

## Local theory of rings of operators I.

By Tamio ONO

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The theory of rings of operators founded by F. J. Murray and J. v. Neumann [1], [2], [3], [4] was extended from the case of factors to general rings of operators by J. Dixmier [5], I. Kaplansky [6], I. E. Segal [7], and others. In particular, the notions of finiteness, and types I, II etc. of general operator algebras and of the trace of elements of these algebras were defined and investigated by these authors. The aim of this paper is to reestablish and generalize some results of these authors from a unified standpoint by introducing the notion of "local properties" of systems of elements of operator algebras.

We shall explain in §1 what we mean by "local" and "global" properties of systems of elements of a  $B^*$ -algebra, and study mutual relations between them.

In §2 we refer to some general theorems as preliminaries to §§3, 4. These are mostly known results, but we give also proofs for completeness' sake. Especially the results on "natural supporters" as named by Ti. Yen [8] after the idea of Dixmier [5], are given here for arbitrary  $AW^*$ -algebras, whereas Dixmier [5] introduced them in case of finite  $W^*$ -algebras and Ti. Yen considered them only in case of finite  $AW^*$ -algebras.

In §3, we shall develop a "local theory" of  $AW^*$ -algebras. We shall first reestablish an important theorem of Kaplansky [6] on the equivalence between projections in  $AW^*$ -algebras as Proposition 3.5, and obtain finally a "decomposition theorem" as Proposition 3.10. The method of "localization" will turn out to be very useful in the course of this §.

Finally we shall deal with the trace in §4. This concept was introduced by F. J. Murray - J. von Neumann [1], [2] in finite factors, and investigated further by J. Dixmier [5] in case of finite  $W^*$ -algebras, by Ti. Yen [8] and M. Goldman [9] in case of finite  $AW^*$ -algebras. We shall obtain a necessary and sufficient condition for the existence of "local trace" in finite  $AW^*$ -algebras (Proposition 4.1.) and some sufficient conditions for the existence of trace in these algebras (Theorems 4.1, 4.2, 4.3).

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### § 1. Global properties and local properties.

Let  $R$  be a  $B^*$ -algebra with a unit 1, that is to say, a Banach algebra over the complex number field with a unit 1 and an involution  $*$  satisfying following conditions:

- (1.1)  $x^{**} = x$ ,
- (1.2)  $(\alpha x)^* = \bar{\alpha} x^*$  ( $\bar{\alpha}$  = the conjugate complex number of  $\alpha$ ),
- (1.3)  $(x+y)^* = x^* + y^*$ ,
- (1.4)  $(xy)^* = y^* x^*$ ,
- (1.5)  $\|x^* x\| = \|x\|^2$ .

We denote by  $R_0$  the center of  $R$  and by  $\mathcal{Q}$  the spectrum of  $R_0$ , which is a compact Hausdorff space by the usual topology  $\sigma(R_0, \mathcal{Q})$ . It is well known that  $R_0$  is isometric and isomorphic to  $C(\mathcal{Q})$ , the  $B^*$ -algebra of continuous functions on  $\mathcal{Q}$  by a theorem of I. Gelfand and M.H. Stone. We identify  $R_0$  with  $C(\mathcal{Q})$  by the canonical isomorphism from  $R_0$  onto  $C(\mathcal{Q})$ . We write  $\lambda(a_0)$  instead of  $a_0(\lambda)$  for  $a_0 \in R_0$  and  $\lambda \in \mathcal{Q}$ . An element  $e$  of  $R$  is called a *projection* if we have  $e = e^* = e^2$ . We denote by  $E_0$  the set of projections of  $R_0$ , which forms a Boolean lattice if we define the semi-order  $e_{01} \leq e_{02}$ , ( $e_{01}, e_{02} \in E_0$ ) by  $\lambda(e_{01}) \leq \lambda(e_{02})$  for any  $\lambda \in \mathcal{Q}$ . As to  $E_0$ , we shall assume that the following condition is satisfied:

- (1.6)  $R_0$  is generated by  $E_0$ .

A point of  $\mathcal{Q}$  is called a *spectre* of  $R$ . For any spectre  $\lambda$  of  $R$ , we denote by  $E_0(\lambda)$  the set of projections  $e_0$ 's of  $E_0$  with  $\lambda(e_0) = 1$ . Then,  $E_0(\lambda)$  forms the set of characteristic functions of a basis of neighbourhoods at  $\lambda$ .

Let  $(L)$  be a property concerned with a system  $\alpha = (a_i; i \in I)$  of some elements of  $R$ , where  $I$  is a set of indices depending on  $(L)$ . We denote by  $E_0(\alpha, (L))$  (or briefly by  $E_0(\alpha)$ ) the set of projections  $e_0$ 's of  $E_0$  such that  $e_0 \alpha = (e_0 a_i; i \in I)$  has the property  $(L)$ . A property  $(L)$  is called *global*, if, for any system  $\alpha$  of elements of  $R$ ,  $E_0(\alpha)$  forms an ideal of  $E_0$ , that is to say, a non-empty subset of  $E_0$  containing  $e_{01} \cup e_{02}, e_{01} \cap e_{02}'$  with  $e_{01}$  and  $e_{02}$  for any  $e_{02}'$  of  $E_0$ . A property  $(L)_\lambda$  is called *local* with respect to a spectre  $\lambda$  of  $R$ , if, for any system  $\alpha$  of elements of  $R$ , it holds that  $E_0(\alpha, (L)_\lambda) = E_0$  or  $E_0(\lambda)^c$  (the complement of  $E_0(\lambda)$  in  $E_0$ ). For any global property  $(L)$  and for any spectre  $\lambda$  of  $R$ , we denote by  $(L)_\lambda$  the local property with respect to  $\lambda$ , which  $\alpha$  has if and only if  $E_0(\lambda) \cap E_0(\alpha) \neq \phi$  (we shall denote with  $\phi$  the empty set). We call  $(L)_\lambda$  the local property corresponding to  $(L)$  with respect to  $\lambda$ , and

denote by  $\eta(L)$  the system  $((L)_\lambda; \lambda \in \mathcal{Q})$  of local properties corresponding to  $(L)$ .

The following series of propositions play an essential role in our investigations.

**PROPOSITION 1.1.**  *$\alpha$  has a global property  $(L)$  if and only if  $\alpha$  has  $(L)_\lambda$  for any spectre  $\lambda$  of  $R$ .*

**PROOF.** Necessity. If  $\alpha$  has  $(L)$ , we have  $E_0(\alpha) = E_0$ , and hence  $E_0(\lambda) \cap E_0(\alpha) \neq \phi$ . This means that  $\alpha$  has  $(L)_\lambda$  for any spectre  $\lambda$  of  $R$ . Sufficiency. For any spectre  $\lambda$  of  $R$ , there exists a projection  $e_0(\lambda)$  of  $E_0(\lambda)$  with  $e_0(\lambda) \in E_0(\alpha)$ . Since  $\mathcal{Q}$  is compact, we have  $1 = e_0(\lambda_1) \cup e_0(\lambda_2) \cup \dots \cup e_0(\lambda_n)$  for some spectres  $\lambda_1, \lambda_2, \dots, \lambda_n$  of  $R$  with  $e_0(\lambda_i) \in E_0(\alpha)$  ( $1 \leq i \leq n$ ). Since  $E_0(\alpha)$  is an ideal of  $E_0$ , we get  $1 \in E_0(\alpha)$ . This means that  $\alpha$  has  $(L)$ . q. e. d.

For any system  $((L_\lambda); \lambda \in \mathcal{Q})$  of local properties, we denote by  $\eta'((L_\lambda); \lambda \in \mathcal{Q})$  the logical product of local properties of  $((L_\lambda); \lambda \in \mathcal{Q})$ , that is to say, the property, which  $\alpha$  has if and only if  $\alpha$  has  $(L_\lambda)$  for any spectre  $\lambda$  of  $R$ . Then, we have

**PROPOSITION 1.2.**  *$\eta'((L_\lambda); \lambda \in \mathcal{Q})$  is a global property.*

**PROOF.** Let  $\mathcal{Q}'$  be the set of spectres  $\mu$ 's of  $R$ , for which  $\alpha$  has not  $(L_\mu)$  and let  $(L)$  be  $\eta'((L_\lambda); \lambda \in \mathcal{Q})$ . Then, we have  $E_0(\alpha, (L)) = \bigcap (E_0(\mu)^c; \mu \in \mathcal{Q}')$ , where the intersection means  $E_0$  if  $\mathcal{Q}'$  is an empty set. Hence,  $E_0(\alpha, (L))$  is an ideal of  $E_0$ . q. e. d.

A system  $((L_\lambda); \lambda \in \mathcal{Q})$  of local properties is called *closed* if  $\mathcal{Q}' (= \{\mu; \alpha \text{ has not } (L_\mu)\})$  is a closed subspace of  $\mathcal{Q}$  for any system  $\alpha$  of elements of  $R$ . Then, we have

**PROPOSITION 1.3.** *For a system  $((L_\lambda); \lambda \in \mathcal{Q})$  of local properties, it holds  $(L)_\lambda = (\eta'((L_\lambda); \lambda \in \mathcal{Q}))_\lambda$  for any spectre  $\lambda$  of  $R$  if and only if the system  $((L_\lambda); \lambda \in \mathcal{Q})$  of local properties is closed.*

**PROOF.** Necessity. For a spectre  $\lambda$  of  $R$  with  $\lambda \in \mathcal{Q}'$ , it holds that  $\alpha$  has  $(\eta'((L_\lambda); \lambda \in \mathcal{Q}))_\lambda$  by hypothesis, that is,  $E_0(\lambda) \cap (\bigcap (E_0(\mu)^c; \mu \in \mathcal{Q}')) \neq \phi$ . Hence, we may find a characteristic function  $e_0(\lambda)$  of a neighbourhood of  $\lambda$  separating  $\lambda$  and  $\mathcal{Q}'$ . This means that  $\mathcal{Q}'$  is closed. Sufficiency. If  $\alpha$  has not  $(L)_\lambda$ , then we have  $\lambda \in \mathcal{Q}'$ . Hence, we have  $E_0(\lambda) \cap (\bigcap (E_0(\mu)^c; \mu \in \mathcal{Q}')) = \phi$ . This means that  $\alpha$  has not  $(\eta'((L_\lambda); \lambda \in \mathcal{Q}))_\lambda$ . Conversely, if  $\alpha$  has  $(L)_\lambda$ , then we have  $\lambda \notin \mathcal{Q}'$ . Since  $\mathcal{Q}'$  is closed, we may find a characteristic function  $e_0(\lambda)$  ( $\in E_0(\lambda)$ ) of a neighbourhood of  $\lambda$  separating  $\mathcal{Q}'$ . This means that it holds  $E_0(\lambda) \cap (\bigcap (E_0(\mu)^c; \mu \in \mathcal{Q}')) \neq \phi$ . Thus,  $\alpha$  has  $(\eta'((L_\lambda); \lambda \in \mathcal{Q}))_\lambda$ . q. e. d.

We write  $0$  instead of  $\alpha = (a_i; i \in I)$  if each  $a_i$  of  $\alpha$  is  $0$ . Then, the property " $\alpha = 0$ " is a global property. We denote it by  $(\phi)$ . For a local property  $(L)_\lambda$  with respect to a spectre  $\lambda$  of  $R$ , we denote by  $(L)_\lambda^c$  the property, which  $\alpha$  has if and only if  $\alpha$  has  $(\phi)_\lambda$  or has not  $(L)_\lambda$ . Then, we have

**PROPOSITION 1.4.** *The property  $(L)_\lambda^c$  is a local property with respect to  $\lambda$ .*

PROOF. If  $a$  has  $(L)_\lambda$ , we have  $E_0(a, (L)_\lambda) = E_0(a, (\phi)_\lambda) = E_0$  or  $E_0(\lambda)^c$ . On the other hand, if  $a$  has not  $(L)_\lambda$ ,  $e_0(\lambda)a$  has not  $(L)_\lambda$  for any  $e_0(\lambda)$  of  $E_0(\lambda)$ . Hence, we have  $E_0(a, (L)_\lambda) \cong E_0(\lambda)$ . Since  $a$  has not  $(L)_\lambda$ ,  $a$  has not  $(\phi)_\lambda$ , that is,  $E_0(a, (\phi)_\lambda) = E_0(\lambda)^c$ . Thus, we have  $E_0(a, (L)_\lambda) \cong E_0(\lambda) \cup E_0(\lambda)^c = E_0$ . q. e. d.

This local property  $(L)_\lambda^c$  is called the *negation of  $(L)_\lambda$* . Similarly, for a global property  $(L)$ , we denote by  $(L)^c$  the logical product of the system  $((L)_\lambda)^c; \lambda \in \mathcal{Q}$  of local properties. This global property  $(L)^c$  is called the *negation of  $(L)$* .

A global property  $(L)$  is called *normal*, if, for any system  $a$  of elements of  $R$ ,  $E_0(a, (L))$  is a principal ideal of  $E_0$ , that is to say, an ideal such as  $e_0E_0$  of  $E_0$  for some projection  $e_0$  of  $E_0$ . We denote  $e_0$  by  $e_0(a, (L))$ . In the following investigation, we shall add the following assumption

(1.7)  $(\phi)$  is normal.

Under the assumption (1.7), we have the following

PROPOSITION 1.5. *It holds  $((L)_\lambda)^c = ((L)^c)_\lambda$  for any spectre  $\lambda$  of  $R$  if and only if  $(L)$  is normal.*

PROOF. Necessity. Since we have  $((L)_\lambda)^c = ((L)^c)_\lambda$  for any spectre  $\lambda$  of  $R$ , the system  $((L)_\lambda)^c; \lambda \in \mathcal{Q}$  of local properties is closed by Prop. 1.3. On the other hand,  $(\mu; a$  has not  $((L)_\mu)^c) = (\mu; a$  has  $(L)_\mu) \cap (\mu; a$  has  $(\phi)_\mu)^c$  is open by (1.7) and by the definition of  $(L)_\mu$ . Hence, we may find a projection  $e_0$  of  $E_0$ , which is the characteristic function of  $(\mu; a$  has not  $((L)_\mu)^c)$ . Thus, we have  $E_0(a, (L)) = (e_0 \oplus e_0(a, (\phi)))E_0$ . (We used here and shall use hereafter the notation  $e_1 \oplus e_2$  instead of  $e_1 + e_2$  if  $e_1e_2 = 0$ .) Sufficiency. Since  $(\mu; a$  has not  $((L)_\mu)^c) = (\mu; a$  has  $(L)_\mu) \cap (\mu; a$  has  $(\phi)_\mu)^c$  is closed, we have  $((L)_\lambda)^c = ((L)^c)_\lambda$  for any spectre  $\lambda$  of  $R$  by Prop. 1.3. q. e. d.

The negation  $(L)^c$  is normal with  $(L)$  if and only if we have (1.7). In fact, we have  $E_0(a, (L)^c) = E_0(a, (L))' \oplus E_0(a, (\phi))$  (the direct sum of  $E_0(a, (L))'$  and  $E_0(a, (\phi))$  as Boolean lattices). Here, we denote by  $E_0(a, (L))'$  the set of projections  $e_0$ 's of  $E_0$  such that  $e_0E_0(a, (L)) = (0)$ . Moreover, under (1.7), we have  $(L)^{cc} = (L)$  if  $(L)$  is normal. In fact, it holds that  $((L)^{cc})_\lambda = ((L)_\lambda)^{cc} = (L)_\lambda$  by Prop. 1.5. Hence, we have  $(L)^{cc} = (L)$  by Prop. 1.1.

For example, the property " $a=b$ " is a global property which concerns two elements  $a, b$  of  $R$ . The local property " $a=_\lambda b$ " corresponding to " $a=b$ " with respect to a spectre  $\lambda$  of  $R$  is defined by the existence of a projection  $e_0(\lambda)$  of  $E_0(\lambda)$  with " $e_0(\lambda)a = e_0(\lambda)b$ ". On the other hand, we may define " $a=b$ " by  $\|a-b\| \leq \varepsilon$  for any positive number  $\varepsilon$ , whose local property with respect to  $\lambda$  is defined by the existence of a projection  $e_0(\lambda)$  of  $E_0(\lambda)$  with  $\|e_0(\lambda)(a-b)\| \leq \varepsilon$  for any positive number  $\varepsilon$ . Thus, two local properties corresponding to the same global property with respect to the same spectre of  $R$  is not always equivalent to each other according to the mode of the expression

of the definition of the global property. In this paper, we shall use the former as the definition of " $a=b$ ".

## §2. Preliminary results.

Let  $R$  be an  $AW^*$ -algebra, that is to say, a  $B^*$ -algebra satisfying the following conditions:

(2.1) Any orthogonal system of projections of  $R$  has a supremum in the set of projections of  $R$  with respect to the semi-order  $e_1 \leq e_2$  ( $e_1, e_2$  being projections of  $R$ ) defined by  $e_1 e_2 = e_1$ , where two elements  $a, b$  of  $R$  are called *orthogonal* to each other if it holds  $a^* b = b a^* = 0$ ,

(2.2) Any maximal commutative subalgebra of  $R$  is generated by projections in it, where two elements  $a, b$  of  $R$  is called *commutative* with each other if it holds that  $ab = ba$  and  $a^* b = b a^*$ .

This algebra was introduced by I. Kaplansky [6]. For the sake of completeness, we shall sketch the proofs of results obtained by C. E. Rickart [10], I. Kaplansky [6], and Ti. Yen [8]. We denote by  $E$  the set of projections of  $R$  and by  $U$  the set of *partial isometries* of  $R$ , that is to say, the set of elements  $u$ 's of  $R$  such that  $u^* u$  is a projection of  $R$ . We notice that  $u u^*$  is a projection of  $R$  if  $u$  is a partial isometry of  $R$ .

LEMMA 2.1. *In a  $B^*$ -algebra, (2.1) is equivalent to*

(2.3) *Any chain of  $E$ , that is, any linearly ordered subset of  $E$ , has a supremum in  $E$ .*

PROOF. (2.3) implies (2.1). In fact, let  $E_1$  be an orthogonal system of projections of a  $B^*$ -algebra. We shall show that  $E_1$  has a supremum in the set  $E$  of projections of the  $B^*$ -algebra. We denote by  $\mathfrak{F}$  the family of subsets  $E_2$ 's of  $E_1$  having a supremum in  $E$ . Obviously,  $\mathfrak{F}$  is non-empty. Moreover, from (2.3) it follows that  $\mathfrak{F}$  is an inductively ordered set with respect to the inclusion semi-order. Hence, by Zorn's lemma, there exists a maximal subset  $E_3$  of  $E_1$  in  $\mathfrak{F}$  with respect to this semi-order. It is easy to see that  $E_3$  coincides with  $E_1$ .

