

## THE IDEAL CLASS GROUP OF THE $Z_p$ -EXTENSION OVER THE RATIONALS

KUNIAKI HORIE AND MITSUKO HORIE

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**Abstract.** For any prime number  $p$ , we study local triviality of the ideal class group of the  $Z_p$ -extension over the rational field. We improve a known general result in such study by modifying the proof of the result, and pursue known effective arguments on the above triviality with the help of a computer. Some explicit consequences of our investigations are then provided in the case  $p \leq 7$ .

**Introduction.** Let  $p$  be any prime number. Let  $Z_p$  denote the ring of  $p$ -adic integers, and  $B_\infty$  the  $Z_p$ -extension over the rational number field  $\mathbf{Q}$ , namely, the unique abelian extension over  $\mathbf{Q}$  contained in the complex number field  $\mathbf{C}$  such that the Galois group  $\text{Gal}(B_\infty/\mathbf{Q})$  is topologically isomorphic to the additive group of  $Z_p$ . Let

$$q = p \quad \text{or} \quad q = 4$$

according to whether  $p > 2$  or  $p = 2$ . We denote by  $P_\infty$  the composite, in  $\mathbf{C}$ , of cyclotomic fields of  $p^a$ th roots of unity for all positive integers  $a$ , i.e.,  $P_\infty = B_\infty(e^{2\pi i/q})$ . Given any prime number  $l$  different from  $p$ , let  $F$  be the decomposition field of  $l$  for the abelian extension  $P_\infty/\mathbf{Q}$ . For each positive integer  $b$ , let

$$\xi_b = e^{2\pi i/p^b}.$$

It follows that  $P_\infty/F(\xi_1)$  is a  $Z_p$ -extension. We take a unique positive integer  $\nu$  such that

$$F \subseteq \mathbf{Q}(\xi_\nu) \quad \text{and} \quad [\mathbf{Q}(\xi_\nu) : F] \mid \varphi(q),$$

where  $\varphi$  denotes the Euler function. Note that  $\nu \geq 2$  if  $p = 2$ . Let  $\mathfrak{D}$  denote the ring of algebraic integers in  $F$ , and  $\mathbf{Z}$  the ring of (rational) integers. Let  $S$  be the minimal set of non-negative integers less than  $\varphi(p^\nu) = p^{\nu-1}(p-1)$  such that

$$\mathfrak{D} \subseteq \sum_{m \in S} \mathbf{Z}\xi_\nu^m.$$

Evidently,  $S$  is not empty, i.e.,  $0 < |S| \leq \varphi(p^\nu)$ . Denoting by  $D$  the absolute value of the discriminant of  $F$ , put

$$\Theta = \sqrt{D} \left( \frac{[F : \mathbf{Q}]}{p^\nu \log 2} \sum_{m \in S} \|T_{\mathbf{Q}(\xi_\nu)/F}((1 - \xi_1^{[m/p^{\nu-1}] + 1})\xi_\nu^{-m})\| \right)^{[F : \mathbf{Q}]};$$

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here, for each finite extension  $K'/K$  of subfields of  $\mathbf{C}$ ,  $T_{K'/K}$  denotes the trace map from  $K'$  to  $K$ , for each algebraic number  $\theta$  in  $\mathbf{C}$ ,  $\|\theta\|$  denotes the maximum of the absolute values of all conjugates of  $\theta$  over  $\mathbf{Q}$ , and for each real number  $x$ ,  $[x]$  denotes as usual the maximal integer at most equal to  $x$ . Now, take any cyclic group  $\Gamma$  of order  $p^\nu$ , and a generator  $\gamma$  of  $\Gamma$ ;  $\Gamma = \{\gamma^m; m \in \mathbf{Z}, 0 \leq m < p^\nu\}$ . Let  $S^*$  denote the minimal set of non-negative integers less than  $p^\nu$  such that, in the group ring of  $\Gamma$  over  $\mathbf{Z}$ ,

$$(1 - \gamma^{p^{\nu-1}}) \sum_{m \in S} b_m \gamma^m \in \sum_{w \in S^*} \mathbf{Z} \gamma^w$$

for every sequence  $\{b_m\}_{m \in S}$  of integers with  $\sum_{m \in S} b_m \xi_v^m \in \mathfrak{O}$ . We easily see that  $S^*$  does not depend on the choice of  $\Gamma$  or  $\gamma$ . Further, it follows that  $0 < |S^*| \leq p^\nu$ . Let  $N$  denote the set of positive integers  $n$  which satisfy

$$p^n \geq \frac{p^{2\nu-1}}{q}, \quad \frac{2(qp^{n-\nu})^{1/\varphi(p-1)}}{\varphi(q)|S^*|} < \Theta \left( \frac{\varphi(q)}{2} \log \left( \frac{qp^n}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right) \right)^{[F:\mathbf{Q}]}$$

Clearly,  $q$  divides  $p^{2\nu-1}$ , and  $N$  is a finite set. When  $N \neq \emptyset$ , we define  $n_0$  to be the maximal integer in  $N$ ; when  $N = \emptyset$ , we define an integer  $n_0 \geq 0$  by  $p^{n_0} = p^{2\nu-1}/q$ . For each integer  $a \geq 0$ , let  $\mathbf{B}_a$  denote the subfield of  $\mathbf{B}_\infty$  with degree  $p^a$ , and  $h_a$  the class number of  $\mathbf{B}_a$ . In this paper, we first prove the following result after some preliminaries.

**THEOREM 1.** *Assume that  $l \nmid h_{\nu-1}$ . Then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial if*

$$l \nmid h_{n_0} \quad \text{or} \quad l \geq \Theta \left( \frac{\varphi(q)}{2} \log \left( \frac{qp^{n_0}}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right) \right)^{[F:\mathbf{Q}]}$$

The proof of the above theorem is based essentially upon arithmetic study in [3, 5] on an algebraic interpretation of the analytic class number formula. The theorem actually improves a main result of [5] in general, while more precise results for certain specific cases are obtained in [4, 6] by pursuing several arguments of [3, 5]. We should add that the  $p$ -class group of  $\mathbf{B}_\infty$  is trivial (cf. Iwasawa [9]). Here we make some corrections for [3, 5]. Instead of defining  $f(\chi, u)$  by [3, 1. 19 on p. 258], one should define  $f(\chi, u)$  as the maximal divisor of  $f(\chi)$  relatively prime to  $u$ , with the notation  $\tilde{u}$  retained; furthermore, “ $q_0 = \gcd(q, 2t)$ ” in [3, 1. 3 on p. 260], “ $f' = f(\psi_2^d)$ ” in [3, 1. 6 on p. 260] and “ $\psi_2^d(b) = 1$ ” in [3, 1. 11 on p. 260] should be “ $q_0 = f(\psi_2)/t$ ”, “ $f(\psi_2^d) \mid f'$ ” and “ $\psi_2(b)^d = 1$ ”, respectively. Also, “ $\tan(\pi/2p^\nu)$ ” in [5, 1. 1 on p. 393] should be “ $\tan(\pi/(2p^\nu))$ ” and “element” in [5, 1. 5 on p. 393] should be “elements”; for other corrections, see [7, pp. 822, 823], and [8, p. 180].

It is shown in [3, 6] that, if  $p = 3$  and  $l$  is congruent to either 2, 4, 5 or 7 modulo 9, then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial. Theorem 1 implies the following result among others.

**PROPOSITION 1.** *Assume that  $p = 3$  and that  $l \equiv 8 \pmod{27}$  or  $l \equiv 17 \pmod{27}$ . If  $l \nmid h_{18}$  or  $l > 34681575$ , then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial.*

It is shown in [6] that, if  $p = 2$  and if  $l \equiv 3 \pmod{8}$  or  $l \equiv 5 \pmod{8}$ , then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial. Theorem 1 also implies the following two results.

PROPOSITION 2. Assume that  $p = 2$ ,  $l \equiv 9 \pmod{16}$ , and either  $l \nmid h_{36}$  or  $l > 7150001069$ . Then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial.

PROPOSITION 3. Assume that  $p = 2$ ,  $l \equiv 7 \pmod{16}$ , and either  $l \nmid h_{39}$  or  $l > 17324899980$ . Then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial.

In the latter part of the paper, we deduce the following theorem from several results of [6] with the help of a (personal) computer.

THEOREM 2. Assume that  $p = 5$  and that

$$l \equiv g \pmod{25} \text{ for some } g \in \{2, 3, 4, 8, 9, 12, 13, 14, 17, 19, 22, 23\}.$$

Then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial.

As to the case where

$$l \equiv g \pmod{25} \text{ for some } g \in \{2, 3, 8, 12, 13, 17, 22, 23\},$$

the above result is already shown in [4]. The final result of the present paper is as follows.

THEOREM 3. Assume that  $p = 7$  and that  $l \equiv g \pmod{49}$  for some integer  $g$  in

$$\{2, 3, 4, 5, 9, 10, 11, 12, 16, 17, 23, 24, 25, 26, 32, 33, 37, 38, 39, 40, 44, 45, 46, 47\}.$$

Then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial.

