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A NOTE ON EXTENSIONS OF ALGEBRAIC AND FORMAL GROUPS, III

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Abstract. We will give an explicit description of extensions of the group scheme of Witt vectors of length n (resp. the formal group of Witt vectors of length n) by the multiplicative group scheme (resp. the multiplicative formal group) over an algebra for which all prime numbers except a given prime p is invertible.

Introduction. Throughout the paper, p denotes a prime number, and $Z_{(p)}$ the localization of Z at the prime ideal (p).

Let W_n (resp. \hat{W}_n) denote the group scheme (resp. the formal group scheme) over Z of Witt vectors of length n, and W (resp. \hat{W}) the group scheme (resp. the formal group scheme) of Witt vectors over Z. Let G_m (resp. \hat{G}_m) denote the multiplicative group scheme (resp. the multiplicative formal group scheme over Z. In [3], we gave an explicit description of the groups $\operatorname{Ext}_A^1(W_{n,A}, G_{m,A})$ and $\operatorname{Ext}_A^1(\hat{W}_{n,A}, \hat{G}_{m,A})$, when A is a ring of characteristic p > 0. More precisely, we constructed isomorphisms

$$\hat{W}(A)/F^n \longrightarrow H^2_0(W_{n,A}, G_{m,A}),$$

 $W(A)/F^n \longrightarrow H^2_0(\hat{W}_{n,A}, \hat{G}_{m,A}),$

using the Artin-Hasse exponential series.

In Theorem 2.8.1 of this note, we generalize these results to $Z_{(p)}$ -algebras A as follows: (It is crucial to define an endomorphism F of W_Z generalizing the Frobenius endomorphism of W_{F_p} . For the definition, see Section 1.)

THEOREM. Let A be a $Z_{(p)}$ -algebra. Then there exist isomorphisms

$$F^{n}\hat{W}(A) \xrightarrow{\sim} \operatorname{Hom}(W_{n,A}, G_{m,A}),$$

$$\hat{W}(A)/F^{n} \xrightarrow{\sim} H^{2}_{0}(W_{n,A}, G_{m,A}),$$

$$F^{n}W(A) \xrightarrow{\sim} \operatorname{Hom}(\hat{W}_{n,A}, \hat{G}_{m,A}),$$

$$W(A)/F^{n} \xrightarrow{\sim} H^{2}_{0}(\hat{W}_{n,A}, \hat{G}_{m,A}).$$

After a short review on Witt vectors and the Artin-Hasse exponential series, we state and prove the main theorem, generalizing the argument developed in [3].

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NOTATION. Throughout the paper, p denotes a prime number, $Z_{(p)}$ the localization of Z at the prime ideal (p), and A a $Z_{(p)}$ -algebra.

 $G_{a,A}$: the additive group scheme over A

 $G_{m,A}$: the multiplicative group scheme over A

 $W_{n,A}$: the group scheme of Witt vectors of length n over A

 W_A : the group scheme of Witt vectors over A

 $\hat{G}_{a,A}$: the additive formal group scheme over A

 $\hat{G}_{m,A}$: the multiplicative formal group scheme over A

 $W_{n,A}$: the formal group scheme of Witt vectors of length *n* over *A*

 \hat{W}_A : the formal group scheme of Witt vectors over A

 $H_0^2(\hat{W}_{n,A}, \hat{G}_{m,A})$ and $H_0^2(W_{n,A}, G_{m,A})$ denote the Hochschild cohomology groups consisting of symmetric 2-cocycles of $\hat{W}_{n,A}$ with coefficients in $\hat{G}_{m,A}$ and of $W_{n,A}$ with coefficients in $G_{m,A}$, respectively.

For a commutative ring B, we denote by B^{\times} the multiplicative group $G_m(B)$.

For an endomorphism l of a commutative group M, $_lM$ (resp. M/l) denotes Ker[$l: M \rightarrow M$] (resp. Coker[$l: M \rightarrow M$]).