(2.1) implies (2.3). First, we shall prove that, if a projection  $e'$  is commutative with each element of an orthogonal system  $E_1$  of projections, then  $e'$  is commutative also with its supremum  $e_1$  under the assumption (2.1). We denote by  $e_2$  the supremum of  $(e' e_i; e_i \in E_1)$  and by  $e_3$  that of  $((1 - e') e_i; e_i \in E_1)$ . Then, we have  $e_2 \leq e', e_3 \leq 1 - e'$ , and  $e_1 = e_2 \oplus e_3$ . Hence,  $e' e_1 = e_2$  and thus  $e' e_1 = e_1 e'$ . Next, we shall prove that any commutative system  $E_1$  of projections has a supremum in  $E$  under the assumption (2.1). We denote by  $E_2$  the set of projections  $e$ 's such that  $e \leq e_i$  for some  $e_i \in E_1$  and that  $e$  is commutative with each projection of a commutative system  $E_3$  of projections

containing  $E_1$ . (There is no need to introduce  $E_3$  here, but we do so in order to prove the corollary below by a method without large charge.) Moreover, we denote by  $E_4$  a maximal orthogonal system in  $E_2$ , whose supremum we denote by  $e$ . Then, we have  $e_i \leq e$  for any  $e_i \in E_1$ . In fact  $e_i(1-e)$  is orthogonal to each projection of  $E_4$  and commutative with each projection of  $E_3$  and hence  $e_i(1-e)=0$ . On the other hand, if  $e_i \leq e'$  for any  $e_i \in E_1$ , then we have  $e_i e' \leq e'$  for any  $e_i \in E_1$  and hence  $e \leq e'$ . Thus, we have  $e = \sup(e_i; e_i \in E_1)$ . q. e. d.

**COROLLARY.** *If a projection  $e_4$  of  $R$  is commutative with each projection of a commutative system of  $E$ , then it is commutative also with the supremum of the system.*

**PROOF.** Under the same notation as in the proof of Lemma 2.1, we take the commutative system consisting of  $e_4$  and  $E_1$  as  $E_3$ . Then, we get the assertion by the proof of Lemma 2.1. q. e. d.

**PROPOSITION 2.1.**  *$R$  has a unit (denoted by 1).*

**PROOF.** There exists a maximal orthogonal system  $E_1$  of  $E$ , whose supremum we denote by  $e$ . Then, we have  $ae=a$  for any element  $a$  of  $R$ . For, otherwise, we could find an element  $a$  of  $R$  with  $a-ae \neq 0$ . We denote  $(a-ae)^*(a-ae)$  by  $h$ . Then, we have  $h \neq 0$  and  $eh=he=0$ . Let  $A$  be a maximal commutative subalgebra of  $R$  containing  $h$  and  $e$ . Then, for any natural number  $n$ , there exists an orthogonal system  $(e_\nu^{(n)}; 1 \leq \nu \leq k_n)$  of projections of  $A$  and real numbers  $(\alpha_\nu^{(n)}; 1 \leq \nu \leq k_n)$  such that  $\|h - \sum_{\nu=1}^{k_n} \alpha_\nu^{(n)} e_\nu^{(n)}\| \leq 1/n$ . If  $ee_\nu^{(n)} \neq 0$  for any  $n$  and any  $\nu$ , we have  $\|\sum_{\nu=1}^{k_n} \alpha_\nu^{(n)} ee_\nu^{(n)}\| \leq 1/n$ , from which follows  $|\alpha_\nu^{(n)}| \leq 1/n$ , ( $1 \leq \nu \leq k_n$ ). Hence, we have  $\|h\| \leq 2/n$ , which leads to a contradiction. Thus, we have  $ee_\nu^{(n)}=0$  for some  $n$  and some  $\nu$ . But this contradicts the maximality of  $E_1$ . Hence, we obtain  $ae=a$  for any element  $a$  of  $R$ . On the other hand, we have  $a^*=(a^*)^*=(a^*e)^*=ea$ . Thus,  $R$  has a unit  $e$ . q. e. d.

An element  $h$  of  $R$  with  $h=h^*$  is called *hermitian*. We denote by  $N$  the set of hermitian elements of  $R$ . By virtue of M. Fukamiya [11], J. L. Kelley-R. L. Vaught [12], and I. Kaplansky [13] (cf. J. A. Schatz' review [14]), it is known that the set of hermitian elements of a  $B^*$ -algebra with a unit 1 forms a semi-ordered set with respect to a semi-order  $h \geq 0$  defined by the following mutually equivalent conditions:

(2.4) Any spectrum of  $h$  is positive,

(2.5)  $h=k^2$ , for some hermitian element  $k$  of  $R$ ,

(2.6)  $\|\alpha-h\| \leq \alpha$  for sufficiently large positive number  $\alpha$ ,

and with respect to this semi-order it holds that

(2.7)  $a^*a \geq 0$  for any element  $a$  of  $R$ .

We can easily see that the semi-order of  $E$  stated in (2.1) coincides with

that of  $N$  reduced to  $E$ .

Let  $A$  be a maximal commutative subalgebra of  $R$  and  $N_A$  be the set of hermitian elements of  $A$ . We say that an element  $h$  of  $N$  has a *resolution of the unit in  $N_A$* , if there exists a system  $(e_\alpha; -\infty < \alpha < \infty)$  of projections of  $N_A$  satisfying (1)  $e_c = 1, e_{-c} = 0$  for a sufficiently large positive number  $c$ , (2)  $e_\alpha \leq e_\beta$  for  $\alpha \leq \beta$ , (3)  $\lim_{\beta \downarrow \alpha} e_\beta = e_\alpha$ , and

$$(2.8) \quad h = \int_{-\infty}^{\infty} \alpha de_\alpha.$$

LEMMA 2.2. *If each of  $h, k$  of  $N_A$  has a resolution of the unit in  $N_A$ , then*

$$(2.9) \quad h \leq k \text{ holds if and only if } e_\alpha(h) \geq e_\alpha(k) \text{ for any real number } \alpha.$$

PROOF. Necessity. We denote by  $(e_\alpha(h); -\infty < \alpha < \infty)$  a resolution of the unit of an hermitian element  $h$  of  $R$  if  $h$  has a resolution of the unit. We may assume without loss of generality that we have  $0 \leq h \leq k$ . We denote  $1-e$  by  $e^c$  for any projection  $e$  of  $N$ . Then, we have  $\beta e_\beta(h)^c \leq k$ , from which follows  $\beta e_\beta(h) \geq \beta - k$  and hence  $\beta e_\beta(h) e_\alpha(k) \geq (\beta - \alpha) e_\alpha(k)$  for  $\beta > \alpha \geq 0$ . Thus, we have  $e_\beta(h) \geq e_\alpha(k)$ . Making  $\beta \downarrow \alpha$ , we have  $e_\alpha(h) \geq e_\alpha(k)$ . Sufficiency. First we shall prove that, for any element  $h$  of  $N_A$  not being  $h \geq 0$ , there exists a projection  $e$  of  $N$  with  $eh \geq 0$  and  $eh \neq 0$ . In fact, under the same notation as in the proof of Prop. 2.1, we obtain  $1/n + \sum_{\nu=1}^{k_n} \alpha_\nu^{(n)} e_\nu^{(n)} \geq h \geq -1/n + \sum_{\nu=1}^{k_n} \alpha_\nu^{(n)} e_\nu^{(n)}$ , and here we have not always  $1/n \geq \alpha_\nu^{(n)}$ , for, otherwise, we would have  $h \leq 0$ . Thus, for some  $n$  and  $\nu$ ,  $\alpha_\nu^{(n)} - 1/n > 0$ , so  $e_\nu^{(n)} h \geq (\alpha_\nu^{(n)} - 1/n) e_\nu^{(n)} > 0$  and  $e_\nu^{(n)} h \neq 0$ . Next, we assume that  $e_\alpha(h) \geq e_\alpha(k)$  for any real number  $\alpha$  and that  $h \leq k$  does not hold. Then, we may find a projection  $e$  of  $N_A$  with  $eh \geq ek$  and  $eh \neq ek$ . Since each of  $eh, ek$  has also a resolution of the unit, we have  $ee_\alpha(h) \leq ee_\alpha(k)$ . On the other hand, we see  $ee_\alpha(h) \geq ee_\alpha(k)$  from  $e_\alpha(h) \geq e_\alpha(k)$ . Thus, we get  $ee_\alpha(h) = ee_\alpha(k)$  for any real number  $\alpha$ , that is,  $eh = ek$ . This leads to a contradiction. Therefore, if  $e_\alpha(h) \geq e_\alpha(k)$  for any  $\alpha$ , then we have  $h \leq k$ . q. e. d.

LEMMA 2.3. *Any upper-bounded system  $(h_i; i \in I)$  of  $N_A$ , whose member has a resolution of the unit in  $N_A$ , has a supremum with a resolution of the unit in  $N_A$ .*

PROOF. We denote by  $(e_\alpha(h_i); -\infty < \alpha < \infty)$  a resolution of the unit of  $h_i$ . Then,  $(e_\alpha(h_i); i \in I)$  forms a commutative system of projections of  $N_A$ . We put  $e_\alpha' = \inf (e_\alpha(h_i); i \in I)$ . By the Corollary of Lemma 2.1,  $e_\alpha'$  is a projection of  $N_A$ . We put  $\inf_{\beta \downarrow \alpha} e_\beta' = e_\alpha$ . Then,  $(e_\alpha; -\infty < \alpha < \infty)$  forms a resolution

of the unit of an element  $h$  ( $h = \int_{-\infty}^{\infty} \alpha de_\alpha$ ). It can be proved that  $h$  is a supremum of  $(h_i; i \in I)$ . In fact, we have  $h_i \leq h$  from  $e_\alpha(h_i) \geq e_\alpha(h)$  for any real number  $\alpha$ . On the other hand, if  $h_i \leq k$  for any  $i \in I$ , there exist, for any natural number  $n$ , projections  $(e_\nu^{(n)}; 1 \leq \nu \leq k_n)$  and real numbers  $(\alpha_\nu^{(n)};$

$1 \leq \nu \leq k_n$ ) such that  $\|k - k^{(n)} + 1/n\| < 1/n$  with  $k^{(n)} = 1/n + \sum_{\nu=1}^{k_n} \alpha_\nu^{(n)} e_\nu^{(n)}$ , which has a resolution of the unit (denoted by  $(e_\alpha(k^{(n)}); -\infty < \alpha < \infty)$ ). Since  $h_i \leq k^{(n)}$ , we have from Lemma 2.2  $e_\alpha(h_i) \geq e_\alpha(k^{(n)})$  and hence  $e_\alpha(h) \geq e_\alpha(k^{(n)})$ . Thus, it follows  $h \leq k_n$ . Making  $n \rightarrow \infty$ , we get  $h \leq k$ . q. e. d.

We say that an element  $h$  of  $N$  has a resolution of the unit if there exists a system  $(e_\alpha(h); -\infty < \alpha < \infty)$  of projections of  $N$  satisfying (1)-(3) and (2.8) as before. Then, we have the so-called spectral theorem as follows.

PROPOSITION 2.2. Any element of  $N$  has a unique resolution of the unit.

PROOF. Existence. Let  $k$  be an element of  $N$  and let  $A$  be a maximal commutative subalgebra of  $R$  containing  $k$ . Then, under the same notation as in the proof of Lemma 2.3, it holds that  $k = \lim_{n \rightarrow \infty} k^{(n)}$  ( $= \inf(\sup(k^{(\nu)}; \nu \geq n); 1 \leq n < \infty)$ ) and  $\sup(k^{(n)}; n \leq \nu \leq m)$  has a resolution of the unit in  $N_A$ . Thus, by Lemma 2.3,  $k$  has a resolution of the unit (in  $N_A$ ). Uniqueness. We denote by  $(k)'$  the set of elements of  $R$  commutative with  $k$  and by  $(k)''$  the set of elements of  $R$  commutative with each element of  $(k)'$ . Then, the uniqueness and  $e_\alpha(k) \in (k)''$  are proved by a similar argument as in the proof of the spectral theorem of hermitian operators on a Hilbert space. q. e. d.

The following proposition is an immediate consequence of Lemma 2.3 and Prop. 2.2.

PROPOSITION 2.3. In any maximal commutative subalgebra  $A$  of  $R$ , every upper-bounded system of hermitian elements has a supremum in  $A$ .

For any element  $a$  of  $R$ , we denote  $e_0(a^*a)^c (= 1 - e_0(a^*a))$  by  $e_*(a)$  and  $e_*(a^*)$  by  $e(a)$ , where  $(e_\alpha(a^*a); -\infty < \alpha < \infty)$  is the resolution of the unit of  $a^*a$ . After C. E. Rickart [10] and I. Kaplansky [6], we call  $e_*(a)$  the initial projection of  $a$  and  $e(a)$  the final projection of  $a$ . We say that a projection  $e$  fixes an element  $a$  from right side if  $ae = a$ .

LEMMA 2.4.  $e_*(a)$  is the minimal projection fixing  $a$  from right side.

PROOF. Since  $e_*(a)^c(a^*ae_*(a)^c) = 0$ , we have  $ae_*(a)^c = 0$ . Hence, we have  $ae_*(a) = a$ . On the other hand, if  $ae = a$  for a projection  $e$  of  $R$ , we get  $ae^c = 0$  and hence  $a^*ae^c = 0$ . By easy computation, it follows that  $e_\alpha(a^*a)^c e^c = 0$  for  $\alpha > 0$ . Making  $\alpha \downarrow 0$ , we get  $e_*(a)e^c = 0$  and so  $e_*(a) \geq e$ . q. e. d.

PROPOSITION 2.4. For any system  $F$  of elements of  $R$ , there exists the minimal projection fixing each element of  $F$  from right side.

PROOF. We denote by  $E_1$  a maximal orthogonal system of projections  $e$ 's of  $R$  with  $ae = 0$  for any  $a \in F$  and by  $e_1$  its supremum, which satisfies  $ae_1 = 0$  for any  $a \in F$ . If  $ae = 0$  for any  $a \in F$ , then  $e(e - e_1e)$  (the final projection of  $e - e_1e$ ) is orthogonal to  $e_1$  and  $ae(e - e_1e) = 0$  for any  $a \in F$ . Hence,  $e(e - e_1e) = 0$ , that is,  $e \leq e_1$ . Thus,  $e_1^c$  is the projection in question. q. e. d.



We denote by  $e_*(F)$  the minimal projection fixing each element of  $F$  from right side and by  $e(F)$  that from left side. It is obvious that  $e(F) = e_*(F^*)$ , where  $F^* = (a^*; a \in F)$ . As a corollary of Prop. 2.4, we have (cf. I. Kaplansky [6])

PROPOSITION 2.5. *The set  $E$  of projections of  $R$  forms a complete lattice.*

As to  $e(a)$  and  $e(F)$ , we have the following

LEMMA 2.5. *It holds that*

$$(2.9) \quad e(ab) = e(ae(b)),$$

$$(2.10) \quad e(a(\cup(e_i; \iota \in I))) = \cup(e(ae_i); \iota \in I),$$

$$(2.11) \quad e(F) = \cup(e(a); a \in F),$$

$$(2.12) \quad e(e_1^c e_2) = e_1 \cup e_2 - e_1,$$

where  $(e_i; \iota \in I)$  is a system of projections of  $R$  and  $F$  is a system of elements of  $R$ .

PROOF. (2.9) follows from the fact that  $eab=0$  is equivalent to  $eae(b)=0$  for any  $a, b \in R$  and any  $e \in E$  (the set of projections of  $R$ ). We shall prove (2.10). From  $e(a(\cup(e_i; \iota \in I)))a(\cup(e_i; \iota \in I)) = a(\cup(e_i; \iota \in I))$  it follows that  $e(a(\cup(e_i; \iota \in I)))ae_i = ae_i$ , that is,  $e(a(\cup(e_i; \iota \in I))) \geq e(ae_i)$ . Hence, we have  $e(a(\cup(e_i; \iota \in I))) \geq \cup(e(ae_i); \iota \in I)$ . On the other hand, denoting  $\cup(e(ae_i); \iota \in I)$  briefly by  $e$ , we see  $eae_i = ae_i$  and hence  $(ea-a)e_i = 0$  and so  $e_*(ea-a)e_i = 0$ . Therefore, by Prop. 2.5, it holds that  $e_*(ea-a)(\cup(e_i; \iota \in I)) = 0$  and so  $(ea-a)(\cup(e_i; \iota \in I)) = 0$ . Thus, we get  $e(a(\cup(e_i; \iota \in I))) \leq e$ . (2.11) is an immediate consequence of Prop. 2.5. We shall see (2.12). It is easy to see that  $e(e_1^c e_2) \leq e_1 \cup e_2 - e_1$ . Conversely, putting  $e' = e(e_1^c e_2)$ , we have  $e'^c e_1^c e_2 = 0$ , that is,  $e_1^c - e' \leq e_2^c$ . Hence, we have  $e_1^c - e' \leq e_1^c \cap e_2^c$ , that is,  $e_1 \cup e_2 - e_1 = e_1^c - e_1^c \cap e_2^c \leq e'$ . Thus, we get (2.12). q. e. d.

After Ti. Yen [8], a subalgebra  $R_1$  of  $R$  is called an *AW\*-subalgebra* of  $R$  if it is a  $B^*$ -subalgebra of  $R$  with structure of an  $AW^*$ -algebra. We shall consider two  $AW^*$ -subalgebras  $R_i$  ( $i=1, 2$ ) of  $R$ , whose units are denoted by  $I_i$  ( $i=1, 2$ ), and a system  $A$  of elements of  $R$  satisfying  $b^*a \in R_1$ ,  $ab^* \in R_2$ , and  $R_2 a R_1 \subseteq A$  for any  $a, b \in A$ . We denote by  $R_{0i}$ ,  $E_i$ , and  $E_{0i}$  the center of  $R_i$ , the set of projections of  $R_i$ , and the set of projections of  $R_{0i}$  respectively.

To  $e_1$  of  $E_1$ , we associate the minimal projection  $e_1^{\natural}$  of  $E_1$  in  $E_1$  fixing each element of  $e_1 R_1$  from right side. This notation  $e_1^{\natural}$  was introduced by J. Dixmier [5] in another expression  $e_1^{\natural} = \cup(s_1^* e_1 s_1; s_1 \text{ unitary of } R_1)$  in finite  $W^*$ -algebras and was called by Ti. Yen [8] *the natural supporter* of  $e_1$ . (An element  $s_1$  in  $R_1$  is called *unitary* if it holds that  $s_1^* s_1 = s_1 s_1^* = I_1$ .) Similarly, to  $e_1$  of  $E_1$ , we associate the minimal projection  $e_1^{\sharp}$  of  $E_2$  in  $E_2$  fixing each element of  $A e_1$  from left side. In the same way, we can associate with any  $e_2 \in E_2$  an  $e_2^{\natural} \in E_2$  and an  $e_2^{\sharp} \in E_1$  by considering  $R_2 e_2$  and  $e_2 A$  respectively.

