

## Strong minimal pair theorem for the honest polynomial degrees of $\Delta_2^0$ low sets

By Kunimasa AOKI, Juichi SHINODA and Teruko TSUDA

(Received Aug. 13, 1991)

### § 1. Introduction.

Homer [3] has shown that assuming  $P=NP$  there is a  $\Delta_2^0$  set which is minimal with respect to the honest polynomial time Turing reducibility,  $\leq_T^h$ , while it is known that the honest polynomial time Turing degrees (hp-T degrees) of recursive sets are dense. In [3], Homer raised a question whether a recursively enumerable (r.e.) set can be  $\leq_T^h$  minimal. An affirmative answer has been given by Ambos-Spies [1] (assuming  $P=NP$ ). He has shown that every high r.e. Turing degree contains a  $\leq_T^h$  minimal element. Downey [2], on the other hand, has proved that no low Turing degree contains a  $\leq_T^h$  minimal set. It has also been shown there that the hp-T degrees of low r.e. sets are dense. He asks if the hp-T degrees of  $\Delta_2^0$  sets are dense. An affirmative answer evidently implies  $P \neq NP$ . We notice that in contrast to the hp-T degrees, the polynomial time Turing degrees (p-T degrees) of all sets are dense, which can be proved by relativizing the proof of the density of the p-T degrees of recursive sets due to Ladner [4].

Concerning Downey's question, we shall prove the following strong minimal pair theorem which obviously implies the density of the hp-T degrees of  $\Delta_2^0$  low sets.

**THEOREM.** *If  $A$  and  $B$  are  $\Delta_2^0$  low sets such that  $B <_T^h A$ , then there are two sets  $C$  and  $D$  which satisfy the following two conditions:*

- (1)  $B <_T^h C <_T^h A$  and  $B <_T^h D <_T^h A$ ,
- (2)  $\deg_T^h(B) = \deg_T^h(C) \wedge \deg_T^h(D)$ .

In [5], Landweber, Lipton and Robertson have proved the strong minimal pair theorem for the p-T degrees of recursive sets. In §2, we shall give a proof of the theorem for the hp-T degrees of recursive sets. The proof is a typical example of a Ladner style "looking back" technique. In §3, we shall give a proof of the theorem for the  $\Delta_2^0$  low sets. Since our proof heavily depends on the notion of hp-T reducibility, it is not known whether the strong minimal pair theorem holds for the p-T degrees of  $\Delta_2^0$  low sets.

Given  $A$  and  $B$  with  $B <_{\frac{1}{2}} A$ , we may construct two sets  $C$  and  $D$  so that they look like  $A$  on some intervals of  $\Sigma^*$  and look like  $B$  elsewhere. The switching points  $\{l_n\}_n$  are effectively computed from  $A$  and  $B$ . If  $A$  and  $B$  are recursive, the sequence  $\{l_n\}_n$  turns out to be recursive. However, when  $A$  and  $B$  are non-recursive, we can not expect that the sequence is recursive any more. This causes a difficulty in proving the second condition of the theorem. If  $A$  and  $B$  are  $\Delta_2^0$  besides, then, by the limit lemma, they are approximated by recursive sets. We can use these approximations to construct recursively the switching points  $\{l_n\}_n$  as in the case of recursive sets. This time, however, other difficulty occurs in proving the first condition of the theorem. Especially, it is hard to prove the inequalities  $C \not\equiv_{\frac{1}{2}} B$  and  $D \not\equiv_{\frac{1}{2}} B$ . The lowness of  $A$  and  $B$  will resolve these difficulties.

Our notation is standard. Let  $\Sigma = \{0, 1\}$ .  $\Sigma^*$  is the set of finite strings of elements of  $\Sigma$ . Lower case letters  $x, y, z, \dots$  denote elements of  $\Sigma^*$  and capital letters  $A, B, C \dots$  denote subsets of  $\Sigma^*$ .  $|x|$  denotes the length of  $x$ . We order  $\Sigma^*$  in the canonical way:

$$\lambda < 0 < 1 < 00 < 01 < 10 < 11 < 000 < 001 < 010 < \dots$$

We sometimes identify an integer  $n$  and the  $n+1$ st string  $z_n$  in this order.  $A \oplus B = \{0x : x \in A\} \cup \{1x : x \in B\}$  is the effective disjoint union of  $A$  and  $B$ . For an oracle Turing machine  $M$ ,  $M(A, x) = 1$  denotes that  $M$  with oracle  $A$  accepts  $x$ , and  $M(A, x) = 0$  denotes that  $x$  is refuted. Finally, let  $\langle, \rangle$  denote some polynomial time computable bijection from  $\Sigma^* \times \Sigma^*$  onto  $\Sigma^*$  which has polynomial time computable inverses and which satisfies  $x, y \leq \langle x, y \rangle$  for all  $x, y \in \Sigma^*$ .