1. Witt vectors. We start with reviewing necessary facts on Witt vectors. For details, see [DG, Chap. V] or [HZ, Chap. III].

1.1. For each $r \ge 0$, we denote by $\Phi_r(T) = \Phi_r(T_0, T_1, \dots, T_r)$ the so-called Witt polynomial

$$\Phi_{r}(T) = T_{0}^{p^{r}} + pT_{1}^{p^{r-1}} + \cdots + p^{r}T_{r}$$

in $Z[T] = Z[T_0, T_1, ...]$. We define polynomials

 $S_r(X, Y) = S_r(X_0, \ldots, X_r, Y_0, \ldots, Y_r)$

and

$$P_r(X, Y) = P_r(X_0, \ldots, X_r, Y_0, \ldots, Y_r)$$

in $Z[X, Y] = Z[X_0, X_1, ..., Y_0, Y_1, ...]$ inductively by

$$\Phi_r(S_0(X, Y), S_1(X, Y), \dots, S_r(X, Y)) = \Phi_r(X) + \Phi_r(Y)$$

and

$$\Phi_r(P_0(X, Y), P_1(X, Y), \ldots, P_r(X, Y)) = \Phi_r(X)\Phi_r(Y)$$

Then as is well-known, the ring structure of the scheme of Witt vectors of length n (resp. of the scheme of Witt vectors)

$$W_{n,\mathbf{Z}} = \operatorname{Spec} \mathbf{Z}[T_0, T_1, \dots, T_{n-1}] \text{ (resp. } W_{\mathbf{Z}} = \operatorname{Spec} \mathbf{Z}[T_0, T_1, T_2, \dots])$$

is given by the addition

$$T_0 \mapsto S_0(X, Y), \quad T_1 \mapsto S_1(X, Y), \quad T_2 \mapsto S_2(X, Y), \dots$$

and the multiplication

$$T_0 \mapsto P_0(X, Y), \quad T_1 \mapsto P_1(X, Y), \quad T_2 \mapsto P_2(X, Y), \dots$$

We denote by $\hat{W}_{n,Z}$ (resp. \hat{W}_Z) the formal completion of $W_{n,Z}$ (resp. W_Z) along the zero section. $\hat{W}_{n,Z}$ (resp. \hat{W}_Z) is considered as a subfunctor of $W_{n,Z}$ (resp. W_Z). Indeed, if A is a ring (not necessarily a $Z_{(p)}$ -algebra),

$$\hat{W}_n(A) = \{(a_0, a_1, \dots, a_{n-1}) \in W_n(A); a_i \text{ is nipotent for all } i\}$$

and

$$\hat{W}(A) = \left\{ (a_0, a_1, a_2, \dots) \in W_n(A); \begin{array}{c} a_i \text{ is nipotent for all } i \text{ and} \\ a_i = 0 \text{ for all but a finite number of } i \end{array} \right\}.$$

1.2. The restriction homomorphism of ring schemes $R: W_{n+1,\mathbb{Z}} \to W_{n,\mathbb{Z}}$ is defined by the canonical injection

$$T_0 \mapsto T_0, T_1 \mapsto T_1, \dots, T_{n-1} \mapsto T_{n-1} :$$
$$\boldsymbol{Z}[T_0, T_1, \dots, T_{n-1}] \rightarrow \boldsymbol{Z}[T_0, T_1, \dots, T_n],$$

while the Verschiebung homomorphism of group schemes $V: W_{n,Z} \to W_{n+1,Z}$ is defined by

$$T_0 \mapsto 0, \ T_1 \mapsto T_0, \dots, \ T_n \mapsto T_{n-1}:$$
$$\mathbf{Z}[T_0, \ T_1, \dots, \ T_n] \to \mathbf{Z}[T_0, \ T_1, \dots, \ T_{n-1}].$$

Then the sequence

$$(\mathbf{E}_{m,n}) \qquad \qquad 0 \longrightarrow W_{n,\mathbf{Z}} \xrightarrow{V^m} W_{n+m,\mathbf{Z}} \xrightarrow{R^n} W_{m,\mathbf{Z}} \longrightarrow 0$$

is exact for all $n, m \ge 1$ (cf. [DG, Chap. V.1.1]).