The proof of this theorem also needs a computer as well as several results of [6]. The theorem is already proved in [4] for the case where

$$l \equiv g \pmod{49} \text{ with some } g \in \{3, 5, 10, 12, 17, 24, 26, 33, 38, 40, 45, 47\}.$$

We conclude the present introduction with an optimistic remark. Recently, in the case  $p = 2$ , Fukuda and Komatsu [1] established a criterion for checking the triviality of the  $l$ -class group of  $\mathbf{B}_\infty$  and, as a consequence, verified that the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial whenever  $l < 10^7$ . In view of the arguments of [1], it might be possible to improve the propositions stated above. For example, in Proposition 1, there is a possibility of knowing whether the condition that  $l \nmid h_{18}$  or  $l > 34681575$  is omitted, namely, whether one always has  $l \nmid h_{18}$  in the case  $l < 34681575$  (for slight improvements, cf. Remarks 1 and 2 in Section 2).

**1. Some Lemmas.** Let  $n$  be any positive integer, which will be fixed in the rest of the paper. Let  $E$  denote the group of all units of  $\mathbf{B}_n$ . In the case  $p > 2$ , we put

$$\eta = \prod_u \frac{\xi_{n+1}^u - \xi_{n+1}^{-u}}{\xi_1^u \xi_{n+1}^u - \xi_1^{-u} \xi_{n+1}^{-u}} = \prod_u \frac{\sin(2\pi u/p^{n+1})}{\sin(2\pi u(1+p^n)/p^{n+1})},$$

where  $u$  ranges over the positive integers such that

$$u^{p-1} \equiv 1 \pmod{p^{n+1}}, \quad u < p^{n+1}/2;$$

in the case  $p = 2$ , we put

$$\eta = \frac{\xi_{n+3} - \xi_{n+3}^{-1}}{i\xi_{n+3} + i\xi_{n+3}^{-1}} = \tan \frac{\pi}{2^{n+2}}.$$

Not only  $\eta$  belongs to  $E$  by definition, but also  $\eta$  is a typical example of what is called a circular (or cyclotomic) unit of  $\mathbf{B}_n$ . Let  $\mathfrak{A}$  denote the group ring of  $\text{Gal}(\mathbf{B}_n/\mathbf{Q})$  over  $\mathbf{Z}$ . Naturally, the multiplicative group  $\mathbf{B}_n^\times$  becomes an  $\mathfrak{A}$ -module and  $E$  an  $\mathfrak{A}$ -submodule of  $\mathbf{B}_n^\times$ . Now, take an algebraic integer  $\alpha$  in  $\mathbf{Q}(\xi_n)$ . Then  $\alpha$  is uniquely expressed in the form

$$\alpha = \sum_{m=0}^{\varphi(p^n)-1} a_m \xi_n^m, \quad a_0, \dots, a_{\varphi(p^n)-1} \in \mathbf{Z}.$$

For each  $\rho \in \text{Gal}(\mathbf{B}_n/\mathbf{Q})$ , we define an element  $\alpha_\rho$  of  $\mathfrak{A}$  by

$$\alpha_\rho = \sum_{m=0}^{\varphi(p^n)-1} a_m \rho^m.$$

We note as well that  $h_{n-1}$  divides  $h_n$ , i.e.,  $h_n/h_{n-1}$  is an integer; indeed this fact follows from class field theory since the prime ideal of  $\mathbf{B}_{n-1}$  dividing  $p$  is totally ramified in  $\mathbf{B}_n$ .

LEMMA 1. *Assume that  $n \geq v$  and  $l$  divides  $h_n/h_{n-1}$ . Then*

$$l < \Theta \left( \frac{\varphi(q)}{2} \log \left( \frac{qp^n}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right) \right)^{[F:\mathbf{Q}]}$$

PROOF. Let  $\sigma$  be a generator of the cyclic group  $\text{Gal}(\mathbf{B}_n/\mathbf{Q})$ . As [5, Lemma 2] implies by the assumption that  $l$  divides  $h_n/h_{n-1}$ , there exists a prime ideal  $\mathfrak{l}$  of  $F$  dividing  $l$  such that, for any  $\beta \in \mathfrak{l}^{-1}$ ,  $\eta^{\beta\sigma}$  is an  $l$ th power in  $E$ . Since the norm of  $\mathfrak{l}^{-1}$  for  $F/\mathbf{Q}$  is  $l^{[F:\mathbf{Q}]-1}$ , Minkowski's lattice theorem shows that

$$(1) \quad \|\alpha\| \leq (\sqrt{D}l^{[F:\mathbf{Q}]-1})^{1/[F:\mathbf{Q}]} \quad \text{with some } \alpha \in \mathfrak{l}^{-1} \setminus \{0\}.$$

There also exist integers  $a_m$  for all  $m \in S$  which satisfy

$$(2) \quad \alpha = \sum_{m \in S} a_m \xi_v^m.$$

Now, given any  $m' \in S$ , let  $S' = \{m \in S; m \equiv m' \pmod{p^{v-1}}\}$ . We then see, for any  $m \in S'$ , that  $m \equiv m' \pmod{p^v}$  if and only if  $m = m'$  and that

$$0 < m - m' + ([m'/p^{v-1}] + 1)p^{v-1} < p^v.$$

Furthermore, for any integer  $w$ , we find  $T_{\mathbf{Q}(\xi_v)/\mathbf{Q}}(\xi_v^w)$  to be either  $\varphi(p^v)$ ,  $-p^{v-1}$  or 0 according to whether the highest power of  $p$  dividing  $w$  is either greater than  $p^{v-1}$ , equal to  $p^{v-1}$  or smaller than  $p^{v-1}$ . It therefore follows from (2) that

$$\begin{aligned} & T_{\mathbf{Q}(\xi_v)/\mathbf{Q}}((1 - \xi_v^{[m'/p^{v-1}]+1})\xi_v^{-m'}\alpha) \\ &= \sum_{m \in S'} a_m T_{\mathbf{Q}(\xi_v)/\mathbf{Q}}(\xi_v^{m-m'}) - \sum_{m \in S'} a_m T_{\mathbf{Q}(\xi_v)/\mathbf{Q}}(\xi_v^{m-m'+([m'/p^{v-1}]+1)p^{v-1}}) = p^v a_{m'}. \end{aligned}$$

Hence

$$\begin{aligned} |a_{m'}| &= \frac{1}{p^v} |T_{F/\mathcal{Q}}(T_{\mathcal{Q}(\xi_v)/F}((1 - \xi_1^{[m'/p^{v-1}]+1})\xi_v^{-m'})\alpha)| \\ &\leq \frac{[F : \mathcal{Q}]}{p^v} \|T_{\mathcal{Q}(\xi_v)/F}((1 - \xi_1^{[m'/p^{v-1}]+1})\xi_v^{-m'})\| \|\alpha\| \end{aligned}$$

so that, by (1),

$$|a_{m'}| \leq \frac{[F : \mathcal{Q}]}{p^v} (\sqrt{D}l^{[F:\mathcal{Q}]-1})^{1/[F:\mathcal{Q}]} \|T_{\mathcal{Q}(\xi_v)/F}((1 - \xi_1^{[m'/p^{v-1}]+1})\xi_v^{-m'})\|.$$

However, (2) implies that

$$\alpha_\sigma = \sum_{m \in S} a_m \sigma^{p^{n-v}m} \quad \text{in } \mathfrak{A},$$

and hence

$$\|\eta^{\alpha_\sigma}\| \leq \max(\|\eta\|, \|\eta^{-1}\|)^{\sum_{m \in S} |a_m|}.$$

Therefore, putting  $L = \log(\max(\|\eta\|, \|\eta^{-1}\|))$ , we have

$$\log \|\eta^{\alpha_\sigma}\| \leq \frac{[F : \mathcal{Q}]L}{p^v} (\sqrt{D}l^{[F:\mathcal{Q}]-1})^{1/[F:\mathcal{Q}]} \sum_{m \in S} \|T_{\mathcal{Q}(\xi_v)/F}((1 - \xi_1^{[m/p^{v-1}]+1})\xi_v^{-m})\|.$$

On the other hand, as in the proof of [5, Lemma 6], [5, Lemma 3] gives

$$l \log 2 < \log \|\eta^{\alpha_\sigma}\|.$$

Thus

$$\left(\frac{l}{\sqrt{D}}\right)^{1/[F:\mathcal{Q}]} < \frac{[F : \mathcal{Q}]L}{p^v \log 2} \sum_{m \in S} \|T_{\mathcal{Q}(\xi_v)/F}((1 - \xi_1^{[m/p^{v-1}]+1})\xi_v^{-m})\|.$$