Then, we have the following

PROPOSITION 2.6. *As to operation # the following statements hold.*

$$(2.13) \quad e_1^\# \in E_{02},$$

$$(2.14) \quad e_1^{\# \#} = e_1^\#,$$

$$(2.15) \quad (\cup(e_i; \iota \in I))^\# = \cup(e_i^\#; \iota \in I),$$

$$(2.16) \quad (e_1^{\# \#} \cap e_1')^\# = e_1^\# \cap e_1'^{\# \#},$$

$$(2.17) \quad e_1^{\# \#} = e_1^{\# \#} \text{ if and only if } e_1^{\# \#} \leq I_2^\#,$$

$$(2.18) \quad e_2 A e_1 = 0 \text{ if and only if } e_2^\# e_1^{\# \#} = 0.$$

PROOF. The proof of (2.13). For any element  $b$  of  $R$  with  $bb^* \in R_2$ , we denote also by  $e(b)$  the minimal projection in  $E_2$  fixing  $b$  from left side. Moreover, we denote  $Ae_1$  by  $F$ . Then, for any element  $a$  of  $F$ , we have  $aa^* \in R_2$  and  $R_2 a \subseteq F$ . By (2.11), we have  $e_1^\# = \cup(e(a); a \in F)$ . Putting  $c = be_1^\# - e_1^\# be_1^\#$  for any (but fixed) element  $b$  of  $R_2$ , we have  $ca = e_1^\# ba = 0$  for any  $a \in F$  and hence  $e_*(c)a = 0$  for any  $a \in F$ , that is,  $e_1^\# \leq e_*(c)^c$ . Thus, we get  $e_*(c)e_1^\# = 0$ . On the other hand,  $ce_1^{\# \#} = 0$  and so  $e_*(c)e_1^{\# \#} = 0$ . Hence, there holds  $e_*(c) = 0$  and hence  $c = 0$ , that is  $be_1^\# = e_1^\# be_1^\#$ . Since arbitrary elements of  $R_2$  are generated by hermitian elements of  $R_2$ , this completes the proof of (2.13).

In the proof below, we shall use the fact that  $e_1^{\# \#} \in E_{01}$ . This fact is nothing but (2.13)' below and so the proof is omitted.

The proof of (2.15). Let  $(e_{1\iota}; \iota \in I)$  be a system of projections of  $R_1$ . Then, from (2.10) and (2.13) it follows that  $(\cup(e_{1\iota}; \iota \in I))^\# = \cup(e(a(\cup(e_{1\iota}; \iota \in I))); a \in A) = \cup(e(ae_{1\iota}); a \in A, \iota \in I) = \cup(e_{1\iota}^\#; \iota \in I)$ .

The proof of (2.14). Since  $e_1 \leq e_1^{\# \#}$ , we have  $e_1^\# \leq e_1^{\# \#}$ . On the other hand, we have  $e_1^{\# \#} = \cup(e(a(\cup(e_*(e_1 b); b \in R_1))); a \in A) = \cup(e(a(\cup(e(b^* e_1); b \in R_1))); a \in A) = \cup(e(a(\cup(e(be_1); b \in R_1))); a \in A) = \cup(e(abe_1); b \in R_1, a \in A) \leq e_1^\#$ . Thus, we get (2.14).

The proof of (2.16). First we prove  $e_1^{\# \#} \leq e_1^{\# \#}$ . In fact, we have  $e_1^{\# \#} = \cup(e(ae_1^\#); a \in A) = \cup(e_*(e(ae_1^\#)b); a, b \in A) = \cup(e(b^* ae_1^\#); a, b \in A) \leq e_1^{\# \#}$ . Next, we prove  $ae_1^{\# \#} e_1' = e_1^\# ae_1'$ . In fact, from (2.14) and from the fact above, we have  $ae_1^{\# \#} e_1' = e_1^{\# \#} ae_1^{\# \#} e_1' = e_1^\# ae_1^{\# \#} e_1' = e_1^\# ae_1^{\# \#} e_1' = e_1^\# ae_1'$ . Hence, we have  $(e_1^{\# \#} e_1')^\# = \cup(e(ae_1^{\# \#} e_1'); a \in A) = \cup(e(e_1^\# ae_1'); a \in A) = e(e_1^\# (\cup(e(ae_1'); a \in A))) = e(e_1^\# e_1'^{\# \#}) = e_1^\# e_1'^{\# \#}$ .

The proof of (2.17). First we prove  $e_1^{\# \# \#} \leq e_1^\#$ . In fact, we have  $e_1^{\# \# \#} \leq e_1^{\# \#} = e_1^\#$ . Conversely, it holds that  $e_1^{\# \# \#} ae_1 = (e_1^\# ((e_1^{\# \# \#} a) e_1)) e_1^{\# \#} = e_1^{\# \# \#} (e_1^\# (ae_1)) e_1^{\# \#} = ae_1$ . Hence, we have  $e_1^\# \leq e_1^{\# \# \#}$ . Thus, we have  $e_1^{\# \# \#} = e_1^\#$ . If  $e_1^{\# \#} \leq I_2^\#$ , then it holds that  $e_1^{\# \#} = e_1^{\# \#}$ , because, as we have  $I_2^\# = I_2^{\# \# \#} = e_1^{\# \#} \oplus (I_2^\# e_1^{\# \# \#})^\#$ , we must have equality sign in both of  $e_1^{\# \#} \geq e_1^{\# \#}$ , and  $I_2^\# e_1^{\# \# \#} \geq (I_2^\# e_1^{\# \# \#})^\#$ . Conversely, if  $e_1^{\# \#} = e_1^{\# \#}$ , then it holds that  $e_1^{\# \#} = (e_1^\#)^\# \leq I_2^\#$ .

The proof of (2.18). It holds that  $e_2 A e_1 = 0$  if and only if  $e_1^\# \leq e_2^c$ . Hence, we have  $e_1^\# R_2 e_2 = 0$ . Thus, we obtain  $e_1^\# e_2 = 0$  if and only if  $e_2 A e_1 = 0$ . q. e. d.

In what follows, it may happen to use  $\#$  simply without specifying  $A, R_1, R_2$ . In that case, we are supposing that  $R=R_1=R_2=A$ , and, by virtue of the  $*$  operation,  $\natural$  and  $\#$  are identified. As an immediate consequence of Prop. 2.6, we have

PROPOSITION 2.7. *In an  $AW^*$ -algebra  $R$ , whose center is denoted by  $R_0$ , it holds that*

$$(2.13)' \quad e^\natural \in R_0,$$

$$(2.14)' \quad e^{\natural\#} = e^\natural,$$

$$(2.15)' \quad (\cup(e_i; \iota \in I))^\natural = \cup(e_i^\natural; \iota \in I),$$

$$(2.16)' \quad (e^\natural \cap e')^\natural = e^\natural \cap e'^\natural,$$

$$(2.18)' \quad e_2 R e_1 = 0 \text{ if and only if } e_1^\natural e_2^\natural = 0.$$

For an element  $a$  of  $R$ , we denote  $e(a)^\natural$  by  $e_0(a)$ . It is easy to see that  $e_0(a)$  is the minimal projection of  $R_0$  fixing  $a$ .

Since the mapping  $e_1^\natural \rightarrow e_1^\#$  is an isomorphism from  $I_2^\# E_{01}$  onto  $I_1^\# E_{02}$  as structure of Boolean lattices, it is extended to an algebraic isomorphism from  $I_2^\# R_{01}$  onto  $I_1^\# R_{02}$ , which we denote also by  $\#$ . (Here, we say that  $\varphi$  is an algebraic isomorphism from an  $AW^*$ -algebra  $R_1$  onto an  $AW^*$ -algebra  $R_2$  if  $\varphi$  is a one-to-one mapping from  $R_1$  onto  $R_2$  with following conditions: (1)  $\varphi(a_1 + a_1') = \varphi(a_1) + \varphi(a_1')$ , (2)  $\varphi(a_1 a_1') = \varphi(a_1) \varphi(a_1')$ , and (3)  $\varphi(a_1^*) = \varphi(a_1)^*$  (for any  $a_1, a_1' \in R_1$ .)

PROPOSITION 2.8. *With the same notation as before, let  $\varphi_0$  be an algebraic isomorphism from  $R_{01}$  onto  $R_{02}$  and suppose that  $A$  satisfies the property  $\varphi_0(c_{01})a = ac_{01}$  for any  $a \in A$  and any  $c_{01} \in R_{01}$ . Then, we have  $\varphi_0(c_{01})^\# = c_{01}$  for any  $c_{01} \in I_2^\# R_{01}$ . Moreover, if  $\varphi_0(I_2^\#) = I_1^\#$ , it holds that  $\varphi_0(c_{01}) = c_{01}^\#$  for any  $c_{01} \in R_{01}$ .*

PROOF. Since  $\varphi_0(e_{01})ae_{01} = ae_{01}$  for any  $a \in A$  and  $e_{01} \in E_{01}$ , it holds that  $e_{01}^\# \leq \varphi_0(e_{01})$  and so  $e_{01}^{\#\#} \leq \varphi_0(e_{01})^\#$ . Moreover, from  $\varphi_0(e_{01})a = \varphi_0(e_{01})ae_{01}$  it follows that  $\varphi_0(e_{01})^\# \leq e_{01}$ . Thus, we have  $\varphi_0(e_{01})^\# = e_{01}$  if  $e_{01} \in I_2^\# E_{01}$  and hence  $\varphi_0(c_{01})^\# = c_{01}$  if  $c_{01} \in I_2^\# R_{01}$ . q. e. d.

**§ 3. Local relative dimension.**

Again, let  $R$  be an  $AW^*$ -algebra. Hereafter, throughout this paper, a local property with respect to a spectre of  $R$  is called briefly a local property, if the spectre is considered as given once for all. In this case we use also the term “locally” in the corresponding sense. A global property is simply called a property.

In order to apply results in §1 to  $AW^*$ -algebras, we must prove the following

PROPOSITION 3.1.  *$R$  satisfies (1.6) and (1.7).*

PROOF. The proof of (1.6). For an hermitian element  $h$  of  $R_0$ , we denote

by  $(e_\alpha(h); -\infty < \alpha < \infty)$  the resolution of the unit of  $h$ . Then, from the definition itself,  $h$  is the limit of linear combinations of  $e_\alpha(h)$ , and, as we saw in the proof of Prop. 2.2,  $e_\alpha(h) \in E_0$ . We thus see that  $h$  is in the subalgebra generated by  $E_0$ . As regards to a general element of  $R_0$ , being able to be written as a linear combination of two hermitian elements of  $R_0$ , it is also in that named subalgebra. This shows (1.6).

The proof of (1.7). Let  $\alpha = (a_i; i \in I)$  be a system of elements of  $R$ . Then, by (2.11), we get  $E_0(\alpha, (\phi)) = (\cup((e_0(a_i); i \in I))^c E_0$ . Hence,  $(\phi)$  is normal. q. e. d.

First, we introduce some concepts.

DEFINITION 3.1. 1) Two projections  $e_i (i=1, 2)$  are called equivalent to each other and denoted by  $e_1 \sim e_2$  if there exists a partial isometry  $u$  of  $R$  with  $e_*(u) = e_1$  and  $e(u) = e_2$ . Here, we say that an element  $u$  of  $R$  is a partial isometry if  $u^*u$  is a projection of  $R$ . In this case,  $uu^*$  is also a projection of  $R$ .

2) A projection  $e$  of  $R$  is called finite if  $e = e_1$  follows from  $e \sim e_1$  and  $e \geq e_1$ .

3) A projection  $e$  of  $R$  is called infinite if it is not finite.

4) A projection  $e$  of  $R$  is called irreducible if  $e_1 = e_2 = 0$  follows from  $e \geq e_1 \oplus e_2$  and  $e_1 \sim e_2$ .

These properties are normal properties except for infiniteness (as this is easily seen from Lemma 3.1 below for 1) and is clear for 2), 4)) and are properties concerning with one projection of  $R$  except for equivalence, which concerns with two projections of  $R$ . We call negation of finiteness the normal infiniteness.

We denote by  $U$  the set of partial isometries of  $R$ . We write  $u_1 \leq_1 u_2$  for  $u_1, u_2 \in U$  if  $u_2 - u_1$  is a partial isometry orthogonal to  $u_1$ . Then, it is easy to see that  $U$  forms a semi-ordered set.

The first aim of this § is to prove a theorem of I. Kaplansky [6] (cf. Prop. 3.5). By the local consideration, his proof will be slightly shortened. We denote by  $(L_0)$  the property concerning with an orthogonal system  $\alpha = (u_i; i \in I)$  of elements of  $U$ , which  $\alpha$  has if and only if  $\alpha$  has a supremum in  $U$ .

LEMMA 3.1.  $(L_0)$  is normal.

PROOF. If each system  $\alpha_i$  of partial isometries has a supremum  $u_i$  in  $U$  and if  $(u_i; i \in I)$  has a supremum  $u$  in  $U$ , then  $u$  is the supremum of  $(v; v \in \alpha_i)$  for some  $i \in I$  in  $U$ . Hence, we need only to prove that an orthogonal system  $\alpha = (u_i; i \in I)$  of elements of  $U$  has a supremum in  $U$ , if  $(e_0(u_i); i \in I)$  is an orthogonal system of projections of  $R_0$ . We denote by  $A$  a maximal commutative subalgebra of  $R$  containing  $(u_i^* + u_i; i \in I)$  and denote by  $(e_{\alpha, i}; -\infty < \alpha < \infty)$  the resolution of the unit associated to  $u_i^* + u_i$ . Putting  $e_\alpha = \sup(e_{\alpha, i}; i \in I)$ , the system  $(e_\alpha; -\infty < \alpha < \infty)$  forms the resolution of the

unit associated to some hermitian element of  $A$ . We denote it by  $[u^*+u]$ . Then, we have  $e_0(u_i)[u^*+u]=u_i^*+u_i$ . Similarly, we may find an element  $[u^*-u]$  of  $N$  with  $e_0(u_i)[u^*-u]=u_i^*-u_i$ . We put  $u=\frac{1}{2}([u^*+u]-[u^*-u])$  ( $\oplus(e_0(u_i); \iota \in I)$ ). Then, it is easy to see that  $u$  is the supremum of  $(u_i; \iota \in I)$  in  $U$ . q. e. d.

The following lemma is due to [6]. But the present proof need less calculation.

LEMMA 3.2. *Let  $(u_i; \iota \in I)$  be an orthogonal system of elements of  $U$ , whose initial projection  $e_*(u_i; \iota \in I)$  and final one  $e(u_i; \iota \in I)$  are orthogonal to each other. Then,  $(u_i; \iota \in I)$  has a supremum in  $U$ .*

PROOF. We denote  $e_*(u_i; \iota \in I)$  by  $e_*$  and  $e(u_i; \iota \in I)$  by  $e$ . Since  $u_i^*+u_i$  is hermitian and unitary in  $(e_*(u_i) \oplus e(u_i))R(e_*(u_i) \oplus e(u_i))$ , we have  $u_i^*+u_i=e_i'-e_i''$ , where  $e_i', e_i''$  are mutually orthogonal projections of  $(e_*(u_i) \oplus e(u_i))R(e_*(u_i) \oplus e(u_i))$ . Putting  $e'=\oplus(e_i'; \iota \in I)$ ,  $e''=\oplus(e_i''; \iota \in I)$ , and  $u=e(e'-e'')$ , we have  $e(u_i)u=e(u_i)(e_*(u_i) \oplus e(u_i))(e'-e'')=e(u_i)(e_i'-e_i'')=e(u_i)(u_i^*+u_i)=e(u_i)u_i=u_i$  and similarly we have  $ue_*(u_i)=u_i$ . Further we get  $uu^*=e(e'-e'')^2e=e(e_* \oplus e)=e$  and  $u^*u=(e'-e'')e(e'-e'')=\oplus(e_*(u_i); \iota \in I)=e_*$ . q. e. d.

PROPOSITION 3.1. *For two projections  $e_1, e_2$  of  $R$ , there exists a non-zero element  $u$  of  $U$  with  $e_*(u) \leq e_1$  and  $e(u) \leq e_2$  if and only if it holds  $e_1^h e_2^h \neq 0$ .*

PROOF. Necessity. Since  $e_2 R e_1 \neq 0$ , we get  $e_1^h e_2^h \neq 0$  by (2.18)'. Sufficiency. By (2.18), we may find a non-zero element  $a$  of  $R$  with  $e_*(a) \leq e_1$  and  $e(a) \leq e_2$ . Let  $(e_\alpha; 0 \leq \alpha < \infty)$  be the resolution of the unit of  $a^*a$ . We denote by  $k_\alpha$  the inverse of  $a^* a e_\alpha^c$  in  $e_\alpha^c R e_\alpha^c$  for a sufficiently small positive number  $\alpha$ . Then,  $u=ak_\alpha^{\frac{1}{2}}$  is a non-zero element of  $U$  with  $e_*(u) \leq e_*(a)$  and  $e(u) \leq e(a)$ . Thus, we get the assertion. q. e. d.

PROPOSITION 3.2. *The following statements are mutually equivalent: (1)  $e$  is normally infinite, (2)  $e=\oplus(e_n; 1 \leq n < \infty)$  with  $e_1 \sim e_n$  ( $1 \leq n < \infty$ ) for some  $e_n$  of  $E$ , and, (3)  $e \sim e' \sim e'^c$  for some  $e'$  of  $E$ .*

PROOF. (1) implies (2). Since  $e$  is normally infinite, we may find a projection  $e'$  satisfying that  $e' \leq e$  and  $e \neq e' \sim e$ . Then, by the well known way, we may find a decomposition  $\oplus(e_n'; 1 \leq n < \infty) \leq e$  with  $e_1' \sim e_n'$  ( $1 \leq n < \infty$ ). Hence, we may find a maximal orthogonal system  $(e_i'; \iota \in I)$  of projections of  $R$  with  $e_i' \sim e_i''$  ( $\iota \in I$ ) containing  $(e_n'; 1 \leq n < \infty)$ . Furthermore, using Lemma 3.2, we may find a maximal pair  $(e', e'')$  of projections of  $R$  with  $e_1' \geq e' \sim e'' \leq (\oplus(e_i'; \iota \in I))^c$ . Then, we get  $(e_1' - e')^h ((\oplus(e_i'; \iota \in I))^c - e'')^h = 0$  by Prop. 3.1. Since  $(e_1' - e')^h \neq 0$ , there exists at least one spectre  $\lambda$  of  $R$ , with respect to which it holds that  $e = \oplus(e_i''; \iota \in I)$  with  $e_1 \sim e_i''$  ( $\iota \in I$ ) locally by the well known way. Since the cardinal number of  $I$  is greater than  $\aleph_0$  we find a decomposition (2) with respect to  $\lambda$  locally. The property that a projection decomposes into the sum of a countable orthogonal system con-

sisting of mutually equivalent projections being normal, one easily sees the validity of (2) from this.

(2) implies (3). We may use the index  $(n, m)$  instead of  $n$  and a decomposition  $e = \bigoplus(e_{n,m}; 1 \leq n, m < \infty)$  instead of (2). We write  $e_n = \bigoplus(e_{n,m}; 1 \leq m < \infty)$ . Applying Lemma 3.2 to  $e_{2n-1} \sim e_{2n}$  ( $1 \leq n < \infty$ ), we have a partial isometry  $u$  of  $R$  with  $e_*(u) = \bigoplus(e_{2n-1}; 1 \leq n < \infty)$  and  $e(u) = \bigoplus(e_{2n}; 1 \leq n < \infty)$ . Similarly, we have a partial isometry  $v$  of  $R$  with  $e_*(v) = \bigoplus(e_{2n}; 1 \leq n < \infty)$  and  $e(v) = \bigoplus(e_{2n+1}; 1 \leq n < \infty)$ . We put  $w = u + v$ . Then,  $w$  is a partial isometry of  $R$  with  $e_*(w) = e$  and  $e(w) = e_1^c$ . Moreover, it holds  $e_1 \sim e_1^c$  by Lemma 3.2.