We denote also by $R: \hat{W}_{n+1,Z} \to \hat{W}_{n,Z}$ (resp. $V: \hat{W}_{n,Z} \to \hat{W}_{n+1,Z}$) the homomorphism of formal group schemes induced by $R: W_{n+1,Z} \to W_{n,Z}$ (resp. $V: W_{n,Z} \to W_{n+1,Z}$). We also have an exact sequence of formal group schemes

$$(\mathbf{E}_{m,n}) \qquad \qquad 0 \longrightarrow \hat{W}_{n,\mathbf{Z}} \xrightarrow{V^m} \hat{W}_{n+m,\mathbf{Z}} \xrightarrow{R^n} \hat{W}_{m,\mathbf{Z}} \longrightarrow 0 \ .$$

Let k, l be integers with $k \ge l > 0$. We define a polynomial $S_{k,l}(X, Y) = S_{k,l}(X_0, \ldots, X_{l-1}, Y_0, \ldots, Y_{l-1})$ in $\mathbb{Z}[X_0, \ldots, X_{l-1}, Y_0, \ldots, Y_{l-1}]$ by

$$S_{k,l}(X, Y) = S_k(X_0, \ldots, X_{l-1}, 0, \ldots, 0, Y_0, \ldots, Y_{l-1}, 0, \ldots, 0).$$

The extension $(E_{m,n})$ is defined by the 2-cocycle

$$(S_{m,m}(X, Y), S_{m+1,m}(X, Y), \ldots, S_{m+n-1,m}(X, Y))$$

of $Z^2(W_{m,\mathbf{Z}}, W_{n,\mathbf{Z}})$ or of $Z^2(\hat{W}_{m,\mathbf{Z}}, \hat{W}_{n,\mathbf{Z}})$, respectively.

1.3 (cf. [1, Ch.O.1.3]). Now we define an endomorphism of $W_{\mathbf{z}}$, generalizing the Frobenius endomorphism of $W_{\mathbf{F}_{\mathbf{z}}}$.

Define polynomials

$$F_r(T) = F_r(T_0, \ldots, T_r, T_{r+1}) \in Q[T_0, \ldots, T_r, T_{r+1}]$$

inductively by

$$\Phi_r(F_0(T), \ldots, F_r(T)) = \Phi_{r+1}(T_0, \ldots, T_r, T_{r+1})$$

for $r \ge 0$. Then

$$F_r(T) \in Z[T_0, \ldots, T_r, T_{r+1}]$$

and

$$F_r(\boldsymbol{T}) \equiv T_r^p \pmod{p}$$

for each $r \ge 0$. We denote by $F: W_{n+1,\mathbf{Z}} \to W_{n,\mathbf{Z}}$ the morphism defined by

$$T_0 \mapsto F_0(T), T_1 \mapsto F_1(T), \dots, T_{n-1} \mapsto F_{n-1}(T) :$$
$$Z[T_0, T_1, \dots, T_{n-1}] \rightarrow Z[T_0, T_1, \dots, T_n].$$

Then we can verify without difficulty the following:

- (1) F is a homomorphism of ring schemes;
- (2) FR = RF;
- (3) FV = p;

(4) VF = p on $W_{n,A}$ if and only if A is of characteristic p > 0. Note that

$$W_{\mathbf{Z}} = \underbrace{\lim_{\mathbf{R}} W_{n,\mathbf{Z}}}_{\mathbf{R}}$$

Hence (2) implies that the system $(F: W_{n+1,Z} \to W_{n,Z})_{n \ge 1}$ defines an endomorphism F of the ring scheme W_Z . It is obvious that \hat{W}_Z is stable under F. If A is an F_p -algebra, $F: W_A \to W_A$ is nothing but the usual Frobenius endomorphism.