Since

$$L < \frac{\varphi(q)}{2} \log \left( \frac{qp^n}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right)$$

by [5, Lemma 4], we then obtain the inequality to be proved. □

In the case  $p > 2$ , let  $v$  be the number of distinct prime divisors of  $(p - 1)/2$ , let  $g_1, \dots, g_v$  be the prime-powers greater than 1 such that

$$\frac{p - 1}{2} = g_1 \cdots g_v,$$

and let  $V$  denote the subset of the cyclic group  $\langle e^{2\pi i/(p-1)} \rangle$  consisting of

$$e^{\pi i z_1/g_1} \cdots e^{\pi i z_v/g_v}$$

for all  $v$ -tuples  $(z_1, \dots, z_v)$  of integers with  $0 \leq z_1 < g_1, \dots, 0 \leq z_v < g_v$ . We understand that  $V = \{1\}$  if  $p = 3$ . In the case  $p = 2$ , we put  $V = \{1\}$ . It follows that  $V$  is a complete set

of representatives of the factor group  $\langle e^{2\pi i/\varphi(q)} \rangle / \langle -1 \rangle$ . Let  $\Phi$  denote the set of maps from  $V$  to  $\{u \in \mathbf{Z}; 0 \leq u \leq |S^*|l\}$ . We put

$$M = \max_{\psi \in \Phi} \left| \mathfrak{N} \left( \sum_{\delta \in V} \psi(\delta)\delta - 1 \right) \right|,$$

where  $\mathfrak{N}$  denotes the norm map from  $\mathcal{Q}(e^{2\pi i/(p-1)})$  to  $\mathcal{Q}$ . Next, let  $\mathfrak{p}$  be a prime ideal of  $\mathcal{Q}(e^{2\pi i/(p-1)})$  dividing  $p$ . Let  $I$  denote the set of positive integers smaller than  $qp^n$  and congruent to suitable elements of  $V$  modulo  $qp^n$ . Here

$$\mathfrak{q} = \mathfrak{p} \quad \text{or} \quad \mathfrak{q} = \mathfrak{p}^2$$

according to whether  $p > 2$  or  $p = 2$ . Since the degree of  $\mathfrak{p}$  is 1 and  $\langle e^{2\pi i/(p-1)} \rangle \cup \{0\}$  is a complete set of representatives of the residue ring  $\mathbf{Z}[e^{2\pi i/(p-1)}] / \mathfrak{p}$ , each  $\varepsilon \in V$  gives a unique  $u \in I$  with  $u \equiv \varepsilon \pmod{qp^n}$  and the map  $\varepsilon \mapsto u$  defines a bijection from  $V$  to  $I$ . Note that  $I$  contains 1. For each pair  $(m, u)$  in  $S^* \times I$ , let  $\mathfrak{G}_{m,u}$  denote the set of maps  $j : S^* \times I \rightarrow \mathbf{Z}$  such that  $\min(l - 2, 1) \leq j(m, u) < l$  and  $j(m', u') \in \{0, l\}$  for every  $(m', u')$  in  $S^* \times I \setminus \{(m, u)\}$ . We then let

$$\mathfrak{H} = \bigcup_{(m,u) \in S^* \times I} \mathfrak{G}_{m,u}.$$

In the case  $n \geq \nu$ , putting  $r = 1 + qp^{n-\nu}$ , we define

$$A(j) = \sum_{m \in S^*} \sum_{u \in I} ur^m j(m, u)$$

for each  $j \in \mathfrak{H}$ , whence

$$A(j) \equiv \sum_{m \in S^*} \sum_{u \in I} uj(m, u) \pmod{qp^{n-\nu}}.$$

LEMMA 2. Assume that  $M < qp^{n-\nu}$  and  $n \geq \nu$ . Take a map  $j$  in  $\mathfrak{H}$ . Then the condition

$$A(j) \equiv |S^*|l \sum_{u \in I} u - 1 \pmod{qp^{n-\nu}}$$

is equivalent to the condition that

$$j(w, 1) = l - 1, \quad j(m, u) = l$$

for some  $w \in S^*$  and every  $(m, u) \in S^* \times I \setminus \{(w, 1)\}$ .

PROOF. The latter condition clearly implies the former. Let us consider the case where  $j \in \mathfrak{G}_{w,u_0}$  with  $(w, u_0) \in S^* \times I$ , under the former condition which can be written as

$$\sum_{u \in I} \left( \sum_{m \in S^*} (l - j(m, u)) \right) u - 1 \equiv 0 \pmod{qp^{n-\nu}}.$$

In virtue of the bijection  $V \rightarrow I$  defined above, there exists a unique  $\psi \in \Phi$  such that

$$\psi(\varepsilon) = \sum_{m \in S^*} (l - j(m, u))$$

for every  $(\varepsilon, u) \in V \times I$  with  $\varepsilon \equiv u \pmod{qp^n}$ . We then obtain

$$\sum_{\varepsilon \in V} \psi(\varepsilon)\varepsilon - 1 \equiv 0 \pmod{qp^{n-v}}.$$

This yields

$$\mathfrak{N}\left(\sum_{\varepsilon \in V} \psi(\varepsilon)\varepsilon - 1\right) \equiv 0 \pmod{qp^{n-v}}.$$

Hence it follows from the assumption  $M < qp^{n-v}$  that

$$\mathfrak{N}\left(\sum_{\varepsilon \in V} \psi(\varepsilon)\varepsilon - 1\right) = 0, \quad \text{i.e.,} \quad \sum_{\varepsilon \in V} \psi(\varepsilon)\varepsilon - 1 = 0.$$

Therefore, by [3, Lemma 7],  $\psi(1) = 1$  and  $\psi(\varepsilon) = 0$  for all  $\varepsilon$  in  $V \setminus \{1\}$ . In particular, we have  $u_0 = 1$ . We thus find that  $j(w, 1) = l - 1$  and  $j(m, u) = l$  for all  $(m, u)$  in  $S^* \times I \setminus \{(w, 1)\}$ .  $\square$

For each  $(m, u) \in S^* \times I$  and each  $j \in \mathfrak{G}_{m,u}$ , we define an integer  $B(j)$  by

$$B(j) = \sum_{(m', u')} \left(1 - \frac{j(m', u')}{l}\right),$$

where  $(m', u')$  runs through  $S^* \times I \setminus \{(m, u)\}$ . This notation will be used in the proof of the following lemma.

LEMMA 3. *Assume that  $l$  divides  $h_n/h_{n-1}$  and  $p^{2v}$  divides  $qp^n$ . Then*

$$qp^{n-v} \leq M.$$

PROOF. The assumption  $p^{2v} \mid qp^n$  yields

$$n \geq v, \quad qp^n \mid (qp^{n-v})^2.$$

By the above divisibility, we have

$$(3) \quad r^a \equiv 1 + aqp^{n-v} \pmod{qp^n}$$

for every  $a \in \mathbf{Z}$ . Put  $\zeta = e^{2\pi i/(qp^n)}$ , namely, put

$$\zeta = \xi_{n+1} \quad \text{or} \quad \zeta = \xi_{n+2}$$

according to whether  $p > 2$  or  $p = 2$ . Let  $s$  be an integer such that

$$s^{p^{n-v}} \equiv r \pmod{qp^n},$$

and let  $\sigma$  be the automorphism of  $\mathbf{Q}(\zeta)$  mapping  $\zeta$  to  $\zeta^s$ . When there is no risk of confusion, we identify  $\mathfrak{K}$  with the group ring of  $\text{Gal}(\mathbf{Q}(\zeta)/\mathbf{Q}(e^{2\pi i/q}))$  over  $\mathbf{Z}$  through the natural identification

$$\text{Gal}(\mathbf{B}_n/\mathbf{Q}) = \text{Gal}(\mathbf{Q}(\zeta)/\mathbf{Q}(e^{2\pi i/q})) = \langle \sigma \rangle.$$

As [5, Lemma 2] shows under our hypothesis, there exists a prime ideal  $\mathfrak{l}$  of  $\mathbf{Q}(\xi_v)$  dividing  $l$  such that  $\eta^{\beta\sigma}$  is an  $l$ th power in  $E$  for every  $\beta \in \mathfrak{l}^{-1}$ . Let  $\alpha$  be any algebraic integer which is

not divisible by  $l$  but divisible by  $l^{-1}$ . Let  $\tau = \sigma^{p^{n-1}}$ . The definition of  $S^*$  then enables us to take the integers  $a_m, m \in S^*$ , satisfying

$$(1 - \tau)\alpha_\sigma = \sum_{m \in S^*} a_m \sigma^{p^{n-v}m}.$$

It follows that

$$(4) \quad (1 - \xi_1)\alpha = \sum_{m \in S^*} a_m \xi_1^m.$$

In the case  $p > 2$ , since the disjoint union of  $I$  and  $\{p^{n+1} - u; u \in I\}$  is just the set of positive integers  $u < p^{n+1}$  satisfying  $u^{p-1} \equiv 1 \pmod{p^{n+1}}$  and since  $\zeta^\tau = \zeta^{1+p^n} = \xi_1 \zeta$ , we obtain

$$\eta = \prod_{u \in I} (\zeta^u - \zeta^{-u})^{1-\tau} = \prod_{u \in I} \xi_1^u (\zeta^{2u} - 1)^{1-\tau},$$

so that, by the definition of  $\sigma$ ,

$$\eta^{\alpha_\sigma} = \xi_1^{\alpha_\sigma \sum_{u \in I} u} \prod_{m \in S^*} \prod_{u \in I} (\zeta^{2ur^m} - 1)^{a_m}.$$

In the case  $p = 2$ ,

$$\eta = i(\zeta - 1)^{1-\tau}, \quad \text{whence} \quad \eta^{\alpha_\sigma} = i^{\alpha_\sigma} \prod_{m \in S^*} (\zeta^{r^m} - 1)^{a_m}.$$

Consequently, we always find that

$$\prod_{m \in S^*} \prod_{u \in I} (\zeta^{ur^m} - 1)^{a_m}$$

is an  $l$ th power in  $\mathbf{Z}[\zeta]$ . Hence, in  $\mathbf{Z}[\zeta]$ , [3, Lemma 5] yields

$$(5) \quad \prod_{m \in S^*} \prod_{u \in I} (\zeta^{lur^m} - 1)^{a_m} \equiv \prod_{m \in S^*} \prod_{u \in I} (\zeta^{ur^m} - 1)^{a_m l} \pmod{l^2}.$$

We add that the both sides above are relatively prime to  $l$ .