## § 2. The hp-T degrees of recursive sets.

An oracle Turing machine  $M$  is *polynomially honest* if there are polynomials  $p$  and  $q$  such that on input  $x$ ,  $M$  halts within  $p(|x|)$  steps and if  $M$  queries the oracle on a string  $y$  then  $|x| \leq q(|y|)$ . We recursively enumerate all the polynomially honest oracle Turing machines and their associated polynomials,  $\{(M_e, p_e, q_e) : e \in \mathbf{N}\}$ . Let  $A$  and  $B$  be two subsets of  $\Sigma^*$ .  $A$  is said to be hp-T *reducible* to  $B$ , write  $A \leq_{\frac{1}{2}} B$ , if there is a polynomially honest oracle Turing machine  $M$  such that  $A(x) = M(B, x)$  for all  $x \in \Sigma^*$ .  $A$  and  $B$  have the same honest polynomial time Turing degrees (hp-T degrees),  $A \equiv_{\frac{1}{2}} B$ , if  $A \leq_{\frac{1}{2}} B$  and  $B \leq_{\frac{1}{2}} A$ . The hp-T degree of  $A$  is denoted by  $\deg_{\frac{1}{2}}(A)$ . If  $A \leq_{\frac{1}{2}} B$  and  $A \not\equiv_{\frac{1}{2}} B$ , we write  $A <_{\frac{1}{2}} B$ . The greatest lower bound of  $\deg_{\frac{1}{2}}(A)$  and  $\deg_{\frac{1}{2}}(B)$ , if exists, is written  $\deg_{\frac{1}{2}}(A) \wedge \deg_{\frac{1}{2}}(B)$ .

As Ambos-Spies points out in [1], most results on the structure of the

polynomial time Turing degrees (p-T degrees) of the recursive sets hold for the hp-T degrees. We support his observation in proving the strong minimal pair theorem for the hp-T degrees of recursive sets. The theorem for the p-T degrees has given by Landweber, Lipton and Robertson [5].

**THEOREM 2.1.** *Given recursive sets  $A$  and  $B$ , if  $B <_T^h A$ , then there exist recursive sets  $C$  and  $D$  such that*

- (1)  $B <_T^h C <_T^h A$  and  $B <_T^h D <_T^h A$ ,
- (2)  $\text{deg}_T^h(B) = \text{deg}_T^h(C) \wedge \text{deg}_T^h(D)$ .

**PROOF.** Since  $A \oplus B \equiv_T^h A$ , we may assume  $B = A \cap 1\Sigma^*$ . We effectively construct a strictly increasing sequence  $\{l_n : n \in \mathbb{N}\}$  as follows.

*Stage 0.*  $l_0 = 0$ .

*Stage  $6e+i+1$  ( $i=0, 3$ ).* Let  $n=6e+i$ . Since  $B <_T^h A$ , there is an  $x$  with  $l_n \leq |x|$  such that  $A(x) \neq M_e(B, x)$ . We take the least such  $x$ , and let  $l_{n+1} = l_n + 2^{l_n} + m$ , where  $m$  is the number of steps performed to find  $x$  and verify the inequality  $A(x) \neq M_e(B, x)$ . This means that if we perform the construction in  $l_{n+1}$  steps then we can find the least  $x$  such that  $l_n \leq |x|$  and  $A(x) \neq M_e(B, x)$ .

*Stage  $6e+i+1$  ( $i=1, 2, 4, 5$ ).* We let  $l_{6e+i+1} = l_{6e+i} + 2^{l_{6e+i}}$ .

We define  $C$  and  $D$  as follows:

$$C(x) = \begin{cases} A(x) & \text{if } l_{6e} \leq |x| < l_{6e+1} \text{ for some } e, \\ B(x) & \text{otherwise.} \end{cases}$$

$$D(x) = \begin{cases} A(x) & \text{if } l_{6e+3} \leq |x| < l_{6e+4} \text{ for some } e, \\ B(x) & \text{otherwise.} \end{cases}$$

$C$  looks like  $A$  on the intervals  $\{x : l_{6e} \leq |x| < l_{6e+1}\}$  and looks like  $B$  on other intervals, while  $D$  looks like  $A$  on the intervals  $\{x : l_{6e+3} \leq |x| < l_{6e+4}\}$  and  $B$  elsewhere.