2. Statement of the theorem. We first recall the definition of Hochschild cohomology. For details, see [DG, Ch. II.3 and Ch. III.6].

2.1. Let A be a $Z_{(p)}$ -algebra and $G(X, Y) = G(X_0, X_1, \ldots, X_{n-1}, Y_0, Y_1, \ldots, Y_{n-1})$ a formal series in $A[[X_0, X_1, \ldots, X_{n-1}, Y_0, Y_1, \ldots, Y_{n-1}]]^{\times}$ (resp. a polynomial in $A[X_0, X_1, \ldots, X_{n-1}, Y_0, Y_1, \ldots, Y_{n-1}]^{\times}$). Recall that G(X, Y) is called a symmetric 2-cocycle of $\hat{W}_{n,A}$ (resp. $W_{n,A}$) with coefficients in $\hat{G}_{m,A}$ (resp. $G_{m,A}$) is G(X, Y) satisfies the following functional equations:

(1)
$$G(S(X, Y), Z)G(X, Y) = G(X, S(Y, Z))G(Y, Z)$$

(2)
$$G(X, Y) = G(Y, X) .$$

We denote by $Z^2(\hat{W}_{n,A}, \hat{G}_{n,A})$ (resp. $Z^2(W_{n,A}, G_{m,A})$) the subgroup of $A[[X_0, X_1, \ldots, X_{n-1}, Y_0, Y_1, \ldots, Y_{n-1}]]^{\times}$ (resp. a polynomial of $A[X_0, X_1, \ldots, X_{n-1}, Y_0, Y_1, \ldots, Y_{n-1}]^{\times}$) formed by the symmetric 2-cocycles of $\hat{W}_{n,A}$ (resp. $W_{n,A}$) with coefficients in $\hat{G}_{m,A}$ (resp. $G_{m,A}$).

Let $F(T) = F(T_0, T_1, \ldots, T_{n-1})$ be a formal power series in $A[[T_0, T_1, \ldots, T_{n-1}]]^{\times}$ (resp. a polynomial in $A[T_0, T_1, \ldots, T_{n-1}]^{\times}$). Then $F(X)F(Y)F(S(X, Y))^{-1} \in Z^2(\hat{W}_{n,A}, \hat{G}_{n,A})$ (resp. $Z^2(W_{n,A}, G_{m,A})$). We denote by $B^2(\hat{W}_{n,A}, \hat{G}_{n,A})$ (resp. $B^2(W_{n,A}, G_{m,A})$) the subgroup of $Z^2(\hat{W}_{n,A}, \hat{G}_{n,A})$ (resp. $Z^2(W_{n,A}, \hat{G}_{n,A})$ (resp. $Z^2(W_{n,A}, \hat{G}_{n,A})$) (resp. $Z^2(W_{n,A}, \hat{G}_{n,A})$) of the symmetric 2-cocycles of the form $F(X)F(Y)F(S(X, Y))^{-1}$. Put

$$H_0^2(\hat{W}_{n,A}\hat{G}_{n,A}) = Z^2(\hat{W}_{n,A}, \hat{G}_{n,A})/B^2(\hat{W}_{n,A}, \hat{G}_{n,A})$$

and

$$H_0^2(W_{n,A}, G_{m,A}) = Z^2(W_{n,A}, G_{m,A})/B^2(W_{n,A}, G_{m,A})$$

 $H_0^2(\hat{W}_{n,A}, \hat{G}_{n,A})$ (resp. $H_0^2(W_{n,A}, G_{m,A})$) is isomorphic to the subgroup of $\operatorname{Ext}_A^1(\hat{W}_{n,A}, \hat{G}_{n,A})$ (resp. $\operatorname{Ext}_A^1(W_{n,A}, G_{m,A})$) formed by the classes of commutative extensions of $\hat{W}_{n,A}$ by $\hat{G}_{m,A}$ (resp. $W_{n,A}$ by $G_{m,A}$), which split as extensions of formal A-schemes (resp. A-schemes).