Next, let  $y$  be an indeterminate. Define a polynomial  $J(y)$  in  $\mathbf{Z}[y]$  by

$$(y - 1)^l = y^l - 1 + lJ(y),$$

namely, let

$$(6) \quad J(y) = \sum_{c=1}^{l-1} \frac{(-1)^{c-1}}{l} \binom{l}{c} y^c \quad \text{or} \quad J(y) = -y + 1$$

according to whether  $l > 2$  or  $l = 2$ . Then, for each  $b \in \mathbf{Z}$  and each  $b' \in \mathbf{Z}$  with  $\zeta^{b'} \neq 1$ ,

$$(\zeta^{b'} - 1)^{bl} \equiv (\zeta^{lb'} - 1)^{b-1} (\zeta^{lb'} - 1 + blJ(\zeta^{b'})) \pmod{l^2}.$$

Therefore, we see from (5) that

$$\prod_{m \in S^*} \prod_{u \in I} (\zeta^{lur^m} - 1) \equiv \prod_{m \in S^*} \prod_{u \in I} (\zeta^{lur^m} - 1 + a_m l J(\zeta^{ur^m})) \pmod{l^2}.$$



This implies that

$$\sum_{m \in S^*} \sum_{u \in I} a_m J(\zeta^{ur^m}) \prod_{(w,u')} (\zeta^{lu'r^w} - 1) \equiv 0 \pmod{l},$$

where  $(w, u')$  runs through  $S^* \times I \setminus \{(m, u)\}$ . Furthermore, for each  $(m, u) \in S^* \times I$  and each integer  $c$  with  $\min(l - 2, 1) \leq c < l$ , we have

$$\zeta^{ur^m c} \prod_{(w,u')} (\zeta^{lu'r^w} - 1) = \sum_{j'} (-1)^{B(j')} \zeta^{A(j')},$$

the sum taken over all  $j' \in \mathfrak{G}_{m,u}$  with  $j'(m, u) = c$ . Hence, by (6),

$$(7) \quad \sum_{m \in S^*} \sum_{u \in I} \sum_{j \in \mathfrak{G}_{m,u}} (-1)^{B(j)} a_m b_{m,u}(j) \zeta^{A(j)} \equiv 0 \pmod{l};$$

here, for each  $(m, u) \in S^* \times I$  and each  $j \in \mathfrak{G}_{m,u}$ ,

$$b_{m,u}(j) = \frac{(-1)^{j(m,u)-1}}{l} \binom{l}{j(m,u)} \quad \text{or} \quad b_{m,u}(j) = 1$$

according to whether  $l > 2$  or  $l = 2$ .

Now, contrary to the conclusion of the lemma, we suppose that  $M < qp^{n-v}$ . It follows from [3, Lemma 6] that the partial sum in the left-hand side of (7), under the condition

$$A(j) \equiv |S^*|l \sum_{u \in I} u - 1 \pmod{qp^{n-v}},$$

is congruent to 0 modulo  $l$ . Therefore, by Lemma 2,

$$\sum_{w \in S^*} a_w \zeta^{A_0 - r^w} \equiv 0 \pmod{l}, \quad \text{with} \quad A_0 = \sum_{m \in S^*} \sum_{u \in I} lur^m.$$

Applying complex conjugation to the above congruence, we have

$$\sum_{w \in S^*} a_w \zeta^{r^w} \equiv 0 \pmod{l}.$$

However, (3) gives  $\zeta^{r^w} = \zeta \xi_v^w$  for every  $w \in S^*$ . We thus deduce from (4) that

$$(1 - \xi_1)\alpha \equiv 0 \pmod{l}, \quad \text{i.e.,} \quad \alpha \equiv 0 \pmod{l}.$$

This contradiction completes the proof of the lemma. □

**2. Proofs of Theorem 1 and Propositions.** By means of the lemmas in the preceding section, let us prove the former four results stated in the introduction, as follows.

PROOF OF THEOREM 1. For any  $\psi \in \Phi$ ,

$$\left| \mathfrak{N} \left( \sum_{\delta \in V} \psi(\delta) \delta - 1 \right) \right| = \prod_{\rho} \left| \sum_{\delta \in V} \psi(\delta) \delta^\rho - 1 \right|,$$

with  $\rho$  ranging over all automorphisms of  $\mathcal{Q}(e^{2\pi i/(p-1)})$ , and

$$\left| \sum_{\delta \in V} \psi(\delta)\delta^\rho - 1 \right| \leq |\psi(1) - 1| + \sum_{\delta \in V \setminus \{1\}} \psi(\delta) < \frac{\varphi(q)}{2} \cdot |S^*|l.$$

Therefore

$$M < \left( \frac{\varphi(q)|S^*|l}{2} \right)^{\varphi(p-1)}.$$

Now assume that the  $l$ -class group of  $\mathbf{B}_\infty$  is not trivial. Since  $l$  does not divide  $h_{v-1}$ , it follows that  $l$  divides  $h_{n'}/h_{n'-1}$  for some positive integer  $n' \geq v$ . In the case where  $p^{n'} < p^{2v}/q$  so that  $n' \leq n_0$ , we have  $l \mid h_{n_0}$  and Lemma 1 shows that

$$l < \Theta \left( \frac{\varphi(q)}{2} \log \left( \frac{qp^{n'}}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right) \right)^{[F:\mathcal{Q}]} \leq \Theta \left( \frac{\varphi(q)}{2} \log \left( \frac{qp^{n_0}}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right) \right)^{[F:\mathcal{Q}]}.$$

We next consider the case  $p^{n'} \geq p^{2v}/q$ . Together with the above estimate for  $M$ , Lemma 3 yields

$$qp^{n'-v} < \left( \frac{\varphi(q)|S^*|l}{2} \right)^{\varphi(p-1)}, \quad \text{i.e.,} \quad \frac{2(qp^{n'-v})^{1/\varphi(p-1)}}{\varphi(q)|S^*|} < l.$$

Furthermore, by Lemma 1,

$$l < \Theta \left( \frac{\varphi(q)}{2} \log \left( \frac{qp^{n'}}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right) \right)^{[F:\mathcal{Q}]}.$$

We therefore obtain

$$\frac{2(qp^{n'-v})^{1/\varphi(p-1)}}{\varphi(q)|S^*|} < \Theta \left( \frac{\varphi(q)}{2} \log \left( \frac{qp^{n'}}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right) \right)^{[F:\mathcal{Q}]},$$

which means that  $n'$  belongs to  $N$ . Hence the definition of  $n_0$  implies  $n' \leq n_0$ , and consequently,

$$l < \Theta \left( \frac{\varphi(q)}{2} \log \left( \frac{qp^{n_0}}{\pi} \sin \frac{\pi}{p} + \cos \frac{\pi}{p} \right) \right)^{[F:\mathcal{Q}]}, \quad l \mid h_{n_0}. \quad \square$$

The following lemma is useful to continue our proofs.

LEMMA 4. *Let  $d$  be any positive divisor of  $p - 1$ .*

(i) *If  $p > 2$ , then  $F$  is an extension of  $\mathbf{B}_{v-1}$ , the condition  $[F : \mathbf{B}_{v-1}] = d$  is equivalent to the condition that  $l \equiv g_0^{p^{v-1}d} \pmod{p^{v+1}}$  for some primitive root  $g_0$  modulo  $p^2$ , and in the case  $[F : \mathbf{B}_{v-1}] = d$ ,*

$$\Theta = \frac{1}{p^{((p^{v-1}-1)d/(p-1)+1)/2}} \left( \frac{p^{v/2}d}{\log 2} \sum_{m \in S} \|T_{\mathcal{Q}(\xi_v)/F}((1 - \xi_1^{[m/p^{v-1}+1]})\xi_v^{-m})\| \right)^{p^{v-1}d}.$$

(ii) If  $p = 2$ , then the condition  $F = \mathbf{Q}(\xi_v)$  is equivalent to the congruence  $l \equiv 1 + 2^v \pmod{2^{v+1}}$ , and implies that

$$\Theta = \frac{2^{3(v-1)2^{v-2}}}{(\log 2)^{2^{v-1}}}.$$

(iii) If  $p = 2$ , then the three conditions  $[\mathbf{Q}(\xi_v) : F] = 2$ ,  $F = \mathbf{Q}(\xi_v - \xi_v^{-1}) \neq \mathbf{Q}(i)$  and  $l \equiv -1 + 2^{v-1} \not\equiv 1 \pmod{2^v}$  are equivalent, and imply that

$$v \geq 3, \quad \Theta = \frac{2^{(v-1)2^{v-3}-1/2}}{(\log 2)^{2^{v-2}}} \left( 1 + \sum_{u=2}^{v-1} 2^{u-2} \cos \frac{\pi}{2^u} \right)^{2^{v-2}}.$$

PROOF. We omit most part of the proof which follows from the basic theory of cyclotomic fields. When  $p > 2$  and  $[F : \mathbf{B}_{v-1}] = d$ ,  $F$  is a cyclic extension over  $\mathbf{Q}$  of degree  $p^{v-1}d$  with conductor  $p^v$ , so that the conductor-discriminant formula gives

$$D = p^{vp^{v-1}d - (p^{v-1}-1)d/(p-1)-1}.$$

Combining this with the definition of  $\Theta$ , we obtain the last conclusion of (i).

