

## On the least positive eigenvalue of the Laplacian for compact group manifolds

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### §1. Introduction.

Let  $M$  be an  $n$ -dimensional compact connected manifold. For every Riemannian metric  $g$  on  $M$ , let  $-\Delta_g$  be the Laplace-Beltrami operator acting on smooth functions on  $M$ . Let  $\lambda_1(g)$  be the least positive eigenvalue of  $\Delta_g$ . M. Berger ([1] p. 138) posed the problem: Does there exist a positive constant  $k(M)$  such that

$$\lambda_1(g) \operatorname{vol}(M, g)^{2/n} \leq k(M),$$

for every Riemannian metric  $g$  on  $M$ ? J. Hersch [5] showed that if  $M$  is diffeomorphic with the 2-dimensional sphere  $S^2$ , then for every Riemannian metric  $g$  on  $M$ ,

$$\lambda_1(g) \operatorname{area}(S^2, g) \leq 8\pi.$$

The equality holds if and only if  $(S^2, g)$  is the canonical sphere.

In the present paper, let  $M$  be a compact connected Lie group. Let us consider the problem: Does there exist a positive constant  $k(M)$  such that

$$\lambda_1(g) \operatorname{vol}(M, g)^{2/n} \leq k(M)$$

for every left invariant Riemannian metric  $g$  on  $M$ ? For this problem we claim (cf. theorem 4) the following: *The only compact Lie group  $M$  which has a positive answer for this problem is a torus  $T^n$ , that is, if the compact connected Lie group  $M$  has a non-trivial commutator subgroup, then there exists a family of left invariant Riemannian metrics  $g(t)$  ( $0 < t < \infty$ ) on  $M$  such that  $\lim_{t \rightarrow \infty} \lambda_1(g(t)) = \infty$ ,  $\lim_{t \rightarrow 0} \lambda_1(g(t)) = 0$  and  $\operatorname{vol}(M, g(t))$  is constant in  $t$ . In particular, since  $SU(2)$  (resp.  $SO(3)$ ) is diffeomorphic with  $S^3$  (resp.  $P^3(\mathbf{R})$ ), the above shows that M. Berger's conjecture is negative for  $S^3$  and  $P^3(\mathbf{R})$ . It is known (cf. [1]) that, for a torus  $T^n$ , there exists a positive constant  $k(T^n)$  such that  $\lambda_1(g) \operatorname{vol}(T^n, g)^{n/2} \leq k(T^n)$  for every left invariant Riemannian metric  $g$  on  $T^n$ .*

In §2, we shall express the Laplace-Beltrami operator on a connected Lie group in term of the left invariant vector fields. In §3, we shall give an estima-

tion for  $\lambda_1(g)$  for every left invariant Riemannian metric on a compact connected Lie group. In §4, we shall prove theorem 4 and in §5, we shall calculate  $\lambda_1(g)$  for some Riemannian metrics on  $SU(2)$  and  $SO(3)$ .

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## § 2. The Laplace-Beltrami operator for a left invariant Riemannian metric.

2.1. Let  $M$  be an  $n$ -dimensional connected Lie group, and let  $\mathfrak{m}$  be the Lie algebra of all left invariant vector fields on  $M$ . Let  $(,)$  be an inner product on  $\mathfrak{m}$ . Let  $g$  be a Riemannian metric on  $M$  defined by

$$(2.1) \quad g_m(X_m, Y_m) = (X, Y), \quad X, Y \in \mathfrak{m}$$

where  $X_m, Y_m$  are the tangent vectors at  $m \in M$  corresponding to  $X, Y$ . Let  $-\Delta_g$  be the Laplace-Beltrami operator on  $M$ , that is

$$(2.2) \quad \Delta_g f = -\text{Trace}_g(\text{Hess}(f))$$

for a smooth function  $f$  on  $M$ . Here let  $\text{Hess}(f) = \nabla(df)$  be the Hessian of  $f$ , where  $\nabla$  is the covariant derivation with respect to the Riemannian metric  $g$  on  $M$ . The Hessian  $h = \text{Hess}(f)$  is a covariant symmetric 2-tensor on  $M$ , and so let  $\text{Trace}_g(h) = \sum_{i,j=1}^n g^{ij} h_{ij}$ , where  $(g^{ij})$  is the inverse matrix of  $(g_{ij})$  and  $(g_{ij}), (h_{ij})$  are the components of  $g, h$  with respect to the local coordinate  $(x_1, \dots, x_n)$ . Then it is known (cf. [1] p. 135) that

$$(2.3) \quad \Delta_g f = - \sum_{i,j=1}^n g^{ij} \left( \frac{\partial^2 f}{\partial x_i \partial x_j} - \sum_{k=1}^n \Gamma_{ij}^k \frac{\partial f}{\partial x_k} \right),$$

where  $\Gamma_{ij}^k$  is the Christoffel symbol of the Riemannian connection for  $g$ . Moreover we have the following theorem.

**THEOREM 1.** *Let  $M$  be a connected Lie group and  $\mathfrak{m}$  be the Lie algebra of  $M$ . Then we have, for a smooth function  $f$ ,*

$$(2.4) \quad \Delta_g f = - \sum_{i=1}^n Y_i^2(f) + \sum_{i=1}^n \text{Trace}(\text{ad}(Y_i)) Y_i(f),$$

where  $\{Y_i\}_{i=1}^n$  is an orthonormal basis of  $\mathfrak{m}$  with respect to  $(,)$  and  $\text{Trace}(\text{ad}(X))$  is the trace of an endomorphism  $\text{ad}(X)$  of  $\mathfrak{m}$ . For  $X \in \mathfrak{m}$ ,

$$Xf(m) = \left[ \frac{d}{dt} f(m \exp(tX)) \right]_{t=0}.$$

**PROOF.** Since the left translations  $L_x, x \in M$ , are isometries with respect to  $g$ , the operator  $\Delta_g$  satisfies  $\Delta_g \circ L_x(f) = L_x \circ \Delta_g(f)$  for a smooth function  $f$

(cf. [4] p. 387), and so we may prove (2.4) at the identity  $e$  of  $M$ . Take the local coordinate  $(x_1, \dots, x_n)$  around  $e$  defined by the mapping  $\exp(\sum_{i=1}^n x_i Y_i) \mapsto (x_1, \dots, x_n)$ . For  $x = \exp(X)$ ,  $X \in \mathfrak{m}$ , we have (cf. [4] p. 95)

$$\left(\frac{\partial}{\partial x_i}\right)_x = \exp_{*x}(Y_i) = L_{x*_e} \circ \sum_{n=0}^{\infty} (-\text{ad}(X))^n(Y_i)/(n+1)!$$

where  $\exp_{*x}$  (resp.  $L_{x*_e}$ ) is the differential of the exponential mapping (resp. the translation  $L_x$ ) at  $X \in \mathfrak{m}$  (resp. the identity  $e$ ). Then

$$(2.5) \quad g_{ij}(x) = \left(\sum_{n=0}^{\infty} (-\text{ad}(X))^n(Y_i)/(n+1)!\right) \cdot \left(\sum_{n=0}^{\infty} (-\text{ad}(X))^n(Y_j)/(n+1)!\right).$$

Hence we have

$$(2.6) \quad g_{ij}(e) = \delta_{ij}, \quad Y_k g_{ij}(e) = -(c_{ki}^j + c_{kj}^i)/2,$$

where we put  $[Y_i, Y_j] = \sum_{k=1}^n c_{ij}^k Y_k$  ( $1 \leq i, j \leq n$ ). In fact,

$$\begin{aligned} Y_k g_{ij}(e) &= \left[ \frac{d}{dt} g_{ij}(\exp(tY_k)) \right]_{t=0} \\ &= \left[ \frac{d}{dt} \left( \sum_{n=0}^{\infty} (-t \text{ad}(Y_k))^n(Y_i)/(n+1)!, \sum_{n=0}^{\infty} (-t \text{ad}(Y_k))^n(Y_j)/(n+1)! \right) \right]_{t=0} \\ &= (([-Y_k, Y_i], Y_j) + (Y_i, [-Y_k, Y_j]))/2. \end{aligned}$$

Therefore we have

$$(2.7) \quad \Gamma_{ij}^k(e) = (c_{ki}^j + c_{kj}^i)/2,$$

in particular  $\Gamma_{ii}^k(e) = c_{ki}^i$ . For we have

$$\begin{aligned} \Gamma_{ij}^k(e) &= \left( \frac{\partial g_{kj}}{\partial x_i}(e) + \frac{\partial g_{ik}}{\partial x_j}(e) - \frac{\partial g_{ij}}{\partial x_k}(e) \right) / 2 \\ &= (Y_i g_{kj}(e) + Y_j g_{ik}(e) - Y_k g_{ij}(e)) / 2 \\ &= (c_{ki}^j + c_{kj}^i) / 2 \end{aligned}$$

by (2.6) and  $c_{ki}^i + c_{ik}^i = 0$ . Since  $\frac{\partial^2 f}{\partial x_i^2}(e) = \left[ \frac{d^2}{dt ds} f(\exp((s+t)Y_i)) \right]_{s=t=0} = Y_i^2 f(e)$ , we obtain

$$\begin{aligned} \Delta_g f(e) &= - \sum_{i=1}^n Y_i^2 f(e) + \sum_{i,k=1}^n c_{ki}^i Y_k f(e) \\ &= - \sum_{i=1}^n Y_i^2 f(e) + \sum_{k=1}^n \text{Trace}(\text{ad}(Y_k)) Y_k f(e). \quad \text{Q. E. D.} \end{aligned}$$

The following is immediate from the above.

