

Remarks on Cantor's Absolute

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The purpose of this paper is to prove a theorem which is stated in the introduction as the main theorem. In this paper it is understood that a set theory means a set theory T in the first order predicate calculus satisfying the following conditions:

- 1) \in is the only predicate in T . ($a = b$ is an abbreviation of $\forall x(x \in a \rightarrow x \in b)$).
- 2) T is a consistent extension of Zermelo-Fraenkel's set theory.

A model $\langle A, \in_A^* \rangle$ of a set theory is called 'regular', if and only if there exists no (infinite) sequence a_0, a_1, a_2, \dots of elements of A such that

$$a_1 \in_A^* a_0, a_2 \in_A^* a_1, a_3 \in_A^* a_2, \dots$$

hold. Here a sequence is understood in the informal sense; it may be undefinable in any way.

We presuppose that there exists something absolute, which is a vast universe consisting of numerous concrete sets, and in which some properties (in the informal sense) are "well-defined". Such a universe C will be called *Cantor's Absolute*. It should be understood as a transcendental existence. An existential quantifier $\exists x$ and universal quantifier $\forall x$ mean *literally* "there exists a set x such that ..." resp. "for every set x , it holds that ...". A closed formula in which \in only is used as predicate, is *a priori* true or false in Cantor's Absolute.

Moreover the following propositions are assumed to hold.

- (1) Let T_C be the class of all true closed formulas in Cantor's Absolute consisting solely of logical symbols, the predicate \in and bound variables. T_C is called Cantor's set theory. Then T_C contains the class of all provable closed formulas in the set theory of Zermelo-Fraenkel.
- (2) $\langle C, \in \rangle$ is a regular model of T_C .
- (3) For any well-defined property and any set a in C , there exists a set consisting of all sets which belong to a and satisfy the property. (The word 'property' is used in the informal sense.)

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For example, there exists a set in C consisting of all the Gödel numbers of formulas in T_C ; this set will be denoted by $\lceil T_C \rceil$.

In this paper we shall first prove the following proposition. For every definable class of true formulas in T_C a closed formula, which means "There exists a complete model of all formulas of this class", belong to T_C .

This formula will be given explicitly as (A) in § 1, p. 201.

We shall prove the following main theorem.

MAIN THEOREM. *A contradiction follows from the following two hypotheses on Cantor's Absolute.*

HYPOTHESIS 1. *A formula $V=L$ of Gödel [1] holds on Cantor's Absolute, where $V=L$ is presupposed to be expressed by using only set variables (without using class variables).*

The second hypothesis on Cantor's Absolute expresses that "Cantor's set theory" T_C is a maximal set theory in a certain sense, in another word, that Cantor's set theory cannot be embedded in another set theory. In order to state exactly the second hypothesis, we shall first define some concepts.

A set theory T is called '*definite*', if T satisfies the following conditions.

- 1) T is complete (For any closed formula \mathfrak{A} either \mathfrak{A} or $\neg\mathfrak{A}$ belongs to T).
- 2) If $\exists x\mathfrak{A}(x)$ is closed and belongs to T , then there exists a closed formula $\exists x\mathfrak{B}(x)$ such that $\exists x\mathfrak{B}(x), \forall x\forall y(\mathfrak{B}(x) \wedge \mathfrak{B}(y) \vdash x=y)$ and $\exists x(\mathfrak{A}(x) \wedge \mathfrak{B}(x))$ belong to T .

Let \mathfrak{A} be a formula and a be a variable. \mathfrak{A}^a is obtained from \mathfrak{A} by replacing all the quantifiers $\forall x, \exists y, \dots$ by $\forall x(x \in a \vdash), \exists y(y \in a \wedge), \dots$ respectively.

Let T_0 and T_1 be two set theories. We say ' T_0 can be *embedded* in T_1 ' if and only if there exists a closed formula $\exists x\mathfrak{A}(x)$ satisfying the following conditions.

- 1) $\exists x\mathfrak{A}(x), \forall x\forall y(\mathfrak{A}(x) \wedge \mathfrak{A}(y) \vdash x=y)$ and $\forall x\forall y\forall z(\mathfrak{A}(x) \wedge y \in x \wedge z \in y \vdash z \in x)$ belong to T_1 .
- 2) For every closed formula $\mathfrak{B}, \mathfrak{B} \in T_0$ if and only if $T_1 \ni \exists x(\mathfrak{A}(x) \wedge \mathfrak{B}^x)$.

Now we shall state the second hypothesis.

HYPOTHESIS 2. *Cantor's set theory cannot be embedded in any definite set theory T which contains $V=L$ and has a regular model.*

§ 1. Properties of definite set theory.

We shall use many notations in [1]. We always assume that every notion from [1] is supposed to be expressed by using only set variables (without using class variables) even if it is originally defined by using class variables in [1].

Let T be a set theory and $\exists x\mathfrak{A}(x)$ and $\exists x\mathfrak{B}(x)$ be closed formulas. $\{x\}\mathfrak{A}(x)$

is defined to belong to the same class with $\{x\}\mathfrak{B}(x)$ relative to T , if and only if $\forall x(\mathfrak{A}(x) \rightarrow \mathfrak{B}(x))$ belongs to T . The class which contains $\{x\}\mathfrak{A}(x)$ is written by $(\{x\}\mathfrak{A}(x))$, and $\{x\}\mathfrak{A}(x)$ is said to represent the class.

