

An imbedding theorem on finite covering surfaces of the Riemann sphere.

By Akira MORI

(Received April 10, 1953)

Let \mathcal{A} be a finite covering surface of the Riemann sphere Σ , i. e. a covering surface consisting of a finite number of closed triangles. Then, it was proved in [1] that \mathcal{A} can be imbedded in a closed covering surface of Σ . According to the construction described therein, however, the genus of the resulting closed surface is in general higher than that of \mathcal{A} . In §1 of the present paper, we shall prove:

A finite covering surface \mathcal{A} of Σ can be imbedded in a closed covering surface of the same genus.

In §2, an analogous theorem concerning analytic differentials is stated and proved. Finally, in §3, an application is made to the theory of open Riemann surfaces.

1. It is a trivial fact that, as an abstract Riemann surface, \mathcal{A} can be imbedded in a closed Riemann surface of the same genus. In this connection, our theorem may be formulated in the following form:

THEOREM 1. *Let D be a subregion of a Riemann surface F , such that the closure \bar{D} of D is compact and that the boundary of D consists of a finite number of Jordan curves. Let $f(p)$ be a function defined and analytic on \bar{D} (poles being admitted). Then, \bar{D} can be imbedded in a closed Riemann surface D^* of the same genus as D , in such a manner that $f(p)$ can be continued to a function defined and analytic on D^* .*

PROOF. We assume that $f(p) \not\equiv \text{const.}$, since, otherwise, any closed prolongation of D of the same genus has the required property. Further, we may assume that $f(p)$ is defined and analytic throughout F . If the values of $f(p)$ are represented by points on the Riemann sphere Σ , F is mapped by $f(p)$ onto a covering surface ϕ of Σ . The image

Δ of \overline{D} on Φ is a finite covering surface of Σ .

Let C denote one of the connected components of the boundary of D , and I' be the image of C on Φ . While deforming C and extending D slightly, we may assume:

- i) I' is piece-wise smooth and passes no branch-point of Φ ;
- ii) the projection of I' on Σ has only a finite number $n(I')$ of double points and no multiple points of higher order;
- iii) at each double point, the two branches of the projection of I' are smooth and intersect each other making a positive angle.

We shall first prove, by induction with respect to $n(I')$, that I' can be imbedded in a closed covering surface Ψ of genus zero of Σ , in such a manner that I' passes no branch-point of Ψ .

If $n(I')=0$, then I' can be imbedded in a replica of Σ itself. Suppose that the imbedding is possible for any I' with $n(I') < k$, and that $n(I')=k$ for I' in question. Let z_0 be one of the k double points of the projection of I' . Dividing I' into two arcs by the two points lying above z_0 , and identifying the two end-points of each arc respectively, we obtain two closed curves I'_1 and I'_2 , such that $n(I'_1), n(I'_2) < k$. By the assumption, I'_1 and I'_2 can be imbedded in closed covering surfaces Ψ_1 and Ψ_2 of genus zero respectively. Let P_1 and P_2 denote the points on I'_1 and I'_2 , which have the common projection z_0 . Then, for a sufficiently small disc K about z_0 , Ψ_1 and Ψ_2 contain schlicht discs K_1, K_2 about P_1, P_2 with the common projection K . Let s be a segment in K which intersects the projections of I'_1 and I'_2 at z_0 and has no other point in common with them. While cutting Ψ_1 and Ψ_2 along segments in K_1, K_2 with the projection s respectively, and joining them cross-wise along these slits, we obtain a closed covering surface Ψ . Since I'_1 and I'_2 are imbedded in Ψ_1 and Ψ_2 respectively, I' is imbedded in Ψ . Further, Ψ_1 and Ψ_2 being of genus zero, Ψ is of genus zero. Evidently I' passes no branch-point of Ψ . Thus, the possibility of the mentioned imbedding is proved.