2.2. Recall now the definition of the Artin-Hasse exponential series

$$E_p(U) = \exp\left(\sum_{r\geq 0} \frac{U^{p^r}}{p^r}\right) \in \mathbb{Z}_{(p)}[[U]] .$$

For $T = (T_r)_{r \ge 0}$, put

$$E_p(\boldsymbol{T}; X) = \prod_{r \ge 0} E_p(\boldsymbol{T}_r X^{p^r}) = \exp\left(\sum_{r \ge 0} \frac{1}{p^r} \Phi_r(\boldsymbol{T}) X^{p^r}\right).$$

It is readily seen that

$$E_p(\boldsymbol{T}; X) E_p(\boldsymbol{U}; X) = E_p(\boldsymbol{S}(\boldsymbol{T}, \boldsymbol{U}); X) .$$

For $T = (T_r)_{r \ge 0}$ and $X = (X_r)_{r \ge 0}$, we define a formal power series $E_p(T; X) \in \mathbb{Z}_{(p)}[[T, X]]$ by

$$E_p(T; X) = \exp\left(\sum_{r\geq 0} \frac{1}{p^r} \Phi_r(T) \Phi_r(X)\right) = \exp\left(\sum_{r\geq 0} \frac{1}{p^r} \Phi_r(P_r(T, X))\right).$$

It is verified without difficulty that

$$E_p(T; X)E_p(U; X) = E_p(S(T, U); X)$$

and

 $E_p(T; X)E_p(T; Y) = E_p(T; S(X, Y)) .$ Lemma 2.3. Let $T = (T_0, T_1, T_2, ...), X = (X_0, X_1, X_2, ...).$ Then $E_p(FT; X) = E_p(T; VX) .$

Here $FT = (F_0(T), F_1(T), F_2(T), ...)$ and $VX = (0, X_0, X_1, ...)$.

PROOF. Indeed, we have

$$E_p(FT; X) = E_p\left(\sum_{r\geq 0} \frac{1}{p^r} \Phi_r(FT) \Phi_r(X)\right)$$
$$= E_p\left(\sum_{r\geq 0} \frac{1}{p^r} \Phi_{r+1}(T) \frac{1}{p} \Phi_{r+1}(VX)\right) = E_p(T; VX).$$

2.4. Let *n* be a positive integer. We define a polynomial $\Phi_{r,n}(X) = \Phi_{r,n}(X_0, X_1, \ldots, X_{n-1})$ in $\mathbb{Z}[X_0, X_1, \ldots, X_{n-1}]$ by

$$\Phi_{r,n}(X) = \begin{cases} \Phi_r(X_0, X_1, \dots, X_r) & \text{if } r \le n-1, \\ \Phi_r(X_0, X_1, \dots, X_{n-1}, 0, 0, \dots) & \text{if } r \ge n. \end{cases}$$

For $X = (X_r)_{r \ge 0}$, we put

$$E_{p,n}(T; X) = E_p(T; X_0, \ldots, X_{n-1}, 0, 0, \ldots) = \exp\left(\sum_{r \ge 0} \frac{1}{p^r} \Phi_r(T) \Phi_{r,n}(X)\right).$$

For example, we have

$$E_{p,1}(T; X) = E_p(T; X_0)$$
.

REMARK 2.5. This definition of the formal power series $E_{p,n}(T; X)$ is a modification of that of $E_{p,n}(a; T)$ in [3,II.1.4]. As long as we treat the case of characteristic p > 0, there is no difference between the two definitions.