A class $(\{x\}\mathfrak{A}(x))$ is said 'definite', if $\exists x\mathfrak{A}(x)$ and $\forall x\forall y(\mathfrak{A}(x) \wedge \mathfrak{A}(y) \rightarrow x=y)$ belong to T .

$A(T)$ is defined to be the set of all the definite classes of T .

Let $(\{x\}\mathfrak{A}(x))$ and $(\{x\}\mathfrak{B}(x))$ be two elements of $A(T)$. $(\{x\}\mathfrak{A}(x)) \in_T^* (\{x\}\mathfrak{B}(x))$ is defined to be $T \ni \exists x\exists y(\mathfrak{A}(x) \wedge \mathfrak{B}(y) \wedge x \in y)$.

PROPOSITION 1. *Let T be a definite set theory. Let a_1, \dots, a_n be elements of $A(T)$ and be represented by $\{x\}\mathfrak{A}_1(x), \dots, \{x\}\mathfrak{A}_n(x)$ respectively. Then $\mathfrak{B}(a_1, \dots, a_n)$ is satisfied in $\langle A(T), \in_T^* \rangle$ if and only if*

$$T \ni \exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \mathfrak{B}(x_1, \dots, x_n)).$$

PROOF. We shall prove this by induction on the number of logical symbols in $\mathfrak{B}(a_1, \dots, a_n)$.

If $\mathfrak{B}(a_1, \dots, a_n)$ has no logical symbols, then $\mathfrak{B}(a_1, \dots, a_n)$ must be of the form $a_i \in a_j$. $a_i \in a_j$ is satisfied in $\langle A(T), \in_T^* \rangle$, if and only if $a_i \in_T^* a_j$, that is, $T \ni \exists x\exists y(\mathfrak{A}_i(x) \wedge \mathfrak{A}_j(y) \wedge x \in y)$, whence follows the proposition.

Let $\mathfrak{B}(a_1, \dots, a_n)$ be of the form $\neg \mathfrak{B}_1(a_1, \dots, a_n)$. Then $\mathfrak{B}(a_1, \dots, a_n)$ is satisfied in $\langle A(T), \in_T^* \rangle$ if and only if

$$T \ni \neg \exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \mathfrak{B}_1(x_1, \dots, x_n)),$$

which is equivalent to

$$\exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \neg \mathfrak{B}_1(x_1, \dots, x_n)) \in T.$$

Hence follows the proposition.

Let $\mathfrak{B}(a_1, \dots, a_n)$ be of the form $\mathfrak{B}_1(a_1, \dots, a_n) \wedge \mathfrak{B}_2(a_1, \dots, a_n)$. Then $\mathfrak{B}(a_1, \dots, a_n)$ is satisfied in $\langle A(T), \in_T^* \rangle$, if and only if

$$\begin{aligned} & \exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \cdots \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \mathfrak{B}_1(x_1, \dots, x_n)) \wedge \\ & \wedge \exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \mathfrak{B}_2(x_1, \dots, x_n)) \in T, \end{aligned}$$

which is equivalent to

$$T \ni \exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \mathfrak{B}_1(x_1, \dots, x_n) \wedge \mathfrak{B}_2(x_1, \dots, x_n)).$$

Hence follows the proposition.

Let $\mathfrak{B}(a_1, \dots, a_n)$ be of the form $\forall x\mathfrak{B}_1(x, a_1, \dots, a_n)$. Then $\mathfrak{B}(a_1, \dots, a_n)$ is satisfied in $\langle A(T), \in_T^* \rangle$, if and only if $\mathfrak{B}_1(a_0, a_1, \dots, a_n)$ is satisfied in $\langle A(T), \in_T^* \rangle$ for every element a_0 of $A(T)$, which is equivalent to the condition that

$$\exists x_0 \cdots \exists x_n (\mathfrak{A}_0(x_0) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \mathfrak{B}_1(x_0, \dots, x_n)) \in T$$

for every definite $\{x\}\mathfrak{A}_0(x)$. Since it is easily proved that from

$$\exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \forall x_0 \mathfrak{B}_1(x_0, \dots, x_n)) \in \mathbf{T}$$

follows

$$\exists x_0 \cdots \exists x_n (\mathfrak{A}_0(x_0) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \mathfrak{B}_1(x_0, \dots, x_n)) \in \mathbf{T}$$

for every definite $\{x\}\mathfrak{A}_0(x)$, we have only to prove that from

$$\neg \exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \forall x_0 \mathfrak{B}_1(x_0, \dots, x_n)) \in \mathbf{T}$$

follows

$$\exists x_0 \cdots \exists x_n (\mathfrak{A}_0(x_0) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \neg \mathfrak{B}_1(x_0, \dots, x_n)) \in \mathbf{T}$$

for some definite $\{x\}\mathfrak{A}_0(x)$. Since \mathbf{T} is definite, the existence of such a definite $\{x\}\mathfrak{A}_0(x)$ follows from

$$\exists x_0 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \neg \mathfrak{B}_1(x_0, \dots, x_n)) \in \mathbf{T},$$

and this follows from

$$\neg \exists x_1 \cdots \exists x_n (\mathfrak{A}_1(x_1) \wedge \cdots \wedge \mathfrak{A}_n(x_n) \wedge \forall x_0 \mathfrak{B}_1(x_0, \dots, x_n)) \in \mathbf{T}.$$

Therefore the proposition holds.

