

A relative Hodge-Kodaira decomposition

By Daisuke FUJIWARA

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§ 1. Introduction.

Let X be an $m+n$ dimensional oriented compact C^∞ Riemannian manifold and $\Omega^p(X)$ be the space of smooth p -forms on X . Celebrated Hodge theorem says that every cohomology class $H^p(X)$ of de Rham is canonically represented by a harmonic p -form (cf. [2] and [5]). The aim of this note is to prove an analogy of this theorem of Hodge also for the cohomology group $H^p(X, Y)$ relative to m -dimensional submanifold $Y \subset X$. More precisely, let Y be an m -dimensional compact oriented submanifold of X and $\Omega^p(Y)$ be the space of smooth p -forms of Y . The relative cohomology group $H^*(X, Y)$ is the cohomology group of the complex $\Omega^*(X, Y)$ defined by the exact sequence of complexes

$$(1.1) \quad 0 \longrightarrow \Omega^*(X, Y) \longrightarrow \Omega^*(X) \xrightarrow{\iota} \Omega^*(Y) \longrightarrow 0$$

where ι is the restriction mapping. Kodaira [5] proved that every cohomology class of $H^p(X, Y)$ can be represented by a square summable harmonic p -forms on open submanifold $X-Y$ of X (cf. [2]). However, this is not convenient when one wants to deal with the long exact sequence

$$(1.2) \quad \begin{array}{ccccccc} 0 & \longrightarrow & H^0(X, Y) & \longrightarrow & H^0(X) & \longrightarrow & H^0(Y) \longrightarrow \\ & & \longrightarrow & & H^1(X, Y) & \longrightarrow & H^1(X) \longrightarrow & H^1(Y) \longrightarrow \\ & & & & \dots & & & \\ & & & & \longrightarrow & & H^p(X, Y) & \longrightarrow & H^p(X) & \longrightarrow & H^p(Y) \longrightarrow \\ & & & & & & \dots & & & & \end{array}$$

In this note we prove the following facts:

(i) Every cohomology class of $H^p(X, Y)$ can be represented by a current α on X which satisfies the equation

$$(1.3) \quad \Delta(1+\Delta)^a \alpha = 0 \quad \text{on } X-Y$$

and $\alpha|_Y = 0$, where a is the integral part of $n/2$.

(ii) Every cohomology class of $H^p(X, Y)$ can be represented by a current β on X which is harmonic in $X-Y$ and has singularity on Y .

(iii) Representations (i) and (ii) of the cohomology class of $H^p(X, Y)$ are compatible with the long exact sequence (1.2).

In proving (iii), we make use of a slight variation of Hodge-Kodaira decomposition of forms on Y .

Our results are obtained through the following standard steps:

(a) We make a Hilbert space $W_a^p(X)$ in which $\Omega^p(X, Y)$ is everywhere-dense. This is done in § 3.

(b) We consider the adjoint operator d^* of d in $W_a^p(X)$. This is treated in § 4 and § 5.

(c) We introduce the generalized Laplacian $dd^* + d^*d$ and apply the classical method of Weyl-Kodaira, i. e., make use of the orthogonal decomposition of $W_a^p(X)$ into the sum of the image and the kernel of the self-adjoint operator $dd^* + d^*d$. We prove that the kernel of $dd^* + d^*d$ is isomorphic to the cohomology group $H^*(X, Y)$. These are proved in § 6 and § 8.

(d) Interpretation of the long exact sequence (1.2) is given in § 7 and § 8.

Crucial point lies in only one point how the space $W_a^p(X)$ should be chosen. This space coincides with the space of square integrable currents on $X-Y$ if $n=1$. In this case, steps (a), (b) and the first part of step (c) were done by several authors. One may consult with, for example, [1] and [6]. See their bibliographies for further references. However, the space $W_a^p(X)$ is strictly smaller than the space of square integrable currents on $X-Y$ if $n \geq 2$. The operator d^* is no longer a local operator in this case.

One may feel that the equation (1.3) is not sufficiently natural. We slightly modify discussions to get more natural representative of the cohomology class of $H^p(X, Y)$. It is shown in § 9 that every cohomology class in $H^p(X, Y)$ is uniquely represented by a current α satisfying

$$(1.4) \quad \Delta^{a+1}\alpha = 0 \quad \text{on } X-Y$$

and

$$\alpha|_Y = 0. \quad (\text{cf. Theorem 9.6, 9.7 and Remark 9.8}).$$

One can easily see that suitable modification makes similar discussion possible for non compact X . However this is left to the reader.

§ 2. Some lemmas from analysis.

Let \mathbf{R}^{m+n} be the Euclidean $m+n$ space. We shall denote an arbitrary point in \mathbf{R}^{m+n} by $x = (x', x'')$ with $x' = (x_1, \dots, x_m)$ and $x'' = (x_{m+1}, \dots, x_{m+n})$. Let $\mathcal{S}(\mathbf{R}^{m+n})$ and $\mathcal{S}'(\mathbf{R}^{m+n})$ be the space of rapidly decreasing C^∞ functions on \mathbf{R}^{m+n} and its dual space (cf. [7]). For any function $u(x)$ in $\mathcal{S}(\mathbf{R}^{m+n})$ and any a, b in \mathbf{R} , we define the norm

$$(2.1) \quad \|u\|_{a,b} = \left[\int_{\mathbf{R}^{m+n}} |\hat{u}(\xi)|^2 (1 + |\xi'|^2 + |\xi''|^2)^a (1 + |\xi'|^2)^b d\xi \right]^{1/2},$$

where $\xi = (\xi', \xi'')$ runs over \mathbf{R}^{m+n} and $\hat{u}(\xi)$ is the Fourier transform of u , that is, $\hat{u}(\xi) = \int_{\mathbf{R}^{m+n}} u(x) e^{-i x \cdot \xi} dx$, with $x \cdot \xi = \sum_{i=1}^{m+n} x_i \xi_i$. The completion of $\mathcal{S}(\mathbf{R}^{m+n})$ by the norm $\|\cdot\|_{a,b}$ can be identified with

$$W_{a,b}(\mathbf{R}^{m+n}) = \{T \in \mathcal{S}'(\mathbf{R}^{m+n}) \mid \text{The Fourier transform } \hat{T} \text{ is a function of } \xi \text{ satisfying } \int |\hat{T}(\xi)|^2 (1 + |\xi'|^2 + |\xi''|^2)^a (1 + |\xi'|^2)^b d\xi < \infty\}.$$

The space $W_{a,b}(\mathbf{R}^{m+n})$ is a Hilbert space with scalar product

$$\int \hat{S}(\xi) \overline{\hat{T}(\xi)} (1 + |\xi'|^2 + |\xi''|^2)^a (1 + |\xi'|^2)^b d\xi$$

for any S and T in $W_{a,b}(\mathbf{R}^{m+n})$. We shall denote $W_a(\mathbf{R}^{m+n})$ instead of $W_{a,0}(\mathbf{R}^{m+n})$ for the sake of brevity. We know that $W_{a,b}(\mathbf{R}^{m+n})$ and $W_{-a,-b}(\mathbf{R}^{m+n})$ are mutually dual by the sesquilinear form $(S, T) \rightarrow \int_{\mathbf{R}^{m+n}} \hat{S}(\xi) \overline{\hat{T}(\xi)} d\xi$.

Let $\delta_{\mathbf{R}^m}$ be the distribution defined by

$$\langle \varphi, \delta_{\mathbf{R}^m} \rangle = \int \varphi(x', 0) dx'$$

for any φ in $C_0^\infty(\mathbf{R}^{m+n})$. For any multi-index $\nu = (\nu_{m+1}, \dots, \nu_{m+n})$ and any distribution T in $\mathcal{D}'(\mathbf{R}^m)$ we define a distribution $T \otimes \delta_{\mathbf{R}^m}^{(\nu)}$ by

$$\langle \varphi, T \otimes \delta_{\mathbf{R}^m}^{(\nu)} \rangle = \left\langle \frac{\partial^{|\nu|}}{\partial x''^\nu} \varphi(x', 0), T \right\rangle.$$

PROPOSITION 2.1.

- (i) $W_{a,b}(\mathbf{R}^{m+n}) \subset W_{a',b'}(\mathbf{R}^{m+n})$ if $a' \leq a$ and $b' \leq b$.
- (ii) $W_{a,b}(\mathbf{R}^{m+n}) \subset W_{a+b}(\mathbf{R}^{m+n})$ if $b \leq 0$,
 $W_{a+b}(\mathbf{R}^{m+n}) \subset W_{a,b}(\mathbf{R}^{m+n})$ if $b \geq 0$.

In the following, we shall denote $|\nu| = \nu_{m+1} + \dots + \nu_{m+n}$ for multi-index ν .

PROPOSITION 2.2. A distribution T in $\mathcal{D}'(\mathbf{R}^m)$ belongs to $W_a(\mathbf{R}^m)$ if and only if $T \otimes \delta_{\mathbf{R}^m}^{(\nu)}$ belongs to $W_{b,a-b-|\nu|-n/2}(\mathbf{R}^{m+n})$ for some $b < -|\nu| - n/2$. The mapping $T \rightarrow T \otimes \delta_{\mathbf{R}^m}^{(\nu)}$ has closed range as a mapping from $W_a(\mathbf{R}^m)$ to $W_{b,a-b-|\nu|-n/2}(\mathbf{R}^{m+n})$.

PROOF. T belongs to $W_a(\mathbf{R}^m)$ if and only if

$$(2.2) \quad \int_{\mathbf{R}^m} |\hat{T}(\xi')|^2 (1 + |\xi'|^2)^a d\xi' < \infty.$$

On the other hand $T \otimes \delta_{\mathbf{R}^m}^{(\nu)}$ belongs to $W_{a',b'}(\mathbf{R}^{m+n})$ if and only if

$$(2.3) \quad \int_{\mathbf{R}^{m+n}} |\hat{T}(\xi')|^2 |\xi''^\nu|^2 (1 + |\xi'|^2 + |\xi''|^2)^{a'} (1 + |\xi'|^2)^{b'} d\xi' d\xi'' < \infty .$$

This is finite if and only if both

$$(2.4) \quad k(\xi') = \int_{\mathbf{R}^n} |\xi''^\nu|^2 (1 + |\xi'|^2 + |\xi''|^2)^{a'} d\xi''$$

and

$$(2.5) \quad \int_{\mathbf{R}^m} |\hat{T}(\xi')|^2 (1 + |\xi'|^2)^{b'} k(\xi') d\xi'$$

are finite. $k(\xi')$ is finite if and only if $2(|\nu| + a') < -n$. If this holds,

$$(2.6) \quad k(\xi') = (1 + |\xi'|^2)^{a' + |\nu| + n/2} \int_{\mathbf{R}^n} |\xi''^\nu|^2 (1 + |\xi''|^2)^{a'} d\xi'' .$$

The integral (2.5) is finite if and only if

$$(2.7) \quad \int |\hat{T}(\xi')|^2 (1 + |\xi'|^2)^{a' + |\nu| + b' + n/2} d\xi'$$

is finite. This proves our proposition.

COROLLARY 2.2. *The restriction mapping $\mathcal{S}(\mathbf{R}^{m+n}) \ni \varphi(x', x'') \rightarrow D_x^\nu \varphi(x', 0) \in \mathcal{S}(\mathbf{R}^m)$ can be extended as a continuous open mapping from $W_{a,b}(\mathbf{R}^{m+n})$ to $W_{a+b-|\nu|-n/2}(\mathbf{R}^m)$ if $a > n/2 + |\nu|$. This is surjective if $\nu = 0$.*

The following lemma is of fundamental importance in this note.