LEMMA 2.6. Let $X = (X_0, X_1, \dots, X_{n-1})$, $Y = (Y_0, Y_1, \dots, Y_{n-1})$ and $S = (S_0(X, Y), S_1(X, Y), \dots, S_{n-1}(X, Y))$. Then

$$E_{p,n}(\boldsymbol{T};\boldsymbol{X})E_{p,n}(\boldsymbol{T};\boldsymbol{Y})E_{p,n}(\boldsymbol{T};\boldsymbol{S})^{-1}=E_{p}(F^{n}\boldsymbol{T};\boldsymbol{\tilde{S}}_{n}),$$

where $\tilde{S}_n = (S_{n,n}(X, Y), S_{n+1,n}(X, Y), \dots).$

PROOF. Indeed,

$$E_{p,n}(T; X)E_{p,n}(T; Y)E_{p,n}(T; S)^{-1} = \exp\left(\sum_{r\geq 0} \frac{1}{p^r} \Phi_r(T)(\Phi_{r,n}(X) + \Phi_{r,n}(Y) - \Phi_{r,n}(S))\right)$$
$$= \exp\left(\sum_{r\geq n} \frac{1}{p^r} \Phi_r(T)(p^n S_{n,n}^{p^{r-n}} + p^{n+1} S_{n+1,n}^{p^{r-n-1}} + \dots + p^r S_{r,n})\right)$$

$$= \exp\left(\sum_{i\geq 0} \frac{1}{p^{i}} \Phi_{n+i}(T)(S_{n,n}^{p^{i}} + pS_{n+1,n}^{p^{i-1}} + \dots + p^{i}S_{n+i,n})\right)$$

$$= \exp\left(\sum_{i\geq 0} \frac{1}{p^{i}} \Phi_{n+i}(T)\Phi_{i}(S_{n,n}, S_{n+1,n}, \dots, S_{n+i,n})\right)$$

$$= \exp\left(\sum_{i\geq 0} \frac{1}{p^{i}} \Phi_{i}(F^{n}T)\Phi_{i}(S_{n,n}, S_{n+1,n}, \dots, S_{n+i,n})\right)$$

$$= E_{p}(F^{n}T; \tilde{S}_{n}).$$

2.7. Now we define a formal power series $F_{p,n}(U; X, Y)$ in $U=(U_0, U_1, ...)$, $X = (X_0, X_1, \dots, X_{n-1})$ and $Y = (Y_0, Y_1, \dots, Y_{n-1})$ by

$$F_{p,n}(U; X, Y) = E_p(U; \tilde{S}_n) = E_p(U; S_{n,n}, S_{n+1,n}, \dots)$$

Then obviously

$$F_{p,n}(\boldsymbol{U};\boldsymbol{X},\boldsymbol{Y}) \in Z^{2}(\hat{W}_{n\boldsymbol{Z}(p)}[\boldsymbol{U}],\,\hat{G}_{m,\boldsymbol{Z}(p)}[\boldsymbol{U}])$$

COROLLARY 2.7.1. Let A be a $Z_{(p)}$ -algebra and $a \in W(A)$. Then:

- (1) $E_{p,n}(\boldsymbol{a}; \boldsymbol{T}) \in \operatorname{Hom}_{A-\operatorname{gr}}(\hat{W}_{n,A}, \hat{G}_{m,A})$ if $\boldsymbol{a} \in_{F^n} W(A)$;
- (2) $E_{p,n}(\boldsymbol{a}; \boldsymbol{T}) \in \operatorname{Hom}_{A-\operatorname{gr}}(W_{n,A}, \boldsymbol{G}_{m,A})$ if $\boldsymbol{a} \in_{F^n} \hat{W}(A)$;
- (3) $F_{p,n}(a; X, Y) \in Z^2(\hat{W}_{n,A}, \hat{G}_{m,A}) \text{ and } F_{p,n}(F^n a; X, Y) \in B^2(\hat{W}_{n,A}, \hat{G}_{m,A});$ (4) $F_{p,n}(a; X, Y) \in Z^2(W_{n,A}, G_{m,A}) \text{ and } F_{p,n}(F^n a; X, Y) \in B^2(\hat{W}_{n,A}, G_{m,A}) \text{ if } a \in \hat{W}(A).$

