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# On uniform topologies in general spaces.

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The important notion of the uniformity, introduced by A. Weil<sup>1)</sup> and others, shows its full effectiveness, when the space is completely regular. However, we can define the "generalized uniformity" of any neighbourhood space, as an arbitrary collection of correspondences assigning to every point of the space a neighbourhood. We shall show in § 2 of this paper, that the most part of the theory of uniformity holds also in the spaces with the generalized uniformity. We can consider also the completion of such spaces in several manners (§§ 3, 4). The usual way of completion by means of Cauchy filters (we have named it *C*-extension, § 5) does not give a complete space in general cases. In § 6 we shall consider some additional conditions on such spaces, and investigate the behaviour of the *C*-extensions of spaces satisfying these conditions.

I express here my thanks to Professor S. Iyanaga who encouraged me throughout this study.

# **§1** Generalized uniformity.

1.1 **Definition.** We say that X is a *space*, if X is an aggregate of points, where a closure operator is defined which assigns to each subset M of X a closure  $\overline{M}$  with the following properties:

(1)  $\overline{M}_{\frown}M$ , (2)  $M_1 \subset M_2$  implies  $\overline{M}_1 \subset \overline{M}_2$ , (3)  $\overline{\phi} = \phi$ .

Topological concepts, such as *neighbourhood* (abbr. nbd) of a point, continuity of mappings, etc., may be defined in our space in the well-known way.<sup>2)</sup>

<sup>1)</sup> A. Weil: Sur les espaces à structure uniforme et sur la topologie générale. Actual. Sci. Ind. 551 (1938).

<sup>2)</sup> cf. e.g. J. W. Tukey: Convergence and uniformity in topology. Princeton Univ. (1940).

Let  $\varphi$  be a correspondence, which assigns to each point x of X a nbd  $\varphi(x)$  of x. We shall consider in general an aggregate  $\Psi$  of such correspondences, and call it a *generalized uniformity* (abbr. GU). For example, a GU may consist of only one correspondence assigning to every point the whole space. This GU is called the *trivial* GU. The *maximal* GU, which we denote by the special letter  $\varphi$ , is the maximal collection of such correspondences.

A GU  $\Psi$  of a space X is called *basic* at x, if the family of sets  $\{\varphi(x), \varphi \in \Psi\}$  is a nbd basis of the point x of X. A basic GU is a GU, which is basic at every point of X. We say that a GU  $\Psi$  is *additive*, if there exists an element  $\varphi$  of  $\Psi$  for any pair of elements  $\varphi_1, \varphi_2$  of  $\Psi$ , such that  $\varphi(x) \subset \varphi_1(x) \cap \varphi_2(x)$  for each point  $x \in X$ . A GU  $\Psi$  is called *open*, if  $\varphi(x)$  is an open set of X for every  $\varphi \in \Psi$  and  $x \in X$ . Clearly, the trivial GU is an open additive GU, and the maximal GU is basic.

The following proposition is evident.

PROPOSITION 1. The closure operator of the space is additive if and only if there exists an additive basic GU, and a closure of any subset is closed if and only if there exists an open basic GU.

Let Y be a subspace of a space X with a GU  $\Psi_X$ . We denote by  $\varphi_Y$  the contraction of an element  $\varphi_X$  of  $\Psi_X$  to Y, i.e.,  $\varphi_Y$  is defined on Y and  $\varphi_Y(x) = \varphi_Y(x) \cap Y$ . The collection  $\Psi_Y = \{\varphi_Y; \varphi_X \in \Psi_X\}$  is called a *contraction* of  $\Psi_X$  to Y.

Clearly, the contraction  $\Psi_Y$  of a GU  $\Psi_X$  to its subspace Y is a GU of Y, and conversely, any GU of Y is a contraction of a certain GU of X. If  $\Psi_X$  is basic (additive or open), then the contraction  $\Psi_Y$  of  $\Psi_X$  to Y is also basic (additive or open respectively)

1.2 Equivalence of GU. Now, let  $\Psi$  be any collection of correspondences, whose element  $\varphi$  assigns to each point x of X a subset  $\varphi(x) \ni x$  of X (not necessarily a nbd of x). Then we can define a closure operator with the properties (1), (2) and (3) above, taking  $\{\varphi(x); \varphi \in \Psi\}$  as a nbd basis of a point x, and speak of the topology of X induced by  $\Psi$ . The words such as " $\Psi$ -closure" or " $\Psi$ -converge" will indicate that they refer to this topology. It is evident that  $\Psi$  is a basic GU of X with respect to  $\Psi$ -topology.

For two collections  $\Psi_1$  and  $\Psi_2$ , we denote:

 $\Psi_1 < \Psi_2$  if there exists an element  $\varphi_2$  of  $\Psi_2$  for any element  $\varphi_1$  of  $\Psi_1$ 

such that  $\varphi_2(x) \subset \varphi_1(x)$  to every point x of X.

 $\Psi_1 \sim \Psi_2$  if  $\Psi_1 < \Psi_2$  and  $\Psi_1 > \Psi_2$ .

Clearly, the relation "<" is reflexive and transitive, and the relation " $\sim$ " is an equivalence relation.

Let  $[\psi] = \bigcup \{ \psi'; \psi' \sim \psi \}$ . Then the following proposition is proved easily.

PROPOSITION 2. (1)  $\psi_1 \supset \psi_2$  implies  $\psi_1 > \psi_2$ , and  $\psi_1 > \psi_2$  if and only if  $[\psi_1] \supset [\psi_2]$ .

- (2) If  $\psi_1$  is a GU,  $\psi_2$  a basic GU, and  $\psi_1 > \psi_2$ , then  $\psi_1$  is also basic.
- (3) If  $\psi_1 > \psi_2$ , then the  $\psi_1$ -topology is stronger than the  $\psi_2$ -topology.
- (4) If  $\psi$  is a GU of a space X, then the  $\psi$ -topology is weaker than the original topology of X.
- (5) If  $\psi$  is a basic GU of a space X, then the  $\psi$ -topology is equivalent with the original topology of X.
- (6) Let  $\mathfrak{M}$  be a filter<sup>3)</sup> and  $\Psi$  a basic GU of X, then  $\mathfrak{M} \to x$  if and only if  $\varphi \in \Psi$  implies  $\varphi(x) \in \mathfrak{M}$ .  $\mathfrak{M} \to x$  if and only if  $\varphi \in \Psi$  and  $M \in \mathfrak{M}$  implies  $\varphi(x) \cap M \neq \phi$ .

Here  $\mathfrak{M} \to x$  means that x is a limit point of  $\mathfrak{M}$ , i.e.,  $\mathfrak{M}$  contains every nbd of x, and  $\mathfrak{M} \to x$  means that x is contained in the intersection  $\bigcap \{\overline{M}; M \in \mathfrak{M}\}.$ 

# §2 Some properties defined by GU.

2.1 Uniformly continuous mapping. Let  $f: X \to Y$  be a mapping of a space X into another space Y, and  $\Psi_X$ ,  $\Psi_Y$  be GU's of X and Y respectively. A mapping f is called *uniformly continuous* with respect to  $\Psi_X$  and  $\Psi_Y$  (abbr.  $\Psi_X \cdot \Psi_Y \cdot u$ -continuous), if it satisfies the following condition:

(U) For any  $\varphi_Y$  of  $\psi_Y$ , there exists an element  $\varphi_X$  of  $\psi_X$  such that  $f\varphi_X(x) \subset \varphi_Y f(x)$  for every point x of X.

The following propositions and theorem are proved easily.

PROPOSITION 3. If  $f: X \to Y$  and  $g: Y \to Z$  are uniformly continuous mappings with respect to  $\Psi_X$  and  $\Psi_Y$ ,  $\Psi_Y$  and  $\Psi_Z$  respectively, then the composite  $gf: X \to Z$  is uniformly continuous with respect to  $\Psi_X$  and  $\Psi_Z$ .

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<sup>3)</sup> Cf. e.g. N. Bourbaki: Topologie générale. Actual. Sci. Ind. 858 (1940).

PROPOSITION 4. Let  $\Psi_X > \Psi'_X$  and  $\Psi_Y > \Psi'_Y$ . If a mapping  $f: X \to Y$  is  $\Psi'_X \cdot \Psi_Y \cdot u$ -continuous, then f is  $\Psi_X \cdot \Psi_Y \cdot u$ -continuous and  $\Psi'_X \cdot \Psi'_Y \cdot u$ -continuous.

THEOREM 1. If  $\Psi_Y$  is a basic GU of a space Y, then we have:

- (1)  $A \ \psi_X \cdot \psi_Y$ -u-continuous mapping f of a space X into X is a continuous mapping.
- (2) A mapping f of a space X into Y is continuous if and only if f is  $\phi_X \cdot \psi_Y$ -u-continuous. ( $\phi_X$  means the maximal GU of X).

A one-to-one onto mapping  $f: X \to Y$  is called a *unimorphism*, when f and  $f^{-1}$  is uniformly continuous. Let f be any mapping of a space X into Y. We denote by  $f^{-1}(\Psi_Y)$  the collection of correspondences  $\varphi_X$  of  $x \in X$  to the subset  $f^{-1} \varphi_Y f(x)$  of X, where  $\varphi_Y \in \Psi_Y$ , i.e.  $f^{-1}(\Psi_Y) = \{\varphi_X; \varphi_X(x) = f^{-1} \varphi_Y f(x), \varphi_Y \in \Psi_Y\}.$ 

PROPOSITION 5. (1) When  $\Psi_Y$  is basic, a mapping  $f: X \to Y$  is continuous if and only if  $f^{-1}(\Psi_Y)$  is a GU of the space X.

- (2) A mapping  $f: X \to Y$  is  $\Psi_X \cdot \Psi_Y \cdot u$ -continuous if and only if  $\Psi_X > f^{-1}(\Psi_Y)$ .
- (3) A one-to-one onto mapping  $f: X \to Y$  is a unimorphism if and only if  $\Psi_X \sim f^{-1}(\Psi_Y)$ .

2.2 Totally bounded set. A subset  $X_1$  of a space X with a GU  $\psi$  is called [*self*] *totally bounded* with respect to  $\psi$  (abbr. [self]  $\psi$ -t-bounded), if for any  $\varphi \in \psi$  there exist finite number of points  $x_1, \dots, x_n$  [ $\in X_1$ ] such that  $X_1 \subset \smile_i \varphi(x_i)$ . We can prove easily the following properties.

PROPOSITION 6. (1) If  $\psi > \psi'$ , then a [self]  $\psi$ -t-bounded set is also [self]  $\psi'$ -t-bounded.

(2) If a mapping  $f: X \to Y$  is  $\Psi_X \cdot \Psi_Y$ -u-continuous and a subset  $X_1$  of X is [self]  $\Psi_X$ -t-bounded, then the image  $f(X_1)$  is [self]  $\Psi_Y$ -t-bounded.