COROLLARY 1. *Let  $M$  be a connected Lie group and  $\mathfrak{m}$  be the Lie algebra of  $M$ . We assume  $\mathfrak{m}$  is a unimodular algebra, that is  $\text{Trace}(\text{ad}(X))=0$  for every  $X \in \mathfrak{m}$ . Then we have, for a smooth function  $f$ ,*

$$(2.8) \quad \Delta_g f = - \sum_{i=1}^n Y_i^2(f),$$

where  $\{Y_i\}_{i=1}^n$  is an orthonormal basis of  $\mathfrak{m}$  with respect to  $(, )$ .

2.2. Let  $M$  be a connected Lie group and let  $\mathfrak{m}$  be the Lie algebra of  $M$ . Let  $\mathcal{M}$  be the set of all inner product on  $\mathfrak{m}$ . Fix an element  $(, )_0$  in  $\mathcal{M}$ . Let  $g_0$  be the corresponding left invariant Riemannian metric on  $M$ . Let  $\mathcal{M}_0$  be the set of all inner products on  $\mathfrak{m}$  which induce the left invariant Riemannian metrics with the same volume element  $\Omega$  as  $g_0$ . To avoid mere changes of scale, we consider only metrics induced from elements in  $\mathcal{M}_0$ .

Let  $\{X_i\}_{i=1}^n$  be an orthonormal basis for  $(, )_0$ . For  $A \in SL(n, \mathbf{R})$ , let  $Y_j = \sum_{k=1}^n A_{kj} X_k$  ( $1 \leq j \leq n$ ). Then there exists a unique inner product  $(, ) \in \mathcal{M}_0$  for which  $\{Y_j\}_{j=1}^n$  is an orthonormal basis. For the left invariant Riemannian metric  $g$  on  $M$  induced from  $(, )$ , we notice that

$$(2.9) \quad \Delta_g f = - \sum_{k, m=1}^n (A^t A)_{km} X_k X_m f + \sum_{k, m=1}^n (A^t A)_{km} \text{Trace}(\text{ad}(X_k)) X_m(f),$$

in particular, if  $\mathfrak{m}$  is a unimodular Lie algebra,

$$(2.9') \quad \Delta_g f = - \sum_{k, m=1}^n (A^t A)_{km} X_k X_m f$$

for every smooth function  $f$  on  $M$ .

REMARK. Let  $M$  be a compact Lie group. For the  $M$ -bi-invariant Riemannian metric  $g_0$ , the above corollary 1 is well-known (cf. [8]).

### § 3. The case of a compact Lie group I.

3.1. The notations in § 2 are preserved. In this part, we prepare, for the Peter-Weyl theorem (cf. [7], [8]), some notations. Let  $M$  be a compact connected Lie group.

We take an  $\text{Ad}(M)$ -invariant inner product on  $\mathfrak{m}$  as the fixed one  $(, )_0$  on  $\mathfrak{m}$  in 2.2.

Let  $T$  be a maximal torus in  $M$  and  $M_1$  be the connected component of the commutator subgroup of  $M$ . Put  $l = \dim(T)$ ,  $p = \text{rank}(M_1)$  and  $n = \dim(M)$ . Let  $\Delta$  be the root system of the complexification  $\mathfrak{m}^c$  of  $\mathfrak{m}$  with respect to the Lie algebra  $\mathfrak{t}$  of  $T$ , that is the set of non-zero elements  $\alpha$  of the dual space  $\mathfrak{t}^*$  of  $\mathfrak{t}$  such  $\mathfrak{m}_\alpha^c = \{E \in \mathfrak{m}^c; [H, E] = \sqrt{-1}\alpha(H)E \text{ for any } H \in \mathfrak{t}\}$  is not zero. We introduce

a lexicographic order  $>$  of  $\mathfrak{t}^*$  and fix it once for all. Let  $\mathcal{A}^+$  be the all positive roots. Let  $\Pi = \{\alpha_1, \dots, \alpha_p\}$  ( $p = \text{rank}(M_1)$ ) be the fundamental system of  $\mathcal{A}$  with respect to this order  $>$ . For  $\lambda \in \mathfrak{t}^*$ , define an element  $H_\lambda$  in  $\mathfrak{t}$  by  $(H_\lambda, H)_0 = \lambda(H)$  for each  $H \in \mathfrak{t}$ . We define an inner product  $(\cdot, \cdot)_0$  in  $\mathfrak{t}^*$  by  $(\lambda, \lambda')_0 = (H_\lambda, H_{\lambda'})_0$  for  $\lambda, \lambda'$  in  $\mathfrak{t}^*$ . Let  $\Gamma = \{H \in \mathfrak{t}; \exp(H) = e\}$ . Let  $I$  be the set of all  $M$ -integral forms on  $\mathfrak{t}$ :

$$I = \{\lambda \in \mathfrak{t}^*; \lambda(H) \in 2\pi\mathbf{Z} \text{ for all } H \in \Gamma\}.$$

Put

$$D = \{\lambda \in I; (\lambda, \alpha_i)_0 \geq 0 \ (1 \leq i \leq p)\}.$$

In this paper, a finite dimensional unitary representation of  $M$  is called a *representation* of  $M$ . For a representation  $(\pi, V)$  of  $M$ , we use the same letter for its differential representation of  $\mathfrak{m}$  and the extension to  $\mathfrak{m}^c$ , that is

$$\pi(X)v = \left[ \frac{d}{dt} \pi(\exp(tX))v \right]_{t=0}, \quad \pi(X + \sqrt{-1}Y)v = \pi(X)v + \sqrt{-1}\pi(Y)v$$

for  $X, Y \in \mathfrak{m}$  and  $v \in V$ . For  $\mu \in \mathfrak{t}^*$ , put  $V^\mu = \{v \in V; \pi(H)v = \sqrt{-1}\mu(H)v \text{ for each } H \in \mathfrak{t}\}$ . If  $V^\mu \neq (0)$ ,  $\mu$  (resp.  $V^\mu$ ) is called a *weight* (resp. a *weight space*) for the representation  $(\pi, V)$  of  $M$ . Then  $V = \sum_{\mu \in I} V^\mu$  (finite direct sum). This decomposition is orthogonal with respect to the  $M$ -invariant hermitian inner product  $((\cdot, \cdot))$  on  $V$ . Notice that

$$(3.1) \quad \pi(H)\pi(E_{\pm\alpha})v = \sqrt{-1}(\mu(H) \pm \alpha(H))\pi(E_{\pm\alpha})v, \quad v \in V^\mu.$$

The set  $I$  coincides with the set of all the weights of the representations of  $M$ . The maximal element among the weights of the representation  $(\pi, V)$  in the order  $>$  in  $\mathfrak{t}^*$  is called the highest weight of  $(\pi, V)$ . The set  $D$  coincides with the set of all highest weights of the representations of  $M$ . Since an irreducible representation of  $M$  is uniquely determined, up to equivalence, by its highest weight, there exists a bijection from  $D$  onto the set of equivalence classes of irreducible representations of  $M$ . We choose, once for all, an irreducible unitary representation  $(\pi^\lambda, V_\lambda)$  with the highest weight  $\lambda$  for each  $\lambda \in D$ . Put  $d_\lambda = \dim V_\lambda$ .

**3.2. The Peter-Weyl theorem.** Let  $C^\infty(M)$  be the set of all  $C$ -valued infinitely differentiable functions on  $M$ . We define a hermitian inner product  $((\cdot, \cdot))$  on  $C^\infty(M)$  by

$$(3.2) \quad ((f_1, f_2)) = \text{vol}(M)^{-1} \int_M f_1(x) \overline{f_2(x)} \Omega(x)$$

for  $f_1, f_2 \in C^\infty(M)$ . Here  $\text{vol}(M)$  is the volume of  $M$  for the volume element  $\Omega$ .