(3) implies (1). Let  $e_0(\lambda)$  be a projection of  $E_0(\lambda)$ . Since  $e \sim e^c$ , it holds that  $(e_0(\lambda)e^c)^h = e_0(\lambda)e'^{ch} = e_0(\lambda)e^h$ . Hence, if  $e_0(\lambda)e^h \neq 0$ , we get  $e_0(\lambda)e \neq e_0(\lambda)e' \sim e_0(\lambda)e$ , that is,  $e_0(\lambda)e$  not being locally finite. This means that  $e$  is locally zero or not locally finite. Hence,  $e$  is locally normally infinite with respect to any spectre  $\lambda$  of  $R$ . Thus,  $e$  is normally infinite by the definition of normal infiniteness.

We say that  $R$  is *discrete*, *finite*, and *normally infinite* if  $1$  is discrete, finite, and normally infinite respectively, where a projection  $e_0$  of  $R_0$  is called *discrete* if there exists an irreducible projection  $e$  of  $E$  with  $e^h = e_0$ . We call the negation of discreteness the non-discreteness. These properties are obviously normal properties concerning one element of  $R$ , namely the unit  $1$  of  $R$ . Hence, we can say about these local properties.

DEFINITION 3.2. A projection  $e$  of  $R$  is called *simple of order  $n$*  if there exists a decomposition  $e^h = \bigoplus(e_\nu; 1 \leq \nu \leq n)$  with  $e \sim e_\nu$  ( $1 \leq \nu \leq n$ ).

This property is also normal.

LEMMA 3.3. There exists a unique decomposition

(3.1)  $1 = \bigoplus(e_0(I_n); 1 \leq n < \infty) \bigoplus e_0(II_1) \bigoplus e_0(\infty)$ , where  $e_0(I_n)$ ,  $e_0(II_1)$ , and  $e_0(\infty)$  are the uniquely determined maximal projections of  $R_0$  among the projections  $e_0$ 's of  $R_0$  with a simple irreducible projection  $e$  of order  $n$  satisfying  $e^h = e_0$ , with finiteness and non-discreteness, and with normal infiniteness respectively.

PROOF. It is easy to see that there exist the maximal projections  $e_0(I_n)$  ( $1 \leq n < \infty$ ),  $e_0(II_1)$ ,  $e_0(\infty)$  in question and that they are orthogonal to each other. We put  $e_0 = (\bigoplus(e_0(I_n); 1 \leq n < \infty) \bigoplus e_0(II_1) \bigoplus e_0(\infty))^c$ . Then,  $e_0$  is finite and discrete. We shall prove that, if  $e_0 \neq 0$ ,  $e_0$  must be 0. From this, we can conclude the assertion.

Now, assume that  $e_0 \neq 0$ . Since  $e_0$  is discrete, we may find a non-zero irreducible projection  $e_1$  of  $R$  with  $e_1^h = e_0$ . Then, there exists a maximal orthogonal system  $(e_i; i \in I)$  of projections of  $R$  containing  $e_1$  and satisfying  $e_1 \sim e_i$  ( $i \in I$ ). If the cardinal number of  $I$  is greater than  $\aleph_0$ , we see from Prop. 3.2 that, for any countable subsystem  $(e_n; 1 \leq n < \infty)$  of  $(e_i; i \in I)$ ,  $\bigoplus(e_n; 1 \leq n < \infty)$  is normally infinite. Since  $(\bigoplus(e_n; 1 \leq n < \infty))^h = e_1^h = e_0$ ,  $e_0$  is normally infinite. This is a contradiction. Hence,  $I$  is a finite set (say

$I=(\nu; 1 \leq \nu \leq n)$ . We put  $e^{(1)}=e_0(\bigoplus(e_\nu; 1 \leq \nu \leq n))^c$ . Then, we may find a maximal pair  $(e', e'')$  of projections of  $R$  with  $e_1 \geq e' \sim e'' \leq e^{(1)}$ . By Prop. 3.1, we have  $(e_1 e'^c)^h (e^{(1)} e''^c)^h = 0$ . Since  $(e_1 e'^c)^h \neq 0$ , we have  $e_1 \geq e' \sim e^{(1)}$  locally. Since  $e_1$  is irreducible, we have  $(e'^h e_1 e'^c)^h = e'^h (e_1 e'^c)^h = 0$ , that is,  $e'^h e_1 e'^c = 0$ . Hence, we get  $e^{(1)h} e_1 = e'^h e_1 = e'$ . Thus, we obtain  $e^{(1)h} = \bigoplus(e^{(1)h} e_\nu; 1 \leq \nu \leq n) \bigoplus e^{(1)}$  with  $e_1 \sim e^{(1)}$  locally. This means that  $e^{(1)h} \leq_\lambda e_0 \cap e_0(I_{n+1}) = 0$ . Hence, we get  $e^{(1)} =_\lambda 0$ , that is,  $e_0 =_\lambda \bigoplus(e_\nu; 1 \leq \nu \leq n)$  and so  $e_0 \leq_\lambda e_0(I_n)$ . Since  $e_0$  is locally orthogonal to  $e_0(I_n)$ ,  $e_0$  must be locally zero. From this it follows that  $e_0$  is zero by Prop. 1.1. q. e. d.

LEMMA 3.4. *If  $R$  is locally normally infinite, then an orthogonal system  $\alpha$  of elements of  $U$  has  $(L_0)$ .*

PROOF. We may assume without loss of generality that  $R$  is normally infinite. By Prop. 3.2, we may find a projection  $e$  of  $R$  with  $1 \sim e \sim e^c$ . Hence, there exist a partial isometry  $u$  of  $R$  with  $e_*(u) = 1, e(u) = e$  and a partial isometry  $v$  of  $R$  with  $e_*(v) = e, e(v) = e^c$ . By Lemma 3.2,  $v u \alpha u^* = (v u \alpha u^*; \iota \in I)$  has  $(L_0)$ . By multiplying  $u^* v^*$  from the left and  $u$  from the right, we see that  $\alpha$  has  $(L_0)$ . q. e. d.

We denote by " $a =_\lambda b$ " the local notion of " $a = b$ " and similarly by " $a \geq_\lambda b$ " that of " $a \geq b - \varepsilon$  for any positive number  $\varepsilon$ ". Moreover, we denote by  $e_1 \sim_\lambda e_2$  the local notion of  $e_1 \sim e_2$ .

We say that an element  $a$  of  $R$  is *locally non-zero* (denoted by  $a \neq_\lambda 0$ ) if it is not locally zero and that a projection  $e$  of  $R$  is *locally minimal* if  $e$  is locally non-zero and if  $e =_\lambda e_1$  follows from  $e \geq_\lambda e_1$  ( $e_1$  being locally non-zero). Though these properties are never local properties, we shall use such terminologies for convenience. Denoting by  $(L^\lambda)$  one of them,  $(L^\lambda)$  satisfies that  $1 \in E_0(a, (L^\lambda))$  is equivalent to  $E_0(a, (L^\lambda)) = E_0(\lambda)$ .

PROPOSITION 3.3. *As to the locally irreducible projections, we have (1) any locally non-zero irreducible projection is locally minimal, (2) any locally non-zero projection contains a locally non-zero irreducible projection if  $R$  is locally discrete, and (3) locally non-zero irreducible projections of  $R$  are mutually locally equivalent.*

PROOF. (1) Let  $e$  be a locally non-zero irreducible projection of  $R$  and suppose that  $e \geq_\lambda e'$  holds for a locally non-zero projection  $e'$  of  $R$ . Then, we have  $e =_\lambda e' \bigoplus e e'^c, e'^h e'^c h = 0$  and hence  $e =_\lambda e'^h e =_\lambda e'$ . (2) Let  $e'$  be a locally non-zero projection of  $R$  and  $e$  be a locally non-zero irreducible projection of  $R$ . Then, there exists a maximal pair  $(e_1, e_1')$  of projections of  $R$  with  $e' \geq e_1' \sim e_1 \leq e$  by Lemma 3.1. By Prop. 3.1, it holds that  $(e' e_1'^c)^h (e e_1^c)^h = 0$ . If  $\lambda((e' e_1'^c)^h) = 0$ , we have  $e' =_\lambda e_1' \sim e_1 \leq e$  and  $e_1^h =_\lambda e'^h \in E_0(\lambda)$ . Thus, we have  $e' \sim_\lambda e_1 =_\lambda e$ . On the other hand, if  $\lambda((e' e_1'^c)^h) = 1$ , we have  $\lambda((e e_1^c)^h) = 0$  and so  $e =_\lambda (e' e_1'^c)^h e = (e_1 e_1'^c)^h e_1^h e = (e' e_1'^c)^h e_1 \sim (e' e_1'^c)^h e_1' \leq e_1$ . The fact (3) follows immediately from (1) and (2). q. e. d.

LEMMA 3.5. *If  $R$  is locally finite and has a locally simple irreducible projection of order  $n$ , then an orthogonal system  $a$  of partial isometries of  $R$  has  $(L_0)$ .*

PROOF. We may assume without loss of generality that  $R$  is finite and has a simple irreducible projection of order  $n$ . Let  $P$  be the set of projections  $e_0 e_* (u_i)$ 's of  $R$  for arbitrary  $e_0 \in E_0$  and  $i \in I$ . We denote  $e_0 u_i$  by  $\rho(e_0 e_* (u_i))$ . Then, there exists a maximal orthogonal system  $P_1$  of projections of  $P$  satisfying that  $(e^h; e \in P_1)$  is an orthogonal system of projections of  $R_0$ . Similarly, by induction, we may find a maximal orthogonal system  $P_\nu$  of projections of  $P$  satisfying that  $(e^h; e \in P_\nu)$  is an orthogonal system of projections of  $R_0$  and that  $P_\nu$  is orthogonal to  $P_\mu$  ( $1 \leq \mu < \nu$ ). Then,  $(\rho(e); e \in P_\nu)$  has  $(L_0)$  by Lemma 3.1. We denote by  $e_0^{(\nu)}$  the supremum of  $(e^h; e \in P_\nu)$  and by  $e^{(\nu)}$  that of  $P_\nu$ . Then, it holds that  $e_0^{(\nu)} \geq e_0^{(\nu+1)}$ .

We shall prove that  $e_0^{(n+1)} = 0$ . For otherwise, we can take a spectre  $\lambda$  of  $R$  with respect to which  $e^{(\nu)} = {}_\lambda e_0^{(n+1)} \neq {}_\lambda 0$ . As  $e^{(\nu)}$ 's are mutually orthogonal and  $e^{(\nu)h} = e_0^{(\nu)}$  and  $R$  is discrete, we see from Prop. 3.3 that  $R$  has no locally simple irreducible projection of order  $n$  with respect to  $\lambda$ , and this contradicts with the assumption by virtue of Prop. 1.1. Hence, we have  $e_0^{(n+1)} = 0$ . Consequently, we may assume without loss of generality that  $I$  is a finite set. Then,  $\sum (u_i; i \in I)$  is the supremum of  $a$ . q. e. d.

The following proposition is due to J. Dixmier [5].

PROPOSITION 3.4. *If  $R$  is non-discrete, there exists two projections  $e^{(1)}$  and  $e^{(2)}$  of  $R$  satisfying  $e = e^{(1)} \oplus e^{(2)}$  and  $e^{(1)} \sim e^{(2)}$  for any projection  $e$  of  $R$ .*

PROOF. There exists a maximal pair  $(e^{(1)}, e^{(2)})$  of projections satisfying  $e^{(1)} \sim e^{(2)}$  and  $e = e^{(1)} \oplus e^{(2)} \oplus e^{(3)}$  for some projection  $e^{(3)}$  of  $R$ . Hence,  $e^{(3)}$  is irreducible and must be 0. q. e. d.

LEMMA 3.6. *If  $R$  is locally finite and locally non-discrete, then an orthogonal system  $a$  of partial isometries of  $R$  has  $(L_0)$ .*

PROOF. We may assume without loss of generality that  $R$  is finite and non-discrete. First we shall prove that two simple projections  $e_i$  ( $i=1, 2$ ) of order 2 with  $e_i^h = 1$  are equivalent to each other. By Prop. 3.1, we may find a pair  $(e_1', e_2')$  of non-zero projections of  $R$  with  $e_1 \geq e_1' \sim e_2' \leq e_2$ . Since  $e_1' \neq 0$ , there exists a spectre  $\lambda$  of  $R$ , with respect to which it holds that  $e_1' \geq e_1''$  for some locally simple projection  $e_1''$  of order  $2^r$  ( $r > 1$ ) (cf. Lemma 4.2.). Since  $e_1' \sim e_2'$ , we may find a projection  $e_2''$  of  $R$  with  $e_1'' \sim e_2'' \leq e_2'$ . We shall prove that  $e_2''$  is also locally simple of order  $2^r$ . There exists a maximal pair  $(e', e'')$  of projections of  $R$  satisfying  $e_2'' \leq e' \sim e'' \leq e_2''$  by lemma 3.2. If  $e_2'' \leq e' \leq e_2''$  is locally non-zero, we can repeat this process. Thus, we get a decomposition  $1 = {}_\lambda \oplus (e_2''^{(\nu)}; 1 \leq \nu \leq n+1)$ , where  $e_2'' = e_2''^{(1)} \sim {}_\lambda e_2''^{(\nu)}$  ( $1 \leq \nu \leq n$ ) and  $e_2''^{(n+1)} \sim {}_\lambda e_2'' \leq e_2''$  for some  $e_2'' \in E$ . Hence, multiplying some projection  $e_0(\lambda)$  of  $E_0(\lambda)$ , we obtain a decomposition  $e_0(\lambda) = \oplus (e_0(\lambda) e_2''^{(\nu)}; 1 \leq \nu \leq n+1)$ .



$1 \leq \nu \leq n+1$ ), where  $e_0(\lambda)e_2'' = e_0(\lambda)e_2''^{(1)} \sim e_0(\lambda)e_0''^{(\nu)}$  ( $1 \leq \nu \leq n$ ) and  $e_0(\lambda)e_2''^{(n+1)} \sim e_0^{(\nu)}e'' \leq e_0(\lambda)e_2''$ . Since  $e_0Re_0$  is finite, we have, by the well known method,  $n=2^r$  and  $e_2''^{(n+1)} = \lambda 0$ . (On the same time, by the same method, we can prove that above local decomposition is possible.) Thus,  $e_2''$  is locally simple of order  $2^r$ . Here, we notice that " $e_2'' \leq 1$ " is trivial, but this fact is an essential part of above proof. Therefore, remembering that  $e_i'' \leq e_i$  ( $i=1, 2$ ), we may find a decomposition  $e_i = \bigoplus (e_i''^{(\nu)}; 1 \leq \nu \leq 2^{r-1})$ , where  $e_i'' = e_i''^{(1)} \sim e_i''^{(\nu)}$  ( $1 \leq \nu \leq 2^{r-1}$ ). Since  $e_i''^{(\nu)}$  ( $1 \leq \nu \leq 2^{r-1}, i=1, 2$ ) are mutually locally equivalent to each other, we can easily conclude that  $e_1$  is locally equivalent to  $e_2$ . Since equivalence is normal,  $e_1$  is equivalent to  $e_2$ .

Let  $\alpha (= (u_i; i \in I))$  be an orthogonal system of elements of  $U$ . For each  $i \in I$ , we may find a decomposition  $e(u_i) = e_i^{(1)} \oplus e_i^{(2)}$  with  $e_i^{(1)} \sim e_i^{(2)}$ . We denote by  $\alpha^{(1)}$  the orthogonal system  $(e_i^{(1)}u_i; i \in I)$  of elements of  $U$ . We need only to see that  $\alpha^{(1)}$  has  $(L_0)$ . Then, we can find two simple projections  $e_1, e_2$  of order 2 of  $R$  with  $\bigoplus (e_{*}(e_i^{(1)}u_i); i \in I) \leq e_1$  and  $\bigoplus (e_i^{(1)}u_i; i \in I) \leq e_2$ . Since  $e_1, e_1^c, e_2$  are simple projections of order 2, we may find partial isometries  $u, v$  of  $R$  such that  $e_{*}(u) = e_1, e(u) = e_1^c, e_{*}(v) = e_2$ , and  $e(v) = e_1$ . Applying Lemma 3.2 to  $u\alpha^{(1)} = (ue_i^{(1)}u_i; i \in I)$ ,  $u\alpha^{(1)}$  has the supremum  $w$  in  $U$ . Hence,  $\alpha^{(1)}$  has the supremum. q. e. d.

Under these preparations, we shall prove the following important proposition already discovered and proved by I. Kaplansky [6], which plays an essential role in  $AW^*$ -algebras.

**PROPOSITION 3.5.** *Any orthogonal system of elements of  $U$  has a supremum in  $U$ .*

**PROOF.** Since an orthogonal system  $\alpha$  of elements of  $U$  has  $(L_0)$  with respect to any  $\lambda$  according to Lemmas 3.3–3.6, we see that  $\alpha$  has  $(L_0)$  by virtue of Prop. 1.1. q. e. d.

**COROLLARY.** *Any chain of elements of  $U$  has a supremum in  $U$ .*

**PROOF.** Let  $(u_i; i \in I)$  be a chain of elements of  $U$ . We denote by  $E_1$  a maximal commutative system of projections of  $R$  containing  $(e(u_i); i \in I)$  and by  $U_1$  the system  $(e_1u_i; e_1 \in E_1, i \in I)$ . Moreover, we denote by  $U_2$  a maximal orthogonal system of elements of  $U_1$ . Then, there exists the supremum  $u$  of  $U_2$  in  $U$  by Prop. 3.5. It is easily seen that  $u$  is the supremum of  $(u_i; i \in I)$ . q. e. d.

Recently, Ti. Yen [8] has given the proof of the canonical decomposition theorem in  $AW^*$ -algebras (cf. Lemma 2.1 and its Corollary, [8]). The following proposition contains the results of Ti. Yen [8].

