

## KINEMATICS AND DIFFERENTIAL GEOMETRY OF SUBMANIFOLDS

—Rolling a ball with a prescribed locus of contact—

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The simplest and most illustrative of the kinematic models we discuss in this paper is the rolling of a ball on its tangent plane. Suppose a smooth curve  $x_t$  is given on the unit sphere  $S^2$  (boundary of the unit ball  $B$ ). Is it possible to roll (without skidding or spinning) the ball  $B$  on the tangent plane  $\Sigma$  to  $S^2$  at  $x_0$  in such a way that at each time instant  $t$  the point  $x_t$  becomes a point of contact with the plane  $\Sigma$ ? We shall show that this is possible and that the locus  $y_t$  of points of contact on  $\Sigma$  is indeed the development of the curve  $x_t$  in the sense of E. Cartan.

When we replace  $S^2$  by an arbitrary smooth surface  $M$ , the rolling of  $M$  on its tangent plane gives rise to a kinematic interpretation of the Levi-Civita connection for  $M$ . We also find that we must impose a certain condition on the curve  $x_t$  to prevent the rolling from degenerating into an instantaneous standstill at any instant. This condition is that the tangent vector of  $x_t$  is not a principal direction for the zero principal curvature; this condition is satisfied if the curve  $x_t$  does not go through a flat point.

In the end we shall study the model of rolling an  $n$ -dimensional submanifold  $M$  on another  $n$ -dimensional submanifold  $N$  in a Euclidean space  $E^m$  and obtain a kinematic interpretation of the second fundamental form and the normal connection of a submanifold.

The paper is organized as follows. Section 1 is devoted to the basic concepts in kinematics we need. We define the notion of rolling (without skidding or spinning). In Section 2 we discuss the model of rolling a ball and extend it to higher dimensions in Section 3. In Section 4 we treat the rolling of an arbitrary surface on a plane. Section 5 deals with rolling of a surface on another surface. Finally, in Section 6, we discuss the most general question—rolling an  $n$ -dimensional submanifold

$M$  on another  $n$ -dimensional submanifold  $N$  in  $E^m$ . The reference for submanifolds is [2, Vol. II].

**1. Motion, instantaneous motion, and rolling.** By a motion of a Euclidean space  $E^m$  we mean an orientation-preserving isometry of  $E^m$ . If we take an arbitrary Euclidean (i.e., rectangular) coordinate system, a motion  $f$  can be expressed by an  $(m+1) \times (m+1)$  matrix of the form

$$(1) \quad \begin{bmatrix} C & c \\ 0 & 1 \end{bmatrix}$$

where  $C \in SO(m)$  and  $c$  is an  $m$ -dimensional (column) vector. A point  $x$  is mapped by  $f$  upon  $f(x) = Cx + c$ .

By a 1-parametric motion  $\{f_t\}$ ,  $t \in J$ , where  $J$  is an open or closed interval containing 0 in its interior, we mean a differentiable mapping of  $J$  into the space of matrices of the form (1), namely,

$$(2) \quad f_t = \begin{bmatrix} C_t & c_t \\ 0 & 1 \end{bmatrix}$$

where  $C_t$  is an  $SO(m)$ -valued differentiable function of  $t$  and  $c_t$  is a vector-valued differentiable function of  $t$  such that

$$f_0 = \begin{bmatrix} I & 0 \\ 0 & 1 \end{bmatrix} \quad (\text{identity transformation}).$$

We remark once and for all that it does not matter which Euclidean coordinate system we use in expressing motions and related concepts in the following.

Given a 1-parametric motion  $\{f_t\}$ , we can define a time-dependent vector field  $X_t$  on  $E^m$  as follows. Fix  $t$ . Let  $y$  be an arbitrary point and let  $x = f_t^{-1}(y)$ . Let  $(X_t)_y$  be the tangent vector  $[df_u(x)/du]_{u=t}$  of the orbit  $f_u(x)$  at  $u = t$ , namely, at the point  $y = f_t(x)$ .

Using the matrix (2) we can obtain the matrix representing the vector field  $X_t$  as follows. Write

$$(3) \quad (df/dt)f_t^{-1} = \begin{bmatrix} S_t & v_t \\ 0 & 0 \end{bmatrix}$$

where

$$S_t = (dC/dt)C_t^{-1} \quad \text{and} \quad v_t = -S_t c_t + dc/dt.$$

Then it is easy to verify that

$$(X_t)_y = S_t y + v_t.$$

For this reason, we call (3) the *instantaneous motion* at instant  $t$ . If  $x$  is an arbitrary point, we have

$$df_i(x)/dt = (dC/dt)x + dc/dt = (dC/dt)C_i^{-1}C_ix + dc/dt ,$$

namely,

$$(4) \quad df_i(x)/dt = S_iC_ix + dc/dt .$$

The instantaneous motion (3) is called an *instantaneous standstill* if  $S_t = 0$  and  $v_t = 0$ . It is called an *instantaneous translation* if  $S_t = 0$  and  $v_t \neq 0$ . In this case,  $(X_t)_y = v_t$  for all points  $y$ , namely, all points have the same velocity at instant  $t$ .

We say that (3) is an *instantaneous rotation* if there exists a point  $y_0$  such that  $(X_t)_{y_0} = 0$ . If  $x_0 = f_i^{-1}(y_0)$ , then  $df_i(x_0)/dt = 0$  and  $y_0$  is called a *center of instantaneous rotation*. We shall also require that  $S_t \neq 0$  to avoid an instantaneous standstill.

