

On the factors of the jacobian variety of a modular function field

By Goro SHIMURA

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§ 0. Introduction.

For a positive integer N , put

$$\Gamma_0(N) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in SL_2(\mathbf{Z}) \mid c \equiv 0 \pmod{N} \right\},$$

$$\Gamma_1(N) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma_0(N) \mid a \equiv d \equiv 1 \pmod{N} \right\}.$$

We consider any group Γ such that $\Gamma_1(N) \subset \Gamma \subset \Gamma_0(N)$, and call it a *group of level N* . Let J denote the jacobian variety of the compact Riemann surface \mathfrak{H}^*/Γ , where \mathfrak{H}^* means the union of the upper half plane

$$\mathfrak{H} = \{z \in \mathbf{C} \mid \text{Im}(z) > 0\}$$

and the cusps of Γ . Further let $S_k(\Gamma)$ be the vector space of all holomorphic cusp forms of weight k with respect to Γ . Then, with each common eigenfunction $f(z)$ in $S_2(\Gamma)$ of the Hecke operators T_n for all n , one can associate an abelian variety A that is a "factor" of J . The purpose of this note is to consider a few arithmetical questions concerning the correspondence between f and A . Besides, as an application of our methods, we shall give a proof of Dirichlet's class number formula for an imaginary quadratic field, without using the residue technique.

We start our treatment by proving that A can naturally be obtained as a *quotient* of J by an abelian subvariety rational over \mathbf{Q} (Theorem 1). Actually in [11, §7.5], we gave a formulation with such a factor as a *subvariety* of J . The two formulations are essentially equivalent, but there is a subtle difference. At any rate, they are connected by the following fact: there is a canonical \mathbf{C} -linear isomorphism of $S_2(\Gamma)$ onto the tangent space of J at the origin, which has a certain commutative property with the action of Hecke operators. Such an isomorphism was used in the proofs of [11, Th. 7.14, Prop. 7.19] and also in [12], but not explicitly given. This point will be clarified in § 2. It will be shown in § 3 that A can be obtained as a complex torus whose periods are those of f and some other cusp forms. We shall consider

in § 4 how the map

$$(*) \quad f(z) = \sum_{n=1}^{\infty} a_n e^{2\pi i n z} \longmapsto \sum_{n=1}^{\infty} \chi(n) a_n e^{2\pi i n z}$$

with a numerical character χ , can be reflected by geometric objects. If χ is a character of order 2, it corresponds to a homomorphism of A rational over the quadratic field \mathfrak{k} associated with χ . Under certain conditions, A is similar to abelian varieties of the type discussed in [13, § 9]. Therefore the coordinates of some specific points of finite order on such an A can generate an abelian extension of \mathfrak{k} . (The field \mathfrak{k} can be either real or imaginary.) Recently K. Doi and M. Yamauchi have found some interesting arithmetical relations for the Fourier coefficients of certain eigen-functions in $S_2(\Gamma_0(p^3))$ with a prime p . It is expected that these will be understood in the framework of [13, § 9], under the formulation of § 4 of the present paper.

The next § 5 is devoted to a proof of Dirichlet's formula for the class number $h(-q)$ of an imaginary quadratic field $\mathbf{Q}(\sqrt{-q})$ of discriminant $-q$. In the previous papers [12], [13], we showed that A has complex multiplication if and only if the Mellin transform of f is an L -function with a Grössen-character of an imaginary quadratic field. When the field is $\mathbf{Q}(\sqrt{-q})$, the number of such characters, under certain conditions, is $h(-q)$ times a simple factor. Combining these facts with some other observations about eigen-functions, we find a relation between $h(-q)$ and the trace of the map (*) on $S_2(\Gamma)$ for a certain Γ (Proposition 10). Then Dirichlet's formula can be obtained by computing the trace by the Riemann-Roch theorem or by the Selberg-Eichler trace formula. The whole idea is under the influence of Hecke's works [4], [5], in which Hecke computed the multiplicities of the irreducible representations of $PSL_2(\mathbf{Z}/q\mathbf{Z})$ in a certain space of cusp forms, when q is a prime. However, here we need no information about such representations. Although the proof is by no means simple nor elementary, yet the author thinks that this method is natural and opens some possibilities of generalization.

In the final § 6, we shall briefly explain a method of determining the zeta-function of A over a certain type of non-abelian extension of \mathbf{Q} .

§ 1. The factor A as a quotient of J .

Let us start with some definitions and notational convention. For an abelian variety B defined over C , we denote by D_B , or $D(B)$, the vector space over C of all holomorphic 1-forms on B , and by Y_B , or $Y(B)$, the tangent space of B at the origin. Then D_B is dual to Y_B , so that we have a C -bilinear pairing

$$(\cdot, \cdot)_B: D_B \times Y_B \longrightarrow C.$$

If α is a homomorphism of B to another abelian variety B' , one can naturally define two maps

$$d\alpha: Y_B \longrightarrow Y_{B'},$$

$$\delta\alpha: D_{B'} \longrightarrow D_B,$$

which satisfy

$$(1.1) \quad (\delta\alpha u, v)_B = (u, d\alpha v)_{B'} \quad (u \in D_{B'}, v \in Y_B).$$

By a *quotient of B by an abelian subvariety, say C , of B* , we understand a couple (A, ν) formed by an abelian variety A which canonically represents B/C and a natural map $\nu: B \rightarrow A$ with $C = \text{Ker}(\nu)$ (cf. Chow [3]). We see easily that

$$(1.2) \quad Y_C = \{v \in Y_B \mid (u, v)_B = 0 \text{ for all } u \in \delta\nu(D_A)\}.$$

Let Γ be a group of level N , and J the jacobian variety of \mathfrak{H}^*/Γ . Let $\phi: \mathfrak{H}^* \rightarrow J$ be the map obtained by composing the natural map $\mathfrak{H}^* \rightarrow \mathfrak{H}^*/\Gamma$ with a canonical map of \mathfrak{H}^*/Γ into J . Then there is an isomorphism $\mu: S_2(\Gamma) \rightarrow D_J$ defined by $\delta\phi(\mu(f)) = f(z)dz$ for $f \in S_2(\Gamma)$, where $\delta\phi$ is the "pull back" associated with ϕ , and z is a standard variable on \mathfrak{H} .

Let Γ' be a group of level M , and let

$$\tilde{\Gamma} = \{\alpha \in \mathbf{R} \cdot GL_2(\mathbf{Q}) \mid \det(\alpha) > 0\}.$$

Define, for $\alpha \in \tilde{\Gamma}$, an algebraic correspondence $X(\Gamma'\alpha\Gamma)$ on $\mathfrak{H}^*/\Gamma \times \mathfrak{H}^*/\Gamma'$ as in [11, §7.2]. (Briefly, it is the locus of $z \times \alpha(z)$ modulo $\Gamma \times \Gamma'$.) Let J' and μ' denote the corresponding geometric objects defined with Γ' in place of Γ . One can attach to $X(\Gamma'\alpha\Gamma)$ a homomorphism of J into J' as in Weil [15, §VI], which we denote by $\{ \Gamma'\alpha\Gamma \}$. Now the commutative diagrams (7.2.2) and (7.2.6) of [11] imply

$$(1.3) \quad \mu \circ [\Gamma'\alpha\Gamma]_2 = \delta\{ \Gamma'\alpha\Gamma \} \circ \mu',$$

where $[\Gamma'\alpha\Gamma]_k$ is the map $S_k(\Gamma') \rightarrow S_k(\Gamma)$ defined in [11, §3.4]. Then, from (1.1) and (1.3), we obtain

$$(1.4) \quad (\mu'(f), d\{ \Gamma'\alpha\Gamma \} v)_{J'} = (\mu(f \mid [\Gamma'\alpha\Gamma]_2), v)_J \quad (f \in S_2(\Gamma'), v \in Y_J).$$

For a positive integer n , let q be the largest divisor of n prime to N , and put $n = mq$. Then we define T_n and ξ_n by $T_n = T_m T_q$, $\xi_n = \xi_m \xi_q$, $T_m = [\Gamma\alpha\Gamma]_2$, $\xi_m = \{ \Gamma\alpha\Gamma \}$ with $\alpha = \begin{bmatrix} 1 & 0 \\ 0 & m \end{bmatrix}$, and $T_q = \sum_{\beta} [\Gamma\beta\Gamma]_2$, $\xi_q = \sum_{\beta} \{ \Gamma\beta\Gamma \}$, where \sum_{β} is extended over all distinct $\Gamma\beta\Gamma$ with $\det(\beta) = q$, $\beta \equiv \begin{bmatrix} 1 & 0 \\ 0 & q \end{bmatrix} \pmod{N}$. Then $\mu \circ T_n = \delta\xi_n \circ \mu$.

As shown in [11, § 7.3], there is a “standard model” of \mathfrak{H}^*/Γ defined over \mathbf{Q} (see also § 4 below), so that J can naturally be defined over \mathbf{Q} . Let $f(z) = \sum_{n=1}^{\infty} a_n e^{2\pi i n z}$, with $a_1 = 1$, be an element of $S_2(\Gamma)$, that is a common eigenfunction of T_n for all n (i. e., $f|T_n = a_n f$). Fix f , and let K be the subfield of \mathbf{C} generated over \mathbf{Q} by the complex numbers a_n for all n . (Of course K depends on f .) Then we have

THEOREM 1. *There exists a triple (A, ν, θ) formed by the objects satisfying the following conditions:*

- (i) (A, ν) is a quotient of J by an abelian subvariety rational over \mathbf{Q} .
- (ii) θ is an isomorphism of K into $\text{End}(A) \otimes \mathbf{Q}$ such that $\nu \circ \xi_n = \theta(a_n) \circ \nu$ for all n . (This implies especially $\theta(1) = id_A$.)
- (iii) $\dim(A) = [K : \mathbf{Q}]$.