The  $C$ -linear space  $C^\infty(M)$  is an  $M$ -module defined by  $r_x f(y) = f(yx)$ ,  $x, y \in M$ ,  $f \in C^\infty(M)$ . For  $X \in \mathfrak{m}$ , let  $Xf(x) = \left[ \frac{d}{dt} f(x \exp(tX)) \right]_{t=0}$ . For an irreducible re-

presentation  $(\pi^\lambda, V_\lambda)$ ,  $\lambda \in D$ , let  $\theta^\lambda$  be the  $M$ -submodule of  $C^\infty(M)$  spanned by  $M$ -submodules of  $C^\infty(M)$  isomorphic with  $V_\lambda$  as an  $M$ -module. Let  $(\pi^\lambda \otimes \mathbf{1}, V_\lambda \otimes V_\lambda^*)$  be the representation of  $M$  defined by  $\pi^\lambda \otimes \mathbf{1}(x)(v \otimes \xi) = \pi^\lambda(x)v \otimes \xi$  for  $x \in M, v \in V_\lambda$  and  $\xi \in V_\lambda^*$  where  $V_\lambda^*$  is the dual space of  $V_\lambda$ . Define a homomorphism  $\Phi_\lambda$  of  $V_\lambda \otimes V_\lambda^*$  into  $C^\infty(M)$  by

$$\Phi_\lambda(v \otimes \xi)(x) = \xi(\pi^\lambda(x)v), \quad x \in M, v \in V_\lambda \quad \text{and} \quad \xi \in V_\lambda^*.$$

Then  $\Phi_\lambda$  is an  $M$ -homomorphism, that is

$$r_x \circ \Phi_\lambda = \Phi_\lambda \circ (\pi^\lambda \otimes \mathbf{1})(x)$$

for all  $x \in M$ . The Peter-Weyl theorem can be stated as follows:

**THEOREM (Peter-Weyl).** 1) For any  $\lambda \in D$ , the homomorphism  $\Phi_\lambda$  defines an isomorphism of  $V_\lambda \otimes V_\lambda^*$  onto  $\theta^\lambda$ . Let  $\{v_i\}_{i=1}^{d_\lambda}$  be an orthonormal basis of  $V_\lambda$  with respect to the  $M$ -invariant hermitian inner product  $((, ))$ . Put  $\pi_{ij}^\lambda(x) = ((\pi^\lambda(x)v_j, v_i))$  ( $1 \leq i, j \leq d_\lambda$ ). Then  $\{\sqrt{d_\lambda} \pi_{ij}^\lambda\}_{i,j=1}^{d_\lambda}$  is an orthonormal basis of  $\theta^\lambda$  with respect to  $((, ))$  (3.2).

2) If  $\lambda, \lambda' \in D, \lambda \neq \lambda'$ , then  $\theta^\lambda$  and  $\theta^{\lambda'}$  are orthogonal with respect to  $((, ))$ . We have the following decomposition:  $C^\infty(M) = \sum_{\lambda \in D} \theta^\lambda$ , that is, for  $f \in C^\infty(M)$ ,  $f$  can be expanded by

$$f = \sum_{\lambda \in D} d_\lambda \sum_{i,j=1}^{d_\lambda} ((f, \pi_{ij}^\lambda)) \pi_{ij}^\lambda$$

in the sense of the uniform convergence on  $M$  or the  $L^2$ -convergence with respect to  $((, ))$ .

**3.3.** In this part, for each  $(, ) \in \mathcal{M}_0$ , we calculate  $\lambda_1(g)$  for the Riemannian metric  $g$  induced from  $(, )$ , making use of the Peter-Weyl theorem.

**REMARK.** Let  $C_R^\infty(M)$  be the set of all  $\mathbf{R}$ -valued infinitely differentiable functions on  $M$ . We notice that the least positive eigenvalue  $\lambda_1(g)$  of  $\Delta_g$  on  $C_R^\infty(M)$  coincides the least positive eigenvalue of the extension of  $\Delta_g$  on  $C^\infty(M)$  (cf. [3] p. 141).

**THEOREM 2.** The above notations are preserved. Let  $(, )$  be any element in  $\mathcal{M}_0$ . Then the least positive eigenvalue  $\lambda_1(g)$  of  $\Delta_g$  for the Riemannian metric  $g$  induced from  $(, )$  is given as follows:

$$\lambda_1(g) = \min_{\lambda \in D - \{0\}} \min_{v \in V_\lambda, ((v,v))=1} ((\pi^\lambda(C_g)v, v)),$$

where  $\pi^\lambda(C_g) = -\sum_{i=1}^n \pi^\lambda(Y_i)^2$  for an orthonormal basis  $\{Y_i\}_{i=1}^n$  of  $\mathfrak{m}$  with respect to  $(, )$ .

**LEMMA 1.** The assumptions in Theorem 2 are preserved. For an irreducible representation  $(\pi^\lambda, V_\lambda)$ ,  $\lambda \in D$ , the operator  $\Delta_g$  satisfies

$$(3.3) \quad \Delta_g \circ \Phi_\lambda(v \otimes \xi) = \Phi_\lambda \circ (\pi^\lambda(C_g)v \otimes \xi)$$

for all  $v \in V_\lambda, \xi \in V_\lambda^*$ .

PROOF. For  $X \in \mathfrak{m}, v \in V_\lambda$  and  $\xi \in V_\lambda^*$ , we have

$$\begin{aligned} X(\Phi_\lambda(v \otimes \xi))(x) &= \left[ \frac{d}{dt} \Phi_\lambda(v \otimes \xi)(x \exp(tX)) \right]_{t=0} \\ &= \left[ \frac{d}{dt} \xi(\pi^\lambda(x \exp(tX)v)) \right]_{t=0} \\ &= \xi(\pi^\lambda(x)\pi^\lambda(X)v) = \Phi_\lambda(\pi^\lambda(X)v \otimes \xi)(x). \end{aligned}$$

Thus, due to corollary 1 in § 2, lemma 1 is immediate.

Q. E. D.

From lemma 1, the operator  $\Delta_g$  preserves  $\theta^\lambda$  for each  $\lambda \in D$ . So we investigate the eigenfunctions of  $\Delta_g$  in  $\theta^\lambda$ . The  $M$ -invariance of  $((, ))$  implies  $((\pi^\lambda(X)u, v)) = -((u, \pi^\lambda(X)v))$  for  $X \in \mathfrak{m}$ , and we have

$$((\pi^\lambda(C_g)u, v)) = ((u, \pi^\lambda(C_g)v))$$

for all  $u, v \in V_\lambda$ . Then for each  $\lambda \in D - (0)$ , there exist positive numbers  $\lambda_i (1 \leq i \leq d_\lambda)$  and an orthonormal basis  $\{v_i\}_{i=1}^{d_\lambda}$  of  $V_\lambda$  such that  $\pi^\lambda(C_g)v_i = \lambda_i v_i$ . We choose the dual basis  $\{\xi_i\}_{i=1}^{d_\lambda}$  of  $V_\lambda^*$  for  $\{v_i\}_{i=1}^{d_\lambda}$ . Then

$$\Phi_\lambda(v_j \otimes \xi_i)(x) = \xi_i(\pi^\lambda(x)v_j) = ((\pi^\lambda(x)v_j, v_i)) = \pi_{ij}^\lambda(x)$$

for each  $x \in M$  and

$$\Delta_g(\pi_{ij}^\lambda) = \Delta_g \Phi_\lambda(v_j \otimes \xi_i) = \Phi_\lambda(\pi^\lambda(C_g)v_j \otimes \xi_i) = \lambda_j \Phi_\lambda(v_j \otimes \xi_i) = \lambda_j \pi_{ij}^\lambda$$

by lemma 1. Therefore the functions  $\{\sqrt{d_\lambda} \pi_{ij}^\lambda\}_{i,j=1}^{d_\lambda}$  form an orthonormal basis of  $\theta^\lambda$  with respect to  $((, ))$  (the Peter-Weyl theorem) and also eigenfunctions of  $\Delta_g$  for the eigenvalues  $\lambda_i$ . Then the least positive eigenvalue of  $\Delta_g$  on  $\theta^\lambda$  coincides with  $\min. \{\lambda_1, \dots, \lambda_{d_\lambda}\} = \min_{v \in V_\lambda, ((v,v))=1} ((\pi^\lambda(C_g)v, v))$ . Thus we obtain

LEMMA 2. For  $\lambda \in D - (0)$ , the least positive eigenvalue of  $\Delta_g$  on  $\theta^\lambda$  is given by

$$\min_{v \in V_\lambda, ((v,v))=1} ((\pi^\lambda(C_g)v, v)).$$

Its multiplicity coincides  $d_\lambda$  times the multiplicity of the least positive eigenvalue of  $\pi^\lambda(C_g)$  on  $V_\lambda$ .

Lemma 2 and the Peter-Weyl theorem imply theorem 2.