In the case where  $m = 3$ , an instantaneous rotation has an axis, namely, the line consisting of all points  $y$  such that  $(X_t)_y = 0$ . Suppose  $(X_t)_y = (X_t)_{y_0} = 0$ . Then from (4) we obtain  $S_t(y - y_0) = 0$ . Since the null space of the skew-symmetric transformation  $S_t \neq 0$  is a 1-dimensional subspace, the set of  $y$  with  $(X_t)_y = 0$  forms a straight line. Indeed, for  $S_t \neq 0$ , there is a uniquely determined vector  $\omega_t$  such that  $S_t(U)$  is equal to the cross product  $\omega_t \times U$  for every vector  $U$ . The vector  $\omega_t$  is called the *angular velocity* at instant  $t$ .

If  $x$  is an arbitrary point, the velocity  $df_i(x)/dt$  in (4) can be expressed by

$$(5) \quad df_i(x)/dt = \omega_t \times \overrightarrow{f_i(0)f_i(x)} + df_i(0)/dt$$

since  $c_t = f_i(0)$  and  $C_ix$  is equal to the vector  $\overrightarrow{f_i(0)f_i(x)}$  from  $f_i(0)$  to  $f_i(x)$ .

We shall now define rolling of a surface  $M$  on another surface  $N$ . Consider a 1-parametric motion  $\{f_t\}$  with the property that for each instant  $t$  the image  $f_t(M)$  is tangent to  $N$  at a certain point  $y_t$ . If  $(df/dt)f_t^{-1}$  is an instantaneous translation, we have *skidding* at instant  $t$ . Suppose  $(df/dt)f_t^{-1}$  is an instantaneous rotation with  $y_t$  as center and  $S_t \neq 0$ . If the angular velocity  $\omega_t$  is normal to  $N$  at  $y_t$ , then we have *spinning* at instant  $t$ . If  $\omega_t$  is tangent to  $N$  at  $y_t$ , then we say that  $(df/dt)f_t^{-1}$  is a rolling. Thus the 1-parametric motion  $\{f_t\}$  is a rolling of  $M$  on  $N$  (without skidding or spinning) if, for each instant  $t$ ,  $(df/dt)f_t^{-1}$  is a rolling in the above sense. See [1], pp. 78-79; section called *Roulement et pivotment d'une surface mobile sur une surface fixe*.

REMARK. If  $\{f_t\}$  is a rolling of  $M$  on  $N$ , then  $\{f_t^{-1}\}$  is a rolling of  $N$  on  $M$ .

**2. Rolling a ball on a plane.** Let us consider the unit sphere  $S^2$  and the tangent plane  $\Sigma$  of  $S^2$  at  $x_0$ . We shall take a rectangular coordinate system in  $E^3$  such that  $S^2$  is given by  $(x^1)^2 + (x^2)^2 + (x^3)^2 = 1$ ,  $x_0 = (0, 0, -1)$  and  $\Sigma$  is given by  $x^3 = -1$ . Let  $e_1, e_2, e_3$  be the unit vectors  $(1, 0, 0), (0, 1, 0), (0, 0, 1)$ , respectively.

Suppose  $x_t$  is a smooth curve (with non-vanishing tangent vector  $dx/dt$ ) on  $S^2$  starting at  $x_0$ . We wish to roll  $S^2$  on  $\Sigma$  in such a way that at instant  $t$  the point  $x_t$  becomes a point of contact with  $\Sigma$ . Let the rolling  $\{f_t\}$  be given by (2) and let  $y_t = f_t(x_t)$ .

Since  $f_t(S^2)$  is tangent to  $\Sigma$  at  $y_t$ , we have

$$(6) \quad C_t x_t = -e_3.$$

Thus

$$y_t = C_t x_t + c_t = c_t - e_3$$

that is,

$$(7) \quad c_t = y_t + e_3.$$

Since  $y_t$  is a center of instantaneous rotation, we have from (4), (6), and (7)

$$(8) \quad S_t(e_3) = dy/dt.$$

Since the angular velocity  $\omega_t$  lies on  $\Sigma$  (by definition of rolling) and since

$$\omega_t \times e_3 = S_t(e_3) = dy/dt,$$

it follows that  $\omega_t$  is perpendicular to the tangent vector  $dy/dt$  of the curve  $y_t$  and  $\{dy/dt, \omega_t\}$  have the same orientation as  $\{e_1, e_2\}$ .

We shall now proceed to prove that the curve  $y_t$  is the development of the curve  $x_t$  into the tangent plane  $\Sigma$ . First we observe

$$(9) \quad C_t(dx/dt) = dy/dt.$$

This can be seen as follows. From  $y_t = C_t x_t + c_t$ , we have

$$dy/dt = (dC/dt)x_t + C_t(dx/dt) + dc/dt.$$

Since  $y_t$  is a center of instantaneous rotation, (4) gives

$$(dC/dt)x_t + dc/dt = 0.$$

These two equations give rise to (9).

We define vector fields  $b_1 = b_1(t)$  and  $b_2 = b_2(t)$  along the curve  $x_t$  by

$$b_1(t) = C_t^{-1}(e_1) \quad \text{and} \quad b_2(t) = C_t^{-1}(e_2) .$$

Then

$$\begin{aligned} b_1(0) &= e_1, & b_2(0) &= e_2 \\ \langle b_1(t), -x_t \rangle &= \langle C_t^{-1}(e_1), C_t^{-1}(e_3) \rangle = \langle e_1, e_3 \rangle = 0 \\ \langle b_2(t), -x_t \rangle &= \langle C_t^{-1}(e_2), C_t^{-1}(e_3) \rangle = \langle e_2, e_3 \rangle = 0, \end{aligned}$$

since  $C_t$  preserves the inner product  $\langle \cdot, \cdot \rangle$ . Thus  $b_1(t)$  and  $b_2(t)$  are tangent to  $S^2$  at  $x_t$  for each  $t$ .