We next consider the case where  $p = 2$  and  $F = \mathbf{Q}(\xi_v)$ . Since

$$S = \{0, \dots, 2^{v-1} - 1\}, \quad \xi_1 = -1,$$

it follows that

$$\sum_{m \in S} \|T_{\mathbf{Q}(\xi_v)/F}((1 - \xi_1^{[m/2^{v-1}]+1})\xi_v^{-m})\| = 2^v.$$

We also have  $D = 2^{(v-1)2^{v-1}}$ . Hence  $\Theta$  can be expressed as in the assertion (ii).

We finally consider the case where  $p = 2$ ,  $F = \mathbf{Q}(\xi_v - \xi_v^{-1}) \neq \mathbf{Q}(i)$ , and hence  $v \geq 3$ . It readily follows that  $S = \{0, \dots, 2^{v-1} - 1\} \setminus \{2^{v-2}\}$ . For any  $m \in S \setminus \{0\}$ ,

$$\|T_{\mathbf{Q}(\xi_v)/F}((1 - \xi_1^{[m/2^{v-1}]+1})\xi_v^{-m})\| = 2\|\xi_v^{-m} + (-1)^m \xi_v^m\|;$$

further, when  $m$  is odd,

$$\|\xi_v^{-m} + (-1)^m \xi_v^m\| = 2 \left\| \sin \frac{\pi}{2^{v-1}} \right\| = 2 \sin \frac{(2^{v-2} - 1)\pi}{2^{v-1}} = 2 \cos \frac{\pi}{2^{v-1}}$$

and, when  $m$  is even,

$$\|\xi_v^{-m} + (-1)^m \xi_v^m\| = 2 \left\| \cos \frac{m\pi}{2^{v-1}} \right\| = 2 \cos \frac{\gcd(m, 2^{v-1})\pi}{2^{v-1}}.$$

Hence

$$\sum_{m \in S} \|T_{\mathbf{Q}(\xi_v)/F}((1 - \xi_1^{[m/2^{v-1}]+1})\xi_v^{-m})\| = 4 + \sum_{u=2}^{v-1} 2^u \cos \frac{\pi}{2^u}.$$

However, since  $F$  is a cyclic extension over  $\mathbf{Q}$  of degree  $2^{v-2}$  with conductor  $2^v$ , we have  $D = 2^{(v-1)2^{v-2}-1}$ . Therefore  $\Theta$  is expressed as in (iii). □

PROOF OF PROPOSITION 1. By the hypothesis of the proposition,  $l \equiv g_0^3 \pmod{3^3}$  for some primitive root  $g_0$  modulo  $3^2$ , so that  $F = \mathbf{B}_1 = \mathbf{Q}(\xi_2 + \xi_2^{-1})$ ,  $\nu = 2$ ,  $[F : \mathbf{Q}] = 3$  (cf. Lemma 4), and

$$\mathfrak{D} = \{a_0 + (a_1 - a_2)\xi_2 + (a_2 - a_1)\xi_2^2 - a_2\xi_2^4 - a_1\xi_2^5; a_0, a_1, a_2 \in \mathbf{Z}\}.$$

In particular,  $S = \{0, 1, 2, 4, 5\}$ . Hence

$$\begin{aligned} & \sum_{m \in S} \|T_{\mathbf{Q}(\xi_2)/F}((1 - \xi_1^{[m/3]+1})\xi_2^{-m})\| \\ &= 3 + 2 \left\| 2 \cos \frac{2\pi}{9} - 2 \cos \frac{4\pi}{9} \right\| + \left\| 2 \cos \frac{8\pi}{9} - 2 \cos \frac{4\pi}{9} \right\| + \left\| 2 \cos \frac{10\pi}{9} - 2 \cos \frac{2\pi}{9} \right\|. \end{aligned}$$

It therefore follows that

$$\Theta = \frac{(3 + 8 \cos(2\pi/9) - 8 \cos(8\pi/9))^3}{3(\log 2)^3}.$$

Furthermore, with the same  $\gamma$  as in the introduction, we have

$$\begin{aligned} & (1 - \gamma^3)(a_0 + (a_1 - a_2)\gamma + (a_2 - a_1)\gamma^2 - a_2\gamma^4 - a_1\gamma^5) \\ &= a_0 + (a_1 - a_2)\gamma + (a_2 - a_1)\gamma^2 - a_0\gamma^3 - a_1\gamma^4 - a_2\gamma^5 + a_2\gamma^7 + a_1\gamma^8 \end{aligned}$$

for  $a_0, a_1, a_2$  in  $\mathbf{Z}$ . This gives  $S^* = \{0, 1, 2, 3, 4, 5, 7, 8\}$ . Hence

$$N = \left\{ n' \in \mathbf{Z}; n' \geq 2, \frac{3^{n'-1}}{8} < \Theta \left( \log \left( \frac{3^{n'+3/2}}{2\pi} + \frac{1}{2} \right) \right)^3 \right\} = \{2, \dots, 18\}, \quad n_0 = 18.$$

Since  $h_1$  is known to be 1 and

$$\left[ \Theta \left( \log \left( \frac{3^{18+3/2}}{2\pi} + \frac{1}{2} \right) \right)^3 \right] = 34681575,$$

we then obtain the proposition from Theorem 1.

REMARK 1. Checking the proof of Theorem 1, we actually deduce the following fact from Lemmas 1 and 2: If  $P$  denotes the set of pairs  $(n', l')$  such that  $n'$  is an integer greater than 1,  $l'$  is a prime number congruent to 8 or 17 modulo 27, and

$$\frac{3^{n'-1}}{8} < l' < \frac{(3 + 8 \cos(2\pi/9) - 8 \cos(8\pi/9))^3}{3(\log 2)^3} \left( \log \left( \frac{3^{n'+3/2}}{2\pi} + \frac{1}{2} \right) \right)^3,$$

then not only every  $(n', l')$  in  $P$  satisfies  $n' \leq 18$  and  $l' < 34681575$ , but the condition  $l \nmid h_{18}$  in Proposition 1 can be replaced by the condition that  $l$  does not divide  $h_{n'}/h_{n'-1}$  for any integer  $n'$  with  $(n', l) \in P$ .

PROOF OF PROPOSITION 2. The hypothesis of the proposition implies that  $F = \mathbf{Q}(\xi_3)$  and  $\nu = 3$ . As  $S^* = \{0, \dots, 7\}$ , (ii) of Lemma 4 yields

$$N = \{n' \in \mathbf{Z}; n' \geq 3, 2^{n'-4} < \Theta((n' + 2) \log 2 - \log \pi)^4\} = \{3, \dots, 36\}, \quad n_0 = 36.$$

Therefore, because of the facts

$$h_2 = 1, \quad [\Theta((36 + 2) \log 2 - \log \pi)^4] = 7150001069 = 29 \cdot 8713 \cdot 28297,$$

the proposition follows from Theorem 1. □

PROOF OF PROPOSITION 3. Since

$$F = \mathbf{Q}(\xi_4 - \xi_4^{-1}), \quad \nu = 4, \quad S = \{0, 1, 2, 3, 5, 6, 7\},$$

we have  $S^* = \{0, 1, 2, 3, 5, 6, 7, 8, 9, 10, 11, 13, 14, 15\}$ . Hence, by (iii) of Lemma 4,

$$N = \left\{ n' \in \mathbf{Z}; n' \geq 5, \frac{2^{n'-3}}{7} < \Theta((n' + 2) \log 2 - \log \pi)^4 \right\} = \{5, \dots, 39\}, \quad n_0 = 39.$$

Furthermore,  $h_3$  is known to be 1 and

$$[\Theta((39 + 2) \log 2 - \log \pi)^4] = 17324899980.$$

Theorem 1 therefore completes the proof of the proposition. □

REMARK 2. We can weaken the conditions of Propositions 2 and 3, as well as the condition of Proposition 1, in a manner similar to that of Remark 1. Anyhow, once the value of  $p$  and the field  $F$  are explicitly given, Theorem 1 provides us with a concrete result such as each proposition.