3.4. Making use of theorem 2, we give an estimation of  $\lambda_1(g)$ .

We choose an orthonormal basis of  $\mathfrak{m}$  with respect to the inner product  $(, )_0$  as follows: For  $\alpha \in \mathcal{A}$ , let  $E_\alpha$  be a root vector such that  $\tau E_\alpha = E_{-\alpha}, (E_\alpha, E_{-\alpha})_0 = 1$  and  $[E_\alpha, E_{-\alpha}] = \sqrt{-1}H_\alpha$ . Here the mapping  $\tau$  is the conjugation of  $\mathfrak{m}^c$  with respect to  $\mathfrak{m}$ . For  $\alpha \in \mathcal{A}^+$ , put  $U_\alpha = E_\alpha + E_{-\alpha}, V_\alpha = \sqrt{-1}(E_\alpha - E_{-\alpha})$  belong-

ing to  $\mathfrak{m}$ . Let  $\{H_i\}_{i=1}^l$  be an orthonormal basis of  $\mathfrak{t}$  with respect to  $(\cdot, \cdot)_0$ . Then

$$(3.4) \quad \left\{ \frac{1}{\sqrt{2}} U_\alpha, \frac{1}{\sqrt{2}} V_\alpha, H_i; \alpha \in \mathcal{A}^+, 1 \leq i \leq l \right\}$$

is an orthonormal basis of  $\mathfrak{m}$  with respect to  $(\cdot, \cdot)_0$ .

For  $A \in SL(n, \mathbf{R})$ , ( $n = \dim M$ ), let  $g$  be the left invariant Riemannian metric on  $M$  induced from the inner product for  $A$  as in 2.2. Then, due to (2.9'), we can express  $\pi^\lambda(C_g)$  as follows:

$$(3.5) \quad \begin{aligned} \pi^\lambda(C_g) = & -\frac{1}{2} \sum_{\alpha, \beta \in \mathcal{A}^+} (A {}^t A)_{\alpha\beta} (\pi^\lambda(E_\alpha) + \pi^\lambda(E_{-\alpha})) (\pi^\lambda(E_\beta) + \pi^\lambda(E_{-\beta})) \\ & + \frac{1}{2} \sum_{\alpha, \beta \in \mathcal{A}^+} (A {}^t A)_{\bar{\alpha}\bar{\beta}} (\pi^\lambda(E_\alpha) - \pi^\lambda(E_{-\alpha})) (\pi^\lambda(E_\beta) - \pi^\lambda(E_{-\beta})) \\ & - \frac{\sqrt{-1}}{2} \sum_{\alpha, \beta \in \mathcal{A}^+} (A {}^t A)_{\alpha\bar{\beta}} (\pi^\lambda(E_\alpha) + \pi^\lambda(E_{-\alpha})) (\pi^\lambda(E_\beta) - \pi^\lambda(E_{-\beta})) \\ & - \frac{\sqrt{-1}}{2} \sum_{\alpha, \beta \in \mathcal{A}^+} (A {}^t A)_{\bar{\alpha}\beta} (\pi^\lambda(E_\alpha) - \pi^\lambda(E_{-\alpha})) (\pi^\lambda(E_\beta) + \pi^\lambda(E_{-\beta})) \\ & - \frac{1}{\sqrt{2}} \sum_{\alpha \in \mathcal{A}^+, i} (A {}^t A)_{\alpha i} ((\pi^\lambda(E_\alpha) + \pi^\lambda(E_{-\alpha})) \pi^\lambda(H_i) \\ & \quad + \pi^\lambda(H_i) (\pi^\lambda(E_\alpha) + \pi^\lambda(E_{-\alpha}))) \\ & - \frac{\sqrt{-1}}{\sqrt{2}} \sum_{\alpha \in \mathcal{A}^+, i} (A {}^t A)_{\bar{\alpha} i} ((\pi^\lambda(E_\alpha) - \pi^\lambda(E_{-\alpha})) \pi^\lambda(H_i) \\ & \quad + \pi^\lambda(H_i) (\pi^\lambda(E_\alpha) - \pi^\lambda(E_{-\alpha}))) \\ & - \sum_{i, j=1}^l (A {}^t A)_{ij} \pi^\lambda(H_i) \pi^\lambda(H_j). \end{aligned}$$

Now let  $v_\lambda \in V_\lambda$  be the highest weight vector with  $((v_\lambda, v_\lambda)) = 1$ . Then, due to (3.1), we have  $\pi^\lambda(E_\alpha)v_\lambda = 0$  ( $\alpha \in \mathcal{A}^+$ ) and  $\pi^\lambda(E_\alpha)\pi^\lambda(E_{-\alpha})v_\lambda = \pi^\lambda([E_\alpha, E_{-\alpha}])v_\lambda = -\lambda(H_\alpha)v_\lambda$ . Thus we have

$$\begin{aligned} ((\pi^\lambda(C_g)v_\lambda, v_\lambda)) = & \frac{1}{2} \sum_{\alpha \in \mathcal{A}^+} ((A {}^t A)_{\alpha\alpha} + (A {}^t A)_{\bar{\alpha}\bar{\alpha}}) \lambda(H_\alpha) \\ & + \sum_{i, j=1}^l (A {}^t A)_{ij} \lambda(H_i) \lambda(H_j). \end{aligned}$$

Therefore, together with theorem 2, the least positive eigenvalue  $\lambda_1(g)$  of  $\mathcal{A}_g$  on  $C^\infty(M)$  is estimated as follows:

$$(3.6) \quad \lambda_1(g) \leq \min_{\lambda \in \mathcal{D}^-(0)} \left[ \frac{1}{2} \sum_{\alpha \in \mathcal{A}^+} ((A {}^t A)_{\alpha\alpha} + (A {}^t A)_{\bar{\alpha}\bar{\alpha}}) \lambda(H_\alpha) \right]$$



$$+ \sum_{i,j=1}^l (A^t A)_{ij} \lambda(H_i) \lambda(H_j) \Big].$$

Since  $((A^t A)_{ij})_{1 \leq i, j \leq l}$  is a symmetric positive definite matrix of degree  $l$ ,

$$\begin{aligned} \sum_{i,j=1}^l (A^t A)_{ij} \lambda(H_i) \lambda(H_j) &\leq \left( \sum_{i=1}^l (A^t A)_{ii} \right) \left( \sum_{i=1}^l \lambda(H_i)^2 \right) \\ &= \left( \sum_{i=1}^l (A^t A)_{ii} \right) (\lambda, \lambda)_0. \end{aligned}$$

Hence by (3.6), we have

$$\begin{aligned} \lambda_1(g) &\leq \min_{\lambda \in D^-(0)} \left[ \frac{1}{2} \sum_{\alpha \in \mathcal{A}^+} ((A^t A)_{\alpha\alpha} + (A^t A)_{\bar{\alpha}\bar{\alpha}}) \lambda(H_\alpha) + \sum_{i=1}^l (A^t A)_{ii} (\lambda, \lambda)_0 \right] \\ &\leq \text{Trace}(A^t A) \min_{\lambda \in D^-(0)} \left[ \sum_{\alpha \in \mathcal{A}^+} \lambda(H_\alpha) + (\lambda, \lambda)_0 \right] \\ &= \text{Trace}(A^t A) \lambda_1(g_0). \end{aligned}$$

Therefore we have theorem 3.

**THEOREM 3.** *Let  $M$  be an  $n$ -dimensional compact connected Lie group. Let  $g_0$  be the  $M$ -bi-invariant Riemannian metric. For any  $A \in SL(n, \mathbf{R})$ , let  $g$  be the left invariant Riemannian metric on  $M$  induced from  $A$  as in 2.2. Then the least positive eigenvalue  $\lambda_1(g)$  of  $\Delta_g$  has the following estimation:*

$$\lambda_1(g) \leq \lambda_1(g_0) \text{Trace}(A^t A).$$

#### § 4. The case of a compact Lie group II.

**4.1.** First, let  $M = T^n$  be an  $n$ -dimensional torus, that is a compact connected abelian Lie group. Let  $\mathfrak{t}$  be the Lie algebra of  $T^n$ . Put  $\Gamma = \{H \in \mathfrak{t}; \exp(H) = e\}$ . Then there exists a basis  $\{H_i\}_{i=1}^n$  of  $\mathfrak{t}$  such that  $\Gamma = \{ \sum_{i=1}^n n_i H_i; n_i \in \mathbf{Z} (1 \leq i \leq n) \}$ . Let  $(, )_0$  be the inner product of  $\mathfrak{t}$  defined by  $(H_i, H_j)_0 = \delta_{ij} (1 \leq i, j \leq n)$ . For  $A \in SL(n, \mathbf{R})$ , put  $H_j' = \sum_{i=1}^n A_{ij} H_i$ . Let  $(, )$  be the inner product defined by  $(H_i', H_j') = \delta_{ij} (1 \leq i, j \leq n)$ . Then the Laplace-Beltrami operator  $\Delta$  (resp.  $\Delta_0$ ) on  $T^n$  corresponding the Riemannian metric induced from  $(, )$  (resp.  $(, )_0$ ) is given by