Since  $B = C \cap 1\Sigma^*$ ,  $B$  is hp-T computable from  $C$  in the obvious way. By the definition of  $l_{6e+1}$ , there is an  $x$  such that  $l_{6e} \leq |x| < l_{6e+1}$  and  $A(x) \neq M_e(B, x)$ .  $A$  and  $C$  agree on this interval. Thus, we see that  $C(x) \neq M_e(B, x)$ . It follows that  $C$  is not hp-T reducible to  $B$ . Therefore, we have  $B <_T^h C$ .

To see that  $C \leq_T^h A$ , suppose  $x \in \Sigma^*$ . By performing the construction of  $\{l_n\}_n$  in  $|x|$  steps, we can compute the  $n$  such that  $l_n \leq |x| < l_{n+1}$ , and then compute  $C(x)$  from  $A$  in several more steps. This "looking back" algorithm gives an hp-T reduction of  $C$  to  $A$ . In the same manner, we can show that  $B <_T^h D \leq_T^h A$ .

Suppose  $M_i(C) = M_j(D) = Z$  to see that  $C$  and  $D$  satisfies the condition (2). We must show that  $Z$  is hp-T reducible to  $B$ . First, take a sufficiently large  $n_0$  so that

$$(\forall n)[n_0 \leq n \implies p_i(l_{n-1}), q_i(l_{n-1}), p_j(l_{n-1}), q_j(l_{n-1}) < l_n].$$

For each  $x$  with  $|x| \geq l_{n_0}$ ,  $Z(x)$  is computed from  $B$  as follows. Given  $x$  with  $|x| \geq l_{n_0}$ , find the unique  $n$  such that  $l_n \leq |x| < l_{n+1}$  by looking back the construction of the sequence  $\{l_n : n \in \mathbf{N}\}$  in  $|x|$  steps. In the case where  $n=6e$ ,  $6e+1$  or  $6e+5$  for some  $e$ ,  $B$  and  $D$  agree on the interval  $\{z : l_{n-1} \leq |z| < l_{n+2}\}$  by the definition of  $D$ . If  $M_j$  queries  $D$  on a string  $y$  during the computation of  $M_j(D, x)$ , then  $y$  must be in the interval  $\{z : l_{n-1} \leq |z| < l_{n+2}\}$  by the choice of  $n_0$ , and therefore the query is answered by  $B$ . Thus, in this case, we may compute  $M_j(B, x)$  to obtain the value of  $Z(x)$ . Similarly, if  $n=6e+2$ ,  $6e+3$  or  $6e+4$  for some  $e$ , then we can compute  $M_i(B, x)$  to obtain the value of  $Z(x)$ .  $\square$

**§ 3. The hp-T degrees of  $\Delta_2^0$  low sets.**

A set  $A$  is *low* if the Turing jump  $A'$  of  $A$  has the least possible Turing degree, namely that of  $\phi'$ .  $\Delta_2^0$  sets are approximated by recursive sets (see [8, Limit lemma]): if  $A$  is  $\Delta_2^0$ , then there is a recursive function  $f(x, s)$  such that  $f(x, s) \leq 1$  and  $A(x) = \lim_s f(x, s)$ .

**THEOREM 3.1.** *The strong minimal pair theorem holds for the hp-T degrees of the  $\Delta_2^0$  low sets: for all  $\Delta_2^0$  low sets  $A$  and  $B$  with  $B <_{hp} A$ , there are two sets  $C$  and  $D$  that satisfy the following conditions.*

- (1)  $B <_{hp} C <_{hp} A$  and  $B <_{hp} D <_{hp} A$ ,
- (2)  $\text{deg}_{hp}(B) = \text{deg}_{hp}(C) \wedge \text{deg}_{hp}(D)$ .

**PROOF.** Suppose  $A$  and  $B$  are given low sets with  $B <_{hp} A$ . We may assume that  $B = A \cap 1 \Sigma^*$  as before. As  $A$  and  $B$  are  $\Delta_2^0$ , there are recursive functions  $f(x, s)$  and  $g(x, s)$  with  $f(x, s), g(x, s) \leq 1$  such that

$$\lim_s f(x, s) = A(x), \quad \lim_s g(x, s) = B(x).$$

Let  $A_s(x) = f(x, s)$  and  $B_s(x) = g(x, s)$ .

