in general many different complex manifolds having the same underlying topological or differentiable structure. However there are a few exceptional cases where we can expect that homeomorphy to a given compact complex manifold implies analytic isomorphism to it, for instance, compact Hermitian symmetric spaces. Among compact Hermitian symmetric spaces, the complex projective space  $P_c^n$  and a smooth hyperquadric  $Q_c^n$  in  $P_c^{n+1}$  seem to be nice exceptions which we can handle with algebraic methods.

The following conjecture is the problem we study in the present article.

CONJECTURE  $MP_n$ . *Any Moishezon complex manifold homeomorphic to  $P_c^n$  is isomorphic to  $P_c^n$ .*

There are some related conjectures, or rather, more accessible forms of Conjecture  $MP_n$  which are interesting themselves.

CONJECTURE  $LM_n$ . *Let  $X$  be a Moishezon manifold of dimension  $n$ , and  $L$  a line bundle on  $X$ . Assume that  $\text{Pic } X = \mathbf{Z}L$ ,  $c_1(X) = dc_1(L)$  ( $d \geq n+1$ ) and  $h^0(X, O_X(L)) \geq n+1$ . Then  $X$  is isomorphic to  $P_c^n$ .*

CONJECTURE  $LMP_n$ . *Let  $X$  be a Moishezon manifold homeomorphic to  $P_c^n$ , and  $L$  a line bundle on  $X$  with  $L^n = 1$ . Assume  $h^0(X, O_X(L)) \geq n+1$ . Then  $X$  is isomorphic to  $P_c^n$ .*

CONJECTURE  $DP_n$ . *Any complex (global) deformation of  $P_c^n$  is isomorphic to  $P_c^n$ .*

In the above conjectures a Moishezon (complex) manifold of dimension  $n$  is by definition a compact complex manifold with  $n$  algebraically independent meromorphic functions. This is equivalent to saying that it is bimeromorphic to an algebraic variety.

Conjecture  $MP_n$  (resp. Conjecture  $LM_n$ ) has been settled by Hirzebruch-Kodaira [3], and Yau [21] (resp. by Fujita [1], Kobayashi and Ochiai [6]),

when the manifold under consideration is *projective or Kählerian*. See Siu [17] [18] and Tsuji [20] for Conjecture  $DP_n$ . I heard from Mabuchi in the summer of 1990 that Siu seemed to have completed a correction of [17], while I completed the present article in 1991 January. I was unable to look at the article of Siu until very recently it appeared as [18]. I cannot spend enough time for understanding [18] before submitting this article, but I hear from Mabuchi that [18] is correct.

Meanwhile Kollár [8] and the author [10] solved Conjecture  $MP_3$  without extra assumptions, each supplementing the other. Peternell [15][16] also asserts  $(MP_3)$ . See also [8, 5.3.6].

(0.1) THEOREM [8][10]. *Any Moishezon threefold homeomorphic to  $P^2 \times P^1$  is isomorphic to  $P^3$ .*

The purpose of the present paper is to give some partial solutions to the above conjectures, in particular, a complete solution to  $(LM_4)$  and  $(LMP_4)$ , which implies  $(DP_4)$ .

For the proof of  $(LM_4)$  or  $(LMP_4)$ , we study dualizing sheaves of reduced curves and surfaces in the present article, although the idea of the proof is essentially the same as our previous papers [10][11]. Our new ingredient here is a subadjunction formula (2.A) for curves and surfaces.

(0.2) THEOREM. *Let  $X$  be a Moishezon manifold of dimension  $n$  with  $b_2=1$ ,  $L$  a line bundle on  $X$ . Assume that  $c_1(X)=dc_1(L)$  ( $d \geq n+1$ ), and  $h^0(X, O_X(L)) \geq n$ . If a complete intersection of general  $(n-1)$ -members of the complete linear system  $|L|$  is nonempty outside the base locus  $Bs|L|$ , then  $X$  is isomorphic to  $P^n$ .*

The following theorems are proved by applying (0.2) or the idea of the proof of (0.2).

(0.3) THEOREM. *Let  $X$  be a Moishezon fourfold, and  $L$  a line bundle on  $X$ . Assume that  $\text{Pic } X = \mathbf{Z}L$ ,  $c_1(X)=dc_1(L)$  ( $d \geq 5$ ) and  $h^0(X, O_X(L)) \geq 4$ . Then  $X$  is isomorphic to  $P^4$ .*

(0.4) THEOREM. *Let  $X$  be a Moishezon fourfold homeomorphic to  $P^2 \times P^2$ , and  $L$  a line bundle on  $X$  with  $L^4=1$ . Assume  $h^0(X, O_X(L)) \geq 3$ . Then  $X$  is isomorphic to  $P^4$ .*

(0.5) COROLLARY. *Any complex (global) deformation of  $P^4$  is isomorphic to  $P^4$ .*

See also [17][18][20]. Now we shall explain an outline of our proof of (0.2). By Bertini's theorem, we choose a general  $(n-1)$ -dimensional subspace  $V$  of  $H^0(X, O_X(L))$  such that  $l_V := \bigcap_{s \in V} (\text{zeroes of } s)$ , the scheme-theoretic complete intersection associated to  $V$ , is pure one dimensional and nonsingular

outside  $Bs|L|$ . Then we show in section one that  $l_V$  is a union of nonsingular rational curves  $C$  with  $LC=1$  and  $N_{C|X} \cong O_C(1)^{\oplus(n-1)}$ , of nonsingular elliptic curves  $E$  with  $LE=0$  and  $N_{E|X} \cong O_E^{\oplus(n-1)}$  and of the base locus  $Bs|L|$ , each of the curves being a connected component of  $l_V$ . This is proved by using the subadjunction formula (1.8) or (2.A) for curves, which generalizes an argument in [10]. The existence of a rational curve among the irreducible components of  $l$  outside  $Bs|L|$  follows from the fact that  $X$  is Moishezon.

In section 2 we prove an inequality which is a key to the proofs in section one.

Then in section 3, by using the results proved in section one, we show that  $\dim|L|=n$  and that  $X$  is rationally mapped onto  $P_C^n$  by the rational map  $\rho_{|L|}$  associated with  $|L|$ . Therefore  $X$  is finite over  $P_C^n$  outside proper subvarieties  $B_X$  and  $B_{P^n}$ .

If a line on  $P_C^n$  is not contained in  $B_{P^n}$ , its inverse image by  $\rho_{|L|}$  is a complete intersection of  $(n-1)$  members of  $|L|$  and it is generically reduced and pure one-dimensional outside  $B_X$ . Then we can show as before that the inverse image  $l$  is a union of a nonsingular rational curve  $C$  and  $Bs|L|$  and that  $C$  is a connected component of  $l$ .

Now  $LC=1$  implies that  $\rho_{|L|}$  is birational. Moreover those lines which are not contained in  $B_{P^n}$  sweep out  $P_C^n$ , so that inverse images of the lines sweep out  $X$ . This implies that  $Bs|L|$  is empty. We also see that  $\rho_{|L|}$  is unramified, so that  $X$  is isomorphic to  $P_C^n$ . See also (1.6).

In section 3, applying (0.2) and the subadjunction formula (2.A) for surfaces, we also prove (0.3) and (3.3), the latter of which strengthens our earlier consequence on  $P_C^2$  [10].

In section 4 (resp. section 5), we apply the results in section one to study  $(LMP_n)$  (resp. to prove (0.4)). In the proof of (0.3) (resp. (0.4)) the complete intersection of two members of  $|L|$  is proved to be isomorphic to  $P_C^2$ , from which (0.3) (resp. (0.4)) follows immediately. This also implies  $(LM_4)$ ,  $(LMP_4)$  and  $(DP_4)$ .

The main consequences of the present article were announced in [13], where the proof of (0.4) is sketched.

ACKNOWLEDGEMENT. The author would like to express his hearty gratitude to T. Fujita and F. Hidaka for their advices during the preparation of the article.

**§ 1. A complete intersection  $l_V$ .**

(1.1) Let  $X$  be a nonsingular complete algebraic variety of dimension  $n$  defined over  $C$  (or a compact complex manifold of dimension  $n$ ). We assume that there exists a line bundle  $L$  on  $X$  such that

$$(1.1.1) \quad c_1(X) = dc_1(L) \quad \text{for some } d \geq n+1$$

$$(1.1.2) \quad \dim H^0(X, L) \geq n.$$

Let  $B = \text{Bs}|L|$  be the base locus of  $|L|$ . Let  $V$  be a linear subspace of  $H^0(X, L)$  of dimension  $n-1$ ,  $l_V$  a scheme-theoretic complete intersection  $\bigcap_{s \in V \setminus \{0\}} D_s$  associated with  $V$ , where  $D_s$  is the divisor defined by  $s=0$ . More precisely, the ideal sheaf of  $O_X$  defining  $l$  is given by  $I_l = \sum_{s \in V} I_{D_s} = \sum_{s \in V} s O_X$ . Let  $C_V$  be the sum of all the irreducible components of  $l$  which are not totally contained in  $B$ . We express it as  $l_V = C_V + B$  for simplicity.

We call an irreducible component  $C$  of  $l$  (or of  $C_V$ ) of dimension one a **reduced curve component** if  $l$  is reduced generically along  $C$ . We assume that

$$(1.1.3) \quad l_V \text{ has a reduced curve component } C \quad \text{for some } V.$$

In the present section, we always assume (1.1.1)-(1.1.3). For the use in §3, we also define

(1.2) DEFINITION. We say that  $D_s (s \in V)$  intersect outside  $\text{Bs}|L|$  if  $C_V$  is nonempty. We say that  $D_s (s \in V)$  intersect rationally outside  $\text{Bs}|L|$  if  $C_V$  is nonempty and moreover if at least one of the irreducible components of  $C_V$  is a (possibly singular) rational curve.

(1.3) Let  $l = l_V$ , and let  $C$  a reduced curve component of  $l$ ,  $I_C$  the ideal sheaf of  $O_X$  defining  $C$  with  $\sqrt{I_C} = I_C$ . We have nontrivial  $O_C$ -homomorphisms  $\phi_C^0$  and  $\phi_C$  which are isomorphisms on a Zariski open dense subset of  $C$ ,

$$\begin{array}{ccc} \phi_C^0: (I_l/I_l^2) \otimes O_C & \longrightarrow & I_C/I_C^2 \\ \parallel & & \downarrow \\ \phi_C: (I_l/I_l^2) \otimes O_C & \longrightarrow & [I_C/I_C^2] \end{array}$$

where  $[F] = F / \{O_C\text{-torsions in } F\}$  for an  $O_C$ -module  $F$ .

(1.4) LEMMA. Let  $C$  be an irreducible reduced curve component of  $l := l_V$ . Then

$$\begin{aligned} (I_l/I_l^2) \otimes O_C &\cong O_C(-L)^{\oplus(n-1)} \\ -(n-1)LC &\leq c_1([I_C/I_C^2]) \end{aligned}$$

where  $c_1([I_C/I_C^2]) := c_1([I_C/I_C^2] \otimes O_{\tilde{C}}/O_{\tilde{C}}\text{-torsions})$  for the normalization  $\tilde{C}$  of  $C$ .

PROOF. We have a commutative diagram of natural homomorphisms;

$$\begin{array}{ccc} O_X(-L)^{\oplus(n-1)} & \longrightarrow & I_l/I_l^2 \\ \downarrow & & \downarrow \\ O_C(-L)^{\oplus(n-1)} & \xrightarrow{\beta} & (I_l/I_l^2) \otimes O_C. \end{array}$$

where all the arrows are surjective. Moreover  $(n-1)$  generators of  $I_l$  are regular parameters on  $C \setminus B$ . Hence  $\beta$  is injective on  $C \setminus B$ , and it is surjective anywhere on  $C$ . Since  $O_C(-L)$  is  $O_C$ -torsion free,  $\beta$  is an isomorphism. It follows that the composite homomorphism  $\phi_C \cdot \beta$  is injective. Hence we have  $-(n-1)LC \leq c_1([I_C/I_C^2])$ . q. e. d.

(1.5) LEMMA. *The following sequence is exact everywhere on  $C$ ;*

$$0 \longrightarrow [I_C/I_C^2] \longrightarrow \Omega_X^1 \otimes O_C \longrightarrow \Omega_C^1 \longrightarrow 0.$$

where  $\Omega_C^1 := \Omega_X^1/I_C \Omega_X^1 + O_X\{d\varphi; \varphi \in I_C\}$ .

PROOF. We have a natural exact sequence

$$I_C/I_C^2 \xrightarrow{\eta} \Omega_X^1 \otimes O_C \longrightarrow \Omega_C^1 \longrightarrow 0.$$

If  $C$  is nonsingular at  $p$ , then  $\eta$  is injective at  $p$ . Since  $\Omega_X^1$  is locally free, the sheaf  $\Omega_X^1 \otimes O_C$  is locally  $O_C$ -free, in particular, it is  $O_C$ -torsion free. q. e. d.

In order to illustrate how our arguments in sections 1 and 3 proceed, we first prove the following easy Proposition.