A subset  $X_1$  of a space X is called *conditionally compact* [*compact*], if for any family  $\mathfrak{M} \ni X_1$  of subsets of X with the finite intersection property, there exists a point  $x [\in X_1]$  such that  $x \in \bigcap \{\overline{M}; M \in \mathfrak{M}\}$ .

THEOREM 2. A subset  $X_1$  of a space X is conditionally compact [compact] if and only if  $X_1$  is [self]  $\phi$ -t-bounded. Therefore every conditionally compact subset of a space X is totally bounded with respect to any GU  $\psi$  of X.

**PROOF.** As  $\cap \{\overline{X_1 - \varphi(x)}, x \in X\} = \phi [\cap \{\overline{X_1 - \varphi(x)}, x \in X_1\} \subset X - X_1]$ 

for any  $\varphi \in \phi$ , the condition is necessary. Let  $\{M_{\alpha}; \alpha \in A\}$  be a family of subsets  $M_{\alpha}$  of  $X_1$ , and  $M = \bigcap \{\overline{M}_{\alpha}; \alpha \in A\} = \phi [M \bigcap X_1 = \phi]$ . Then there exists  $\varphi \in \phi$  such that  $\varphi(x) = X - M_{\alpha(x)}$ ,  $\alpha(x) \in A$  to each point  $x [\in X_1]$ . Thus the condition is also sufficient. The rest follows easily from the proposition 6.

This theorem shows that compactness can be defined as the totally boundedness with respect to the special GU  $\phi$ . It is a special case of (2) of the proposition 6, that a continuous image of a compact set is compact.

2.3  $\Psi$ -filter. A filter  $\mathfrak{M}$  in a space X with a GU  $\Psi$  is called a  $\Psi$ -filter, if for any element  $\varphi$  of  $\Psi$  there exists a point x such that  $\varphi(x) \in \mathfrak{M}$ . A maximal filter, which is also a  $\Psi$ -filter, is called a *maximal*  $\Psi$ -filter. Clearly, if  $\Psi > \Psi'$ , then a  $\Psi$ -filter is also a  $\Psi'$ -filter.

Now we can prove easily the following theorems.

THEOREM 3. A filter  $\mathfrak{M}$  has a limit point if and only if  $\mathfrak{M}$  is a  $\phi$ -filter.

THEOREM 4. If a mapping  $f: X \to Y$  is  $\Psi_X \cdot \Psi_Y$ -u-continuous and  $\mathfrak{M}$  is a  $\Psi_X$ -filter of the space X, then,  $f(\mathfrak{M})$  (i.e. the family of subsets N of Y such that  $f^{-1}(N) \in \mathfrak{M}$ ) is a  $\Psi_Y$ -filter.

THEOREM 5. A subset  $X_1$  is  $\Psi$ -t-bounded if and only if any maximal filter  $\mathfrak{M} \ni X_1$  is a  $\Psi$ -filter. Therefore  $X_1$  is conditionally compact if and only if any maximal filter  $\mathfrak{M} \ni X_1$  has a limit point.

PROOF. We shall prove only the theorem 5. If  $X_1 \subset \bigcup \{\varphi(x_i); i=1, \dots, n\}$ , then a maximal filter  $\mathfrak{M} \in X_1$  contains actually a certain  $\varphi(x_i)$ . Thus the condition is necessary. Let the condition be fulfilled, and  $\varphi \in \Psi$ . If  $M_{\alpha} = X_1 - \bigcup \{\varphi(x); x \in \alpha\} \neq \phi$  for any finite subset  $\alpha$  of X, then  $M_{\alpha}$ 's belong to a certain maximal filter  $\mathfrak{M} \ni X_1$ , and there exists a point  $x_0$  such that  $\varphi(x_0) \in \mathfrak{M}$ . But  $M_{x_0} = X - \varphi(x_0) \notin \mathfrak{M}$  is in contradiction with  $M_{x_0} \in \mathfrak{M}$ . Thus the condition is also sufficient. The rest follows from the theorem 2 and the theorem 3.

2.4  $\psi$ -completeness. We call  $\psi$ -set every non vacuous finite intersection of sets expressed as  $\varphi(x)$  or  $X-\varphi(x)$ . A subset  $X_1$  of a space X with a GU  $\psi$  is called *conditionally*  $\psi$ -complete [ $\psi$ -complete] if any of the following three equivalent conditions is fulfilled.

- (1) Any maximal  $\psi$ -filter  $\mathfrak{M} \ni X_1$  has a  $\psi$ -limit point  $x [\in X_1]$ .
- (2) For any  $\psi$ -filter  $\mathfrak{M} \ni X_1$ , there exists a point  $x [\in X_1]$  such that  $\varphi \in \psi$ ,  $M \in \mathfrak{M}$  imply  $\varphi(x) \cap M \neq \phi$ .

(3) For any  $\psi$ -filter  $\mathfrak{M} \ni X_1$ , there exists a point  $x [\in X_1]$  such that  $\varphi \in \Psi$ ,  $\Psi$ -set  $M \in \mathfrak{M}$  imply  $\varphi(x) \cap M \neq \phi$ .

Clearly any subset of X is conditionally  $\phi$ -complete, and any closed subset is  $\phi$ -complete. If a space X is  $\psi$ -complete with respect to a basic GU  $\psi$  of X, then any maximal  $\psi$ -filter has a limit point. A subset  $X_1$  of X is  $\psi$ -complete if and only if  $X_1$  is  $\psi_1$ -complete with respect to the contraction  $\psi_1$  of  $\psi$  to  $X_1$ .

From theorem 2 and 5, we have directly.

THEOREM 6. If a subset  $X_1$  is conditionally compact [compact], then  $X_1$  is [self]  $\Psi$ -t-bounded and conditionally  $\Psi$ -complete [ $\Psi$ -complete]. When  $\Psi$  is a basic GU, the converse is also true.

*Remark.* A simple example shows that, in case  $\Psi$  is not basic,  $\Psi \cdot t$ -bounded and  $\Psi$ -complete space is not necessarily compact.

PROPOSITION 7. If  $\Psi > \Psi'$  and  $\Psi'$  is a basic GU, then a conditionally  $\Psi'$ -complete set is conditionally  $\Psi$ -complete.

#### §3 Completion of a space.

3.1 Space Z. Let  $\Psi_X$  be a GU of a space X. We introduce the following notations: For two  $\Psi$ -filters  $\mathfrak{M}_1$ ,  $\mathfrak{M}_2$ ,

 $\mathfrak{M}_1 \subset \mathfrak{M}_2$  means that  $\varphi(x) \in \mathfrak{M}_1$  implies  $\varphi(x) \in \mathfrak{M}_2$  for any element  $\varphi$  of  $\Psi_X$  and any point x of X.

 $\mathfrak{M}_1 \approx \mathfrak{M}_2$  means that  $\mathfrak{M}_1 < \mathfrak{M}_2$  and  $\mathfrak{M}_1 > \mathfrak{M}_2$ . (We say that  $\mathfrak{M}_1$  is equivalent to  $\mathfrak{M}_2$ ).

For a maximal  $\psi_X$ -filter  $\mathfrak{M}$ ,

 $[\mathfrak{M}]$  is the class of maximal  $\psi_X$  filters equivalent to  $\mathfrak{M}$ .

 $[\mathfrak{M}] \stackrel{\mathfrak{g}}{\to} X_1$  means that there exists a  $\Psi_X$ -set M of  $\mathfrak{M}$  such that  $M \subset X_1$ .  $[\mathfrak{M}] \xrightarrow{} x$  means that  $N \stackrel{\mathfrak{g}}{\to} [\mathfrak{M}]$  if N is a nbd of x.

Clearly two maximal  $\Psi_X$ -filters  $\mathfrak{M}_1$ ,  $\mathfrak{M}_2$  are equivalent to each other if and only if any  $\Psi_X$ -set M of  $\mathfrak{M}_1$  belongs also to  $\mathfrak{M}_2$ . Therefore the definition of  $[\mathfrak{M}] \ni X_1$  does not depend on the choice of  $\mathfrak{M}$  from the class  $[\mathfrak{M}]$ . Obviously,  $[\mathfrak{M}] \to x$  implies  $\mathfrak{M} \to x$ , and if  $\Psi_X$  is basic, then conversely  $\mathfrak{M} \to x$  implies  $[\mathfrak{M}] \to x$ .

The class  $[\mathfrak{M}]$  of maximal  $\Psi$ -filters is called a *non-convergent class*, if  $[\mathfrak{M}] \to x$  for no point x of X. We consider a non-convergent class of maximal  $\Psi_X$ -filters as a point, and denote by  $Z_0$  the set of all these classes, by Z the set-union of  $Z_0$  and X. PROPOSITION 8. Let  $g(X_1) = X_1 + \{z; z \in Z_0, z = [\mathfrak{M}] \ni X_1\}$ , then we have (1)  $g(X_1) \cap X = X_1$ , g(X) = Z,  $g(\phi) = \phi$ .

- (2)  $X_1 \supset X_2$  implies  $g(X_1) \supset g(X_2)$ .
- (3)  $g(\widehat{X}_i) = \widehat{Y}_i(X_i)$  for any finite number of subsets  $X_i$  of X.
- (4) If  $\{X_i\}$  is a finite family of  $\Psi_X$ -sets, then  $Z-g(X_1)=g(X-X_1)$  and  $g(\bigvee_i X_i)=\bigvee_i g(X_i)$ .

**PROOF.** (1) and (2) are evident. (3) follows from (1) and (2), for a finite intersection of  $\psi$ -sets is also a  $\psi$ -set. (4) follows from (1) and (3), for the complement of  $\psi$ -set  $X_1$  is a finite union of  $\psi$ -sets.

Now, we define a nbd system of Z as follows: a) For a point x of X, a nbd of x is a such subset U of Z that  $g(N) \subset U$  for a certain nbd N of x in the space X. b) For a point z of  $Z_0$ , a nbd of  $z=[\mathfrak{M}]$  is a such subset U of Z that  $g(\widehat{}_i \varphi_i(x_i)) \subset U$  for some  $\varphi_i \in \Psi_X$ ,  $\varphi_i(x) \in [\mathfrak{M}]$ ,  $i=1, \dots, n$ .

Then Z is a space, and the nbd system of a point z of  $Z_0$  forms a filter. Clearly, for a subset M of X, the closure of M in the space X is the intersection of X and the closure  $\overline{M}$  of M in the space Z. A subset  $U=g(\bigcap\{\varphi_i(x_i); i=1,\dots,n\})$  is a nbd of any point z in  $U\bigcap Z_0$ . If  $z_1, z_2$  are distinct points of  $Z_0$ , then there exist a point x in X and an element  $\varphi$  of  $\Psi_X$  such that  $\varphi(x) \in z_i, \notin z_j; (i, j)=(1, 2)$ . This shows  $\overline{z}_j \notin z_i$ . Thus we have proved.