Moreover, let I denote the set of all isomorphisms of K into \mathbf{C} . Then, for every $\sigma \in I$, there exists an element f_σ of $S_2(\Gamma)$ such that $f_\sigma|T_n = a_n^\sigma f$ for all n , and $f_\sigma(z) = \sum_{n=1}^{\infty} a_n^\sigma e^{2\pi i n z}$. With these f_σ , one has

$$(iv) \quad \delta\nu(D_A) = \mu\left(\sum_{\sigma \in I} \mathbf{C}f_\sigma\right).$$

Under the conditions (i, ii), the triple (A, ν, θ) is unique up to isomorphisms over \mathbf{Q} . Furthermore, the one-dimensional part of the zeta function of A over \mathbf{Q} coincides, up to finitely many Euler factors for bad primes, with $\prod_{\sigma \in I} \left(\sum_{n=1}^{\infty} a_n^\sigma n^{-s}\right)$, provided that $\Gamma = \Gamma_0(N)$, or $\sum_{\sigma \in I} \mathbf{C}f_\sigma$ is stable under the map $h(z) \mapsto h(-1/Nz)/z^2$.

PROOF. Let \mathfrak{A} be the subalgebra of $\text{End}(J) \otimes \mathbf{Q}$ generated by the ξ_n for all n , and \mathfrak{R} the radical of \mathfrak{A} . Then $\mathfrak{A} = \mathfrak{R} \oplus \mathfrak{S}$ with a commutative semi-simple algebra \mathfrak{S} , whose simple components we denote by $\mathfrak{R}_1, \dots, \mathfrak{R}_r$. By (1.3), we see that $\delta\xi_n$ maps $\mu(f)$ onto $a_n \cdot \mu(f)$, hence we can define a homomorphism ρ of \mathfrak{A} onto K by $\rho(\xi_n) = a_n$. We may assume, changing the order if necessary, that ρ gives an isomorphism of \mathfrak{R}_1 onto K . Let $\rho' : K \rightarrow \mathfrak{R}_1$ denote its inverse map, and put $\mathfrak{U} = \mathfrak{R}_2 + \dots + \mathfrak{R}_r + \mathfrak{R}$. Let C be the abelian subvariety of J generated by $\alpha(J)$ for all $\alpha \in \mathfrak{U} \cap \text{End}(J)$. Since the elements of $\mathfrak{A} \cap \text{End}(J)$ are rational over \mathbf{Q} , we can construct a quotient (A, ν) of J by C rational over \mathbf{Q} . Observe that \mathfrak{U} is an ideal of \mathfrak{A} , hence C is stable under $\mathfrak{R}_1 \cap \text{End}(J)$. Therefore we can define a homomorphism $\theta : K \rightarrow \text{End}(A) \otimes \mathbf{Q}$ such that $\nu \circ \rho'(a) = \theta(a) \circ \nu$ for all $a \in K$. Since $\xi_n - \rho'(a_n) \in \mathfrak{U}$, we obtain $\nu \circ \xi_n = \theta(a_n) \circ \nu$ for all n . To prove $J \neq C$, put $\mathfrak{A}_C = \mathfrak{A} \otimes_{\mathbf{Q}} \mathbf{C}$. By [11, Th. 3.51], $S_2(\Gamma)$ is isomorphic to \mathfrak{A}_C as a \mathfrak{A}_C -module, hence D_J is (via δ) \mathfrak{A}_C -isomorphic to \mathfrak{A}_C . If $J = C$, we have

$$\{0\} = \{x \in D_J \mid \delta\alpha(x) = 0 \text{ for all } \alpha \in \mathfrak{U}\},$$

so that the \mathfrak{A}_C -isomorphism between D_J and \mathfrak{A}_C implies

$$(*) \quad \{0\} = \{\xi \in \mathfrak{A}_C \mid \mathfrak{U}\xi = 0\}.$$

Take the largest non-negative integer q such that $\mathfrak{R}_1 \mathfrak{R}^q \neq \{0\}$. (We understand that $\mathfrak{R}^0 = \mathfrak{R}$.) Then the right hand side of (*) contains $\mathfrak{R}_1 \mathfrak{R}^q$, a contradiction. Thus $J \neq C$, so that $\dim(A) > 0$, and θ is injective. Now suppose that (A', ν', θ') satisfies (i, ii). Then the elements of $\theta'(K) \cap \text{End}(A')$ are rational over \mathbf{Q} , hence the representation of K on $D_{A'}$ via θ' is a multiple of a regular representation of K over \mathbf{Q} . Therefore, if m is the multiplicity, $D_{A'}$ has a basis $\{w_{\sigma j} \mid \sigma \in I, 1 \leq j \leq m\}$ over \mathbf{C} such that $\delta\theta'(a)(w_{\sigma j}) = a^\sigma w_{\sigma j}$ for all $a \in K$. Let $f_{\sigma j} = \mu^{-1}(\delta\nu'(w_{\sigma j}))$. Then $f_{\sigma j} | T_n = a_n^\sigma f_{\sigma j}$ for all n . It follows that $m = 1$, hence $\dim(A') = [K : \mathbf{Q}]$. This shows also the existence of f_σ as stated in our theorem, and $\delta\nu'(D_{A'}) = \mu(\sum_{\sigma \in I} \mathbf{C}f_\sigma)$. By (1.2), the tangent space of $\text{Ker}(\nu')$ at the origin is the annihilator of $\mu(\sum_{\sigma \in I} \mathbf{C}f_\sigma)$. This proves the uniqueness of (A, ν, θ) and the property (iv). The assertion concerning the zeta-function of A can easily be proved by shifting the congruence relation [11, (7.5.1, 2)] to A .

As to the zeta-function, we have somewhat more generally

PROPOSITION 1. *Suppose $\Gamma = \Gamma_0(N)$. Let (A, ν) be a quotient of J by an abelian subvariety rational over \mathbf{Q} . Then $\mu^{-1}(\delta\nu(D_A))$ is stable under T_n for all n prime to N . Moreover, if T'_n denotes the restriction of T_n to $\mu^{-1}(\delta\nu(D_A))$, then the one-dimensional part of the zeta-function of A over \mathbf{Q} coincides, up to finitely many Euler factors, with $\det(\sum_{(n, N)=1} T'_n n^{-s})$.*

PROOF. By [11, Prop. 7.19], we see that $\text{Ker}(\nu)$ is stable under ξ_n for all n prime to N . Then (1.2) shows that $\delta\nu(D_A)$ is stable under $\delta\xi_n$ for all such n , hence the first assertion. Also, we can define an endomorphism ξ'_n , for such an n , of A such that $\xi'_n \circ \nu = \nu \circ \xi_n$. Shifting the congruence relation [11, (7.5.1)] to A , we obtain the second assertion.

§ 2. The factor A_0 as a subvariety of J and a canonical isomorphism of $S_2(I')$ onto Y_J .

Let $\alpha = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in GL_2(\mathbf{R})$, $\det(\alpha) > 0$. For a complex valued function $f(z)$ on \mathfrak{H} and a positive integer k , we define a function $f | [\alpha]_k$ on \mathfrak{H} by

$$(f | [\alpha]_k)(z) = \det(\alpha)^{k/2} (cz+d)^{-k} f(\alpha(z)),$$

where $\alpha(z) = (az+b)/(cz+d)$ for $z \in \mathfrak{H}$. Put $\omega_N = N^{-1/2} \begin{bmatrix} 0 & 1 \\ N & 0 \end{bmatrix}$, and define a function $f | [\omega_N]_k$ on \mathfrak{H} by

$$(f | [\omega_N]_k)(z) = N^{-k/2} z^{-k} \bar{f}(1/N\bar{z}),$$

where bars mean the complex conjugation. Then one can easily verify:

$$(2.1) \quad (f | [\alpha]_k) | [\omega_N]_k = (f | [\omega_M]_k) | [\omega_M^{-1} \alpha \omega_N]_k,$$

$$(2.2) \quad f | [\omega_N]_k^2 = f,$$

$$(2.3) \quad \omega_N^{-1} \Gamma \omega_N = \Gamma \text{ for any group } \Gamma \text{ of level } N.$$

It follows that $[\omega_N]_k$ induces an anti- \mathbf{C} -linear automorphism of $S_k(\Gamma)$. Moreover, let Γ' be a group of level M , and let $\alpha \in \tilde{\Gamma}$. Then

$$(2.4) \quad (f | [\Gamma' \alpha \Gamma]_k) | [\omega_N]_k = (f | [\omega_M]_k) | [\Gamma' \omega_M^{-1} \alpha \omega_N \Gamma]_k \quad (f \in S_k(\Gamma')).$$

If \langle , \rangle denotes the Petersson inner product on $S_k(\Gamma)$, then

$$(2.5) \quad \langle f, g | [\omega_N]_k \rangle = \langle g, f | [\omega_N]_k \rangle.$$

Let $\mu: S_2(\Gamma) \rightarrow D_J$ be the map defined in §1. We can now define a \mathbf{C} -linear isomorphism $\lambda: S_2(\Gamma) \rightarrow Y_J$ by

$$(2.6) \quad (\mu(f), \lambda(g))_J = i \cdot \langle f, g | [\omega_N]_2 \rangle \quad (f, g \in S_2(\Gamma)).$$

The constant factor i is not absolutely necessary, but will make a later discussion smooth. Put $Y' = Y(J')$ with the jacobian variety J' of \mathfrak{S}^*/Γ' .