PROPOSITION 2. *If a definite set theory \mathbf{T} has a regular model $\langle A, \in_A^* \rangle$, then $\langle A(\mathbf{T}), \in_{\mathbf{T}}^* \rangle$ is also regular.*

PROOF. Suppose that there exists a sequence a_0, a_1, a_2, \dots of elements of $A(\mathbf{T})$ such that

$$a_1 \in {}^*_{\mathbf{T}} a_0, a_2 \in {}^*_{\mathbf{T}} a_1, a_3 \in {}^*_{\mathbf{T}} a_2, \dots.$$

Let a_i be represented by $\{x\}\mathfrak{A}_i(x)$. Since $\mathbf{T} \ni \exists x \mathfrak{A}_i(x)$ and $\mathbf{T} \ni \forall x \forall y (\mathfrak{A}_i(x) \wedge \mathfrak{A}_i(y) \vdash x = y)$, there exists just one element b_i in A such that $A_i(b_i)$ is satisfied in $\langle A, \in_A^* \rangle$. $b_{i+1} \in {}^*_A b_i$ holds because $a_{i+1} \in {}^*_{\mathbf{T}} a_i$ means $\exists x_i \exists x_{i+1} (\mathfrak{A}_i(x_i) \wedge \mathfrak{A}_{i+1}(x_{i+1}) \wedge x_{i+1} \in x_i)$. Hence follows a contradiction.

NOTE. Let \mathfrak{B} be a closed formula. Then $\ulcorner \mathfrak{B} \urcorner$ denotes the Gödel number of \mathfrak{B} . In the well-known way, we can define a formula $Cd(a)$ satisfying the following conditions:

- (1) $Cd(a)$ is constructed only by the predicate \in , logical symbols, bound variables and a free variable a .
- (2) $\forall x (Cd(x) \vdash x \in \omega) \in T_c$.
- (3) For any given integer i , if the closed formula $Cd(i)$ belongs to T_c , then there exists a closed formula \mathfrak{B} such that $i = \ulcorner \mathfrak{B} \urcorner$.

Moreover, we can define a formula $\mathfrak{D}(a, b)$ with the following properties:

- (1) $\mathfrak{D}(a, b)$ is constructed only by the predicate \in , logical symbols, bound variables and two free variables a and b .
- (2) $\forall x (\mathfrak{D}(x, \ulcorner \mathfrak{B} \urcorner) \vdash \mathfrak{B}^v) \in T_c$ for any closed formula \mathfrak{B} .
- (3) $\forall x \forall y (\mathfrak{D}(x, y) \vdash Cd(y)) \in T_c$.

Let $\mathfrak{A}(a)$ be a formula with the following properties:

- (1) $\forall x(\mathfrak{A}(x) \vdash Cd(x)) \in T_C$.
 (2) If \mathfrak{B} is a closed formula and $\mathfrak{A}(\ulcorner \mathfrak{B} \urcorner) \in T_C$, then $\mathfrak{B} \in T_C$.

In virtue of Proposition 2, it is easily seen that there exists a set a_0 in C such that $\langle a_0, \in_{a_0} \rangle$ is isomorphic to $\langle A(T), \in_T^* \rangle$ and

$$\forall y \forall z (y \in a_0 \wedge z \in y \vdash z \in a_0)$$

is satisfied in $\langle C, \in \rangle$. By Proposition 1, we see

$$\forall y \forall z (y \in a_0 \wedge z \in y \vdash z \in a_0) \wedge \forall y (\mathfrak{A}(y) \vdash \mathfrak{D}(a_0, y))$$

is satisfied in $\langle C, \in \rangle$. Thereof

$$(A) \quad \exists x (\forall y \forall z (y \in x \wedge z \in y \vdash z \in x) \wedge \forall y (\mathfrak{A}(y) \vdash \mathfrak{D}(x, y)))$$

is true in C .

Now consider the following hypothesis:

$$(B) \quad \exists x (\forall y \forall z (y \in x \wedge (z \in y \vee z \subseteq y) \vdash z \in x) \wedge \forall y (\mathfrak{A}(y) \vdash \mathfrak{D}(x, y))).$$

This axiom is stronger than (A). So far, we do not know whether (B) is true or not, while (A) has an exact proof.

PROPOSITION 3. *If $V=L$ belongs to a complete set theory T , then T is definite.*

PROOF. Let $\exists x \mathfrak{B}(x) \in T$. Then

$$\{x\} \exists \alpha (x = F' \alpha \wedge \mathfrak{B}(F' \alpha) \wedge \forall \beta (\mathfrak{B}(F' \beta) \vdash \alpha \leq \beta))$$

is definite (cf. [1, p. 37] for F).

A set theory T is called '*positive definite*', if and only if T satisfies the following conditions.

- 1) T is complete.
- 2) $V=L$ belongs to T .
- 3) $\langle A(T), \in_T^* \rangle$ is regular.

PROPOSITION 4. *Under Hypothesis 1, Cantor's set theory is positive definite.*

§ 2. Proof of the main theorem.