**PROPOSITION 9.** The extended space Z has the following properties :

- (1) X is a subspace of Z.
- (2)  $\overline{X}_1 \supset g(X_1)$  for any subset  $X_1$  of X.
- (3)  $(\overline{K} \cup \overline{H}) \cap Z_0 = (K \cup H) \cap Z_0$  for any two subsets K, H of Z.
- (4) Any nbd  $\mathcal{G}(\widehat{i} \varphi_i(x_i))$  of a point z of  $Z_0$  is relatively open in the subspace  $Z_0$ .
- (5) The subspace  $Z_0$  is a  $T_0$ -space.

PROPOSITION 10. If  $z = [\mathfrak{M}] \in Z_{\mathfrak{c}}$ , then  $\overline{z} = (\bigcap \{\overline{M}; M \in \mathfrak{M}\}) \cap Z_{\mathfrak{0}}$ , especially  $\overline{z} = \bigcap \{\overline{M}; M \in \mathfrak{M}\} \subset Z_{\mathfrak{0}}$  when  $\Psi_{X}$  is basic.

PROOF.  $\overline{z} \subset Z_0$ , since to any point  $x \in X$  there exists a nbd N of xin the space X such that  $N \notin [\mathfrak{M}]$ . Let  $z' \in \overline{z}$ , then any nbd  $\mathscr{G}(\widehat{\varphi} \circ \varphi_i(x_i))$ of z', contains z, that is  $\widehat{\varphi} \circ \varphi_i(x_i) \in \mathfrak{M}$ . Thus  $M \in \mathfrak{M}$  implies  $M \cap \mathscr{G}(\widehat{\varphi} \circ \varphi_i(x_i)) \neq \phi$ . This shows that  $\overline{z}$  is a subset of  $\cap \{\overline{M}; M \in \mathfrak{M}\}$ . Conversely, if  $z' \in Z_0 - \overline{z}$ , then there exists a nbd  $\mathscr{G}(\widehat{\varphi} \circ \varphi_i(x_i))$  of z', which does not contain z. As  $M = \widehat{\varphi} \circ \varphi_i(x_i)$  is a  $\Psi_X$ -set,  $\mathscr{G}(M) \notin z$  implies  $M \notin \mathfrak{M}$ . Thus  $X - M \in \mathfrak{M}$  and X - M does not intersect with the nbd g(M) of z'. Therefore we have  $\overline{z} \supset (\bigcap \{\overline{M}; M \in \mathfrak{M}\} \cap Z_0$ . When  $\Psi_X$  is basic, there exists for any  $x \in X$  an element  $\varphi$  of  $\Psi_X$  such that  $\varphi(x) \notin \mathfrak{M}$ . Thus we have  $x \notin \bigcap \{\overline{M}; M \in \mathfrak{M}\}$ , since  $X - \varphi(x) \in \mathfrak{M}$ . Therefore  $\bigcap \{\overline{M}; M \in \mathfrak{M}\} \subset Z_0$ .

PROPOSITION 11. For any  $\varphi \in \psi$  and  $x \in X$ , we have  $Z_0 \cap g\varphi$  $(X-\varphi(x))=Z_0 \cap \overline{X-\varphi(x)}$ . Especially  $g\varphi(x)=Z-\overline{X-\varphi(x)}$ , and this set is open in Z, when  $\varphi(x)$  is an open subset of the space X.

PROOF. Let  $z = [\mathfrak{M}] \in \mathbb{Z}_0$ . If  $z \in g(X - \varphi(x)) = \mathbb{Z} - g\varphi(x)$ , then  $z \in \overline{X - \varphi(x)}$ by proposition 9. If  $z \notin g(X - \varphi(x))$ , then we have  $z \notin \overline{X - \varphi(x)}$ , since  $g\varphi(x)$  is a nbd of z. Thus the first half is proved. The rest is an easy consequence of this.

3.2 GU of the space Z. Now we define a GU of Z as follows: For any finite system  $\{\varphi_1, \dots, \varphi_n\}$  of elements of  $\Psi_X$ , let  $\Psi$  be a correspondence assigning to each point  $x \in X$  a nbd  $\Psi(x) = g\varphi_i(x)$  of x, and to each point  $z \in Z_0$  a nbd  $\Psi(z) = g(\widehat{\varphi_i}(x_i))$  of z. Then the element  $\varphi_1$  can be regarded as the contraction of  $\Psi$  to X, so it is also denoted by  $\Psi_X$ . The collection of all correspondences such as  $\Psi$ , taking  $\{\varphi_1, \dots, \varphi_n\}$  in every possible way, constitutes clearly a GU of Z, and  $\Psi_X$ is a contraction of this GU to X. We denote this GU of Z by  $\Psi_Z$ . The space Z with this GU  $\Psi_Z$  is called a space obtained by completion of the space X with respect to  $\Psi_X$ .

PROPOSITION 12. The space Z with the GU  $\Psi_Z$  has the following properties :

- (1)  $\Psi_Z$  is basic at each point z of  $Z_0$ . Therefore  $\Psi_Z$  is basic if  $\Psi_X$  is basic in X.
- (2) If  $X_1$  is a [self]  $\Psi_X$ -t-bounded subset of X, then  $g(X_1)$  is [self]  $\Psi_Z$ -t-bounded.
- (3) Any maximal  $\Psi_Z$ -filter converges to a point z in Z. Therefore  $g(X_1)$  is conditionally  $\Psi_Z$ -complete for any subset  $X_1$  of X, and  $g(X_1)$  is conditionally compact if  $X_1$  is a  $\Psi_X$ -t-bounded subset of X.
- (4) The completion of Z with respect to  $\Psi_Z$  adds no new point.

PROOF. (1) and (2) are proved easily. (3): Let  $\mathfrak{F}$  be a maximal  $\Psi_Z$ -filter containing  $g(X_1)$  as an element. Then  $\mathfrak{M} = \{M; M \subset X, g(M) \in \mathfrak{F}\}$  is a  $\Psi_X$ -filter. Therefore any maximal filter  $\mathfrak{M}_1 \supset \mathfrak{M}$  is a maximal  $\Psi_X$ -filter containing  $X_1$  as an element. If  $\varphi(x) \notin \mathfrak{M}$ , then  $g\varphi(x) \notin \mathfrak{F}$  and

 $X-\varphi(x) \in \mathfrak{M}$  follows from  $g(X-\varphi(x))=Z-g\varphi(x) \in \mathfrak{F}$ . Similarly  $X-\varphi(x) \notin \mathfrak{M}$  implies  $\varphi(x) \in \mathfrak{M}$ . This shows that any maximal  $\psi_X$ -filter  $\mathfrak{M}' \supset \mathfrak{M}$  belongs to the same class  $[\mathfrak{M}_1]$ . Let  $[\mathfrak{M}_1] \to x_1 \in X$ , and N be a nbd of  $x_1$  in the space X. There exists a  $\psi_X$ -set M such that  $N \supset M \in \mathfrak{M}$ . This shows that  $\mathfrak{F}$  converges to  $x_1$  in the space Z. Let  $[\mathfrak{M}_1]$  be a non convergent class, then  $z_1 = [\mathfrak{M}_1]$  is a point of  $Z_0$ . If  $g(\widehat{} \varphi_i(x_i))$  is a nbd of  $z_1$ , then we have  $\widehat{} \varphi_i(x_i) \in \mathfrak{M}$ . This shows that  $\mathfrak{F}$  converges to  $z_1$  in the space Z. The rest follows easily from the theorem 6. (4) is evident by (3).

**PROPOSITION 13.** The space Z obtained by completion of X has the following properties:

- (1) Z is an additive space (i.e. with the additive closure operator) if X is an additive space.
- (2) Z is a T-space if X is a T-space and  $\Psi_X$  is open and basic.
- (3) Z is a  $T_0$ -space if X is a  $T_0$ -space and  $\Psi_X$  is open and basic.

3.3 Uniqueness of completion. The completion considered above is characterized by

THEOREM 7. Let  $\Psi_X$  be a basic GU of a space X. The space Z obtained by the completion of X with respect to  $\Psi_X$  has the following properties (described for S). Conversely, a space S with these properties is mapped on Z by a homeomorphism leaving each point of X fixed. (1) X is a subspace of S

- (1) X is a subspace of S.
- (2) If  $p_1$ ,  $p_2$  are distinct points of S-X, then  $\bar{p}_1 \subset S-X$  and  $\bar{p}_1 \neq p_2$ .
- (3) There exists a basic GU  $\psi_S$ , whose contraction to X is  $\psi_X$ .
- (4)  $\{U; U=\widehat{i}, \psi_i(x_i) \ni p, \psi_i \in \Psi_S, x_i \in X, i=1,\dots,n\}$  is a nbd basis of  $p \in S-X$ .
- (5) Any non-convergent maximal  $\Psi_X$ -filter  $\mathfrak{M}$  in X has a point  $p \in S X$ such that  $\Psi(x) \ni p$  if and only if  $\Psi(x) \cap X \in \mathfrak{M}$  for any  $\Psi \in \Psi_S$ ,  $x \in X$ , and conversely, for any point  $p \in S - X$  there exists a non-convergent maximal  $\Psi$ -filter  $\mathfrak{M}$  for which p satisfies the above condition.

PROOF. We have proved already that Z has these properties (1)-(5). Let S be another space with these properties (1)-(5). For a non-convergent maximal  $\psi_X$ -filter  $\mathfrak{M}$ , there exists a point  $p=f(\mathfrak{M})$  such that  $\psi(x) \ni p$  if and only if  $\psi(x) \cap X \in \mathfrak{M}$ . Let  $p_i = f(\mathfrak{M}_i), i = 1, 2$ . If  $p_1 \ddagger p_2$ , then there exists a point  $q \in S - X$  such that  $q \in \overline{p}_i, \notin \overline{p}_j, (i, j) = (1, 2)$ . By (4), we have a nbd  $\psi(x)$  of q which contains  $p_i$  but not  $p_j$ , that is  $\psi(x) \cap X \in \mathfrak{M}_i$ ,  $\notin \mathfrak{M}_j$ . This shows  $\mathfrak{M}_1 \rightleftharpoons \mathfrak{M}_2$  by (3). If  $p_1 = p_2 = p$ , then  $\psi(x) \cap X \in \mathfrak{M}_1$  if and only if  $\psi(x) \cap X \in \mathfrak{M}_2$ . This shows  $\mathfrak{M}_1 \approx \mathfrak{M}_2$ . Therefore we can define a mapping f of Z into S by putting f(x) = x for  $x \in X$ , and  $f(z) = f(\mathfrak{M})$  for  $z = [\mathfrak{M}] \in Z - X$ . Clearly f is a one-to-one mapping of Z onto S and we have  $fg(\psi(x) \cap X) = \psi(x)$ . Therefore f is a topological mapping, since  $z \in g((\widehat{}\psi_i(x_i)) \cap X)$  if and only if  $f(z) \in$  $fg((\widehat{}\psi_i(x_i)) \cap X) = \widehat{}\psi_i(x_i)$ .