**3. Proofs of Theorems 2 and 3.** Suppose  $p$  to be odd in this section. Let  $R$  be the set of positive quadratic residues modulo  $p$  smaller than  $p$ , i.e.,

$$R = \left\{ m \in \mathbf{Z}; 0 < m < p, \left(\frac{m}{p}\right) = 1 \right\}.$$

We let

$$R_+ = \left\{ m \in R; m \leq p - 2, \left(\frac{m+1}{p}\right) = -1 \right\},$$

$$R_- = \left\{ m \in R; 3 \leq m, \left(\frac{m-1}{p}\right) = -1 \right\} = R \setminus (\{m+1; m \in R\} \cup \{1\}).$$

Putting

$$R_+^* = R_+ \cup \{0\}, \quad R_-^* = R_- \cup \{0\},$$

let  $\mathfrak{F}_+$  denote the set of all maps from  $R_+^* \times I$  to  $\{0, l\}$ , and  $\mathfrak{F}_-$  the set of all maps from  $R_-^* \times I$  to  $\{0, l\}$ . For each pair  $(m, u)$  in  $R_+^* \times I$ , let  $\mathfrak{G}_+^{m,u}$  denote the set of maps  $j : R_+^* \times I \rightarrow \mathbf{Z}$  such that  $\min(l-2, 1) \leq j(m, u) < l$  and  $j(m', u') \in \{0, l\}$  for every  $(m', u')$  in  $R_+^* \times I \setminus \{(m, u)\}$ . Similarly, for each  $(m, u)$  in  $R_-^* \times I$ , let  $\mathfrak{G}_-^{m,u}$  denote the set of maps  $j : R_-^* \times I \rightarrow \mathbf{Z}$  such that  $\min(l-2, 1) \leq j(m, u) < l$  and  $j(m', u') \in \{0, l\}$  for every  $(m', u')$  in  $R_-^* \times I \setminus \{(m, u)\}$ . We then put

$$\mathfrak{G}_+ = \bigcup_{(m,u) \in R_+^* \times I} \mathfrak{G}_+^{m,u}, \quad \mathfrak{G}_- = \bigcup_{(m,u) \in R_-^* \times I} \mathfrak{G}_-^{m,u}.$$

For each pair  $(j, j')$  in  $(\mathfrak{G}_+ \times \mathfrak{F}_-) \cup (\mathfrak{F}_+ \times \mathfrak{G}_-)$ , we define

$$\hat{A}(j, j') = \sum_{u \in I} u \left( \sum_{m \in R_+^*} (1 + p^n)^{m+1} j(m, u) + \sum_{m \in R_-^*} (1 + p^n)^m j'(m, u) \right),$$

whence

$$\hat{A}(j, j') \equiv \sum_{u \in I} u \left( \sum_{m \in R_+^*} j(m, u) + \sum_{m \in R_-^*} j'(m, u) \right) \pmod{p^n}.$$

We also define

$$\hat{B}(j, j') = \sum_{u \in I} \left( \sum_{m \in R_+^*} (l - j(m, u)) + \sum_{m \in R_-^*} (l - j'(m, u)) \right).$$

Let  $d$  be any integer. For each  $(m, u) \in R_+^* \times I$ , let  $\mathcal{P}_+^{m,u}(d)$  denote the set of  $(j, j')$  in  $\mathfrak{G}_+^{m,u} \times \mathfrak{F}_-$  such that

$$\hat{A}(j, j') \equiv d \pmod{p^{n+1}}.$$

For each  $(m, u) \in R_-^* \times I$ , let  $\mathcal{P}_-^{m,u}(d)$  denote the set of  $(j, j')$  in  $\mathfrak{F}_+ \times \mathfrak{G}_-^{m,u}$  such that

$$\hat{A}(j, j') \equiv d \pmod{p^{n+1}}.$$

In the case  $l > 2$ , we put

$$\begin{aligned} s_+(w_1, w_2; d) &= \sum_{u \in I} \left( w_1 \sum_{(j, j') \in \mathcal{P}_+^{0,u}(d)} (-1)^{j(0,u) + \hat{B}(j, j')} \widetilde{j'(0, u)} \right. \\ &\quad \left. + w_2 \sum_{m \in R_+} \sum_{(j, j') \in \mathcal{P}_+^{m,u}(d)} (-1)^{j(m,u) + \hat{B}(j, j')} \widetilde{j'(m, u)} \right), \\ s_-(w_1, w_2; d) &= \sum_{u \in I} \left( w_1 \sum_{(j, j') \in \mathcal{P}_-^{0,u}(d)} (-1)^{j'(0,u) + \hat{B}(j, j')} \widetilde{j'(0, u)} \right. \\ &\quad \left. + w_2 \sum_{m \in R_-} \sum_{(j, j') \in \mathcal{P}_-^{m,u}(d)} (-1)^{j'(m,u) + \hat{B}(j, j')} \widetilde{j'(m, u)} \right) \end{aligned}$$

for each  $(w_1, w_2) \in \mathbf{Z} \times \mathbf{Z}$ ; here, for each integer  $g$  relatively prime to  $l$ ,  $\tilde{g}$  denotes the positive integer smaller than  $l$  such that  $\tilde{g}g \equiv 1 \pmod{l}$ . In the case  $l = 2$ , we put

$$\begin{aligned} s_+(w_1, w_2; d) &= \sum_{u \in I} \left( w_1 |\mathcal{P}_+^{0,u}(d)| + w_2 \sum_{m \in R_+} |\mathcal{P}_+^{m,u}(d)| \right), \\ s_-(w_1, w_2; d) &= \sum_{u \in I} \left( w_1 |\mathcal{P}_-^{0,u}(d)| + w_2 \sum_{m \in R_-} |\mathcal{P}_-^{m,u}(d)| \right) \end{aligned}$$

for each  $(w_1, w_2) \in \mathbf{Z} \times \mathbf{Z}$ . Further, put  $\iota = 1$  or  $\iota = 0$ , according to whether  $p \equiv 1 \pmod{4}$  or  $p \equiv 3 \pmod{4}$ . Take a pair  $(c_1, c_2)$  of integers for which

$$c_1 > 0, \quad 2c_1 \geq c_2 \geq 0,$$

and  $l$  divides the integer

$$c_1^2 - c_1c_2 + \frac{1 - (-1)^{(p-1)/2}p}{4}c_2^2.$$

We can now restate [6, Lemma 10] as follows.

LEMMA 5. Assume that  $[F : \mathbf{Q}] = 2$  and  $l$  divides  $h_n/h_{n-1}$ . Take any pair  $(d, d')$  of integers with  $d \equiv d' \pmod{p^n}$ . Then either

$$\begin{aligned} s_+(c_1 - c_2, c_2; d) - s_-(c_1 - \iota c_2, c_2; d) \\ \equiv s_+(c_1 - c_2, c_2; d') - s_-(c_1 - \iota c_2, c_2; d') \pmod{l} \end{aligned}$$

or

$$\begin{aligned} s_+(c_1, -c_2; d) - s_-(c_1 + (\iota - 1)c_2, -c_2; d) \\ \equiv s_+(c_1, -c_2; d') - s_-(c_1 + (\iota - 1)c_2, -c_2; d') \pmod{l}. \end{aligned}$$

PROOF OF THEOREM 2. In virtue of [4, Proposition 1], we may suppose that  $l \equiv g \pmod{25}$  for some  $g \in \{4, 9, 14, 19\}$ , namely,  $F = \mathbf{Q}(\sqrt{5})$ . We then find that

$$v = 1, \quad \mathfrak{D} = \{a + b\xi_1^2 + b\xi_1^3; a, b \in \mathbf{Z}\},$$

and that, in the group ring of  $\Gamma$  over  $\mathbf{Z}$ ,

$$(1 - \gamma)(a + b\gamma^2 + b\gamma^3) = a - a\gamma + b\gamma^2 - b\gamma^4 \quad \text{for } a, b \in \mathbf{Z}.$$

In particular,  $|S^*| = 4$ . Since  $V = \{1, i\}$ , it follows that

$$\mathfrak{N}\left(\sum_{\delta \in V} \psi(\delta)\delta - 1\right) = (\psi(1) - 1)^2 + \psi(i)^2$$

for every map  $\psi$  in  $\Phi$ . The definition of  $M$  therefore gives  $M < 32l^2$ . Hence Lemma 3 (or [6, Lemma 8]) shows that  $5^n < 32l^2$ , i.e.,  $5^{n/2}/(4\sqrt{2}) < l$  if  $l$  divides  $h_n/h_{n-1}$ . Furthermore, by [6, Lemma 6], we have

$$l < \frac{(\sqrt{5} + 1)^4}{2\sqrt{5}} \left( \frac{(n + 1) \log 5 - \log \pi + \pi^2/1250}{\log 2} \right)^2$$

if  $l$  divides  $h_n/h_{n-1}$ . Now, let  $P$  be the set of pairs  $(n', l')$  such that  $n'$  is a positive integer,  $l'$  is a prime number congruent to either 4, 9, 14 or 19 modulo 25, and

$$\frac{5^{n'/2}}{4\sqrt{2}} < l' < \frac{(\sqrt{5} + 1)^4}{2\sqrt{5}} \left( \frac{(n' + 1) \log 5 - \log \pi + \pi^2/1250}{\log 2} \right)^2.$$

Every  $(n', l') \in P$  then satisfies

$$n' \leq 14, \quad l' \leq 26959.$$

Suppose next that  $(n, l)$  belongs to  $P$ . To complete the present proof, let us see that  $l$  does not divide  $h_n/h_{n-1}$ . Let  $u_0$  be the positive residue of  $2^{5^n}$  modulo  $5^{n+1}$ . As 2 is a primitive root modulo 25, we can take as  $\mathfrak{p}$  the prime ideal of  $\mathcal{Q}(i)$  generated by 5 and  $i - u_0$ , so that we have  $I = \{1, u_0\}$ . In addition,  $R_+^* = \{0, 1\}$  and  $R_-^* = \{0, 4\}$ . Therefore, for each  $(j, j')$  in  $(\mathfrak{G}_+ \times \mathfrak{F}_-) \cup (\mathfrak{F}_+ \times \mathfrak{G}_-)$ ,

$$\hat{A}(j, j') = (1 + 5^n)(j(0, 1) + u_0j(0, u_0)) + (1 + 5^n)^2(j(1, 1) + u_0j(1, u_0)) + j'(0, 1) + u_0j'(0, u_0) + (1 + 5^n)^4(j'(4, 1) + u_0j'(4, u_0)).$$