**PROPOSITION 3.6.** *For any element  $a$  of  $R$ , we may find a unique system  $(u_\alpha(a); 0 \leq \alpha < \infty)$  of elements of  $U$  satisfying (1)  $u_0 = 0, u_\alpha = \text{const.} \in U$  for  $\alpha_0 \leq \alpha$ , (2)  $\alpha \leq \beta$  implies  $u_\alpha \leq u_\beta$ ; and (3)  $\lim_{\beta \downarrow \alpha} u_\beta = u_\alpha$  and further*

$$(3.2) \quad a = \int_{-\infty}^{\infty} \alpha du_{\alpha},$$

and, from these it follows that

$$(3.3) \quad e_{*}(a) = \int_{-\infty}^{\infty} de_{*}(u_{\alpha}),$$

$$(3.4) \quad e(a) = \int_{-\infty}^{\infty} de(u_{\alpha}),$$

(3.5)  $a = uh$ , where  $h = (a^{*}a)^{\frac{1}{2}}$ , and  $u = \int_0^{\infty} du_{\alpha}$  (the canonical decomposition of  $a$ ), and that

$$(3.6) \quad a^{*}a = u^{*}aa^{*}u.$$

PROOF. The proof of (3.2). Let  $(e_{\alpha}; 0 \leq \alpha \leq \infty)$  be the resolution of the unit of  $(a^{*}a)^{\frac{1}{2}}$ . We denote by  $k_{\alpha}$  the inverse of  $a^{*}ae_{\alpha}^c$  in  $e_{\alpha}^c R e_{\alpha}^c$  for  $0 < \alpha < \|a\|$ . We put  $u_{\alpha}^c = ak_{\alpha}^{\frac{1}{2}}$  and  $h_{\alpha} = (a^{*}a)^{\frac{1}{2}}e_{\alpha}^c$ . Then, we have  $ae_{\alpha}^c = u_{\alpha}^c h_{\alpha}$ . Since  $(u_{\alpha}^c; 0 < \alpha < \|a\|)$  is a chain of elements of  $U$ , we may find its supremum  $u$  in  $U$  by the Corollary of Prop. 3.5 and hence we have  $ae_{\varepsilon}^c = uh_{\varepsilon} = u \int_{\varepsilon}^{\infty} \alpha de_{\alpha} = \int_{\varepsilon}^{\infty} \alpha du_{\alpha}$ . We put  $u_{\alpha} = ue_{\alpha}$  for  $\alpha > 0$  and  $u_0 = \lim_{\alpha \downarrow 0} u_{\alpha} = 0$ . It is easy to see that  $(u_{\alpha}; 0 \leq \alpha < \infty)$  satisfies (1)–(3). Making  $\varepsilon \downarrow 0$ , we have (3.2) from  $ae_{\varepsilon}^c = \int_{\varepsilon}^{\infty} \alpha du_{\alpha}$ .

The proof of uniqueness. Let  $(u_{\alpha}'; 0 \leq \alpha < \infty)$  be another system of elements of  $U$  satisfying (1)–(3) and (3.2). From  $a^{*}a = \int_0^{\infty} \alpha^2 du_{\alpha}^{*} u_{\alpha} = \int_0^{\infty} \alpha^2 du_{\alpha}'^{*} u_{\alpha}'$ , it is easy to compute that  $u_{\alpha}^{*} u_{\alpha} = u_{\alpha}'^{*} u_{\alpha}'$  for  $0 \leq \alpha < \infty$ . Hence, we have  $u(u_{\alpha}^{*} u_{\alpha})^c = a \int_{\alpha}^{\infty} \alpha^{-1} du_{\alpha}^{*} u_{\alpha} = a \int_{\alpha}^{\infty} \alpha^{-1} du_{\alpha}'^{*} u_{\alpha}' = u'(u_{\alpha}'^{*} u_{\alpha}')^c$ , where we put  $u' = \int_0^{\infty} du_{\alpha}'$ . Making  $\alpha \downarrow 0$ , we see that  $u = u'$  and so  $u_{\alpha}' = u' - u'(u_{\alpha}'^{*} u_{\alpha}')^c = u - u(u_{\alpha}^{*} u_{\alpha})^c = u_{\alpha}$ .

The proof of (3.3) and (3.4). To see (3.3), we have  $e_{*}(a) = e_{*}(a^{*}a) = e_{*}(\int_0^{\infty} \alpha^2 de_{*}(u_{\alpha})) = \int_0^{\infty} de_{*}(u_{\alpha})$ . Thus, we have (3.3). Similarly, we get (3.4).

The proof of (3.5) and (3.6). To see (3.5), we have  $uh = \int_0^{\infty} du_{\alpha} \int_0^{\infty} \alpha de_{*}(u_{\alpha}) = \int_0^{\infty} \alpha du_{\alpha} = a$ . Moreover, we have  $u^{*}aa^{*}u = \int_0^{\infty} \alpha^2 du^{*} u_{\alpha} u_{\alpha}^{*} u = \int_0^{\infty} \alpha^2 du_{\alpha}^{*} u_{\alpha} = a^{*}a$ , because  $u^{*}u_{\alpha} u_{\alpha}^{*} u = u^{*}ue_{\alpha} u^{*} u = e_{\alpha} e_0^c = u_{\alpha}^{*} u_{\alpha}$ . q. e. d.

COROLLARY 1. It holds that  $e_1 \sim e_2$  if and only if there exists an element  $a$  of  $R$  with  $e_1 = e_{*}(a)$  and  $e_2 = e(a)$ .

PROOF. We need only to see the sufficiency. By (3.3) and by (3.4), we have  $e_{*}(a) = e_{*}(u)$  and  $e(a) = e(u)$ . q. e. d.

COROLLARY 2. For any equi-bounded orthogonal system  $(a_i; i \in I)$  of elements of  $R$ , there exists an element  $a$  of  $R$  satisfying  $e(a)a = ae_{*}(a_i) = a_i$  for any  $i \in I$ .

PROOF. We denote by  $(u_{\alpha}(a_i); 0 \leq \alpha < \infty)$  the system of elements of  $U$  for  $a_i$  satisfying (1)–(3) and (3.2). Since  $(a_i; i \in I)$  is an orthogonal system of

elements of  $R$ ,  $(u_\alpha(a_i); \iota \in I)$  is that of elements of  $U$ . We denote its supremum in  $U$  by  $u_\alpha$ . Then,  $(u_\alpha; 0 \leq \alpha < \infty)$  satisfies (1)–(3). We put  $a = \int_0^\infty \alpha du_\alpha$ . It is easy to see that it holds that  $e(a_i)a = ae_*(a_i) = a_i$  for any  $\iota \in I$ . q. e. d.

The element  $a$  is called *the direct sum* of  $(a_i; \iota \in I)$ .

After F. J. Murray-J. v. Neumann [1], J. Dixmier [5], and I. Kaplansky [6], we shall introduce the following

DEFINITION 3.3. *A mapping  $d$ , which carries  $E$  onto some semi-ordered set, satisfying the following condition:*

$$(3.7) \quad d(e_1) \leq d(e_2) \text{ if and only if } e_1 \sim e_2' \leq e_2 \text{ for some } e_2' \text{ of } E,$$

*is called a relative dimension of  $R$ .*

By virtue of the complete additivity of equivalence, it is easy to verify that there exists one and only one relative dimension in  $R$  (except for isomorphism of the semi-ordered set as structure of semi-ordered set). We denote it by  $d$ .

DEFINITION 3.4. *A mapping  $d_\lambda$ , which carries  $E$  onto some semi-ordered set and satisfies the following condition:*

$$(3.8) \quad d_\lambda(e_1) \leq d_\lambda(e_2) \text{ if and only if } e_1 \sim_\lambda e_2' \leq e_2 \text{ for some } e_2' \text{ of } E,$$

*is called a local relative dimension of  $R$ , where  $e_1 \sim_\lambda e_2'$  means that  $e_1$  is locally equivalent to  $e_2'$ .*

It is easy to see that there exists one and only one local relative dimension in  $R$  (except for isomorphism of the semi-ordered set as structure of semi-ordered set). We denote it by  $d_\lambda$ .

As to  $d_\lambda$ , we have the following important

PROPOSITION 3.7. *The semi-order of  $d_\lambda(E)$  introduced by  $d_\lambda$  is lineally ordered.*

PROOF. For any two projections  $e_i$  ( $i=1, 2$ ) of  $R$ , we may find a maximal pair  $(e_1', e_2')$  of projections of  $R$  with  $e_1 \geq e_1' \sim e_2' \leq e_2$ . By Prop. 3.2, we have  $(e_1 e_1'^c)^h (e_2 e_2'^c)^h = 0$ . Hence, it holds either  $e_1 e_1'^c =_\lambda 0$  or  $e_2 e_2'^c =_\lambda 0$ . q. e. d.

The property  $d_\lambda(e_1) \leq d_\lambda(e_2)$  concerned with a pair  $(e_1, e_2)$  of projections is the local property of the property  $d(e_1) \leq d(e_2)$  concerned with a pair  $(e_1, e_2)$  of projections. Hence, we have  $d(e_1) \leq d(e_2)$  if and only if  $d_\lambda(e_1) \leq d_\lambda(e_2)$  with respect to any spectre  $\lambda$  of  $R$  by Prop. 1.1.

PROPOSITION 3.8. (1) *A projection  $e$  of  $R$  is locally finite if and only if  $e_1 =_\lambda e$  follows from  $e_1 \leq_\lambda e$  and  $e_1 \sim_\lambda e$ .*

(2) *A projection  $e$  of  $R$  is locally normally infinite if and only if there exists a decomposition  $e =_\lambda e_1 \oplus e_2$  with  $e \sim_\lambda e_1 \sim_\lambda e_2$ .*

(3) *A projection  $e$  of  $R$  is locally irreducible if and only if it is locally zero or locally minimal.*

PROOF. The proof of (1). We need only to prove the sufficiency. If  $e$  is not locally finite, then  $e$  is locally normally infinite. Hence, from Prop. 3.2, we may find a decomposition  $e =_\lambda e_1 \oplus e_2$  with  $e \sim_\lambda e_1 \sim_\lambda e_2$ . Therefore, it

holds that  $e_1 \leq_\lambda e, e_1 \sim_\lambda e$ , and  $e_1 \neq_\lambda e$ . The statement (2) is an immediate consequence of (1) and Prop. 3.2.

The proof of (3). We have already proved the necessity (cf. Prop. 3.3). Hence, we need only to prove the sufficiency. Let  $e$  be locally minimal. Then, in the same way as J. Dixmier [5] has done, we may find a maximal orthogonal pair  $(e_1, e_2)$  of projections of  $R$  satisfying  $e \geq e_1 \sim e_2 \leq e$  and hence  $e_3 = e - (e_1 \oplus e_2)$  is irreducible. Since  $e$  is locally minimal, we have  $e =_\lambda e_3$ . For otherwise, it holds that  $e_1 =_\lambda e$ , which leads to a contradiction. Thus,  $e$  is locally irreducible. q. e. d.

PROPOSITION 3.9. *The following statements are equivalent to each other.*

- (1) *A projection  $e$  of  $R$  is irreducible,*
- (2)  *$e_1 = e_2$  follows from  $e \geq e_1 \sim e_2 \leq e$ ,*
- (3)  *$eRe$  is commutative.*

PROOF. It is obvious that (2) implies (1). Now we shall prove that (1) implies (3). In fact, for any  $e_1 \leq e$  and any  $e_2 \leq e$ , we have  $e_1 =_\lambda 0$  or  $e$  and  $e_2 =_\lambda 0$  or  $e$  with respect to  $\lambda$  and hence  $e_1 e_2 =_\lambda e_2 e_1$ , from which follows  $e_1 e_2 = e_2 e_1$  by Prop. 1.1. Next we shall prove that (3) implies (2). In fact, from  $e \geq e_1 \sim e_2 \leq e$  it follows that there is a partial isometry  $u$  of  $eRe$  satisfying  $u^*u = e_1$  and  $uu^* = e_2$  and hence  $e_1 = e_2$  by (3). q. e. d.

Under these definitions, we may classify AW\*-algebras into six local types (analogous to F. J. Murray-J. v. Neumann [1], cf. J. Dixmier [5] and I. Kaplansky [6]):

DEFINITION 3.5. (a)  *$R$  is called of locally finite discrete type  $(I_n)_\lambda$  (or locally homogenous type of order  $n$ ) if  $R$  is locally finite and there exists a locally irreducible and locally simple projection of order  $n$ .*

(b)  *$R$  is called of locally finite discrete limiting type  $(I_0)_\lambda$  if  $R$  is locally finite and there exists a locally irreducible projection, which is never locally simple of order  $n$  for any natural number  $n$ .*

(c)  *$R$  is called of locally infinite discrete type  $(I_\infty)_\lambda$  if  $R$  is locally discrete and locally normally infinite.*

(d)  *$R$  is called of locally finite continuous type  $(II_1)_\lambda$  if  $R$  is locally non-discrete and locally finite.*

(e)  *$R$  is called of locally infinite continuous type  $(II_\infty)_\lambda$  if  $R$  is locally non-discrete, locally normally infinite, and  $R$  contains a locally finite and locally non-zero projection.*

(f)  *$R$  is called of locally purely infinite type  $(III_\infty)_\lambda$  if  $R$  is locally normally infinite and contains no locally finite and locally non-zero projection.*

A projection  $e_0$  of  $R_0$  is called of local type  $(*)$  if it holds that  $\lambda(e_0) = 0$  or that  $e_0 R e_0$  is of the same local type, where  $*$  =  $I_n, I_0, I_\infty, II_1, II_\infty, III_\infty$ . We define type  $(*)$  by globalization of local type  $(*)_\lambda$  (that is to say,  $R$  is called of type  $(*)$ )

if  $R$  is of local type  $(*)_\lambda$  with respect to any spectre  $\lambda$  of  $R$ ), except for  $(I_0)$ , which we define by globalization of being  $(I_0)_\lambda$  or  $(I_n)_\lambda$  for some  $n$ . Finally, a projection  $e_0$  of  $R_0$  is called of type  $(*)$  if  $e_0 R e_0$  is of the same type.

Since these are normal, we have (cf. Lemma 3.3)

PROPOSITION 3.10. *There exists a decomposition*

$$(3.9) \quad 1 = \bigoplus (e_0(*)); \quad * = I_0, I_\infty, II_1, II_\infty, III_\infty,$$

$$(3.10) \quad e_0(I_0) = \bigoplus (e_0(I_n)); \quad 1 \leq n < \infty.$$

where  $e_0(*)$  is uniquely determined as the maximal projection of  $E_0$  of type  $(*)$ .

#### § 4. Local trace.

Let  $R$  be a finite  $AW^*$ -algebra. First we shall introduce the following

DEFINITION 4.1. *A functional  $t_\lambda$  of  $R$  satisfying the following statements:*

$$(4.1) \quad t_\lambda(1) = 1,$$

$$(4.2) \quad t_\lambda(\alpha a) = \alpha t_\lambda(a) \text{ for } a \in R \text{ and for any complex number } \alpha,$$

$$(4.3) \quad t_\lambda(a+b) = t_\lambda(a) + t_\lambda(b) \text{ for } a, b \in R,$$

$$(4.4) \quad t_\lambda(a^*) = \overline{t_\lambda(a)} \text{ for } a \in R \quad (\overline{t_\lambda(a)} = \text{the complex conjugate of } t_\lambda(a)),$$

$$(4.5) \quad t_\lambda(a^*a) \geq 0 \text{ for } a \in R,$$

$$(4.6) \quad t_\lambda(e_0(\lambda)a) = t_\lambda(a) \text{ for } a \in R \text{ and } e_0(\lambda) \in E_0(\lambda),$$

$$(4.7) \quad t_\lambda(ab) = t_\lambda(ba) \text{ for } a, b \in R,$$

is called a local trace of  $R$  (with respect to  $\lambda$ ).

By virtue of Prop. 3.2, a local trace of  $R$  may exist only if  $R$  is locally finite. Concerning this trace, in this §, we shall prove the following

PROPOSITION 4.1. *There exists a local trace of  $R$  if and only if there exists a locally non-zero and locally simple projection  $e$  of  $R$  with  $\bar{t}_\lambda(e) < 1$ . (cf. Def. 4.5 as to  $\bar{t}_\lambda$ .)*

We denote by  $N$  the set of hermitian elements of  $R$ , by  $N_0$  the set of hermitian elements of  $R_0$ , and by  $\Sigma$  the set of (real-coefficient) linear operators  $\sigma$ 's of  $R$  defined by

$$(4.8) \quad \sigma(a) = \sum_{\nu=1}^n \alpha_\nu s_\nu^* a s_\nu \text{ for any } a \text{ of } N,$$

where  $s_1, s_2, \dots, s_n$  are unitary elements of  $R$  and  $\alpha_1, \alpha_2, \dots, \alpha_n$  are positive numbers with  $\sum_{\nu=1}^n \alpha_\nu = 1$ .

The following proposition is due to J. Dixmier ([5], théorème 7). His proof was based on an  $AW^*$ -algebra. Recently, M. Goldman [9] has given a proof of this theorem in algebras satisfying weaker conditions than  $AW^*$ -algebras by a similar method of J. Dixmier [5]. Here, we shall give an alternative proof of this theorem in an  $AW^*$ -algebra.

PROPOSITION 4.2. *For any element  $a$  of  $N$  and for any number  $\varepsilon > 0$  there exist an element  $a_0$  of  $N_0$  and a linear operator  $\sigma \in \Sigma$  satisfying*

$$(4.9) \quad \|\sigma(a) - a_0\| \leq \varepsilon. \quad (\text{Here, } R \text{ is not necessarily finite.})$$

PROOF. Let  $R$  be a (not necessarily finite)  $AW^*$ -algebra. First we shall prove (4.9) locally for  $a=e \in E$ . If, for any natural number  $n$ , there exists an orthogonal system  $\{e_\nu; 1 \leq \nu \leq n\}$  of projection of  $R$  satisfying  $e=e_1 \sim_\lambda e_\nu$  ( $1 \leq \nu \leq n$ ) and  $\bigoplus(e_\nu; 1 \leq \nu \leq n) \leq 1$ , then (4.9) holds good locally for  $a_0=0$ , as we can verify it by taking such an  $n$  that  $n^{-1} < \varepsilon$  and making  $s_\nu = u_\nu^* + u_\nu + (e_\nu \oplus e)^c$  ( $1 \leq \nu \leq n$ ), where  $u_\nu$  is a partial isometry of  $R$  with  $e_{*}(u_\nu) = e_\nu$  and  $e(u_\nu) = e$ . In the other case, namely, if the above-mentioned condition is not satisfied by  $e$ , there exists, for any natural number  $m$ , a commutative system  $(e_\nu; 1 \leq \nu \leq n+1)$  of projections of  $R$  satisfying (1)  $m = \sum_{\nu=1}^{n+1} e_\nu$ , (2)  $e=e_1, e_1^c e_\nu \sim e_\nu^c e_1$  ( $1 \leq \nu \leq n$ ), (3)  $d_\lambda(e_{n+1}) \leq d_\lambda(e)$ , and (4)  $m \leq n$ . We shall see it by induction. It is obvious for  $m=1$ . For an  $m$ , suppose that such a system has been constructed. Since the system  $(e_\nu; 1 \leq \nu \leq n+1)$  is commutative, we may find an orthogonal system  $P$  of projections of  $R$  such that  $P$  consists of a finite number of projections of  $R$  and that each  $e_\nu$  is expressed as a direct-sum of members of  $P$ . We denote by  $P(e')$  the set of projections  $e''$ 's of  $P$  such that  $e'' \leq e'$ . Then, we can construct a locally equivalent pair  $\bigoplus(e_\nu'; 1 \leq \nu \leq r'+1), \bigoplus(e_\nu''; 1 \leq \nu \leq r''+1)$  satisfying (5)  $e_\nu'$  ( $1 \leq \nu \leq r'$ )  $\in P(ee_{n+1})$ ,  $e_\nu''$  ( $1 \leq \nu \leq r''$ )  $\in P(e^c e_{n+1})$ , either (6)  $e_{r'+1}'$  being commutative with each projection of  $P$  and  $e_{r'+1}'' = \lambda 0$  or (7)  $e_{r'+1}' = \lambda 0$  and  $e_{r'+1}''$  being commutative with each projection of  $P$  and (8)  $r'$  is best possibly maximal. By Prop. 3.7, it is easy to see that  $e = \bigoplus(e_\nu'; 1 \leq \nu \leq r'+1) \oplus (\bigoplus(e'; e' \in P(ee_{n+1}^c)))$  or  $e_{n+1} = \bigoplus(e_\nu''; 1 \leq \nu \leq r''+1) \oplus (\bigoplus(e'; e' \in P(ee_{n+1})))$ . If  $e = \bigoplus(e_\nu'; 1 \leq \nu \leq r'+1) \oplus (\bigoplus(e'; e' \in P(ee_{n+1})))$ , then we have  $d_\lambda(e) \leq d_\lambda(e_{n+1})$ , and so  $d_\lambda(e) = d_\lambda(e_{n+1})$  by (3). This means that  $e \sim_\lambda e_{n+1}$ . Starting from  $e$ , we can construct a decomposition  $1 = \bigoplus(e_\nu; n+2 \leq \nu \leq r+1)$  satisfying (9)  $e \sim_\lambda e_\nu$  ( $n+2 \leq \nu \leq r$ ), (10) each  $e_\nu$  is expressed as a direct-sum of projections of a common orthogonal system of projections of  $R$  commutative with each projection of  $P$ , (11)  $d_\lambda(e_{r+1}) \leq d_\lambda(e)$ . Therefore, we can take  $(e_\nu; 1 \leq \nu \leq r+1)$  as a commutative system in question for  $m+1$ . On the other hand, if  $e_{n+1} = \bigoplus(e_\nu''; 1 \leq \nu \leq r''+1) \oplus (\bigoplus(e''; e'' \in P(e^c e_{n+1})))$ , then we have  $d_\lambda(e_{n+1} \oplus e_{r'+1}') = d_\lambda(e)$  and, by starting from  $e_{n+1} \oplus e_{r'+1}'$ , we can construct a decomposition  $1 = \bigoplus(e_\nu; n+2 \leq \nu \leq r+1)$  satisfying (9)-(11) by the same way as above. Hence, we can construct  $(e_\nu; 1 \leq \nu \leq r+1)$  as a commutative system in question for  $m+1$ . It is easy to see that for some  $\sigma \in \mathcal{S}$ ,  $\sigma(e) = (1/n) \sum_{\nu=1}^n e_\nu$ . Thus, we have  $\|\sigma(e) - \alpha\|_\lambda \leq 1/n$  for some scalar  $\alpha$ . (Here, we denote by  $\|a\|_\lambda$  the local norm of  $a$ , that is,  $\inf(\|e_0(\lambda)a\|; e_0(\lambda) \in E_0(\lambda))$ ). Hence, (4.9) holds locally for  $e$ .