$$\Delta f = - \sum_{i,j=1}^n (A^t A)_{ij} H_i H_j (f) \quad (\text{resp. } \Delta_0 f = - \sum_{i=1}^n H_i^2 (f))$$

for a smooth function  $f$  on  $T^n$ . Let  $\Gamma^*$  be the dual lattice of  $\Gamma$  in  $\mathfrak{t}^*$ , that is  $\Gamma^* = \{ \sum_{i=1}^n m_i \lambda_i; m_i \in \mathbf{Z} (1 \leq i \leq n) \}$  where  $\{\lambda_i\}_{i=1}^n$  is the dual basis of  $\mathfrak{t}^*$  for  $\{H_i\}_{i=1}^n$ . Then the Peter-Weyl theorem says that each  $f \in C^\infty(T^n)$  can be expanded by

$$f = \sum_{\eta \in \Gamma^*} ((f, \chi_\eta)) \chi_\eta.$$

Here  $((f_1, f_2)) = \int_{T^n} f_1(x) \overline{f_2(x)} \Omega(x)$  where  $\Omega$  is the volume element corresponding to the Riemannian metric on  $T^n$  induced from  $(, )$  or  $(, )_0$ . The function  $\chi_\eta$  for  $\eta = \sum_{i=1}^n m_i \lambda_i \in \Gamma^*$  is given by  $\chi_\eta(x) = \exp(2\pi\sqrt{-1} \sum_{i=1}^n x_i m_i)$  ( $x = \exp(\sum_{i=1}^n x_i H_i) \in T^n$ ). Then the spectrum of  $\mathcal{A}$  on  $C^\infty(T^n)$  is

$$\begin{aligned} \text{Spec}(\mathcal{A}) &= \{4\pi^2 \sum_{i,j=1}^n (A^t A)_{ij} m_i m_j; m_i \in \mathbf{Z} (1 \leq i \leq n)\} \\ &= \{4\pi^2(H, H)_0; H \in \Gamma(A)\}. \end{aligned}$$

Here  $\Gamma(A)$  is the lattice in  $\mathfrak{t}$  spanned by  $\{H_j(A)\}_{j=1}^n$ ,  $H_j(A) = \sum_{k=1}^n A_{jk} H_k$ . That is,  $\text{Spec}(\mathcal{A})$  coincides with the spectrum of the Laplace-Beltrami operator for the Riemannian metric on the flat torus  $\mathfrak{t}/\Gamma(A)$  induced from the inner product  $(, )_0$  on  $\mathfrak{t}$ . Since  $A \in SL(n, \mathbf{R})$ , the volume of the flat torus  $\mathfrak{t}/\Gamma(A)$  is constant in  $A$ . Then there exists (cf. [1], [2]) a positive constant  $k(T^n)$  such that the least positive eigenvalue  $\lambda_1(\mathcal{A})$  of  $\mathcal{A}$  is bounded upper by  $k(T^n)$  for all  $A \in SL(n, \mathbf{R})$ . If  $n=2$ , then the so called equilateral torus attains the maximum of  $\lambda_1(\mathcal{A})$  among the flat tori  $\mathfrak{t}/\Gamma(A)$ ,  $A \in SL(2, \mathbf{R})$ .

**4.2.** Conversely, we obtain

**THEOREM 4.** *Let  $M$  be a compact connected Lie group. We assume  $M$  has the non-trivial commutator subgroup, that is the commutator Lie subalgebra  $\mathfrak{m}_1$  of  $\mathfrak{m}$  is not zero. Then there exists a family of left invariant Riemannian metrics  $g(t)$  ( $0 < t < \infty$ ) on  $M$  such that  $\lim_{t \rightarrow \infty} \lambda_1(g(t)) = \infty$ ,  $\lim_{t \rightarrow 0} \lambda_1(g(t)) = 0$  and  $\text{vol}(M, g(t))$  is constant in  $t$ .*

**PROOF.** Put  $r = \#\mathcal{A}^+ > 0$  and  $p = \text{rank}(M_1)$ . Let  $\mathfrak{z}$  be the center of  $\mathfrak{m}$  and let  $Z_0$  be the connected subgroup of  $M$  corresponding to  $\mathfrak{z}$ . Put  $q = \text{dim. } \mathfrak{z}$ . Then  $\mathfrak{t} = \mathfrak{t}_1 + \mathfrak{z}$  ( $\mathfrak{t}_1 = \mathfrak{t} \cap \mathfrak{m}_1$ ) and  $\mathfrak{m} = \mathfrak{m}_1 + \mathfrak{z}$ . Choose an orthonormal basis  $\{H_i\}_{i=1}^p$  of  $\mathfrak{t}_1$  with respect to  $(, )_0$  such that  $\{H_i\}_{i=1}^p$  spans  $\mathfrak{t}_1$  and  $\{H_{p+i}\}_{i=1}^q$  spans  $\mathfrak{z}$ . Let  $A$  be a diagonal matrix in  $SL(n, \mathbf{R})$  which has the components:

$$\begin{cases} A_{\alpha\alpha} = A_{\bar{\alpha}\bar{\alpha}} = t^{1/2}, \alpha \in \mathcal{A}^+, \\ A_{ii} = t^{-(n-p)/2p}, 1 \leq i \leq p, \\ A_{p+i, p+i} = t^{1/2}, 1 \leq i \leq q, \end{cases}$$

for any positive number  $t$ . Let  $(, )$  be the inner product on  $\mathfrak{m}$  induced from  $A$ . Then the decomposition  $\mathfrak{m} = \mathfrak{m}_1 + \mathfrak{z}$  is orthogonal with respect to this  $(, )$ . Let  $\tilde{g}(t)$  (resp.  $g(t), g_1(t), g_0(t)$ ) be the left invariant Riemannian metric on the compact Lie group  $M_1 \times Z_0$  (resp.  $M, M_1, Z_0$ ). Let  $\mathcal{A}_{\tilde{g}(t)}$  (resp.  $\mathcal{A}_{g(t)}, \mathcal{A}_{g_1(t)}, \mathcal{A}_{g_0(t)}$ ) be

the corresponding Laplace-Beltrami operator on  $M_1 \times Z_0$  (resp.  $M, M_1, Z_0$ ). Let  $\lambda_1(\tilde{g}(t))$  (resp.  $\lambda_1(g(t)), \lambda_1(g_1(t)), \lambda_1(g_0(t))$ ) be the least positive eigenvalue of  $\Delta_{\tilde{g}(t)}$  (resp.  $\Delta_{g(t)}, \Delta_{g_1(t)}, \Delta_{g_0(t)}$ ). Then

LEMMA 3. *The above notations are preserved. We have*

$$\lambda_1(g(t)) \geq \min. \{ \lambda_1(g_1(t)), \lambda_1(g_0(t)) \} = \min. \{ \lambda_1(g_1(t)), t\lambda_1(g_0(1)) \}.$$

PROOF. Since the covering mapping  $M_1 \times Z_0 \ni (m, z) \rightarrow mz \in M$  is the Riemannian covering mapping of  $(M_1 \times Z_0, \tilde{g}(t))$  onto  $(M, g(t))$ , due to [3] p. 145,  $\text{Spec}(\Delta_{g(t)}) \subset \text{Spec}(\Delta_{\tilde{g}(t)})$ . So  $\lambda_1(g(t)) \geq \lambda_1(\tilde{g}(t))$ . Due to [3] p. 144,

$$\text{Spec}(\Delta_{\tilde{g}(t)}) = \{ \lambda + \lambda' ; \lambda \in \text{Spec}(\Delta_{g_1(t)}, \lambda' \in \text{Spec}(\Delta_{g_0(t)}) \}.$$

So,

$$\lambda_1(\tilde{g}(t)) = \min. \{ \lambda_1(g_1(t)), \lambda_1(g_0(t)) \}. \quad \text{Q. E. D.}$$

Now for an irreducible representation  $(\pi^\lambda, V_\lambda), \lambda \in D$ , of  $M$ , we have, due to (3.5),

$$\begin{aligned} (4.1) \quad \pi^\lambda(C_{g(t)}) &= -t \sum_{\alpha \in \mathcal{D}^+} (\pi^\lambda(E_\alpha)\pi^\lambda(E_{-\alpha}) + \pi^\lambda(E_{-\alpha})\pi^\lambda(E_\alpha)) \\ &\quad - t^{-(n-p)/p} \sum_{i=1}^p \pi^\lambda(H_i)^2 - t \sum_{i=1}^q \pi^\lambda(H_{p+i})^2 \\ &= t\pi^\lambda(C_{g(1)}) + (t^{-(n-p)/p} - t) \left( - \sum_{i=1}^p \pi^\lambda(H_i)^2 \right). \end{aligned}$$