(1.6) PROPOSITION. *Assume  $K_X = -dL (d \geq n+1)$ ,  $h^0(X, L) \geq n+1$ . Let  $C$  be a reduced curve component of  $C_V$  with  $LC \geq 1$  which is not contained in  $B := \text{Bs}|L|$ . Assume that  $l_V$  is connected and that  $C$  is nonsingular everywhere. Then  $l_V = C_V = C \cong \mathbf{P}^1$ ,  $L^n = LC = 1$ ,  $N_{C/X} \cong O_C(1)^{\oplus(n-1)}$ ,  $d = n+1$  and  $B$  consists of at most a single point. Moreover if  $B$  is empty, then  $X \cong \mathbf{P}^n$ .*

PROOF. Let  $l = l_V$ . Since  $C$  is nonsingular, we have  $[I_C/I_C^2] = I_C/I_C^2$ . By (1.5) we have

$$c_1(I_C/I_C^2) = K_X C - c_1(\Omega_C^1) = -dLC - c_1(\Omega_C^1).$$

From (1.4) we infer,

$$-(n-1)LC \leq c_1(I_C/I_C^2) = -dLC - c_1(\Omega_C^1)$$

$$2 \leq d-n+1 \leq (d-n+1)LC \leq -c_1(\Omega_C^1) \leq 2.$$

This implies that  $C \cong \mathbf{P}^1$ ,  $c_1(\Omega_C^1) = -2$ ,  $d = n+1$  and  $LC = 1$ . The homomorphism  $\phi_C = \phi_C^0$  is an isomorphism,  $I_C/I_C^2 \cong O_C(-L)^{\oplus(n-1)} \cong O_C(-1)^{\oplus(n-1)}$ . Since  $\phi_C$  is surjective, we have  $I_l + I_C^2 = I_C$  along  $C$ . By applying Nakayama's lemma to the  $O_X$ -module  $I_C/I_l$  we see that  $I_l = I_C$  along  $C$ . Consequently  $C$  is a connected component of  $l$ . By the assumption that  $l$  is connected, we see  $l = C_V = C$ ,  $N_{C/X} = (I_C/I_C^2)^\vee \cong O_C(1)^{\oplus(n-1)}$ ,  $L^n = LC = 1$ . Since  $C$  is not contained in  $B$ ,  $B$  is empty or a single point in view of  $LC = 1$ . If  $B$  is empty, we have a morphism  $f$  of  $X$  into  $\mathbf{P}^N$  associated with the linear system  $|L|$  where  $N =$

$h^0(X, L) = 1$ . Since  $L^n = 1$ ,  $f(X)$  is a linear subspace of  $\mathbf{P}^N$  with  $\dim f(X) = n$ , whence  $N = n$  and  $f$  is surjective and birational. Let  $\omega_P$  be a meromorphic  $n$  form on  $\mathbf{P}^n$  with poles  $(n+1)H$ ,  $H$  a hyperplane of  $\mathbf{P}^n$ . Then by using local coordinates  $z_P$  on  $\mathbf{P}^n$  and  $z$  on  $X$  we write symbolically

$$\begin{aligned} f^*\omega_P &= f^*dz_P/f^*H^{n+1} = f^*dz_P/D^{n+1} \\ f^*dz_P &= \det(\text{Jacobian of } f) \cdot dz \end{aligned}$$

for a member  $D = f^*H \in |L|$ . Since  $f^*\omega_P$  is a meromorphic  $n$  form on  $X$ , the divisor  $(f^*\omega_P)$  is equal to  $K_X = -(n+1)D$ , whence we have  $(f^*dz_P) = 0$ . Hence the birational morphism  $f$  is unramified so that  $X$  is isomorphic to  $\mathbf{P}^n$ .

q. e. d.

This is a prototype of our subsequent argument. However in general  $l_V$  may be disconnected, and some component  $C$  of  $C_V$  may be singular at the intersection  $C \cap B$ .

(1.7) Now we come back to the situation in (1.1). Under the same notation as in (1.1), let  $l = l_V$ , and let  $C$  be a reduced curve component of  $l$ .

Let  $\nu: \tilde{C} \rightarrow C$  be the normalization of  $C$ . Then we obtain exact sequences,

$$(1.7.1) \quad 0 \rightarrow \text{Tor}_1^{O_C}(\Omega_{\tilde{C}}^1, O_{\tilde{C}}) \rightarrow [I_C/I_C^2] \otimes O_{\tilde{C}} \rightarrow \Omega_{\tilde{C}}^1 \otimes O_{\tilde{C}} \rightarrow \Omega_C^1 \otimes O_{\tilde{C}} \rightarrow 0$$

$$(1.7.2) \quad 0 \rightarrow [[I_C/I_C^2] \otimes O_{\tilde{C}}] \rightarrow \Omega_{\tilde{C}}^1 \otimes O_{\tilde{C}} \rightarrow \Omega_C^1 \otimes O_{\tilde{C}} \rightarrow 0$$

because  $\text{Tor}_1^{O_C}(\Omega_{\tilde{C}}^1 \otimes O_C, O_{\tilde{C}}) = 0$ . We recall an injective  $O_C$ -homomorphism  $\phi_C$  in (1.3),

$$(1.7.3) \quad \phi_C: (I_C/I_C^2) \otimes O_C \ (\cong O_C(-L)^{\oplus(n-1)}) \rightarrow [I_C/I_C^2].$$

Let  $Q_C^0$  be  $\text{Coker } \phi_C$ . By tensoring (1.7.3) with  $O_{\tilde{C}}$ , we obtain an exact sequence

$$(1.7.4) \quad \begin{aligned} \dots \rightarrow \text{Tor}_1^{O_C}(Q_C^0, O_{\tilde{C}}) \rightarrow O_{\tilde{C}}(-\nu^*L)^{\oplus(n-1)} \\ \rightarrow [I_C/I_C^2] \otimes O_{\tilde{C}} \rightarrow Q_C^0 \otimes O_{\tilde{C}} \rightarrow 0. \end{aligned}$$

Since  $\text{supp } Q_C^0$  is contained in  $\text{Sing } C$ ,  $\text{Tor}_1^{O_C}(Q_C^0, O_{\tilde{C}})$  is also an  $O_{\tilde{C}}$ -torsion sheaf. Hence we have an exact sequence

$$(1.7.5) \quad 0 \rightarrow O_{\tilde{C}}(-\nu^*L)^{\oplus(n-1)} \rightarrow [I_C/I_C^2] \otimes O_{\tilde{C}} \rightarrow Q_C^0 \otimes O_{\tilde{C}} \rightarrow 0.$$

Composed with a natural homomorphism

$$[I_C/I_C^2] \otimes O_{\tilde{C}} \rightarrow [[I_C/I_C^2] \otimes O_{\tilde{C}}] := [I_C/I_C^2] \otimes O_{\tilde{C}}/O_{\tilde{C}}\text{-torsions},$$

we infer an exact sequence

$$(1.7.6) \quad 0 \rightarrow O_{\tilde{C}}(-\nu^*L)^{\oplus(n-1)} \rightarrow [[I_C/I_C^2] \otimes O_{\tilde{C}}] \rightarrow Q_C \rightarrow 0$$

with  $Q_c$  cokernel.

Finally we consider a natural homomorphism

$$\Omega_c^1 \otimes O_{\check{c}} \xrightarrow{\eta} \Omega_c^1.$$

Letting  $Q'_c = \text{Coker } \eta$  and  $Q''_c = \text{Ker } \eta$ , we have an exact sequence

$$(1.7.7) \quad 0 \longrightarrow Q''_c \longrightarrow \Omega_c^1 \otimes O_{\check{c}} \longrightarrow \Omega_c^1 \longrightarrow Q'_c \longrightarrow 0.$$

For a torsion sheaf  $F$  we define the length  $l(F)$  of  $F$  to be the rank of  $F$  as a  $\mathbf{C}$ -module.

(1.8) LEMMA. *Let  $C$  be a reduced curve component of  $l$ . Assume  $c_1(X) = dc_1(L)$ . Then we have,*

$$(d-n+1)LC + c_1(\Omega_c^1) + l(Q_c) + l(Q''_c) - l(Q'_c) = 0.$$

PROOF. From the above exact sequences we infer,

$$\begin{aligned} \chi(\Omega_c^1) + l(Q''_c) - l(Q'_c) &= \chi(\Omega_c^1 \otimes O_{\check{c}}) \quad \text{by (1.7.7)} \\ &= \chi(\Omega_{\check{X}}^1 \otimes O_{\check{c}}) - \chi([I_c/I_c^2] \otimes O_{\check{c}}) \quad \text{by (1.7.2)} \\ &= \chi(\Omega_{\check{X}}^1 \otimes O_{\check{c}}) - (n-1)\chi(O_{\check{c}}(-\nu^*L)) - l(Q_c) \\ &= \chi(O_{\check{c}}) + K_X C + (n-1)LC - l(Q_c) \\ &= \chi(O_{\check{c}}) - (d-n+1)LC - l(Q_c) \quad \text{by (1.1.1)}. \end{aligned}$$

q. e. d.

Moreover we see

(1.9) THEOREM.  $l(Q''_c) \geq l(Q'_c)$ . Equality holds if and only if  $C$  is nonsingular.

This is proved in §2. See (2.5).

As a corollary to (1.8) and (1.9), we infer

(1.10) LEMMA. *Assume  $c_1(X) = dc_1(L)$ . Let  $C$  be a reduced curve component of  $l = l_\nu$ . If  $d \geq n+1$ ,  $LC \geq 1$ , then  $d = n+1$ ,  $LC = 1$ ,  $\check{C} \cong C \cong \mathbf{P}^1$ ,  $N_{C/X} \cong O_C(1)^{\oplus(n-1)}$  and  $C$  is a connected component of  $l_\nu$ . Moreover if  $C$  is not contained in  $B = \text{Bs}|L|$ , then  $C \cap B$  consists of at most one point.*

PROOF. Note that  $c_1(\Omega_c^1) \geq -2$ ,  $(d-n+1)LC \geq 2LC \geq 2$ ,  $l(Q_c) \geq 0$ . By (1.9),  $l(Q''_c) \geq l(Q'_c)$ . Hence all the above inequalities are equalities by (1.8). Therefore  $\check{C} \cong \mathbf{P}^1$ ,  $LC = 1$ ,  $d = n+1$ ,  $l(Q_c) = 0$ ,  $l(Q''_c) = l(Q'_c)$ . Moreover  $C$  is nonsingular by (1.9). Therefore the sequence (1.7.6) is the same as those in (1.3) and (1.7.3) where  $\phi_c = \phi_c^0$  is an isomorphism. It follows that  $N_{C/X} = (I_c/I_c^2)^\vee \cong$

$O_C(1)^{\otimes(n-1)}$ ,  $I_l + I_C^2 = I_C$  along  $C$ . Consequently  $I_l = I_C$  along  $C$  by Nakayama's lemma. This implies that  $C$  is a connected component of  $l$ . In view of  $LC = 1$ ,  $C \cap B$  consists of at most a single point if  $C \subset C_V$ . q. e. d.

(1.11) LEMMA. Assume  $c_1(X) = dc_1(L)$ ,  $d$  arbitrary. Let  $C$  be a reduced curve component of  $C_V$ . If  $LC = 0$  and if  $C_V$  is nonsingular outside  $B$ , then  $C$  is a smooth elliptic curve with  $N_{C/X} \cong O_C^{\otimes(n-1)}$  and  $C$  is a connected component of  $l_V$  disjoint from  $B$ .

PROOF. Let  $l = l_V$ . Any member  $D$  of  $|L|$  contains  $B \cap C$ . Hence if  $B \cap C \neq \emptyset$ , then  $D$  contains  $C$  because  $LC = 0$ . Hence  $C$  is contained in  $B$ , which contradicts  $C \subset C_V$ . Therefore  $B \cap C = \emptyset$ . By the assumption, any singular point of  $C$  is contained in  $B$ . Therefore  $C$  is nonsingular,  $l(Q_C'') = l(Q_C') = 0$  and  $C$  passes through no singular points of  $l_{\text{red}}$ . This implies that  $C$  is a connected component of  $l$  and  $I_C = I_l$  along  $C$ . Hence  $l(Q_C) = 0$  and  $\phi_C$  is an isomorphism. In view of (1.8) we have  $c_1(\Omega_C^1) = c_1(\Omega_C^1) = 0$ . Consequently  $C$  is a smooth elliptic curve disjoint from  $B$ . Meanwhile there is a member  $D$  of  $|L|$  which does not contain  $C$ . Since  $LC = 0$ ,  $D$  does not intersect  $C$ , which shows  $L \otimes O_C \cong O_C$ . It follows that  $N_{C/X} \cong O_C^{\otimes(n-1)}$ . q. e. d.