Define $\lambda': S_2(\Gamma') \rightarrow Y'$ in the same manner. Combining (2.4) and (1.4) with [11, (3.4.5)], we obtain a relation

$$(2.7) \quad \lambda(f | [\Gamma' \alpha \Gamma]_2) = d \{ \Gamma \omega_N^{-1} \alpha \omega_M \Gamma' \} (\lambda'(f)) \quad \text{for } f \in S_2(\Gamma'),$$

where ι denotes the main involution, i. e., $\begin{bmatrix} a & b \\ c & d \end{bmatrix}^\iota = \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$.

Consider the special case in which $M = N$, $\Gamma' = \Gamma$, and $\alpha = \begin{bmatrix} 1 & 0 \\ 0 & n \end{bmatrix}$ with a positive integer n , or $\alpha \equiv \begin{bmatrix} 1 & 0 \\ 0 & q \end{bmatrix} \pmod{N}$, $\det(\alpha) = q$, $(q, N) = 1$. Then (2.7) implies

$$(2.8) \quad \lambda(f | T_n) = d \xi_n(\lambda(f)) \quad \text{for } f \in S_2(\Gamma).$$

Further let q be an integer prime to N , σ_q an element of $SL_2(\mathbf{Z})$ such that $q \cdot \sigma_q \equiv \begin{bmatrix} 1 & 0 \\ 0 & q^2 \end{bmatrix} \pmod{N}$, and let $\tau_N = \begin{bmatrix} 0 & -1 \\ N & 0 \end{bmatrix}$. Then we obtain from (2.7) the following relations:

$$(2.9) \quad \lambda(f | [\sigma_q]_2) = d \{ \Gamma \sigma_q \Gamma \} (\lambda(f)) \quad \text{for } f \in S_2(\Gamma),$$

$$(2.10) \quad \lambda(f | [\tau_N]_2) = d \{ \Gamma \tau_N \Gamma \} (\lambda(f)) \quad \text{for } f \in S_2(\Gamma).$$

The formula (2.7) and its specializations (2.8—10) are the commutative property of the isomorphism λ mentioned in the *Introduction*.

Now define K for a common eigen-function $f(z) = \sum_{n=1}^{\infty} a_n e^{2\pi i n z}$, with $a_1 = 1$, as in §1. Then [11, Th. 7.16] can be re-stated as follows.

THEOREM 2. *There exists an abelian subvariety A_0 of J and an isomorphism θ_0 of K into $\text{End}(A_0) \otimes \mathbf{Q}$ with the following properties:*

- (i) $\dim(A_0) = [K : \mathbf{Q}]$;
- (ii) $\theta_0(a_n)$ is the restriction of ξ_n to A_0 for all n ;
- (iii) A_0 is rational over \mathbf{Q} .
- (iv) If f_σ for $\sigma \in I$ is defined as in Theorem 1, then $Y(A_0) = \lambda(\sum_{\sigma \in I} Cf_\sigma)$.

Moreover the couple (A_0, θ_0) is unique under (i) and (ii).

The last property (iv) of A_0 is not explicitly stated in [11, Th. 7.16], but follows immediately from its proof.

The formulation of the results of [12] and their proofs require the isomorphism λ . Let us now clarify a few points in [12], where the explanation may be somewhat vague. In the proof of [12, Th. 1], we should put $\delta = \begin{bmatrix} 1 & 1/D \\ 0 & 1 \end{bmatrix}$, which is erroneously printed as $\begin{bmatrix} 1 & 1/d \\ 0 & 1 \end{bmatrix}$. Then the endomorphism ω of A' mentioned there is $\{\Gamma \varepsilon \Gamma\}$ with $\varepsilon = \begin{bmatrix} 1 & 0 \\ -M/D & 1 \end{bmatrix}$. Similarly the homomorphism ξ^* , ϕ , and φ are given by $\xi^* = \sum_u tx_u \cdot \{\Gamma_1(M') \omega_M^{-1} \eta'_u \omega_M \Gamma_1(M)\}$, $\phi = \{\Gamma_1(M) \omega_M^{-1} \beta' \omega_L \Gamma_1(L)\}$, $\varphi = \{\Gamma_1(M) \omega_M^{-1} \omega_L \Gamma_1(L)\}$. One can also formulate the results of [12] in terms of (A, ν, θ) of Theorem 1.

PROPOSITION 2. Let (A, ν, θ) be as in Theorem 1, and (A_0, θ_0) be as in Theorem 2. Suppose that $\sum_{\sigma \in I} Cf_\sigma$ is stable under $\begin{bmatrix} 0 & -1 \\ N & 0 \end{bmatrix}_2$. Then the restriction of ν to A_0 is an isogeny of A_0 onto A , and $\nu \circ \theta_0(a) = \theta(a) \circ \nu$ for all $a \in K$.

PROOF. Put $X = \sum_{\sigma \in I} Cf_\sigma$. For $h(z) = \sum_n b_n e^{2\pi i n z}$, put $h^*(z) = \sum_n \bar{b}_n e^{2\pi i n z}$. Then we see easily that X is stable under the map $h \mapsto h^*$, and $h|[\omega_N]_2 = (h|[\tau_N]_2)^*$. Therefore if $g = (h|[\tau_N]_2)^*$, $h \neq 0$, we obtain, from (2.5), $(\mu(h), \lambda(g))_J \neq 0$. This implies that the bilinear form $(\ , \)_J$ is non-degenerate on $\mu(X) \times \lambda(X)$. It follows that $d\nu$ maps $Y(A_0)$ onto $Y(A)$, hence ν maps A_0 onto A . The relation $\nu \circ \theta_0(a) = \theta(a) \circ \nu$ is obvious from (ii) of Theorem 1 and (ii) of Theorem 2.

§ 3. Periods of cusp forms.

We first consider a general case in which Γ is not necessarily a congruence subgroup of $SL_2(\mathbf{Z})$. Let Γ be a discrete subgroup of $SL_2(\mathbf{R})$ such that \mathfrak{H}/Γ is of finite measure, and \mathfrak{H}^* the union of \mathfrak{H} and the cusps of Γ . Further let J be the jacobian variety of the compact Riemann surface \mathfrak{H}^*/Γ . We can then define $D_J, Y_J, S_2(\Gamma)$, and $\mu: S_2(\Gamma) \rightarrow D_J$ in the same fashion as in § 1. Put

$$[\gamma, g] = \int_z^{\gamma(z)} g(z) dz$$

for $g \in S_2(\Gamma)$ and $\gamma \in \Gamma$ with any $z \in \mathfrak{H}^*$. Note that this does not depend on the choice of z . Let L_J be the submodule of Y_J generated over \mathbf{Z} by the linear maps

$$\eta \longmapsto [\gamma, \mu^{-1}(\eta)] \quad (\eta \in D_J)$$

for all $\gamma \in \Gamma$. Then J can be identified with Y_J/L_J . Fix a point z_0 of \mathfrak{H}^* , and define, for $z \in \mathfrak{H}^*$, an element φ_z of Y_J by

$$\varphi_z(\mu(g)) = \int_{z_0}^z g(z) dz \quad (g \in S_2(\Gamma)).$$

Then the map $z \mapsto \varphi_z$ induces a canonical map of \mathfrak{H}^*/Γ into $J = Y_J/L_J$.

Let (A, ν) be a quotient of J by an abelian subvariety of J . Take any \mathbf{C} -basis $\{f_1, \dots, f_m\}$ of $\mu^{-1}(\delta\nu(D_A))$. Let $W = Y(\text{Ker}(\nu))$. Then

$$W = \{v \in Y_J \mid (\delta\nu(D_A), v)_J = 0\},$$

and A can be identified with $Y_J/(W + L_J)$. Now $\mu(f_1), \dots, \mu(f_m)$ define a \mathbf{C} -linear map $F: Y_J \rightarrow \mathbf{C}^m$ whose kernel is W . In this situation, we have

PROPOSITION 3. *Let P be the submodule of \mathbf{C}^m generated over \mathbf{Z} by the vectors $([\gamma, f_1], \dots, [\gamma, f_m])$ for all $\gamma \in \Gamma$. Then A is isomorphic, via F , to \mathbf{C}^m/P .*

PROOF. From the above definition of L_J , we see easily that $F(L_J) = P$, hence our assertion.

REMARK. If we put

$$\Phi(z) = \left(\int_{z_0}^z f_1(z) dz, \dots, \int_{z_0}^z f_m(z) dz \right) \pmod{P},$$

then Φ defines a morphism of \mathfrak{H}^*/Γ into \mathbf{C}^m/P . Obviously $\Phi(z) = F(\varphi_z)$, i. e., Φ is the map composed by a chain of maps

$$\mathfrak{H}^*/\Gamma \xrightarrow{\varphi} J \xrightarrow{\nu} A \xrightarrow{F} \mathbf{C}^m/P.$$

The above discussion naturally applies to a group Γ of level N and (A, ν, θ) defined as in Theorem 1. In this case, we can take $\{f_\sigma \mid \sigma \in I\}$ as $\{f_i\}$. Thus we obtain the abelian variety A from the periods of the cusp forms f_σ .