Here  $\pi^\lambda(C_{g(1)}) = - \sum_{\alpha \in \mathcal{D}^+} (\pi^\lambda(E_\alpha)\pi^\lambda(E_{-\alpha}) + \pi^\lambda(E_{-\alpha})\pi^\lambda(E_\alpha)) - \sum_{i=1}^l \pi^\lambda(H_i)^2$  is the Casimir operator for  $(\pi^\lambda, V_\lambda)$ . Then it is known (cf. [7]) that

$$\pi^\lambda(C_{g(1)})v = (\lambda + 2\delta, \lambda)_0 v \quad \text{for all } v \in V_\lambda$$

where  $\delta = \frac{1}{2} \sum_{\alpha \in \mathcal{D}^+} \alpha$ . Hence we have

$$\begin{aligned} (4.2) \quad \min_{v \in V_\lambda, \langle (v, v) \rangle = 1} ((\pi^\lambda(C_{g(t)})v, v)) \\ = \min_{v \in V_\lambda, \langle (v, v) \rangle = 1} [t(\lambda + 2\delta, \lambda)_0 + (t^{-(n-p)/p} - t) \left( - \sum_{i=1}^p \pi^\lambda(H_i)^2 v, v \right)]. \end{aligned}$$

Therefore 1) if  $t \leq 1$  (i. e.  $t^{-(n-p)/p} - t \geq 0$ ), then the right hand side of (4.2) is

$$(4.3) \quad t(\lambda + 2\delta, \lambda)_0 + (t^{-(n-p)/p} - t) \min_{v \in V_\lambda, \langle (v, v) \rangle = 1} \left( - \sum_{i=1}^p \pi^\lambda(H_i)^2 v, v \right).$$

2) if  $t \geq 1$  (i. e.  $t^{-(n-p)/p} - t \leq 0$ ), then the one of (4.2) is

$$(4.3') \quad t(\lambda + 2\delta, \lambda)_0 + (t^{-(n-p)/p} - t) \max_{v \in V_\lambda, \langle (v, v) \rangle = 1} \left( - \sum_{i=1}^p \pi^\lambda(H_i)^2 v, v \right).$$

LEMMA 4. For an irreducible representation  $(\pi^\lambda, V_\lambda)$ ,  $\lambda \in D$  of  $M$ ,

$$\min_{v \in V_\lambda, ((v, v))=1} ((-\sum_{i=1}^p \pi^\lambda(H_i)^2 v, v)) = \min.\{(\mu_1, \mu_1)_0; \mu, \text{ weight of } V_\lambda\},$$

and

$$\max_{v \in V_\lambda, ((v, v))=1} ((-\sum_{i=1}^p \pi^\lambda(H_i)^2 v, v)) = \max.\{(\mu_1, \mu_1)_0; \mu, \text{ weight of } V_\lambda\}.$$

Here for  $\mu \in \mathfrak{t}^*$ , let  $\mu_1 \in \mathfrak{t}_1^*$  be the restriction to  $\mathfrak{t}_1$  of  $\mu$  and  $(\mu_1, \mu_1)_0$  be the inner product on  $\mathfrak{t}_1$  induced from  $(, )_0$ .

PROOF. Corresponding to the decomposition  $V_\lambda = \sum_{\mu \in I} V^\mu$ , for every  $v \in V_\lambda$ , put  $v = \sum_{\mu \in I, i=1, \dots, \dim V^\mu} a_{\mu, i} v_{\mu, i}$ ,  $a_{\mu, i} \in \mathbb{C}$  where  $\{v_{\mu, i}\}_{i=1, \dots, \dim V^\mu}$  is an orthonormal basis of  $V^\mu$  with respect to  $((, ))$  for each weight  $\mu$  of  $V_\lambda$ . Then

$$\begin{aligned} (4.4) \quad ((-\sum_{i=1}^p \pi^\lambda(H_i)^2 v, v)) &= \sum_{\mu \in I, i=1, \dots, \dim V^\mu} |a_{\mu, i}|^2 (\sum_{i=1}^p \mu(H_i)^2) \\ &= \sum_{\mu \in I, i=1, \dots, \dim V^\mu} |a_{\mu, i}|^2 (\mu_1, \mu_1)_0. \end{aligned}$$

Then the maximum (resp. minimum) of (4.4) with the condition

$$((v, v)) = \sum_{\mu \in I, i=1, \dots, \dim V^\mu} |a_{\mu, i}|^2 = 1,$$

coincides with the desired result.

Q. E. D.

The case of 1);  $t \leq 1$ . There exists  $\lambda_0 \in D - (0)$  such that  $V_{\lambda_0}$  has a non-zero 0-weight vector  $v_0$ , that is  $\pi^{\lambda_0}(H)v_0 = 0$ , for all  $H \in \mathfrak{t}$ . Then (4.3) coincides  $t(\lambda_0 + 2\delta, \lambda_0)_0$ . Hence

$$\lambda_1(g(t)) \leq t(\lambda_0 + 2\delta, \lambda_0)_0.$$

Therefore we have  $\lim_{t \rightarrow 0} \lambda_1(g(t)) = 0$ .

The case of 2);  $t \geq 1$ . Let  $D_1$  be the set of all highest weights of  $M_1$  with respect to the order on  $\mathfrak{t}_1$  induced from the one on  $\mathfrak{t}$ . Then for an irreducible representation  $(\pi^\lambda, V_\lambda)$  of  $M_1$ ,  $\lambda \in D_1$ , by (4.3) and lemma 4, we obtain

$$\begin{aligned} (4.5) \quad \max_{v \in V_\lambda, ((v, v))=1} ((\pi^\lambda(C_{g_1(t)})v, v)) &= t(\lambda + 2\delta, \lambda)_0 + (t^{-(n-p)/p} - t) \\ &\quad \times \max.\{(\mu, \mu)_0; \mu, \text{ weight of } V_\lambda\} \end{aligned}$$

where  $\pi^\lambda(C_{g_1(t)}) = -\sum_{i=1}^{n-q} \pi^\lambda(Y_i)^2$  for an orthonormal basis  $\{Y_i\}_{i=1}^{n-q}$  of  $\mathfrak{m}_1$  with respect to the inner product on  $\mathfrak{m}_1$  corresponding to  $A$ . It is noticed (cf. [6] 19-06) that for every irreducible representation  $(\pi^\lambda, V_\lambda)$  of  $M_1$ ,

$$(\mu, \mu)_0 \leq (\lambda, \lambda)_0$$

for all weight  $\mu$  of  $V_\lambda$ . In fact, for each weight  $\mu$  of  $V_\lambda$ , there exist an orthogonal transformation  $S$  with respect to  $(\cdot, \cdot)_0$  and a weight  $\nu$  of  $V_\lambda$  such that  $\mu=S(\nu)$  and  $\nu \in D_1$ . Every weight  $\nu$  of  $V_\lambda$  can be expressed as  $\nu=\lambda-\sum_{i=1}^p m_i \alpha_i$ ,  $m_i \geq 0 (1 \leq i \leq p)$ . Then

$$\begin{aligned} (\lambda, \lambda)_0 &= (\nu + \sum_{i=1}^p m_i \alpha_i, \nu + \sum_{i=1}^p m_i \alpha_i)_0 \\ &= (\nu, \nu)_0 + 2(\nu, \sum_{i=1}^p m_i \alpha_i)_0 + (\sum_{i=1}^p m_i \alpha_i, \sum_{i=1}^p m_i \alpha_i)_0 \geq (\nu, \nu)_0 = (\mu, \mu)_0. \end{aligned}$$

Then the right hand side of (4.5) is greater than  $t(\lambda, 2\delta)_0$ . Hence we have

$$\lambda_1(g_1(t)) \geq t \min_{\lambda \in D_1 - \{0\}} (2\delta, \lambda)_0.$$

We notice that  $C_1 = \min_{\lambda \in D_1 - \{0\}} (2\delta, \lambda)_0 = \min\{(2\delta, \lambda_1)_0, \dots, (2\delta, \lambda_p)_0\} > 0$ . Therefore by lemma 3,

$$\lambda_1(g(t)) \geq t \min\{\lambda_1(g_0(1)), C_1\},$$

that is  $\lim_{t \rightarrow \infty} \lambda_1(g(t)) = \infty$ .

Q. E. D.

**§ 5. The case of  $SU(2)$  and  $SO(3)$ .**

In this section, we investigate the behavior of  $\lambda_1(g)$  for left invariant Riemannian metrics on  $SU(2)$  and  $SO(3)$ .