**§ 2. The inequality  $l(Q_C'') \geq l(Q_C')$  — Proof of (1.9).**

(2.1) Let  $C$  be an irreducible curve,  $\nu: \tilde{C} \rightarrow C$  the normalization,  $F$  a torsion  $O_{\tilde{C}}$ -module,  $p$  (resp.  $q$ ) a point of  $C$  (resp.  $\tilde{C}$ ). Then we define  $e(F, q)$ ,  $l(F, p)$  and  $l(F)$  as follows,

$$e(F, q) = l(F_q) = \dim_C F_q,$$

$$l(F, p) = \sum_{q \text{ above } p} l(F_q), \quad l(F) = \sum_{p \in C} l(F, p).$$

It is clear that if  $C$  is locally irreducible at  $p$ , then we have  $e(F, q) = l(F, p)$  for the unique point  $q$  of  $\tilde{C}$  lying above  $p$ .

Let  $\text{Sing } C$  be the set of all singular points of  $C$ . Then consider the exact sequence

$$(2.1.1) \quad 0 \longrightarrow Q_C'' \longrightarrow \Omega_C^1 \otimes O_{\tilde{C}} \longrightarrow \Omega_{\tilde{C}}^1 \longrightarrow Q_C' \longrightarrow 0.$$

Hence we have

$$l(Q_C') = \sum_{p \in \text{Sing } C} l(Q_C', p), \quad l(Q_C'') = \sum_{p \in \text{Sing } C} l(Q_C'', p).$$

Now we consider the germ of  $C$  at  $p \in \text{Sing } C$  locally. Let  $C = C_1 \cup \dots \cup C_r$  be locally irreducible components of  $C$  at  $p$ . Then we have an exact sequence

$$(2.1.2) \quad 0 \longrightarrow Q_{\lambda}'' \longrightarrow \Omega_{\tilde{C}_\lambda}^1 \otimes O_{\tilde{C}_\lambda} \longrightarrow \Omega_{\tilde{C}_\lambda}^1 \longrightarrow Q_{\lambda}' \longrightarrow 0$$

where  $Q'_\lambda := Q'_{C_\lambda}$ , and  $Q''_\lambda := Q''_{C_\lambda}$  for an irreducible component  $C_\lambda$  at  $p$ . The local curve  $C_\lambda$  is irreducible at  $p$ , and the normalization  $\tilde{C}_\lambda$  of  $C_\lambda$  has a unique point  $q_\lambda$  above  $p$ . Then we have at  $p$

$$\Omega^1_{\tilde{C}} \cong \bigoplus_\lambda \Omega^1_{\tilde{C}_\lambda} \cong \bigoplus_\lambda \Omega^1_{\tilde{C}_\lambda, q_\lambda}, \quad O_{\tilde{C}} \cong \bigoplus_\lambda O_{\tilde{C}_\lambda} \cong \bigoplus_\lambda O_{\tilde{C}_\lambda, q_\lambda}.$$

Hence

$$\begin{aligned} Q'_C &\cong \bigoplus_\lambda \Omega^1_{\tilde{C}_\lambda} / \bigoplus_\lambda \Omega^1_{\tilde{C}_\lambda} \otimes O_{\tilde{C}_\lambda} \\ &\cong \bigoplus_\lambda (\Omega^1_{\tilde{C}_\lambda} / \Omega^1_{\tilde{C}_\lambda} \otimes O_{\tilde{C}_\lambda}) \\ &\cong \bigoplus_\lambda Q'_\lambda \end{aligned}$$

whence  $l(Q'_C, p) = \sum_\lambda l(Q'_\lambda)$ .

Next we consider  $l(Q''_C, p)$ . We have a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & Q''_C & \longrightarrow & \Omega^1_{\tilde{C}} \otimes O_{\tilde{C}} & \xrightarrow{\xi} & \Omega^1_{\tilde{C}} \\ & & & & j \downarrow & & \parallel \\ 0 & \longrightarrow & \bigoplus_\lambda Q''_\lambda & \longrightarrow & \bigoplus_\lambda \Omega^1_{\tilde{C}_\lambda} \otimes O_{\tilde{C}_\lambda} & \longrightarrow & \bigoplus_\lambda \Omega^1_{\tilde{C}_\lambda} \end{array}$$

with  $j$  surjective. Hence  $\text{Ker } \xi$  is mapped onto  $\bigoplus \text{Ker } \xi_\lambda$ . This shows

$$l(Q''_C, p) = l(\text{Ker } \xi) \geq \sum_{\lambda \in A} l(\text{Ker } \xi_\lambda) = \sum_{\lambda \in A} l(Q''_\lambda).$$

Thus we obtain

(2.2) LEMMA. Let  $C_\lambda (\lambda \in A)$  be all the locally irreducible components of  $C$  at  $p$ . Then

$$\begin{aligned} l(Q'_C, p) &= \sum_{\lambda \in A} l(Q'_\lambda) \\ l(Q''_C, p) &\geq \sum_{\lambda \in A} l(Q''_\lambda). \end{aligned}$$

Next we prove

(2.3) LEMMA. Assume that  $C$  is locally irreducible at  $p$ . Then  $l(Q''_C, p) \geq l(Q'_C, p)$ . Equality holds if and only if  $C$  is nonsingular at  $p$ . If  $C$  is singular at  $p$ , then  $l(Q''_C, p) \geq l(Q'_C, p) + 2$ .

PROOF. Let  $x_1, \dots, x_n$  be a local coordinate system of  $X$  at  $p$ . Then we may assume that the normalization  $\nu: \tilde{C} \rightarrow C (\subset X)$  is locally given by

$$\begin{aligned} x_1 &= t^m \\ x_j &= f_j(t) = t^{m_j} g_j(t), \quad g_j(0) \neq 0, \quad (2 \leq j \leq s) \\ x_j &= 0 \quad (s+1 \leq j \leq n) \end{aligned}$$

where  $m < m_2 < m_3 < \dots < m_s$ , none of  $m_j$  and  $m_j - m_k$  is an integral multiple of

$m, s$  is the embedding dimension of  $(C, p)$ . By the choice of  $m_2$ , there is a positive integer  $q$  such that  $m \leq qm < m_2 < (q+1)m$ .

In terms of the parameter  $t$ , (by taking completions) we have

$$\begin{aligned} \Omega_{\tilde{c}, q}^1 &\cong C[[t]]dt \\ \text{Image}(\Omega_{\tilde{c}, p}^1 \otimes O_{\tilde{c}, q}) &\cong C[[t]]t^{m-1}dt + \dots + C[[t]]\nu^*dx_s \\ &\cong C[[t]]t^{m-1}dt + \dots + C[[t]](m_s t^{m_s-1}g_s + t^{m_s}g'_s)dt \\ &\cong C[[t]]t^{m-1}dt \end{aligned}$$

because  $m_j > m$  ( $j \geq 2$ ). Consequently

$$(2.3.1) \quad l(Q'_c, p) = l(\Omega_{\tilde{c}, q}^1 / \Omega_{\tilde{c}, q}^1 \otimes O_{\tilde{c}, q}) = m - 1.$$

Next consider  $l(Q''_c, p)$ . First we see that  $J := I_C \cap C[[x_1, \dots, x_s]]$  is contained in  $m_p^2$ ,  $m_p$  being the maximal ideal of  $O_{X, p}$ . In fact, if there is an element  $F \in J \setminus (m_p \setminus m_p^2)$ , then  $F$  is part of a local coordinate system. Replacing one of the local parameters  $x_1, \dots, x_s$ , say  $x_s$ , by  $F$  then  $C$  is contained in  $x_s = x_{s+1} = \dots = x_n = 0$  locally. This is absurd because we choose  $s$  minimal,  $s$  being equal to the embedding dimension of  $(C, p)$ .

When  $m=1$ ,  $C$  is nonsingular at  $p$  and  $\Omega_{\tilde{c}}^1 \otimes O_{\tilde{c}} \cong \Omega_{\tilde{c}}^1$ ,  $l(Q''_c, p) = l(Q'_c, p) = 0$ .

So we may assume  $m \geq 2$ . Let  $e_j = dx_j \otimes 1 \in \Omega_{\tilde{c}}^1 \otimes O_{\tilde{c}}$ ,  $\bar{e}_j = dx_j \otimes 1 \in \Omega_{\tilde{c}}^1 \otimes O_{\tilde{c}}$  for  $1 \leq j \leq s$ . Then the element  $\sigma_j = (f'_j(t)/mt^{m-1})\bar{e}_1 - \bar{e}_j$  is contained in  $Q''_c$ . In fact,  $\xi(\sigma_j) = (f'_j(t)/mt^{m-1})\nu^*dx_1 - \nu^*dx_j = 0$ . Now we choose the minimal integer  $N \geq 0$  such that  $t^N \sigma_2 = 0$ . We note that

$$(2.3.2) \quad l(Q''_c, p) \geq N.$$

Recall that

$$\Omega_{\tilde{c}}^1 \otimes O_{\tilde{c}} \cong \sum_{j=1}^s C[[t]]e_j / C[[t]] \left\{ \sum_{j=1}^s \nu^*(\partial\varphi/\partial x_j)e_j, \varphi \in I_C \right\}.$$

Hence  $t^N \sigma_2 = 0$  means that there exist some  $F_i \in C[[t]]$  and  $\varphi_i \in I_C$  ( $1 \leq i \leq l$ ) such that

$$(2.3.3) \quad t^N((f'_2(t)/mt^{m-1})e_1 - e_2) = \sum_{j=1}^s \left( \sum_{i=1}^l F_i(t)\nu^*(\partial\varphi_i/\partial x_j) \right) e_j.$$

The coefficient of  $e_1$  in the right hand side is equal to  $\sum_{i=1}^l F_i(t)\nu^*(\partial\varphi_i/\partial x_1)$ . Take any element  $\varphi \in I_C$  ( $\subset m_p$ ). We want to estimate a lower bound of  $\deg \nu^*(\partial\varphi/\partial x_1)$ . For this purpose, we may assume  $\varphi \in I_C \cap C[[x_1, \dots, x_s]]$  ( $\subset m_p^2$ ). Expand  $\varphi$  as

$$\varphi = \sum_{i_1 + \dots + i_s \geq 2} a_{i_1 \dots i_s} x_1^{i_1} \dots x_s^{i_s}.$$

Since  $\varphi \in I_C$  is equivalent to  $\nu^*\varphi = 0$ , we have  $a_{20 \dots 0} = 0$  because  $x_1^2$  is the unique monomial in  $x_j$ 's with  $\deg \nu^*x_1^2 = 2m$ . We put  $a_{10 \dots 0} = 0$ .

(2.3.4) CLAIM.  $a_{j_0 \dots 0} = 0$  ( $1 \leq j \leq 2q$ ),  $a_{j_1 0 \dots 0} = 0$  ( $1 \leq j \leq q$ ).

PROOF OF (2.3.4). First we prove  $a_{j_0 \dots 0} = 0$  ( $1 \leq j \leq 2q$ ). Assume the contrary. We choose the minimal  $j_0$  such that  $a_{j_0 0 \dots 0} \neq 0$ . Since  $\nu^* \varphi = 0$ , there is at least another monomial term  $\gamma$  in  $\varphi$  with degree  $\leq j_0 m$ . We choose  $\gamma$  to be the monomial in  $\varphi$  with minimum degree. We note that  $\deg \nu^*(x_i x_j) \geq 2m_2 > 2qm \geq j_0 m$  for any  $i, j \geq 2$ . Therefore  $\gamma = x_i^i x_j^j$  for some  $i \geq 1, j \geq 2$ . Since  $\deg \gamma = \deg \nu^*(x_i^i x_j^j) = im + m_j$  and  $m_j$  is not divisible by  $m$ , we see that there is another term  $\delta = x_l^k x_l$  in  $\varphi$  whose degree  $km + m_l$  is equal to  $im + m_j$ . However this is impossible because  $m_j - m_l$  ( $j \neq l$ ) is not divisible by  $m$ . Hence  $a_{j_0 \dots 0} = 0$  ( $1 \leq j \leq 2q$ ). Similarly we can prove  $a_{j_1 0 \dots 0} = 0$  ( $1 \leq j \leq q$ ). q. e. d.

In view of (2.3.4), the expansion of  $\varphi$  is

$$\varphi = \sum_{j \geq 2q+1} a_j x_1^j + \sum_{i \geq q+1} b_i x_1^i x_2 + \sum_{j \geq 2} c_j x_1 x_2^j + \sum_{i \geq 1, j \geq 3} d_{ij} x_1^i x_j + \sum_{i, j \geq 2} e_{ij} x_i x_j + \dots$$

so that

$$\partial \varphi / \partial x_1 = (2q+1)a_{2q+1} x_1^{2q} + (q+1)b_{q+1} x_1^q x_2 + c_2 x_2^2 + d_{13} x_3 + \dots$$

Hence we have,

$$\deg \nu^*(\partial \varphi / \partial x_1) \geq \min(2qm, qm + m_2, 2m_2, m_3) = \min(2qm, m_3)$$

$$\deg \nu^*(\partial \varphi_i / \partial x_1) \geq \min(2qm, m_3) \quad \text{for any } i \text{ in (2.3.3)}$$

$$\deg t^{N-m+1} f'_2(t) \geq \min(2qm, m_3) \quad \text{by (2.3.3).}$$

It follows from (2.3.1) and (2.3.2) that

$$N - m + 1 + m_2 - 1 = N - m + m_2 \geq \min(2qm, m_3),$$

$$l(Q''_C, p) - l(Q'_C, p) \geq N - m + 1 \geq 2qm - m_2 + 1 \geq (q-1)m + 2 \geq 2$$

or

$$l(Q''_C, p) - l(Q'_C, p) \geq N - m + 1 \geq m_3 - m_2 + 1 \geq 2.$$

In either case  $l(Q''_C, p) \geq l(Q'_C, p) + 2$  as desired, which completes a proof of (2.3). q. e. d.