In this section we always assume Hypotheses 1 and 2. Let T be a positive definite set theory and a be an element of $A(T)$. In virtue of regularity of $\langle A(T), \in_T^* \rangle$, it is easily proved that $\mathfrak{D}(a)$ ("a is an ordinal number"; cf [1, p. 230]) is satisfied in $\langle A(T), \in_T^* \rangle$, if and only if a actually is an ordinal number relative to \in_T^* . The ordinal number corresponding to a is expressed by \hat{a} and called an ordinal number of $\langle A(T), \in_T^* \rangle$.

If we presuppose that $\mathfrak{D}(a)$ and $\mathfrak{D}(b)$ are satisfied in $\langle A(T), \in_T^* \rangle$, then we see easily the following properties.

1. ' $a < b$ is satisfied in $\langle A(T), \in_T^* \rangle$ ' is equivalent to $\hat{a} < \hat{b}$.
2. ' $a = b$ is satisfied in $\langle A(T), \in_T^* \rangle$ ' is equivalent to $\hat{a} = \hat{b}$.
3. If there exists an ordinal number $\beta < \hat{a}$, then there exists $b \in A(T)$ such that $\mathfrak{D}(b)$ and $b < a$ are satisfied in $\langle A(T), \in_T^* \rangle$ and $\hat{b} = \beta$.

Let $\mathfrak{A}(\alpha_1, \dots, \alpha_n)$ be a formula consisting of $<, =, \neg, \vee, \wedge, \alpha_1, \dots, \alpha_n$. Then in virtue of the above properties we see that ' $\mathfrak{A}(a_1, \dots, a_n)$ is satisfied in $\langle A(T), \in_T^* \rangle$ ' is equivalent to $\mathfrak{A}(\hat{a}_1, \dots, \hat{a}_n)$ provided that $\mathfrak{D}(a_1), \dots, \mathfrak{D}(a_n)$ are satisfied in $\langle A(T), \in_T^* \rangle$. By this and the transfinite induction we can easily prove that

$$a_3 = J' \langle ia_1 a_2 \rangle (i < 9), a_2 = K_1' a_1, a_2 = K_2' a_1$$

are satisfied in $\langle A(T), \in_T^* \rangle$, if and only if $\hat{a}_3 = J' \langle i\hat{a}_1 \hat{a}_2 \rangle (i < 9), \hat{a}_2 = K_1' \hat{a}_1, \hat{a}_2 = K_2' \hat{a}_1$ respectively provided that $\mathfrak{D}(a_1), \mathfrak{D}(a_2)$ and $\mathfrak{D}(a_3)$ are satisfied in $\langle A(T), \in_T^* \rangle$.

The ordinal number, which consists of all the ordinal numbers of $\langle A(T), \in_T^* \rangle$, is called the type of T and written by $\text{typ}(T)$.

Let $a \in A(T)$ and a be represented by $\{x\}\mathfrak{A}(x)$ and $\mathfrak{D}(a)$ be satisfied in $\langle A(T), \in_T^* \rangle$ and $b \in A(T)$. It is easily seen that $b = F'a$ is satisfied in $\langle A(T), \in_T^* \rangle$ if and only if $\exists y(\mathfrak{A}(y) \wedge b = F'y)$ is satisfied in $\langle A(T), \in_T^* \rangle$. Since $\{x\}\exists y(\mathfrak{A}(y) \wedge x = F'y)$ is definite in T, $F'a$ is naturally defined to be $\{x\}\exists y(\mathfrak{A}(y) \wedge x = F'y)$, which also represents an element of $A(T)$.

PROPOSITION 5. *Let T be a positive definite set theory, a and b be elements of $A(T)$ and $\mathfrak{D}(a)$ and $\mathfrak{D}(b)$ be satisfied in $\langle A(T), \in_T^* \rangle$. Then $F'a \in F'b$ is satisfied in $\langle A(T), \in_T^* \rangle$ if and only if $F'\hat{a} \in F'\hat{b}$.*

PROOF. If $\hat{a} = \hat{b}$, then the proposition is trivial. Therefore we always assume $\hat{a} \neq \hat{b}$. In this proof we use ' \mathfrak{A} is satisfied' instead of ' \mathfrak{A} is satisfied in $\langle A(T), \in_T^* \rangle$ '.

We may and shall assume that if $c \in A(T), d \in A(T)$ and $\mathfrak{D}(c), \mathfrak{D}(d)$ and

$$\begin{aligned} \text{Max}\{cd\} < \text{Max}\{ab\} \vee (\text{Max}\{cd\} = \text{Max}\{ab\} \wedge c < a) \\ \vee (\text{Max}\{cd\} = \text{Max}\{ab\} \wedge c = a \wedge d < b) \end{aligned}$$

are satisfied, then $F'\hat{c} \in F'\hat{d}$ is equivalent to " $F'c \in F'd$ is satisfied".

Let $c_1 \in A(T)$ and $c_2 \in A(T)$ and $\mathfrak{D}(c_1), \mathfrak{D}(c_2)$ and $\text{Max}\{c_1 c_2\} \leq a$ be satisfied. Then we have

$$\begin{aligned} F'\hat{c}_1 = F'\hat{c}_2 &\Leftrightarrow \forall \alpha (\alpha < \hat{a} \vdash (F'\alpha \in F'\hat{c}_1 \vdash F'\alpha \in F'\hat{c}_2)) \\ &\Leftrightarrow \forall \alpha (\alpha < a \vdash (F'\alpha \in F'c_1 \vdash F'\alpha \in F'c_2)) \text{ is satisfied} \\ &\Leftrightarrow F'c_1 = F'c_2 \text{ is satisfied.} \end{aligned}$$

We must treat the following cases.