We shall show

(i)  $b_1(t)$  and  $b_2(t)$  are parallel along the curve  $x_t$  on  $S^2$  (relative to the Levi-Civita connection of  $S^2$ );

(ii) if we write  $dx/dt = k_1(t)b_1 + k_2(t)b_2$ , then we have  $dy/dt = k_1(t)e_1 + k_2(t)e_2$ .

To show (i), we differentiate the relation  $C_t b_1(t) = e_1$  and obtain

$$\begin{aligned} db_1/dt &= -C_t^{-1}(dC/dt)b_1 = -C_t^{-1}(dC/dt)C_t^{-1}(C_t b_1) \\ &= -C_t^{-1}S_t(e_1) = -C_t^{-1}(\omega_t \times e_1) . \end{aligned}$$

Here  $\omega_t \times e_1$  is in the direction of  $e_3$  and hence  $C_t^{-1}(\omega_t \times e_1)$  is in the direction of  $x_t$ . This means that  $db_1/dt$  is normal to  $S^2$  at  $x_t$  and hence  $\nabla_t b_1 = 0$ . Thus  $b_1(t)$  is parallel along the curve  $x_t$  relative to the Levi-Civita connection  $\nabla$  of  $S^2$ . The proof for  $b_2(t)$  is similar. The assertion (ii) is obvious, because  $C_t$  maps  $dx/dt$ ,  $b_1(t)$  and  $b_2(t)$  upon  $dy/dt$ ,  $e_1$  and  $e_2$ , respectively. Since  $b_1(t)$  and  $b_2(t)$  are parallel along the curve  $x_t$ , it follows that the curve  $y_t$  is the development of the curve  $x_t$  into the tangent plane  $\Sigma$  (see [2, Vol. I, Proposition 4.1]).

What we have shown is that if we roll  $S^2$  on  $\Sigma$  in such a way that the point  $x_t$  becomes a point of contact at instant  $t$ , then  $y_t = f_t(x_t)$  is the development of  $x_t$ . We shall now prove that indeed such a rolling  $\{f_t\}$  exists uniquely.

Let  $b_1(t)$  and  $b_2(t)$  be the vector fields which are parallel along the curve  $x_t$  such that  $b_1(0) = e_1$  and  $b_2(0) = e_2$ . They are uniquely determined. Let  $C_t$  be the unique matrix in  $SO(3)$  such that

$$C_t b_1(t) = e_1, \quad C_t b_2(t) = e_2, \quad \text{and} \quad C_t x_t = -e_3 .$$

Let  $y_t$  be the development of the curve  $x_t$  in  $\Sigma$ . It is, of course, uniquely determined by  $x_t$ . We have

$$C_t(dx/dt) = dy/dt .$$

We set

$$c_t = y_t + e_3$$

and

$$f_t = \begin{bmatrix} C_t & c_t \\ 0 & 1 \end{bmatrix}.$$

It is now easy to verify that  $\{f_t\}$  is a rolling for which  $y_t = f_t(x_t)$  is the center of instantaneous rotation.

Summarizing the discussions we have

**THEOREM 1.** *Let  $x_t$  be a smooth curve on the unit sphere  $S^2$ . There exists a unique rolling  $\{f_t\}$  of  $S^2$  on the tangent plane  $\Sigma$  at  $x_0$  such that  $y_t = f_t(x_t)$  is the locus of points of contact on  $\Sigma$ . The curve  $y_t$  is the development of the curve  $x_t$  into  $\Sigma$  in the sense of E. Cartan.*

**3. Rolling an  $n$ -dimensional sphere.** We extend the result in 2 to higher dimensions. Let  $S^n$  be the unit sphere in  $(n+1)$ -dimensional Euclidean space  $E^{n+1}$ , say,  $(x^1)^2 + \dots + (x^{n+1})^2 = 1$ . Let  $x_t$  be a smooth curve on  $S^n$  starting at  $x_0 = (0, \dots, 0, -1)$ . Let  $\Sigma$  be the tangent hyperplane  $x^{n+1} = -1$ . We shall write  $e_1, \dots, e_n, e_{n+1}$  for the standard basis in the vector space  $E^{n+1}$ .

We consider a 1-parametric motion  $f_t$  as in (2) with  $C_t \in SO(n+1)$  such that  $y_t = f_t(x_t)$  is a point of contact with  $\Sigma$  at time instant  $t$ . We have

$$(6') \quad C_t x_t = -e_{n+1}$$

$$(7') \quad c_t = y_t + e_{n+1}.$$

Assuming that  $y_t$  is a center of instantaneous rotation we obtain  $S_t y_t + dc/dt = 0$  and thus

$$(8') \quad S_t(e_{n+1}) = dy/dt.$$

For  $n > 2$ , we cannot speak of the angular velocity  $\omega_t$ . In order to define  $f_t$  as rolling on  $\Sigma$  we require that  $S_t$  maps every vector on  $\Sigma$  into  $\text{Span } e_{n+1}$ . Under this condition, (8') determines  $S_t$  uniquely.