2.8. Let A be a $Z_{(p)}$ -algebra. We now define homomorphisms

$$\begin{aligned} \xi^0_{n,A} &: {}_{F^n} W(A) \to \operatorname{Hom}_{A-\operatorname{gr}}(W_{n,A}, G_{m,A}) \;; \quad a \mapsto E_{p,n}(a; X) \;, \\ \xi^1_{n,A} &: \hat{W}(A)/F^n \to H^2_0(W_{n,A}, G_{m,A}) \;; \quad a \mapsto F_{p,n}(a; X, Y) \;, \\ \xi^0_{n,A} &: {}_{F^n} W(A) \to \operatorname{Hom}_{A-\operatorname{gr}}(\hat{W}_{n,A}, \hat{G}_{m,A}) \;; \quad a \mapsto E_{p,n}(a; X) \;, \\ \xi^1_{n,A} &: W(A)/F^n \to H^2_0(\hat{W}_{n,A}, \hat{G}_{m,A}) \;; \quad a \mapsto F_{p,n}(a; X, Y) \;. \end{aligned}$$

In this notation, our main result is given as follows:

THEOREM 2.8.1. Let A be a $Z_{(p)}$ -algebra. Then the homomorphisms

$$\begin{split} & \xi^0_{n,A} \colon {}_{F^n} \hat{W}(A) \to \operatorname{Hom}_{A-\operatorname{gr}}(W_{n,A}, G_{m,A}) , \\ & \xi^0_{n,A} \colon {}_{F^n} W(A) \to \operatorname{Hom}_{A-\operatorname{gr}}(\hat{W}_{n,A}, \hat{G}_{m,A}) , \\ & \xi^1_{n,A} \colon \hat{W}(A) / F^n \to H^2_0(W_{n,A}, G_{m,A}) , \\ & \xi^1_{n,A} \colon W(A) / F^n \to H^2_0(\hat{W}_{n,A}, \hat{G}_{m,A}) , \end{split}$$

are isomorphisms.

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We verify some compatibilities for ξ_n^0 and ξ_n^1 , which are needed to prove the theorem.

LEMMA 2.9. Let A be a $Z_{(p)}$ -algebra. Then: (1) The diagrams

$$\begin{array}{cccc} & & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & \\ & & &$$

and

$$\underset{A-\operatorname{gr}}{\overset{F^n}{\mathcal{W}}(A)} \xrightarrow{} \underset{F^{n+1}}{\overset{F^{n+1}}{\mathcal{W}}(A)} \downarrow \overset{\xi^0_{n+1}}{\downarrow} \overset{\xi^0_{n+1}}{\downarrow}$$

$$\operatorname{Hom}_{A-\operatorname{gr}}(W_{n,A}, G_{m,A}) \xrightarrow{R^*} \operatorname{Hom}_{A-\operatorname{gr}}(W_{n+1,A}, G_{m,A})$$

are commutative. Here the horizontal arrows denote the canonical injections. (2) The diagrams

and

are commutative.

(3) The diagrams

$$\begin{array}{cccc} W(A)/F^n & \xrightarrow{F} & W(A)/F^{n+1} \\ & & & \downarrow \xi_n^1 & & \downarrow \xi_{n+1}^1 \\ H_0^2(\hat{W}_{n,A}, \hat{G}_{m,A}) & \xrightarrow{R^*} & H_0^2(\hat{W}_{n+1,A}, \hat{G}_{m,A}) \end{array}$$

and

are commutative.

(4) The diagrams

$$\begin{array}{cccc} W(A)/F^{n+1} & \longrightarrow & W(A)/F^n \\ & & & & \downarrow \xi_n^1 \\ H_0^2(\hat{W}_{n+1,A}, \hat{G}_{m,A}) & \xrightarrow{V^*} & H_0^2(\hat{W}_{n,A}, \hat{G}_{m,A}) \end{array}$$

and

are commutative. Here the horizontal arrows denote the canonical surjections. PROOF. By Lemma 2.3, we have the equalities

$$E_{p,n+1}(U; X_0, \ldots, X_{n-1}) = E_{p,n}(U; X_0, \ldots, X_{n-1}),$$

and

$$E_{p,n+1}(U; 0, X_0, \ldots, X_{n-1}) = E_{p,n}(FU; X_0, \ldots, X_{n-1}),$$

which imply (1) and (2).