- 1) The case when $\hat{b} \in \mathfrak{B}(J_0)$ (cf. [1, pp. 36-37]), which also means ' $b \in \mathfrak{B}(J_0)$ is satisfied'.

$$\begin{aligned}
F'\hat{a} \in F'\hat{b} &\Leftrightarrow \exists \alpha (\alpha \leq \hat{a} \wedge \alpha < \hat{b} \wedge F'\alpha = F'\hat{a}) \\
&\Rightarrow \exists \alpha (\alpha \leq \hat{a} \wedge \alpha < \hat{b} \wedge \forall \beta (\beta < \hat{a} \vdash (F'\beta \in F'\alpha \vdash F'\beta \in F'\hat{a}))) \\
&\Rightarrow \exists \alpha (\alpha \leq a \wedge \alpha < b \wedge \forall \beta (\beta < a \vdash (F'\beta \in F'\alpha \vdash F'\beta \in F'a)) \\
&\hspace{10em} \text{is satisfied} \\
&\Rightarrow F'a \in F'b \hspace{10em} \text{is satisfied.}
\end{aligned}$$

In the following we shall omit the later half of the symmetric chain of equivalences like this.

2) The case when $\hat{b} \in \mathfrak{B}(J_1)$

$$\begin{aligned}
F'\hat{a} \in F'\hat{b} &\Rightarrow \forall \alpha (\alpha < \text{Max} \{\hat{a}K_1'\hat{b}\} \vdash (F'\alpha \in F'\hat{a} \vdash F'\alpha \in F'K_1'\hat{b})) \\
&\quad \vee \forall \alpha (\alpha < \text{Max} \{\hat{a}K_2'\hat{b}\} \vdash (F'\alpha \in F'\hat{a} \vdash F'\alpha \in F'K_2'\hat{b})),
\end{aligned}$$

3) The case when $\hat{b} \in \mathfrak{B}(J_2)$

$$\begin{aligned}
F'\hat{a} \in F'\hat{b} &\Rightarrow F'\hat{a} \in F'K_1'\hat{b} \\
&\quad \wedge \exists \alpha_1 \exists \alpha_2 \exists \alpha_3 \exists \alpha_4 (\alpha_1 < \hat{a} \wedge \alpha_2 < \hat{a} \wedge \alpha_3 < \hat{a} \wedge \alpha_4 < \hat{a} \\
&\quad \wedge \forall \beta (\beta < \hat{a} \vdash (F'\beta \in F'\alpha_3 \vdash F'\beta = F'\alpha_1)) \\
&\quad \wedge \forall \beta (\beta < \hat{a} \vdash (F'\beta \in F'\alpha_4 \vdash F'\beta = F'\alpha_1 \vee F'\beta = F'\alpha_2)) \\
&\quad \wedge \forall \beta (\beta < \hat{a} \vdash (F'\beta \in F'\hat{a} \vdash F'\beta = F'\alpha_3 \vee F'\beta = F'\alpha_4)) \\
&\quad \wedge F'\alpha_1 \in F'\alpha_2).
\end{aligned}$$

4) The case when $\hat{b} \in \mathfrak{B}(J_3)$

$$F'\hat{a} \in F'\hat{b} \Leftrightarrow F'\hat{a} \in F'K_1'\hat{b} \wedge \neg F'\hat{a} \in F'K_2'\hat{b}.$$

5) The case when $\hat{b} \in \mathfrak{B}(J_4)$

$$\begin{aligned}
F'\hat{a} \in F'\hat{b} &\Rightarrow F'\hat{a} \in F'K_1'\hat{b} \wedge \exists \alpha_1 \exists \alpha_2 \exists \alpha_3 \exists \alpha_4 (\alpha_1 < \hat{a} \wedge \alpha_2 < \hat{a} \wedge \alpha_3 < \hat{a} \wedge \alpha_4 < \hat{a} \\
&\quad \wedge \forall \beta (\beta < \hat{a} \vdash (F'\beta \in F'\alpha_3 \vdash F'\beta = F'\alpha_1)) \\
&\quad \wedge \forall \beta (\beta < \hat{a} \vdash (F'\beta \in F'\alpha_4 \vdash F'\beta = F'\alpha_1 \vee F'\beta = F'\alpha_2)) \\
&\quad \wedge F'\alpha_2 \in F'K_2'\hat{b} \wedge \forall \beta (\beta < \hat{a} \vdash (F'\beta \in F'\hat{a} \vdash F'\beta = F'\alpha_3 \vee F'\beta \\
&\quad = F'\alpha_4))).
\end{aligned}$$