PROPOSITION 4. *Let λ be the map of §2 defined with respect to a group Γ of level N . Then $\lambda^{-1}(L_J)$ consists of all the elements g of $S_2(\Gamma)$ such that $\text{Re}[\gamma, g] \in \mathbf{Z}$ for all $\gamma \in \Gamma$, where Re means the real part.*

PROOF. First we note a relation

$$(3.1) \quad -\overline{[\gamma, g \mid [\omega_N]_2]} = [\omega_N \gamma \omega_N^{-1}, g] \quad (\gamma \in \Gamma, g \in S_2(\Gamma))$$

which can easily be verified. Now it is well known that

$$\begin{aligned} -i\langle g, h \rangle &= \int_{\mathfrak{H}^*/\Gamma} g(z) dz \wedge \text{Re}(h(z) dz) \\ &= \sum_{j=1}^p \{[\alpha_j, g] \cdot \text{Re}[\beta_j, h] - [\beta_j, g] \cdot \text{Re}[\alpha_j, h]\}, \end{aligned}$$

where p is the genus of \mathfrak{H}^*/Γ , and $\{\alpha_1, \dots, \alpha_p, \beta_1, \dots, \beta_p\}$ is a set of elements

of Γ corresponding to a standard set of generators of the homology group of \mathfrak{S}^*/Γ . Moreover, every \mathbf{C} -linear map of $S_2(\Gamma)$ into \mathbf{C} can be given as

$$g \longmapsto \sum_{j=1}^p (a_j[\alpha_j, g] + b_j[\beta_j, g])$$

with real numbers a_j and b_j , which are unique for the map. Our proposition now follows immediately from these facts and (3.1).

Put $L' = \lambda^{-1}(L_j)$. Let A_0 be any abelian subvariety of J , and let $U = \lambda^{-1}(Y(A_0))$. Then A_0 is isomorphic, via λ , to $U/(U \cap L')$. Let $\{g_1, \dots, g_m\}$ be a \mathbf{C} -basis of U , and let P_0 be the submodule of \mathbf{C}^m generated over \mathbf{Z} by the vectors $([\gamma, g_1], \dots, [\gamma, g_m])$ for all $\gamma \in \Gamma$. Then

PROPOSITION 5. A_0 is dual to \mathbf{C}^m/P_0 .

PROOF. Define a \mathbf{C} -linear isomorphism $G: \mathbf{C}^m \rightarrow U$ by $G(w_1, \dots, w_m) = \sum_j w_j g_j$. By Proposition 4, $w = (w_1, \dots, w_m) \in G^{-1}(U \cap L')$ if and only if $\text{Re}(\sum_j w_j [\gamma, g_j]) \in \mathbf{Z}$ for all $\gamma \in \Gamma$, i. e., $\text{Re}(\sum_j w_j v_j) \in \mathbf{Z}$ for all $(v_1, \dots, v_m) \in P_0$. It follows that $\mathbf{C}^m/G^{-1}(U \cap L')$ is dual to \mathbf{C}^m/P_0 , q. e. d.

PROPOSITION 6. Define (A, ν, θ) and (A_0, θ_0) as in Theorems 1 and 2 with the same eigen-function f . Then A is dual to A_0 .

PROOF. In this case $\lambda^{-1}(Y(A_0)) = \sum_{\sigma \in I} \mathbf{C} f_\sigma = \mu^{-1}(\delta \nu(D_A))$, so that we can take $P = P_0$. Then our assertion is immediate from Propositions 3 and 5.

§ 4. A twisting operator R and its geometric meaning.

Let χ be a primitive character modulo a positive integer r , and let $\alpha_u = \begin{bmatrix} 1 & u/r \\ 0 & 1 \end{bmatrix}$ for $u \in \mathbf{Z}$. Define an operator R by

$$(4.1) \quad f | R = \sum_{u=1}^r \chi(u) f | [\alpha_u]_2 \quad (f \in S_2(\Gamma_1(N))).$$

By [11, Prop. 3.64], R maps $S_2(\Gamma_1(N))$ into $S_2(\Gamma_1(r^2N))$. If $f(z) = \sum_n a_n e^{2\pi i n z}$, then $f | R = g(\chi) \sum_n \chi(n) a_n e^{2\pi i n z}$, where $g(\chi) = \sum_{u=1}^r \chi(u) e^{2\pi i u/r}$. The purpose of this section is to study the geometric meaning of R in connection with the quotients (A, ν) of J .

Let s be a positive divisor of N , and \mathfrak{h} a subgroup of $(\mathbf{Z}/s\mathbf{Z})^\times$. Define a group Γ of level N by

$$\Gamma = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma_0(N) \mid d \pmod{s} \in \mathfrak{h} \right\}.$$

Let M be a common multiple of N , r^2 , and rs . Let Γ' be a group of level M such that

$$\Gamma' \subset \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma_0(M) \mid d \pmod{s} \in \mathfrak{h}, a \equiv d \pmod{r} \right\}.$$

Then $\alpha_u \Gamma' \alpha_u^{-1} \subset \Gamma$, and R maps $S_2(\Gamma)$ into $S_2(\Gamma')$.

Define $J, J', \mu, \mu', \lambda, \lambda'$ as before with respect to the present Γ and Γ' . Since $f|[\alpha_u]_2 = f|[\Gamma \alpha_u \Gamma']_2$ for $f \in S_2(\Gamma)$, we have

$$(4.2) \quad \mu'(f|R) = \sum_{u=1}^r \bar{\chi}(u) \cdot \delta\{\Gamma \alpha_u \Gamma'\}(\mu(f)) \quad (f \in S_2(\Gamma)),$$

$$(4.3) \quad \lambda'(f|R) = \sum_{u=1}^r \bar{\chi}(u) \cdot d\{\Gamma' \beta_u \Gamma\}(\lambda(f))$$

where $\beta_u = \omega_M^{-1} \alpha'_u \omega_N$.

PROPOSITION 7. Let $f(z) = \sum_n a_n e^{2\pi i n z}$, with $a_1 = 1$, be a common eigenfunction of T_n in $S_2(\Gamma)$ for all n . Define (A, ν, θ) and (A', ν', θ') for f and $h(z) = \sum_n \chi(n) a_n e^{2\pi i n z}$, respectively, as in Theorem 1. Then A' is a homomorphic image of the product of r copies of A .

PROOF. Let K'' be the subfield of \mathbf{C} generated by the Fourier coefficients a_n and the values $\chi(n)$ for all n , and let I'' be the set of all isomorphisms of K'' into \mathbf{C} . For $\sigma \in I''$, put $f_\sigma(z) = \sum_n a_n^\sigma e^{2\pi i n z}$, $h_\sigma(z) = \sum_n \chi(n)^\sigma a_n^\sigma e^{2\pi i n z}$. Then $\mu(\sum_{\sigma \in I''} C f_\sigma) = \delta\nu(D_A)$, and

$$(4.4) \quad \delta\nu'(D_{A'}) = \mu'(\sum_{\sigma \in I''} C h_\sigma) \subset \sum_{u=1}^r \delta\{\Gamma \alpha_u \Gamma'\} \delta\nu(D_A).$$

Define a homomorphism $\xi: J' \rightarrow A \times \dots \times A$ (r copies) by $\xi(x) = (\nu(\{\Gamma \alpha_1 \Gamma'\}x), \dots, \nu(\{\Gamma \alpha_r \Gamma'\}x))$ for $x \in J'$. Then (4.4) shows that the identity component of $\text{Ker}(\xi)$ is contained in $\text{Ker}(\nu')$. Therefore we can find a homomorphism $\beta: A \times \dots \times A \rightarrow A'$ such that $\beta \circ \xi = m\nu'$ with a positive integer m . This proves our proposition.

Let us now assume that χ is a non-trivial real character. Put

$$(4.5) \quad \eta_0 = \sum_{u=1}^r \chi(u) \{\Gamma \alpha_u \Gamma'\}.$$

Then $\eta_0 \in \text{Hom}(J', J)$, and

$$(4.6) \quad \mu'(g|R) = \delta\eta_0(\mu(g)) \quad (g \in S_2(\Gamma)).$$

PROPOSITION 8. Let A and A' be as in Proposition 7. Then there exists a homomorphism η of A' into A such that $\eta \circ \nu' = \nu \circ \eta_0$. The homomorphisms η_0 and η are defined over the quadratic extension \mathfrak{k} of \mathbf{Q} corresponding to χ , and $\eta_0^\varepsilon = -\eta_0$, $\eta^\varepsilon = -\eta$ if ε is the generator of $\text{Gal}(\mathfrak{k}/\mathbf{Q})$.