*Example.* Let R be a space of real numbers with the usual topology, and X be a subspace of R constituted by all rational numbers.  $\Psi_X$  $= \{\varphi_{\varepsilon}; \varepsilon > 0\}$  be an open basic GU (named  $\varepsilon$ -GU), where  $\varphi_{\varepsilon}$  is defined by  $\varphi_{\varepsilon}(x) = \{x'; |x'-x| < \varepsilon\}$  for any positive real number  $\varepsilon$ .  $\Psi'_{X} = \{\varphi_{r}; r\}$ is rational} is a sub-collection of  $\Psi_X$ . Clearly  $\Psi'_X$  is an open basic GU of X, and is equivalent to  $\psi_X$ . A filter  $\mathfrak{M}$  is a  $\psi_X$  filter if and only if  $\mathfrak{M}$  is a Cauchy filter in the usual sence. Therefore to each maximal  $\Psi_X$ -filter  $\mathfrak{M}$  corresponds a point  $\lambda$  of R, to which  $\mathfrak{M}$  converges in R. If  $\lambda$  is rational, then  $\mathfrak{M}$  converges to  $\lambda$  in X, if  $\lambda$  is irrational, then  $[\mathfrak{M}]$  is non-convergent. Let  $\mathfrak{M} \to \lambda$  in R. Then we have  $\varphi_r(x) \in \mathfrak{M}$ if and only if  $|x-\lambda| < r$  for any  $\varphi_r \in \psi'_X$ . Therefore the space obtained by the completion of X with respect to  $\Psi'_X$  is topologically equivalent to R. On the other hand, a maximal  $\Psi_X$ -filter  $\mathfrak{M}$ , which corresponds to an irrational number  $\lambda$ , contains the subset  $(\lambda, \infty)$  or  $(-\infty, \lambda)$  of X. We denote the first case by  $\mathfrak{M} \to \lambda^+$ , the second case by  $\mathfrak{M} \to \lambda^-$ . If  $\mathfrak{M}_1 \to \lambda^+$  and  $\mathfrak{M}_2 \to \lambda^+$ , then clearly  $\mathfrak{M}_1 \approx \mathfrak{M}_2$  with respect to  $\Psi_X$ . But if  $\mathfrak{M}_1 \to \lambda^+$  and  $\mathfrak{M}_2 \to \lambda^-$ , then we have  $\mathfrak{M}_1 \rightleftharpoons \mathfrak{M}_2$  and  $\mathfrak{M}_1$  does not converge to  $\lambda^- = [\mathfrak{M}_2]$  in the space Z obtained by the completion of X with respect to  $\Psi_X$ . This example shows that equivalent GU's of a space do not necessarily lead to equivalent spaces by the completion.

## §4 Compactification.

4.1 Special filter. If a space X is  $\Psi_X$ -t-bounded, then the space Z obtained by the completion of X is a compact space. For example, we obtain the "trivial compactification" of X, taking the trivial GU as  $\Psi_X$ . But for purpose of compactification, it happens that the completion considered in § 3 adds some superfluous points, even if  $\Psi_X$  is basic. So it seems more adequate to restrict with some conditions the

classes of equivalent filters taken as new points. We assume that  $\Psi_X$  is basic and X is  $\Psi_X t$ -bounded throughout this section.

A maximal  $\psi$ -filter  $\mathfrak{M}$  is called a *special filter*, if any maximal filter  $\mathfrak{M}' < \mathfrak{M}$  is equivalent to  $\mathfrak{M}$ . A point  $z = [\mathfrak{M}]$  of  $Z_0$  is called a *special point*, if  $\mathfrak{M}$  is a special filter.

**PROPOSITION 14.** If a space X is totally bounded with respect to a basic GU  $\Psi_X$ , then we have:

- M is a special filter if and only if there exist for any φ(x) ∈ M a finite number of elements φ<sub>i</sub> of Ψ<sub>X</sub> and points x<sub>i</sub> of X such that φ(x) ⊃ (X − φ<sub>i</sub>(x<sub>i</sub>)) ∈ M.
- (2) If  $z_i = [\mathfrak{M}_i] \in \mathbb{Z}_0$ , i = 1, 2, then  $\mathfrak{M}_1 < \mathfrak{M}_2$  is equivalent to  $z_1 \in \overline{z}_2$ .
- (3) For any point z of  $Z_0$ ,  $z=\overline{z}$  if and only if z is a special point.
- (4) For any point z of  $Z_0$ , there exists a special point  $z_1$  such that  $z_1 \in \overline{z}$ .

PROOF. (1): If the condition is not fulfilled for a certain  $\varphi_0(x_0) \in \mathfrak{M}$ , then any finite number of sets  $X - \varphi_i(x_i) \in \mathfrak{M}$ ,  $i=1, \dots, n$  and  $X - \varphi_0(x_0)$ have a non vacuous intersection. Thus there exists a maximal filter  $\mathfrak{M}_1$  containing all of these sets. As  $\varphi'(x') \notin \mathfrak{M}$  implies  $\varphi'(x') \notin \mathfrak{M}_1$ , so we have  $\mathfrak{M}_1 < \mathfrak{M}$ . But clearly  $\mathfrak{M}_1 \not\approx \mathfrak{M}$ . Thus the condition is necessary. The condition is also sufficient, for  $\mathfrak{M}_1 < \mathfrak{M}$ ,  $\varphi(x) \in \mathfrak{M}$  imply  $\varphi(x) \in \mathfrak{M}_1$ .

(2): This is proved easily.

(3): Let  $z=[\mathfrak{M}]$  and  $z=\overline{z}$ , and  $\mathfrak{M}_1 < \mathfrak{M}$  be a maximal filter. Then  $\mathfrak{M}_1$  is a  $\Psi_X$ -filter for X is  $\Psi_X$ -t-bounded. If  $[\mathfrak{M}_1] \to x$ , then  $[\mathfrak{M}] \to x$  in contradiction with  $z \in Z_0$ . Thus  $z_1=[\mathfrak{M}_1] \in Z_0$ , and  $z_1 \in \overline{z}=z$  implies  $\mathfrak{M}_1 \approx \mathfrak{M}$  by (2). Therefore the condition is necessary. The condition is clearly sufficient by (2).

(4): By the proposition 10, we can prove easily that  $\overline{z} \subset Z_0$ . Therefore  $\overline{z} = \overline{z}$  since the subspace  $Z_0$  is a *T*-space. Let  $\mathfrak{F} \ni \overline{z}$  be a maximal family of closed sets with the finite intersection property. The compactness of *Z* shows that the intersection *M* of all sets of  $\mathfrak{F}$  contains a point  $z_1$ . Clearly  $z_1 \in \overline{z}_1$ ,  $\overline{z}_1 \in \mathfrak{F}$  and  $M \supset \overline{z}_1$ . If  $z_2 \in \overline{z}_1$  then  $\overline{z}_2$  belongs to  $\mathfrak{F}$ , and  $z_1 = z_2$  follows from  $\overline{z}_2 \ni z_1$ ,  $\overline{z}_1 \ni z_2$ . This shows that  $z_1$  is special.

PROPOSITION 15. Let R be a set-union of X and the set of all special points. Then we have :

- (1) The subspace R of X is compact.
- (2) The subspace R-X is a  $T_1$  space.

(3) If X is compact, then R=X.

PROOF. For any maximal filter  $\mathfrak{N}$  in  $R, \mathfrak{F} = \{H; H \subset Z, H \cap R \in \mathfrak{N}\}$ is a maximal filter in Z converging to a point z. If  $z \in R$ , then clearly  $\mathfrak{N} \to z$  in R. If  $z \in Z - R$ , then there exists a point  $z_1 \in \overline{z}$  of R, and  $\psi(z_1)$  is a nbd of z for any  $\psi$ , since  $\psi(z_1) \ni z$ . Thus  $\psi(z_1) \in \mathfrak{F}$ , and this shows that  $\mathfrak{F}$  converges to  $z_1$ . Therefore  $\mathfrak{N}$  converges to a point in the space R. The rest is evident.

4.2 Uniqueness of compactification. The compactification considered above is characterized by

THEOREM 8. Let X be  $\Psi_X$ -t-bounded and  $\Psi_X$  be basic. The space R obtained by the compactification of X with respect to  $\Psi_X$  has the following properties (described for S). Conversely, a space S with these properties is mapped on R by a homeomorphism leaving each point of X invariant.

- (1) X is a subspace of S.
- (2) S is a compact space.
- (3)  $\overline{p} \subset S X$  for any point p of S X.
- (4) If a special filter  $\mathfrak{M}$  (with respect to  $\Psi_X$ ) in X converges to a point p of S-X, then p is the unique limit point of  $\mathfrak{M}$ .
- (5) For any point p of S-X there exists a special filter  $\mathfrak{M}$  in X which converges to p.
- (6) There exists a basic GU  $\Psi_S$  of S, which satisfies the conditions:
  - a)  $\Psi_X$  is the contraction of  $\Psi_S$ .
  - b) If  $\psi(x) \ni p$ ,  $\psi \in \Psi_S$ ,  $x \in X$ ,  $p \in S-X$ , then  $\psi(x)$  is a nbd of p.
  - c) If p, p' are distinct points of S-X, there exist  $\psi$  and x such that  $\psi \in \psi_S$ ,  $x \in X$  and  $\psi(x) \ni p$ ,  $\stackrel{\oplus}{} p'$ .
  - d)  $\smile_i \psi_i(x_i) \supset X$  implies  $\smile_i \psi_i(x_i) = S$  for any finite number of  $\psi_i$ and  $x_i$  such that  $\psi_i \in \psi_S$ ,  $x_i \in X$ ,  $i=1, \dots, n$ .
  - e)  $\psi(x) \cap (S-X) = S X (\overline{X \psi(x)})$  for any  $\psi \in \Psi_S$  and  $x \in X$ .