6) The case when $\hat{b} \in \mathfrak{B}(J_5)$

$$\begin{aligned}
F'\hat{a} \in F'\hat{b} &\Rightarrow F'\hat{a} \in F'K_1'\hat{b} \\
&\quad \wedge \exists \alpha_1 \exists \alpha_2 \exists \alpha_3 \exists \alpha_4 \exists \alpha_5 (\alpha_1 < K_2'\hat{b} \wedge \alpha_2 < K_2'\hat{b} \wedge \alpha_3 < K_2'\hat{b} \\
&\quad \wedge \alpha_4 < K_2'\hat{b} \wedge \alpha_5 < K_2'\hat{b} \wedge F'\hat{a} = F'\alpha_2 \\
&\quad \wedge \forall \beta (\beta < K_2'\hat{b} \vdash (F'\beta \in F'\alpha_3 \vdash F'\beta = F'\alpha_1)) \\
&\quad \wedge \forall \beta (\beta < K_2'\hat{b} \vdash (F'\beta \in F'\alpha_4 \vdash F'\beta = F'\alpha_1 \vee F'\beta = F'\alpha_2)) \\
&\quad \wedge \forall \beta (\beta < K_2'\hat{b} \vdash (F'\beta \in F'\alpha_5 \vdash F'\beta = F'\alpha_3 \vee F'\beta = F'\alpha_4)) \\
&\quad \wedge F'\alpha_5 \in F'K_2'\hat{b}).
\end{aligned}$$

7) The case when $\hat{b} \in \mathfrak{B}(J_6)$

$$\begin{aligned}
F'\hat{a} \in F'\hat{b} &\Rightarrow F'\hat{a} \in F'K_1'\hat{b} \\
&\quad \wedge \exists \alpha_1 \exists \alpha_2 \exists \alpha_3 \exists \alpha_4 \exists \alpha_5 \exists \alpha_6 (\alpha_1 < K_2'\hat{b} \wedge \alpha_2 < K_2'\hat{b} \\
&\quad \wedge \alpha_3 < K_2'\hat{b} \wedge \alpha_4 < K_2'\hat{b} \wedge \alpha_5 < K_2'\hat{b} \wedge \alpha_6 < K_2'\hat{b}
\end{aligned}$$

$$\begin{aligned}
& \wedge \forall \beta (\beta < K_2' \hat{b} \vdash (F' \beta \in F' \alpha_3 \vdash F' \beta = F' \alpha_1)) \\
& \wedge \forall \beta (\beta < K_2' \hat{b} \vdash (F' \beta \in F' \alpha_4 \vdash F' \beta = F' \alpha_1 \vee F' \beta = F' \alpha_2)) \\
& \wedge \forall \beta (\beta < K_2' \hat{b} \vdash (F' \beta \in F' \alpha_5 \vdash F' \beta = F' \alpha_2)) \\
& \wedge \forall \beta (\beta < K_2' \hat{b} \vdash (F' \beta \in F' \alpha_6 \vdash F' \beta = F' \alpha_3 \vee F' \beta = F' \alpha_4)) \\
& \wedge \forall \beta (\beta < K_2' \hat{b} \vdash (F' \beta \in F' \hat{a} \vdash F' \beta = F' \alpha_4 \vee F' \beta = F' \alpha_5)) \\
& \wedge F' \alpha_6 \in F' K_2' \hat{b}.
\end{aligned}$$

8) The case, when $\hat{b} \in \mathfrak{B}(J_i)$ ($i=7,8$), can be treated in the same way as in the last case.

PROPOSITION 6. *Let T_1 and T_2 be two positive definite set theories and $\text{typ}(T_1) < \text{typ}(T_2)$. Then T_1 can be embedded in T_2 .*

PROOF. Since $\text{typ}(T_1) < \text{typ}(T_2)$, there exists $a_2 \in A(T_2)$ such that $\mathfrak{D}(a_2)$ is satisfied in $\langle A(T_2), \in_{T_2}^* \rangle$ and \hat{a}_2 is $\text{typ}(T_1)$. We assume that a_2 is represented by $\{x\}\mathfrak{C}(x)$. In virtue of Prop. 5, it is easily seen that $\langle A(T_1), \in_{T_1}^* \rangle$ is isomorphic to $\langle A_2, \in_2^* \rangle$, where A_2 consists of all the elements $b_2 \in A(T_2)$ such that $b_2 \in_{T_2}^* c_2$ and c_2 is represented by $\{x\}\exists y(\mathfrak{C}(y) \wedge x = F'y)$, which is written by $\{x\}\mathfrak{A}(x)$, and \in_2^* is the confinement of $\in_{T_2}^*$ to A_2 . Therefore T_1 , that is, the class of all the formulas, which are satisfied in $\langle A(T_1), \in_{T_1}^* \rangle$, is equal to the class of all the formulas \mathfrak{B} such that $T_2 \ni \exists x(\mathfrak{A}(x) \wedge \mathfrak{B}^x)$.

We see also that

$$T_2 \ni \forall x \forall y \forall z (\mathfrak{A}(x) \wedge y \in x \wedge z \in y \vdash z \in x).$$

Hence follows that T_1 can be embedded in T_2 .

PROPOSITION 7. *Cantor's set theory T_C is characterized by the condition;*

T_C is a positive definite set theory and $\text{typ}(T_C)$ is not less than the type of any definite set theory.

For every definite set theory T , $A(T)$ may be considered as the subset of ω and $\text{typ}(T)$ is less than ω_1 . Therefore the characterization of T_C expressed in Prop. 7 is definable in T_C in the sense of [2], whence follows a contradiction from Theorem 1, II of [2].

DISCUSSION. Let $A(a)$ be a formula consisting only of logical symbols, the predicate \in , bound variables and a . A contradiction follows if we assume that,

- (1) if $A(a)$ then a is the set of all Gödel numbers of axioms of a certain positive definite set theory,
- (2) $A(\ulcorner T_C \urcorner)$,
- (3) there exists a maximal set theory T_0 (in the sense of embedding) such that $A(\ulcorner T_0 \urcorner)$.