Next we shall prove (4.9) locally for  $a = \sum_{\mu=1}^r \alpha_\mu e_\mu$  with  $e_1 \leq e_2 \leq \dots \leq e_r$ . It is obvious for  $r=1$ . Moreover, by the assumption of induction for  $r$ , there exists a linear operator  $\sigma \in \mathcal{S}$  of  $e_2 R e_2$  with  $\|\sigma(e_1) - \alpha e_2\| \leq \text{Min}(\varepsilon/2, \varepsilon/2|\alpha_1|)$ . We denote it by  $\sigma(a) = \sum_{\nu=1}^n r_\nu s_\nu^* a s_\nu$ , where  $s_\nu$  ( $1 \leq \nu \leq n$ ) are unitary elements

of  $e_2 R e_2$  and  $r_\nu$  ( $1 \leq \nu \leq n$ ) are positive numbers with  $\sum_{\nu=1}^n r_\nu = 1$ . The operator  $\sigma$  may be extended to a linear operator  $a \rightarrow \sum_{\nu=1}^n \alpha_\nu s_\nu'^* a s_\nu'$  of  $R$ , where  $s_\nu' = s_\nu + e e^c$ . We denote it again by  $\sigma$ . Then, we have  $\sigma(e_\mu) = e_\mu$  ( $2 \leq \mu \leq r$ ) and so  $\|\sigma(a) - \sum_{\mu=2}^r \alpha_\mu' e_\mu\|_\lambda \leq \varepsilon/2$ , where  $\alpha_2' = \alpha_2 - \alpha \alpha_1$  and  $\alpha_\mu' = \alpha_\mu$  ( $3 \leq \mu \leq r$ ). By the assumption of induction we have  $\|\sigma_1(\sum_{\mu=2}^r \alpha_\mu' e_\mu) - \beta\|_\lambda \leq \varepsilon/2$  for some  $\sigma_1 \in \Sigma$  and some scalar  $\beta$ . Thus, we have  $\|\sigma_1 \sigma(a) - \beta\|_\lambda \leq \varepsilon$ . Hence, we have (4.9) locally for such an element  $a$  of  $N$  as before. Since any element  $a$  of  $N$  is uniformly approximated by those elements as before, we get (4.9) locally.

Finally, we shall prove (4.9) globally. Since we have already proved (4.9) locally, for any spectre  $\lambda$  of  $R$ , we may find  $\sigma_\lambda \in \Sigma$  such that  $\|\sigma_\lambda(a) - \alpha_\lambda\|_\lambda \leq \varepsilon/2$  for some scalar  $\alpha_\lambda$ . Hence, we have  $\|e_0(\lambda)(\sigma_\lambda(a) - \alpha_\lambda)\| \leq \varepsilon$  for some  $e_0(\lambda) \in E_0(\lambda)$ . As we know,  $\Omega$  is compact and so we get  $1 = \cup(e_0(\lambda_i); 1 \leq i \leq m)$  for some  $\lambda_i \in \Omega$  and some  $e_0(\lambda_i) \in E_0(\lambda_i)$ . We put  $e_0^{(1)} = e_0(\lambda_1)$  and  $e_0^{(i)} = e_0(\lambda_i)(\cup(e_0(\lambda_j); 1 \leq j \leq i-1))^c$  ( $1 \leq i \leq n$ ). Then, we have  $\|e_0^{(i)}(\sigma_{\lambda_i}(a) - \alpha_{\lambda_i})\| \leq \varepsilon$ . Suppose that  $\sigma_{\lambda_i}$  is defined by  $\sigma_{\lambda_i}(a) = \sum_{i=1}^n \alpha_{\nu_i}^{(i)} s_{\nu_i}(i)^* a s_{\nu_i}(i)$ , where  $e_0(\lambda_i) s_{\nu_i}(i)$  is a unitary element of  $e_0(\lambda_i) R$ . Then, we may find a unitary element  $s(\nu_1, \nu_2, \dots, \nu_m)$  of  $R$  satisfying that  $e_0^{(i)} s(\nu_1, \nu_2, \dots, \nu_m) e_0^{(i)} s_{\nu_i}(i)$  ( $1 \leq i \leq m$ ) for any  $\nu_1, \nu_2, \dots, \nu_m$  with  $1 \leq \nu_i \leq n_i$  ( $1 \leq i \leq m$ ). We denote by  $\sigma$  the linear operator of  $R$  defined by  $\sigma(a) = \sum_{\nu_1=1}^{n_1} \sum_{\nu_2=1}^{n_2} \dots \sum_{\nu_m=1}^{n_m} \alpha_{\nu_1}(1) \alpha_{\nu_2}(2) \dots \alpha_{\nu_m}(m) s(\nu_1, \nu_2, \dots, \nu_m)^* a s(\nu_1, \nu_2, \dots, \nu_m)$  and by  $a_0$  the element  $\sum(\alpha_{\lambda_i} e_0^{(i)}; 1 \leq i \leq m)$  of  $R_0$ . Thus, we have  $\|e_0^{(i)}(\sigma(a) - a_0)\| = \|e_0(\lambda_i)(\sigma_{\lambda_i}(a) - \alpha_{\lambda_i})\| \leq \varepsilon$  and so  $\|\sigma(a) - a_0\| \leq \varepsilon$ , q. e. d.

LEMMA 4.1. *There exists a local trace of  $R$ , if  $R$  is of local type  $(I_n)_\lambda$ .*

PROOF. It is easy to see that  $R$  is locally isomorphic to the full matrix algebra of degree  $n$  over the complex number field, whose local isomorphism is denoted by  $\varphi(a) = \sum_{i,j=1}^n \alpha_{ij} e_{ij}$ , where  $(\alpha_{ij}; 1 \leq i, j \leq n)$  is a system of complex numbers and  $(e_{ij}; 1 \leq i, j \leq n)$  is a system of matrix units. Thus, we have  $t_\lambda(a) = \sum_{i=1}^n \alpha_{ii}$  as a local trace of  $R$ . q. e. d.

For a while, we denote by  $R$  a finite and non-discrete  $AW^*$ -algebra. But we notice that the results in this § are all valid, even if we drop the non-discreteness assumption and assume simply that  $R$  is finite.

Since  $R$  has the unit 1, we may assume without loss of generality that  $R$  contains the complex number field and so the unit 1 may consider as coinciding with the number 1.

DEFINITION 4.2. (1) *A projection  $e$  of  $R$  is called elementary if it is simple of order  $2^n$ . We put  $2^{-n} e^h = D(e)$  and  $2^{-n} \lambda(e^h) = D(e)$ .*

(2) *A projection  $e$  of  $R$  is called locally singular if  $d_\lambda(e) \leq d_\lambda(e_1)$  for any locally non-zero and locally elementary projection  $e_1$  of  $R$ .*

The projection 0 is considered as simple of order  $n$  for any  $n$ . In this way, the elementarity of a projection of  $R$  is a normal (global) property concerning a projection of  $R$ . Hence, we say about being locally elementary.

The local singularity of a projection of  $R$  is a local property concerning a projection of  $R$ . Hence, the property concerning a projection of  $R$  that the projection is not locally singular, is never a local property. But, for convenience, we call this property the local non-singularity. What property is the global form of local singularity? The following lemma is an answer to this question. Here is a property, which does not coincide with the local form of its global form (cf. §1).

LEMMA 4.2. *If  $e$  is a locally singular projection of  $R$  with respect to any spectre of  $R$ , then  $e=0$ .*

PROOF. Using Prop. 3.4 step by step, we find a decomposition  $1=\bigoplus(e_n; 1\leq n<\infty)$  with  $D(e_n)=2^{-n}(1\leq n<\infty)$ . Since  $e$  is locally singular with respect to any spectre of  $R$ , we may find a projection  $e_n'$  with  $e\sim e_n'\leq e_n$  for each natural number  $n$ . If  $e$  is a non-zero projection, then  $\bigoplus(e_n'; 1\leq n<\infty)$  is also a non-zero projection. According to Prop. 3.2,  $\bigoplus(e_n'; 1\leq n<\infty)$  is normally infinite, and we arrive at a contradiction. q. e. d.

As an immediate consequence of Lemma 4.2, we have the following

COROLLARY. *Every projection of  $R$  is expressed as a direct-sum of elementary projections of  $R$ .*

The following lemma is due to J. Dixmier [5] and the present proof is essentially the same as his.

LEMMA 4.3. *For a decomposition  $1=\bigoplus(e_i; i\in I)$  we have*

$$(4.10) \quad 1=\sum(D(e_i); i\in I)$$

where each  $e_i$  is an elementary projection of  $R$ .

PROOF. We denote by  $I_n$  the set of indices  $i$ 's of  $I$  with  $D(e_i)=2^{-n}e_i^{\natural}$  and by  $P_n$  the set of projections  $e_0e_i$ 's of  $R$  for  $i\in I_n$  and  $e_0\in E_0$ . Then, there exists a maximal orthogonal system  $P_n^{(1)}$  of projections of  $P_n$  with  $e_1^{\natural}e_2^{\natural}=0$  for  $e_1\neq e_2$  ( $e_1, e_2\in P_n^{(1)}$ ). Furthermore, by induction, we may find a maximal orthogonal system  $P_n^{(k)}$  ( $k\geq 2$ ) of projections of  $P_n$  with  $e_1^{\natural}e_2^{\natural}=0$  for  $e_1\neq e_2$  ( $e_1, e_2\in P_n^{(k)}$ ) and orthogonal to  $P_n^{(\nu)}$  ( $1\leq\nu\leq k-1$ ). We put  $\bigoplus(e; e\in P_n^{(k)})=e_n^{(k)}$ . Then, we have  $D(e_n^{(k)})=2^{-n}e_n^{(k)\natural}$ , because the simplicity is normal. Moreover, it holds that  $e_n^{(1)\natural}\geq e_n^{(2)\natural}\geq\dots$ . If  $\bigcap(e_n^{(k)\natural}; 1\leq k\leq 2^n+1)$  (say= $e_0$ ) is not 0, we must have  $\bigoplus(e_n^{(k)}; 1\leq k\leq 2^n+1)\leq 1$  and  $D_\lambda(e_n^{(k)})=2^{-n}$  for a spectre  $\lambda$  of  $R$  with  $\lambda(e_0)=1$ . This leads to a contradiction. Hence, we may find a natural number  $k(n)$  with  $e_n^{(k)}\neq 0$  ( $1\leq k\leq k(n)$ ) and  $e_n^{(k)}=0$  ( $k(n)<k$ ). This implies that  $\bigoplus(e_i; i\in I_n)=\bigoplus(e_n^{(k)}; 1\leq k\leq k(n))$ . Since it holds that  $e_i=\bigoplus(e_i e_n^{(k)}; 1\leq k\leq k(n))$  for  $i\in I_n$ , we see that  $D(e_i)=\sum(D(e_i e_n^{(k)}); 1\leq k\leq k(n))$  (finite sum). On the other hand, we have  $D(e_n^{(k)})=\sum(D(e_i e_n^{(k)}); i\in I_n)$  (direct sum). Thus, the equality  $\sum(D(e_i); i\in I)=\sum(D(e_n^{(k)}); 1\leq k\leq k(n), 1\leq n<\infty)$  is obtained.

By the above argument, we may assume without loss of generality that  $I$  is a countable set (say( $n; 1\leq n<\infty$ )). If it does not hold that  $1=$



$\Sigma(D(e_n); 1 \leq n < \infty)$ , we may find a non-zero elementary projection  $e$  of  $R$  such that  $1 \geq D(e) + \Sigma(D(e_n); 1 \leq n < \infty)$ . For, putting  $e_0 = \Sigma(D(e_n); 1 \leq n < \infty)$ , we have  $\lambda(1 - e_0) > 0$  for some  $\lambda \in \Omega$ , that is,  $e_0(\lambda)((1 - e_0)2^{-n}) \geq 0$  for some  $e_0(\lambda) \in E_0(\lambda)$  and some natural number  $n$ . Hence, we have  $e_0(\lambda)(1 - e_0 - D(e)) \geq 0$  for any elementary projection  $e$  of order  $n$  with  $e^h = e_0(\lambda)$ . Thus, we get  $1 - e_0 \geq e_0(\lambda)(1 - e_0) \geq e_0(\lambda)D(e) = D(e)$ .

We shall prove that there exists an orthogonal system  $(e_n'; 1 \leq n < \infty)$  of projections of  $R$  satisfying  $e_n \sim e_n'$  and  $\bigoplus(e_n'; 1 \leq n < \infty) \leq e^c$  by induction. For an  $n$ , suppose that we have construct already an orthogonal system  $(e_i'; 1 \leq i \leq n)$  of projections of  $R$  satisfying  $e_i \sim e_i'$  ( $1 \leq i \leq n$ ) and  $\bigoplus(e_i'; 1 \leq i \leq n) \leq e^c$ . Then, denoting  $(e \oplus (\bigoplus(e_i'; 1 \leq i \leq n))^c$  briefly by  $e'$ , we have  $D(e') = 1 - D(e) - \Sigma(D(e_i'); 1 \leq i \leq n) = 1 - D(e) - \Sigma(D(e_i); 1 \leq i \leq n) \geq D(e_{n+1})$ . Since  $e', e_{n+1}$  are expressed as a direct-sum of a finite number of elementary projections, for any spectre  $\lambda$  of  $R$ , we have  $e_{n+1} \sim_\lambda e_{n+1}' \leq e'$  for some  $e_{n+1}' \in E$  by Prop. 3.7. Hence, we have  $e_{n+1} \sim e_{n+1}' \leq e'$  for some  $e_{n+1}' \in E$  by Prop. 1.1. The proof for  $n=1$  is obtained by making  $e' = e^c$ . Thus, we can construct the system  $(e_n'; 1 \leq n < \infty)$  in question. From this it follows that  $1 = \bigoplus(e_n; 1 \leq n < \infty) \sim \bigoplus(e_n'; 1 \leq n < \infty) \leq e^c \neq 1$ . This is a contradiction. Therefore, we arrive at the assertion. q. e. d.

Now, we shall introduce the following

DEFINITION 4.3. An operator  $D$  from  $E$  into  $R_0$  satisfying the following

- (4.11)  $D(1) = 1$ ,
- (4.12)  $D(\bigoplus(e_i; i \in I)) = \Sigma(D(e_i); i \in I)$ ,
- (4.13)  $D(e_0 e) = e_0 D(e)$  for  $e_0 \in E_0$ ,
- (4.14)  $D(e_1) = D(e_2)$  if and only if  $e_1 \sim e_2$ ,

is called a relative dimension function of  $R$  (after J. Dixmier [5]). From this definition, it follows  $D(e_0) = e_0$  for  $e_0 \in E_0$ .

PROPOSITION 4.3. There exists one (and only one) relative dimension function of  $R$  if and only if  $R$  is finite.

PROOF. Necessity. If  $R$  is not finite, then we may find a projection  $e$  of  $R$  and a non-zero projection  $e_0$  of  $R_0$  with  $e_0 e \sim e_0 \sim e_0 e^c$  by Prop. 3.2. Thus, we have  $e_0 = D(e_0) = D(e_0 e) + D(e_0 e^c) = 2e_0$  if  $R$  has a relative dimension function  $D$ . This is a contradiction. Sufficiency. For any projection  $e$  of  $R$  there is a decomposition  $e = \bigoplus(e_i; i \in I)$  by the Corollary of Lemma 4.2, where each  $e_i$  is an elementary projection of  $R$ . We denote  $\Sigma(D(e_i); i \in I)$  by  $D(e)$ . For another decomposition  $e = \bigoplus(e_i'; i' \in I')$  combining with a decomposition  $e^c = \bigoplus(e_i''; i'' \in I'')$ , we have  $\Sigma(D(e_i); i \in I) + \Sigma(D(e_i''); i'' \in I'') = \Sigma(D(e_i); i' \in I') + \Sigma(D(e_i''); i'' \in I'')$ , by Lemma 4.3. Hence, we have  $\Sigma(D(e_i); i \in I) = \Sigma(D(e_i'); i' \in I')$ . This means that  $D(e)$  is well-defined. It is easy to see (4.11)–(4.14). Uniqueness. For another relative dimension function  $D'$  of  $R$ , we have

$D(e_i)=D'(e_i)$  for an elementary projection  $e_i$  of  $R$ . For an arbitrary projection  $e$ , we may find a decomposition  $e=\bigoplus(e_i; i \in I)$ , where each  $e_i$  is an elementary projection of  $R$  by the Corollary of Lemma 4.2, and so we have  $D(e)=D'(e)$  by (4.12). This completes the proof. q. e. d.