Since Ψ is of genus zero and I' is a simple closed curve on Ψ , I' divides Ψ into two parts, one of which, Ψ' , lies to the right of I' taken in positive direction with respect to Δ . We join Ψ' to Δ along the boundary curve I' to obtain a finite covering surface Δ' containing Δ .

With natural local parameters, Δ' may be considered as an

abstract Riemann surface D' , in which D is imbedded. The mapping function of D' onto A' is analytic in D' and is equal to $f(p)$ in D and on C . Further, since C is null-homologous in D' , D' is of the same genus as D .

Repeating the same construction for each connected component of the boundary of D , we finally obtain a closed Riemann surface D^* containing \bar{D} , which is of the same genus as D , and on which $f(p)$ can be continued to a function analytic everywhere, q. e. d.

2. Let F and D have the same meaning as in Theorem 1. We shall further prove:

THEOREM 2. *Let $d\varphi(p)$ be an analytic differential defined on \bar{D} (poles being admitted). Then, \bar{D} can be imbedded in a closed Riemann surface D^* of the same genus as D , in such a manner that $d\varphi(p)$ can be continued to a differential defined and analytic on D^* .*

PROOF. Let C denote one of the connected components of the boundary of D . We may assume that no pole of $d\varphi$ lies on C . Let S be a doubly connected strip region of sufficiently small width on F containing C , such that $d\varphi$ is regular on \bar{S} .

Suppose that $\int_C d\varphi = 0$. Then, $f(p) = \int^p d\varphi$ is single-valued and analytic on \bar{S} . Hence, by Theorem 1, \bar{S} can be imbedded in a closed Riemann surface S^* of genus zero, on which $f(p)$ can be continued to a function analytic everywhere. Then, df is analytic on S^* and $=d\varphi$ on \bar{S} .

If $\int_C d\varphi = \alpha \neq 0$, we put $f(p) = \exp\left(\frac{2\pi i}{\alpha} \int^p d\varphi\right)$. Then, we can similarly construct a closed surface S^* of genus zero, on which $(\alpha/2\pi i)(df/f) = d\varphi$ is everywhere analytic.

C divides S^* into two parts, one of which lies to the right of C taken in positive direction with respect to D . We join this part of S^* to D along C . Repeating the same construction for each connected component of the boundary of D , we obtain a closed Riemann surface D^* , which obviously satisfies the requirements.

3. Let F be an open Riemann surface, and G be a subregion of F , whose complement $F-G$ is compact and is bounded by a finite

number of Jordan curves. Let $f(p)$ be a given function analytic on the closure \bar{G} of G .

While constructing another Riemann surface containing \bar{G} by means of Theorem 1, we can deduce, in some cases, some properties of $f(p)$ in G from known properties of functions analytic everywhere on the new surface. Following this line, we shall give here a simple proof of the following theorem, a special case of which was stated and proved in [2].

We denote by O_{HB} the class of Riemann surfaces, on which no non-constant bounded harmonic functions exist, and by O_G the class of those, which possess no Green's functions. As is well-known, $O_G \subseteq O_{HB}$.

THEOREM 3. *Suppose that F belongs to the class O_{HB} , and that $z=f(p) \neq \text{const.}$ is analytic on \bar{G} and omits in G a set E of values z of positive logarithmic capacity. Then, $f(p)$ takes in G any value z at most a finite number of times N , and, along any continuous curve $L: p=p(t)$ ($0 \leq t < \infty$) extending itself to the ideal boundary of F , $\lim f(p)$ exists. All these limiting values form a closed set of logarithmic capacity zero. Further, F belongs really to the class O_G .*

A curve $L: p=p(t)$ on F is said to be extended to the ideal boundary of F , if, for any sequence $t_n \rightarrow \infty$ of values of t , the point sequence $\{p(t_n)\}$ has no accumulation point on F . The existence of $\lim f(p)$ along any such L may be stated in other words as follows: *at each ideal boundary component of F , $\lim f(p)$ exists for p tending to it.* As for the precise definition of ideal boundary components (éléments-frontières), cf. [9] or [5].