Hence, given an integer  $d$ , we know for instance that to determine  $\mathcal{P}_+^{0,1}(d)$  is none other than to solve the congruence

$$(1 + 5^n)(y_1 + u_0y_2) + (1 + 5^n)^2(y_3 + u_0y_4) + y_5 + u_0y_6 + (1 + 5^n)^4(y_7 + u_0y_8) \equiv d \pmod{5^{n+1}}$$

in eight variables  $y_1, \dots, y_8$  under the conditions

$$y_1 \in \{1, \dots, l - 1\}, \quad y_2, \dots, y_8 \in \{0, l\}.$$

Meanwhile,

$$\hat{B}(j, j') \equiv j(0, 1) + j(0, u_0) + j(1, 1) + j(1, u_0) + j'(0, 1) + j'(0, u_0) + j'(4, 1) + j'(4, u_0) \pmod{2}$$

for each  $(j, j')$  in  $(\mathfrak{G}_+ \times \mathfrak{F}_-) \cup (\mathfrak{F}_+ \times \mathfrak{G}_-)$ . Since 5 is a quadratic residue modulo  $l$ , there exist just two positive integers  $z < l$  satisfying  $z^2 - z - 1 \equiv 0 \pmod{l}$ . Let  $z_0$  be the smaller one of such  $z$ . We may let  $(c_1, c_2) = (z_0, 1)$ . Put, for each  $d \in \mathbf{Z}$ ,

$$s_1(d) = s_+(z_0 - 1, 1; d) - s_-(z_0 - 1, 1; d), \quad s_2(d) = s_+(z_0, -1; d) - s_-(z_0, -1; d).$$

By Lemma 5, it now suffices for our proof to find a pair  $(d, d')$  of integers with  $d \equiv d' \pmod{5^n}$  such that

$$s_1(d) \not\equiv s_1(d') \pmod{l}, \quad s_2(d) \not\equiv s_2(d') \pmod{l}.$$

However, using *Mathematica* on a personal computer, we have determined  $\mathcal{P}_+^{m,u}(1)$ ,  $\mathcal{P}_+^{m,u}(1 + 5^n)$  for all  $(m, u) \in R_+^* \times I$  and  $\mathcal{P}_-^{m,u}(1)$ ,  $\mathcal{P}_-^{m,u}(1 + 5^n)$  for all  $(m, u) \in R_-^* \times I$ ; further, with the help of the computer again, we have computed  $s_1(1)$ ,  $s_1(1 + 5^n)$ ,  $s_2(1)$ ,  $s_2(1 + 5^n)$ , and verified that

$$s_1(1) \not\equiv s_1(1 + 5^n) \pmod{l}, \quad s_2(1) \not\equiv s_2(1 + 5^n) \pmod{l}$$

unless  $(n, l)$  is equal to either  $(1, 59)$ ,  $(2, 19)$  or  $(4, 929)$ . Similarly to the above, we have also checked that

$$s_1(2) \not\equiv s_1(2 + 5^n) \pmod{l}, \quad s_2(2) \not\equiv s_2(2 + 5^n) \pmod{l}$$

if  $(n, l)$  is equal to either  $(1, 59)$ ,  $(2, 19)$  or  $(4, 929)$ . In passing, when  $(n, l) = (1, 59)$ ,

$$s_1(1) - s_1(1 + 5) \equiv 0 \pmod{59}, \quad s_2(1) - s_2(1 + 5) \equiv 47 \pmod{59},$$

$$s_1(2) - s_1(2 + 5) \equiv 32 \pmod{59}, \quad s_2(2) - s_2(2 + 5) \equiv 46 \pmod{59};$$



when  $(n, l) = (2, 19)$ ,

$$s_1(1) - s_1(1 + 5^2) \equiv 4 \pmod{19}, \quad s_2(1) - s_2(1 + 5^2) \equiv 0 \pmod{19},$$

$$s_1(2) - s_1(2 + 5^2) \equiv 16 \pmod{19}, \quad s_2(2) - s_2(2 + 5^2) \equiv 15 \pmod{19};$$

when  $(n, l) = (4, 929)$ ,

$$s_1(1) - s_1(1 + 5^4) \equiv 304 \pmod{929}, \quad s_2(1) - s_2(1 + 5^4) \equiv 0 \pmod{929},$$

$$s_1(2) - s_1(2 + 5^4) \equiv 914 \pmod{929}, \quad s_2(2) - s_2(2 + 5^4) \equiv 360 \pmod{929}.$$

The theorem is thus proved; but we finally add a lemma which is useful in our calculations of  $s_1(1) - s_1(1 + 5^n)$  and  $s_2(1) - s_2(1 + 5^n)$  modulo  $l$ . Let  $Y$  denote the set of all pairs  $(x_1, x_2)$  in

$$(\{1, \dots, 4l - 1\} \setminus \{l, 2l, 3l\}) \times \{0, l, 2l, 3l, 4l\}$$

or in

$$\{0, l, 2l, 3l, 4l\} \times (\{1, \dots, 4l - 1\} \setminus \{l, 2l, 3l\})$$

satisfying

$$x_1 + u_0x_2 \equiv 1 \pmod{5^n}.$$

Obviously  $(1, 0)$  belongs to  $Y$ .

LEMMA 6. Assume that  $(n, l) \in P$ , and take any integer  $n'$  in  $\{1, \dots, 14\}$ . Then the condition that  $Y = \{(1, 0)\}$  if  $n = n'$  implies that

$$s_1(1) \not\equiv s_1(1 + 5^n) \pmod{l}, \quad s_2(1) \not\equiv s_2(1 + 5^n) \pmod{l}$$

whenever  $n \geq n'$ .

PROOF. Letting

$$\mathcal{P}(d) = \left( \bigcup_{(m,u) \in R_+^* \times I} \mathcal{P}_+^{m,u}(d) \right) \cup \left( \bigcup_{(m,u) \in R_-^* \times I} \mathcal{P}_-^{m,u}(d) \right)$$

for each  $d \in \mathbb{Z}$ , take any  $(j_1, j'_1) \in \mathcal{P}(1)$  and any  $(j_2, j'_2) \in \mathcal{P}(1 + 5^n)$ , so that

$$j_1(0, 1) + j_1(1, 1) + j'_1(0, 1) + j'_1(4, 1) + u_0(j_1(0, u_0) + j_1(1, u_0) + j'_1(0, u_0) + j'_1(4, u_0)) \equiv 1 \pmod{5^n},$$

$$j_2(0, 1) + j_2(1, 1) + j'_2(0, 1) + j'_2(4, 1) + u_0(j_2(0, u_0) + j_2(1, u_0) + j'_2(0, u_0) + j'_2(4, u_0)) \equiv 1 \pmod{5^n}.$$

Assume that  $n \geq n'$  and that  $Y = \{(1, 0)\}$  if  $n = n'$ . The definition of  $Y$  as well as the choice of  $u_0$  then induces  $Y = \{(1, 0)\}$  in the case  $n > n'$ . Hence we easily see that

$$j_1(R_+^* \times I) = j'_1(R_-^* \times I \setminus \{(0, 1)\}) = \{0\}, \quad j'_1(0, 1) = 1,$$

$$j_2(0, 1) = 1, \quad j_2(R_+^* \times I \setminus \{(0, 1)\}) = j'_2(R_-^* \times I) = \{0\},$$

$$\mathcal{P}(1) = \mathcal{P}_-^{0,1}(1) = \{(j_1, j'_1)\}, \quad \mathcal{P}(1 + 5^n) = \mathcal{P}_+^{0,1}(1 + 5^n) = \{(j_2, j'_2)\}.$$

Thus

$$s_1(1) = -(z_0 - 1)(-1)^{1+\hat{B}(j_1, j'_1)} = -z_0 + 1, \quad s_1(1 + 5^n) = (z_0 - 1)(-1)^{1+\hat{B}(j_2, j'_2)} = z_0 - 1,$$

$$s_2(1) = -z_0(-1)^{1+\hat{B}(j_1, j'_1)} = -z_0, \quad s_2(1 + 5^n) = z_0(-1)^{1+\hat{B}(j_2, j'_2)} = z_0.$$

In particular, since  $z_0(z_0 - 1) \equiv 1 \pmod{l}$ , both  $s_1(1 + 5^n) - s_1(1) = 2(z_0 - 1)$  and  $s_2(1 + 5^n) - s_2(1) = 2z_0$  are relatively prime to  $l$ .  $\square$

REMARK 3. With *Mathematica*, to find whether  $Y = \{(1, 0)\}$  or not is much easier than to find, for every  $(j, j')$  in  $(\mathfrak{G}_+ \times \mathfrak{F}_-) \cup (\mathfrak{F}_+ \times \mathfrak{G}_-)$ , whether  $\hat{A}(j, j') \equiv 1 \pmod{5^{n+1}}$  or not. Moreover,  $Y$  almost always coincides with  $\{(1, 0)\}$  if  $n$  is relatively large; for instance, in case  $(n, l) \in P$  and  $n \geq 12$ , one has  $Y \neq \{(1, 0)\}$  if and only if  $(n, l) = (12, 8839)$  or  $(n, l) = (13, 8839)$ .