In order to prove that the curve  $y_t$  is the development of the curve  $x_t$ , we define  $b_i(t) = C_t^{-1}e_i$ ,  $1 \leq i \leq n$ . They are vector fields tangent to  $S^n$  along the curve  $x_t$ . To show that they are parallel along  $x_t$  with respect to the Levi-Civita connection  $\nabla$  on  $S^n$ , we obtain, as before,

$$db_i/dt = -C_t^{-1}(S_t e_i).$$

Since  $S_t e_i$  is a scalar multiple of  $e_{n+1}$ , we see that  $db_i/dt$  is normal to  $S^n$ . Thus  $\nabla_t b_i = 0$ . From  $C_t(b_i) = e_i$ ,  $1 \leq i \leq n$ , and  $C_t(dx/dt) = dy/dt$ , it follows that  $y_t$  is the development of  $x_t$ .

It is now clear that Theorem 1 extends to higher dimensions.

**4. Rolling a surface on a plane.** Let  $M$  be an arbitrary surface in  $E^3$  and let  $\Sigma$  be the tangent plane to  $M$  at a point  $x_0$ . Let  $x_t$  be a smooth curve on  $M$ . We wish to find a rolling  $\{f_t\}$  of  $M$  on  $\Sigma$  such that  $y_t = f_t(x_t)$  is the locus of points of contact (and centers of instantaneous rotation). We choose a rectangular coordinate system with origin  $x_0$  such that  $\Sigma$  is given by  $x^3 = 0$ . Let  $e_1, e_2, e_3$  be the natural basis. Let  $\xi_t$  be the field of unit normal vectors along  $x_t$  such that  $\xi_0 = e_3$ .

For  $f_t$  given as in (2), we obtain as before

$$(9) \quad C_t(dx/dt) = dy/dt.$$

Since  $f_t(M)$  is tangent to  $\Sigma$  at  $y_t$ , we have

$$(10) \quad C_t(\xi_t) = e_3.$$

We define

$$b_1(t) = C_t^{-1}(e_1) \quad \text{and} \quad b_2(t) = C_t^{-1}(e_2)$$

as before. They are tangent to  $M$  along the curve  $x_t$ .

We may write

$$(11) \quad d\xi/dt = \lambda_1(t)b_1 + \lambda_2(t)b_2.$$

Differentiating (10) we obtain

$$(dC/dt)\xi_t + C_t(d\xi/dt) = 0$$

and hence

$$(dC/dt)\xi_t = -C_t(\lambda_1 b_1 + \lambda_2 b_2) = -\lambda_1 e_1 - \lambda_2 e_2.$$

Thus we obtain by using (10) again

$$(12) \quad S_t(e_3) = -\lambda_1 e_1 - \lambda_2 e_2.$$

Since  $S_t$  is not to be 0,  $\lambda_1(t)$  and  $\lambda_2(t)$  should not vanish simultaneously. Let  $\omega_t$  be the angular velocity so that  $S_t(U) = \omega_t \times U$  for every vector  $U$ . Since  $\omega_t$  lies on  $\Sigma$  (for  $\{f_t\}$  is a rolling), we see that both  $S_t(e_1) = \omega_t \times e_1$  and  $S_t(e_2) = \omega_t \times e_2$  are in the direction of  $e_3$ . Actually, we have  $\omega_t = \lambda_2 e_1 - \lambda_1 e_2$ .

From

$$db_1/dt = -C_t^{-1}(S_t e_1) \quad \text{and} \quad db_2/dt = -C_t^{-1}(S_t e_2),$$

we see that  $db_1/dt$  and  $db_2/dt$  are in the direction of  $\xi_t$ . This means that  $b_1(t)$  and  $b_2(t)$  are parallel along the curve  $x_t$  with respect to the

Levi-Civita connection of  $M$ . Now the equation (9) implies that the curve  $y_t$  is the development of the curve  $x_t$ .

As we stated,  $\lambda_1(t)$  and  $\lambda_2(t)$  are not 0 simultaneously. We can interpret this fact as follows. The equation (11) actually defines the second fundamental form  $A$  on the vector  $dx/dt$ , that is,

$$d\xi/dt = -A(dx/dt).$$

So our condition  $S_t \neq 0$  is equivalent to  $A(dx/dt) \neq 0$  for each  $t$ .

Conversely, suppose this condition  $A(dx/dt) \neq 0$  is satisfied for each  $t$ . Then we may take parallel vector fields  $b_1(t)$  and  $b_2(t)$  along the curve  $x_t$  such that  $b_1(0) = e_1$ ,  $b_2(0) = e_2$  and define  $C_t$  as the matrix in  $SO(3)$  such that  $C_t b_1(t) = e_1$ ,  $C_t b_2(t) = e_2$  and  $C_t \xi_t = e_3$ . Then define  $c_t$  by

$$c_t = y_t - C_t x_t,$$

where the curve  $y_t$  is the development of the curve  $x_t$  in  $\Sigma$ . It is then easy to check that

$$f_t = \begin{bmatrix} C_t & c_t \\ 0 & 1 \end{bmatrix}$$

is the rolling with the locus of contact  $y_t = f_t(x_t)$ .

The condition  $A(dx/dt) \neq 0$  is satisfied if the second fundamental form  $A$  does not admit 0 as an eigenvalue, namely, if 0 is not a principal curvature at  $x_t$ . This is certainly the case if the curve  $x_t$  does not go through a flat point of  $M$ .