PROOF. We prove first that R has these properties (1)-(6). (1)-(3) and (5) are evident. Let  $\Psi_R$  be the contraction of  $\Psi_Z$  to R, then a)-d) are evident. and e) follows from the proposition 11. Let  $\mathfrak{M}$  be a special filter in X and  $z_1 = [\mathfrak{M}_1] \in R - X$ . If  $\mathfrak{M} \rightleftharpoons \mathfrak{M}_1$  then there exists  $\varphi(x')$  such that  $\varphi(x') = \psi(x') \frown X$  and  $\varphi(x') \in \mathfrak{M}_1$ ,  $X - \varphi(x') \in \mathfrak{M}$ . This shows that  $\mathfrak{M} \to z_1 \in R - X$  implies  $[\mathfrak{M}] = z_1$ . Thus (4) is proved. Next, we shall construct a one-to-one mapping  $f: R \to S$ . Let S be a space with the I. KONISHI

properties (1)-(6). If  $[\mathfrak{M}] = z \in R - X$ , then  $\mathfrak{M}$  is a special filter. As S is a compact space, there exists a limit point p of  $\mathfrak{M}$ . Clearly  $p \in S - X$ , thus p is determined uniquely by  $\mathfrak{M}$ . We denote this point p by  $f(\mathfrak{M})$ . Let  $p_i = f(\mathfrak{M}_i)$  and  $p_1 \neq p_2$ . Then there exists a certain  $\psi(x)$  such that  $\psi(x) \ni p_1, \ \ \ \ p_2.$  As  $\varphi(x) = \psi(x) \cap X \in \mathfrak{M}_1$ , we have  $\psi_i(x_i), \ i=1,\dots,n$  such that  $\varphi_i(x_i) = \psi_i(x_i) \cap X$  and  $\varphi(x) \supset \widehat{i}(X - \varphi_i(x_i)) \in \mathfrak{M}_1$ . Then  $\psi(x) \cup \psi_1 \cup \varphi_1$  $\cdots \cup \psi_n(x_n) = S$  by the condition d). Therefore  $\psi_i(x_i) \ni p_2$  for a certain *i*, and this shows  $\mathfrak{M}_1 \rightleftharpoons \mathfrak{M}_2$ . Let  $f(\mathfrak{M}_1) = f(\mathfrak{M}_2) = p$ . If  $\varphi(x) \in \mathfrak{M}_1$ , then there exist  $\varphi_i(x_i)$ ,  $i=1,\dots,n$  such that  $\varphi_i(x_i) = \psi_i(x_i) \cap X$  and  $\varphi(x) \supset \widehat{i}$  $(X-\varphi_i(x_i)) \in \mathfrak{M}_1$ . Clearly,  $X-\varphi_i(x_i) \ni p$  implies  $\psi_i(x_i) \ni p$ , so we have  $\varphi(x) \in \mathfrak{M}_2$  similarly as above. This shows  $\mathfrak{M}_1 < \mathfrak{M}_2$ . Thus we have  $\mathfrak{M}_1 \approx \mathfrak{M}_2$  by symmetry. Therefore we can define a one-to one mapping of R into S by putting f(x) = x for  $x \in X$  and  $f(z) = f(\mathfrak{M})$  for  $z = [\mathfrak{M}] \in \mathcal{M}$ R-X. By the condition (5), f maps R onto S. Finally, we shall prove the continuity of f and  $f^{-1}$ . It is evident that f is a topological mapping if we have  $\psi(x) = fg^*(X \cap \psi(x))$  for any  $\psi \in \psi_S$  and  $x \in X$ , where  $g^*(M) = g(M) \cap R$  to any subset M of X. For any point x' of X, we have clearly  $x' \in \psi(x)$  if and only if  $x' \in fy^*(X \cap \psi(x))$ . Let  $p=f(z), z=[\mathfrak{M}] \in R-X$ . If  $p \in \psi(x)$ , then  $z \in g^*(X \cap \psi(x))$ . If  $z \in g^*(X)$  $(\neg \psi(x))$ , then we have as above  $\psi_i(x_i)$   $i=1,\dots,n$  such that  $\psi(x) \cup \psi_1(x_1)$  $\cup \cdots \cup \psi_n(x_n) = S$  and  $p \notin \psi_1(x_1) \cup \cdots \cup \psi_n(x_n)$ . Thus we have  $\psi(x) = \psi(x)$  $fg^*(X \cap \psi(x))$  and the proof is completed.

As a special case of the theorem 8, we have

THEOREM 9.4) Let X be a space with open nbd basis, and  $\mathfrak{G} \ni X$ a basis of open sets. Then there exists a space S with the following properties. Moreover such a space is unique up to homeomorphism.

- (1) S is a compact space with open nbd basis.
- (2) X is a subspace of S.
- (3) Each point of S-X is closed.
- (4)  $\mathfrak{U} = \{U; U = S \overline{X G}, G \in \mathfrak{G}\}$  is a basis of open sets of S.
- (5)  $\smile_i G_i = X$  implies  $\smile_i (S \overline{X} \overline{G_i}) = S$  for any finite number of sets  $G_i$ ,  $i = 1, \dots, u$  of  $\mathfrak{G}$ .

4) Cf. N. A. Shanin: On special extension of topological spaces, Doklady URSS. 38.

K. Morita: On the simple extension of a space with respect to uniformity. II, Prcc. Japan Acad. 27.

PROOF. For any finite number of sets  $G_i$ ,  $i=1, \dots, n$  of  $\mathfrak{G}$  such that  $\[Gimes] G_i = X$ , we can define a correspondence  $\varphi: x \to \varphi(x) = G_i \ni x$ . Let  $\Psi_X$  be the collection of all such correspondences. Then clearly  $\Psi_X$  is an open GU of X, and X is  $\Psi_X \cdot t$  bounded. Similarly, we can define an open basic GU  $\Psi_S$  of S using  $\mathfrak{U}$  instead of  $\mathfrak{G}$ . We can check easily that the space S with this  $\Psi_S$  satisfies the conditions (1)-(6) of the theorem 8.

#### §5 C-extension.

5.1 Cauchy filter. Let X be again a general space and  $\Psi$  any GU of X. By the completion considered in §3, we obtain a complete space Z. But Z is not necessarily the "minimal" complete space containing X, and equivalent GU's do not lead to Z's topologically equivalent with each other. For this reason, we shall consider in this section another way to extend the space by means of Cauchy filters.

We denote by  $S(M, \varphi)$  the set-union  $\bigcup \{\varphi(x) : \varphi(x) \cap M \neq \phi\}$  for any subset M of X, and by  $\mathfrak{M}^*$  the family of sets  $\{M' : M' \supset S(M, \varphi), M \in \mathfrak{M}, M \in \Psi\}$  for any filter  $\mathfrak{M}$  in X. A filter  $\mathfrak{M}$  is called a *Cauchy filter*, if for any  $\varphi \in \Psi$  there exists a point x such that  $\varphi(x) \in \mathfrak{M}^*$ . Two Cauchy filters  $\mathfrak{M}_1, \mathfrak{M}_2$  are called a *Cauchy pair* and denote by  $\mathfrak{M}_1 \sim \mathfrak{M}_2$ , if  $\mathfrak{M}_1^* = \mathfrak{M}_2^*$ .

PROPOSITION 16. Let  $\mathfrak{M}_1$  and  $\mathfrak{M}_2$  be Cauchy filters. Then  $\mathfrak{M}_1 \sim \mathfrak{M}_2$  if and only if for any set  $M_1 \in \mathfrak{M}$  and  $\varphi_1 \in \Psi$  there exist a set  $M_2 \in \mathfrak{M}_2$  and  $\varphi_2 \in \Psi$  such that  $S(M_2, \varphi_2) \subset S(M_1, \varphi_1)$ . Therefore  $\mathfrak{M}_1 \subset \mathfrak{M}_2$  implies  $\mathfrak{M}_1 \sim \mathfrak{M}_2$ .

PROOF. Clearly the condition is necessary. If the condition is fulfilled, then  $\mathfrak{M}_1^* \subset \mathfrak{M}_2^*$  is evident. Let  $M \in \mathfrak{M}_2$  and  $\varphi \in \Psi$ . Then there exist a point  $x_0$ , a set  $M_1 \in \mathfrak{M}_1$  and  $\varphi_1 \in \Psi$  such that  $\varphi(x_0) \supset S(M_1, \varphi_1)$ . For this pair of  $M_1$  and  $\varphi_1$ , we have a set  $M_2 \in \mathfrak{M}_2$  and  $\varphi_2 \in \Psi$  such that  $S(M_2, \varphi_2) \subset S(M_1, \varphi_1)$ . Thus  $\varphi(x_0) \cap M \neq \phi$  follows from  $\varphi(x_0) \supset S(M_2, \varphi_2) \in \mathfrak{M}_2$ . This shows  $S(M, \varphi) \supset \varphi(x_0) \supset S(M_1, \varphi_1)$ . Therefore  $\mathfrak{M}_1^* \supset \mathfrak{M}_2^*$ .

The following properties are evident.

PROPOSITION 17. If  $\psi \sim \psi'$  then a filter  $\mathfrak{M}$  is a Cauchy filter with respect to  $\psi$  if and only if  $\mathfrak{M}$  is a Cauchy filter with respect to  $\psi'$ , and two Cauchy filters are a Cauchy pair with respect to  $\psi$  if and only if they are a Cauchy pair with respect to  $\psi'$ .

*Remark.* A Cauchy filter is clearly a  $\psi$ -filter, but a  $\psi$ -filter is not

necessarily a Cauchy filter.  $\mathfrak{M}_1 \sim \mathfrak{M}_2$  and  $\mathfrak{M}_1 \rightarrow x$  does not necessarily imply  $\mathfrak{M}_2 \rightarrow x$ , even if  $\psi$  is an open basic GU. A uniformly continuous image of a Cauchy filter is not necessarily a Cauchy filter. We shall show this by an example. Let X be the interval [0, 1] of rational numbers. For any point  $x \in X$  and any nbd N of x, we define  $\varphi'$ putting  $\varphi'(x) = N$  if x' = x;  $\varphi'(x') = [0, 2/3)$  if  $1/2 \ge x' \neq x$ ;  $\varphi'(x') = (1/3, 1]$ if  $1/2 < x' \neq x$ . Let us denote by  $\psi'$  the collection of all such  $\varphi'$ taking x and N in every possible way, and by  $\psi$  the  $\varepsilon$ -GU of X. (see example in 3.3) Clearly  $\psi'$  is an open basic GU and  $\psi' < \psi$ . Any filter containing the interval [0, 1/3] is a Cauchy filter, and any two such filters are a Cauchy pair with respect to  $\psi'$ . The filter containing 1/2 as an element is a convergent filter, but not a Cauchy filter with respect to  $\psi'$ . The identity mapping is clearly  $\psi \cdot \psi'$ -u-continuous. 5.2 Space Y. We consider  $\mathfrak{M}^*$  obtained from a maximal filter  $\mathfrak{M}$  of a space X with  $\psi_X$  as a point y,  $\mathfrak{M}$  being a Cauchy filter having no limit point. We denote by  $Y_0$  the set of all these points, and by Y the set-union of X and  $Y_0$ .