LEMMA 2.3 (cf. [8]). *Let T_ν , ν being multi-indices with $|\nu| \leq N$ for some integer N , be in $W_b(\mathbf{R}^m)$ with some $b \in \mathbf{R}$. Let T be the sum $T = \sum_{|\nu| \leq N} T_\nu \otimes \delta_{\mathbf{R}^m}^{(\nu)}$. Assume that T belongs to $W_{a,b'}(\mathbf{R}^{m+n})$ for some b' . Then $T_\nu = 0$ for all ν satisfying $|\nu| \geq -a - n/2$.*

PROOF. Set $T_M = \sum_{|\nu|=M} T_\nu \otimes \delta_{\mathbf{R}^m}^{(\nu)}$. Then the fact that $T \in W_{a,b'}(\mathbf{R}^{m+n})$ means that the integral

$$\int_{\mathbf{R}^{m+n}} |\hat{T}(\xi', \xi'')|^2 (1 + |\xi'|^2 + |\xi''|^2)^a (1 + |\xi'|^2)^{b'} d\xi' d\xi''$$

is finite. Therefore, for almost all ξ' ,

$$\int |\hat{T}(\xi', \xi'')|^2 (1 + |\xi'|^2 + |\xi''|^2)^a d\xi''$$

is finite. That is,

$$(2.8) \quad \int \left| \sum_{M \leq N} \hat{T}_M(\xi', \xi'') \right|^2 (1 + |\xi'|^2 + |\xi''|^2)^a d\xi'' < \infty .$$

Since $\hat{T}_M(\xi', \xi'') = \sum_{|\nu|=M} \hat{T}_\nu(\xi') \xi''^\nu$, (2.8) means that $\hat{T}_M(\xi', \xi'') = 0$ if $2M + 2a \geq -n$. Hence we have $T_\nu = 0$ for any ν ; $|\nu| \geq -a - n/2$.

§ 3. Hilbert spaces.

We denote the Hilbert space of square integrable p -currents by $W_p^2(X)$. The scalar product in it is denoted by

$$(3.1) \quad (\alpha, \beta) = \int_X \alpha \wedge * \beta,$$

for any α and β in $W_p^2(X)$. We denote the exterior and interior differentiation in the sense of current by d_0 and δ_0 respectively. Let Δ_0 be the Laplacian operator $d_0\delta_0 + \delta_0d_0$. Then it is well known that $(1 + \Delta_0)^{-1}$ exists and that $(1 + \Delta_0)^{-1}W_p^2(X)$ is dense in $W_p^2(X)$. The operator Δ_0 restricted to $(1 + \Delta_0)^{-1}W_p^2(X)$ is a non-negative self-adjoint operator which is denoted by Δ . We denote its domain by $W_{\frac{p}{2}}^2(X)$. We can define $(1 + \Delta)^s$ for any real number s . Its domain is denoted by $W_{\frac{p}{2s}}^2(X)$. It is very easy to check that every section α in $W_{\frac{p}{2s}}^2(X)$ can be written by coordinates $(x_1, x_2, \dots, x_{m+n})$ as

$$(3.2) \quad \alpha = \sum_{1 \leq i_1 < \dots < i_p \leq m+n} \alpha_{i_1 i_2 \dots i_p}(x) dx_{i_1} \wedge \dots \wedge dx_{i_p}$$

and coefficients $\alpha_{i_1 \dots i_p}(x)$ are locally identified with functions in $W_{2s}(\mathbf{R}^{m+n})$ in § 2. Similarly we may consider $W_{\frac{p}{2s}}^2(Y)$ and Laplacian Δ' on the submanifold Y . Let B be a tubular neighbourhood of Y . Let φ_1 and φ_2 be a C^∞ partition of unity subordinate to the open covering $B \cup X - Y$. Any p -current α can be decomposed into two parts; $\alpha = \alpha_1 + \alpha_2$, $\alpha_1 = \varphi_1 \alpha$, $\alpha_2 = \varphi_2 \alpha$. We shall denote by $W_{a,b}^p(X)$ the space of those currents that satisfy the following conditions; (i) α_2 belongs to $W_{a+b}^p(X)$. (ii) Every coefficient $\alpha_{i_1 \dots i_p}$ in the coordinate expression (3.2) of α_1 coincides with a distribution in $W_{a,b}(\mathbf{R}^{m+n})$ introduced in the previous section. The following Theorem holds.

THEOREM 3.1. $(1 + \Delta_0)$ is an isomorphism from $W_{a,b}^p(X)$ onto $W_{a-2,b}^p(X)$.

All results in § 2 apply to our spaces $W_p^2(X)$ and $W_{a,b}^p(X)$ with obvious modification. In particular, we have

PROPOSITION 3.2.

$$(i) \quad W_{a,b}^p(X) \subset W_{a',b'}^p(X) \quad \text{if } a' \leq a, b' \leq b.$$

Injection is completely continuous if $a' < a$ and $a' + b' < a + b$.

$$(ii) \quad W_{a,b}^p(X) \subset W_{a+b}^p(X) \quad \text{if } b \leq 0.$$

$$W_{a+b}^p(X) \subset W_{a,b}^p(X) \quad \text{if } b \geq 0.$$

PROOF. Assertion (i) follows from Proposition 2.1 and the fact that X is compact.

The space $W_p^2(X)$ has Hilbert space structure of which scalar product is given by

$$(3.3) \quad (\alpha, \beta)_a = ((1 + \Delta_0)^{a/2} \alpha, (1 + \Delta_0)^{a/2} \beta), \quad a \in \mathbb{R}.$$

The parenthesis in the right is the scalar product (3.1).

In the following we fix $a = [n/2]$. The scalar product in the space $W_a^p(X)$, a being odd, is equivalent to

$$(3.4) \quad (\alpha, \beta)_a = ((1 + \Delta_0)^{[a/2]} \alpha, (1 + \Delta_0)^{[a/2]} \beta) \\ + (d_0(1 + \Delta_0)^{[a/2]} \alpha, d_0(1 + \Delta_0)^{[a/2]} \beta) \\ + (\delta_0(1 + \Delta_0)^{[a/2]} \alpha, \delta_0(1 + \Delta_0)^{[a/2]} \beta).$$

The following theorem is interesting.

THEOREM 3.3. *The space $W_a^p(X)$ contains $\Omega^p(X)$. Furthermore, the space $\Omega_0^p(X-Y)$ of smooth p -forms with support contained in $X-Y$ is everywhere dense in $W_a^p(X)$.*

PROOF. We have only to prove that $\Omega_0^p(X-Y)$ is dense in $W_a^p(X)$. Assume that $\alpha \in W_a^p(X)$ is orthogonal to $\Omega_0^p(X-Y)$, i. e., $(\alpha, \beta)_a = 0$ for any β in $\Omega_0^p(X-Y)$. Then the current α on X satisfies $(1 + \Delta_0)^a \alpha = 0$ in $X-Y$. The support of $(1 + \Delta_0)^a \alpha$ is contained in Y . For any C^∞ function φ with support contained in a small coordinate patch in X , $\varphi(1 + \Delta_0)^a \alpha$ has coordinate expression (3.2), where we may assume that dx_1, \dots, dx_m are cotangent to Y and $dx_{m+1}, \dots, dx_{m+n}$ are co-normal to Y if support of φ intersects Y . By Schwartz' Theorem, we have for any i_1, \dots, i_p ,

$$\alpha_{i_1 \dots i_p}(x) = \sum_{\nu} \alpha_{i_1 \dots i_p}^{(\nu)}(x') \otimes \delta_Y^{(\nu)},$$

where $\alpha_{i_1 \dots i_p}^{(\nu)}(x')$ are scalar valued distributions on Y (cf. [7]).

$\delta_Y^{(\nu)}$ is transversal derivative of the δ -function in the $(x_{m+1}, \dots, x_{m+n})$ space as is introduced in the previous section. Since α belongs to $W_a^p(X)$, $(1 + \Delta_0)^a \alpha$ must belong to $W_a^p(X)$. We can apply Lemma 2.3 and obtain that $\alpha_{i_1 \dots i_p}^{(\nu)}(x') = 0$. This implies that $\varphi(1 + \Delta_0)^a \alpha = 0$. Since φ is arbitrary, $(1 + \Delta_0)^a \alpha = 0$. The operator $(1 + \Delta_0)^a$ being invertible, this proves the theorem.

REMARK 3.4. Theorem 3.3 enables us to identify any α in $W_a^p(X)$ with a current γ over $X-Y$. In fact, we identify α with γ by the formula

$$(3.5) \quad (\alpha, \beta)_a = \int_{X-Y} \gamma \wedge * \beta, \quad \text{for any } \beta \text{ in } \Omega_0^p(X-Y).$$

Considering (3.3) or (3.4), we have

$$(3.6) \quad \gamma = (1 + \Delta_0)^a \alpha \quad \text{restricted on } X-Y.$$

§ 4. Coordinate expression.

Let B be the tubular neighbourhood of Y which consists of points of X with distance less than ε from Y . We fix decomposition of cotangent bundle

$T^*(B)$;

$$(4.1) \quad T^*(B) \cong T^*(Y) + N^*(Y)$$

where $N^*(Y)$ is the conormal bundle of Y . We have isomorphism $A^p(B) \cong \bigoplus_{k=0}^p A^{p-k}(Y) \otimes A^k N^*(Y)$, where $A^p(B)$ and $A^p(Y)$ are exterior p -products of $T^*(B)$ and $T^*(Y)$ respectively. Any p -form or current of degree p on X has decomposition

$$\alpha = \sum_{k=0}^p \alpha_{p-k,k}, \quad \alpha_{p-k,k} \in A^{p-k}(Y) \otimes A^k N^*(Y),$$

in the neighbourhood B of Y . We shall call $\alpha_{p,0}$ the tangential component of α and $\sum_{k=1}^p \alpha_{p-k,k}$ the normal component of it. A p -form α in $\Omega^p(X)$ belongs to $\Omega^p(X, Y)$ if and only if its tangential part vanishes on Y .

Let d' and d'' denote the exterior differential operator restricted on tangential and normal components respectively. These are well defined in B . In other words, $d_0 \alpha = d' \alpha' + d'' \alpha''$ if $\alpha = \alpha' + \alpha''$ be the decomposition of α into the sum of its tangential and normal components. $d' \alpha'$ is again tangential.