(2.4) LEMMA. Let  $(C_\lambda, p)$  be a germ of a locally irreducible component of  $C$  ( $\lambda \in A$ ),  $C = \cup_{\lambda \in A} C_\lambda$ . Let  $A_{ns}$  (resp.  $A_s$ ) be the subset of  $A$  consisting of all  $\lambda \in A$  with  $(C_\lambda, p)$  nonsingular (resp. singular). Assume  $\#(A) \geq 2$ . Then

$$l(Q''_C, p) \geq \sum_{\lambda \in A} l(Q''_\lambda) + \#(A_{ns})$$

$$l(Q''_C, p) \geq l(Q'_C, p) + 2\#(A_s) + \#(A_{ns})$$

PROOF. By (2.1.1) and (2.1.2), we see

$$l(Q''_C, p) = \sum_{\lambda} l(Q''_{\lambda}) + \sum_{\lambda} l(\text{Ker}(\Omega^1_{\tilde{C}} \otimes O_{\tilde{C}_{\lambda}} \longrightarrow \Omega^1_{\tilde{C}_{\lambda}} \otimes O_{\tilde{C}_{\lambda}})),$$

where  $\Omega^1_{\tilde{C}} \otimes O_{\tilde{C}_{\lambda}} \cong \Omega^1_{\tilde{C}} \otimes O_{C_{\lambda}}$ ,  $\Omega^1_{\tilde{C}_{\lambda}} \otimes O_{\tilde{C}_{\lambda}} \cong \Omega^1_{\tilde{C}_{\lambda}}$  for  $(C_{\lambda}, p)$  nonsingular. Hence it suffices to prove  $l(\text{Ker}(\Omega^1_{\tilde{C}} \otimes O_{C_{\lambda}} \rightarrow \Omega^1_{\tilde{C}_{\lambda}})) \geq 1$  for  $\lambda \in A_{\text{ns}}$ . Let  $I_C$  (resp.  $I_{C_{\lambda}}$ ) be the defining ideal of  $C$  (resp.  $C_{\lambda}$ ) in  $O_X$ . Then by definition,

$$(2.4.1) \quad \Omega^1_{\tilde{C}} \otimes O_{C_{\lambda}} \cong \Omega^1_X / I_{C_{\lambda}} \Omega^1_X + O_X \{d\psi; \psi \in I_C\}$$

$$(2.4.2) \quad \Omega^1_{\tilde{C}_{\lambda}} \cong \Omega^1_X / I_{C_{\lambda}} \Omega^1_X + O_X \{d\varphi; \varphi \in I_{C_{\lambda}}\}.$$

We assume  $l(\text{Ker}(\Omega^1_{\tilde{C}} \otimes O_{C_{\lambda}} \rightarrow \Omega^1_{\tilde{C}_{\lambda}})) = 0$  for some  $\lambda \in A_{\text{ns}}$  to derive a contradiction. By (2.4.1) and (2.4.2) we assume that

$$(2.4.3) \quad \{d\varphi; \varphi \in I_{C_{\lambda}}\} \subset I_{C_{\lambda}} \Omega^1_X + O_X \{d\psi; \psi \in I_C\}.$$

Let  $x_1, \dots, x_n$  be a system of local coordinates of  $X$  at  $p$  such that  $I_{C_{\lambda}} = (x_1, \dots, x_{n-1})$ . Since  $I_C \subset I_{C_{\lambda}}$  and  $I_C \neq I_{C_{\lambda}}$ , we have

$$I_C = (x_1, \dots, x_m, \psi_1, \dots, \psi_l)$$

for some  $\psi_i \in I_{C_{\lambda}} \cap m_p^2 = I_{C_{\lambda}} m_p$ , and  $m < n - 1$ . Since  $\Omega^1_X$  is freely generated by  $dx_i$  ( $1 \leq i \leq n$ ), we have by (2.4.3)

$$dx_j \in I_{C_{\lambda}} dx_j + m_p dx_j \quad (m+1 \leq j \leq n-1),$$

which is a contradiction. Hence  $l(\text{Ker}(\Omega^1_{\tilde{C}} \otimes O_{C_{\lambda}} \rightarrow \Omega^1_{\tilde{C}_{\lambda}})) \geq 1$  for  $\lambda \in A_{\text{ns}}$ . This proves the first inequality of (2.4). The second inequality follows readily from the first inequality and (2.3). q. e. d.

The following theorem and corollary are clear from (2.2)-(2.4).

(2.5) THEOREM.  $l(Q''_C) \geq l(Q'_C)$  for any irreducible curve  $C$ . Equality holds if and only if  $C$  is nonsingular. If  $C$  is singular, then  $l(Q''_C) \geq l(Q'_C) + 2$ .

(2.6) COROLLARY. (2.6.1) If  $(C, p)$  is irreducible, then  $e(Q''_C, q) \geq e(Q'_C, q)$  for the unique point  $q$  above  $p$ . Equality holds if and only if  $(C, p)$  is nonsingular. If  $(C, p)$  is singular, then  $e(Q''_C, q) \geq e(Q'_C, q) + 2$ .

(2.6.2) Under the same notation and assumption in (2.4), let  $q$  be a point of the normalization  $\tilde{C}_{\lambda}$  of  $C_{\lambda}$  above  $p$ . Then

$$e(Q''_C, q) \geq e(Q'_C, q) + 1, \quad e(Q'_C, q) = 0 \quad \text{for } \lambda \in A_{\text{ns}},$$

$$e(Q''_C, q) \geq l(Q''_{\lambda}) \geq e(Q'_C, q) + 2 \quad \text{for } \lambda \in A_s.$$

**Appendix. Subadjunction formula.**

(2.A) THEOREM (SUBADJUNCTION FORMULA). Let  $X$  be a smooth algebraic variety of dimension  $n$ ,  $D_i$  a reduced irreducible divisor of  $X$  ( $1 \leq i \leq m$ ). Assume

that the scheme-theoretic complete intersection  $\tau = D_1 \cap \dots \cap D_m$  has an irreducible component  $Z = Z_{\text{red}}$  of dimension  $n - m$  along which  $\tau$  is reduced generically. Let  $\nu: Y \rightarrow Z$  be the normalization of  $Z$ . Then there exists an effective Weil divisor  $\Delta$  of  $Y$  such that

$$(2.A.1) \quad K_Y = \nu^*(K_X + D_1 + \dots + D_m) - \Delta$$

(2.A.2)  $\text{supp}(\nu_*\Delta)$  is the union of all the Weil divisors of  $Z$  whose supports are contained in either  $\text{Sing} Z$  or one of the irreducible components of  $\tau$  other than  $Z$ .

We note that the canonical sheaf  $K_Y$  is the unique torsion free sheaf on the normal variety  $Y$  given by  $K_Y = i_*(\Omega_{Y/\text{Sing} Y}^n)$ , where  $i: Y \setminus \text{Sing} Y \rightarrow Y$  is the inclusion.

The condition (2.A.2) implies that  $\text{supp} \Delta = \emptyset$  if and only if  $Z$  is smooth in codimension one and moreover  $Z$  intersect the irreducible components of  $\tau$  other than  $Z$  along some subvarieties of at most  $(n - m - 2)$  dimension.

PROOF OF (2.A). The proof is almost the same as those of (1.8) and (1.9). Let  $U = Y \setminus \text{Sing} Y$ ,  $V = \nu(U)$  and  $V' = V \setminus \text{Sing} V$ ,  $U' = \nu^{-1}(V')$ . Let  $I_{D_i}$  (resp.  $I$ ) be the ideal sheaf of  $O_X$  defining  $D_i$  (resp.  $Z$ ) and let  $I_\tau = I_{D_1} + \dots + I_{D_m}$ . So we note  $\sqrt{I_{D_i}} = I_{D_i}$  and  $\sqrt{I} = I$ . Now we consider the exact sequences

$$(2.A.3) \quad I/I^2 \longrightarrow \Omega_X^1 \otimes O_Z \longrightarrow \Omega_Z^1 \longrightarrow 0$$

$$(2.A.4) \quad \nu^*(I/I^2) \otimes O_U \longrightarrow \nu^*(\Omega_X^1) \otimes O_U \longrightarrow \nu^*(\Omega_Z^1) \otimes O_U \longrightarrow 0.$$

Since  $U' \cong V'$  and  $V'$  is nonsingular, the first homomorphism in (2.A.4) is injective over  $U'$ . Hence denoting by  $[F]$  the quotient of  $F$  by  $O_U$ -torsions in  $F$ , we infer an exact sequence,

$$(2.A.5) \quad 0 \longrightarrow [\nu^*(I/I^2) \otimes O_U] \longrightarrow \nu^*(\Omega_X^1) \otimes O_U \longrightarrow \nu^*(\Omega_Z^1) \otimes O_U \longrightarrow 0.$$

Since  $\tau$  is reduced generically along  $Z$ , we have a natural injective homomorphism  $\eta$

$$\nu^*(I_\tau/I_\tau^2) \otimes O_U \xrightarrow{\rho} \bigoplus_{i=1}^m O_U(-\nu^*D_i) \xrightarrow{\eta} [\nu^*(I/I^2) \otimes O_U]$$

where we can prove that  $\rho$  is an isomorphism in the same manner as in (1.4). Let  $Q_U$  be the cokernel of  $\eta$ . Then we have an exact sequence

$$(2.A.6) \quad 0 \longrightarrow \bigoplus_{i=1}^m O_U(-\nu^*D_i) \xrightarrow{\eta} [\nu^*(I/I^2) \otimes O_U] \longrightarrow Q_U \longrightarrow 0.$$

On the other hand we have an exact sequence

$$(2.A.7) \quad 0 \longrightarrow Q_U'' \longrightarrow \nu^*\Omega_Z^1 \otimes O_U \xrightarrow{\lambda} \Omega_U^1 \longrightarrow Q_U' \longrightarrow 0$$

where  $Q''_v$  (resp.  $Q'_v$ ) is  $\text{Ker } \lambda$  (resp.  $\text{Coker } \lambda$ ). Now take an arbitrary prime Weil divisor  $B$  of  $Y$  contained in one of the supports of  $Q_v, Q'_v$  and  $Q''_v$ . We define  $e(F, B)$  to be the length of a torsion sheaf  $F$  at a generic point of  $B$  as a  $k(B)$ -module. Then  $e(Q_v, B), e(Q'_v, B)$  and  $e(Q''_v, B)$  are essentially the same as the invariants  $e(Q_C, q), e(Q'_C, q)$  and  $e(Q''_C, q)$  defined in (1.8) and (2.1). By (2.6) we have

$$(2.A.8) \quad e(Q''_v, B) \geq e(Q'_v, B).$$

Moreover by (2.A.7), (2.A.5) and (2.A.6), we have

$$\begin{aligned} K_U &= \det \Omega_U^1 \cong \det(\nu^* \Omega_Z^1 \otimes O_U) - \sum_B (e(Q''_v, B) - e(Q'_v, B))B \\ &\cong \det(\nu^* \Omega_X^1 \otimes O_U) - \det[\nu^*(I/I^2) \otimes O_U] - \sum_B (e(Q''_v, B) - e(Q'_v, B))B \\ &\cong \nu^* K_X + \sum_{i=1}^m \nu^* D_i - \sum_B e(Q_v, B)B - \sum_B (e(Q''_v, B) - e(Q'_v, B))B. \end{aligned}$$

Let  $\Delta := \sum_B (e(Q_v, B) + e(Q''_v, B) - e(Q'_v, B))B$ . Then we have (2.A.1). Moreover if  $Z$  is singular along a prime Weil divisor  $C$ , then in view of (2.6)  $e(Q''_v, B) \geq e(Q'_v, B) + 1$  for any prime Weil divisor  $B$  of  $Y$  lying over  $C$ . (Note that  $B$  may not be birational to  $C$ .) If  $Z$  intersects one of the irreducible components of  $\tau$  other than  $Z$  along a prime Weil divisor  $C$ , then by the definition  $e(Q_v, B) \geq 1$  for any prime Weil divisor  $B$  lying over  $C$ . Thus we have (2.A.2).  
 q. e. d.

It is easy to see that (2.A) has a counterpart in the complex analytic category.