The basic idea of the proof is essentially the same as that of Theorem 2.1. We will construct a strictly increasing sequence  $\{l_n : n \in \mathbf{N}\}$  as before but use the approximation  $A_s$  and  $B_s$  instead, and then define  $C, D$  from the sequence as in the proof of Theorem 2.1:

$$C(x) = \begin{cases} A(x) & \text{if } l_{6e} \leq |x| < l_{6e+1} \text{ for some } e, \\ B(x) & \text{otherwise,} \end{cases}$$

$$D(x) = \begin{cases} A(x) & \text{if } l_{6e+3} \leq |x| < l_{6e+4} \text{ for some } e, \\ B(x) & \text{otherwise.} \end{cases}$$

The sequence  $\{l_n : n \in \mathbb{N}\}$  will be constructed so that given  $x$ , the unique  $n$  with  $l_n \leq |x| < l_{n+1}$  is calculated in  $|x|$  steps by looking back the construction. It, then, follows that  $B \leq_{\frac{h}{T}} C \leq_{\frac{h}{T}} A$  and  $B \leq_{\frac{h}{T}} D \leq_{\frac{h}{T}} A$ . The condition (2) of the theorem will be verified in the same way as in the preceding section since  $\{l_n\}_n$  will be constructed to satisfy  $2^{l_n} \leq l_{n+1}$  for all  $n$ .

To ensure that  $C \not\leq_{\frac{h}{T}} B$  and  $D \not\leq_{\frac{h}{T}} B$ , we require the following:

$$(R_{2e}) \quad C \neq M_e(B),$$

$$(R_{2e+1}) \quad D \neq M_e(B).$$

At stage  $6e+1$ , we will try to meet the first requirement  $R_{2i}$  ( $i \leq e$ ) that is not certified at the point entering this stage by searching for some  $x$  with  $|x| \geq l_{6e}$  such that  $A_s(x) \neq M_i(B_s, x)$  at some  $s \geq l_{6e}$ . Such an  $x$  exists since we are assuming  $B <_{\frac{h}{T}} A$ . At this point we would know  $R_{2i}$  is met. However, at later stage, this disagreement might be injured, because it might happen that  $A_t(x) \neq A_s(x)$  or  $B_t \upharpoonright p_i(|x|) \neq B_s \upharpoonright p_i(|x|)$  at some point  $t > s$ . Then, we must attack  $R_{2i}$  again. We can not expect that  $R_{2i}$  is injured only finitely often. The lowness of  $A$  and  $B$  will resolve this difficulty. We use a variation of the method of Robinson [6] known as the ‘‘Robinson trick’’.

We fix a recursive enumeration  $\{\sigma_k : k \in \mathbb{N}\}$  of the finite functions  $\sigma$  such that

$$\text{dom}(\sigma) = \{z \in \Sigma^* : |z| \leq l\} \text{ for some } l, \text{ and } \text{rng}(\sigma) \subseteq \{0, 1\}.$$

Let  $\text{lh}(\sigma)$  denote the maximum length of the strings in  $\text{dom}(\sigma_k)$ .  $B \upharpoonright l$  and  $B_s \upharpoonright l$  denote the restrictions of  $B$  and  $B_s$  to  $\{x : |x| \leq l\}$  respectively. Note that for each  $l$ , we can effectively find a  $k$  such that  $B_s \upharpoonright l = \sigma_k$ . Define  $H$  and  $\hat{H}$  by

$$H = \{e : (\exists \langle x, k \rangle \in W_e)[x \in A \ \& \ \sigma_k = B \upharpoonright \text{lh}(\sigma_k)]\},$$

$$\hat{H} = \{e : (\exists \langle x, k \rangle \in W_e)[x \notin A \ \& \ \sigma_k = B \upharpoonright \text{lh}(\sigma_k)]\}.$$

Since  $A$  and  $B$  are low, these sets are both  $\Delta_2^0$ . Let  $h(e, s)$  and  $\hat{h}(e, s)$  be recursive functions with  $h(x, s), \hat{h}(x, s) \leq 1$  such that

$$\lim_s h(e, s) = H(e), \quad \lim_s \hat{h}(e, s) = \hat{H}(e).$$

We will build recursive sequences  $\{V_{i,s}\}_{i,s \in \mathbb{N}}$  and  $\{\hat{V}_{i,s}\}_{i,s \in \mathbb{N}}$  during the construction. Let  $V_i = \bigcup_s V_{i,s}$  and  $\hat{V}_i = \bigcup_s \hat{V}_{i,s}$ . Then,  $V_i$  and  $\hat{V}_i$  are recursively enumerable. By the Recursion Theorem we may assume that we have in advance an index  $\theta(i)$  of  $V_i$  and  $\hat{\theta}(i)$  of  $\hat{V}_i$  with some recursive functions  $\theta$  and  $\hat{\theta}$ .

DEFINITION 3.2. Suppose  $i$  and  $s$  are given.