PROOF. Let D be a subregion of genus zero of G , such that \bar{D} is compact and contains the relative boundary of G . By Theorem 1, \bar{D} can be imbedded in a closed Riemann surface D^* of genus zero, in such a manner that $f(p)$ can be continued to a function analytic everywhere on D^* . Since D^* is of genus zero, each connected component of the boundary of G cuts off from D^* a simply connected region lying outside D . Joining these regions to \bar{G} along the boundary curves, we obtain an open Riemann surface F^* , in which \bar{G} is imbedded and on which $f(p)$ can be continued to a function analytic everywhere. Further, F^*-G being compact, F^* has the same ideal boundary as F .

(cf. [4], [7] or [8]).

Since $\in O_{HB}$ is a boundary property ([4], [7], [8]), F^* belongs, together with F , to the class O_{HB} . Since $f(p)$ omits in G the set E of values, the image Φ^* of F^* by $z=f(p)$ covers the set E of positive capacity at most a finite number of times. On the other hand, it is known that a covering surface of the sphere belonging to O_{HB} covers each point of the sphere exactly one and the same (finite or infinite) number of times, except possibly those belonging to a set of capacity zero ([3], [4], [6]). Hence, Φ^* covers each point z , except those belonging to a closed set E^* of capacity zero, exactly a finite number of times N . Such a covering surface Φ^* belongs really to the class O_G ([4]), so that F also.

Suppose that $\lim f(p)$ did not exist along a curve $L: p=p(t)$ extending itself to the ideal boundary, so that the projection of the image of L would not terminate at any point of the sphere. Since E^* is totally disconnected, we could then find a sequence $t_n \rightarrow \infty$ and a point $z_0 \notin E^*$, such that $\lim_{n \rightarrow \infty} f(p(t_n)) = z_0$. Since, above a sufficiently small disc on the sphere about z_0 , there lie N discs on Φ^* , it follows that the image of $\{p(t_n)\}$ would have an accumulation point on Φ^* , which is impossible by the hypothesis. Hence, $\lim f(p)$ exists along any L . Similarly, we see that the limiting values belong to E^* .

Further, since each point of E^* is an accessible boundary point of the complementary region, we see easily that the set of all the limiting values of $f(p)$ comprises E^* .

Thus, Theorem 3 is proved.

Mathematical Institute, Tokyo University.

References

- [1] Behnke, H. and Stein, K.: Entwicklung analytischer Funktionen auf Riemannschen Flächen, Math. Ann. 120 (1948), pp. 430-461.
- [2] Heins, M.: Riemann surfaces of infinite genus, Ann. of Math. 55 (1952), pp. 296-317.
- [3] Mori, A.: On Riemann surface, on which no bounded harmonic function exists, J. Math. Soc. Japan 3 (1951), pp. 285-289.
- [4] Mori, A.: On the existence of harmonic functions on a Riemann surface, J. Fac. Sci. Tokyo Univ. 6 (1951), pp. 247-257.
- [5] Ohtsuka, M.: Dirichlet problems on Riemann surfaces and conformal mappings, Nagoya Math. J. 3 (1951), pp. 91-137.

- [6] Ohtsuka, M.: On a covering surface over an abstract Riemann surface, Nagoya Math. J. 4 (1952), pp. 109-118.
 - [7] Royden, H. L.: Some remarks on open Riemann surfaces, Ann. Acad. Sci. Fenn. A, I, 85 (1951).
 - [8] Sario, L.: A linear operator method on arbitrary Riemann surfaces, Trans. Amer. Math. Soc. 72 (1952), pp. 281-295.
 - [9] Stoïlow, S.: *Leçons sur les principes topologiques de la théorie des fonctions analytiques*, Paris, 1938.
-