**§ 3. Proofs of (0.2) and (0.3).**

(3.1) THEOREM. *Let  $X$  be a complete nonsingular algebraic variety (or a compact complex manifold) of dimension  $n$ . Assume that  $c_1(X) = dc_1(L)$  ( $d \geq n + 1$ ) and  $h^0(X, L) \geq n$ . If general  $(n - 1)$ -members of  $|L|$  intersect rationally outside  $\text{Bs}|L|$ , then  $X \cong \mathbf{P}^n$ .*

PROOF. Our proof of (3.1) consists of two steps. First we prove (3.1) in (3.1.1)-(3.1.7) under the assumption  $h^0(X, L) \geq n + 1$ . Next we disprove the possibility of  $h^0(X, L) = n$  in (3.1.8)-(3.1.10).

First we prove

(3.1.1) CLAIM. *Let  $N = h^0(X, L) - 1 \geq n$  and  $f: X \rightarrow \mathbf{P}^N$  be the rational map associated with  $|L|$ . Let  $\bar{X} := \overline{f(X \setminus B)}$ . Then  $d = n + 1, N = n$  and  $\bar{X} \cong \mathbf{P}^n$ .*

PROOF. We use the same notation  $l_v = C_v + B$  as in (1.1). Let  $\mathcal{A} = H^0(X, L), V$  a general  $(n - 1)$ -dimensional subspace of  $\mathcal{A}$ .

First we prove  $\dim \bar{X} = n$ . By the assumption,  $\dim \bar{X} \geq n-1$ . Assume  $\dim \bar{X} = n-1$ . By (1.10) and (1.11),  $d = n+1$  and if  $V$  is general enough,  $C_V$  is a disjoint union of nonsingular rational curves  $C_i (1 \leq i \leq r \deg \bar{X})$  with  $LC_i = 1$  and  $f(C_i \setminus B)$  a point, where  $r$  is the number of irreducible components of a general fiber of  $f$ . Let  $C = C_1$ . If  $\text{Bs}|L|_C$  is empty, then  $LC = 1$  implies  $\dim \bar{X} = n$ , a contradiction. Hence by (1.10),  $\text{Bs}|L|_C = \{p\}$  for some point  $p$  of  $C$ . Since  $p$  is isolated in  $B$  by (1.10),  $p$  is contained in any  $C_i$ . However  $C$  is a connected component of  $l_V$  by (1.10), whence  $r = \deg \bar{X} = 1$ . Therefore  $N = n-1$  and  $\bar{X} \cong \mathbf{P}^{n-1}$ , which contradicts  $N \geq n$ . It follows that  $\dim \bar{X} = n$ . Therefore for  $V$  general enough,  $C_V$  is a disjoint union of smooth rational curves  $C_i$  with  $LC_i = 1$ . Since  $LC_i = \deg(f|_{C_i}) \deg \bar{X} + \deg \text{Bs}|L|_{C_i}$ , we have  $\deg(f|_{C_i}) = 1$ ,  $\deg \bar{X} = 1$  and  $\text{Bs}|L|_{C_i} = \emptyset$ . Therefore we have  $N = n$  and  $\bar{X} \cong \mathbf{P}^n$ . q. e. d.

(3.1.2) Let  $\mathcal{A} := H^0(X, L)$  and  $G = \text{Grass}(n-1, \mathcal{A})$ . Then we define

$$P = \{([V], x) \in G \times X; s(x) = 0 \text{ for any } s \in V\}.$$

Then by the assumption there exists an irreducible component  $P_0$  of  $P$  such that  $pr_G(P_0) = G$ ,  $pr_X(P_0)$  is not contained in  $B$ . Let  $\pi_0$  (resp.  $\rho_0$ ) be the natural projection from  $P_0$  onto  $G$  (resp. into  $X$ ). For general  $W \in G$ ,  $C_W$  has an irreducible component  $C (\cong \mathbf{P}^1)$ . We may assume by (1.10) that  $\rho_0(\pi_0^{-1}[W])$  contains  $C$  as a connected component.

Let  $C'$  be an irreducible component of  $\pi_0^{-1}([W])$  mapped onto  $C$ ,  $z$  a general point of  $C'$ ,  $x = \rho_0(z)$ . Since  $C'$  is smooth at  $z$ , so is  $P_0$  at  $z$ . Now we recall canonical isomorphisms;

$$\begin{aligned} T_z(P_0) &\cong T_{[W]}G \oplus T_x(C) \cong (\mathcal{A}/W)^{\oplus(n-1)} \oplus T_x(C), \\ T_x(X) &\cong (N_{C/X})_x \oplus T_x(C) \cong (L_C)_x^{\oplus(n-1)} \oplus T_x(C). \end{aligned}$$

Let  $p$  be a point of  $C$ ,  $\mathcal{A}(-p) := \{s \in \mathcal{A}; s(p) = 0\}$ ,  $G(-p) := \text{Grass}(n-1, \mathcal{A}(-p))$ . Since  $\text{Bs}|L|_C = \emptyset$  by (1.10),  $G(-p)$  is a smooth proper subvariety of  $G$  by the natural morphism induced from the inclusion  $\mathcal{A}(-p) \subset \mathcal{A}$ . We also see,

$$T_z(G(-p) \times X) \cong T_{[W]}G(-p) \oplus T_x(X) \cong (\mathcal{A}(-p)/W)^{\oplus(n-1)} \oplus T_x(X).$$

It follows that  $G(-p) \times X$  and  $P_0$  intersect transversally at  $z$ . Therefore the intersection  $P_0 \cap (G(-p) \times X)$  is smooth at  $z$ . Let  $S_0$  be the unique irreducible component of  $P_0 \cap (G(-p) \times X)$  passing through  $z$ . Then we see

$$T_z(S_0) \cong T_{[W]}G(-p) \oplus T_x(C) \cong (\mathcal{A}(-p)/W)^{\oplus(n-1)} \oplus T_x(C).$$

Since  $\mathcal{A}(-p)/W$  is mapped onto  $L_x$  for  $p \in C$  general,  $T_z(S_0)$  is mapped onto  $T_x(X)$  in the natural manner. Hence  $\rho_0(S_0) = X$ .

(3.1.3) We choose a general  $W_0 \in G$  and take an irreducible component

$C_0 (\cong \mathbf{P}^1)$  of  $C_{W_0}$  which is a connected component of  $\rho_0(\pi_0^{-1}[W_0])$  as in (3.1.2). We choose and fix a general point  $p$  of  $C_0$  and we define

$$Y = \{([V], x) \in G(-p) \times X; s(x) = 0 \text{ for any } s \in V\}.$$

Let  $Y = \cup_{i=0}^e Y_i$  be the decomposition of  $Y$  into irreducible components,  $Y_i (0 \leq i \leq e)$  all the components such that  $pr_{G(-p)}(Y_i) = G(-p)$ ,  $pr_X(Y_i)$  is not contained in  $B$ . By (3.1.2), we have  $e \geq 0$ . Let  $p_i$  (resp.  $q_i$ ) be the natural projection from  $Y_i$  onto  $G(-p)$  (resp. into  $X$ ). We may assume  $S_0 \subset Y_0$  under the notation of (3.1.2). For general  $W \in G(-p)$ , let  $C_W = \sum_{i=0}^a C_W^i$  be the decomposition of  $C_W$  into irreducible components where  $C_W^i$  is a rational curve ( $0 \leq i \leq a$ ) and  $C_W^0$  is by (1.10) the unique component containing the point  $p$ . We may assume that  $q_0(p_0^{-1}[W])$  contains  $C_W^0$  as a connected component.

(3.1.4) CLAIM. *Any general fibre  $p_0^{-1}([V])$  is irreducible.*

PROOF. Consider the Stein factorization of  $p_0$

$$\begin{array}{ccc} Y_0 & \xrightarrow{p_0} & G(-p) \\ \xi \searrow & & \nearrow \eta \\ & \tilde{G}(-p) & \end{array}$$

We note that  $p_0: Y_0 \rightarrow G(-p)$  has a section  $\sigma_0$  defined by  $\sigma_0([V]) = ([V], p)$ . Hence we have a morphism  $\xi \cdot \sigma_0: G(-p) \rightarrow \tilde{G}(-p)$  such that  $\eta \cdot \xi \cdot \sigma_0 = \text{id}_{G(-p)}$ . As  $\eta$  is finite, we have  $\dim \tilde{G}(-p) = \dim G(-p)$ . Since  $G(-p)$  is complete, we have  $\tilde{G}(-p) = \xi \cdot \sigma_0(G(-p))$ , and  $\eta$  is an isomorphism. Therefore any general fibre of  $p_0$  is irreducible. q. e. d.

Next we prove

(3.1.5) CLAIM.  $q_i(Y_i) = X$  for  $0 \leq i \leq e$ .

PROOF. Let  $C'$  be an irreducible component of  $p_i^{-1}([W])$ ,  $C'' = q_i(C')$ . Since  $pr_X(Y_i)$  is not contained in  $B$  by assumption,  $C''$  is an irreducible component of  $C_W$  for  $W$  general so that  $C''$  is  $\mathbf{P}^1$  by (1.10) and  $\text{Bs}|L|_{C''} = \emptyset$  by the proof of (3.1.1). Hence by (3.1.1) the natural homomorphism of  $\mathcal{A}$  into  $H^0(C'', L_{C''})$  induces an isomorphism  $\mathcal{A}/W \cong H^0(C'', L_{C''})$ . Any point  $q \in C''$  determines a unique  $n$ -dimensional subspace  $\mathcal{A}(-q)$  of  $\mathcal{A}$  containing  $W$ . Conversely any  $n$ -dimensional linear subspace  $V$  of  $\mathcal{A}$  containing  $W$  determines a unique point  $q'$  of  $C''$  with  $\mathcal{A}(-q') = V$ . This correspondence is bijective.

The curve  $C'$  is mapped isomorphically onto  $C''$  by  $q_i$  because  $W$  is general. Let  $z$  be a general point of  $C'$ ,  $x = q_i(z)$ . Now we have canonical isomorphisms;

$$T_z(Y_i) \cong T_{[W]}G(-p) \oplus T_x(C'') \cong (\mathcal{A}(-p)/W)^{\oplus(n-1)} \oplus T_x(C''),$$

$$T_x(X) \cong (N_{C''/X})_x \oplus T_x(C'') \cong (L_{C''})_x^{\otimes(n-1)} \oplus T_x(C'').$$

First we consider the case  $i=0$ ,  $C''=C_W^0$ . Since  $S_0 \subset Y_0$  and  $\rho_0(S_0)=X$  under the notation in (3.1.2), we have  $\rho_0(Y_0)=X$ .

Next we consider the case  $C''=C_W^i, i>0$ . As we observed above, the natural homomorphism  $\mathcal{H}(-p) \rightarrow H^0(C'', L_{C''})$  has a one-dimensional image. Hence  $\mathcal{H}(-p)$  has a unique base point  $p'$  on  $C''$ , so that the image of  $\mathcal{H}(-p)/W$  generates the line bundle  $L_{C''}$  everywhere except at  $p'$ . So by choosing  $z \in C'$  with  $x=q_i(z) \neq p'$ , we see that

$$(dq_i)_*: T_x(Y_i) \longrightarrow T_x(X)$$

is surjective. This shows that  $q_i(Y_i)=X$ .

q. e. d.

(3.1.6) CLAIM.

(3.1.6.1)  $f$  is birational.

(3.1.6.2)  $C_V$  is irreducible for general  $V \in G(-p)$ .

PROOF. (3.1.6.1) follows from (3.1.1), (3.1.6.2) and (1.10) easily. So we prove (3.1.6.2). By (3.1.4) it suffices to prove  $e=0$  under the notation in (3.1.3). Let  $C_V = \sum_{i=0}^a C_V^i$  be the decomposition of  $C_V$  into irreducible components for  $V \in G(-p)$  general, where  $C_V^i$  is the unique irreducible component of  $C_V$  passing through  $p$ . Assume  $e>0$ . Then  $a>0$ . Take and fix  $j$  ( $1 \leq j \leq e$ ). By (3.1.5)  $q_j(Y_j)=X$ . This implies that for any general  $V \in G(-p)$ , there exists  $V' \in G(-p)$  such that  $C_V^j \cap C_{V'}^j \neq \emptyset$ . Let  $C'=C_V^j, C''=C_{V'}^j$ . We may assume that  $C' \cap C'' = \{p', \dots\}, p' \neq p$  for a sufficiently general  $V'$  with  $C_V^j \cap C_{V'}^j \neq \emptyset$ . Let  $|m_p L|$  be the linear subsystem of  $|L|$  consisting of members of  $|L|$  passing through the point  $p$ . If  $D \in |m_p L|$  contains  $l_{V'}$ , then it contains  $p$  and  $p'$ , whence  $C' \subset D$  because  $LC'=1$ . This shows that  $C_{V'}$  contains  $C'=C_V^j$ . Since  $C_V^j$  is the unique irreducible component of  $C_{V'}$  containing  $p$ , we have  $C'=C_V^j=C_{V'}^j$ . But  $C'$  intersects  $C''=C_{V'}^j$ , which contradicts (1.10). Hence  $e=0$  and  $C_V$  is irreducible for general  $V \in G(-p)$  by (3.1.4).  
q. e. d.