**THEOREM 2.** *Let  $x_t$  be a smooth curve on a surface  $M$  which does not go through a flat point of  $M$ . There exists a unique rolling  $\{f_t\}$  of  $M$  on the tangent plane  $\Sigma$  at  $x_0$  such that  $y_t = f_t(x_t)$  is the locus of points of contact. The curve  $y_t$  is the development of the curve  $x_t$  into  $\Sigma$ .*

The extreme opposite of the assumption  $A(dx/dt) \neq 0$  is the case where  $M$  is locally flat and  $A(dx/dt) = 0$  for all  $t$ , for example, when the curve  $x_t$  is a generator on a cone or a cylinder  $M$ . One can easily see that there is no rolling of the kind in Theorem 2.

We also remark that, in the situation of Theorem 2, a vector field  $U(t)$  along the curve  $x_t$  is parallel with respect to the Levi-Civita connection of  $M$  if and only if  $C_t(U(t))$  is a constant vector for all  $t$ . This is the kinematic interpretation of the Levi-Civita connection for the surface  $M$ .

**5. Rolling a surface on another surface.** Let  $M$  and  $N$  be two orientable surfaces tangent to each other at  $x_0$ . For a given smooth curve  $x_t$  on  $M$ , we shall find a rolling  $\{f_t\}$  of  $M$  on  $N$  such that  $y_t =$



$f_t(x_t) \in N$  is the locus of contact.

We choose a field of unit normal vectors  $\xi$  for  $M$  and  $\eta$  for  $N$  such that they coincide at  $x_0$ . (For example, if two spheres  $M$  and  $N$  are tangent and outside of each other, then when we choose  $\xi$  as an inward unit normal for  $M$ ,  $\eta$  will be an outward unit normal for  $N$ .) We write  $\xi_t$  and  $\eta_t$  for  $\xi$  at  $x_t$  and  $\eta$  at  $y_t$ , respectively.

If  $\{f_t\}$  is given by (2), then

$$(13) \quad \eta_t = C_t \xi_t .$$

Let  $a_1 = a_1(t)$  and  $a_2 = a_2(t)$  be orthonormal vector fields which are parallel along  $x_t$  on  $M$ . We let

$$(14) \quad b_1(t) = C_t(a_1(t)) , \quad b_2(t) = C_t(a_2(t)) .$$

We have

$$(15) \quad \begin{aligned} da_1/dt &= \lambda_1 \xi , & da_2/dt &= \lambda_2 \xi \\ d\xi/dt &= -\lambda_1 a_1 - \lambda_2 a_2 \end{aligned}$$

where  $\lambda_1 = \lambda_1(t)$  and  $\lambda_2 = \lambda_2(t)$  are suitable functions. On the other hand, we have

$$(16) \quad \begin{aligned} db_1/dt &= \mu_1 \eta + \kappa b_2 \\ db_2/dt &= \mu_2 \eta - \kappa b_1 \\ d\eta/dt &= -\mu_1 b_1 - \mu_2 b_2 \end{aligned}$$

where  $\mu_1 = \mu_1(t)$ ,  $\mu_2 = \mu_2(t)$ , and  $\kappa = \kappa(t)$  are suitable functions. Differentiating (14) and using (15), (16) we obtain for  $S_t = (dC/dt)C_t^{-1}$  the following:

$$\begin{aligned} S_t(b_1) &= \kappa b_2 + (\mu_1 - \lambda_1)\eta \\ S_t(b_2) &= -\kappa b_1 + (\mu_2 - \lambda_2)\eta . \end{aligned}$$

From (13) we obtain

$$S_t(\eta_t) = (\lambda_1 - \mu_1)b_1 + (\lambda_2 - \mu_2)b_2 .$$

If  $S_t \neq 0$ , the angular velocity  $\omega_t$  is given by

$$\omega_t = (\mu_2 - \lambda_2)b_1 - (\mu_1 - \lambda_1)b_2 + \kappa\eta .$$

Since  $\{f_t\}$  is a rolling,  $\omega_t$  is tangent to  $N$  at  $y_t$ . Thus  $\kappa$  must be 0. This means that  $b_1$  and  $b_2$  are parallel along the curve  $y_t$ . We should also require that  $S_t \neq 0$ , that is,

$$(\mu_1 - \lambda_1)^2 + (\mu_2 - \lambda_2)^2 \neq 0 \quad \text{for any } t .$$

To discuss this condition, we introduce the following concept. Let  $M, N$  be two oriented surfaces with unit normal vectors  $\xi$  and  $\eta$ , respec-

tively. Let  $x \in M$ ,  $y \in N$ ,  $X \in T_x(M)$ , and  $Y \in T_y(N)$ . We say that  $M$  and  $N$  have the same shape along  $X$  and  $Y$  if there is a linear isometry  $F$  of  $T_x(E^m)$  onto  $T_y(E^m)$  such that

$$F(\xi) = \eta, \quad F(X) = Y \quad \text{and} \quad F(AX) = B(Y),$$

where  $A$  and  $B$  are the shape operators of  $M$  and  $N$  relative to  $\xi$  and  $\eta$ , respectively.

Now the third equations of (15) and (16) can be written as

$$\begin{aligned} A(dx/dt) &= \lambda_1 a_1 + \lambda_2 a_2 \\ B(dy/dt) &= \mu_1 b_1 + \mu_2 b_2. \end{aligned}$$

Since  $C_t$  maps  $dx/dt$ ,  $a_1$ ,  $a_2$  upon  $dy/dt$ ,  $b_1$ ,  $b_2$ , respectively, the equalities  $\mu_1 = \lambda_1$  and  $\mu_2 = \lambda_2$  will mean that  $M$  and  $N$  have the same shape along the vectors  $dx/dt$  and  $dy/dt$ .