PROOF. We need the precise definition of "the standard models" for \mathfrak{H}^*/Γ and \mathfrak{H}'^*/Γ' . Using the notation of [11, §7.3], let us define subgroups U_N and S of G_A by

$$U_N = \left\{ x \in \prod_p GL_2(\mathbf{Z}_p) \times G_{\infty+} \mid x_p \equiv \begin{bmatrix} * & * \\ 0 & 1 \end{bmatrix} \pmod{N} \right\},$$

$$S = \mathbf{Q}^* U_N \Gamma.$$

Similarly put $S' = \mathbf{Q}^* U_M \Gamma'$. Then $G_{\mathbf{q}} \cap S = \mathbf{Q}^* \Gamma$, $G_{\mathbf{q}} \cap S' = \mathbf{Q}^* \Gamma'$; we take $V_S, V_{S'}$ of [11, p. 155] as models of $\mathfrak{H}^*/\Gamma, \mathfrak{H}^*/\Gamma'$; and $X(\Gamma \alpha_u \Gamma') = X_{SS'}(\alpha_u)$. Now one can easily verify that

$$\mathbf{Q}^* \cdot \det(S' \cap \alpha_u^{-1} S \alpha_u) \supset \mathbf{Q}^* \cdot \mathbf{R}_+^* \cdot \{(v_p) \in \prod_p \mathbf{Z}_p^* \mid v_p \equiv 1 \pmod{r}\}.$$

By [11, Prop. 7.2], this shows that $X_{SS'}(\alpha_u)$ is rational over $\mathbf{Q}(\zeta_r)$, where $\zeta_r = e^{2\pi i/r}$. For an integer q prime to M , let σ_q be an element of $SL_2(\mathbf{Z})$ such that $q \cdot \sigma_q \equiv \begin{bmatrix} 1 & 0 \\ 0 & q^2 \end{bmatrix} \pmod{M}$. If $g \in S_2(\Gamma)$ and $g | [\sigma_q]_2 = \varphi(q)g$ with a character φ modulo s , then, by [11, Prop. 3.64], one has $(g|R) | [\sigma_q]_2 = \varphi(q)g|R = (g | [\sigma_q]_2) | R$. This shows that

$$(4.7) \quad \eta_0 \circ \{\Gamma' \sigma_q \Gamma'\} = \{\Gamma \sigma_q \Gamma\} \circ \eta_0.$$

On the other hand, let $y = (y_p)$ be an element of G_0 such that $y_p = \begin{bmatrix} 1 & 0 \\ 0 & q \end{bmatrix}$ or 1 according as p divides M or not. Then $\sigma_q^{-1} y \in U_M$ so that $J_{SS}(\sigma_q) = J_{SS}(y)$, $J_{S'S'}(\sigma_q) = J_{S'S'}(y)$. Since $\alpha_u \begin{bmatrix} 1 & 0 \\ 0 & q \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & q \end{bmatrix} \alpha_{qu}$, we obtain, by [11, Prop. 7.2],

$$\sum_{u=1}^r \chi(qu) X_{SS'}(\alpha_u)^{\sigma(y)} \circ J_{S'S'}(y) = J_{SS}(y) \circ \left(\sum_{u=1}^r \chi(qu) X_{SS'}(\alpha_{qu}) \right),$$

where $\sigma(y)$ is the element of $\text{Gal}(\mathbf{Q}_{ab}/\mathbf{Q})$ defined by [11, (6.4.1)], hence $\chi(q)\eta_0^{\sigma(y)} \circ \{\Gamma' \sigma_q \Gamma'\} = \{\Gamma \sigma_q \Gamma\} \circ \eta_0$. Combining this with (4.7), we obtain

$$\eta_0^{\sigma(y)} = \chi(q)\eta_0.$$

Observe that $\sigma(y)$ sends $\zeta_M = e^{2\pi i/M}$ onto ζ_M^q . Therefore η_0 is rational over the quadratic field \mathfrak{k} corresponding to χ , and $\eta_0^\varepsilon = -\eta_0$ for the generator ε of $\text{Gal}(\mathfrak{k}/\mathbf{Q})$. Now R maps $\sum_{\sigma \in I'} C f_\sigma$ into $\sum_{\sigma \in I'} C h_\sigma$, so that $\delta\eta_0$ maps $\delta\nu(D_A)$ into $\delta\nu'(D_{A'})$. Therefore we can define a homomorphism η of A' into A , rational over \mathfrak{k} , so that $\eta \circ \nu' = \nu \circ \eta_0$. Then the relation $\eta^\varepsilon = -\eta$ is obvious.

Let us now assume the following set of conditions:

$$(4.8) \quad \text{(i) } N \text{ is a common multiple of } r^2 \text{ and } rs; \text{ (ii) } a \equiv d \pmod{r} \text{ for every } \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma.$$

Then we can take $M = N$, $\Gamma' = \Gamma$, $J' = J$, so that η_0 is an endomorphism of J , and $\eta_0^\varepsilon = \chi(-1)r\eta_0$.

Fix an eigen-function $f(z)$ as in Proposition 6, and define K and (A, ν, θ) as before. Suppose that the following condition is satisfied:

$$(4.9) \quad \text{There is an automorphism } \rho \text{ of } K, \text{ other than the identity map, such that } \chi(n)a_n = a_n^\rho \text{ for all } n. \text{ (This implies especially that } a_n = 0 \text{ if } (n, r) \neq 1.)$$

Then $\rho^2 = 1$, and $\chi(n)a_n^\sigma = a_n^{\rho\sigma}$ for any $\sigma \in I$, so that

$$(4.10) \quad f_\sigma | R = g(\chi) f_{\rho\sigma}.$$

PROPOSITION 9. Under the assumptions (4.8) and (4.9), η is an endomorphism of A satisfying

- (i) $\eta^2 = \chi(-1)r \cdot id_A$,
- (ii) $\eta \circ \theta(a) = \theta(a^\rho) \circ \eta$ for every $a \in K$.

PROOF. Observe that the diagram

$$\begin{array}{ccccc} S_2(\Gamma) & \xrightarrow{\mu} & D_J & \xleftarrow{\delta\nu} & D_A \\ \downarrow R & & \downarrow \delta\eta_0 & \delta\nu & \downarrow \delta\eta \\ S_2(\Gamma) & \xrightarrow{\mu} & D_J & \xleftarrow{\delta\nu} & D_A \end{array}$$

is commutative, and another diagram with $T_n, \delta\xi_n, \delta\theta(a_n)$ in place of $R, \delta\eta_0, \delta\eta$ is commutative, too. Let w_σ be the element of D_A such that $\delta\nu(w_\sigma) = \mu(f_\sigma)$. Then $\{w_\sigma | \sigma \in I\}$ is a C -basis of D_A , and the commutativity of the diagram implies $\delta\eta(w_\sigma) = g(\chi)w_{\rho\sigma}$, and $\delta\theta(a)(w_\sigma) = a^\sigma w_\sigma$ for $a \in K$. Therefore $\delta\eta^2(w_\sigma) = g(\chi)^2 w_\sigma$, and $(\delta\eta \circ \delta\theta(a))(w_\sigma) = g(\chi)a^\sigma w_{\rho\sigma} = (\delta\theta(a^\rho) \circ \delta\eta)(w_\sigma)$, hence our proposition.

Thus, if an eigen-function $f(z)$ satisfying (4.9) exists, then the corresponding (A, θ) and η form a system similar to that of [13, § 9]. The field K in the present case is not necessarily a CM -field as assumed there, but one can still develop, by the same ideas as in [13, § 9], a theory of construction of class fields over the quadratic field \mathbb{f} . As mentioned in the Introduction, K. Doi and M. Yamauchi have found a few examples of $f(z)$, satisfying (4.9), with $N = p^3$ and $r = p$ for a prime p .

§ 5. A proof of Dirichlet's class number formula.

Let $\mathbb{Q}(\sqrt{-q})$ be an imaginary quadratic field with discriminant $-q$, and $h(-q)$ the class number of $\mathbb{Q}(\sqrt{-q})$. Then the Dirichlet formula is, if $q > 4$,

$$(5.1) \quad h(-q) = -\sum_{n=1}^q \left(\frac{-q}{n}\right) n/q.$$

The purpose of this section is to prove this as an application of the results of previous sections, without using the residue of the zeta function of $\mathbb{Q}(\sqrt{-q})$. The proof is inspired by a result of Hecke's in [5]; we shall explain its connection with our proof afterwards.

For simplicity, we assume $q > 4$, although the cases $q = 3, 4$ can be included, without much trouble, in the following treatment. Put $\chi(n) = \left(\frac{-q}{n}\right)$, and

$$\Gamma = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma_0(q^2) \mid a \equiv d \equiv 1 \pmod{q} \right\}.$$

Define the operator R of §4 for this χ with $N=q^2$, $r=q$. Since the present Γ satisfies (4.8), R maps $S_2(\Gamma)$ into itself, and

$$\left(\sum_{n=1}^{\infty} a_n e^{2\pi i n z} \right) | R = \sqrt{-q} \sum_{n=1}^{\infty} \chi(n) a_n e^{2\pi i n z}.$$

Here we recall a well-known formula of Gauss

$$(5.2) \quad \sum_{n=1}^q \chi(n) e^{2\pi i n k/q} = \sqrt{-q} \cdot \chi(k).$$

We understand that $\sqrt{-q}$ has positive imaginary part.