By (3.1.6) we have a birational morphism  $f: X \setminus B \rightarrow \mathbf{P}^n$ . Let  $\hat{X}$  be the normalization of the closure in  $X \times \mathbf{P}^n$  of the graph of  $f, \hat{f}: \hat{X} \rightarrow \mathbf{P}^n$  and  $h: \hat{X} \rightarrow X$  the natural morphisms. Let  $\hat{B} = h^{-1}(B)$  and  $\hat{B}^*$  be the minimal subvariety of  $\hat{X}$  containing  $\hat{B}$  such that  $\hat{f}$  is unramified on  $\hat{X} \setminus \hat{B}^*$ . Let  $B^* = h(\hat{B}^*), R = \hat{f}(\hat{B})$ , and  $R^* = \hat{f}(\hat{B}^*)$ . We note that  $\hat{B}^* = h^{-1}(B^*) = \hat{f}^{-1}(R^*), \hat{X} \setminus \hat{B} \cong X \setminus B, X \setminus B \cong \hat{X} \setminus \hat{B}^* \cong \mathbf{P}^n \setminus R^*$ .

(3.1.7) CLAIM.  $B^* = B = \emptyset$  and  $X \cong \mathbf{P}^n$ .

PROOF. Assume the contrary. Hence  $R^* \neq \emptyset$ . Then we can choose a line  $l$  which is not contained in  $R^*$  and meets  $R^*$ . Hence we can choose (not neces-

sarily general)  $W \in \text{Grass}(n-1, \mathcal{A})$  such that  $l_w$  is pure one dimensional and irreducible nonsingular outside  $B^*$  and the closure of  $f(l_w \setminus B^*)$  is  $l$ . Let  $q$  be a point of  $l \cap R^*$ ,  $C$  the unique irreducible component of  $l_w$  with  $\overline{f(C \setminus B^*)} = l$ . Let  $\hat{C}$  be the proper transform of  $C$  by  $h^{-1}$ . Then  $\hat{C} \cup \hat{f}^{-1}(q)$  is a connected subset of  $\hat{X}$  intersecting  $\hat{B}^*$ , whence  $C \cup h(\hat{f}^{-1}(q))$  is a connected subset of  $l_w$  intersecting  $B^*$ . By (1.10)  $C \cong \mathbf{P}^1$  and it is a connected component of  $l_w$ . Hence  $h(\hat{f}^{-1}(q)) \subset C$ . Since  $\hat{f}^{-1}(q)$  is connected, this implies that  $h(\hat{f}^{-1}(q))$  is a unique point of  $C \cap B^*$ . Let  $p := h(\hat{f}^{-1}(q))$ . If  $p \in B^* \setminus B$ , then  $q = f(p)$  and  $\hat{f}^{-1}(q)$  is a single point because  $\hat{X} \setminus \hat{B} \cong X \setminus B$ . However by the definition of  $\hat{B}^*$ ,  $\dim \hat{f}^{-1}(q) > 0$ , a contradiction. Therefore  $p \in B$ . Then  $p = h(\hat{f}^{-1}(q)) = C \cap B$  by (1.10).

Since  $LC=1$ , this implies that  $f(C \setminus B)$  is a point, which contradicts  $\overline{f(C \setminus B^*)} = l$ . Therefore  $R^* = \emptyset$ . Hence  $B = \hat{B} = \emptyset, B^* = \hat{B}^* = \emptyset$ . It follows that  $f$  is defined and unramified everywhere on  $X$ . Consequently the birational morphism  $f$  is an isomorphism. This completes the proof of (3.1) under the assumption  $h^0(X, L) \geq n+1$ . q.e.d.

In what follows, we assume that  $h^0(X, L) = n$ . We derive a contradiction in (3.1.10). Let  $f: X \rightarrow \mathbf{P}^{n-1}$  be the rational map associated with  $|L|, Y$  the closure of  $f(X \setminus B)$ . By the assumption  $\dim Y \geq n-1$ , whence  $Y \cong \mathbf{P}^{n-1}$ . Let  $\hat{X}$  be the normalization of the closure in  $X \times Y$  of the graph of  $f, \hat{f}: \hat{X} \rightarrow Y$  and  $h: \hat{X} \rightarrow X$  the natural morphisms. Let  $\hat{B} = h^{-1}(B)$ .

(3.1.8) CLAIM.  $d = n+1$  and  $\hat{f}^{-1}(y) \cong \mathbf{P}^1$  for any general  $y \in Y$ .

PROOF. Let  $V \in \text{Grass}(n-1, \mathcal{A})$  be general. Then by (1.10) and (1.11),  $d = n+1$  and  $C_V$  is a disjoint union of smooth rational curves  $C_i (0 \leq i \leq r)$  with  $LC_i = 1$ . Since  $f(C_i \setminus B)$  is a point  $y \in Y$ , we have  $\deg \text{Bs} |L|_{C_i} = 1$ , whence there is a point  $p_i \in C_i$  such that  $\text{Bs} |L|_{C_i} = \{p_i\}$ . By (1.10),  $p_i$  is an isolated point of  $B$ . Therefore  $p_0 \in C_i$  for any  $i$  if  $V$  is general. Since  $C_i$  is a connected component of  $l_V$ , this implies that  $C_V$  is irreducible.

Let  $y \in Y$  be general. Then  $V_y \in \text{Grass}(n-1, \mathcal{A})$  is uniquely determined by the condition that  $f(l_{V_y} \setminus B) = y$ . Therefore  $C_{V_y}$  is irreducible for  $y$  general. Since  $\hat{X} \setminus \hat{B} \cong X \setminus B, \hat{f}^{-1}(y)$  is irreducible outside  $\hat{B}$ . Since  $\dim \hat{B} \leq \dim Y = n-1$ , no irreducible components of  $\hat{f}^{-1}(y)$  are contained in  $\hat{B}$  for  $y$  general. Hence  $\hat{f}^{-1}(y)$  is irreducible for  $y$  general. This proves (3.1.8). q.e.d.

(3.1.9) CLAIM. Let  $R := \{y \in Y; \hat{f}^{-1}(y) \text{ is not smooth}\}$ . Let  $l^*$  be a general line of  $Y$  not contained in  $R$ . Then  $\hat{f}^{-1}(l^*) \cong \mathbf{F}_1$  and  $h(\hat{f}^{-1}(l^*)) \cong \mathbf{P}^2$ .

PROOF. Let  $\hat{Z}$  be a unique irreducible component of  $\hat{f}^{-1}(l^*)$  with  $\hat{Z}_y := \hat{Z} \cap \hat{f}^{-1}(y) \cong \mathbf{P}^1$  for general  $y \in l^*$ . Let  $Z = h(\hat{Z})_{\text{red}}$ . The line  $l^*$  corresponds to an  $(n-2)$ -dimensional subspace  $U$  of  $\mathcal{A}$  with  $f(l_U \setminus B) \subset l^*$ , where  $l_U = \bigcap_{s \in U} D_s$ . See §1. The surface  $Z$  is an irreducible component of  $l_{U, \text{red}}$ .

Let  $\nu: T \rightarrow Z$  be the normalization,  $\sigma: S \rightarrow T$  the minimal resolution of  $T$ . Let  $g = \nu \cdot \sigma$ . Then there exist by (2.A) or [5, Corollary (18)] an effective Weil divisor  $\Delta$  on  $T$ , effective Cartier divisors  $E$  and  $G$  on  $S$  with no common components such that the canonical sheaves  $K_T$  and  $K_S$  are given by

$$K_T = \nu^*(K_X + (n-2)L) - \Delta, \quad K_S = g^*(K_X + (n-2)L) - E - G$$

with  $\sigma_*(E) = \Delta$ ,  $\sigma_*(G) = 0$ . Moreover by (2.A) there exists a finite subset  $\Sigma_0$  of  $S$  such that  $g$  is an isomorphism over  $S \setminus \Sigma$  where  $\Sigma := \sigma^{-1}(\Delta) \cup \sigma^{-1}(\text{Sing } T) \cup \Sigma_0$ . Clearly  $\Sigma$  contains  $\text{supp}(E+G)$ . Note that if  $E=0$ , then  $Z$  has no singularities along curves and no curve intersection with the irreducible components of  $l_U$  other than  $Z$ . This follows from (2.A) and (2.6).

Since  $Z \notin \text{Bs}|L|$ ,  $g^*L$  is effective. Since  $S$  is projective, we have  $P_m(S) = 0$ , whence  $S \cong \mathbf{P}^2$  or  $S$  has a pencil of rational curves  $F \cong \mathbf{P}^1$  with  $(F^2)_S = 0$ . (Note that if  $X$  is non-Kählerian, then  $S$  can be in class VII. See (3.4) below.) Let  $H = g^*D \in g^*|L|$  for a general member  $D \in |L|$ . By Bertini's theorem,  $\text{Sing } Z$  is contained in  $\text{Bs}|L|$ , whence  $g(\text{supp}(E+G)) \subset \text{Bs}|L|$ . This implies that  $E_{\text{red}} + G_{\text{red}} \subset H_{\text{red}}$ . Assume that  $S$  has a pencil of rational curves  $F \cong \mathbf{P}^1$  with  $(F^2)_S = 0$ . Then we have,

$$-2 = K_S F + F^2 = K_S F = -(3H + E + G)F$$

because  $d = n + 1$ . It follows that  $HF = 0$ ,  $(E + G)F = 2$ . However this contradicts  $E_{\text{red}} + G_{\text{red}} \subset H_{\text{red}}$ . Therefore  $S \cong T \cong \mathbf{P}^2$  and  $G = 0$ . Since  $E_{\text{red}} \subset H_{\text{red}}$  and  $K_S = -3H - E$ , we see that  $O_S(H) \cong \mathcal{O}_{\mathbf{P}^2}(1)$ ,  $E = 0$  and that  $\Sigma$  is finite. Since  $E = 0$ ,  $Z$  has by (2.A) at worst isolated singularities.

Next we prove that  $Z$  is a connected component of  $l_U$ . Let  $H := g^*(D) \in g^*|L|$  and let  $V \in \text{Grass}(n-1, \mathcal{A})$  be a subspace of  $\mathcal{A}$  corresponding to  $D \cap l_U$ . Then since  $S \setminus \Sigma \cong Z \setminus g(\Sigma)$ ,  $C := g(H) = D \cap Z$  is a reduced curve component of  $l_V$ . We have

$$1 = (H^2)_S = (g^*(L)H)_S = (Lg_*(H))_X = (LC)_X.$$

It follows from (1.10) that  $C \cong \mathbf{P}^1$  and  $C \cap B = \{p_0\}$  and that  $C$  is a connected component of  $l_V$ . Hence  $Z \cap B = Z \cap D \cap B = C \cap B = \{p_0\}$ . Since  $g(\Sigma) \subset B$ , we see  $g(\Sigma) = \{p_0\}$ . Assume that  $Z$  intersects another irreducible component  $Z'$  of  $l_U$ . Then  $\dim Z' \geq 2$ ,  $\dim l_V \cap Z' \geq 1$  and  $Z \cap Z' \subset g(\Sigma) = \{p_0\}$ . Therefore  $p_0 \in l_V \cap Z' \subset l_V$ . This contradicts that  $C$  is a connected component of  $l_V$ . Thus  $Z$  is a connected component of  $l_U$ .

Therefore  $l_U$  is a proper complete intersection along  $Z$  such that  $(l_U)_{\text{red}} \cong Z$  along  $Z$ . Hence  $l_U$  is Gorenstein and reduced generically along  $Z$  so that it is reduced along  $Z$ . Hence  $l_U \cong Z$  along  $Z$ . Since the Gorenstein surface  $Z$  has at worst isolated singularities, it is normal, whence  $S \cong Z$ . In particular,  $Z$  is

smooth everywhere.

Meanwhile since  $p_0$  is isolated in  $B$ , there exists a closed subset  $A$  of  $B$  such that  $D_1 \cap \dots \cap D_n = p_0 + A$ , and  $p_0 \notin A$ , where  $D_i \in |L|$  is chosen general. In fact, this is true scheme-theoretically at  $p_0$  by (1.10). This implies that  $n$  equations defining  $D_i$  form a local coordinate system at  $p_0$ . Let  $Q_{p_0}(X)$  be the blowing-up of  $X$  with  $p_0$  center,  $\mathcal{E} := Q_{p_0}(p_0)$  the exceptional divisor. Then we have a rational map  $\hat{h}$  from  $Q_{p_0}(X)$  to  $Y$  induced from  $f$ , which is a morphism near  $\mathcal{E}$ . It follows that  $\hat{X} \cong Q_{p_0}(X)$  near  $\mathcal{E}$ . Therefore  $\hat{Z}$  is smooth everywhere. In what follows we view  $\mathcal{E}$  as a divisor of  $\hat{X}$  by the above isomorphism. Then  $\mathcal{E} = h^{-1}(p_0)$ . Clearly  $\hat{f}|_{\mathcal{E}} = \hat{h}|_{\mathcal{E}}: \mathcal{E} \rightarrow Y$  is an isomorphism. Since  $p_0$  is isolated in  $B$ ,  $\mathcal{E}$  is disjoint from the irreducible components of  $\hat{B}$  other than  $\mathcal{E}$ .