In order to find a rolling  $\{f_t\}$  from the given curve  $x_t$  on  $M$ , we must know how to determine the curve  $y_t$  on  $N$ . This can be done by making use of the development  $z_t$  of  $x_t$  into the tangent plane  $T_{x_0}(M)$ . If we write

$$(19) \quad dx/dt = k_1 a_1 + k_2 a_2$$

with suitable functions  $k_1 = k_1(t)$  and  $k_2 = k_2(t)$ , then

$$(20) \quad dy/dt = k_1 b_1 + k_2 b_2$$

because of (14) and  $C_t(dx/dt) = dy/dt$ .

Let  $e_1 = a_1(0)$  and  $e_2 = a_2(0)$ . Since  $a_1(t)$  and  $a_2(t)$  are parallel along the curve  $x_t$ , the development  $z_t$  of  $x_t$  is given by integrating

$$(21) \quad dz/dt = k_1 e_1 + k_2 e_2.$$

Similarly, the development of the curve  $y_t$  into the tangent plane  $T_{y_0}(N) = T_{x_0}(M)$  is also given by (21), namely,  $z_t$  is the development of  $y_t$ . This means that  $y_t$  is determined as the unique curve in  $N$  with  $y_0 = x_0$  whose development into  $T_{y_0}(N)$  is equal to  $z_t$ .

Summarizing the discussions we can state

**THEOREM 3.** *Let  $M$  and  $N$  be two orientable surfaces which are tangent to each other at  $x_0$ . Let  $x_t$  be a smooth curve on  $M$ . Then we can find a unique rolling  $\{f_t\}$  of  $M$  onto  $N$  such that  $y_t = f_t(x_t)$  is the locus of centers of instantaneous rotation provided the following condition is satisfied. Let  $z_t$  be the development of the curve  $x_t$  into the tangent plane  $T_{x_0}(M)$ . Let  $y_t$  be the unique curve on  $N$  such that its development into  $T_{y_0}(N) = T_{x_0}(M)$  is  $z_t$ . Take the fields of unit normals  $\xi$  and  $\eta$  for  $M$  and  $N$  such that they coincide at  $x_0 = y_0$ . The condition*

to be satisfied is that, for each  $t$ ,  $M$  and  $N$  do not have the same shape along the vectors  $dx/dt$  and  $dy/dt$  (for the chosen normals  $\xi$  and  $\eta$ ).

We remark that in the case of two spheres tangent to, and outside of, each other, the condition in question is satisfied for an arbitrary curve  $x_t$  for the choice of inward normals  $\xi$  for one sphere  $M$  and outward normals  $\eta$  for the other sphere  $N$ .

It is possible to find a number of sufficient conditions under which a rolling (with  $S_t \neq 0$ ) is possible for a given curve  $x_t$ . For example,

(i)  $A(dx/dt) = 0$  for every  $t$  and  $N$  has no flat point; for example,  $x_t$  is a generator of a cylinder and  $N$  is a sphere.

(ii)  $A(dx/dt) \neq 0$  for each  $t$  and  $N$  is a plane.

(iii)  $dx/dt$  is not a principal vector at any point and  $N$  is umbilical (a plane or a sphere).

A rolling is possible for an arbitrary curve on  $M$  if  $M$  and  $N$  satisfy the following condition:

(iv) the principal curvatures of  $M$  are greater than those of  $N$ ; here we assume that  $\xi$  and  $\eta$  are chosen so that  $\xi_{x_0} = \eta_{x_0}$ .

If  $M$  and  $N$  have the same shape along unit vectors  $X$  and  $Y$ , then we have  $\langle AX, X \rangle = \langle BY, Y \rangle$ . But  $\langle AX, X \rangle$  is greater than or equal to the smaller principal curvature of  $M$  at the point and  $\langle BY, Y \rangle$  is smaller than or equal to the larger principal curvature of  $N$  at the corresponding point. Thus condition (iv) is sufficient.

**6. The case of submanifolds.** Now let  $M$  and  $N$  be two  $n$ -dimensional submanifolds in an  $m$ -dimensional Euclidean space  $E^m$  which are tangent to each other at a point  $x_0$ . We shall first define the notion of rolling  $\{f_t\}$  of  $M$  and  $N$ .

Let  $\{f_t\}$  be a 1-parametric motion of  $E^m$  given by (2). Assume that  $f_t(M)$  is tangent to  $N$  at a point  $y_t$  at each instant  $t$ . We assume that the instantaneous motion  $X_t$  vanishes at  $y_t$  (that is,  $y_t$  is a center of instantaneous rotation) and that  $S_t \neq 0$ . We say that  $\{f_t\}$  is a rolling if the skew-symmetric transformation  $S_t$  maps the tangent space  $T_{y_t}(N)$  into the normal space  $T_{y_t}^\perp(N)$  and maps  $T_{y_t}^\perp(N)$  into  $T_{y_t}(N)$ , thus,

$$(22) \quad \begin{aligned} \langle S_t(X), Y \rangle &= 0 \quad \text{for all } X, Y \in T_{y_t}(N) \\ \langle S_t(U), V \rangle &= 0 \quad \text{for all } U, V \in T_{y_t}^\perp(N). \end{aligned}$$

This is clearly a generalization of the definition in the case where  $n = 2$  and  $m = 3$  as well as the case of  $S^n$  and its tangent plane.