Let  $M = SU(2) = \{x \in SL(2, \mathbf{C}); x^t \bar{x} = I\}$ , and  $\mathfrak{m} = \mathfrak{su}(2) = \left\{ \begin{pmatrix} \sqrt{-1} \theta & \alpha \\ -\bar{\alpha} & -\sqrt{-1} \theta \end{pmatrix}; \alpha \in \mathbf{C}, \theta \in \mathbf{R} \right\}$  isomorphic with  $\mathfrak{so}(3)$  as a Lie algebra. Let  $(X, Y)_0 = -B(X, Y) = -4 \text{Trace}(XY)$  for  $X, Y \in \mathfrak{m}$  where  $B$  is the Killing form on  $\mathfrak{m}$ . Let  $\mathfrak{t} = \left\{ \begin{pmatrix} \sqrt{-1} \theta & 0 \\ 0 & -\sqrt{-1} \theta \end{pmatrix}; \theta \in \mathbf{R} \right\}$ . Let  $\mathfrak{A}^+ = \{\alpha\}$ ,  $\alpha; \mathfrak{t} \ni \begin{pmatrix} \sqrt{-1} \theta & 0 \\ 0 & -\sqrt{-1} \theta \end{pmatrix} \mapsto 2\theta \in \mathbf{R}$ . Put  $E_\alpha = \begin{pmatrix} 0 & \sqrt{-1}/2 \\ 0 & 0 \end{pmatrix}$ ,  $E_{-\alpha} = \begin{pmatrix} 0 & 0 \\ \sqrt{-1}/2 & 0 \end{pmatrix}$  and  $H_\alpha = \frac{\sqrt{-1}}{4} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Then  $(\alpha, \alpha)_0 = (H_\alpha, H_\alpha)_0 = 1/2$ . The element  $\frac{1}{\sqrt{2}} U_\alpha = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & \sqrt{-1}/2 \\ \sqrt{-1}/2 & 0 \end{pmatrix}$ ,  $\frac{1}{\sqrt{2}} V_\alpha = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -1/2 \\ 1/2 & 0 \end{pmatrix}$  and  $H_1 = \sqrt{2} H_\alpha = \frac{\sqrt{-1}}{2\sqrt{2}} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  form the basis of  $\mathfrak{m}$  as in (3.4). Put  $H_\alpha^* = \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & -\sqrt{-1} \end{pmatrix}$ . Then  $\Gamma = \{H \in \mathfrak{t}; \exp(H) = e\} = 2\pi \mathbf{Z} H_\alpha^* = 2\pi \mathbf{Z} \begin{pmatrix} \sqrt{-1} & 0 \\ 0 & -\sqrt{-1} \end{pmatrix}$ ,  $I = \{\lambda \in \mathfrak{t}^*; \lambda(H_\alpha^*) \in \mathbf{Z}\} = \{\lambda \alpha; l \in 1/2 \mathbf{Z}\}$  and  $D = \{\lambda \in I; (\lambda, \alpha)_0 \geq 0\} = \{\lambda \alpha; l \in 1/2 \mathbf{Z}, l \geq 0\}$ . In case of  $M = SO(3)$ , under the identification of  $\mathfrak{su}(2)$  with  $\mathfrak{so}(3)$ ,  $D = \{\lambda \alpha; l \in \mathbf{Z}, l \geq 0\}$ .

For  $A \in SL(3, \mathbf{R})$ , let  $\Delta_{g(A)}$  be the Laplace-Beltrami operator on  $SU(2)$  (resp.  $SO(3)$ ) of the left invariant Riemannian metric  $g(A)$  on  $SU(2)$  (resp.  $SO(3)$ ) induced from  $A$  as in 2.2. Then the estimation (3.6) of the least positive eigenvalue  $\lambda_1(A)$  of  $\Delta_{g(A)}$  on  $SU(2)$  (resp.  $SO(3)$ ) becomes

$$(5.1) \quad \lambda_1(A) \leq \min_{l \in \mathbf{1}/2\mathbf{Z}, t > 0} \left[ \frac{l}{4} ((A^t A)_{\alpha\alpha} + (A^t A)_{\bar{\alpha}\bar{\alpha}}) + \frac{l^2}{2} (A^t A)_{11} \right],$$

$$\left( \text{resp. } \lambda_1(A) \leq \min_{l \in \mathbf{Z}, t > 0} \left[ \frac{l}{4} ((A^t A)_{\alpha\alpha} + (A^t A)_{\bar{\alpha}\bar{\alpha}}) + \frac{l^2}{2} (A^t A)_{11} \right] \right).$$

Let  $A = \begin{pmatrix} A_{\alpha\alpha} & 0 & 0 \\ 0 & A_{\bar{\alpha}\bar{\alpha}} & 0 \\ 0 & 0 & A_{11} \end{pmatrix} \in SL(3, \mathbf{R})$  such that  $A_{\alpha\alpha} = A_{\bar{\alpha}\bar{\alpha}} = t^{1/2}$ ,  $A_{11} = t^{-1}$  ( $t > 0$ ).

Let  $g(t)$  be the Riemannian metric on  $SU(2)$  ( $SO(3)$ ) induced from  $A$ . For an irreducible representation  $(\pi^\lambda, V_\lambda)$ ,  $\lambda = l\alpha \in D$ , of  $SU(2)$  ( $SO(3)$ ), (4.1) becomes

$$(5.2) \quad \pi^\lambda(C_{g(t)})v = t\pi^\lambda(C_{g(1)})v + (t^{-2} - t)(-\pi^\lambda(H_1)^2)v.$$

For  $v \in V_\lambda$ ,  $\pi^\lambda(C_{g(1)})v = \frac{1}{2}l(l+1)v$ . Let  $v_\lambda$  be the highest weight vector of  $V_\lambda$ .

Put  $v_l = v_\lambda$ ,  $v_{l-1} = \pi^\lambda(E_{-\alpha})v_l$ ,  $v_{l-2} = \pi^\lambda(E_{-\alpha})v_{l-1}$ ,  $\dots$ . Then the  $(2l+1)$  elements  $\{v_l, v_{l-1}, \dots, v_{1-l}, v_{-l}\}$  of  $V_\lambda$  form a basis of  $V_\lambda$  such that

$$\pi^\lambda(H_\alpha)v_m = \frac{\sqrt{-1}}{2}mv_m \quad (m = l, l-1, \dots, 1-l, -l).$$

The  $(2l+1)$  elements  $m\alpha$  ( $m = l, l-1, \dots, 1-l, -l$ ) of  $t^*$  form all weights of  $V_\lambda$  with the weight vector  $v_m$ . Put  $w_m = b_m v_m$ ,  $b_m \in \mathbf{R}$  to be normalized with respect to  $((, ))$ . Then

$$(5.3) \quad \pi^\lambda(C_{g(t)})w_m = \frac{t}{2}l(l+1)w_m + (t^{-2} - t)\frac{m^2}{2}w_m.$$

Hence for  $(\pi^\lambda, V_\lambda)$ ,

$$(5.4) \quad \min_{v \in V_\lambda, ((v, v))=1} ((\pi^\lambda(C_{g(t)})v, v))$$

$$= \frac{t}{2}l(l+1) + \min_{\sum_{m=-l}^l |c_m|^2 = 1, c_m \in \mathbf{C}} \left[ \left( \sum_{m=-l}^l |c_m|^2 m^2 \right) \left( \frac{t^{-2} - t}{2} \right) \right]$$

$$= \frac{t}{2}l(l+1) + \begin{cases} \frac{t^{-2} - t}{2} \max_{\sum_{m=-l}^l |c_m|^2 = 1} \left( \sum_{m=-l}^l |c_m|^2 m^2 \right) & (t \geq 1), \\ \frac{t^{-2} - t}{2} \min_{\sum_{m=-l}^l |c_m|^2 = 1} \left( \sum_{m=-l}^l |c_m|^2 m^2 \right) & (t \leq 1). \end{cases}$$

Here  $\max.(\sum_{m=-l}^l |c_m|^2 m^2) = l^2$  and  $\min.(\sum_{m=-l}^l |c_m|^2 m^2) = \begin{cases} 0 & (l \in \mathbf{Z}), \\ \frac{1}{4} & (l \notin \mathbf{Z}). \end{cases}$