We now establish the local forms of Lemma 4.3, Def. 4.3, and Prop. 4.3.

LEMMA 4.4. *We have  $1=\sum(D_\lambda(e_i); i \in I)$  for any maximal orthogonal system  $(e_i; i \in I)$  of elementary projections  $e_i$ 's of  $R$  with  $\lambda(e_i^h)=1$ .*

PROOF. It is easy to see that  $1 \geq \sum(D(e_i); i \in I')$  for any finite subset  $I'$  of  $I$ . Hence, we may assume without loss of generality that  $I$  is a countable set (say  $I=(n; 1 \leq n < \infty)$ ). Thus, we have  $1 \geq \sum_{n=1}^\infty D_\lambda(e_n)$ . Moreover, we may assume without loss of generality that  $D_\lambda(e_n) \geq D_\lambda(e_{n+1})$  for  $n \geq 1$ . If  $\sum_{n=1}^\infty D_\lambda(e_n) < 1$ , we may find a natural number  $k \geq 2$  with  $\sum_{n=1}^\infty D_\lambda(e_n) + 2^{-(k-1)} < 1$ . There exists a system  $(e_n^{(i)}; 1 \leq i \leq 2^n, 1 \leq n < \infty)$  of elementary projections of  $R$ , which satisfies the following conditions: (1)  $D(e_n^{(i)})=2^{-n}$ , (2)  $e_n^{(i)}e_n^{(j)}=0$  for  $i \neq j$ , and  $e_m^{(i)}e_n^{(j)}=0$  or  $e_n^{(j)}$  for  $m < n$ . Then, it is easily seen that there exists an orthogonal subsystem  $(e_n'; 1 \leq n < \infty)$  of the above system  $(e_n^{(i)}; 1 \leq i \leq 2^n, 1 \leq n < \infty)$  satisfying  $e_n \sim e_n' \leq e_n'$  and  $e_n'(e_k^{(1)} \oplus e_k^{(2)})=0$ . Since  $(\bigoplus(e_n; 1 \leq n < \infty))^e$  is locally singular, we have  $d_\lambda((\bigoplus(e_n; 1 \leq n < \infty))^e) \leq d_\lambda(e_k^{(1)})$ . Thus, we have  $d_\lambda(1) \leq d_\lambda(e_k^{(2)e})$ , which is a contradiction. q. e. d.

DEFINITION 4.4. *A functional  $D_\lambda$  of  $E$  satisfying the following*

$$(4.15) \quad D_\lambda(1)=1,$$

(4.16)  $D_\lambda(\bigoplus(e_i; i \in I))=\sum(D_\lambda(e_i); i \in I)$  for mutually orthogonal elementary projections  $e_i$ 's of  $R$  with  $\lambda(e_i^h)=1$  except for at most a finite number of projections,

$$(4.17) \quad D_\lambda(e)=D_\lambda(e_0(\lambda)e) \text{ for } e_0(\lambda) \in E_0(\lambda),$$

$$(4.18) \quad D_\lambda(e_1)=D_\lambda(e_2) \text{ for } e_1 \sim_\lambda e_2,$$

is called a local relative dimension function of  $R$  (after F.J. Murray and J.v. Neumann [1]).

PROPOSITION 4.4. *There exists one (and only one) local relative dimension function of  $R$  if and only if  $R$  is locally finite.*

PROOF. We can prove the assertion by a similar argument as in the proof of Prop. 4.3. q. e. d.

For any projection  $e$  of  $R$ , the center of  $eRe$  is isomorphic to that of  $e^hR$ . In fact, putting  $R_1=e^hR$ ,  $R_2=eRe$ , and  $A=eR$ , we have  $b^*a \in R_1, ab^* \in R_2$ , and  $R_2aR_1 \subseteq A$  for any  $a, b \in A$ , that is, we see that  $A$  satisfies the conditions of  $A$  in 2. Under the same terminologies as in §2, we have  $I_1^\# = e = I_2$  and  $I_2^\# = e^h = I_1$ . Hence, the center of  $e^hR$  is isomorphic to that of  $eRe$  by  $\#$  (cf. Prop. 2.8). Thus,  $\#$  induces a homeomorphism between the spectrum of the center  $e^hR_0$  of  $e^hR$  and that of  $eRe$ . Therefore we may identify a spectre of  $e^hR$  and its image by the induced homeomorphism.

We denote by  $\mathfrak{R}$  the real number field, which we consider as being imbedded in  $N_0$ .

Now, we shall introduce the following important tool for the proof of Prop. 4.1.

DEFINITION 4.5. For an element  $a$  of  $N$ , we write

(4.19)  $\bar{t}_\lambda(a, \sigma) = \sup(\alpha; \sigma(a) \geq_\lambda \alpha, \alpha \in \mathfrak{R}), \underline{t}_\lambda(a, \sigma) = \inf(\alpha; \sigma(a) \leq_\lambda \alpha, \alpha \in \mathfrak{R}),$  for  $\sigma \in \Sigma,$

(4.20)  $\bar{t}_\lambda(a) = \sup(t_\lambda(a, \sigma); \sigma \in \Sigma), \underline{t}_\lambda(a) = \inf(t_\lambda(a, \sigma); \sigma \in \Sigma).$

Moreover, we use the notation  $\bar{t}_\lambda(a, e)$  instead of  $\bar{t}_\lambda(a)$  of  $eRe$  and the notation  $\underline{t}_\lambda(a, e)$  instead of  $\underline{t}_\lambda(a)$  of  $eRe$  for an element  $a$  of  $eRe$ .

In order to prove Prop. 4.1, we use the following three lemmas.

LEMMA 4.5. For  $a, b \in N, e_i \in E (i=1, 2, 3)$  and  $\alpha \in \mathfrak{R}$ , we have

(1)  $\bar{t}_\lambda(s^*as) = \bar{t}_\lambda(a)$  for any unitary element  $s$  of  $R$ ,

(2)  $\bar{t}_\lambda(\alpha a) = \alpha \bar{t}_\lambda(a)$  for  $\alpha \geq 0$ , (3)  $\bar{t}_\lambda(a) \geq 0$  for  $a \geq 0$ ,

(4)  $\bar{t}_\lambda(a - \alpha) = \bar{t}_\lambda(a) - \alpha$ , (5)  $\bar{t}_\lambda(a + b) \leq \bar{t}_\lambda(a) + \bar{t}_\lambda(b)$ ,

(6)  $\bar{t}_\lambda(a) \geq \underline{t}_\lambda(a)$ , (7)  $\bar{t}_\lambda(e_1, e_2) \bar{t}_\lambda(e_2, e_3) \leq \bar{t}_\lambda(e_1, e_3)$  for  $e_1 \leq e_2 \leq e_3$ , (8)  $\bar{t}_\lambda(e_1)/D_\lambda(e_1) \leq \bar{t}_\lambda(e_2)/D_\lambda(e_2)$  for locally elementary projections  $e_i (i=1, 2)$  with  $0 < D_\lambda(e_2) \leq D_\lambda(e_1)$ , and hence  $\bar{t}_\lambda(e_1) = \bar{t}_\lambda(e_2)$  when  $D_\lambda(e_1) = D_\lambda(e_2)$ , and (9)  $\bar{t}_\lambda(e) = 0$  for every locally singular projection  $e$  of  $N$ .

PROOF. It is easy to see (1)-(4). In order to show (5), we may find  $\sigma_i \in \Sigma (i=1, 2, 3)$  and  $\alpha_j \in \mathfrak{R} (j=1, 2)$  for a positive number  $\varepsilon$  such that  $\bar{t}_\lambda(a + b) - \varepsilon \leq_\lambda \sigma_1(a + b)$  by the definition of  $\bar{t}_\lambda$ ,  $\|\sigma_2 \sigma_1(a) - \alpha_1\|_\lambda \leq \varepsilon$  by Prop. 4.2, and  $\|\sigma_3 \sigma_2 \sigma_1(b) - \alpha_2\|_\lambda \leq \varepsilon$  by Prop. 4.2. Hence, we have  $\bar{t}_\lambda(a + b) - \varepsilon \leq \alpha_1 + \alpha_2 + 2\varepsilon$  by an easy computation. Since  $\alpha_1 - \varepsilon \leq \bar{t}_\lambda(a)$  and  $\alpha_2 - \varepsilon \leq \bar{t}_\lambda(b)$ , we get  $\bar{t}_\lambda(a + b) \leq \bar{t}_\lambda(a) + \bar{t}_\lambda(b) + 4\varepsilon$ . Making  $\varepsilon \downarrow 0$ , we have (5).

In order to see (6), we may find  $\sigma \in \Sigma$  and  $\alpha \in \mathfrak{R}$  for a positive number  $\varepsilon$  such that  $\|\sigma(a) - \alpha\|_\lambda \leq \varepsilon$  by Prop. 4.2. Therefore, we have  $\alpha - \varepsilon \leq \bar{t}_\lambda(a)$  and  $\alpha + \varepsilon \geq \underline{t}_\lambda(a)$ . Making  $\varepsilon \downarrow 0$ , we obtain (6).

In order to see (7), we may find  $\sigma_2 \in \Sigma$  with  $\sigma_2(e_2) = e_2, \sigma_2(e_3) = e_3$  such that  $(\bar{t}_\lambda(e_1, e_2) - \varepsilon)e_2 \leq_\lambda \sigma_2(e_1)$  by Prop. 4.2 (cf. the proof of Prop. 4.2) and  $\sigma_3 \in \Sigma$  with  $\sigma_3(e_3) = e_3$  such that  $(\bar{t}_\lambda(e_2, e_3) - \varepsilon)e_3 \leq_\lambda \sigma_3(e_2)$  by Prop. 4.2. Hence, we have  $(\bar{t}_\lambda(e_1, e_2) - \varepsilon)(\bar{t}_\lambda(e_2, e_3) - \varepsilon) \leq \bar{t}_\lambda(e_1, e_3)$ . Making  $\varepsilon \downarrow 0$ , we get (7).

In order to see (8), we may find  $\sigma_1 \in \Sigma$  such that  $\sigma_1(e_2) =_\lambda (D_\lambda(e_2)/D_\lambda(e_1))e_1$  by using the local elementarity of  $e_1, e_2$  and  $D_\lambda(e_1) \geq D_\lambda(e_2)$ , and  $\sigma \in \Sigma$  such that  $\bar{t}_\lambda(e_1) - \varepsilon \leq_\lambda \sigma(e_1)$  by the definition of  $\bar{t}_\lambda$ . Hence, we have  $(\bar{t}_\lambda(e_1) - \varepsilon)D_\lambda(e_2)/D_\lambda(e_1) \leq_\lambda \sigma \sigma_1(e_2)$ . Thus, we have  $(\bar{t}_\lambda(e_1) - \varepsilon)D_\lambda(e_2)/D_\lambda(e_1) \leq \bar{t}_\lambda(e_2)$ . Making  $\varepsilon \downarrow 0$ , we obtain (8).

In order to prove (9), we notice that  $e_1 \cup e_2$  is locally singular with  $e_i (i=1, 2)$  because of  $d_\lambda(e_1 \cup e_2 - e_1) \leq d_\lambda(e_2)$  (cf. Lemma 2.5 and the Corollary 1 of Prop. 3.6.). From this, it follows easily that (9) holds. q. e. d.

LEMMA 4.6. *If there exists a locally non-zero and locally elementary projection  $e_1$  of  $R$  with  $\bar{t}_\lambda(e) < 1$ , then there exists a projection  $e_1$  of  $R$  and a state  $f$  of  $e_1Re_1$  such that it holds  $f(e_1') = 0$  if and only if  $e_1'$  is a locally singular projection of  $e_1Re_1$ , where we say that a linear functional  $f$  of  $R$  is a state of  $R$  if it satisfies that (1)  $f(1) = 1$ , (2)  $f(\alpha a) = \alpha f(a)$  for  $a \in R$  and  $\alpha$  being any complex number, (3)  $f(a^*) = \overline{f(a)}$  for  $a \in R$  ( $f(a) =$  the conjugate complex number of  $f(a)$ ), (4)  $f(a^*a) \geq 0$  for  $a \in R$ .*

PROOF. Let  $E_L$  be the set of elementary projections  $e_1$ 's of  $R$  with  $e_1^h = 1$  and let  $G_L$  be the set of supremum of orthogonal system of projections of  $E_L$ . We may assume without loss of generality that  $e$  is a projection of  $E_L$ . We note that  $D(e') = D_\lambda(e') \cdot 1$  when  $e'^h = 1$ . So we shall consider henceforth  $D(e')$  (when  $e'^h = 1$ ) as a real number and then the above equality can be written as  $D(e') = D_\lambda(e')$  when  $e'^h = 1$ . Then, we can find a maximal chain  $G$  of projections of  $G_L$  containing  $e$  and satisfying  $(D(e'); e' \in G) = [0, 1]$  (the closed interval of real numbers between 0 and 1). In fact, we can find a system  $G_0 = (e_n(\beta_0); 0 \leq \beta_0 \leq 1, 2^n \beta_0$  a natural number with  $n$ ) of projections of  $E_L$  such that (1)  $e \in G_0$ , (2)  $D(e_n(\beta_0)) = 2^{-n}$ , and (3)  $e_n(\beta_0) = e_{n+1}(\beta_0 - 2^{-(n+1)}) \oplus e_{n+1}(\beta_0)$  for  $0 < \beta_0 \leq 1$  and  $2^n \beta_0$  is a natural number. For any real number  $\beta$  with  $0 \leq \beta \leq 1$ , we have an expression  $\beta = \sum_{\nu=0}^{\infty} \epsilon_\nu 2^{-\nu}$  ( $\epsilon_\nu = 0$  or  $1$ ). We put  $\beta_n = \sum_{\nu=0}^n \epsilon_\nu 2^{-\nu}$  and  $e(\beta) = \bigoplus (e_n(\beta_n))$ ; for  $n$  such that  $2^n \beta_n$  is odd) for  $\beta > 0$  and  $e(0) = 0$  for  $\beta = 0$ . Then,  $e(\beta)$  is independent on the expression of  $\beta$ . We denote  $(e(\beta); 0 \leq \beta \leq 1)$  by  $G$ . Then,  $G$  is a chain of projections of  $G_L$  in question. It is easy to see that  $D(e(\beta)) = \beta$  and  $\lim_{\beta \rightarrow \alpha} e(\beta) = e(\alpha)$ . We denote by  $e_1$  the supremum of  $(e'; t_\lambda(e') < 1, e' \in G)$ . Then, we have  $e_1 \in G$  and  $D(e) \leq D(e_1)$ .

If  $\bar{t}_\lambda(e_1) = 1$ , then we have  $\bar{t}_\lambda(e', e_1) < 1$  for any projection  $e'$  of  $G$  with  $D(e') < D(e_1)$  by (7) of Lemma 4.5. For any elementary projection  $e_1'$  of  $e_1Re_1$  with  $\lambda(e_1'^h) = 1$ , we may find a projection  $e'$  of  $G$  such that  $D_\lambda(e_1e_1'^c) < D(e') < D(e_1)$ . Hence, we have  $\bar{t}_\lambda(e_1e_1'^c, e_1) < 1$ . By the extension theorem of Hahn and Banach, there exists a state  $f$  of  $e_1Re_1$  with  $f(a) \leq t_\lambda(a, e_1)$  for any hermitian element  $a$  of  $e_1Re_1$  by virtue of (2), (4) and (5) of Lemma 4.5. Therefore, we have  $f(e_1') = 1 - f(e_1e_1'^c) \geq 1 - t_\lambda(e_1e_1'^c) < 0$ , for any elementary projection  $e_1'$  of  $e_1Re_1$  with  $\lambda(e_1'^h) = 1$ .

If  $\bar{t}_\lambda(e_1) < 1$ , denoting by  $e_n''$  the projection of  $G$  with  $D(e_n'') = (1 + 2^{-n})D(e_1)$  for large  $n$  say  $n \geq n_0$ , there exists a state  $f_n$  of  $e_n''Re_n''$  with  $f_n(a) \leq \bar{t}_\lambda(a, e_n'')$  for any hermitian element  $a$  of  $e_n''Re_n''$ . Since  $\bar{t}_\lambda(e_1, e_n'') < 1$  by (7) of Lemma 4.5, we have  $f_n(e_1') < 0$  for any elementary projection  $e_1'$  of  $e_1Re_1$  with  $D_\lambda(e_1') = 2^{-n}$ . We put  $f(a) = \epsilon^{-1} \sum_{n=n_0}^{\infty} 2^{-n} f_n(a)$  for any element  $a$  of  $e_1Re_1$ , where  $\epsilon = \sum_{n=n_0}^{\infty} 2^{-n} f_n(e_1)$ . Then,  $f$  is a state of  $e_1Re_1$  and  $f(e_1') > 0$  for any elementary projection  $e_1'$  of  $e_1Re_1$  with  $\lambda(e_1'^h) = 1$ . This complete the proof. q. e. d.

LEMMA 4.7. *A locally finite (locally non-discrete) AW\*-algebra  $R$  has a local trace, if  $eRe$  has a local trace for a locally non-singular projection  $e$  of  $R$ .*

PROOF. Since  $e$  is a locally non-singular projection of  $R$ , there exists a locally non-zero and locally elementary projection  $e_1$  of order  $2^n$  with  $e_1 \leq e$ . Then, we may find a local decomposition  $1 = \bigoplus_{1 \leq j \leq 2^n} e_j$  with  $e_1 \sim_\lambda e_j$  ( $1 \leq j \leq 2^n$ ) and local partial isometries  $u_j$  ( $1 \leq j \leq 2^n$ ) with  $e_{3^k}(u_j) = e_j$  and  $e(u_j) = e_1$ . We put  $e_{ij} = u_i^* u_j$  ( $1 \leq i, j \leq 2^n$ ). Then,  $(e_{ij}; 1 \leq i, j \leq 2^n)$  is a system of matrix units of  $R$ . Put  $\varphi(a) = \sum(u_j^* a u_j; 1 \leq j \leq 2^n)$  for each  $a \in e_1 R e_1$ . Then,  $\varphi$  is an isomorphism from  $e_1 R e_1$  onto  $\varphi(e_1 R e_1)$ . It is easily seen that  $\varphi(a) e_{ij} = e_{ij} \varphi(a)$  ( $1 \leq i, j \leq 2^n$ ) for each  $a \in e_1 R e_1$  and  $R = \sum(\varphi(e_1 R e_1) e_{ij}; 1 \leq i, j \leq 2^n)$ , the full matrix algebra of order  $2^n$  over  $e_1 R e_1$ . Since a local trace of  $eRe$  is that of  $e_1 R e_1$  by restriction with neglect to constant multiplier, we may assume without loss of generality that  $\varphi(e_1 R e_1)$  has a local trace (say  $t_\lambda'$ ). We put  $t_\lambda(a) = \sum(t_\lambda'(a_{jj}); 1 \leq j \leq 2^n)$ , where  $a = \sum(a_{ij} e_{ij}; 1 \leq i, j \leq 2^n, a_{ij} \in \varphi(e_1 R e_1))$ . Then, it is easy to see that  $t_\lambda$  is a local trace of  $R$ . q. e. d.