PROOF OF THEOREM 3. By [4, Proposition 2], we may only consider the case where  $F = \mathcal{Q}(\sqrt{-7})$ , namely,

$$l \equiv g \pmod{49} \quad \text{for some } g \in \{2, 4, 9, 11, 16, 23, 25, 32, 37, 39, 44, 46\}.$$

In this case,

$$\nu = 1, \quad \mathfrak{D} = \{a + b\xi_1 + b\xi_1^2 + b\xi_1^4; a, b \in \mathbf{Z}\},$$

and, in the group ring of  $\Gamma$  over  $\mathbf{Z}$ ,

$$(1 - \gamma)(a + b\gamma + b\gamma^2 + b\gamma^4) = a + (b - a)\gamma - b\gamma^3 + b\gamma^4 - b\gamma^5 \quad \text{for } a, b \in \mathbf{Z}.$$

Let  $\omega = e^{\pi i/3}$ , so that  $V = \{1, \omega, \omega^2\}$ . As  $|S^*| = 5$ , it follows for any  $\psi \in \Phi$  that

$$\Re\left(\sum_{\delta \in V} \psi(\delta)\delta - 1\right)$$

$$= (\psi(1) - 1 + \psi(\omega))^2 - (\psi(1) - 1 + \psi(\omega))(\psi(\omega) + \psi(\omega^2)) + (\psi(\omega) + \psi(\omega^2))^2$$

$$\leq \frac{1}{2}((\psi(1) - 1 + \psi(\omega))^2 + (\psi(\omega) + \psi(\omega^2))^2) < 100l^2.$$

Hence we have  $M < 100l^2$ . This implies, by Lemma 3 (or [6, Lemma 8]), that  $7^n < 100l^2$ , i.e.,  $7^{n/2}/10 < l$  if  $l$  divides  $h_n/h_{n-1}$ . Let  $P$  be the set of pairs  $(n', l')$  for which  $n'$  is a positive integer,  $l'$  is a prime number congruent to some integer in  $\{2, 4, 9, 11, 16, 23, 25, 32, 37, 39, 44, 46\}$  modulo 49, and

$$\frac{7^{n'/2}}{10} < l' < \frac{144}{\sqrt{21}} \left( \frac{(n' + 1) \log 7 - \log \pi + \pi^2/4802}{\log 2} \right)^2.$$

Then each  $(n', l') \in P$  satisfies

$$n' \leq 13, \quad l' \leq 44543,$$

and [6, Lemma 6], together with an argument above, shows that  $(n, l)$  belongs to  $P$  if  $l$  divides  $h_n/h_{n-1}$ .

Now, assume  $(n, l)$  to be in  $P$ . Let  $u_0$  be the positive residue of  $3^{7^n}$  modulo  $7^{n+1}$ . Since 3 is a primitive root modulo 49, we may take as  $\mathfrak{p}$  the prime ideal of  $\mathcal{Q}(\omega)$  generated by 7 and  $\omega - u_0$ . We then see that  $I = \{1, u_0, u_0 - 1\}$ . Furthermore,  $R_+^* = \{0, 2, 4\}$  and  $R_-^* = \{0, 4\}$ . Hence, for any  $(j, j')$  in  $(\mathfrak{G}_+ \times \mathfrak{F}_-) \cup (\mathfrak{F}_+ \times \mathfrak{G}_-)$ ,

$$\begin{aligned} \hat{A}(j, j') &= (1 + 7^n)(j(0, 1) + u_0j(0, u_0) + (u_0 - 1)j(0, u_0 - 1)) \\ &\quad + (1 + 7^n)^3(j(2, 1) + u_0j(2, u_0) + (u_0 - 1)j(2, u_0 - 1)) \\ &\quad + (1 + 7^n)^5(j(4, 1) + u_0j(4, u_0) + (u_0 - 1)j(4, u_0 - 1)) \\ &\quad + j'(0, 1) + u_0j'(0, u_0) + (u_0 - 1)j'(0, u_0 - 1) \\ &\quad + (1 + 7^n)^4(j'(4, 1) + u_0j'(4, u_0) + (u_0 - 1)j'(4, u_0 - 1)), \end{aligned}$$

$$\begin{aligned} \hat{B}(j, j') &\equiv l + j(0, 1) + j(0, u_0) + j(0, u_0 - 1) + j(2, 1) + j(2, u_0) \\ &\quad + j(2, u_0 - 1) + j(4, 1) + j(4, u_0) + j(4, u_0 - 1) + j'(0, 1) \\ &\quad + j'(0, u_0) + j'(0, u_0 - 1) + j'(4, 1) + j'(4, u_0) + j'(4, u_0 - 1) \pmod{2}. \end{aligned}$$

Noting that  $-7$  is a quadratic residue modulo  $l$ , we may let  $(c_1, c_2) = (z_0, 1)$  where  $z_0$  denotes the smallest positive integer such that  $z_0^2 - z_0 + 2 \equiv 0 \pmod{l}$ . Let us put, for each  $d \in \mathbb{Z}$ ,

$$s_1(d) = s_+(z_0 - 1, 1; d) - s_-(z_0, 1; d), \quad s_2(d) = s_+(z_0, -1; d) - s_-(z_0 - 1, -1; d).$$

As in the proof of Theorem 2, with *Mathematica*, we have computed  $s_1(1), s_1(1 + 7^n), s_2(1), s_2(1 + 7^n)$ , and checked that

$$s_1(1) \not\equiv s_1(1 + 7^n) \pmod{l}, \quad s_2(1) \not\equiv s_2(1 + 7^n) \pmod{l}$$

unless  $(n, l) \in \{(2, 23), (3, 107), (4, 23), (4, 37)\}$ . We have also verified that

$$s_1(2) \not\equiv s_1(2 + 7^n) \pmod{l}, \quad s_2(2) \not\equiv s_2(2 + 7^n) \pmod{l}$$

if  $(n, l) \in \{(2, 23), (3, 107), (4, 23), (4, 37)\}$ . Hence, by Lemma 5,  $l$  does not divide  $h_n/h_{n-1}$  and consequently the theorem is proved.

Similarly to Lemma 6 for the proof of Theorem 2, the following supplementary lemma is quite useful in our calculations of  $s_1(1) - s_1(1 + 7^n)$  and  $s_2(1) - s_2(1 + 7^n)$  modulo  $l$ ; the proof of the lemma is almost the same as that of Lemma 6.

LEMMA 7. Assume that not only  $(n, l) \in P$  but  $l > 2$ . Let  $n'$  be any integer in  $\{1, \dots, 13\}$ , and let  $Y'$  denote the set of triplets  $(x_1, x_2, x_3)$  of non-negative integers for which

$$x_1 + u_0x_2 + (u_0 - 1)x_3 \equiv 1 \pmod{7^n}$$

and either  $(x_1, x_2, x_3), (x_2, x_3, x_1)$  or  $(x_3, x_1, x_2)$  belongs to

$$\{1, \dots, 5l - 1\} \setminus \{l, 2l, 3l, 4l\} \times \{0, l, 2l, 3l, 4l, 5l\} \times \{0, l, 2l, 3l, 4l, 5l\}.$$

Then the condition that  $Y' = \{(1, 0, 0)\}$  if  $n = n'$  implies that

$$s_1(1) \not\equiv s_1(1 + 7^n) \pmod{l}, \quad s_2(1) \not\equiv s_2(1 + 7^n) \pmod{l}$$

whenever  $n \geq n'$ .

REMARK 4. In the case  $p = 7$ ,  $S^*$  is the union of  $\{m + 1; m \in R_+^*\} = \{1, 3, 5\}$  and  $R_-^* = \{0, 4\}$ , so that

$$A(j) = \hat{A}(j_+, j_-), \quad B(j) \equiv \hat{B}(j_+, j_-) \pmod{2}$$

for each  $j \in \mathfrak{H}$ , where  $j_+$  denotes the restriction of  $j$  to  $\{1, 3, 5\} \times I$  and  $j_-$  the restriction of  $j$  to  $\{0, 4\} \times I$ .

**Note added.** After the submission of a manuscript of this paper, Professor K. Komatsu informed us that Propositions 2 and 3 hold without our additional assumptions, namely, if  $p = 2$  and if  $l \equiv 7 \pmod{16}$  or  $l \equiv 9 \pmod{16}$ , then the  $l$ -class group of  $\mathbf{B}_\infty$  is trivial (for the details, cf. Fukuda and Komatsu [2]).

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DEPARTMENT OF MATHEMATICS  
 TOKAI UNIVERSITY  
 HIRATSUKA 259–1292  
 JAPAN

DEPARTMENT OF MATHEMATICS  
 OCHANOMIZU UNIVERSITY  
 2–1–1 OTSUKA, BUNKYO-KU  
 TOKYO 112–8610  
 JAPAN

*E-mail address:* horie.mitsuko@ocha.ac.jp