Therefore we have

THEOREM 5. 1) Let  $M = SU(2)$ , diffeomorphic with  $S^3$ . For  $A \in SL(3, \mathbf{R})$ ,

$$\lambda_1(A) \leq \frac{1}{8} \text{Trace}(A^t A).$$

There exists a family of left invariant Riemannian metrics  $g(t)$  ( $t > 0$ ) on  $SU(2)$  such that

$$\lambda_1(g(t)) = \begin{cases} \frac{t}{4} + \frac{t^{-2}}{8} & (t > \frac{1}{\sqrt[3]{6}}) \text{ with the multiplicity } 4, \\ \frac{1}{\sqrt[3]{6}} & (t = \frac{1}{\sqrt[3]{6}}) \text{ with the multiplicity } 7, \\ t & (t < \frac{1}{\sqrt[3]{6}}) \text{ with the multiplicity } 3. \end{cases}$$

2) Let  $M = SO(3)$ , diffeomorphic with  $P^3(\mathbf{R})$ . For  $A \in SL(3, \mathbf{R})$ ,

$$\lambda_1(A) \leq \frac{1}{4}((A^t A)_{\alpha\alpha} + (A^t A)_{\alpha\bar{\alpha}}) + \frac{1}{2}(A^t A)_{11}.$$

There exists a family of left invariant Riemannian metrics  $g(t)$  ( $t > 0$ ) on  $SO(3)$  such that

$$\lambda_1(g(t)) = \begin{cases} \frac{t}{2} + \frac{t^{-2}}{2} & (t > 1) \text{ with the multiplicity } 6, \\ 1 & (t = 1) \text{ with the multiplicity } 9, \\ t & (t < 1) \text{ with the multiplicity } 3. \end{cases}$$

§ 6. The case of  $SU(2)$ .

Following [1] p. 146, we prepare some notions. For a Riemannian manifold  $(M, g)$  with the involutive isometry  $\sigma$ , that is  $\sigma^2 = id$ , let

$$C^+ = \{f \in C^\infty(M); f \circ \sigma = f\}, C^- = \{f \in C^\infty(M); f \circ \sigma = -f\}.$$

Put  $C_\lambda^+ = C^+ \cap C_\lambda^\infty(M)$  and  $C_\lambda^- = C^- \cap C_\lambda^\infty(M)$  where  $C_\lambda^\infty(M)$  is the eigenspace for the eigenvalue  $\lambda$  of  $\Delta_g$  in  $C^\infty(M)$ . Let

$$\lambda_1^+(g) = \inf. \{\lambda > 0; C_\lambda^+ \neq 0\}, \lambda_1^-(g) = \inf. \{\lambda > 0; C_\lambda^- \neq 0\}.$$

Then M. Berger has conjectured (cf. [1] p. 146)

$$(6.1) \quad \lambda_1^-(g) \leq \lambda_1^+(g).$$

In this section, we investigate this problem in case of  $SU(2) \cong S^3$ . We preserve the notations in § 5. Let  $x_0 = \exp(4\pi H_\alpha) = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \in SU(2)$ . Let  $\sigma$  be the left translation of  $SU(2)$  by  $x_0$ . Then  $\sigma$  coincides the mapping  $S^3 \ni p \mapsto \tilde{p} \in S^3$  (the antipodal point of  $p$ ) under the identification  $SU(2) \ni \begin{pmatrix} \alpha & \beta \\ -\bar{\beta} & \bar{\alpha} \end{pmatrix} \mapsto (\alpha, \beta) \in \{(\alpha, \beta) \in \mathbf{C}^2; |\alpha|^2 + |\beta|^2 = 1\} \cong S^3$ . Then the Riemannian metric  $g(t)$  ( $0 < t < \infty$ ) in § 5 satisfies

$$(6.2) \quad \sigma^*g(t) = g(t)$$

due to the left invariance of  $g(t)$ . For this isometry  $\sigma$  of  $(SU(2), g(t))$  ( $0 < t < \infty$ ), we calculate  $\lambda_1^+(g(t))$  and  $\lambda_1^-(g(t))$  as follows:

THEOREM 6. *Let  $M = SU(2) \cong S^3$ . The above situations are preserved. Then we obtain*

$$\lambda_1^+(g(t)) = \begin{cases} \frac{t}{2} + \frac{t^{-2}}{2} & (1 \leq t < \infty), \\ t & (0 < t < 1), \end{cases}$$

$$\lambda_1^-(g(t)) = \frac{t}{4} + \frac{t^{-2}}{8} \quad (0 < t < \infty).$$

Thus, in particular, we have

$$\lambda_1^+(g(t)) < \lambda_1^-(g(t)) \quad \left(0 < t < \frac{1}{\sqrt[3]{6}}\right),$$

which implies M. Berger's conjecture (6.1) is negative for  $S^3$ .

PROOF. For  $\lambda = l\alpha \in D$  ( $l \in 1/2\mathbf{Z}$ ), the matrix elements  $\pi_{mm'}^\lambda$  (cf. 3.2) of  $(\pi^\lambda, V_\lambda)$  satisfy

$$\begin{cases} \pi_{mm'}^\lambda \circ \sigma = \pi_{mm'}^\lambda & \text{if } m \in \mathbf{Z} \ (m=l, l-1, \dots, 1-l, -l), \\ \pi_{mm'}^\lambda \circ \sigma = -\pi_{mm'}^\lambda & \text{if } m \in \mathbf{Z} \ (m=l, l-1, \dots, 1-l, -l). \end{cases}$$

Because we have  $\pi_{mm'}^\lambda \circ \sigma(x) = ((\pi^\lambda(x_0 x)w_{m'}, w_m)) = ((\pi^\lambda(x)w_{m'}, \pi^\lambda(\exp(-4\pi H_\alpha)w_m)) = \exp(\sqrt{-1} 2\pi m) \pi_{mm'}^\lambda(x)$ . Then we have

$$\begin{cases} (1) \text{ if } \lambda = l\alpha \ (l \in \mathbf{Z}), \text{ all } \pi_{mm'}^\lambda \text{ belong to } C^+, \\ (2) \text{ if } \lambda = l\alpha \ (l \in \mathbf{Z}), \text{ all } \pi_{mm'}^\lambda \text{ belong to } C^-. \end{cases}$$

Moreover, since  $\Delta_{g(t)}(\pi_{mm'}^\lambda) = \lambda_m \pi_{mm'}^\lambda$  where  $\pi^\lambda(C_{g(t)}w_m) = \lambda_m w_m$  (cf. proof of lemma 2), all  $\pi_{mm'}^\lambda$  satisfy

$$(6.3) \quad \Delta_{g(t)} \pi_{mm'}^\lambda = \left\{ \frac{t}{2} l(l+1) + (t^{-2} - t) \frac{(m')^2}{2} \right\} \pi_{mm'}^\lambda$$

for all  $\lambda = l\alpha$  ( $l \in 1/2\mathbf{Z}$ ), by (5.3).

Thus, together (1) and (2), we have



$$\begin{cases} \lambda_1^+(g(t)) = \min_{l \in \mathbb{Z}} \min_{m' = l, l-1, \dots, 1-l, -l} \left\{ \frac{t}{2} l(l+1) + (t^{-2} - t) \frac{(m')^2}{2} \right\}, \\ \lambda_1^-(g(t)) = \min_{l \in \mathbb{Z}, l \in 1/2\mathbb{Z}} \min_{m' = l, l-1, \dots, 1-l, -l} \left\{ \frac{t}{2} l(l+1) + (t^{-2} - t) \frac{(m')^2}{2} \right\}. \end{cases}$$

In case of  $l \in \mathbb{Z}$ , we have

$$\min_{m' = l, l-1, \dots, 1-l, -l} \left\{ \frac{t}{2} l(l+1) + (t^{-2} - t) \frac{(m')^2}{2} \right\} = \begin{cases} \frac{t}{2} l + \frac{t^{-2}}{2} l^2 & (1 \leq t < \infty), \\ t & (0 < t < 1). \end{cases}$$

Then

$$\lambda_1^+(g(t)) = \begin{cases} \frac{t}{2} + \frac{t^{-2}}{2} & (1 \leq t < \infty), \\ t & (0 < t < 1). \end{cases}$$

In case of  $l \in \mathbb{Z}, l \in 1/2\mathbb{Z}$ , we have

$$\min_{m' = l, l-1, \dots, 1-l, -l} \left\{ \frac{t}{2} l(l+1) + (t^{-2} - t) \frac{(m')^2}{2} \right\} = \begin{cases} \frac{t}{2} l + \frac{t^{-2}}{2} l^2 & (1 \leq t < \infty), \\ \frac{t}{2} l(l+1) + \frac{t^{-2} - t}{8} & (0 < t < 1). \end{cases}$$

Then

$$\lambda_1^-(g(t)) = \frac{t}{4} + \frac{t^{-2}}{8} \quad (0 < t < \infty). \quad \text{Q. E. D.}$$

**Added in proof.** Recently S. Tanno [The first eigenvalue of the Laplacian on spheres (to appear)] showed *M. Berger's conjecture in introduction is negative for all odd dimensional spheres*. From its content one sees that the one in § 6 is also negative for all odd dimensional spheres.

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