This can be seen as follows: T_C can be embedded in T_C . That is, there exists a closed formula $\exists x \mathfrak{A}(x)$ such that, for every closed formula \mathfrak{B} ,

$$\mathfrak{B} \in T_C \Leftrightarrow \exists x(\mathfrak{A}(x) \wedge \mathfrak{B}^x) \in T_0.$$

Since T_0 can be definable in T_C by the property that it is the maximum, $\exists x(A(x) \wedge B^x) \in T_0$ is equivalent to

$$B(\ulcorner \exists x(\mathfrak{A}(x) \wedge \mathfrak{B}^x) \urcorner) \in T_C \quad \text{for some } B,$$

and this is equivalent to

$$\tilde{B}(\ulcorner \mathfrak{B} \urcorner) \in T_C \quad \text{for some } \tilde{B}.$$

From this we see that T_C is definable in T_C , which is a contradiction.

§ 3. Elementary properties of “embedding”.

PROPOSITION 8. *Let T be a definite set theory, which has a regular model. Then T cannot be embedded in T .*

PROOF. Suppose that T can be embedded in T itself. Then there exists a closed formula $\exists x\mathfrak{A}_0(x)$ satisfying the following condition.

- 1) $T \ni \exists x\mathfrak{A}_0(x)$, $T \ni \forall x\forall y(\mathfrak{A}_0(x) \wedge \mathfrak{A}_0(y) \vdash x=y)$ and
 $T \ni \forall x\forall y\forall z(\mathfrak{A}_0(x) \wedge y \in x \wedge z \in y \vdash z \in x)$.
- 2) For every closed formula \mathfrak{B} , $T \ni \mathfrak{B}$ is equivalent to $T \ni \exists x(\mathfrak{A}_0(x) \wedge \mathfrak{B}^x)$.

$\mathfrak{A}_{i+1}(x_{i+1})$ is defined to be

$$\exists x_i(\mathfrak{A}_0(x_i) \wedge x_{i+1} \in x_i \wedge \mathfrak{A}_i^{x_i}(x_{i+1})).$$

First we have

$$\begin{aligned} & \exists u\exists v(\mathfrak{A}_0(u) \wedge \mathfrak{A}_1(v) \wedge v \in u) \\ \Leftrightarrow & \exists u\exists v(\mathfrak{A}_0(u) \wedge \exists x(\mathfrak{A}_0(x) \wedge v \in x \wedge \mathfrak{A}_0^x(v)) \wedge v \in u) \\ \Leftrightarrow & \exists u\exists v\exists x(\mathfrak{A}_0(u) \wedge \mathfrak{A}_0(x) \wedge v \in x \wedge \mathfrak{A}_0^x(v) \wedge v \in u) \\ \Leftrightarrow & \exists x\exists v(\mathfrak{A}_0(x) \wedge v \in x \wedge \mathfrak{A}_0^x(v)) \\ \Leftrightarrow & \exists x(\mathfrak{A}_0(x) \wedge \exists v(v \in x \wedge \mathfrak{A}_0^x(v))) \\ \Leftrightarrow & \exists v\mathfrak{A}_0(v). \end{aligned}$$

Now we shall prove by the induction on $i+1$:

- 1) $\{x_{i+1}\}\mathfrak{A}_{i+1}(x_{i+1})$ is definite.
- 2) $\exists u\exists v(\mathfrak{A}_{i+1}(u) \wedge \mathfrak{A}_{i+2}(v) \wedge v \in u) \in T$.

$$\begin{aligned} & \exists x_{i+1}\mathfrak{A}_{i+1}(x_{i+1}) \\ \Leftrightarrow & \exists x_i(\mathfrak{A}_0(x_i) \wedge \exists x_{i+1}(x_{i+1} \in x_i \wedge \mathfrak{A}_i^{x_i}(x_{i+1}))) \\ \Leftrightarrow & \exists x_{i+1}\mathfrak{A}_i(x_{i+1}). \\ & \forall u\forall v(\mathfrak{A}_{i+1}(u) \wedge \mathfrak{A}_{i+1}(v) \vdash u=v) \\ \Leftrightarrow & \forall u\forall v(\exists x(\mathfrak{A}_0(x) \wedge u \in x \wedge \mathfrak{A}_i^x(u)) \wedge \exists x(\mathfrak{A}_0(x) \wedge v \in x \wedge \mathfrak{A}_i^x(v)) \vdash u=v) \\ \Leftrightarrow & \exists x(\mathfrak{A}_0(x) \wedge \forall u(u \in x \vdash \forall v(v \in x \vdash (\mathfrak{A}_i^x(u) \wedge \mathfrak{A}_i^x(v) \vdash u=v))) \\ \Leftrightarrow & \forall u\forall v(\mathfrak{A}_i(u) \wedge \mathfrak{A}_i(v) \vdash u=v). \\ & \exists u\exists v(\mathfrak{A}_{i+1}(u) \wedge \mathfrak{A}_{i+2}(v) \wedge v \in u) \\ \Leftrightarrow & \exists u\exists v(\exists x(\mathfrak{A}_0(x) \wedge u \in x \wedge \mathfrak{A}_i^x(u)) \wedge \exists x(\mathfrak{A}_0(x) \wedge v \in x \wedge \mathfrak{A}_{i+1}^x(v)) \wedge v \in u) \\ \Leftrightarrow & \exists x(\mathfrak{A}_0(x) \wedge \exists u(u \in x \wedge \exists v(v \in x \wedge \mathfrak{A}_i^x(u) \wedge \mathfrak{A}_{i+1}^x(v) \wedge v \in u))) \end{aligned}$$

$$\Leftrightarrow \exists u \exists v (\mathfrak{A}_i(u) \wedge \mathfrak{A}_{i+1}(v) \wedge v \in u).$$

a_i is defined to be $(\{x\}\mathfrak{A}_i(x))$. Clearly $a_i \in A(T)$ and $a_{i+1} \in {}^*T a_i$ in contradiction to the regularity of $\langle A(T), \in {}^*T \rangle$.