PROPOSITION 18. Let  $X_1^* = X_1 + \{y ; y \in Y_0, y = \mathfrak{M}^* \ni X_1\}$ . Then we have: (1)  $X_1^* \cap X = X_1$ ,  $X^* = Y$ ,  $\phi^* = \phi$ ,

- (2)  $X_1 \subset X_2$  implies  $X_1^* \subset X_2^*$ ,
- (3)  $\widehat{}_{i} X_{i}^{*} = \phi$ , if and only if  $\bigcap X_{i} = \phi$ , for any finite number of subsets  $X_{i}$  of X.

Now, we introduce a topology into the set Y by defining a nbd system as follows: A nbd of a point x of X is a such subset U of Y that  $U \supset N^*$  for a certain nbd N of x in the space X. A nbd of a point  $y = \mathfrak{M}^*$  of Y is such subset U of Y that  $U \supset \varphi(x)^* \ni y$  for a certain point  $x \in X$  and  $\varphi \in \Psi_X$ .

**PROPOSITION 19.** The space Y has the following properties:

- (1) X is a subspace of Y.
- (2)  $\overline{X}_1 \supset X_1^*$ , where the bar indicates the closure operation in Y.
- $(3) \quad X_1^* \cap Y_0 = Y_0 \overline{X X_1}.$
- (4) If G is an open subset of the space X, then  $G^* = Y X G$  and  $G^*$  is an open subset of the space Y.

PROOF. (1) is evident. (2): Let  $y = \mathfrak{M}^*$  be a point of  $X_1^* \cap Y_0$ , then any nbd  $\varphi(x)^*$  of y intersects with  $X_1$ , since  $X_1 \in \mathfrak{M}^*$ . (3): Let  $y = \mathfrak{M}^*$ be a point of  $X_1^* \cap Y_0$ . Then there exist a set  $M \in \mathfrak{M}$  and  $\varphi \in \Psi_X$  such

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that  $X_1 \supset S(M, \varphi)$ , and there exists a point x such that  $\varphi(x) \in \mathfrak{M}^*$ . Clearly  $\varphi(x)^*$  is a nbd of y, and  $(X-X_1) \cap \varphi(x)^* = \phi$ . This shows  $X_1^* \cap Y_0 \subset Y_0 - \overline{X-X_1}$ . The inverse inclusion follows from the fact that there exists a nbd  $\varphi(x)^*$  of y for any point  $y \in Y_0 - \overline{X-X_1}$ , such that  $(X-X_1) \cap \varphi(x)^* = \phi$ . (4): As  $G = X - \overline{X-G}$ , we have  $G^* = G \cup (G^* \cap Y_0) = Y - \overline{X-G}$ . Clearly any point of  $G^*$  is an inner point of  $G^*$ .

PROPOSITION 20. If  $y \in X_1^* \cap Y_0$  for a subset  $X_1$  of X, then there exists  $\varphi \in \Psi_X$  such that  $\varphi(x)^* \ni y$  implies  $\varphi(x)^* \subseteq X_1$  for any point x of X. Therefore  $X_1^*$  is relatively open in  $Y_0$ .

PROPOSITION 21. If  $y = \mathfrak{M}^*$  is a point of  $Y_0$ , then  $y = \overline{y} = \bigcap \{\overline{M}; M \in \mathfrak{M}\}$ .

PROOF. As  $\mathfrak{M}$  is a non-convergent maximal filter, we have  $\bigcap \{\overline{M}; M \in \mathfrak{M}\} \subset Y_0$ . Let  $y_1 = \mathfrak{M}_1^*$  be another point of  $Y_0$ . Then there exist a set  $M \in \mathfrak{M}$  and  $\varphi \in \Psi_X$  such that  $S(M, \varphi) \notin \mathfrak{M}_1^*$  by the proposition 16. As  $M \cap \varphi(x')^* = \phi$  for a nbd  $\varphi(x')^*$  of  $y_1$ , we have  $\overline{M} \notin y_1$ . On the other hand, we have clearly  $y \in \bigcap \{\overline{M}; M \in \mathfrak{M}\}$ . Therefore  $y = \bigcap \{\overline{M}; M \in \mathfrak{M}\}$ . If  $x \in \overline{\mathcal{Y}} \cap X$  and  $M \in \mathfrak{M}$ , then for any nbd N of x in the space X, we have  $M \cap N \neq \phi$ . This shows that  $x \in \overline{\mathcal{Y}} \cap X$  implies  $x \in \bigcap \{\overline{M}; M \in \mathfrak{M}\}$  in contradiction with the above result. Thus  $\overline{\mathcal{Y}} \subset Y_0$ . Therefore  $\overline{\mathcal{Y}} = y$ , since the subspace  $Y_0$  has an open nbd basis.

5.3 Characterization of space Y. Now we define a GU of Y as follows: For any element  $\varphi$  of  $\Psi_X$ , let  $\psi$  be a correspondence assigning to each point  $x \in X$  a nbd  $\psi(x) = \varphi(x)^*$  of x, and to each point  $y = \mathfrak{M}^* \in Y_0$  a nbd  $\psi(y) = \varphi(x')^*$  of y. Then the element  $\varphi$  can be regarded as the contraction of  $\psi$  to X, so it is also denoted by  $\psi_X$ . The collection of all correspondences such as  $\psi$  constitutes clearly a GU of Y, and  $\Psi_X$  is a contraction of this GU to X. We denote by  $\Psi_Y$  this GU of Y. The space Y with the GU  $\Psi_Y$  constructed above is called a *space obtained by the C-extension* of the space X with respect to the GU  $\Psi_X$  of X.

We say that a GU  $\Psi_X$  of X agrees with the topology at x, if for any nbd N of x there exists  $\varphi \in \Psi_X$  such that  $N \supset S(x, \varphi)$ , and that  $\Psi_X$  agrees with the topology in X, if  $\Psi_X$  agrees with the topology at each point of X. By the proposition 20,  $\Psi_Y$  agrees with the topology of Y at each point of  $Y_0$ . Thus we have

PROPOSITION 22. (1)  $\Psi_Y$  is a GU of Y, which agrees with the

topology at each point of  $Y_0$ .

- (2) If  $\Psi_X$  is a basic GU of X, then  $\Psi_Y$  is a basic GU of Y.
- (3) If  $\Psi_X$  agrees with the topology in X, then  $\Psi_Y$  agrees also with the topology in Y.

PROPOSITION 23. If  $\Psi_X$  is a basic GU of a space X, then the space Y with  $\Psi_Y$  obtained by C-extension of X with respect to  $\Psi_X$  has the following properties (described for a space R with  $\Psi_R$ ):

- a) X is a subspace of R.
- b) Each point of R-X is closed.
- c)  $\Psi_R$  is a basic GU of R, which agrees with the topology of R at each point of R-X, and whose contraction  $\Psi'_X$  to X is equivalent with  $\Psi_X$ .
- d)  $\{\psi(p); p \in R\} = \{\psi(x); x \in X\}$  for any  $\psi \in \Psi_R$ .
- e) If  $p \in \psi(p') X$ ,  $\psi \in \psi_R$ , then  $\psi(p')$  is a nbd of p.
- f)  $\psi(p) \cap X \supset \psi'(p') \cap X$  if and only if  $\psi(p) \supset \psi'(p')$  for any  $\psi, \psi' \in \Psi_R$ ,  $p, p' \in R$ .
- g) For any Cauchy filter  $\mathfrak{M} \ni X$ , there exists a point p such that  $p \in \bigcap \{\overline{M} : M \in \mathfrak{M}\}$  (the bar indicates the closure operation of R).
- h) For any point p of R-X, there exists a Cauchy filter  $\mathfrak{M} \ni X$  such that  $p \in \bigcap \{\overline{M}; M \in \mathfrak{M}\}$ .

PROOF. All these properties but g) are proved already. The property g) follows from the next lemma 1.

LEMMA 1. Let R and  $\Psi_R$  satisfy the conditions a)-f). Then a filter  $\mathfrak{M} \ni X$  is a Cauchy filter with respect to  $\Psi_R$  if and only if  $\mathfrak{M}' = \{M'; M' = M \cap X, M \in \mathfrak{M}\}$  is a Cauchy filter in X with respect  $\Psi_X$ .

PROOF. By the proposition 17, we may assume that  $\psi'_X = \psi_X$ . Let  $\mathfrak{M}$  be a Cauchy filter with respect to  $\psi_R$ , and  $\varphi = \psi_X, \psi \in \psi_R$ . Then there exist  $\psi' \in \psi_R$ ,  $M \in \mathfrak{M}$ ,  $p \in R$  such that  $\psi(p) \supset S(M, \psi')$  (in R). If  $\psi(p) = \psi(x)$  and  $\psi'_X = \varphi'$ , then we have  $\varphi(x) = \psi(p) \cap X \supset S(M, \psi') \cap X \supset S(M \cap X_1, \varphi')$ . Thus the condition is necessary. Conversely let  $\mathfrak{M}$  be a Cauchy filter with respect to  $\psi_X$  and  $\psi \in \psi_R$ . Then there exist  $\psi' \in \psi_R$ ,  $M' \in \mathfrak{M}'$ ,  $x \in X$  such that  $\varphi(x) \supset S(M', \varphi')$  (in X) for  $\varphi = \psi_X \varphi' = \psi'_X$ . Thus we have  $\psi(x) \supset S(M', \psi')$  (in R). Therefore the condition is also sufficient.

For simplicity, we shall write from now on  $\mathfrak{M}$  for  $\mathfrak{M}'$ . Thus we may consider, by the lemma 1, a Cauchy filter  $\mathfrak{M} \ni X$  in R also a

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Cauchy filter in X and conversely.

LEMMA 2. Let R and  $\Psi_R$  satisfy the conditions a)-f). Then  $\psi(p) = (\psi(p) \cap X) \cup (R - X - \overline{X - \psi(p)})$  for any  $\psi \in \Psi_R$  and  $p \in R$ .

LEMMA 3. Let R and  $\Psi_R$  satisfy the conditions a)-f), and  $\mathfrak{M} \ni X$  be a Canchy filter converging to a point  $p \in R - X$ . Then for any  $\Psi \in \Psi_R$  there exist a set M of  $\mathfrak{M}$  and an element  $\Psi'$  of  $\Psi_R$  such that  $\Psi(p) \supset S(M, \Psi')$ . Therefore  $\Psi(p) \cap X \in \mathfrak{M}^*$  for any  $\Psi \in \Psi_R$ .