Next we prove that  $\hat{Z} \cong \mathbf{F}_1$ . We note  $Z \setminus \{p_0\} \cong \hat{Z} \setminus \hat{Z} \cap \mathcal{E}$  and  $\hat{f}(\hat{Z}) = l^*$ . Since  $\mathcal{E} \cong Y$ , we have  $\hat{Z} \cap \mathcal{E} \cong \hat{f}(\hat{Z} \cap \mathcal{E}) \cong l^* \cong \mathbf{P}^1$ . Hence  $\hat{Z} \cong \mathbf{F}_1$ .

Finally we prove  $\hat{Z} = \hat{f}^{-1}(l^*)$ . In view of (3.1.8),  $f^{-1}(l^*)$  is connected. Hence it suffices to prove that  $\hat{Z}$  is a connected component of  $\hat{f}^{-1}(l^*)$ . Assume the contrary. Note that  $\hat{Z}$  is a unique irreducible component of  $\hat{f}^{-1}(l^*)$  outside  $\hat{B}$ . Let  $\hat{B}'$  be an irreducible component of  $\hat{B}$  other than  $\mathcal{E}$  such that  $\hat{Z} \cap \hat{B}' \neq \emptyset$ . Then  $h(\hat{Z} \cap \hat{B}') \subset Z \cap B = \{p_0\}$ , whence  $\hat{Z} \cap \hat{B}' (\neq \emptyset) \subset \mathcal{E}$ . It follows that  $\hat{B}' \cap \mathcal{E} \neq \emptyset$ . However  $\mathcal{E}$  is disjoint from  $\hat{B}'$ , a contradiction. q. e. d.

(3.1.10) CLAIM.  $X \cong \mathbf{P}^n$  and  $\hat{X} \cong \mathbf{P}(O_Y(1) \oplus O_Y)$ .

PROOF. First we prove  $R = \emptyset$ . Assume the contrary. Then we can choose a line  $l^*$  of  $Y$  not contained in  $R$  but intersecting  $R$ . We can apply the same argument as in (3.1.9) to a general line  $l^*$  with  $l^* \cap R \neq \emptyset$ . Hence  $\hat{f}^{-1}(l^*) \cong \mathbf{F}_1$  by (3.1.9), whence  $\hat{f}^{-1}(y) \cong \mathbf{P}^1$  for any  $y \in l^*$ . This contradicts  $l^* \cap R \neq \emptyset$ . Hence  $R = \emptyset$ .

Therefore  $\hat{f}^{-1}(y) \cong \mathbf{P}^1$  for any  $y \in Y$ . Hence  $\hat{X} \cong \mathbf{P}(O_Y(a) \oplus O_Y)$  for some  $a \geq 0$ . By (3.1.9),  $\hat{X} \times_Y l^* \cong \hat{f}^{-1}(l^*) \cong \mathbf{F}_1$  so that  $a = 1$ . Hence  $X \cong \mathbf{P}^n$ . q. e. d.

In (3.1.8)-(3.1.10) we assume  $h^0(X, L) = n$ , which contradicts (3.1.10). This completes the proof of (3.1). q. e. d.

(3.2) THEOREM. *Let  $X$  be a complete nonsingular algebraic variety (or a Moishezon manifold) of dimension  $n$  with  $b_2 = 1$ , and  $L$  a line bundle on  $X$ . Assume that  $c_1(X) = dc_1(L)$  ( $d \geq n + 1$ ) and  $h^0(X, L) \geq n$ . If general  $(n - 1)$ -members of  $|L|$  intersect outside  $\text{Bs}|L|$ , then  $X \cong \mathbf{P}^n$ .*

PROOF. Let  $B = \text{Bs}|L|$ . Let  $l_W = \bigcap_{s \in W} D_s$  for general  $W \in \text{Grass}(n - 1, H^0(X, L))$ , and  $C_W = l_W - B$ . See §1. Let  $f: X \setminus B \rightarrow \mathbf{P}^N$  be the rational map associated with  $|L|$  where  $N + 1 = h^0(X, L)$ , and  $Y$  the closure of  $f(X \setminus B)$ . Then by the assumption,  $\dim Y \geq n - 1$ . Assume  $\dim Y = n - 1$ . Then the union of

$C_W = l_W - B_{\underline{a}}$  contains an open dense subset of  $X$  when  $[W]$  ranges over a Zariski open dense subset of  $\text{Grass}(n-1, H^0(X, L))$ . If  $LC_W = 0$ , then  $C_W \cap B = \emptyset$  by (1.11). Hence  $mLC_W = 0, \text{Bs}|mL| \cap C_W = \emptyset$  for any  $m > 0$ . Consequently the rational map  $f_m$  associated with  $|mL|$  contracts  $C_W$  to a point, and  $\dim f_m(X \setminus \text{Bs}|mL|) < n$ . However since  $b_2 = 1$ , the Moishezon assumption on  $X$  implies that  $\dim f_m(X \setminus \text{Bs}|mL|) = n$  for suitable  $m$ . This is a contradiction. Hence there is an irreducible component  $C_W^i$  of  $C_W$  such that  $LC_W^i > 0$ , whence  $C_W^i \cong \mathbf{P}^1$  by (1.10). Thus general  $(n-1)$ -members of  $|L|$  intersect rationally. Consequently  $X \cong \mathbf{P}^n$  by (3.1). q. e. d.

REMARK. The above proof of (3.2) shows that the assumption  $b_2 = 1$  can be replaced by the condition  $\kappa(X, L) = n$ .

(3.3) THEOREM. *Let  $X$  be a complete nonsingular algebraic 3-fold (or a Moishezon 3-fold),  $L$  a line bundle on  $X$ . Assume that  $c_1(X) = dc_1(L)$  ( $d \geq 4$ ) and  $h^0(X, L) \geq 2$ . Then  $X \cong \mathbf{P}^3$ .*

PROOF. Let  $M$  (resp.  $F$ ) be a moving part (resp. a fixed part) of  $|L|$ . By Bertini's theorem, we choose a general member  $D = Z_1 + \dots + Z_r$  of  $|M|$  where  $Z_i$  is reduced irreducible and smooth outside  $\text{Bs}|M|$ . Let  $Z = Z_1$  and let  $\nu: Y \rightarrow Z$  be the normalization,  $f: S \rightarrow Y$  the minimal resolution of  $Y$ . Let  $g = \nu \cdot f$ . Then there exist by (2.A) or [5, Corollary (18)] an effective Weil divisor  $\Delta$  on  $Y$ , effective Cartier divisors  $E$  and  $G$  on  $S$  with no common components such that the canonical sheaves  $K_Y$  and  $K_S$  are given by

$$K_Y = \nu^*(K_X + L) - \Delta, \quad K_S = g^*(K_X + L) - E - G$$

with  $f_*(E) = \Delta, f_*(G) = 0$ . By (2.A) there exists a finite subset  $\Sigma_0$  of  $S$  such that  $g$  is an isomorphism over  $S \setminus \Sigma$  where  $\Sigma := f^{-1}(\Delta) \cup f^{-1}(\text{Sing } Y) \cup \Sigma_0$ . Note that  $\Sigma$  contains  $\text{supp}(E + G)$ .

Then by the same argument as in (3.1.9), we see that  $d = 4, S \cong Y \cong \mathbf{P}^2, O_S(g^*L) \cong O_{\mathbf{P}^2}(1), E = G = 0$  and that  $\Sigma$  is finite. Since  $E = 0, Z$  has by (2.A) at worst isolated singularities. Since  $Z$  is Gorenstein,  $Z$  is normal, whence  $S \cong Y \cong Z \cong \mathbf{P}^2$ . Moreover  $Z$  is a connected component of  $D + F$ . In fact, since  $\dim X = 3, F \cap Z$  and  $Z_i \cap Z$  ( $i \geq 2$ ) are either a curve or empty.  $E = 0$  shows that  $F \cap Z = Z_i \cap Z = \emptyset$  ( $i \geq 2$ ). Assume  $r \geq 2$ . Since  $Z_i$  and  $Z$  are algebraically equivalent and  $H^1(Z, O_Z) = 0$ , we have  $O_{\mathbf{P}^2}(1) \cong O_Z(Z) \cong O_Z(Z_i) \cong O_Z$  by  $Z_i \cap Z = \emptyset$ , which is a contradiction. Hence  $r = 1$  and  $D$  is irreducible.

Since  $O_Z(M) \cong O_Z(Z) \cong O_{\mathbf{P}^2}(1)$ , we have  $h^0(X, L) = h^0(X, M) = h^0(Z, O_Z(Z)) + 1 = 4$  by  $h^1(X, O_X) = 0$ . We also have  $(M^3)_X = (M^2)_Z = 1$  and  $\text{Bs}|M| = \text{Bs}|M|_Z = \text{Bs}|O_Z(M)| = \emptyset$  so that we have a surjective birational morphism  $f: X \rightarrow \mathbf{P}^3$ . We also have  $-4M - 4F = K_X = f^*(K_{\mathbf{P}^3}) + \text{Jac}_f = -4M + \text{Jac}_f$  for the exceptional divisor  $\text{Jac}_f$  of  $f$ . It follows that  $F = \text{Jac}_f = 0$  and  $X \cong \mathbf{P}^3$ . q. e. d.

(3.4) EXAMPLE. For any pair  $(d, p)$  with  $d \geq 3$  and  $p \geq 1$ , there exist infinitely many *non-Kählerian* 3-folds  $X$  (Hopf 3-folds) with  $c_1(X) = dc_1(L)$ ,  $h^0(X, L) = p + 1$ . We define

$$X = \mathbf{C}^3 \setminus (0, 0, 0) / \{g^n; n \in \mathbf{Z}\}$$

where  $g$  is a transformation of  $\mathbf{C}^3$  defined by  $g: (x, y, z) \rightarrow (\alpha^{d p - 2} x + y^{d p - 2}, \alpha y, \alpha z)$  for  $\alpha \in \mathbf{C}^*$ ,  $|\alpha| < 1$ . Let  $S$  be a divisor  $\{y = 0\}$  of  $X$ . Then we see that  $S$  is a primary Hopf surface with all plurigenera  $P_m(S) = 0$ . We also see that  $K_X = -dpS$ ,  $h^0(X, pS) = p + 1$ .

(3.5) THEOREM. Let  $X$  be a Moishezon 4-fold, and  $L$  a line bundle on  $X$ . Assume that  $\text{Pic } X = \mathbf{Z}L$ ,  $c_1(X) = dc_1(L)$  ( $d \geq 5$ ) and  $h^0(X, L) \geq 4$ . Then  $X \cong \mathbf{P}^4$ .

PROOF. Let  $h: X \rightarrow \mathbf{P}^N$  be a rational map associated with  $|L|$ , and  $W$  the closure of  $h(X \setminus \text{Bs}|L|)$ , where  $N = h^0(X, L) - 1$ . Let  $e = \deg W$ . Then  $e \geq N + 1 - \dim W$ . If  $\dim W = 1$ , then  $e = 1$ ,  $N = 1$  by  $\text{Pic } X = \mathbf{Z}L$ , which contradicts  $N \geq 3$ . Therefore  $\dim W \geq 2$ . Hence by choosing general  $D$  and  $D' \in |L|$ , we have a reduced component  $Z$  of  $\tau := D \cap D'$  outside  $\text{Bs}|L|$ . Then by the proof of (3.1.7) or (3.3),  $Z \cong \mathbf{P}^2$ ,  $L_Z \cong \mathcal{O}_{\mathbf{P}^2}(1)$  and  $Z \cap \text{Bs}|L|$  is at most a line in  $\mathbf{P}^2$ .

If  $Z \cap \text{Bs}|L|$  is finite, then  $\tau \cap D''$  has a reduced curve-component  $Z \cap D'' \cong \mathbf{P}^1$  outside  $\text{Bs}|L|$  for  $D'' \in |L|$  general. In this case,  $X \cong \mathbf{P}^4$  by (3.2). Hence we may assume that  $C := Z \cap \text{Bs}|L| \cong \mathbf{P}^1$ . We assume  $\dim W = 2$ . Then  $e \geq N - 1 \geq 2$ . By choosing general  $D$  and  $D' \in |L|$ , we have  $er$  irreducible components  $Z_1, \dots, Z_{er}$  outside  $\text{Bs}|L|$ , where  $r$  is the number of irreducible components of a general fiber  $h^{-1}(w)$  ( $w \in W$ ). By the proof of (3.1.7) or (3.3), we see that  $Z_i \cong \mathbf{P}^2$  and that  $Z_i \cap Z_j$  is finite for  $i \neq j$ . (In fact, we see moreover that  $Z_i$  is a connected component of  $\tau := D \cap D'$  because  $\tau$  is Gorenstein.) However  $Z_i$  contains  $C$  for any  $i$ , whence  $e = 1$ ,  $r = 1$  and  $N = 2$ , which contradicts  $N \geq 3$ . Hence  $\dim W \geq 3$ . Therefore  $D \cap D' \cap D''$  has a reduced curve component  $Z \cap D'' \cong \mathbf{P}^1$  outside  $\text{Bs}|L|$ . Hence by (3.2),  $X \cong \mathbf{P}^4$ . Therefore it is impossible that  $Z \cap \text{Bs}|L| \cong \mathbf{P}^1$ . This completes the proof of (3.5). q.e.d.