Suppose that a smooth curve  $x_t$  is given on  $M$ . We wish to find a rolling  $\{f_t\}$  of  $M$  on  $N$  such that  $y_t = f_t(x_t)$  is the locus of centers of

instantaneous rotation. Let  $\{a_1(0), \dots, a_n(0)\}$  be an orthonormal basis in  $T_{x_0}(M)$  and let  $\{a_{n+1}(0), \dots, a_m(0)\}$  be an orthonormal basis in the normal space  $T_{x_0}^\perp(M)$ . Let  $a_i(t)$ ,  $1 \leq i \leq n$ , be the tangent vector parallel along  $x_i$  on  $M$  with initial condition  $a_i(0)$ ; thus

$$(23) \quad \begin{aligned} \nabla_t a_i &= 0 \\ da_i/dt &= \alpha(dx/dt, a_i) \end{aligned}$$

for  $1 \leq i \leq n$ , where  $\nabla$  is the Levi-Civita connection of  $M$  and  $\alpha$  denotes the second fundamental form as a bilinear mapping  $T_x(M) \times T_x(M) \rightarrow T_x^\perp(M)$  for each  $x \in M$ .

For each  $j$ ,  $n+1 \leq j \leq m$ , let  $a_j(t)$  be the normal vector field parallel along  $x_i$  with respect to the normal connection of  $M$  with initial vector  $a_j(0)$ . Thus

$$(24) \quad \begin{aligned} \nabla_t^\perp a_j &= 0 \\ da_j/dt &= -A_{a_j}(dx/dt) \end{aligned}$$

for  $n+1 \leq j \leq m$ , where  $\nabla^\perp$  denotes covariant differentiation for the normal connection and  $A_{a_j}$  denotes the shape operator corresponding to the normal vector  $a_j$ .

Now suppose  $\{f_i\}$  is a rolling given by (2). We define

$$(25) \quad b_k(t) = C_t(a_k(t))$$

for  $1 \leq k \leq m$ . Since  $f_i(M)$  is tangent to  $N$  at  $y_i$ , it follows that  $b_1(t), \dots, b_n(t)$  are tangent to  $N$  at  $y_i$  and  $b_{n+1}(t), \dots, b_m(t)$  are normal to  $N$  at  $y_i$ .

Let  $1 \leq i \leq n$ . Differentiating (25) and using (23) we obtain

$$\begin{aligned} db_i/dt &= (dC/dt)a_i + C_t(da_i/dt) \\ &= (dC/dt)C_t^{-1}b_i + C_t(\alpha(dx/dt, a_i)). \end{aligned}$$

On the other hand, we have

$$db_i/dt = \nabla_t b_i + \beta(dy/dt, b_i),$$

where  $\nabla$  is the Levi-Civita connection for  $N$  and  $\beta$  is the second fundamental form  $T_y(N) \times T_y(N) \rightarrow T_y^\perp(N)$  for  $N$ . Thus we obtain

$$S_t(b_i) = \nabla_t b_i + \beta(dy/dt, b_i) - C_t(\alpha(dx/dt, a_i)).$$

By definition of a rolling,  $S_t(b_i)$  is normal to  $N$ . Since  $\beta(dy/dt, b_i)$  and  $C_t(\alpha(dx/dt, a_i))$  are normal to  $N$ , we must have

$$\nabla_t b_i = 0, \quad \text{namely, } b_i \text{ is parallel along } y_i,$$

and

$$(26) \quad S_t(b_i) = \beta(dy/dt, b_i) - C_t(\alpha(dx/dt, a_i)) .$$

Now let  $n + 1 \leq j \leq m$ . Differentiating (25) and using (24) we obtain

$$\begin{aligned} db_j/dt &= (dC/dt)a_j + C_t(da_j/dt) \\ &= (dC/dt)C_t^{-1}b_j + C_t(-A_{a_j}(dx/dt)) . \end{aligned}$$

On the other hand, we have

$$db_j/dt = -B_{b_j}(dy/dt) + \nabla_t^\perp b_j ,$$

where  $B_{b_j}$  is the shape operator for  $N$  corresponding to the normal vector  $b_j$  and  $\nabla_t^\perp$  is covariant differentiation along  $y_t$  for the normal connection of  $N$ . Thus we obtain

$$S_t(b_j) = C_t(A_{a_j}(dx/dt)) - B_{b_j}(dy/dt) + \nabla_t^\perp b_j .$$

Since  $S_t(b_j)$  must be tangent to  $N$  at  $y_t$ , we conclude that  $\nabla_t^\perp b_j = 0$ , namely,  $b_j$  is  $\nabla^\perp$ -parallel along the curve  $y_t$ . We have also

$$(27) \quad S_t(b_j) = C_t(A_{a_j}(dx/dt)) - B_{b_j}(dy/dt) .$$

The skew-symmetric transformation  $S_t$  given in the form (26) and (27) can be expressed more conveniently if we adopt the following operators  $\rho$  for  $M$  and  $\tau$  for  $N$ .