PROOF. By the condition c), there exists an element  $\psi_1$  of  $\psi_R$  such that  $\psi(p) \supset S(p, \psi_1)$ , and there exist also  $\psi' \in \psi_R$ ,  $M \in \mathfrak{M}$  and  $p' \in R$  for this  $\psi_1$  such that  $\psi_1(p') \supset S(M, \psi')$ . Therefore  $\psi(p) \supset \psi_1(p') \supset S(M, \psi')$ . Thus for any  $\varphi' \in \psi_X$  such that  $\varphi'(x) \subset \psi'_X(x)$  to each point  $x \in X$ , we have  $\psi(p) \cap X \supset S(M, \varphi')$ . This shows  $\psi(p) \cap X \in \mathfrak{M}^*$ .

LEMMA 4. Let R and  $\Psi_R$  satisfy the conditions a)-f), and  $y_i = \mathfrak{M}_i^* \in Y_0$ ,  $\mathfrak{M}_i \to p_i$ , i=1, 2. Then  $p_i \in R-X$ , and we have  $\mathfrak{M}_1 \sim \mathfrak{M}_2$  if and only if  $p_1 = p_2$ .

PROOF. By the proposition 17, we may assume that  $\Psi_X = \Psi'_X$ . Clearly  $p_i \in S - X$  since  $\mathfrak{M}_i$  is a non-convergent maximal filter in X. Let  $\mathfrak{M}_1 \sim \mathfrak{M}_2$ . Then for any  $\Psi \in \Psi_R$ , we have  $\Psi(p_1) \cap X \in \mathfrak{M}_1^* = \mathfrak{M}_2^*$  by lemma 3. Thus there exists  $\Psi' \in \Psi_R$  such that  $\Psi(p_1) \cap X \supset \Psi'(p_2) \cap X$ . This shows  $p_1 = p_2$  by the conditions b), c) and f). Conversely let  $p_1 = p_2 = p$  and  $M_1 \in \mathfrak{M}_1$ ,  $\varphi = \Psi_X$ ,  $\Psi \in \Psi_R$ . Then there exist a set  $M_2$  of  $\mathfrak{M}_2$  and  $\Psi' \in \Psi_R$  such that  $\Psi(p) \supset S(M_2, \Psi')$  by the lemma 3. If  $\varphi' = \Psi'_X$ , then  $M_2 \cap \varphi'(x) \neq \phi$  implies  $\Psi(p) \supset \Psi'(x) \supset \varphi'(x)$ . On the other hand  $\Psi(p) \cap M_1 \neq \phi$  since  $\Psi(p) \cap X \in \mathfrak{M}_1^*$ . Thus  $S(M_1, \varphi) \supset \Psi(p) \cap X$ . Therefore we have  $S(M_1, \varphi) \supset S(M_2, \varphi')$  and this implies  $\mathfrak{M}_1 \sim \mathfrak{M}_2$  by the proposition 16.

THEOREM 10. If  $\Psi_X$  is a basic GU of a space X, then the C-extension Y with  $\Psi_Y$  of X with respect to  $\Psi_X$  is characterized as a space R with the properties a)-h) of the proposition 23. That is, such a space R is mapped on Y by a topological mapping leaving each point of X invariant. Moreover a space R with the properties a)-g) contains a subspace which is mapped topologically onto Y.

**PROOF.** Suppose a space R has the properties a)-g). Let us define a mapping  $f: Y \to R$  as follows: For a point x of X we put f(x)=x, and for a point  $y=\mathfrak{M}^*$  of  $Y_0$ , we take a point  $p \in S-X$  such that  $\mathfrak{M} \to p$  and put f(y)=p. Such a point p is determined uniquely by y. By lemma 4, this mapping f is a one-to-one mapping defined on Y.

To prove that the mapping f is a topological mapping of Y onto f(Y), we may assume that f(Y) = R. We denote by  $K^*$  the subset  $(K \cap X)^*$ of Y for any subset K of R. We shall show first that  $f(\psi(p)^*) = \psi(p)$ for any  $\psi \in \Psi_R$  and  $p \in R$ . For any point x of X, we have clearly  $x \in f(\psi(p)^*)$  if and only if  $x \in \psi(p)$ . Let  $p_1 \in R - X$  and  $p_1 = f(y_1), y_1 = \mathfrak{M}_1^*$ . If  $p_1 \in f(\psi(p)^*)$ , then there exist a set  $M \in \mathfrak{M}_1$  and  $\varphi' = \psi'_X$ ,  $\psi' \in \Psi_R$  such that  $\psi(p) \cap X \supseteq S(M, \varphi')$  since  $\psi(p) \cap X \in \mathfrak{M}_1^*$ . On the other hand, we have  $\psi'(p_1) \cap X \in \mathfrak{M}_1^*$  by the lemma 3. Therefore  $\psi(p) \supset \psi'(p_1) \ni p_1$ follows from  $\psi(p) \cap X \supset \psi'(p_1) \cap X$ . Thus we have  $\psi(p) \supset f(\psi(p)^*)$ . If  $p_1 \in \psi(p)$ , then there exists  $\psi' \in \psi_R$  such that  $\psi(p) \supset \psi'(p_1)$ . Therefore  $\psi(p)^* \ni y_1$  since  $\psi(p) \cap X \supset \psi'(p_1) \cap X \in \mathfrak{M}_1^*$ . Thus we have  $\psi(p)$  $\subset f(\psi(p)^*)$ . The continuity of the mapping  $f^{-1}$  is proved easily using the relation  $f^{-1}(\psi(x)) = \psi(x)^*$  proved above. Finally we shall show that f is  $\psi_Y \cdot \psi_R$ -u-continuous. Let  $\psi \in \psi_R$  and  $\varphi = \psi_X$ . For any  $y \in Y_0$  there exists a point x = x(y) such that  $\psi(f(y)) = \psi(x)$ . As  $\varphi(x)^* = f^{-1}\psi(f(y))$ contains y, the set  $\varphi(x)^*$  is a nbd of y. Thus  $\psi_Y > f^{-1}(\psi_R)$  and f is  $\Psi_Y \cdot \Psi_R \cdot u$ -continuous by proposition 5.

COROLLARY. If  $\Psi_X$  and  $\Psi'_X$  are two equivalent basic GU's of a space X, then we obtain the unimorphic spaces by the C-extensions of X with respect to  $\Psi_X$  and  $\Psi'_X$ .

LEMMA 5. Let X be a space with a basic GU  $\Psi_X$  satisfying the following conditions:

- (1) X is  $\Psi_X$ -t-bounded.
- (2) If → φ<sub>i</sub>(x<sub>i</sub>)=X for any finite number of φ<sub>i</sub> ∈ ψ<sub>X</sub>, i=1,..., n, then there exists an element φ of ψ<sub>X</sub> such that {φ(x); x ∈ X}={φ<sub>i</sub>(x<sub>i</sub>); i=1,..., n}.

Then the C-extension of X with respect to  $\Psi_X$  is a compact space.

PROOF, We can prove easily that the C-extensioned space Y of X is  $\psi_Y$ -t-bounded. Let  $\mathfrak{F}$  be a non-convergent maximal  $\psi_Y$ -filter in Y. Then for any  $y \in Y$  there exists  $\psi \in \psi_X$  such that  $\psi(y) \notin \mathfrak{F}$ . Let  $\psi(y) = \varphi(x)^*$  and  $M_y = X - \varphi(x)$ . If  $\bigcap M_{yi} = \varphi$  for a certain finite number of  $M_{yi} = X - \varphi_i(x_i)$   $i = 1, \dots, n$ , then  $\bigcup \varphi_i(x_i) = X$  and there exists  $\varphi \in \psi_X$ such that  $\{\varphi(x); x \in X\} = \{\varphi_i(x_i); i = 1, \dots, n\}$ . But this is impossible for  $\mathfrak{F}$  is a  $\psi_Y$ -filter. Thus the family  $\{M_y; y \in Y\}$  has the finite intersection property. Let  $\mathfrak{M} \supset \{M_y; y \in Y\}$  be a maximal family of sets expressed as  $M = X - \varphi(x)$  with the finite intersection property. We can easily prove that  $\mathfrak{M}$  is a Cauchy filter with respect to  $\psi_X$ , and  $\cap \{\overline{M}; M \in \mathfrak{M}\} \subset Y_0.$  If  $\mathfrak{M}_1 \supset \mathfrak{M}$  is any maximal filter in X, then  $\mathfrak{M}_1$  is a Cauchy filter and  $y_i = \mathfrak{M}_1^* \in Y_0.$  But  $\overline{M}_{y_1} \notin y_1$  and  $M_{y_1} \in \mathfrak{M} \subset \mathfrak{M}_1$  in contradiction with the proposition 21. Therefore any maximal  $\psi_Y$ -filter has a limit point. Thus the space Y is compact by theorem 6.

THEOREM 11. If a space X with a basic GU  $\Psi_X$  satisfies the condition of the lemma 5, then the C-extension of X coincides with the compactification considered in § 4.

PROOF. If  $\varphi_0(x_0) \in \mathfrak{M}$  for a special filter  $\mathfrak{M}$ , then there exist a finite number of  $\varphi_i(x_i)$ ,  $i=1, \dots, n$ , such that  $\{\varphi(x_0) \supset \widehat{} (X-\varphi_i(x_i))=M \in \mathfrak{M}$ . Let  $\varphi$  be an element of  $\Psi_X$  such that  $\{\varphi(x) ; x \in X\} = \{\varphi_0(x_0), \varphi_1(x_1), \dots, \varphi_n(x_n)\}$ . Then we have  $\varphi_0(x_0) \supset S(M, \varphi)$ . This shows that any special filter in X is a Cauchy filter, and  $\Psi_R$  of R obtained by compactification agrees with the topology of R at  $p \in R-X$ . Thus we can check easily that all conditions of the proposition 23 are satisfied in the space R.

### §6 Uniformity.

6.1 **Definition.** An open GU  $\Psi$  of a space X is called a *uniformity* if  $\Psi$  agrees with the  $\Psi$ -topology of X. A uniformity  $\Psi$  with the following condition is called a *T*-uniformity:

(A) For any  $\varphi_1, \varphi_2 \in \Psi$ , there exists  $\varphi \in \Psi$  such that to each point  $x \in X$  we can find two point  $x_1, x_2$  with  $\varphi(x) \subset \varphi_1(x_1) \cap \varphi_2(x_2)$ .