We shall denote by $*$ and $*'$ the "star-operations" in $\Omega^*(X)$ and $\Omega^*(Y)$ respectively. Here $*'$ is defined in $\Omega^*(Y)$ with respect to the induced Riemannian metric in Y . Interior differential operators δ_0 and δ' are defined on X and Y respectively (cf. [2]). If $\alpha \in \Omega^p(X)$ is tangential to Y , then we may consider both $(\delta_0 \alpha)|_Y$ and $\delta'(\alpha|_Y)$. As to these two operations we can prove

PROPOSITION 4.1. *If $\alpha \in \Omega^p(X)$ is tangential to Y , then $\delta_0 \alpha$ is also tangential to Y at every point y in Y and is equal to $\delta'(\alpha|_Y)$.*

PROOF. We choose a coordinate system in an open set of X around a point y_0 in Y as follows. Let y_0 be an arbitrary point of Y . Let y^1, \dots, y^{m+n} be a normal coordinate of X at y_0 . The metric tensor of X is of the form

$$(4.2) \quad g^{ij}(y) = (dy^i, dy^j) = \delta^{ij} + O(|y|^2), \quad i, j = 1, \dots, m+n$$

where δ^{ij} is Kronecker index. We may assume that the local equations of the submanifold Y are

$$(4.3) \quad y^r - \varphi^r(y^1, \dots, y^m) = 0, \quad r = m+1, \dots, m+n$$

and that Taylor expansion of φ^r are

$$\varphi^r(y^1, \dots, y^m) = \frac{1}{2} \sum_{\lambda, \mu=1}^m A_{\lambda\mu}^r y^\lambda y^\mu + O(|y|^3).$$

Indices i, j, k, \dots run from 1 to $m+n$, λ, μ, ν, \dots run from 1 to m and r, s, t, \dots run from $m+1$ to $m+n$ in the following. The quadratic form

$$(4.4) \quad (y^1, \dots, y^m) \longrightarrow \sum_{\lambda\mu} A_{\lambda\mu}^r y^\lambda y^\mu$$

is the second fundamental form of Y at x_0 . We make the following change of coordinates:

$$(4.5) \quad \begin{cases} x^\lambda = y^\lambda \\ x^r = y^r - \varphi^r(y^1, \dots, y^m). \end{cases}$$

Now the equations of Y are $x^r = 0$ in the new coordinates. We have

$$(4.6) \quad dx^r = dy^r - \sum_{\lambda\mu} A_{\lambda\mu}^r y^\lambda dy^\mu + O(|y|^2)$$

and

$$(4.7) \quad \begin{cases} (dx^r, dx^s) = \delta^{rs} + O(|x|^2) \\ (dy^\nu, dx^r) = - \sum_{\lambda} A_{\lambda\nu}^r y^\lambda + O(|x|^2). \end{cases}$$

Setting

$$(4.8) \quad \begin{cases} \pi^\nu = dy^\nu + \sum_r (dy^\nu, dx^r) dx^r \\ \pi^r = dx^r, \end{cases}$$

we have

$$(4.9) \quad \begin{cases} (\pi^i, \pi^j) = \delta^{ij} + O(|x|^2) \\ (\pi^r, \pi^s) = 0. \end{cases}$$

Hence we can choose functions $a_\mu^\lambda(x)$ and $b_s^r(x)$ such that

$$(4.10) \quad \begin{aligned} \omega^\lambda &= \pi^\lambda + \sum_\mu a_\mu^\lambda \pi^\mu \\ \omega^r &= \pi^r + \sum_s b_s^r \pi^s \end{aligned}$$

satisfy

$$(4.11) \quad (\omega^i, \omega^j) = \delta^{ij}.$$

In fact, we can choose a_μ^λ, b_s^r as $a_\mu^\lambda(x) = O(|x|^2), b_s^r = O(|x|^2)$ because of (4.9). Let $\{X_j\}_{j=1}^{m+n}$ be the orthonormal frame of $T(X)$ which is dual to the frame $\{\omega^j\}_{j=1}^{m+n}$ in $T^*(X)$. $\{X_\lambda\}_{\lambda=1}^m$ is tangent to Y . We have

$$(4.12) \quad \begin{aligned} d\omega^\nu &= - \sum_{\lambda r} A_{\lambda\nu}^r \omega^\lambda \wedge \omega^r + O(|x|) \\ d\omega^r &= O(|x|) \end{aligned}$$

and $*1 = \omega^1 \wedge \dots \wedge \omega^m$, and $*1 = \omega^1 \wedge \dots \wedge \omega^{m+n}$.

Let us prove Proposition 4.1. We may assume that

$$\alpha = a(x) \omega^1 \wedge \dots \wedge \omega^p, \quad p \leq m,$$

where $a(x)$ is a function.

We have

$$*\alpha = a(x)\omega^{p+1} \wedge \cdots \wedge \omega^m \wedge \omega^{m+1} \wedge \cdots \wedge \omega^{m+n}$$

and

$$\begin{aligned} d*\alpha &= da \wedge \omega^{p+1} \wedge \cdots \wedge \omega^m \wedge \omega^{m+1} \wedge \cdots \wedge \omega^{m+n} \\ &\quad + ad(\omega^{p+1} \wedge \cdots \wedge \omega^m) \wedge \omega^{m+1} \wedge \cdots \wedge \omega^{m+n} \\ &\quad + (-1)^{m-p} a \omega^{p+1} \wedge \cdots \wedge \omega^m \wedge d(\omega^{m+1} \wedge \cdots \wedge \omega^{m+n}) \\ &= \sum_{\lambda} (X_{\lambda} a) \omega^{\lambda} \wedge \omega^{p+1} \wedge \cdots \wedge \omega^{m+1} \wedge \cdots \wedge \omega^{m+n} + O(|x|). \end{aligned}$$

Therefore

$$\begin{aligned} *d*\alpha(x_0) &= \sum_{\lambda} X_{\lambda} a(x_0) *(\omega^{\lambda} \wedge \omega^{p+1} \wedge \cdots \wedge \omega^{m+n}) \\ &= (-1)^{n(p-1)} \sum_{\lambda} (X_{\lambda} a)(x_0) *'(\omega^{\lambda} \wedge \omega^{p+1} \wedge \cdots \wedge \omega^{m+n}). \end{aligned}$$

On the other hand

$$\begin{aligned} \alpha|_Y &= a|_Y \omega^1 \wedge \omega^2 \wedge \cdots \wedge \omega^p, \\ *'(\alpha|_Y) &= a|_Y \omega^{p+1} \wedge \cdots \wedge \omega^m, \end{aligned}$$

and

$$\begin{aligned} d*'(\alpha|_Y) &= \sum_{\lambda} (X_{\lambda} a|_Y) \omega^{\lambda} \wedge \omega^{p+1} \wedge \cdots \wedge \omega^m \\ &\quad + \sum a|_Y d(\omega^{p+1} \wedge \cdots \wedge \omega^m)|_Y \\ &= \sum_{\lambda} X_{\lambda} (a|_Y) \omega^{\lambda} \wedge \omega^{p+1} \wedge \cdots \wedge \omega^m + O(|y|). \end{aligned}$$

Hence

$$*'d*'(\alpha|_Y)(x_0) = \sum_{\lambda} X_{\lambda} (a|_Y) *'(\omega^{\lambda} \wedge \omega^{p+1} \wedge \cdots \wedge \omega^m).$$

Since $\delta_0 \alpha = (-1)^{(m+n+1)(p-1)+p} *d*\alpha$ and $\delta' \alpha = (-1)^{(m+1)(p-1)+p} *'d*'(\alpha|_Y)$, we have proved $\delta_0 \alpha(x_0) = (\delta' \alpha|_Y)(x_0)$.

§ 5. Closed operator d and its adjoint d^* .

The exterior differential operator d_0 restricted to $\Omega^p(X, Y)$ is a closable operator in the Hilbert space $W_{\mathfrak{a}}^p(X)$. Let us denote its smallest extension and its adjoint by d and d^* respectively. In order to obtain informations about the domain $D(d)$ and $D(d^*)$ of d and d^* , we shall make some preparation.

Let T be any p -current on Y , then we denote by $T \otimes \delta_Y$ the following p -current on X :

$$(5.1) \quad \int_X \alpha \wedge *(T \otimes \delta_Y) = \int_Y \alpha|_Y \wedge *'T$$

for any α in $\Omega^p(X)$. If $T \equiv 1$ on Y , we shall denote $1 \otimes \delta_Y$ by δ_Y briefly. We have the following formulae:

$$(5.2) \quad d_0(T \otimes \delta_Y) = (d'T) \otimes \delta_Y + (-1)^p T \otimes d_0 \delta_Y$$

$$(5.3) \quad \delta_0(T \otimes \delta_Y) = (\delta'T) \otimes \delta_Y,$$

where T is any p -current on Y . Equality (5.3) is a consequence of Proposition 4.1 and the fact that the tangential component of $d_0 \delta_Y$ vanishes.

Now we come back to the discussion of $D(d^*)$.

THEOREM 5.1. *A current α in $W_a^{p+1}(X)$ belongs to $D(d^*)$ if and only if there are γ in $W_a^p(X)$ and T in $W_{a-1+n/2}^p(Y)$ such that*

$$(5.4) \quad \delta_0 \alpha - \gamma = (1 + \mathcal{A}_0)^{-a} (T \otimes \delta_Y).$$

If this holds, $d^* \alpha$ is equal to γ and $\delta'T$ belongs to $W_{a-1+n/2}^p(Y)$.

PROOF. $\alpha \in W_a^{p+1}(X)$ belongs to $D(d^*)$ if and only if there is an element γ in $W_a^p(X)$ such that

$$(5.5) \quad (\gamma, \beta)_a = (\alpha, d\beta)_a \quad \text{for any } \beta \text{ in } \Omega^p(X, Y).$$

And $\gamma = d^* \alpha$ if (5.5) holds. Assume that α belongs to $D(d^*)$. Then (5.5) holds for any β in $\Omega_0^p(X - Y)$. This means that

$$(5.6) \quad (1 + \mathcal{A}_0)^a (\delta_0 \alpha - \gamma) = 0 \quad \text{in } X - Y.$$

Let x_0 be an arbitrary point of Y . Take a small open set U of X containing x_0 . We assume that U is so small that we have coordinate expression introduced in §4. We take a C^∞ -function φ with support in U . Then $\sigma = (1 + \mathcal{A}_0)^a (\delta_0 \alpha - \gamma)$ has expression

$$(5.7) \quad \varphi (1 + \mathcal{A}_0)^a (\delta_0 \alpha - \gamma) = \sum \beta_{i_1 \dots i_p}^{r_1 \dots r_k} \omega^{i_1} \wedge \dots \wedge \omega^{i_p} \otimes X_{r_1} \dots X_{r_k} \delta_Y.$$

The summation ranges over all indices $1 \leq i_1 \leq \dots \leq i_p \leq m + n$, $m + 1 \leq r_1 \leq \dots \leq r_k \leq m + n$, where k runs over all non-negative integers. The coefficients $\beta_{i_1 \dots i_p}^{r_1 \dots r_k}$ are scalar distributions on Y . The equality (5.7) is a consequence of (5.6) and Schwartz' theorem. (cf. [7] page 102.)

Since α is an element of $W_a^{p+1}(X)$, σ must belong to $W_{a-1}^p(X)$. Thus for any fixed index $i_1 \dots i_p$, the 0-current

$$\sum_k \sum_{r_1 \dots r_k} \beta_{i_1 \dots i_p}^{r_1 \dots r_k} X_{r_1} \dots X_{r_k} \delta_Y$$

belongs to $W_{-a-1}(X)$. Applying Lemma 2.3, we have

$$\beta_{i_1 \dots i_p}^{r_1 \dots r_k} = 0, \quad \text{if } k \geq 1.$$

Therefore

$$\varphi (1 + \mathcal{A}_0)^a (\delta_0 \alpha - \gamma) = \sum_{i_1 \dots i_p} \beta_{i_1 \dots i_p} \omega^{i_1} \wedge \dots \wedge \omega^{i_p} \otimes \delta_Y.$$

Each of the scalar distributions $\beta_{i_1 \dots i_p}$ is defined only on Y . However, we may assume that U is diffeomorphic to the direct product $(Y \cap U) \times V$, where V is an open set in \mathbf{R}^n . If we denote by 1_V the function on V which is con-

stantly one, then $\beta_{i_1 \dots i_p} \otimes 1_V$ is a distribution on U . Thus we see that

$$\beta = \sum_{i_1 \dots i_p} \beta_{i_1 \dots i_p} \otimes 1_V \omega^{i_1} \wedge \dots \wedge \omega^{i_p}$$

is a p -current on U . We have

$$\varphi(1 + \Delta_0)^a (\delta_0 \alpha - \gamma) = \delta_Y \cdot \beta,$$

where $\delta_Y \beta$ is a product of current δ_Y and β . Operating δ_0 to both sides of this, we have (cf. [2])

$$(5.8) \quad -(d_0 \delta_Y) \lrcorner \beta + \delta_Y \cdot (\delta_0 \beta) = -\varphi(1 + \Delta_0)^a \delta_0 \gamma - (d_0 \varphi) \lrcorner (1 + \Delta_0)^a (\delta_0 \alpha - \gamma).$$

Since the right side of (5.8) belongs to $W_{a-1}^{p-1}(X)$ and $(\delta_0 \beta) \delta_Y$ belongs to $W_{b-a-b-2}^{p-1}(X)$, $-(d_0 \delta_Y) \lrcorner \beta$ must belong to $W_{b-a-b-2}^{p-1}(X)$. The coordinate expression of $(d_0 \delta_Y) \lrcorner \beta$ is equal to

$$\sum_{r=m+1}^{m+n} (\beta_{r i_1 \dots i_{p-1}} \otimes 1_V) \frac{\partial}{\partial y_r} \delta_Y = \sum_{r=m+1}^{m+n} \beta_{r i_1 \dots i_{p-1}} \frac{\partial}{\partial y_r} \delta_Y.$$

By Lemma 2.3, we know that $\beta_{r i_1 \dots i_p} = 0$ if $r \geq m+1$. This is equivalent to the fact that $\beta = \beta' \otimes 1_Y$, where β' is a current on Y (i. e. β' is tangential to Y). Since $d_0 \delta_Y \lrcorner \beta = 0$, $\delta_Y (\delta_0 \beta) \in W_{a-1}^{p-1}(Y)$. This again implies that $\delta_0 \beta'$ belongs to $W_{a-1+n/2}^{p-1}(Y)$. Collecting this result by partition of unity, we have proved that

$$(5.9) \quad (1 + \Delta_0)^a (\delta_0 \alpha - \gamma) = T \otimes \delta_Y,$$

and $T \in W_{a-1+n/2}^{p-1}(Y)$ and $\delta' T$ belongs to $W_{a-1+n/2}^{p-1}(Y)$.