For each point  $x$  of  $M$ , define for each  $X \in T_x(M)$  a linear endomorphism of  $T_x(E^m) = T_x(M) + T_x^\perp(M)$  by

$$\begin{aligned} \rho_x(Y) &= \alpha(X, Y) \quad \text{for } Y \in T_x(M) \\ \rho_x(U) &= -A_U(X) \quad \text{for } U \in T_x^\perp(M) . \end{aligned}$$

Then  $\rho_x$  is a skew-symmetric endomorphism of  $T_x(E^m)$ . Similarly, for each point  $y$  of  $N$ , we define  $\tau_x, X \in T_y(N)$ , by

$$\begin{aligned} \tau_x(Y) &= \beta(X, Y) \quad \text{for } Y \in T_y(N) \\ \tau_x(U) &= -B_U(X) \quad \text{for } U \in T_y^\perp(N) . \end{aligned}$$

With these operators we may write (26) in the form

$$\begin{aligned} S_t(b_i) &= \tau_t(b_i) - C_t(\rho_t(a_i)) \\ &= \tau_t(b_i) - C_t\rho_t C_t^{-1}(b_i) , \end{aligned}$$

where we write  $\rho_t$  for  $\rho_{dx/dt}$  and  $\tau_t$  for  $\tau_{dy/dt}$  for brevity. From (27) we have

$$\begin{aligned} S_t(b_j) &= -C_t\rho_t(a_j) + \tau_t(b_j) \\ &= \tau_t(b_j) - C_t\rho_t C_t^{-1} . \end{aligned}$$

Thus we may simply write

$$(28) \quad S_t = \tau_t - C_t \rho_t C_t^{-1}.$$

In order to discuss conditions under which  $S_t \neq 0$ , we extend the notion of same shape to  $n$ -dimensional submanifolds  $M$  and  $N$  in  $E^m$ . Let  $X \in T_x(M)$  and  $Y \in T_y(N)$ . We say that  $M$  and  $N$  have the same shape along  $X$  and  $Y$  if there is a linear isometry  $F$  of  $T_x(E^m)$  onto  $T_y(E^m)$  such that

$$(29) \quad \begin{aligned} F(T_x(M)) &= T_y(N), & F(T_x^\perp(M)) &= T_y^\perp(N) \\ F(X) &= Y & \text{and } F \circ \rho_x &= \tau_y \circ F. \end{aligned}$$

From (28) we see that if  $S_t = 0$ , then  $F = C_t$  satisfies these conditions for  $X = dx/dt$  and  $Y = dy/dt$ , namely,  $M$  and  $N$  have the same shape along  $X$  and  $Y$ .

We may find a number of sufficient conditions under which a rolling (with  $S_t \neq 0$ ) exists. Recall that the relative nullity space of a submanifold  $M$  at  $x$  is the subspace of  $T_x(M)$  consisting of all  $X \in T_x(M)$  with  $\rho_x = 0$ . Its dimension is called the index of relative nullity. For example, a rolling exists for a curve  $x_t$  if

- (i)  $dx/dt$  is in the relative nullity space for every  $t$  and  $N$  has index of relative nullity 0,
- or (ii)  $dx/dt$  is not in the relative nullity space for any  $t$  and  $N$  is a Euclidean  $n$ -plane,
- or (iii) the sectional curvature of any plane containing the tangent vector  $dx/dt$  never vanishes and  $N$  is a Euclidean  $n$ -plane.

The last case follows from the second because the sectional curvature of any plane containing a vector  $X$  with  $\rho_x = 0$  must vanish. We also remark that the so-called Veronese variety (the image of  $S^n$  by a certain isometric imbedding into  $E^m$ , where  $m = n(n+3)/2$ ) has index of relative nullity 0.

We also recall that a non-zero vector  $X \in T_x(M)$  is called a principal vector if there is  $\xi_1 \in T_x^\perp(M)$  such that  $A_t(X) = \langle \xi, \xi_1 \rangle X$  for all  $\xi \in T_x^\perp(M)$ . A rolling exists for a curve  $x_t$  on  $M$  if

- (iv)  $dx/dt$  is not a principal vector for each  $t$  and  $N$  is an  $n$ -plane or an  $n$ -sphere.

Let us remark that the Veronese variety mentioned above has no principal vector.

In any case, the relationship between the curve  $x_t$  on  $M$  and the curve  $y_t$  on  $N$  is as before. Their developments into  $T_{x_0}(M) = T_{y_0}(N)$  coincide.

We now consider the following special case.

Let  $M$  be an  $n$ -dimensional submanifold in  $E^m$  and  $x_0$  a point of  $M$ .

As the second submanifold  $N$  we take the  $n$ -plane  $T_{x_0}(M)$ . We are going to obtain a *kinematic interpretation of the second fundamental form and the normal connection of  $M$* .

Let  $X \in T_{x_0}(M)$  and take any curve  $x_t$  with initial tangent vector  $X$ . If we have a rolling  $\{f_t\}$  of  $M$  onto  $N$  determined by  $x_t$ , then (28) implies

$$S_0 = -\rho_X.$$

This gives the kinematic interpretation of the second fundamental form  $\alpha$  of  $M$  at  $x_0$ , because  $\rho$  determines  $\alpha$  completely.

If  $\xi_t$  is a field of normal vectors along  $x_t$ , we may write

$$\xi_t = \sum_{j=n+1}^m q_j(t) a_j,$$

where  $a_{n+1}(t), \dots, a_m(t)$  are  $\mathcal{V}^\perp$ -parallel normal vector fields along  $x_t$  as before. Then

$$C_t(\xi_t) = \sum_{j=n+1}^m q_j(t) b_j$$

and, as we have seen before, each  $b_j = C_t(a_j)$  is  $\mathcal{V}^\perp$ -parallel along the curve  $y_t = f_t(x_t)$ . Since  $N$  is an  $n$ -plane, this means that each  $b_j$  is a constant vector in  $E^m$ . It follows that  $\xi_t$  is  $\mathcal{V}^\perp$ -parallel along the curve  $x_t$  if and only if  $C_t(\xi_t)$  is a constant vector in  $E^m$  (that is, each  $q_j(t)$  is constant). This is the kinematic interpretation of the normal connection of  $M$ .

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