PROPOSITION 10. *One has $\text{tr}(R) = \sqrt{-q} \cdot h(-q) \cdot \varphi(q)/2$, where φ is Euler's function.*

PROOF. By virtue of the results of Miyake [8] and Casselman [2] (which generalize Atkin-Lehner [1]), we can find a basis of $S_2(\Gamma)$ formed by the elements

$$g_1, \dots, g_s, g_1(mz), \dots, g_s(mz),$$

where g_k belongs to "the essential part" of $S_2(\Gamma_1(N_k))$ for a divisor N_k of q^2 , and m runs over all non-trivial positive divisors of q^2/N_k . (In other words, g_k is a "new form".) We take g_k to be a common eigen-function of all Hecke operators of level N_k , with the first Fourier coefficient 1. If $g_k(z) = \sum_{n=1}^{\infty} a_n e^{2\pi i n z}$, we see that the $g_k(mz)$, for a fixed k and for all positive divisors of q^2/N_k , span, over \mathbb{C} , the vector space

$$\{f \in S_2(\Gamma) \mid f|T_n = a_n f \text{ for all } n \text{ prime to } q\}.$$

Observe that R maps $g_k(mz)$ onto 0 if $m > 1$, and g_k onto a common eigen-function of all Hecke operators of level q^2 , since $\sum_n \chi(n) a_n n^{-s}$ has an Euler product. Such an eigen-function must be of the form $\sum_m c_m g_j(mz)$ for some j , where m divides q^2/N_j . The comparison of the first Fourier coefficients yields $c_1 = \sqrt{-q}$. Therefore $\text{tr}(R)/\sqrt{-q}$ is the number of indices k such that

$$g_k | R = \sqrt{-q} \cdot g_k + \sum_m c_m g_k(mz) \quad (m > 1, m | N_k^{-1} q^2).$$

Put $g_k^*(z) = \sum_{(n,q)=1} a_n e^{2\pi i n z}$. Since $(g_k | R) | T_n = a_n \cdot (g_k | R)$ for $(n, q) = 1$, and the n -th Fourier coefficient of $g_k | R$ is 0 for $(n, q) \neq 1$, we have $g_k | R = g_k^* | R = \sqrt{-q} \cdot g_k^*$. Define (A, ν) , I , and f_σ as in Theorem 1 with g_k^* as f . By Proposition 8, there is an endomorphism η of A such that

$$\delta \eta (\delta \nu^{-1} (\mu(f_\sigma))) = \delta \nu^{-1} (\mu(f_\sigma | R))$$

for all $\sigma \in I$. Since $f_\sigma|R = \sqrt{-q} \cdot f_\sigma$, we see that $\delta\eta$ is $\sqrt{-q}$ times the identity map on D_A . Therefore, by [12, Lemma 1], A is isogenous to the product of copies of an elliptic curve, say E , with complex multiplication, such that $\text{End}(E) \otimes \mathbf{Q}$ is isomorphic to $\mathbf{Q}(\sqrt{-q})$. Now define an abelian variety B for the function g_k (at level N_k) by Theorem 1. By Proposition 8, B is isogenous to A , hence B is also isogenous to the product of copies of E . Then, by [13, Prop. 1.6], g_k must be the Mellin (inverse) transform of an L -function with a primitive Grössen-character λ of $\mathbf{Q}(\sqrt{-q})$. This means that $g_k(z) = \sum_{\mathfrak{a}} \lambda(\mathfrak{a}) e^{2\pi i N(\mathfrak{a})z}$, where \mathfrak{a} runs over all integral ideals in $\mathbf{Q}(\sqrt{-q})$ prime to the conductor, say \mathfrak{c} , of λ , and $\lambda((\alpha)) = \alpha$ for $\alpha \equiv 1 \pmod{\mathfrak{c}}$. Moreover the functional equation of the L -function implies that g_k belongs to the essential part of $S_2(\Gamma_1(q \cdot N(\mathfrak{c})))$, i. e., it is a "new form". Therefore $N(\mathfrak{c})$ divides q , hence \mathfrak{c} divides the ideal $(\sqrt{-q})$. It can easily be seen that there are exactly $h(-q) \cdot \varphi(q)/2$ primitive Grössen-characters ψ whose conductor \mathfrak{c} divides $\sqrt{-q}$ and such that $\psi((\alpha)) = \alpha$ for $\alpha \equiv 1 \pmod{\mathfrak{c}}$. For any such ψ , put $f_\psi(z) = \sum_{\mathfrak{a}} \psi(\mathfrak{a}) e^{2\pi i N(\mathfrak{a})z}$, where \mathfrak{a} runs over all integral ideals of $\mathbf{Q}(\sqrt{-q})$ prime to the conductor of ψ . Then the f_ψ , for all such ψ , are linearly independent, and belong to $S_2(\Gamma)$, by [12, Lemma 3]. Further each f_ψ must coincide with one of the g_k , and obviously $f_\psi|R = \sqrt{-q} \cdot f_\psi^*$. Thus we have shown that $\text{tr}(R)/\sqrt{-q}$ is the number of the characters ψ , which completes the proof.

To compute $\text{tr}(R)$ in a different manner, put $\alpha = \begin{bmatrix} 1 & 0 \\ 0 & q \end{bmatrix}$, $\varepsilon = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$, and

$$\Gamma(q) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in SL_2(\mathbf{Z}) \mid \begin{bmatrix} a & b \\ c & d \end{bmatrix} \equiv \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \pmod{q} \right\}.$$

Then $\Gamma = \alpha \Gamma(q) \alpha^{-1}$, $\alpha \varepsilon \alpha^{-1} = \begin{bmatrix} 1 & 1/q \\ 0 & 1 \end{bmatrix}$. Therefore $\text{tr}(R)$ is equal to the trace of the operator

$$f \longmapsto \sum_{u=1}^q \chi(u) f|[\varepsilon^u]_2$$

on the space $S_2(\Gamma(q))$ of cusp forms of weight 2 with \mathbb{F}_q respect to $\Gamma(q)$. Let ε_0 be the automorphism of $\mathfrak{H}^*/\Gamma(q)$ obtained from ε . The fixed points of ε_0 occur only at cusps. Indeed, suppose $\varepsilon(z) = \gamma(z)$ for some $z \in \mathfrak{H}$ and some $\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma(q)$. Then $\varepsilon^{-1}\gamma = \begin{bmatrix} a-c & b-d \\ c & d \end{bmatrix}$ is elliptic, hence $|\text{tr}(\varepsilon^{-1}\gamma)| < 2$. But $a-c+d \equiv 2 \pmod{q}$, which is a contradiction, since $q > 4$.

Now let r/s , with integers r and s such that $(r, s) = 1$, be a cusp representing a fixed point of ε_0 . By [11, Lemma 1.42], this is so if and only if $\begin{bmatrix} r \\ s \end{bmatrix} \equiv \pm \begin{bmatrix} r+s \\ s \end{bmatrix} \pmod{q}$. Therefore ε_0 has exactly $\varphi(q)/2$ fixed points represented by r/q with $(r, q) = 1$, $0 < r < q/2$. For such an r , take an element β

of $SL_2(\mathbf{Z})$ of the form $\beta = \begin{bmatrix} u & v \\ -q & r \end{bmatrix}$. Then β maps r/q to ∞ , and

$$\beta^{-1} \begin{bmatrix} 1 & m \\ 0 & 1 \end{bmatrix} \beta = \begin{bmatrix} 1-mqr & mr^2 \\ -mq^2 & 1+mqr \end{bmatrix}.$$

Choose an integer m so that $mr^2 \equiv 1 \pmod{q}$. Then $\beta^{-1} \begin{bmatrix} 1 & m \\ 0 & 1 \end{bmatrix} \beta \varepsilon^{-1} \in \Gamma(q)$, so that $\beta^{-1} \begin{bmatrix} 1 & m \\ 0 & 1 \end{bmatrix} \beta$ represents the automorphism ε_0 , and moreover it has r/q as a fixed point. Therefore, if t is the local parameter on $\mathfrak{H}^*/\Gamma(q)$ around r/q defined by

$$t(z) = \exp [2\pi i \cdot \beta(z)/q],$$

one has $t \circ \varepsilon_0 = \zeta^m t$ with $\zeta = e^{2\pi i/q}$. Let $\text{tr}(\varepsilon_0)$ denote the trace of $[\varepsilon]_2$ on $S_2(\Gamma(q))$. Applying the Selberg-Eichler trace formula to ε_0 , we obtain

$$(5.3) \quad \text{tr}(\varepsilon_0^u) - 1 = 2^{-1} \sum_{(r,q)=1} \zeta^{r^2 u} / (1 - \zeta^{r^2 u}),$$

for $(u, q) = 1$. Therefore

$$\text{tr}(R) = \sum_{u=1}^{q-1} \chi(u) \text{tr}(\varepsilon_0^u) = 2^{-1} \varphi(q) \sum_{m=1}^{q-1} \chi(m) \zeta^m / (1 - \zeta^m).$$

To compute the last sum, take an indeterminate w , and observe that

$$\begin{aligned} \sum_{m=1}^{q-1} \chi(m) \zeta^m / (1 - \zeta^m w) &= \sum_{k=1}^{\infty} w^{k-1} \sum_{m=1}^{q-1} \chi(m) \zeta^{mk} \\ &= \sqrt{-q} \sum_{k=1}^{\infty} \chi(k) w^{k-1} \\ &= \sqrt{-q} \left(\sum_{k=1}^{q-1} \chi(k) w^{k-1} \right) / (1 - w^q), \end{aligned}$$

hence

$$\begin{aligned} \text{tr}(R) &= (\sqrt{-q} \cdot \varphi(q) / 2) \cdot \lim_{w \rightarrow 1} \left(\sum_{k=1}^{q-1} \chi(k) w^k \right) / (1 - w^q) \\ &= -(\sqrt{-q} \cdot \varphi(q) / 2q) \sum_{k=1}^{q-1} \chi(k) k, \end{aligned}$$

by differentiating the numerator and the denominator. Combining this with Proposition 10, we obtain Dirichlet's formula (5.1).