Conversely, if (5.9) holds with a current T on Y , then for any β in $\Omega^p(X)$ we have

$$(5.10) \quad \begin{aligned} & \int_X (1 + \Delta_0)^{a/2} \alpha \wedge * (1 + \Delta_0)^{a/2} d_0 \beta \\ &= \int_X (1 + \Delta_0)^a \gamma \wedge * \beta + \int_X T \otimes \delta_Y \wedge * \beta \\ &= \int_X (1 + \Delta_0)^{a/2} \gamma \wedge * (1 + \Delta_0)^{a/2} \beta + \int_Y T \wedge * \beta|_Y. \end{aligned}$$

Since $\beta|_Y = 0$ for any β in $\Omega^p(X, Y)$, we have $(\alpha, d_0 \beta)_a = (\gamma, \beta)_a$. This proved our theorem.

REMARK 5.2. The currents $\gamma \in W_a^p(X)$ and $T \in W_{a-1+n/2}^{p-1}(Y)$ are uniquely determined by α . In fact Lemma 2.3 implies that $T = 0$ if $(1 + \Delta_0)^{-a} (T \otimes \delta_Y)$ belongs to $W_a^p(X)$.

We know that $W_{a+1}^p(X)$ is contained in $D(d^*)$. But $W_{a+1}^p(X)$ is strictly smaller than $D(d^*)$. In fact, we have

PROPOSITION 5.3. If $T \in W_{a-1+n/2}^{p-1}(Y)$ with $\delta' T \in W_{a-1+n/2}^{p-1}(Y)$, then

$$(5.11) \quad \beta = d_0 (1 + \Delta_0)^{-1-a} (T \otimes \delta_Y)$$

belongs to $D(d^*)$ and

$$d^* \beta = -(1 + \Delta_0)^{-a-1}(T \otimes \delta_Y) - d_0(1 + \Delta_0)^{-a-1}(\delta' T \otimes \delta_Y).$$

PROOF. We have

$$\begin{aligned} \delta_0 \beta &= \delta_0 d_0 (1 + \Delta_0)^{-a-1}(T \otimes \delta_Y) \\ &= (1 + \Delta_0)^{-a}(T \otimes \delta_Y) - (1 + d_0 \delta_0)^{-a-1}(T \otimes \delta_Y). \end{aligned}$$

Hence

$$\delta_0 \beta - \gamma = (1 + \Delta_0)^{-a}(T \otimes \delta_Y),$$

where

$$\gamma = -(1 + \Delta_0)^{-a-1}(T \otimes \delta_Y) - d_0(1 + \Delta_0)^{-a-1}(\delta' T \otimes \delta_Y)$$

belongs to $W_{\frac{2a+b+2}{2}, -a-b-1}^p(X) + W_{\frac{2a+b+1}{2}, -a-b-1}^p(X) \subset W_{\frac{p}{2}}^p(X)$. This proves proposition.

Furthermore we can prove

PROPOSITION 5.4. Any $\alpha \in D(d^*)$ can be written as

$$\alpha = \beta + \sigma,$$

where β is as in (5.11) and $\sigma \in W_{\frac{p}{2}}^{p+1}(X)$ with $\delta_0 \sigma \in W_{\frac{p}{2}}^p(X)$ and $d_0 \sigma = d_0 \alpha$.

PROOF. If α belongs to $D(d^*)$, we have

$$\delta_0 \alpha = d^* \alpha + (1 + \Delta_0)^{-a}(T \otimes \delta_Y)$$

with T in $W_{\frac{p}{2}, -a-1+n/2}^p(Y)$ and $\delta' T \in W_{\frac{p}{2}, -a-1+n/2}^{p-1}(Y)$. Setting $\beta = d_0(1 + \Delta_0)^{-a-1}(T \otimes \delta_Y)$, we have $\delta_0 \beta = d^* \beta + (1 + \Delta_0)^{-a}(T \otimes \delta_Y)$. Thus $\sigma = \alpha - \beta$ belongs to $W_{\frac{p}{2}}^{p+1}(X)$ and satisfies

$$\delta_0 \sigma = d^* \alpha - d^* \beta \in W_{\frac{p}{2}}^p(X) \quad \text{and} \quad d_0 \sigma = d_0 \alpha.$$

Little is known about the domain of d . A trivial fact is

PROPOSITION 5.5. If $\alpha \in D(d)$, then $\alpha \in W_{\frac{p}{2}}^p(X)$ and $d\alpha = d_0 \alpha \in W_{\frac{p}{2}}^{p+1}(X)$.

In order to characterize $D(d) \cap D(d^*)$, we begin with the following

LEMMA 5.6. If a p -current α belongs to $D(d) \cap D(d^*)$, then the p -current σ in Proposition 5.4 belongs to $W_{\frac{p}{2}+1}^p(X)$.

PROOF. If $\alpha \in D(d) \cap D(d^*)$, then $d_0 \alpha = d\alpha$ belongs to $W_{\frac{p}{2}}^{p+1}(X)$. Therefore $\delta_0 \sigma$ belongs to $W_{\frac{p}{2}}^{p-1}(X)$ and $d_0 \sigma$ is contained in $W_{\frac{p}{2}}^{p+1}(X)$. Hence σ belongs to $W_{\frac{p}{2}+1}^p(X)$ by well known fact.

LEMMA 5.7. Let $\beta = d_0(1 + \Delta_0)^{-a-1}(T \otimes \delta_Y)$ with T in $W_{\frac{p}{2}, -a-1+n/2}^{p-1}(Y)$. Then the tangential component $\beta_{p,0}$ of β coincides with a current belonging to $W_{\frac{2a+b+2}{2}, -a-b-2}^p(X)$, $b < -n/2$, in a neighbourhood of Y . Furthermore, if $d'T$ is in $W_{\frac{p}{2}, -a-1+n/2}^{p-1}(Y)$, the tangential component $\beta_{p,0}$ coincides with a current belonging to $W_{\frac{2a+b+2}{2}, -a-b-1}^p(X)$ in a neighbourhood of Y .

PROOF. We have

$$(5.12) \quad \beta = (1 + \Delta_0)^{-a-1} \{ (d'T \otimes \delta_Y) + (-1)^{p-1} (T \otimes d_0 \delta_Y) \}.$$

The tangential component of $T \otimes d_0(\delta_Y)$ vanishes in a neighbourhood of Y . Let ξ be a cotangent vector $\in T^*(X)$. Then the principal symbol of $(1 + \Delta_0)^{-a-1}$ is $|\xi|^{-2a-2}$ and the second symbol is of degree $-2a-4$, where $|\xi|$ is the length of the vector ξ . This implies that the tangential component of $(1 + \Delta_0)^{-a-1}(T \otimes d_0\delta_Y)$ belongs to $W_{2a+b+3, -a-b-1}^p(X)$ in a neighbourhood of Y . On the other hand, $(1 + \Delta_0)^{-a-1}(d'T \otimes \delta_Y)$ belongs to $W_{2a+b+2, -a-b-2}^p(X)$. Thus we have proved the first part of the Lemma. If $d'T \in W_{a-1+n/2}^p(Y)$, then $(1 + \Delta_0)^{-a-1}(d'T \otimes \delta_Y)$ belongs to $W_{2a+b+2, -a-b-1}^p(X)$. This completes the proof. Here we used the fact that $(1 + \Delta_0)^{-a-1}$ is a pseudo-differential operator (cf. [3] or [4]).

The next lemma plays an important role in the following. (cf. [9].)

LEMMA 5.8. Let $\lambda > 0$ and P_λ be the transformation of currents on Y defined by

$$(5.13) \quad P_\lambda: T \rightarrow [(\lambda + \Delta_0)^{-1}(1 + \Delta_0)^{-a}(T \otimes \delta_Y)]|_Y.$$

Then P_λ is an elliptic pseudo-differential operator of order $-2a-2+n$. Furthermore P_λ is an invertible non-negative essentially self-adjoint operator in $W_p^p(Y)$.

PROOF. Let $x_0 \in Y$, ξ' be in $T_{x_0}^*(Y)$ and η be in $N_{x_0}^*(Y)$. The principal symbol of $(\lambda + \Delta_0)^{-1}(1 + \Delta_0)^{-a}$ is $(|\xi'|^2 + |\eta|^2)^{-a-1}$. Hence the principal symbol of P_λ is

$$(5.14) \quad \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} \frac{d\eta}{(|\xi'|^2 + |\eta|^2)^{a+1}} = C_n |\xi'|^{-2a-2+n}$$

where

$$(5.15) \quad C_n = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} \frac{d\eta}{(1 + |\eta|^2)^{a+1}}.$$

Thus P_λ is an elliptic operator of order $-2a-2+n$. Let φ and ϕ be p -currents belonging to $W_p^p(X)$. Then

$$(5.16) \quad \begin{aligned} \Phi_\lambda &= (\lambda + \Delta_0)^{-1/2}(1 + \Delta_0)^{-a/2}(\varphi \otimes \delta_Y) \\ \Psi_\lambda &= (\lambda + \Delta_0)^{-1/2}(1 + \Delta_0)^{-a/2}(\phi \otimes \delta_Y) \end{aligned}$$

belong to $W_{2a+b+1, -n/2-b}^p(X) \subset W_p^p(X)$. And we have

$$(5.17) \quad \begin{aligned} \int_Y P_\lambda \varphi \wedge *' \phi &= \int_Y [(\lambda + \Delta_0)^{-1}(1 + \Delta_0)^{-a}(\varphi \otimes \delta_Y)]|_Y \wedge *' \phi \\ &= \int_X (\lambda + \Delta_0)^{-1}(1 + \Delta_0)^{-a}(\varphi \otimes \delta_Y) \wedge *(\phi \otimes \delta_Y) \\ &= \int_X \Phi_\lambda \wedge * \Psi_\lambda. \end{aligned}$$

Here we applied equality (5.1). Thus,

$$(5.18) \quad \int_Y P_\lambda \varphi \wedge *' \phi = \int_X \Phi_\lambda \wedge * \Psi_\lambda \geq 0$$

and equality holds if and only if $\Phi_\lambda = 0$. This is $\bar{\Delta}$ -equivalent to saying that $\varphi = 0$.