PROPOSITION 9. *Let T_1, T_2 and T_3 be set theories. If T_1 can be embedded in T_2 and T_2 can be embedded in T_3 , then T_1 can be embedded in T_3 .*

PROOF. We may assume

- 1) $\{x\}\mathfrak{A}(x)$ is definite in T_2 and
 $\forall x \forall y \forall z (\mathfrak{A}(x) \wedge y \in x \wedge z \in y \vdash z \in x) \in T_2.$
- 2) $\{x\}\mathfrak{B}(x)$ is definite in T_3 and
 $\forall x \forall y \forall z (\mathfrak{B}(x) \wedge y \in x \wedge z \in y \vdash z \in x) \in T_3.$
- 3) $T_1 \ni \mathfrak{C} \Leftrightarrow T_2 \ni \exists x (\mathfrak{A}(x) \wedge \mathfrak{C}^x)$ and
 $T_2 \ni \mathfrak{C} \Leftrightarrow T_3 \ni \exists x (\mathfrak{B}(x) \wedge \mathfrak{C}^x).$

We have

$$\begin{aligned} T_1 \ni \mathfrak{C} &\Leftrightarrow T_2 \ni \exists y (\mathfrak{A}(y) \wedge \mathfrak{C}^y) \\ &\Leftrightarrow T_3 \ni \exists x (\mathfrak{B}(x) \wedge \exists y (y \in x \wedge \mathfrak{A}^x(y) \wedge (\mathfrak{C}^y)^x)) \\ &\Leftrightarrow T_3 \ni \exists x (\mathfrak{B}(x) \wedge \exists y (y \in x \wedge \mathfrak{A}^x(y) \wedge \mathfrak{C}^y)) \\ &\Leftrightarrow T_3 \ni \exists y (\exists x (\mathfrak{B}(x) \wedge y \in x \wedge \mathfrak{A}^x(y)) \wedge \mathfrak{C}^y). \end{aligned}$$

$\tilde{\mathfrak{B}}(y)$ is defined to be $\exists x (\mathfrak{B}(x) \wedge y \in x \wedge \mathfrak{A}^x(y))$. Then

$$\begin{aligned} \exists y \tilde{\mathfrak{B}}(y) \in T_3 &\Leftrightarrow \exists x (\mathfrak{B}(x) \wedge \exists y (y \in x \wedge \mathfrak{A}^x(y))) \in T_3 \\ &\Leftrightarrow \exists y \mathfrak{A}(y) \in T_2. \end{aligned}$$

$$\begin{aligned} \forall u \forall v (\tilde{\mathfrak{B}}(u) \wedge \tilde{\mathfrak{B}}(v) \vdash u = v) &\in T_3 \\ \Leftrightarrow \forall u \forall v (\exists x (\mathfrak{B}(x) \wedge u \in x \wedge \mathfrak{A}^x(u)) \wedge \exists x (\mathfrak{B}(x) \wedge v \in x \wedge \mathfrak{A}^x(v)) \vdash u = v) &\in T_3 \\ \Leftrightarrow \exists x (\mathfrak{B}(x) \wedge \forall u (u \in x \vdash \forall v (v \in x \vdash (\mathfrak{A}^x(u) \wedge \mathfrak{A}^x(v) \vdash u = v))) &\in T_3 \\ \Leftrightarrow \forall u \forall v (\mathfrak{A}(u) \wedge \mathfrak{A}(v) \vdash u = v) &\in T_2. \end{aligned}$$

$$\begin{aligned} \forall y \forall u \forall v (\tilde{\mathfrak{B}}(y) \wedge u \in y \wedge v \in u \vdash v \in y) &\in T_3 \\ \Leftrightarrow \forall y \forall u \forall v (\exists x (\mathfrak{B}(x) \wedge y \in x \wedge \mathfrak{A}^x(y)) \wedge u \in y \wedge v \in u \vdash v \in y) &\in T_3 \\ \Leftrightarrow \exists x (\mathfrak{B}(x) \wedge \forall y (y \in x \vdash \forall u \forall v (u \in y \wedge v \in u \wedge \mathfrak{A}(y) \vdash v \in y))) &\in T_3 \\ \Leftrightarrow \forall y \forall u \forall v (\mathfrak{A}(y) \wedge u \in y \wedge v \in u \vdash v \in y) &\in T_2. \end{aligned}$$

PROPOSITION 10. *Let T_1 and T_2 be positive definite set theories. If T_1 is embedded in T_2 , then $\text{typ}(T_1) < \text{typ}(T_2)$.*

PROOF. This follows from Props. 6, 8 and 9.

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References

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