Especially, suppose q is a prime, and denote by U the right hand side of (5.3). Then $U + \bar{U} = -\varphi(q)/2$, and

$$U - \bar{U} = \sum_{m=1}^{q-1} \chi(um) \zeta^m / (1 - \zeta^m) = -\sqrt{-q} \cdot \chi(u) \sum_{k=1}^{q-1} \chi(k) k / q,$$

so that

$$(5.4) \quad \text{tr}(\varepsilon_0^u) - 1 = -\varphi(q)/4 - \sqrt{-q} \cdot \chi(u) \sum_{k=1}^{q-1} \chi(k) k / 2q.$$

Let us now clarify the relation between our proof and Hecke's results in [4], [5]. Put $\mathfrak{M}_q = SL_2(\mathbf{Z}/q\mathbf{Z})/\{\pm 1\}$, and suppose that q is a prime such that $q \equiv 3 \pmod{4}$ and $q > 3$. Then \mathfrak{M}_q has an irreducible representation \mathfrak{G} of degree $(q-1)/2$ such that

$$\text{tr}(\mathfrak{G}(\varepsilon)) = (-1 + \sqrt{-q})/2.$$

Let \mathfrak{D} be the representation of \mathfrak{M}_q on $S_2(\Gamma(q))$ via the map $\gamma \mapsto [\gamma]_2$ for $\gamma \in SL_2(\mathbf{Z})$. Let y_1 and y_2 be the multiplicities of \mathfrak{G} and its complex conjugate $\bar{\mathfrak{G}}$ in \mathfrak{D} , respectively. In [5], Hecke proved

$$(5.5) \quad y_1 - y_2 = h(-q)$$

by first showing

$$(5.6) \quad y_1 - y_2 = -\sum_{n=1}^{q-1} \chi(n)n/q,$$

and employing Dirichlet's formula (5.1). Actually Proposition 10, combined with a simple observation, leads to (5.5) with neither Eichler-Selberg's trace formula nor Dirichlet's formula, but with the knowledge of all irreducible representations of \mathfrak{M}_q . To see this, put $\omega = \begin{bmatrix} 1 & 1/q \\ 0 & 1 \end{bmatrix}$, and take a basis of $S_2(\Gamma)$ formed by eigen-functions of $[\omega]_2$, and let f be a member of the basis. Then we have $f|[\omega]_2 = \zeta^m f$ with $\zeta = e^{2\pi i/q}$ and $0 \leq m < q$, hence

$$(5.7) \quad f|R = \sum_{k=1}^{q-1} \chi(k)\zeta^{mk}f = \chi(m)\sqrt{-q} \cdot f.$$

Now, for any irreducible representation \mathfrak{G}^* of \mathfrak{M}_q other than \mathfrak{G} and $\bar{\mathfrak{G}}$, $\text{tr}(\mathfrak{G}^*(\varepsilon))$ is a rational integer (see, e. g., Hecke [4, p. 529 (Werke)]). Therefore we have

$$(5.8) \quad \begin{aligned} \text{tr}([\omega]_2) &= \text{tr}(\mathfrak{D}(\varepsilon)) \\ &= y_1 \cdot \sum_{\chi(m)=1} \zeta^m + y_2 \cdot \sum_{\chi(m)=-1} \zeta^m + a\zeta^0 + b \sum_{m=1}^{q-1} \zeta^m \end{aligned}$$

with non-negative integers a and b . Here each term ζ^m ($m \geq 0$) corresponds to a member of our basis, and by (5.7), contributes $\chi(m)\sqrt{-q}$ to $\text{tr}(R)$, hence

$$\text{tr}(R) = (y_1 - y_2)\sqrt{-q}(q-1)/2.$$

Comparing this with Proposition 10, we obtain (5.5).

We also notice that (5.6) can be derived from (5.4) and (5.8) by comparing the imaginary parts, since $\text{tr}(\varepsilon_0) = \text{tr}(\mathfrak{D}(\varepsilon))$. Actually Hecke obtained (5.6) by computing the dimension of the vector space

$$(5.9) \quad \{f \in S_2(\Gamma(q)) \mid f|[\varepsilon]_2 = \zeta^v f\} \quad (0 \leq v < q)$$

by means of the Riemann-Roch theorem. For the reader's convenience, let us now compute $\text{tr}(R)$ by Hecke's technique, using the Riemann-Roch theorem instead of the Selberg-Eichler trace formula.

Let λ_v denote the dimension of (5.9). Then

$$(5.10) \quad \text{tr}(R) = \sum_{k=1}^{q-1} \chi(k) \text{tr}(\varepsilon_0^k) = \sum_{k=1}^{q-1} \sum_{v=0}^{q-1} \chi(k) \zeta^{kv} \lambda_v = \sqrt{-q} \cdot \sum_{v=1}^{q-1} \chi(v) \lambda_v.$$

Put $W = \mathfrak{H}^*/\Gamma(q)$ and $W' = \mathfrak{H}^*/\Gamma_1(q)$. For every positive divisor n of q , let P_i^n , for $i = 1, \dots, c_n$, denote all the points of W' with ramification index q/n , and $Q_{i1}^n, \dots, Q_{in}^n$ the points of W lying above P_i^n . Put $q_n = q/n$. Let g and g' be the genera of W and W' , respectively. Then

$$2g - 2 = (2g' - 2)q + \sum_{n|q} (q_n - 1)nc_n.$$

We can find a $\Gamma(q)$ -invariant automorphic form f_0 of weight 2 such that $f_0|[\varepsilon]_2 = \zeta^v f_0$ with any integer v . Let $\text{div}(X)$ resp. $\text{div}'(X)$ denote the divisor of a function or a differential form X on W resp. W' . Then

$$\text{div}(f_0(z)dz) = D + \sum_{n|q} \sum_{i=1}^{c_n} k_{ni}(Q_{i1}^n + \dots + Q_{in}^n)$$

with integers k_{ni} and a divisor D on W not involving Q_{ij}^n . Let t_{ni} be a local parameter on W at Q_{i1}^n . Then

$$t_{ni} \circ \varepsilon_0^n = \zeta^{nh} t_{ni} + (\text{higher terms})$$

with an integer h prime to q_n . Then we see that $nv \equiv nh(k_{ni} + 1) \pmod{q}$. Take an integer s_{ni} so that $s_{ni}h \equiv 1 \pmod{q_n}$. Note that s_{ni} is independent of v . Then $k_{ni} \equiv s_{ni}v - 1 \pmod{q_n}$. Especially, if $n = 1$, the above study of the fixed points of ε_0 shows that $c_1 = \varphi(q)/2$, and P_i^1 is represented by r/q under the conditions $(r, q) = 1$, $0 < r < q/2$. For this point, we can take $s_{1i} = r^2$, as seen above. Now the map $f \mapsto F = f_0^{-1}f$ sends the vector space (5.9) isomorphically onto the vector space of all $\Gamma_1(q)$ -invariant modular functions F such that

$$\text{div}(F) \geq -\text{div}(f_0 dz).$$

We see easily that this is so if and only if

$$(5.11) \quad \text{div}'(F) \geq -q^{-1}D' - \sum_{n|q} \sum_{i=1}^{c_n} [k_{ni}/q_n] P_i^n,$$

where D' is the projection of D onto W' , and $[x]$ is the largest integer $\leq x$. Put $\{x\} = x - [x]$. Let μ_v denote -1 times the degree of the right hand side of (5.11). Assume that $(v, q) = 1$. Then $s_{ni}v \not\equiv 0 \pmod{q_n}$, hence $\{k_{ni}/q_n\} = \{s_{ni}v/q_n\} - 1/q_n$. Therefore

$$\begin{aligned} \mu_v &= q^{-1} \deg(\operatorname{div}(f_0 dz)) - \sum_{n,i} \{k_{ni}/q_n\} \\ &= (2g-2)/q - \sum_{n,i} \{k_{ni}/q_n\} \\ &= 2g' - 2 + \sum_{n,i} (1 - \{s_{ni}v/q_n\}) \\ &> 2g' - 2. \end{aligned}$$

Thus, by the Riemann-Roch theorem, one has

$$\lambda_v = g' - 1 + \sum_{n,i} (1 - \{s_{ni}v/q_n\}).$$

Observe that $\sum_{v=1}^{q-1} \chi(v) \{sv/q_n\} = 0$ for any s if $n > 1$. Therefore by (5.10) we obtain

$$\operatorname{tr}(R) = -\sqrt{-q} \cdot \sum_r \sum_{v=1}^{q-1} \chi(v) \{vr^2/q\} = -\sqrt{-q} \cdot (\varphi(q)/2) \cdot \sum_{k=1}^{q-1} \chi(k)k/q,$$

which is the desired result.

§ 6. The zeta-function of A over a non-abelian extension of \mathbf{Q} .

Let Γ be a group of level N , and let A be defined for a common eigenfunction f in $S_2(\Gamma)$ as in Theorem 1. Then one can naturally ask about (the one-dimensional part of) the zeta-function of A over an arbitrary finite algebraic extension F of \mathbf{Q} . This problem can easily be settled (always up to finitely many bad Euler factors), if F is abelian over \mathbf{Q} , as shown in [11, § 7.9] (see also the discussion below). We shall now show that one can determine the zeta-function for a certain class of non-abelian extensions.