- (1)  $R_i$  is  $h$ -certified at  $s$  if  $h(\theta(i), s) = 1$  and there is a  $\langle x, k \rangle \in V_{i,s}$  such

that  $A_s(x)=1$  and  $B_s \upharpoonright \text{lh}(\sigma_k)=\sigma_k$ ,

(2)  $R_i$  is  $\hat{h}$ -certified at  $s$  if  $\hat{h}(\theta(i), s)=1$  and there is a  $\langle x, k \rangle \in \hat{V}_{i,s}$  such that  $A_s(x)=0$  and  $B_s \upharpoonright \text{lh}(\sigma_k)=\sigma_k$ ,

(3)  $R_i$  is certified at  $s$  if  $R_i$  is either  $h$ -certified or  $\hat{h}$ -certified at  $s$ .

We now give the construction of  $\{l_n\}_n$ . We use  $s$  as a variable that counts the steps of the construction.  $V_{i,s}$  represents the finite set of elements enumerated into  $V_i$  up to step  $s$  during the construction. Similar for  $\hat{V}_{i,s}$ .

Stage 0. Let  $l_0=0$  and  $V_{i,0}=\hat{V}_{i,0}=\emptyset$  for all  $i$ .

Stage  $6e+i+1$  ( $i=1, 2, 4, 5$ ). We let  $n=6e+i$ . Let  $l_{n+1}=l_n+2^{l_n}$ . No new elements are enumerated into  $V_i$  and  $\hat{V}_i$  for all  $i$  at this stage:  $V_{i,s+1}=V_{i,s}$ ;  $\hat{V}_{i,s+1}=\hat{V}_{i,s}$  for all  $i$  and  $s$  with  $l_n \leq s < l_{n+1}$ .

Stage  $6e+1$ . Take the least  $i \leq e$  such that  $R_{2i}$  is not certified at  $l_{6e}$ . We say that  $R_{2i}$  is attacked at this stage. Our construction in this stage consists of one main routine and 5 subroutines. No new elements are enumerated into  $V_j$  and  $\hat{V}_j$  for all  $j$  with  $j \neq 2i$ . We enumerate some new elements into  $V_{2i}$  or into  $\hat{V}_{2i}$  only when the construction enters Subroutine 1 below.

MAIN ROUTINE. We set  $s:=l_{6e}$ . Go to Subroutine 1.

CLAIM 1. For every  $s$ , there exist  $t > s$ ,  $x \in \Sigma^*$  and  $k$  with  $l_{6e} \leq |x| \leq |\langle x, k \rangle| \leq t$  such that  $\sigma_k = B_t \upharpoonright p_i(|x|)$  and such that one of the following holds:

$$(1.1) \quad A_t(x) = 1 \ \& \ M_i(B_t, x) = 0,$$

$$(1.2) \quad A_t(x) = 0 \ \& \ M_i(B_t, x) = 1.$$

PROOF OF CLAIM 1. Since  $B < \frac{1}{2}A$ , there is an  $x$  with  $l_{6e} \leq |x|$  such that  $A(x) \neq M_i(B, x)$ . Take a sufficiently large  $s_0 > s$  such that  $|x| \leq s_0$  and

$$(\forall t)[s_0 \leq t \implies A_t(x) = A(x) \ \& \ B_t \upharpoonright p_i(|x|) = B \upharpoonright p_i(|x|)].$$

Let  $k$  be an integer such that  $B \upharpoonright p(|x|) = \sigma_k$ , and take a  $t \geq s_0$  so that  $|\langle x, k \rangle| \leq t$ . If  $A(x)=1$  then (1.1) holds, and if  $A(x)=0$  then (1.2) holds.  $\square$

SUBROUTINE 1. Suppose that the construction enters this subroutine with  $\bar{s}$ . We take the least  $t$  that satisfies Claim 1. Let  $\langle x, k \rangle$  be the least pair which satisfies the conditions of the claim. If (1.1) holds, then enumerate  $\langle x, k \rangle$  into  $V_{2i}$ , set  $s:=t$ , and go to Subroutine 2. If (1.2) holds, then enumerate  $\langle x, k \rangle$  into  $\hat{V}_{2i}$ , set  $s:=t$ , and go to Subroutine 3.