By the way we have proved

COROLLARY 5.9. For any ϕ and φ in $W_0^p(Y)$,

$$(5.19) \quad \int_Y P_\lambda \varphi \wedge *' \phi = \int_X \Phi_\lambda \wedge * \Psi_\lambda$$

where

$$(5.20) \quad \begin{aligned} \Phi_\lambda &= (\lambda + \Delta_0)^{-1/2} (1 + \Delta_0)^{-a/2} (\varphi \otimes \delta_Y) \\ \Psi_\lambda &= (\lambda + \Delta_0)^{-1/2} (1 + \Delta_0)^{-a/2} (\phi \otimes \delta_Y). \end{aligned}$$

Similar discussion proves

PROPOSITION 5.10. Let $\lambda > 0$ and Q_λ denote the transformation of currents on Y defined by

$$(5.21) \quad Q_\lambda: T \rightarrow [(\lambda + \Delta_0)^{-1} (1 + \Delta_0)^{-a} (T \otimes d_0 \delta_Y)]|_Y.$$

Then Q_λ is an pseudo-differential operator of order $-2a - 3 + n$.

We denote by V^p the space of $(1 + \Delta_0)^{-a-1} (T \otimes \delta_Y)$, where T satisfies conditions in Proposition 5.3.

Now we can prove

THEOREM 5.11. $D(d) \cap D(d^*)$ is contained in $W_{2a+b+1, -a-b}^p(X)$. A current α in $W_{a+1}^p(X) + dV^{p-1}$ belongs to $D(d) \cap D(d^*)$ if and only if the tangential part $\alpha_{p,0}$ vanishes on Y .

PROOF. Let a p -current α be in $D(d) \cap D(d^*)$. We have the decomposition $\alpha = \beta + \sigma$

$$(5.21) \quad \sigma \in W_{a+1}^p(X), \quad \beta = d_0 (1 + \Delta_0)^{-a-1} (T \otimes \delta_Y) \quad \text{and} \quad T \in W_{a-1+n/2}^{p-1}(Y)$$

with $\delta' T \in W_{a-1+n/2}^{p-2}(Y)$. Thus, we have the restriction $\alpha|_Y$ by Lemma 5.7. This $\alpha|_Y$ belongs to $W_{a-n/2}^p(X)$. Let us prove that $\alpha|_Y = 0$. Take an arbitrary μ in $\Omega^p(Y)$ and set

$$(5.22) \quad \nu = d_0 (1 + \Delta_0)^{-1-a} (\mu \otimes \delta_Y).$$

Then ν belongs to $D(d^*)$ by Proposition 5.3. So we have

$$(5.23) \quad (d\alpha, \nu)_a = (\alpha, d^*\nu)_a.$$

On the other hand, we can prove the identity

$$(5.24) \quad (\alpha, d^*\nu)_a = (d_0 \alpha, \nu)_a - \int_Y \alpha|_Y \wedge *' \mu.$$

Once we admit this, we have

$$\int_Y \alpha|_Y \wedge *' \mu = 0 \quad \text{for any } \mu \text{ in } \Omega^p(Y).$$

Hence $\alpha|_Y = 0$.

Now we prove (5.24). We can find a sequence $\{\varphi_k\}_{k=1}^\infty$ of C^∞ -functions on X satisfying the following conditions;

- (i) Support of φ_k is contained in the tubular neighbourhood B of Y .
- (ii) $\lim_{k \rightarrow \infty} \varphi_k = \delta_Y$ in $W_b^0(X)$ with some $b < -n/2$.
- (iii) $d\varphi_k(x) \in N_x^*(Y)$ if $x \in Y$.

Set $\nu_k = d_0(1 + \Delta_0)^{-a-1}(\mu \otimes \varphi_k)$, then $\nu_k \in D(d^*)$ and converges to ν in $W_a^{p+1}(X)$. $\{d^*\nu_k\}$ does not converge to $d^*\nu$. However, $d^*\nu_k - (1 + \Delta_0)^{-a}(\mu \otimes \varphi_k)$ converges to $d^*\nu$. In fact, $\delta_0\nu_k = d^*\nu_k$ and $\delta_0\nu_k - (1 + \Delta_0)^{-a}(\mu \otimes \varphi_k) = -(1 + \Delta_0)^{-a-1}(\mu \otimes \varphi_k) - d_0(1 + \Delta_0)^{-a-1}\delta_0(\mu \otimes \varphi_k) = -(1 + \Delta_0)^{-a-1}(\mu \otimes \varphi_k) - d_0(1 + \Delta_0)^{-a-1}(\delta'\mu \otimes \varphi_k)$, because $d\varphi_k \in N^*(Y)$ implies that $d\varphi_k \lrcorner \mu_k = 0$. $\mu \otimes \varphi_k$ and $\delta'\mu \otimes \varphi_k$ converges to $\mu \otimes \delta_Y$ and $\delta'\mu \otimes \delta_Y$ in $W_b^p(X)$ and $W_b^{p-1}(X)$ respectively. Hence $\{\delta_0\nu_k - (1 + \Delta_0)^{-a}(\mu \otimes \varphi_k)\}$ converges to $\delta_0\nu - (1 + \Delta_0)^{-a}(\mu \otimes \delta_Y) = d^*\nu$ in $W_a^p(X)$. Now we have

$$\begin{aligned} & (\alpha, \delta_0\nu_k - (1 + \Delta_0)^{-a}(\mu \otimes \varphi_k))_a \\ &= \int_X (1 + \Delta_0)^{a/2} \alpha \wedge * (1 + \Delta_0)^{a/2} (\delta_0\nu_k - (1 + \Delta_0)^{-a}(\mu \otimes \varphi_k)) \\ &= \int_X (1 + \Delta_0)^{a/2} d_0 \alpha \wedge * (1 + \Delta_0)^{a/2} \nu_k - \int_X \alpha \wedge * (\mu \otimes \varphi_k). \end{aligned}$$

Letting k go to infinity, we have (5.24).

Now we make use of the fact $\alpha|_Y = 0$. This implies that $\beta|_Y = -\sigma|_Y$ belongs to $W_{a+1-n/2}^p(Y)$. On the other hand we have $\beta|_Y = d'P_1T$. Thus, $P_1d'T = \beta|_Y - [d', P_1]T$ belongs to $W_{a+1-n/2}^p(Y)$, because the commutator $[d', P_1] = d'P_1 - P_1d'$ of d' and P_1 is of order $-2a - 2 + n$. We know from Lemma 5.8 that $d'T$ belongs to $W_{a-1+n/2}^p(Y)$. Thus, combining this with the fact that $\delta'T \in W_{a-1+n/2}^{p-2}(Y)$, we have proved that T is contained by $W_{a+1-n/2}^{p-1}(Y)$. This implies that β belongs to $W_{2a+b+1, -a-b}^p(X)$.

Conversely, if we assume (5.21) and $\alpha|_Y = 0$, then we can prove $T \in W_{a+1-n/2}^{p-1}(Y)$ as above. The fact that α belongs to $D(d^*)$ is clear. We have only to prove that α belongs to $D(d)$. Let β be in $D(d^*)$. Set $\delta_0\beta = d^*\beta + (1 + \Delta_0)^{-a}(S \otimes \delta_Y)$, $S \in W_{a-1+n/2}^{p+1}$ and $\delta'S \in W_{a-1+n/2}^p$. Then

$$\begin{aligned} (\alpha, d^*\beta)_a &= \int_X (1 + \Delta_0)^{a/2} \alpha \wedge * (1 + \Delta_0)^{a/2} d^*\beta \\ &= \int_X (1 + \Delta_0)^{a/2} \alpha \wedge * (1 + \Delta_0)^{a/2} \delta_0\beta - \int_Y \alpha|_Y \wedge *'S \\ &= (d_0\alpha, \beta)_a, \end{aligned}$$

because $\alpha|_Y = 0$. This completes proof of the theorem.

Summing up, we have

THEOREM 5.12. *The following sequence of vector spaces is exact;*

$$(5.25) \quad 0 \longrightarrow D(d) \cap D(d^*) \xrightarrow{\iota} W_{a+1}^p(X) + d_0 V^{p-1} \xrightarrow{\iota'} W_{a+1-n/2}^p(Y) \longrightarrow 0,$$

where ι' is the restriction mapping and ι is inclusion.

PROOF. We have only to prove that ι' is an onto mapping. This is clear because ι' maps $W_{a+1}^p(X)$ onto $W_{a+1-n/2}^p$.

§ 6. Relative Hodge-Kodaira decomposition.

We introduce generalized Laplacian operator

$$(6.1) \quad L = dd^* + d^*d.$$

The operator L is a non-negative self-adjoint operator in $W_a^p(X)$. Thus $(\lambda+L)^{-1}$ exists if $\lambda > 0$. Theorem 5.11 implies that $(\lambda+L)^{-1}$ is a completely continuous operator. As a consequence (cf. [2] and [5]),

THEOREM 6.1. *The spectrum of L consists of eigenvalues of finite multiplicity. The range of L is a closed subspace of $W_a^p(X)$, $p=0, 1, 2, \dots, m+n$.*

We have commutative relations

PROPOSITION 6.2. $Ld = dL$, $d^*L = Ld^*$, $d(\lambda+L)^{-1} \supset (\lambda+L)^{-1}d$, $d^*(\lambda+L)^{-1} \supset (\lambda+L)^{-1}d^*$, where $A \supset B$ means that A is defined and coincides with B on the domain of B .

PROPOSITION 6.3. *A p -current α belongs to $\ker(L)$ if and only if $\alpha \in D(d) \cap D(d^*)$ and $d\alpha = 0$ and $d^*\alpha = 0$. Moreover α is orthogonal to the image of d .*

Proof is omitted here.

Let H be the orthogonal projection onto the kernel of L . H is given by the formula

$$(6.2) \quad H = \frac{1}{2\pi i} \int_{|\lambda|=\varepsilon} (\lambda-L)^{-1} d\lambda,$$

where ε is so small that all positive eigenvalues of L lie outside the circle $|\lambda|=\varepsilon$ of the complex plane. We define the Green operator of L as

$$(6.3) \quad G = -\frac{1}{2\pi i} \int_{\Gamma} \lambda^{-1} (\lambda-L)^{-1} d\lambda,$$

where Γ is a contour enclosing all positive eigenvalues.

PROPOSITION 6.4. *G is a bounded linear mapping which satisfies*

$$(6.4) \quad GH = HG = 0, \quad LG = I - H \supset GL,$$

where I is the identity.

THEOREM 6.5. *We have the following decomposition;*

$$(6.5) \quad I = (dd^* + d^*d)G + H,$$

$$(6.6) \quad I = dGd^* + d^*Gd + H \quad \text{on } D(d) \cap D(d^*).$$

So far are formal consequences of preceding sections.