The proof of Prop. 4.1. We need only to prove the sufficiency. From Lemma 4.6, and Lemma 4.7, we may assume without loss of generality that there exists a state  $f_\lambda$  of  $R$  such that  $f_\lambda(e) = 0$  if and only if  $e$  is locally singular.

First we shall prove that, for any positive number  $\varepsilon$ , there exists a local state  $g_\lambda$  of  $R$  such that it holds

$$(4.21) \quad |g_\lambda(a) - g_\lambda(\sigma(a))| \leq 2\varepsilon \|a\|_\lambda$$

for any  $a$  of  $N$  and for any  $\sigma \in \Sigma$ , where we say that  $g_\lambda$  is a local state of  $R$  if it is a state of  $R$  with  $g_\lambda(a) = g_\lambda(e_0(\lambda)a)$  for any  $e_0(\lambda) \in E_0(\lambda)$ . By a similar argument as in [2], we can find a locally non-zero and locally elementary projection  $e$  of  $R$  satisfying  $f_\lambda(e) \leq D_\lambda(e_1)$  for each locally elementary projection  $e_1$  of  $R$  with  $e_1 \leq e$ . We shall prove this fact below. If we have a decomposition  $1 = \bigoplus(e_i; i \in I) + e'$  such that  $f_\lambda(e_i) > D_\lambda(e_i)$  ( $i \in I$ ) and that  $e'$  is locally singular, then, using (4.16) and the fact that  $f_\lambda(e') = 0, D_\lambda(e') = 0$ , we have  $1 = f_\lambda(1) \geq (f_\lambda(e_i); i \in I) > (D_\lambda(e_i); i \in I) = D_\lambda(1) = 1$ . This leads to a contradiction. Hence, we get the above-mentioned fact. For a positive number  $\theta$ , we denote by  $e \leq_p \theta$  (or  $e \geq_p \theta$ ) if  $f_\lambda(e_1) \leq \theta D_\lambda(e_1)$  (or  $f_\lambda(e_1) \geq \theta D_\lambda(e_1)$ ) for any projection  $e_1$  of  $eRe$  after F. J. Murray-J. v. Neumann [2], J. Dixmier [5], Ti. Yen [8], and M. Goldman [9]. Then we can write  $e \leq_p 1$ . In this case, moreover, for any decomposition (4.16) in  $eRe$ , we have  $f_\lambda(e) = \sum(f_\lambda(e_i); i \in I)$ . In fact, by the definition of the sum of a infinite number of positive numbers, for any  $\varepsilon > 0$ , we can find a finite number of projections (say  $e_1, e_2, \dots, e_n$ ) of  $(e_i; i \in I)$  such that  $D_\lambda(e) < \sum(D_\lambda(e_i); 1 \leq i \leq n) + \varepsilon$ . Since  $D_\lambda(e(\bigoplus(e_i; 1 \leq i \leq n))^c) = D_\lambda(e) - \sum(D_\lambda(e_i); 1 \leq i \leq n) < \varepsilon$ , we can find a positive number  $\beta_0$  such that  $D_\lambda(e(\bigoplus(e_i; 1 \leq i \leq n))^c) \leq \beta_0 < \varepsilon$  and that  $2^n \beta_0$  is a

natural number for some natural number  $n$ . In this case, we can find a projection  $e^{(0)}$  satisfying that  $e(\oplus(e_i; 1 \leq i \leq n))^c \leq e^{(0)}$  and that  $D_\lambda(e^{(0)}) = \beta_0$ . Since  $D_\lambda(e^{(0)}) = \beta_0$ , we can find a local decomposition  $e^{(0)} = \oplus(e^{(j)}; 1 \leq j \leq m)$  for some natural number  $m$ , where each  $e^{(j)}$  is locally elementary. Hence, we have  $f_\lambda(e(\oplus(e_i; 1 \leq i \leq n))^c) \leq f_\lambda(e^{(0)}) = \sum(f_\lambda(e^{(j)}; 1 \leq j \leq m) = \sum(D_\lambda(e^{(j)}; 1 \leq i \leq m) = D_\lambda(e^{(0)}) = \beta_0 < \varepsilon$ . This means that  $f_\lambda(e) = \sum(f_\lambda(e_i); 1 \leq i \leq n) + f_\lambda(e(\oplus(e_i; 1 \leq i \leq n))^c) < \sum(f_\lambda(e_i); 1 \leq i \leq n) + \varepsilon$ . On the other hand, of course, we have  $f_\lambda(e) \geq \sum(f_\lambda(e_i); i \in I)$ . Thus, we get the desired equality  $f_\lambda(e) = \sum(f_\lambda(e_i); i \in I)$ . Put  $\theta = \inf(\theta'; e \leq_p \theta')$ . Then, for any positive number  $\eta$ , we may find a locally non-zero and locally elementary projection  $e_\eta$  of  $R$  with  $\theta - \eta \leq_p e_\eta$  by a similar argument as in [2]. Hence, we have  $|f_\lambda(e_1) - \theta D_\lambda(e_1)| \leq \eta D_\lambda(e_1)$  for any locally elementary projection  $e_1$  of  $R$  with  $e_1 \leq e_\eta$ . Therefore, we get  $|f_\lambda(e^{(1)}) - \theta D_\lambda(e^{(1)})| \leq \eta D_\lambda(e^{(1)})$  for any projection  $e^{(1)}$  of  $R$  with  $e^{(1)} \leq e_\eta$ . For any element  $a$  of  $N$ , we denote by  $(e_\alpha(a); -\infty < \alpha < \infty)$  the resolution of the unit for  $a$ . We put  $t_\lambda^0(a) = \int_{-\infty}^{\infty} \alpha dD_\lambda(e_\alpha(a))$ . Then, we have  $|f_\lambda(a) - \theta t_\lambda^0(a)| \leq \eta t_\lambda^0(\sqrt{a^2}) \leq \eta \|a\|_\lambda$  for  $a \in e_\eta R e_\eta$  by an easy computation. Since  $t_\lambda^0(a^*a) = t_\lambda^0(aa^*)$  by (3.6) of Prop. 3.6, we have  $|f_\lambda(a^*a) - f_\lambda(aa^*)| \leq 2\eta t_\lambda^0(a^*a)$ , that is,  $f_\lambda(aa^*) \leq (1 + \varepsilon)f_\lambda(a^*a)$ , where  $\varepsilon = 2\eta(\theta - \eta)^{-1}(0 < \eta < \theta)$ . We denote the local order of  $e_\eta$  by  $2^n$  (that is,  $D_\lambda(e_\eta) = 2^{-n}$ ). Then, we may find a system  $(e_{ij}; 1 \leq i, j \leq 2^n)$  of matrix units of  $R$  with  $e_\eta = e_{11}$ . We shall write  $g_\lambda(a) = \sum_{j=1}^{2^n} f_\lambda(e_{j1}^* a e_{j1})$  for any element  $a$  of  $R$ . Then,  $g_\lambda$  is a local state of  $R$ . Moreover, for any element  $a$  of  $N$  with  $a \geq 0$  and for any unitary element  $s$  of  $R$ , we have  $g_\lambda(s^* a s) = \sum_{i,j=1}^{2^n} f_\lambda(e_{j1}^* s^* \sqrt{a} e_{i1} e_{i1}^* \sqrt{a} s e_{j1}) \leq (1 + \varepsilon) \sum_{i,j=1}^{2^n} f_\lambda(e_{i1}^* \sqrt{a} s e_{j1} e_{j1}^* s^* \sqrt{a} e_{i1}) = (1 + \varepsilon)g_\lambda(a)$ . Thus, we have  $|g_\lambda(a) - g_\lambda(s^* a s)| \leq \varepsilon \|a\|_\lambda$ . Hence, we get  $|g_\lambda(a) - g_\lambda(s^* a s)| \leq 2\varepsilon \|a\|_\lambda$  for any element  $a$  of  $N$ . Therefore, we obtain (4.19).

Next, we shall prove that  $\bar{t}_\lambda(a) = \underline{t}_\lambda(a)$  for any element  $a$  of  $N$  (with  $\|a\|_\lambda \leq 1$ ). For, otherwise, putting  $\bar{t}_\lambda(a) - \underline{t}_\lambda(a) = 7\varepsilon > 0$ , we may find  $\sigma_i \in \Sigma$  ( $i=1, 2$ ) such that  $\sigma_1(a) \geq \bar{t}_\lambda(a) - \varepsilon$  and  $\underline{t}_\lambda(a) + \varepsilon \geq \lambda \sigma_2(a)$  by the definition of  $\bar{t}_\lambda, \underline{t}_\lambda$ . Since  $|g_\lambda(\sigma_1(a)) - g_\lambda(\sigma_2(a))| \leq 4\varepsilon$  by (4.21), we get  $6\varepsilon \geq \bar{t}_\lambda(a) - \underline{t}_\lambda(a)$ . This leads to a contradiction. Hence, we have  $\bar{t}_\lambda(a) = \underline{t}_\lambda(a)$ .

We shall write  $t_\lambda(a) = t_\lambda(\Re(a)) + it_\lambda(\Im(a))$ , where  $\Re(a) = \frac{1}{2}(a + a^*)$  and  $\Im(a) = \frac{1}{2i}(a - a^*)$ . Then, it is easy to see that  $t_\lambda$  satisfies (4.1)-(4.7) by Lemma 4.5. The uniqueness of the local trace of  $R$  is an immediate consequence of Prop. 4.2. q. e. d.

As a global form of Def. 4.1, we shall introduce the following

DEFINITION 4.6. An operator  $t$  from  $R$  onto  $R_0$  is called a trace of  $R$  if it satisfies the following

$$(4.22) \quad t(1) = 1,$$

$$(4.23) \quad t(a+b)=t(a)+t(b) \text{ for } a, b \in R,$$

$$(4.24) \quad t(a^*)=t(a)^* \text{ for } a \in R,$$

$$(4.25) \quad t(a) \geq 0 \text{ for } a \geq 0,$$

$$(4.26) \quad t(a_0 a) = a_0 t(a) \text{ for } a \in R \text{ and } a_0 \in R,$$

$$(4.27) \quad t(ab) = t(ba) \text{ for } a, b \in R.$$

It is normal as a property in our sense that, for a projection  $e$ ,  $eRe$  has a trace. The property that  $R$  has a local trace with respect to a spectre  $\lambda$  of  $R$  is not the local property corresponding to the property that  $R$  has a trace, but we have the following

**PROPOSITION 4.5.**  *$R$  has a trace if and only if  $R$  has a local trace with respect to any spectre of  $R$ .*

**PROOF.** Necessity. It is easily verified that  $\lambda(t(a))$  ( $a \in R$ ) satisfies the conditions (4.1)-(4.7) of the local trace of  $R$ . Sufficiency. By Prop. 4.2, for any  $a$  of  $N$  and for any  $n$ , there exists an element  $a_0^{(n)}$  of  $N_0$  and  $\sigma_n \in \Sigma$  such that  $\|\sigma_n(a) - a_0^{(n)}\| \leq 1/n$ . Then, we have  $\|t_\lambda(a) - \lambda(a_0^{(n)})\| \leq 1/n$  for any spectre  $\lambda$  of  $R$ . This means that  $a_0^{(n)}$  converges uniformly to an element (say  $t(a)$ ) of  $R_0$ . Then,  $t$  satisfies (4.20)-(4.24). q. e. d.

We denote by  $\Omega_0$  the set of spectres  $\lambda$ 's of  $R$  such that  $R$  has a local trace with respect to  $\lambda$ .

**LEMMA 4.8.**  *$\Omega_0$  is a closed subset of  $\Omega$ .*

**PROOF.** Let  $\mu$  be a limit of a hypersequence  $A$  of spectres of  $\Omega_0$ . With the same notations as in the proof of Prop. 4.5, we have  $|t_\lambda(a) - \lambda(a_0^{(n)})| \leq 1/n$  for any spectre  $\lambda$  of  $A$ . This means that  $t_\lambda(a)$  converges to a scalar (say  $t_\mu(a)$ ). Then,  $t_\mu$  satisfies (4.1)-(4.7). q. e. d.

**LEMMA 4.9.** *Let  $(P)$  be a global condition of  $R$ . We assume that  $R$  has at least one local trace if  $R$  has the condition  $(P)$ . Then,  $R$  has a trace if  $R$  has the condition  $(P)$ .*

**PROOF.** If  $\Omega_0^c \neq \emptyset$ , we may find a non-zero projection  $e_0$  of  $R_0$  such that  $\lambda \in \Omega_0$  implies  $\lambda(e_0) = 0$ . Hence,  $e_0 R$  has no local trace. This contradicts the property of  $(P)$ . q. e. d.

**THEOREM 4.1.** *Let  $R$  be a finite  $AW^*$ -algebra with a faithful representation  $\varphi$  on a separable Hilbert space  $H$ . Then, there exists a trace of  $R$ .*

**PROOF.** Let  $(f_n; 1 \leq n < \infty)$  be an orthogonal basis of  $H$ . We denote by the same  $f_n$  the state of  $R$  defined by  $f_n(a) = \langle (a)f_n, f_n \rangle$  for  $a \in R$  indifferently, where we denote by  $\langle f, g \rangle$  the inner product of  $f$  and  $g$  in  $H$ . We put  $f(a) = \sum_{n=1}^{\infty} 2^{-n} f_n(a)$ . Then,  $f$  is a state of  $R$  satisfying that  $a=0$  if and only if  $f(a^*a) = 0$ . Hence, there exists a locally non-zero and locally elementary projection  $e$  of  $R$  such that  $f(e_1) \leq D_\lambda(e_1)$  for any locally elementary projection  $e_1$  of  $R$  with  $e_1 \leq e$ . We shall prove this fact in the below. If

there is no projection such as  $e_1$  in the above, we have a decomposition  $1 = \bigoplus(e_i; i \in I) \oplus e'$  such that  $f(e_i) > D_\lambda(e_i)$  ( $i \in I$ ) and that  $e'$  is locally singular. Then, using (4.16) and the fact that  $D_\lambda(e') = 0$ , we have  $I \geq f(1) \geq \sum(f(e_i); i \in I) > \sum(D_\lambda(e_i); i \in I) = D(1) = 1$ . This is a contradiction. Hence, we get the desired projection  $e$ . As we have only to prove the existence of a local trace by Prop. 4.5 and as, for that sake, we need to show it for  $eRe$  by Lemma 4.7, we may assume that  $e = 1$ . And moreover, from what we have seen just above, we may assume that  $\theta f(\sigma(e)) \leq D_\lambda(e)$  ( $\theta$ : some constant) for any  $\sigma \in \Sigma$  and for any locally elementary projection  $e$  of  $R$ .

If  $R$  has no local trace with respect to any spectre of  $R$ , then, for any elementary projection  $e$  with  $e^h = 1$  and for any  $\epsilon > 0$ , we may find an operator  $\sigma$  of  $\Sigma$  such that  $\sigma(e) \geq 1 - \epsilon$ . Hence, we get  $D_\lambda(e) \geq \theta(1 - \epsilon)$ . As we can make  $D_\lambda(e) \downarrow 0$ , this leads to a contradiction. Thus,  $R$  has at least one local trace. Since it is a global condition that  $R$  has a faithful representation on a separable Hilbert space, we arrive at the assertion by Lemma 4.9. q.e.d.

Ti. Yen [8] proved that a finite  $AW^*$ -algebra has a trace if it has a complete set of  $p$ -normal states. The following theorem contains this result of Ti. Yen.

**THEOREM 4.2.** *Let  $R$  be a finite  $AW^*$ -algebra with a complete set of states  $f$ 's of  $R$  such that, for any orthogonal system  $E_1$  of projections of  $R$ ,  $f(\bigoplus(e_i; e_i \in E_1)) = 0$  follows from  $f(e_i) = 0$  for each  $e_i \in E_1$ . Then,  $R$  has a trace.*

**PROOF.** Let  $\Gamma$  be a complete set of states of  $R$ . For any  $f \in \Gamma$ , by the assumption for  $f$ , there exists the minimal projections  $e(f)$  of  $E$  and  $e_0(f)$  of  $E_0$  fixing  $f$  (cf. Lemma 1.3, [15]), where we say that a projection  $e$  fixes  $f$  if  $f(e^c) = 0$ . It is easy to see that  $f$  is a state of  $e(f)Re(f)$  satisfying that  $a = 0$  if and only if  $f(a^*a) = 0$ . Hence, there exists a trace of  $e(f)Re(f)$  by the proof of Theorem 4.1. Hence, there exists a local trace of  $e(f)Re(f)$  with respect to any spectre of  $e(f)Re(f)$  by Prop. 4.5. From this, together with Lemma 4.2, Lemma 4.7, it follows that there exists a local trace of  $e_0(f)Re_0(f)$  with respect to some spectre of  $e_0(f)Re_0(f)$ , and hence there exists a local trace of  $R$  with respect to some spectre of  $R$ .

For any projection  $e_0$  of  $R_0$  and for any state  $f$  of  $\Gamma$ , we denote by  $f_{e_0}$  the state of  $e_0Re_0$  defined by  $f_{e_0}(a) = f(a)$  for any  $a \in e_0Re_0$ . Then, it is obvious that the system  $(f_{e_0}; f \in \Gamma)$  forms a complete set of states of  $e_0Re_0$  satisfying the condition stated in the theorem. This means that the present condition imposed on  $R$  is a global condition. Thus, we arrive at the assertion by Lemma 4.9. q. e. d.

M. Goldman [9] proved that a finite  $AW^*$ -algebra  $R$  has a trace if it has a complete set of  $p$ -normal  $C$ -valued states, where  $C$  is a commutative  $AW^*$ -algebra contained in the center of  $R$ . The following theorem contains



this result of M. Goldman.

**THEOREM 4.3.** *Let  $R$  be a finite  $AW^*$ -algebra and  $C$  be a commutative  $AW^*$ -algebra contained in the center  $R_0$  of  $R$ . Further, we assume that  $R$  has a complete set of  $C$ -valued states  $f$ 's such that  $f$  is completely additive on  $E_0$  and that, for any orthogonal system  $E_1$  of projections of  $R$ ,  $f(\bigoplus(e_i; e_i \in E_1))=0$  follows from  $f(e_i)=0$  for each  $e_i \in E_1$ . Then,  $R$  has a trace.*

**PROOF.** Let  $\Gamma$  be a complete set of such states of  $R$ . For any  $f \in \Gamma$ , there exist the minimal projections  $e(f)$  of  $E$  and  $e_0(f)$  of  $E_0$  fixing  $f$  (by the similar method as in the proof of Lemma 1.3, [15]), where we say that a projection  $e$  fixes  $f$  if  $f(e^c)=0$ . It is easy to see that  $f$  is a state of  $e(f)Re(f)$  satisfying that  $a=0$  if and only if  $f(a^*a)=0$ . By a similar argument as in the proof of theorem 4.2, there exists a trace of  $e(f)Re(f)$ . Hence,  $R$  has a trace by the same argument as in the proof of theorem 4.2. q. e. d.

Mathematical Institute,  
Nagoya University.

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