§ 4. Complex manifolds homeomorphic to  $\mathbf{P}_c^n$ .

(4.1) PROPOSITION. Let  $X$  be a compact complex manifold homeomorphic to  $\mathbf{P}^n$ . If  $\chi(X, \mathcal{O}_X) \geq 1$ , then there is a holomorphic line bundle  $L$  on  $X$  whose Chern class  $c_1(L)$  generates  $H^2(X, \mathbf{Z}) \cong \mathbf{Z}$ . If  $h^1(X, \mathcal{O}_X) = 0$ ,  $\chi(X, \mathcal{O}_X) \geq 1$  and  $h^0(X, L) \geq n$  and if general  $(n - 1)$ -members  $|L|$  intersect rationally outside  $\text{Bs}|L|$ , then  $X \cong \mathbf{P}^n$ .

PROOF. Let  $\delta$  be a generator of  $H^2(X, \mathbf{Z}) (\cong \mathbf{Z})$  with  $\delta^n = 1$ . Since the second Stiefel-Whitney class  $w_2 (= c_1(X) \bmod 2)$  is a topological invariant, we

have  $c_1(X) = (n+1+2s)\delta$  for an integer  $s$ . Then by [3, p. 208], we have

$$\chi(X, O_X) = \binom{n+s}{s} = (n+s)(n+s-1) \cdots (n+1)/n!$$

By  $\chi(X, O_X) \geq 1$ , we see  $s \geq 0$  or that  $n$  is even and  $s \leq -n-1$ . Hence in particular  $c_1(X) \neq 0$  and  $H^1(X, O_X^*) \neq \{1\}$ .

Now we consider an exact sequence

$$0 \longrightarrow H^1(X, O_X) \longrightarrow H^1(X, O_X^*) \xrightarrow{c_1} H^2(X, \mathbf{Z}) \longrightarrow H^2(X, O_X).$$

Since  $c_1(X) \neq 0$  and  $H^2(X, O_X)$  is torsion free,  $c_1$  is surjective. Hence there exists a line bundle  $L$  on  $X$  with  $c_1(L) = \delta$ . Assume  $s \leq -n-1$ , and  $h^0(X, L) \geq n$ . By  $h^1(X, O_X) = 0$ , we have  $K_X = -(n+1+2s)L$ ,  $-(n+1+2s) \geq n+1$ . Consequently  $h^0(X, \Omega_X^q) \geq h^0(X, L) \geq n$ , which contradicts  $h^0(X, \Omega_X^q) \leq b_n \leq 1$ . Hence  $s \geq 0$ , and (4.1) follows from (3.1). q. e. d.

(4.2) THEOREM. *Let  $X$  be a Moishezon manifold homeomorphic to  $\mathbf{P}^n$ , and  $L$  a line bundle on  $X$  with  $L^n = 1$ . Assume that  $h^0(X, L) \geq n$ . If general  $(n-1)$ -members of  $|L|$  intersect outside  $\text{Bs}|L|$ , then  $X \cong \mathbf{P}^n$ .*

PROOF. Since  $X$  is Moishezon, the Hodge spectral sequence  $E_1^{p,q} = H^p(X, \Omega_X^q)$  with abutment  $H^{p+q}(X, \mathbf{C})$  degenerates at  $E_1$  terms [19, p. 99]. Hence we have  $H^q(X, O_X) = 0$  ( $q > 0$ ),  $\chi(X, O_X) = 1$ ,  $\text{Pic } X := H^1(X, O_X^*) \cong H^2(X, \mathbf{Z}) \cong H^2(\mathbf{P}^n, \mathbf{Z}) \cong \mathbf{Z}$ . Therefore  $K_X = -(n+1)L$  by the proof of (4.1). Hence  $X \cong \mathbf{P}^n$  by (3.2).

q. e. d.

(4.3) THEOREM [10]. *Let  $X$  be a compact complex 3-fold homeomorphic to  $\mathbf{P}^3$ , and  $L$  a line bundle on  $X$  with  $L^3 = 1$ . Assume that  $h^1(X, O_X) = 0$  and  $h^0(X, L) \geq 2$ . Then  $X \cong \mathbf{P}^3$ .*

PROOF. This is a corollary to (3.1) or (3.3). The proof is almost the same as [11, (9.1)]. It is easy to see that  $h^3(X, O_X) = 0$ ,  $\chi(X, O_X) \geq 1$ . By the proof of (4.1),  $c_1(X) = dc_1(L)$  for some  $d \geq 4$ . By using  $h^1(X, O_X) = 0$  and  $h^0(X, L) \geq 2$ , we see that  $h^2(X, pL) = h^1(X, -(p+4)L) = 0$  for  $p > 0$ . Then we see that  $h^0(X, L) \geq 4$ , and that  $X$  is Moishezon by Riemann-Roch theorem. By (3.1) or (3.3),  $X \cong \mathbf{P}^3$ . q. e. d.

REMARK. A somewhat stronger theorem has been obtained in [11, (9.1)], which however follows from (4.3) easily.

### § 5. Moishezon fourfolds homeomorphic to $\mathbf{P}^4_{\mathbb{C}}$ .

The purpose of this section is to prove:

(5.1) THEOREM. *Let  $X$  be a Moishezon 4-fold homeomorphic to  $\mathbf{P}^4$ , and  $L$*

a line bundle on  $X$  with  $L^4=1$ . Assume that  $h^0(X, L) \geq 3$ . Then  $X \cong \mathbf{P}^4$ .

Our proof of (5.1) is completed in (5.4).

(5.2) LEMMA. Under the assumptions in (5.1), let  $D$  and  $D'$  be distinct members of  $|L|$ ,  $\tau$  the scheme-theoretic complete intersection  $D \cap D'$ . Then we have

$$(5.2.1) \quad \text{Pic } X = \mathbf{Z}L, \quad K_X \cong -5L,$$

$$(5.2.2) \quad H^p(X, -qL) = 0 \quad (p = 0, q > 0, \text{ or } p > 0, 0 \leq q \leq 4)$$

$$(5.2.3) \quad H^p(D, -qL_D) = 0 \quad (p = 0, q > 0 \text{ or } p > 0, 0 \leq q \leq 3)$$

$$(5.2.4) \quad H^0(X, O_X) \cong H^0(D, O_D) \cong H^0(\tau, O_\tau) \cong \mathbf{C},$$

$$(5.2.5) \quad |L|_D = |L_D| \quad \text{and} \quad |L|_\tau = |L_\tau|.$$

PROOF. The proof of (5.2.1) is similar to [10]. The vanishing (5.2.2) of  $H^p(X, -qL)$  for  $p \neq 2$  is proved in the same way as in [10]. Since  $X$  is homeomorphic to  $\mathbf{P}^4$ , we have

$$\chi(X, -qL) = \chi(\mathbf{P}^4, O_{\mathbf{P}^4}(-q)) = \frac{1}{24} \prod_{i=1}^4 (q-i)$$

for any  $q$  in view of (5.2.1). This proves the vanishing of  $H^2(X, -qL)$  for  $0 \leq q \leq 5$ . The remaining assertions are easy to prove. q. e. d.

(5.3) LEMMA. Let  $D$  and  $D'$  be general members of  $|L|$ , and let  $\tau = D \cap D'$ . Let  $Z = Z_{\text{red}}$  be a reduced component of  $\tau$ , that is, an irreducible component of  $\tau$  along which  $\tau$  is reduced generically. If  $Z \not\subset \text{Bs}|L|$ , then  $\tau \cong Z \cong \mathbf{P}^2$  and  $L_\tau \cong O_{\mathbf{P}^2}(1)$ .

PROOF. Let  $g: S \rightarrow Z$  be the minimal resolution of the normalization of  $Z$ . Then there exist by (2.A) or [5, Corollary (18)] effective Cartier divisors  $E$  and  $G$  on  $S$  with no common components such that the canonical sheaf  $K_S$  is given by

$$K_S = g^*(K_X + 2L) - E - G$$

with  $f_*(G) = 0$ , etc. as in the proof of (3.3). There exists a finite subset  $\Sigma_0$  of  $S$  such that  $g_{|S \setminus \Sigma}$  is an isomorphism where  $\Sigma := f^{-1}(D) \cup f^{-1}(\text{Sing } Y) \cup \Sigma_0$ . Then  $\Sigma$  contains  $\text{supp}(E + G)$ .

We have  $c_1(S) = 3c_1(g^*L) + c_1(E + G)$ . Since  $h^0(X, L) \geq 3$  and  $Z \not\subset \text{Bs}|L|$ ,  $g^*L$  is effective. Since  $S$  is projective, we have  $P_m(S) = 0$ , whence  $S \cong \mathbf{P}^2$  or  $S$  is ruled. Let  $H \in g^*|L|$ . Then by the same argument as in (3.3), we see that  $S \cong Y \cong \mathbf{P}^2$ ,  $E = G = 0$ ,  $O_S(H) \cong O_{\mathbf{P}^2}(1)$  and that  $\Sigma$  is finite. By  $E = 0$  and (2.A),  $Z$  has at most isolated singularities. There exists  $D'' \in |L|$  such that

$g^*(Z \cap D'') = H$  by the choice of  $H$ . Let  $l = D \cap D' \cap D''$  be a scheme-theoretic complete intersection. Since  $g^*D'' = H \cong \mathbf{P}^1$  and  $g$  is an isomorphism on  $S \setminus \Sigma$ , we have  $H \setminus \Sigma \cong C \setminus g(\Sigma)$ , so that  $C := g(H)_{\text{red}}$  is a reduced curve component of  $l$ , that is,  $l$  is reduced generically along  $C$ .  $C$  is isomorphic to  $Z \cap D''$  on  $(Z \setminus g(\Sigma)) \cap D''$ . Namely  $I_C = \sqrt{I_C} = I_l$  along  $C \cap (Z \setminus g(\Sigma))$ . We have

$$1 = (H^2)_S = (g^*(L)H)_S = (Lg_*(H))_X = (LC)_X.$$

Therefore we can apply (1.10) to  $X$ ,  $C$  and  $l$  to infer that  $C \cong \mathbf{P}^1$  is a connected component of  $l$  and that  $C \cong l$  along  $C$ . If  $\text{Sing } \tau_{\text{red}}$  is nonempty, then  $\text{Sing } \tau_{\text{red}} \subset \text{Bs} |L|$ . Hence  $Z \cap \text{Sing } \tau_{\text{red}} \subset Z \cap D'' (= g(H)_{\text{red}})$ . Consequently  $Z \cap \text{Sing } \tau_{\text{red}} \subset C$ . As  $C$  is a connected component of  $l$ , this shows that  $Z$  is a connected component of  $\tau$ . In fact, if not, there is an irreducible component  $Z' (\neq Z)$  of  $\tau$  meeting  $Z$ . Then we choose a point  $p \in Z \cap Z'$ . We note that  $Z \cap Z'$  is finite by  $E=0$ . Hence since  $p \in Z \cap \text{Sing } \tau_{\text{red}} \subset C$ ,  $Z' \cap D''$  contains an irreducible component (a curve or a surface) of  $l$  meeting  $C$ . This contradicts that  $C$  is a connected component of  $l$ .

However  $h^0(\tau, \mathcal{O}_\tau) = 1$  by (5.2). Hence  $Z \cong \tau_{\text{red}}$ . As  $\tau$  is Gorenstein and reduced generically along  $Z$ ,  $\tau$  is reduced everywhere and  $\tau \cong Z$ . Since a prime Cartier divisor  $C$  of  $Z$  is smooth, so is  $Z$  along  $C$ . As  $\text{Sing } Z \subset Z \cap \text{Sing } \tau_{\text{red}} \subset C$ , it follows that  $Z$  is smooth everywhere. Thus we see  $\mathbf{P}^2 \cong S \cong Y \cong Z \cong \tau$ .  
 q. e. d.

(5.4) COMPLETION OF THE PROOF OF (5.1). Now it is easy to prove (5.1). By (5.2.5),  $\text{Bs} |L|_\tau = \text{Bs} |L_\tau| = \text{Bs} |O_{\mathbf{P}^2}(1)| = \emptyset$ . We have also  $h^0(X, L) = h^0(\tau, L_\tau) + 2 = 5$  and  $L^4 = (H^2)_S = 1$ . Consequently  $X \cong \mathbf{P}^4$  by an easy argument. q. e. d.

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