Our main aim in this section is to prove

THEOREM 6.6. *The kernel of L is canonically isomorphic to the relative de-Rham cohomology group $H^*(X, Y)$.*

In order to prove this, we define following graded vector spaces:

$$(6.7) \quad \begin{aligned} U_0^* &= \bigoplus_{p=0}^{m+n} U_0^p, & U_0^p &= D(d) \cap D(d^*) + d(D(d) \cap D(d^*)), \\ U_1^* &= \bigoplus_{p=0}^{m+n} U_1^p, & U_1^p &= W_{a+1}^{p-1}(X) + d_0 V^{p-1} + d_0 W_{a+1}^{p-1}(X), \\ U_2^* &= \bigoplus_{p=0}^{m+n} U_2^p, & U_2^p &= W_{a+1-n/2}^p(Y) + d' W_{a+1-n/2}^{p-1}(Y). \end{aligned}$$

U_1^* and U_2^* are complexes with the exterior differentiation d_0 and d' . U_0^* is also a complex with operation d . We have following sequence of complexes;

$$(6.8) \quad 0 \longrightarrow U_0^* \xrightarrow{\iota} U_1^* \xrightarrow{\iota'} U_2^* \longrightarrow 0.$$

PROPOSITION 6.7. *The above sequence (6.8) is exact.*

PROOF. We have only to prove that $\text{Im } \iota = \ker \iota'$. Assume that

$$(6.9) \quad \alpha = \sigma + \beta + d_0 \gamma,$$

$\sigma \in W_{a+1}^p(X)$, $\beta \in d_0 V^{p-1}$ and $\gamma \in W_{a+1}^{p-1}(X)$. The fact $\iota' \alpha = 0$ means that

$$(6.10) \quad \sigma|_Y + \beta|_Y + d'(\gamma|_Y) = 0.$$

Let G' and H' be the Green operator of $d'\delta' + \delta'd'$ and the projection onto the space of harmonic forms on Y respectively. We have

$$(6.11) \quad \gamma|_Y = H'\gamma|_Y + d'\delta'G'\gamma|_Y + \delta'G'd'\gamma|_Y.$$

Since $\sigma|_Y$ and $\beta|_Y$ belong to $W_{a+1-n/2}^{p-1}(Y)$, equality (6.10) implies that $\delta'G'd'\gamma|_Y$ belongs to the space $W_{a+2-n/2}^{p-1}(Y)$. Setting

$$(6.12) \quad A = P_1^{-1}(H'\gamma|_Y + \delta'G'd'\gamma|_Y) \quad \text{and} \quad B = P_1^{-1}\delta'G'\gamma|_Y,$$

we have that

$$(6.13) \quad A \in W_{a+n/2}^{p-1}(Y) \quad \text{and} \quad B \in W_{a+n/2}^{p-2}(Y).$$

Therefore, if we define

$$(6.14) \quad \begin{aligned} \mu &= (1 + \Delta_0)^{-a-1}(A \otimes \delta_Y) \\ \nu &= (1 + \Delta_0)^{-a-1}(B \otimes \delta_Y), \end{aligned}$$

then we have equalities

$$(6.15) \quad \mu|_Y = H'\gamma|_Y + \delta'G'd'\gamma|_Y, \quad \nu|_Y = \delta'G'\gamma|_Y \quad \text{and} \\ \gamma|_Y = \mu|_Y + (d_0\nu)|_Y.$$

Note that

$$(6.16) \quad \mu \in W_{2a+b+2, -a-b}^{p-1}(X) \subset W_{a+1}^{p-1}(X), \quad \nu \in W_{2a+b+2, -a-b}^{p-2}(X) \subset W_{a+1}^{p-2}(X).$$

Since $A \in W_{-a+n/2}^{p-1}(Y)$, we have $d_0\mu \in D(d^*)$. Similarly $d_0\nu \in D(d^*)$. Set

$$(6.17) \quad \gamma - \mu - d_0\nu =$$

Then $\rho \in W_{a+1}^{p-1}(X) + d_0V^{p-2}$ and $\rho|_Y = 0$. Hence ρ belongs to $D(d) \cap D(d^*)$ by Theorem 5.9. Replacing γ in (6.9) by $\mu + d_0\nu + \rho$, we have $\alpha = \sigma + \beta + d_0\mu + d_0\rho$. We know that $\rho \in D(d) \cap D(d^*)$ and $\sigma + \beta + d_0\mu \in W_{a+1}^{p-1}(X) + d_0V^{p-1}$. Moreover we have $\sigma + \beta + d_0\mu|_Y = \alpha|_Y = 0$, because $\rho|_Y = 0$. This implies that $\sigma + \beta + d_0\mu \in D(d) \cap D(d^*)$. Proof is now complete.

PROPOSITION 6.8. *The cohomology group of complexes U_1^* and U_2^* are canonically isomorphic to de Rham cohomology groups $H^*(X)$ and $H^*(Y)$ respectively.*

PROOF. A cochain $\alpha = \sigma + \beta + d_0\gamma$, $\sigma \in W_{a+1}^{p-1}(X)$, $\beta \in d_0V^{p-1}$, $\gamma \in W_{a+1}^{p-1}(X)$, is a cocycle if and only if $d_0\sigma = 0$. On the other hand α is a coboundary if and only if there is a $\nu \in W_{a+1}^{p-1}(X)$ such that $\alpha = d_0\nu$. This is equivalent to the fact that $\sigma + \beta + d_0(\gamma - \nu) = 0$. As $\beta = d_0(1 + \Delta_0)^{-a-1}(T \otimes \delta_Y)$ with some $T \in W_{-a-1+n/2}^{p-1}(Y)$, we have $(1 + \Delta_0)^{-a-1}(T \otimes \delta_Y) \in W_{2a+b+2, -a-b-1}^{p-1}(X) \subset W_{a+1}^{p-1}(X)$. Thus we have proved that α is a coboundary if and only if $\sigma = d_0\tau$ with some τ in $W_{a+1}^{p-1}(X)$. Therefore the cohomology group of the complex U_1^* is isomorphic to $H^*(X)$. Similar argument proves that $H^*(U_2^*) \cong H^*(Y)$.

PROPOSITION 6.8. *The kernel of L is canonically isomorphic to the cohomology of complex U_0^* .*

PROOF. Let $\alpha = \beta_1 + d\beta_2$, $\beta_1, \beta_2 \in D(d) \cap D(d^*)$. This is a cocycle if and only if $d\beta_1 = 0$. If we apply Theorem 6.6 to β_1 , we have $\alpha = H\beta_1 + d(Gd^*\beta_1 + \beta_2)$. Thus α and $H\beta_1$ are cohomologous. On the other hand if $\beta \in \text{Ker } L$ then $\beta \in D(d) \cap D(d^*)$ and $d\beta = 0$ by Proposition 6.3. Hence β is a cocycle in U_0^* . If β is a coboundary, β must be zero by virtue of Proposition 6.3. This completes proof.

Now we can prove Theorem 6.6.

Set $\text{Ker}^p L$ the space of p -currents in the kernel of L . Then it follows from Propositions 6.7, 6.8 and 6.9 that the following sequence is exact; $0 \rightarrow \text{Ker}^0 L \rightarrow H^0(X) \rightarrow H^0(Y) \rightarrow \dots \rightarrow H^{p-1}(Y) \rightarrow \text{Ker}^p L \rightarrow H^p(X) \rightarrow H^p(Y) \rightarrow \dots$. This and the five lemma prove our theorem.

REMARK. Another more natural proof of Theorem 6.6 will be given in § 8.

§7. Boundary conditions.

We shall treat the equation

$$(7.1) \quad (\lambda + L)\alpha = \sigma, \quad \text{with } \lambda \geq 0 \text{ and } \sigma \in W_a^p(X).$$

We shall first treat the case $\lambda > 0$. $\alpha \in D(d) \cap D(d^*)$ means that there exists $S \in W_{a+n/2}^{p-1}(Y)$ such that

$$(7.2) \quad \delta_0 \alpha - d^* \alpha = (1 + \Delta_0)^{-a} (S \otimes \delta_Y)$$

and

$$(7.3) \quad \alpha|_Y = 0.$$

The condition $d\alpha \in D(d^*) \cap D(d)$ is equivalent to the fact that there exists $T \in W_{a+n/2}^p(Y)$ such that

$$(7.4) \quad \delta_0 d_0 \alpha - d^* d_0 \alpha = (1 + \Delta_0)^{-a} (T \otimes \delta_Y).$$

From (7.2) and (7.4) we have

$$\Delta_0 \alpha - L\alpha = d_0 (1 + \Delta_0)^{-a} (S \otimes \delta_Y) + (1 + \Delta_0)^{-a} (T \otimes \delta_Y).$$

Using (7.1) we have

$$(7.5) \quad (\lambda + \Delta_0) \alpha = \sigma + d_0 (1 + \Delta_0)^{-a} (S \otimes \delta_Y) + (1 + \Delta_0)^{-a} (T \otimes \delta_Y).$$

Therefore, α is given by

$$(7.6) \quad \begin{aligned} \alpha &= (\lambda + \Delta_0)^{-1} \sigma + d_0 (\lambda + \Delta_0)^{-1} (1 + \Delta_0)^{-a} (S \otimes \delta_Y) \\ &\quad + (\lambda + \Delta_0)^{-1} (1 + \Delta_0)^{-a} (T \otimes \delta_Y). \end{aligned}$$

The condition (7.3) is

$$(7.7) \quad (\lambda + \Delta_0)^{-1} \sigma|_Y + d' P_\lambda S + P_\lambda T = 0.$$

We must check the condition $d^* \alpha \in D(d) \cap D(d^*)$. $d^* \alpha \in D(d^*)$ is automatically satisfied. From (7.2) and (7.6) we have

$$\begin{aligned} d^* \alpha &= \delta_0 \alpha - (1 + \Delta_0)^{-a} (S \otimes \delta_Y) \\ &= \delta_0 (\lambda + \Delta_0)^{-1} \sigma - \lambda (\lambda + \Delta_0)^{-1} (1 + \Delta_0)^{-a} (S \otimes \delta_Y) \\ &\quad - d_0 (\lambda + \Delta_0)^{-1} (1 + \Delta_0)^{-a} (\delta' S \otimes \delta_Y) \\ &\quad + (\lambda + \Delta_0)^{-1} (1 + \Delta_0)^{-a} (\delta' T \otimes \delta_Y). \end{aligned}$$

This belongs to $D(d)$ if and only if $d^* \alpha|_Y = 0$, that is,

$$(7.8) \quad (\delta_0 (\lambda + \Delta_0)^{-1} \sigma)|_Y - \lambda P_\lambda S - d' P_\lambda \delta' S + P_\lambda \delta' T = 0.$$

Since P_λ is invertible, we can define

$$(7.9) \quad \tilde{\delta} = P_\lambda \delta' P_\lambda^{-1}.$$

Thus we have proved

THEOREM 7.1. *Equation $(\lambda+L)\alpha = \sigma$, $\lambda > 0$ and $\sigma \in W_a^p(X)$, is equivalent to the system of equations*

$$(7.10) \quad \alpha = (\lambda + \mathcal{A}_0)^{-1}\sigma + d_0(\lambda + \mathcal{A}_0)^{-1}(1 + \mathcal{A}_0)^{-a}(S \otimes \delta_Y) \\ + (\lambda + \mathcal{A}_0)^{-1}(1 + \mathcal{A}_0)^{-a}(T \otimes \delta_Y),$$

$$(7.11) \quad (\lambda + \mathcal{A}_0)^{-1}\sigma|_Y + d'P_\lambda S + P_\lambda T = 0,$$

$$(7.12) \quad (\delta_0(\lambda + \mathcal{A}_0)^{-1}\sigma)|_Y - \lambda P_\lambda S - d'\tilde{\delta}P_\lambda S + \tilde{\delta}P_\lambda T = 0, \\ \alpha \in W_a^p(X), \quad S \in W_{a+n/2}^{p-1}(Y), \quad T \in W_{a+n/2}^p(Y).$$

Before going further, we give an interpretation of the meaning of the operator $\tilde{\delta}$. Let $\langle \cdot, \cdot \rangle_\lambda$ be the scalar product in $\Omega^p(Y)$ defined by

$$(7.13) \quad \langle \varphi, \phi \rangle_\lambda = \int_Y P_\lambda^{-1}\varphi \wedge *'\phi = \int_Y \varphi \wedge *'P_\lambda^{-1}\phi, \quad \text{for } \varphi, \phi \text{ in } \Omega^p(Y).$$

Then $\Omega^p(Y)$ is a pre-Hilbert space by virtue of Lemma 5.8. The operators d' and $\tilde{\delta}$ are mutually adjoint with respect to this scalar product. In fact, we have

$$(7.14) \quad \langle d'\varphi, \phi \rangle_\lambda = \int_Y P_\lambda^{-1}d'\varphi \wedge *'\phi \\ = \int_Y d'P_\lambda P_\lambda^{-1}\varphi \wedge *'P_\lambda^{-1}\phi \\ = \int_Y P_\lambda^{-1}\varphi \wedge *'P_\lambda \tilde{\delta}'P_\lambda^{-1}\phi \\ = \langle \varphi, \tilde{\delta}'\phi \rangle_\lambda.$$

This implies that the operator $L_\lambda = d'\tilde{\delta} + \tilde{\delta}d'$ is a non-negative self-adjoint operator with respect to the Hilbert space structure $\langle \cdot, \cdot \rangle_\lambda$. L_λ is an elliptic pseudo-differential operator of order 2.