Let us denote by $Z(s, A/F)$ the one-dimensional part of the zeta-function of A over F , and by $\zeta_F(s)$ the Dedekind zeta-function of F . We can write

$$\zeta_F(s) = \prod_p \det [1 - \Psi_p p^{-s}]^{-1},$$

$$Z(s, A/\mathbf{Q}) = \prod_p \det [1 - \Phi_p p^{-s}]^{-1} \quad (\text{the product for all good primes})$$

with complex matrices Φ_p and Ψ_p given for each prime p ; the size of Φ_p (resp. Ψ_p) is $2 \cdot \dim(A)$ (resp. $[F:\mathbf{Q}]$); the matrices are invertible for almost all p . Then we see easily that

$$Z(s, A/F) = \prod_p \det [1 - (\Phi_p \otimes \Psi_p) p^{-s}]^{-1}.$$

This applies to any abelian variety A defined over \mathbf{Q} .

Now take a common eigenfunction $f(z) = \sum_n a_n e^{2\pi i n z}$, with $a_1 = 1$, of all Hecke operators in $S_k(\Gamma)$, with any positive integer k , and put

$$L(s, f) = \sum_{n=1}^{\infty} a_n n^{-s} = \prod_p (1 - a_p p^{-s} + \varepsilon(p) p^{k-1-2s})^{-1} \\ = \prod_p \det [1 - \mathcal{E}_p p^{-s}]^{-1}$$

with complex matrices \mathcal{E}_p of size 2. Here $\varepsilon(p)$ is a character of $(\mathbf{Z}/N\mathbf{Z})^\times$ such that

$$f\left| \left[\begin{array}{cc} a & b \\ c & d \end{array} \right]_k \right. = \varepsilon(d) f \quad \text{for all } \left[\begin{array}{cc} a & b \\ c & d \end{array} \right] \in \Gamma_0(N).$$

Then we define an Euler product

$$L(s, f, F) = \prod_p \det [1 - (\mathcal{E}_p \otimes \Psi_p) p^{-s}]^{-1},$$

which obviously converges in some half plane. The last part of Theorem 1 implies that, if $k=2$ and A corresponds to f , then, under the condition stated there, $Z(s, A/F)$ coincides with $\prod_{\sigma \in \mathbf{I}} L(s, f_\sigma, F)$, up to finitely many Euler factors.

It is natural to conjecture that if $k > 1$, $L(s, f, F)$ can always be continued to a holomorphic function on the whole s -plane satisfying a functional equation. (If $k=1$, one will have to allow the function to have finitely many poles.) Thus our purpose is to show that this is so at least for a certain class of extensions F of \mathbf{Q} . To define it, we consider the following condition on a finite group G :

(X) *Every irreducible representation of G by complex matrices is either one-dimensional or induced from a one-dimensional representation of a subgroup of index 2.*

It can easily be shown that the following types of groups satisfy the condition: abelian group; dihedral group; generalized quaternion group (i. e., a group of order $4m$ generated by two elements r and s with the relations $r^2 = s^m$, $s^{2m} = 1$, $rsr^{-1} = s^{-1}$); a homomorphic image of a group satisfying (X); the product of an abelian group and a group satisfying (X).

Let E be a Galois extension of \mathbf{Q} such that $\text{Gal}(E/\mathbf{Q})$ satisfies (X), and let F be any subfield of E . It is this type of extension F , for which we are going to show that $L(s, f, F)$ can be determined. Write simply G for $\text{Gal}(E/\mathbf{Q})$, and let $L(s, E/\mathbf{Q}, \varphi)$ denote the Artin L -function with a character φ of G . By virtue of (X), $\zeta_F(s)$ can be written as a finite product

$$\zeta_F(s) = \prod_{\mu} L(s, E/\mathbf{Q}, \varphi_{\mu}) \times \prod_{\nu} L(s, E/\mathbf{Q}, \psi_{\nu}),$$

where φ_{μ} is a one-dimensional character of G , and ψ_{ν} is a character induced from an abelian character of a subgroup H_{ν} of G of index 2. Each φ_{μ} corresponds to a primitive character φ_{μ}^* of $(\mathbf{Z}/m_{\mu}\mathbf{Z})^\times$ with a positive integer m_{μ} , and

$$L(s, E/\mathbf{Q}, \varphi_\mu) = \prod_{\mathfrak{p}} (1 - \varphi_\mu^*(\mathfrak{p})p^{-s})^{-1}.$$

As to ϕ_ν , take the subfield M_ν of E corresponding to H_ν . Then $[M_\nu : \mathbf{Q}] = 2$, and $L(s, E/\mathbf{Q}, \phi_\nu)$ coincides with an L -function

$$L(s, M_\nu, \chi_\nu) = \prod_{\mathfrak{p}} (1 - \chi_\nu(\mathfrak{p})N(\mathfrak{p})^{-s})^{-1}$$

of M_ν with a primitive character χ_ν of finite order, where the product is extended over all prime ideals \mathfrak{p} of M_ν prime to the conductor of χ_ν . Put

$$L(s, M_\nu, \chi_\nu) = \sum_n b_{\nu n} n^{-s} = \prod_{\mathfrak{p}} \det [1 - \Psi_{\nu \mathfrak{p}} p^{-s}]^{-1}$$

with complex numbers $b_{\nu n}$ and complex matrices $\Psi_{\nu \mathfrak{p}}$ of size 2. Then

$$L(s, f, F) = \prod_{\mu} L(s, f, \varphi_\mu^*) \times \prod_{\nu} L(s, f, M_\nu, \chi_\nu),$$

where

$$L(s, f, \varphi_\mu^*) = \prod_{\mathfrak{p}} \det [1 - \varphi_\mu^*(\mathfrak{p})\mathcal{E}_{\mathfrak{p}} p^{-s}]^{-1} = \sum_n \varphi_\mu^*(n) a_n n^{-s},$$

$$(6.1) \quad L(s, f, M_\nu, \chi_\nu) = \prod_{\mathfrak{p}} \det [1 - (\mathcal{E}_{\mathfrak{p}} \otimes \Psi_{\nu \mathfrak{p}}) p^{-s}]^{-1}.$$

By an easy formal computation, we see that

$$L(s, f, M_\nu, \chi_\nu) = \left(\sum_{n=1}^{\infty} a_n b_{\nu n} n^{-s} \right) \left(\sum_{n=1}^{\infty} \left(\frac{D_\nu}{n} \right) \chi_\nu((n)) \varepsilon(n) n^{k-1-2s} \right),$$

where D_ν is the discriminant of M_ν . Note that $\det(\Psi_{\nu \mathfrak{p}}) = \left(\frac{D_\nu}{\mathfrak{p}} \right) \chi_\nu((\mathfrak{p}))$, and $\det(\mathcal{E}_{\mathfrak{p}}) = \varepsilon(\mathfrak{p})\mathfrak{p}^{k-1}$. The Dirichlet series $L(s, f, \varphi_\mu^*)$ can be continued to a holomorphic function on the whole s -plane satisfying a functional equation, since $\sum_n \varphi_\mu^*(n) a_n e^{2\pi i n z}$ is an element of $S_k(\Gamma_1(N_\mu))$ for some N_μ (see [11, Prop. 3.64]).

To study the nature of $L(s, f, M_\nu, \chi_\nu)$, we first observe that $L(s, M_\nu, \chi_\nu)$ is the Mellin transform of an automorphic form on $GL_2(\mathbf{Q}_A)$. This is essentially due to Hecke and Maass, and included, as a special case, in the results of Jacquet and Langlands [6], and Weil [17]. Especially, if M_ν is imaginary, and if we put $g_\nu(z) = \sum_n b_{\nu n} e^{2\pi i n z}$, then g_ν is a modular form of weight 1 satisfying

$$(6.2) \quad g_\nu \Big| \left[\begin{array}{cc} a & b \\ c & d \end{array} \right]_1 = \left(\frac{D_\nu}{d} \right) \chi_\nu((d)) g_\nu \quad \text{for all } \left[\begin{array}{cc} a & b \\ c & d \end{array} \right] \in \Gamma_0(D_\nu \cdot N(c_\nu)),$$

where c_ν is the conductor of χ_ν . Therefore $L(s, f, M_\nu, \chi_\nu)$ is similar to the Dirichlet series considered by Rankin [10]. Recently Jacquet [7] has given a general treatment of Dirichlet series of type (6.1). Thus it is virtually possible to obtain the desired property, or at least something very close to it, of $L(s, f, M_\nu, \chi_\nu)$ or of $L(s, f, F)$, though one may have to work out the

details to get a precise statement.

For example, consider the simplest non-abelian case in which E is a non-abelian extension of \mathbf{Q} of degree 6, whose unique quadratic subfield is imaginary, and take F to be a cubic subfield of E . Then $\zeta_F(s) = \zeta_{\mathbf{Q}}(s)L(s, M, \chi)$ with the L -function $L(s, M, \chi)$ of M for a character χ of order 3, corresponding to the extension E/M , hence

$$L(s, f, F) = L(s, f)L(s, f, M, \chi).$$

Put $L(s, M, \chi) = \sum_n b_n n^{-s}$, $g(z) = \sum_n b_n e^{2\pi i n z}$. Then g is a modular form of weight 1, satisfying a relation of the type (6.2). (In this special case, one has $\chi((d)) = 1$.) Applying the method of [14, §3] to f and g , we can show that $L(s, f, M, \chi)$ can be continued to an entire function in s , if $k > 1$. To obtain the exact form of the functional equation, one has to assume that $f|[\begin{smallmatrix} 0 & -1 \\ N & 0 \end{smallmatrix}]_k$ is a common eigen-function of Hecke operators, and make considerations similar to Ogg [9] and the author [14, §3]; but we shall not treat this problem further in this paper.

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Goro SHIMURA
Department of Mathematics
Princeton University
Princeton, New Jersey
U. S. A.