CLAIM 2. Given  $s$ , suppose that  $V_{2i,t}=V_{2i,s}$  for all  $t \geq s$ . Then there is a  $t > s$  such that one of the following holds:

$$(2.1) \quad R_{2i} \text{ is } h\text{-certified at } t,$$

$$(2.2) \quad h(\theta(2i), t) = 0 \ \& \ (\forall \langle x, k \rangle \in V_{2i,t})[A_t(x) = 0 \vee B_t \upharpoonright \text{lh}(\sigma_k) \neq \sigma_k].$$

PROOF OF CLAIM 2. Note that  $V_{2i,t}=V_{2i}$  for all  $t \geq s$ , and therefore  $V_{2i}$  is finite. Take a sufficiently large  $t > s$  so that  $h(\theta(2i), t)=H(\theta(2i))$  and

$$(\forall \langle x, k \rangle \in V_{2i})[A_t(x) = A(x) \ \& \ B_t \upharpoonright \text{lh}(\sigma_k) = B \upharpoonright \text{lh}(\sigma_k)].$$

Suppose  $H(\theta(2i))=1$ . By the definition of  $H$ , we have

$$(\exists \langle x, k \rangle \in V_{2i})[A(x) = 1 \ \& \ B \upharpoonright \text{lh}(\sigma_k) = \sigma_k].$$

Then, we have  $h(\theta(2i), t)=1$  and

$$(\exists \langle x, k \rangle \in V_{2i,t})[A_t(x) = 1 \ \& \ B_t \upharpoonright \text{lh}(\sigma_k) = \sigma_k].$$

Thus,  $R_{2i}$  is  $h$ -certified at  $t$ . Similarly, if  $H(\theta(2i))=0$ , then (2.2) holds at  $t$ .  $\square$

Similarly, we have the following.

CLAIM 3. Suppose that  $\hat{V}_{2i,t}=\hat{V}_{2i,s}$  for all  $t$  with  $t \geq s$ . Then there is a  $t > s$  such that one of the following holds:

$$(3.1) \ R_{2i} \text{ is } \hat{h}\text{-certified at } t,$$

$$(3.2) \ \hat{h}(\hat{\theta}(2i), t) = 0 \ \& \ (\forall \langle x, k \rangle \in \hat{V}_{2i,t})[A_t(x) = 1 \vee B_t \upharpoonright \text{lh}(\sigma_k) \neq \sigma_k].$$

SUBROUTINE 2. Suppose we enter this subroutine with  $s$ . Set  $t:=s$ , and repeat  $t:=t+1$  until either (2.1) or (2.2) of Claim 2 holds. Set  $s:=t$ . If (2.1) holds at  $t$  then go to Subroutine 5, and if (2.2) holds then go to Subroutine 4.

SUBROUTINE 3. Similar to Subroutine 2.

CLAIM 4. Given  $s$ , suppose that  $V_{2i,t}=V_{2i,s}$  and  $\hat{V}_{2i,t}=\hat{V}_{2i,s}$  for all  $t > s$ . Then there is a  $t \geq s$  such that one of the following holds:

$$(4.1) \ R_{2i} \text{ is } h\text{-certified at } t,$$

$$(4.2) \ R_{2i} \text{ is } \hat{h}\text{-certified at } t,$$

$$(4.3) \ h(\theta(2i), t) = 0 \ \& \ (\forall \langle x, k \rangle \in V_{2i,t})[A_t(x) = 0 \vee B_t \upharpoonright \text{lh}(\sigma_k) \neq \sigma_k] \text{ and}$$

$$\hat{h}(\hat{\theta}(2i), t) = 0 \ \& \ (\forall \langle x, k \rangle \in \hat{V}_{2i,t})[A_t(x) = 1 \vee B_t \upharpoonright \text{lh}(\sigma_k) \neq \sigma_k].$$

PROOF OF CLAIM 4. By the assumption, for all sufficiently large  $t$ ,  $V_{2i,t}=V_{2i}$  and  $\hat{V}_{2i,t}=\hat{V}_{2i}$ . Take a sufficiently large  $t$  with  $t > s$  which satisfies the following:

$$(a) \ h(\theta(2i), t) = H(\theta(2i)) \ \& \ \hat{h}(\hat{\theta}(2i), t) = \hat{H}(\hat{\theta}(2i)),$$

$$(b) \ (\forall \langle x, k \rangle \in V_{2i})[A_t(x) = A(x) \ \& \ B_t \upharpoonright \text{lh}(\sigma_k) = B \upharpoonright \text{lh}(\sigma_k)],$$

$$(c) \ (\forall \langle x, k \rangle \in \hat{V}_{2i})[A_t(x) = A(x) \ \& \ B_t \upharpoonright \text{lh}(\sigma_k) = B \upharpoonright \text{lh}(\sigma_k)].$$

As in the proof of Claim 2, we see that if  $H(\theta(2i))=1$  then  $R_{2i}$  is  $h$ -certified at  $t$ , and if  $\hat{H}(\hat{\theta}(2i))=1$  then  $R_{2i}$  is  $\hat{h}$ -certified. Similarly, if  $H(\theta(2i))=0$  and  $\hat{H}(\hat{\theta}(2i))=0$ , then (4.3) holds.  $\square$

SUBROUTINE 4. Similar to Subroutine 2. Suppose that the construction enters this subroutine with  $s$ . We wait for the least  $t > s$  that satisfies one of the conditions of Claim 4. Set  $s := t$ . If (4.1) or (4.2) holds, then go to Subroutine 5. Otherwise, go to Subroutine 1.