A uniformity  $\Psi$  is called *regular* or *completely regular*, according as  $\Psi$  satisfies the condition (B) or (C):

- (B) For any  $\varphi \in \Psi$ , there exists  $\varphi_1 \in \Psi$  such that to each point  $x \in X$  we can find a point  $x' \in X$  and  $\varphi' \in \Psi$  with  $\varphi(x') \supset S(\varphi_1(x), \varphi')$ .
- (C) For any φ∈Ψ, there exist φ<sub>1</sub>, φ<sub>2</sub> ∈Ψ such that to each point x ∈ X we can find a point x' with φ(x') ⊃ S(φ<sub>1</sub>(x), φ<sub>2</sub>). Now we have the following propositions. PROPOSITION 24. (1) A GU Ψ of X is a basic uniformity, if and only if ψ is open and agrees with the topology of X.
- (2) A filter  $\mathfrak{M}$  in X is a Cauchy filter with respect to a regular uniformity  $\Psi$  of X, if and only if  $\mathfrak{M}$  is a  $\Psi$ -filter.

PROPOSITION 25. Let  $\Psi$  be any GU of X, and  $\mathfrak{M}_1, \mathfrak{M}_2$  be two Cauchy filters. The filter  $\mathfrak{M}_1 \wedge \mathfrak{M}_2$ , which is composed of the sets belonging to  $\mathfrak{M}_1$  and  $\mathfrak{M}_2$  simultaneously, is a  $\Psi$ -filter if  $\mathfrak{M}_1 \sim \mathfrak{M}_2$ , and we have  $\mathfrak{M}_1 \sim$  $\mathfrak{M}_2$  if  $\mathfrak{M}_1 \wedge \mathfrak{M}_2$  is a Cauchy filter. Therefore, when  $\Psi$  is a regular uniformity,  $\mathfrak{M}_1 \sim \mathfrak{M}_2$  if and only if  $\mathfrak{M}_1 \wedge \mathfrak{M}_2$  is a Cauchy filter.

PROPOSITION 26. Let  $\Psi$  be a regular uniformity, and  $\mathfrak{M}_x$  be a filter containing x as an element. Then a Cauchy filter  $\mathfrak{M}$   $\Psi$ -converges to the point x, if and only if  $\mathfrak{M} \sim \mathfrak{M}_x$ .

PROPOSITION 27. Let  $\Psi$  be a basic uniformity of a space X. Then  $\mathfrak{M} \to x$  implies  $\mathfrak{M} \to x$  for any Cauchy filter  $\mathfrak{M}$ . Therefore a Cauchy filter  $\mathfrak{M}$  has at most one limit point if each point of X is closed.

PROOF. First three propositions 24-26 are proved without any difficulty. We shall prove the last proposition. For any nbd N of x, there exists an element  $\varphi$  of  $\Psi$  such that  $N \supset S(x, \varphi)$  by the proposition 24. For this  $\varphi$ , we have a set M of  $\mathfrak{M}$ , a point x' and  $\varphi' \in \Psi$  such that  $\varphi(x') \supset S(M, \varphi')$ . Thus we have  $\varphi(x') \supset \varphi'(x) \ni x$ , since  $\mathfrak{M} \to x$  and  $\varphi'(x)$  is a nbd of x. Therefore, we have  $N \supset \varphi(x') \supset M \in \mathfrak{M}$ , and this shows that  $\mathfrak{M}$  converges to the point x. Let  $x_1$  be a limit point of  $\mathfrak{M}$ and  $\overline{x}_1 = x_1$ . Then we have  $N \supset S(M, \varphi') \supset \overline{M} \ni x_1$ , and hence  $x \in \overline{x}_1$ . This shows that the point x is the unique limit point of  $\mathfrak{M}$ , when each point of X is closed.

6.2 Extension with respect to a uniformity. Now, let us consider the relation between the topology of X and the topology of Y obtained by the *C*-extension of X. For this purpose, we shall prove first the following theorem.

THEOREM 11.<sup>5)</sup> (1) A space X has a basic uniformity, if and only if a closure of any set is closed and  $\bar{x} \subset U$  for each point x of any open set U in X.

- (2) A space X has a basic T-uniformity, if and only if X is a T-space and  $\bar{x} \subset U$  for each point x of any open set U in X.
- (3) A space X has a basic regular uniformity, if and only if for any nbd N of any potnt x, there exists an open set G such that  $x \in G$  $\subset \overline{G} \subset N$ .
- (4) A space X has a basic completely regular uniformity, if and only if for any abd N of any point  $x_1$  there exists a non negative realvalued continuous function f defined on X such that  $f(x_1)=0$  and f(x)=1 for each point  $x \in N$ .

PROOF. (1), (2) and (3) are proved without any difficulty, for the

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<sup>5)</sup> Cf. K. Morita: On the simple extension of a space with respect to a uniformity. I. Proc. Japan Acad. 27 (1951).

maximal open GU of X gives the desired uniformity. (4): Clearly the condition is sufficient. Let N be a nbd of a point  $x_1$ , and  $N \supset S(x_1, \varphi_0)$ . We can find  $\varphi_n$ ;  $n=1, 2, \cdots$ , such that  $\varphi_{n-1}(x') \supset S(\varphi'_n(x), \varphi_n)$  for each point x with a certain point x' and  $\varphi'_n \in \Psi$ . Then using the open covering  $\mathfrak{U}_n = \{S(x, \varphi_n); x \in X\}$  we can define a desired function in the well known way.

THEOREM 12. If  $\Psi_X$  is a uniformity [*T*-uniformity, regular or completely regular uniformity], then the GU  $\Psi_Y$  of C-extension Y is a uniformity [*T*-uniformity, regular or completely regular uniformity respectively].

PROOF. Clearly  $\Psi_Y$  is an open GU of the space Y agreeing with the  $\Psi_Y$ -topology of Y by the proposition 22, since  $\Psi_X$  agrees with the  $\Psi_X$ -topology of X. Thus  $\Psi_Y$  is a uniformity by the proposition 24. The rest is proved directly from the definitions in 6.1.

By the theorems 11 and 12, we can formulate the relations between topologies and its C-extensions as follows:

THEOREM 13.6) If a space X and its basic GU  $\Psi_X$  have the properties in the first two columns of the following table, then the C-extension Y of X with respect to  $\Psi_X$  has the properties in the third column mentioned in the corresponding place.

	space $X$	basic GU $\Psi_X$	C-extension
1)	there exists an open nbd basis, each point is closed	uniformity	there exists an open nbd basis, each point is closed
2)	T <sub>1</sub> -space	<b>T</b> -uniformity	$T_1$ -space
3)	regular space	regular $T$ -uniformity	regular space
4)	completely regular space	completely regular $T$ -uniformity	completely regular space

PROOF. It is sufficient to prove that each point x of X is closed in the space Y. Let  $y=\mathfrak{M}^*$  be a point of  $\overline{x} \cap Y_0$ . Then for any  $\varphi \in \Psi_X$ there exists  $\varphi' \in \Psi_X$  such that  $\varphi(x) \supset S(x, \varphi')$ . If  $\varphi'(x') \in \mathfrak{M}^*$ , then  $\varphi(x) \supset \varphi'(x') \in \mathfrak{M}^*$  since  $\varphi'(x')^*$  is a nbd of y in Y. This shows  $\mathfrak{M} \to x$ in contradiction with  $y \in Y_0$ .

THEOREM 14. If  $\Psi_X$  is a regular uniformity of a space X, then the C-extension Y of X is  $\Psi_Y$ -complete.

**PROOF.** Let  $\mathfrak{F}$  be a  $\psi_Y$ -filter in Y, and  $\mathfrak{M}$  be the family of all subsets

6) Cf. K. Morita: loc. cit.

of X containing an intersection of a certain finite number of subsets M of X with  $M^* \in \mathfrak{F}$ .  $\mathfrak{M}$  is clearly a  $\psi_X$ -filter. Let  $\mathfrak{M} \to x_0$  then  $\mathfrak{M}$   $\psi_X$ -converges to the point  $x_0$  by the proposition 27. For any  $\psi \in \psi_Y$ , there exist  $\psi', \psi'' \in \psi_Y$  such that  $\psi(x_0) \supset S(\psi'(x_0), \psi'')$ . If  $\psi''(y) = \psi''(x) \in \mathfrak{F}$ , then  $\varphi''(x) \in \mathfrak{M}$  for  $\varphi'' = \psi''_X$  and  $\psi(x_0) \supset \psi''(x)$ . Thus  $x_0$  is a  $\psi_Y$ -limit point of  $\mathfrak{F}$  in Y. Let  $\bigcap \{\overline{M}; M \in \mathfrak{M}\} \subset Y - X$ . Then there exists a point  $y_0 = \mathfrak{M}_0^* \in Y - X$  such that  $\mathfrak{M} \subset \mathfrak{M}_0$ . Let  $\varphi = \psi_X$  and  $\psi(y_0) = \varphi(x_0)^*$ . Then we can find a set  $M \in \mathfrak{M}_0$  and  $\psi' \in \psi_Y$  such that  $\varphi(x_0) \supset S(M, \varphi')$  for  $\varphi' = \psi'_X$ . If  $\psi'(y') = \varphi'(x')^* \in \mathfrak{F}$ , then  $M \cap \varphi'(x') \neq \phi$  since  $\varphi'(x') \in \mathfrak{M}$ . Thus  $\psi(y_0) \supset \psi'(y') \in \mathfrak{F}$  follows from  $\varphi(x_0) \supset \varphi'(x')$ . This shows that  $y_0$  is a  $\psi_Y$ -limit point of  $\mathfrak{F}$ .

THEOREM 15. Let  $\Psi_X$  be a regular uniformity of a space X. If a subset  $X_1$  of X is  $\Psi_X$ -t bounded, then  $X_1^*$  is  $\Psi_Y$ -t-bounded. Therefore  $X_1^*$  is conditionally compact if  $\Psi_X$  is basic and  $X_1$  is a  $\Psi_X$ -t-bounded set.

PROOF. For any  $\varphi = \psi_X$ ,  $\psi \in \psi_Y$ , there exists  $\varphi_1 \in \psi_X$  satisfying the condition (B) of 6.1 for  $\varphi$ . Let  $\{x'_1, \dots, x'_n\}$  be a finite set such that  $X_1 \subset \bigcup \varphi_1(x'_i)$ . Then we have for each  $i=1,\dots,n$ , a point  $x_i$  and  $\varphi'_i \in \psi_X$  such that  $\varphi(x_i) \supset S(\varphi_1(x'_i), \varphi'_i)$ . Clearly, we have  $X_1 \subset \bigcup \psi(x_i)$ . Let  $y = \mathfrak{M}^*$  be any point of  $X_1^* - X$ . Then for each  $i=1,\dots,n$ , there exists a point  $x'_i$  such that  $\varphi'_i(x''_i) \in \mathfrak{M}^*$ . The set  $M = \bigcap \varphi'_i(x'_i) = \phi$  and  $\varphi(x_j) \supset S(\varphi_1(x'_j), \varphi'_j) \supset \varphi'_j(x''_j) \in \mathfrak{M}^*$ . Therefore we have  $X_i^* \subset \bigcup \psi(x_i)$ .

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