We come back to equations (7.10), (7.11) and (7.12).

PROPOSITION 7.2. *The system of equation (7.11) and (7.12) is equivalent to the system of equations*

$$(7.15) \quad (d'\tilde{\delta} + \tilde{\delta}d')P_\lambda S + \lambda P_\lambda S = -\tilde{\delta}((\lambda + \mathcal{A}_0)^{-1}\sigma)|_Y + (\delta_0(\lambda + \mathcal{A}_0)^{-1}\sigma)|_Y$$

$$(7.16) \quad d'P_\lambda S + P_\lambda T = -((\lambda + \mathcal{A}_0)^{-1}\sigma)|_Y.$$

If $\sigma \in W_a^p(X)$ is given, we can find S by (7.15) and T by (7.16). Hence α is given by (7.10).

As a consequence

THEOREM 7.3. *Assume that $(\lambda+L)\alpha = \sigma$, with some $\lambda > 0$ and σ in $W_{a+r}^p(X)$, $r \geq 0$. Then S and T must belong to $W_{a+r+1+n/2}^{p-1}(Y)$ and $W_{a+r+n/2}^p(Y)$ respec-*

tively. And α belongs to $W_{\frac{2}{2}a+b+1, -a-b+r+1}^p(X)$, where b is an arbitrary real number $< -n/2$.

PROOF. The fact that $\sigma \in W_{\frac{2}{2}a+r}^p(X)$ implies that $(\delta_0(\lambda + \Delta_0)^{-1}\sigma)|_Y$ and $\bar{\delta}((\lambda + \Delta_0)^{-1}\sigma)|_Y$ belong to $W_{\frac{2}{2}a+r+1-n/2}^p(Y)$. Hence $S \in W_{\frac{2}{2}a+r+1+n/2}^p(Y)$. Similarly $T \in W_{\frac{2}{2}a+r+n/2}^p(Y)$. This proves theorem.

COROLLARY 7.4. The domain $D(L)$ of $L = dd^* + d^*d$ is contained in $W_{\frac{2}{2}a+b+1, -a-b+1}^p(X)$.

Now we treat the case $\lambda = 0$. Equation (7.5) holds also in this case. Let us recall classical Hodge-Kodaira decomposition of currents on X . We shall denote by G_0 the Green operator of the Laplacian $\Delta_0 = d_0\delta_0 + \delta_0d_0$ and by H_0 the projection operator onto the space of harmonic forms on X (cf. [2]). Then the equation (7.5) with $\lambda = 0$ is equivalent to

$$(7.17) \quad H_0\sigma + H_0(T \otimes \delta_Y) = 0$$

and

$$(7.18) \quad (1 - H_0)\alpha = G_0\sigma + d_0G_0(1 + \Delta_0)^{-a}(S \otimes \delta_Y) + G_0(1 + \Delta_0)^{-a}(T \otimes \delta_Y).$$

We introduce the following operator P , which will play a similar role as P_λ in the case of $\lambda > 0$.

DEFINITION 7.5. P is an operator which operates on currents on Y as follows:

$$(7.19) \quad P: T \longrightarrow G_0(1 + \Delta_0)^{-a}(T \otimes \delta_Y)|_Y.$$

Just as Lemma 5.8, we have

PROPOSITION 7.6. P is an elliptic pseudo-differential operator of order $-2a - 2 + n$. P is an isomorphism from $W_0^p(Y)$ onto $W_{\frac{2}{2}a+2-n}^p(Y)$.

PROOF. If $\varphi, \phi \in \Omega^p(Y)$, there holds

$$(7.20) \quad \int_Y P\varphi \wedge *'\phi = \int_X \Psi \wedge *\Phi,$$

where $\Psi = G_0^{1/2}(1 + \Delta_0)^{-a/2}(\varphi \otimes \delta_Y)$ and

$$\Phi = G_0^{1/2}(1 + \Delta_0)^{-a/2}(\phi \otimes \delta_Y).$$

In particular,

$$(7.21) \quad \int_Y P\varphi \wedge *'\varphi = \int_X \Psi \wedge *\Psi \geq 0.$$

Here equality holds if and only if $\Psi = 0$, that is, $(1 + \Delta_0)^{-a/2}(\varphi \otimes \delta_Y)$ is harmonic on X . However this occurs only when $\varphi = 0$.

We need one more operator Q .

DEFINITION 7.7. We define operator Q which operates on currents on Y as follows:

$$(7.22) \quad Q: S \longrightarrow H_0(S \otimes \delta_Y)|_Y.$$

Let h_1, \dots, h_k be the orthonormal basis of harmonic forms on X . Then

$$(7.23) \quad \begin{aligned} QS &= \sum_{j=1}^k \left(\int_X h_j \wedge *(S \otimes \delta_Y) \right) h_j|_Y \\ &= \sum_{j=1}^k \left(\int_Y h_j|_Y \wedge *'S \right) h_j|_Y. \end{aligned}$$

Just as the case $\lambda > 0$, the fact $\alpha \in D(d) \cap D(d^*)$ means that

$$(7.24) \quad (H_0\alpha + G_0\sigma)|_Y + d'PS + PT = 0.$$

Since

$$\begin{aligned} \delta_0\alpha &= \delta_0G_0\sigma - d_0G_0(1 + \Delta_0)^{-\alpha}(\delta'S \otimes \delta_Y) - H_0(1 + \Delta_0)^{-\alpha}(S \otimes \delta_Y) \\ &\quad + G_0(1 + \Delta_0)^{-\alpha}(\delta'T \otimes \delta_Y) + (1 + \Delta_0)^{-\alpha}(S \otimes \delta_Y), \end{aligned}$$

we have

$$(7.25) \quad \begin{aligned} d^*\alpha &= \delta_0G_0\sigma - d_0G_0(1 + \Delta_0)^{-\alpha}(\delta'S \otimes \delta_Y) \\ &\quad - H_0(1 + \Delta_0)^{-\alpha}(S \otimes \delta_Y) + G_0(1 + \Delta_0)^{-\alpha}(\delta'T \otimes \delta_Y). \end{aligned}$$

This belongs to $D(d)$ if and only if $d^*\alpha|_Y = 0$, i. e.,

$$(7.26) \quad (\delta_0G_0\sigma)|_Y - d'P\delta'S - QS + P\delta'T = 0.$$

Just as we did in the case $\lambda > 0$, we define

$$(7.27) \quad \delta'_1 = P\delta'P^{-1}.$$

Then we have

THEOREM 7.8. *Equation $L\alpha = \sigma$ is equivalent to system of equations concerning $\alpha \in W^p_a(X)$, $S \in W^p_{-a+n/2}(Y)$ and $T \in W^p_{-a+n/2}(Y)$:*

$$(7.28) \quad \Delta_0\alpha = \sigma + d_0(1 + \Delta_0)^{-\alpha}(S \otimes \delta_Y) + (1 + \Delta_0)^{-\alpha}(T \otimes \delta_Y),$$

$$(7.29) \quad d'PS + PT + (H_0\alpha + G_0\sigma)|_Y = 0,$$

$$(7.30) \quad d'\delta'_1PS - \delta'_1PT + \pi PS - (\delta_0G_0\sigma)|_Y = 0,$$

where the operator π is defined by

$$(7.31) \quad \pi = QP^{-1}.$$

PROOF. Equality (7.28) implies (7.17) and (7.18). Thus (7.29) means that $\alpha \in D(d) \cap D(d^*)$. We have

$$(7.32) \quad d\alpha = d_0G_0\sigma + d_0G_0(1 + \Delta_0)^{-\alpha}(T \otimes \delta_Y)$$

and (7.25). Equality (7.32) means that $d\alpha \in D(d) \cap D(d^*)$ and

$$(7.33) \quad \begin{aligned} d^*d\alpha &= \delta_0 d_0 G_0 \sigma - d_0 \delta_0 G_0 (1 + \Delta_0)^{-a} (T \otimes \delta_Y) \\ &\quad - H_0 (1 + \Delta_0)^{-a} (T \otimes \delta_Y), \end{aligned}$$

because

$$\begin{aligned} \delta_0 d\alpha &= \delta_0 d_0 G_0 \sigma - d_0 \delta_0 G_0 (1 + \Delta_0)^{-a} (T \otimes \delta_Y) \\ &\quad - H_0 (1 + \Delta_0)^{-a} (T \otimes \delta_Y) + (1 + \Delta_0)^{-a} (T \otimes \delta_Y). \end{aligned}$$

On the other hand (7.30) means that $d^*\alpha \in D(d) \cap D(d^*)$ and

$$(7.34) \quad dd^*\alpha = d_0 \delta_0 G_0 \sigma + d_0 G_0 (1 + \Delta_0)^{-a} (\delta' T \otimes \delta_Y).$$

This and (7.33) give that

$$\begin{aligned} L\alpha &= (1 - H_0)\sigma - H_0(T \otimes \delta_Y) \\ &= \sigma \end{aligned}$$

by virtue of (7.17).

In the case $\sigma = 0$, we have stronger version of this theorem.

THEOREM 7.9. *The equation $L\alpha = 0$ is equivalent to the system of equations concerning $\alpha \in W_a^p(X)$ and $S \in W_{a+n/2}^{p-1}(Y)$ given by*

$$(7.35) \quad \Delta_0 \alpha = d_0 (1 + \Delta_0)^{-a} (S \otimes \delta_Y),$$

$$(7.36) \quad d'PS + H_0 \alpha|_Y = 0,$$

$$(7.37) \quad d' \delta'_1 PS = 0,$$

$$(7.38) \quad \pi PS = 0.$$

PROOF. If equality (7.35)~(7.38) hold, then (7.28), (7.29) and (7.30) hold with $T = 0, \sigma = 0$. Hence $L\alpha = 0$. Conversely if $L\alpha = 0$, then $d\alpha = d^*\alpha = 0$. Equality $d\alpha = 0$ implies that $T = 0$ because of (7.4). The fact that $d^*\alpha = 0$ implies that $H_0(1 + \Delta_0)^{-a}(S \otimes \delta_Y) = 0$ by virtue of (7.25). Hence we have $\pi PS = 0$. If we apply these to Theorem 7.8, we prove Theorem 7.9.

§ 8. Boundary conditions and the long exact sequence.

Let us define a new scalar product in $\Omega^p(Y)$, by

$$(8.1) \quad \langle \varphi, \phi \rangle = \int_Y P^{-1} \varphi \wedge *' \phi,$$

where P is the operator defined by (7.19). Making use of Proposition 7.6, we know that the scalar product can be extended to $W_{a+1-n/2}^p(Y)$ continuously and $W_{a+1-n/2}^p(Y)$ becomes a Hilbert space with this scalar product. We always consider this Hilbert space structure when we refer to the space $W_{a+1-n/2}^p(Y)$. The exterior differential operator d' restricted to $\Omega^p(Y)$ is closable in this space. We shall denote its smallest closed extension by the same symbol d' .