SUBROUTINE 5. Suppose we reach this subroutine with  $s$ . Let  $l_{6e+1} = l_{6e} + 2^{l_{6e}} + m$ , where  $m$  is the number of steps in which the construction up to this point is performed. Set  $s := l_{6e+1}$  and exist from the main routine.

Stage  $6e+4$ . Similar to Stage  $6e+1$ . Take the least  $i \leq e$  such that  $R_{2i+1}$  is not certified at  $l_{6e+3}$ . The requirement  $R_{2i+1}$  is attacked in this stage. We leave the details to the reader.

Thus, we complete the construction of  $\{l_n\}_{n \in \mathbb{N}}$ .

LEMMA 3.3.  $l_{6e+1}$  and  $l_{6e+4}$  are defined.

PROOF. We prove that  $l_{6e+1}$  is defined. It is sufficient to show that we reach Subroutine 5 while executing the main routine. Suppose not. Then, we always exit from Subroutine 2 with (2.2), Subroutine 3 with (3.2) and Subroutine 4 with (4.3). Since  $B < \frac{1}{2}A$ , there is an  $x$  such that  $A(x) \neq M_i(B, x)$ . Take the least such  $x$  with  $|x| \geq l_{6e}$  and let  $k$  be the least integer with  $B \upharpoonright p_i(|x|) = \sigma_k$ . Suppose, say,  $A(x) = 1$  and  $M_i(B, x) = 0$ . Take  $s_0$  large enough to satisfy

$$(\forall s)[s_0 \leq s \implies A_s(x) = 1 \ \& \ B_s \upharpoonright p_i(|x|) = B \upharpoonright p_i(|x|)].$$

We may assume that  $|x| \leq s_0$ . If  $\langle x, k \rangle$  is not enumerated into  $V_{2i}$  up to  $s_0$ , then  $\langle x, k \rangle$  is witnessed each time Subroutine 1 is executed after  $s_0$ . By the assumption, we enter Subroutine 1 infinitely often. Thus, eventually,  $\langle x, k \rangle$  must be enumerated into  $V_{2i}$ . Then, we have  $H(\theta(2i)) = 1$  by the definition of  $H$ . Take sufficiently large  $s_1 > s_0$  so that  $\langle x, k \rangle \in V_{2i, s_1}$  and  $h(\theta(2i), s) = 1$  for all  $s \geq s_1$ . Then,  $R_{2i}$  is  $h$ -certified at all points after  $s_1$ . Thus, we reach Subroutine 5 whenever we exit from one of Subroutine 2-4 after  $s_1$ , which is a contradiction.  $\square$

LEMMA 3.4. For all  $i$ , the requirement  $R_{2i}$  is attacked only finitely often.

PROOF. We prove the lemma by induction on  $i$ . Suppose that no requirement  $R_{2j}$  with  $j < i$  is attacked at any stage after  $n_0$ , which means that every requirement  $R_{2j}$  ( $j < i$ ) is certified at  $l_{6e}$  for all  $e$  with  $n_0 < 6e$ . Let  $s_0 \geq n_0$  be large enough to satisfy

$$(\forall s)[s_0 \leq s \implies h(\theta(2i), s) = H(\theta(2i)) \ \& \ \hat{h}(\hat{\theta}(2i), s) = \hat{H}(\hat{\theta}(2i))].$$

Suppose  $H(\theta(2i)) = 1$ . Then, by the definition of  $H$ , there is a  $\langle x, k \rangle \in V_{2i}$  such that  $A(x) = 1$  and  $B \upharpoonright lh(\sigma_k) = \sigma_k$ . Take a sufficiently large  $s_1 \geq s_0$  so that

$$(\forall s)[s_1 \leq s \implies \langle x, k \rangle \in V_{2i,s} \ \& \ A_s(x) = 1 \ \& \ B_s \upharpoonright \text{lh}(\sigma_k) = B \upharpoonright \text{lh}(\sigma_k)].$$

Then,  $R_{2i}$  is  $h$ -certified at every point  $s$  with  $s \geq s_1$ . It follows that  $R_{2i}$  is not attacked at any stage  $n$  with  $s_1 \leq l_n$ . Similarly, if  $\hat{H}(\hat{\theta}(2i))=1$ , then  $R_{2i}$  is not attacked infinitely often. Finally, suppose that  $H(\theta(2i))=\hat{H}(\hat{\theta}(2i))=0$ . We take a sufficiently large  $s_2 \geq s_0$  so that

$$(\forall s)[s_2 \leq s \implies h(\theta(2i), s) = \hat{h}(\hat{\theta}(2i), s) = 0].$$

It follows that  $R_{2i}$  is never certified after  $s_2$ . Thus, for every  $e \geq i$  with  $n_0 < 6e$ , if  $s_2 \leq l_{6e}$  and  $R_{2i}$  is attacked at stage  $6e+1$ , then we can not enter Subroutine 5 during stage  $6e+1$ , which contradicts Lemma 3.3.  $\square$

Similarly, we can prove the following.

LEMMA 3.5. *For all  $i$ , the requirement  $R_{2i+1}$  is attacked only finitely often.*

LEMMA 3.6. *For every  $i$  the requirements  $R_{2i}$  and  $R_{2i+1}$  are met.*

PROOF. We prove that the requirement  $R_{2i}$  is met. Take an  $n_0$  so that  $R_{2i}$  is not attacked after  $n_0$ . Then, for all  $e$  with  $n_0 < 6e$ ,  $R_{2i}$  is certified at  $l_{6e}$ . It follows that either  $H(\theta(2i))=1$  or  $\hat{H}(\hat{\theta}(2i))=1$ . Suppose, say,  $H(\theta(2i))=1$ . Then, by the definition, there is a  $\langle x, k \rangle \in V_{2i}$  such that  $A(x)=1$  and  $B \upharpoonright \text{lh}(\sigma_k) = \sigma_k$ . Suppose  $\langle x, k \rangle$  is enumerated into  $V_{2i}$  during stage  $6e+1$ . Then, there is a  $t$  with  $l_{6e} < t < l_{6e+1}$  such that  $B_t \upharpoonright p_i(|x|) = \sigma_k$  and  $M_i(B_t, x) = 0$ . Since  $B_t \upharpoonright p_i(|x|)$  and  $B \upharpoonright p_i(|x|)$  are both equal to  $\sigma_k$ , we see that  $M_i(B, x) = 0$ . Thus we have the inequality  $A(x) \neq M_i(B, x)$ .  $A$  and  $C$  agree on the interval  $\{z : l_{6e} \leq |z| < l_{6e+1}\}$ . Consequently, we obtain the desired inequality  $C(x) \neq M_i(B, x)$ .  $\square$

This completes the proof of Theorem 3.1. The method presented here can be applied to other problems on the theory of the hp-T degrees of  $\Delta_2^0$  low sets. For example, we can extend the result of Shore-Slaman [7] on the decidability of the  $\Pi_2$  theory of the p-T degrees of recursive sets to the hp-T degrees of  $\Delta_2^0$  low sets.

### References

- [1] K. Ambos-Spies, Honest polynomial time reducibilities and  $P=?NP$  problem, J. Comput. and System Sci., **39** (1989), 250-289.
- [2] R. Downey, On computational complexity and honest polynomial degrees, Theoret. Comput. Sci., **78** (1991), 305-317.
- [3] S. Homer, Minimal polynomial degrees for nonrecursive sets, Lecture Notes in Math., **1141**, Springer-Verlag, 1985, pp. 193-202.
- [4] R.E. Ladner, On the structure of polynomial time reducibility, J. Assoc. Comput. Mech., **22** (1975), 155-171.
- [5] L.H. Landweber, R.J. Lipton and E.L. Robertson, On the structure of sets in  $NP$

- and other complexity classes, *Theoret. Comput. Sci.*, **15** (1981), 181-200.
- [ 6 ] R. W. Robinson, Interpolation and embedding in the recursively enumerable degrees, *Ann. of Math.*, **93** (1971), 285-314.
- [ 7 ] R. A. Shore and T. A. Slaman, The  $p$ - $T$  degrees of the recursive sets: lattice embeddings, extensions of embeddings and the two quantifier theory, in *Proc. Structures in Complexity Theory*, 4th Annual Conf., 1989, to appear.
- [ 8 ] R. I. Soare, *Recursively Enumerable Sets and Degrees*, Springer-Verlag, New York, 1987.

Kunimasa AOKI

Department of Mathematics  
School of Science  
Nagoya University  
Chikusa-ku, Nagoya 464-01  
Japan

Juichi SHINODA

Department of mathematics  
College of General Education  
Nagoya University  
Chikusa-ku, Nagoya 464-01  
Japan

Teruko TSUDA

Division of Intelligence Science  
Graduate School of Science and Technology  
Kobe University  
Nada-ku, Kobe 657  
Japan