PROPOSITION 8.1. *The operator δ'_1 is the adjoint of d' in the space $W_{a+1-n/2}^p(Y)$. The operator π defined by (7.31) is a symmetric operator of finite rank.*

PROOF. We have only to prove that π is symmetric.

From (7.23), we have

$$(8.2) \quad \begin{aligned} \pi S &= \sum_{j=1}^k \left(\int_Y h_j|_Y \wedge *' P^{-1} S \right) h_j|_Y . \\ &= \sum_{j=1}^k \langle S, h_j|_Y \rangle h_j|_Y . \end{aligned}$$

This shows that π is symmetric.

Making use of operator d' and δ'_1 , we can prove an analogue of Hodge-Kodaira theory in the space $W_{a+1-n/2}^p(Y)$.

PROPOSITION 8.2. *The operator $L' = d'\delta'_1 + \delta'_1 d'$ is a non-negative self-adjoint elliptic pseudo-differential operator with only point spectrum of finite multiplicity.*

PROPOSITION 8.3.

$$\text{Ker } L' = \{T \text{ in } D(L') \mid d'T = 0 \text{ and } \delta'_1 T = 0\} .$$

THEOREM 8.4.

$$(8.3) \quad \begin{aligned} I &= H' + (d'\delta'_1 + \delta'_1 d')G' \\ &= H' + d'G'\delta'_1 + \delta'_1 G'd' , \end{aligned}$$

where H' is the orthogonal projection onto the $\text{Ker } L'$ and G' is the Green operator of L' .

THEOREM 8.5. *$\text{Ker } L'$ is isomorphic to the de Rham cohomology group $H^*(Y)$.*

Now we give an interpretation of the boundary conditions in §7 and give a new proof of Theorem 6.6. Let us denote by $\text{Ker}^p \Delta_0$, $\text{Ker}^p L'$ and $\text{Ker}^p L$ the space of p -currents belonging to kernels of Δ_0 , L' and L respectively.

First we define a mapping ρ by

$$(8.4) \quad \begin{array}{ccc} \text{Ker}^p \Delta_0 & \xrightarrow{\rho} & \text{Ker}^p L' \\ \Downarrow & & \Downarrow \\ \alpha & \longrightarrow & H'(\alpha|_Y) . \end{array}$$

Secondly ρ' by

$$(8.5) \quad \begin{array}{ccc} \text{Ker}^p L & \xrightarrow{\rho'} & \text{Ker}^p \Delta_0 \\ \Downarrow & & \Downarrow \\ \alpha & \longrightarrow & H_0 \alpha . \end{array}$$

And finally ρ'' by

$\rho'\beta = \alpha$. This proves (8.8).

Next we prove

$$(8.11) \quad \text{Im } \rho = \text{Ker } \rho''.$$

The fact that $\rho''\rho = 0$ is trivial. Assume that $\alpha \in \text{Ker } \rho L'$ and $\rho''\alpha = 0$, i. e., $d_0(P^{-1}JS \otimes \delta_Y)$ is harmonic. This is possible if and only if $P^{-1}JS = 0$, that is, S belongs to $H' \text{Im } \pi = \text{image of } \rho$. (8.11) is proved.

Finally we prove

$$(8.12) \quad \text{Im } \rho'' = \text{Ker } \rho'.$$

We have only to prove $\text{Ker } \rho' \subset \text{Im } \rho''$. Assume that $\alpha \in \text{Ker } \rho'$. Then $H_0\alpha = 0$ and $\alpha \in \text{Ker } \rho L$. Hence

$$(8.13) \quad \alpha = d_0 G_0 (1 + \Delta_0)^{-a} (S \otimes \delta_Y)$$

with

$$(8.14) \quad d'PS = 0,$$

$$(8.15) \quad d'\delta'_1 PS = 0,$$

and

$$(8.16) \quad \pi PS = 0.$$

Equalities (8.14) and (8.15) imply that $PS \in \text{Ker } \rho L'$. This proves (8.12). Therefore Theorem 8.5 is proved.

REMARK 8.7. Since $\text{Ker } \rho L'$ is isomorphic to $H^p(Y)$ and $\text{Ker } \rho \Delta_0$ is isomorphic to $H^p(X)$, Theorem 8.5 means that $\text{Ker } \rho L$ is isomorphic to $H^p(X, Y)$ by virtue of five lemma.

Summing up the above results, we have

THEOREM 8.8. *Every cohomology class in $H^p(X, Y)$ is represented by a current α in $W_a^p(X)$ such that there is an $S \in W_{a+n/2}^{p-1}(Y)$ satisfying*

$$(8.17) \quad \Delta_0 \alpha = d_0 (1 + \Delta_0)^{-a} (S \otimes \delta_Y),$$

$$(8.18) \quad d'PS + H_0 \alpha|_Y = 0,$$

$$(8.19) \quad d'\delta'_1 PS = 0$$

and

$$(8.20) \quad \pi PS = 0.$$

REMARK 8.9. It should be noted that $\alpha|_Y = 0$.

THEOREM 8.10. *Every cohomology class $H^p(X, Y)$ is represented by a current γ in $X-Y$ which is harmonic in $X-Y$ and may have singularity at Y majorized as*

$$(8.21) \quad |\gamma(x)| = (\gamma(x) \lrcorner \gamma(x))^{1/2} = O(r^{1-n})$$

for any $x \in X - Y$. Here $r =$ geodesic distance from the point x to Y and $n =$ codimension of Y .

PROOF. As we noted in Remark 3.4, the current α in Theorem 8.8 can be identified with a current γ in $X - Y$ by the formula (3.6). On the other hand (8.17) gives that

$$(8.22) \quad \Delta_0(1 + \Delta_0)^a \alpha = d_0(S \otimes \delta_Y).$$

This means that γ is harmonic in $X - Y$ because support of $d_0(S \otimes \delta_Y)$ is contained in Y . Applying the Green operator G_0 to both sides of (8.22), we have

$$(8.23) \quad (1 + \Delta_0)^a \alpha = H_0 \alpha + G_0 d_0(S \otimes \delta_Y).$$

Since $H_0 \alpha$ is smooth, $(1 + \Delta_0)^a \alpha$ has the same singularity as $G_0 d_0(S \otimes \delta_Y) = d_0 G_0(S \otimes \delta_Y)$. This proves our theorem.

§ 9. Addenda.

The aim of this section is to get new representatives of cohomology classes of $H^p(X, Y)$ that is more natural than the one given in the previous sections. In order to do this we introduce a new Hilbert space structure of $W_a^p(X)$ by the following inner product:

$$(9.1) \quad [\alpha, \beta] = (\Delta_0^{a/2} \alpha, \Delta_0^{a/2} \beta) + (H_0 \alpha, H_0 \beta).$$

Since the topologies of $W_a^p(X)$ are the same, the closed operator d is unchanged. However, the adjoint which we denote again by d^* is different from the one treated in § 5. We have

THEOREM 9.1. A current α in $W_a^{p+1}(X)$ belongs to $D(d^*)$ if and only if there are γ in $W_a^p(X)$ and T in $W_{a-1+n/2}^p(Y)$ such that

$$(9.2) \quad \delta_0 \alpha - \gamma = G_0^a(T \otimes \delta_Y) + H_0(T \otimes \delta_Y).$$

If this holds, $d^* \alpha = \gamma$ and $\delta' T$ belongs to $W_{a-1+n/2}^p(Y)$.

Proof is similar to that of Theorem 5.1.

Introducing the space

$$(9.3) \quad V'^p = \{G_0^{a+1}(T \otimes \delta_Y) \mid T \in W_{a-1+n/2}^p(Y) \text{ and } \delta' T \in W_{a-1+n/2}^{p-1}(Y)\},$$

we have

THEOREM 9.2. The following sequence of vector spaces are exact

$$(9.4) \quad 0 \longrightarrow D(d) \cap D(d^*) \longrightarrow W_{a+1}^p(X) + d_0 V'^{p-1} \longrightarrow W_{a+1-n/2}^p(Y) \longrightarrow 0.$$

Let $\text{Ker}^p L$ be the space of all p -currents belonging to the kernel of the operator

$$(9.5) \quad L = dd^* + d^*d.$$

Then just as Theorem 6.6, we can prove

THEOREM 9.3. $H^p(X, Y)$ is isomorphic to the space $\text{Ker}^p L$.

Now we define the operator P' operating on currents of Y given by

$$(9.6) \quad P' : T \longrightarrow G_0^{a+1}(T \otimes \delta_Y)|_Y.$$

PROPOSITION 9.4. P' is a non-negative self adjoint invertible elliptic pseudo-differential operator of order $-2a-2+n$.

We define the following operators:

$$(9.7) \quad \delta'_2 = P' \delta' P'^{-1}.$$

$$(9.8) \quad \pi' = Q P'^{-1}.$$

THEOREM 9.5. Equation $L\alpha \equiv (dd^* + d^*d)\alpha = f$ is equivalent to system of equations concerning $\alpha \in W_a^p(X)$, $S \in W_{a+n/2}^{p-1}(Y)$ and T in $W_{a+n/2}^p(Y)$:

$$(9.9) \quad \begin{aligned} f &= \Delta_0 \alpha - d_0 G_0^a(S \otimes \delta_Y) - G_0^a(T \otimes \delta_Y) - H_0(T \otimes \delta_Y) \\ (G_0 f)|_Y &= -(H_0 \alpha)|_Y - d' P' S - P' T \\ (\delta_0 G_0 f)|_Y &= \pi' P' S + d' \delta'_2 P' S - \delta'_2 P' T. \end{aligned}$$

In particular,

THEOREM 9.6. Equation $(dd^* + d^*d)\alpha = 0$ is equivalent to the system of equations:

$$(9.10) \quad \begin{aligned} \Delta_0 \alpha &= d_0 G_0^a(S \otimes \delta_Y) \\ (H_0 \alpha)|_Y + d' P' S &= 0 \\ d' \delta'_2 P' S &= 0 \\ \pi' P' S &= 0 \end{aligned}$$

for α in $W_a^p(X)$ and S in $W_{a+n/2}^{p-1}(Y)$.

THEOREM 9.7. Every cohomology class of $H^p(X, Y)$ is uniquely represented by a current α such that there exists a current S in $W_{a+n/2}^{p-1}(Y)$ satisfying (9.10).

REMARK 9.8. The current α in Theorem 9.6 satisfies equation

$$(9.11) \quad \Delta_0^{a+1} \alpha = 0 \quad \text{in } X - Y.$$

That is α is poly-harmonic in $X - Y$.

REMARK 9.9. We can identify an arbitrary current α in $W_a^p(X)$ with a current γ on $X - Y$ by the formula

$$(9.12) \quad \gamma = (\Delta_0^a \alpha + H_0 \alpha)|_{X-Y}.$$

(cf. Remark 3.4.)

Then

$$(9.13) \quad \Delta_0 \gamma = 0 \quad \text{on } X - Y$$

$$(9.14) \quad |\gamma(x)| = O(r^{1-n}).$$

(cf. Theorem 8.10.)

Since the operator P' enjoys the same properties as P , we can define an inner product

$$(9.15) \quad \langle \alpha, \beta \rangle' = \int_Y P'^{-1} \alpha \wedge *' \beta$$

for currents α and β on Y . (cf. § 8.)

The operators d' and δ'_2 are mutually adjoint with respect to this Hilbert space structure. We can make Hodge-Kodaira decomposition of currents on Y using this inner product.

THEOREM 9.10. *$H^p(Y)$ is isomorphic to the space of all p -currents T on Y satisfying equation*

$$(9.16) \quad (d' \delta'_2 + \delta'_2 d') T = 0.$$

Thus we can give interpretation of the long exact sequence (1.2) from our new stand point. Discussion is completely parallel to that of § 8 and the detail is omitted here.

The University of Tokyo

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