Now we prove (3). Noting that

$$\Phi_{r,n+1}(T) - \Phi_{r,n}(T) = \begin{cases} 0 & (r \le n-1) \\ p^n T_n^{p^{r-n}} & (r \ge n) , \end{cases}$$

we obtain

$$E_{p,n+1}(V, T)E_{p,n}(V, T)^{-1} = \exp\left(\sum_{r=0}^{\infty} \frac{1}{p^r} \Phi_{r+n}(V)T_n^{p^r}\right) = E_p(F^n V; T_n).$$

Putting $U = F^n V$, we get

$$F_{p,n+1}(FU; X, Y)F_{p,n}(U; X, Y)^{-1} = E_p(U; X_n)E_p(U; Y_n)E_p(U; S_n(X, Y))^{-1},$$

which implies that

 $[F_{p,n+1}(FU; X, Y)] = [F_{p,n}(U; X, Y)] \text{ in } H_0^2(\hat{W}_{n+1, \mathbb{Z}_{(p)}[U]}, \hat{G}_{m, \mathbb{Z}_{(p)}[U]}).$ To verify (4), it is enough to note that

$$F_{p,n+1}(U; VX, VY) = E_p(U; S_{n+1,n+1}(VX, VY), S_{n+2,n+1}(VX, VY), \dots)$$

= $E_p(U; S_{n,n}(X, Y), S_{n+1,n}(X, Y), \dots)$
= $F_p(U; X, Y)$.

LEMMA 2.10. Let A be a $Z_{(p)}$ -algebra. Then the diagrams

and

are commutative. Here the horizontal arrows above denote the maps induced by $\mathbf{a} \mapsto \mathbf{a}$, and ∂ 's denote the boundary maps defined by the exact sequences of formal group schemes

$$0 \longrightarrow \hat{W}_{n,A} \xrightarrow{V^m} \hat{W}_{n+m,A} \xrightarrow{R^n} \hat{W}_{m,A} \longrightarrow 0$$

or of group schemes

$$0 \longrightarrow W_{n,A} \xrightarrow{V^m} W_{n+m,A} \xrightarrow{R^n} W_{m,A} \longrightarrow 0 .$$

PROOF. The extension of formal group schemes

$$0 \longrightarrow \hat{W}_{n,A} \xrightarrow{V^m} \hat{W}_{n+m,A} \xrightarrow{R^n} \hat{W}_{m,A} \longrightarrow 0$$

is defined by the 2-cocycle

 $(S_{m,m}(X, Y), S_{m+1,m}(X, Y), \ldots, S_{m+n-1,m}(X, Y)) \in Z^2(\hat{W}_{m,A}, \hat{W}_{n,A}).$

Hence the boundary map ∂ : Hom_{A-gr}($\hat{W}_{n,A}, \hat{G}_{m,A}$) $\rightarrow H_0^2(\hat{W}_{m,A}, \hat{G}_{m,A})$ is defined by

$$E_{p,n}(a; T) \mapsto E_{p,n}(a; S_{m,m}(X, Y), S_{m+1,m}(X, Y), \ldots, S_{m+n-1,m}(X, Y))$$

Noting that

 $F_{p,m}(a; X, Y) = E_p(a; S_{m,m}(X, Y), S_{m+1,m}(X, Y), \dots, S_{m+n-1,m}(X, Y), S_{n+m,m}(X, Y), \dots),$ we obtain

$$F_{p,m}(a; X, Y)E_{p,n}(a; S_{m,m}(X, Y), S_{m+1,m}(X, Y), \dots, S_{m+n-1,m}(X, Y))^{-1}$$

= $E_p(a; 0, \dots, 0, S_{m+n,m}(X, Y), S_{m+n+1,m}(X, Y), \dots)$
= $E_p(F^na; S_{m+n,m}(X, Y), S_{m+n+1,m}(X, Y), \dots)$,

which implies the asserted commutativity of the diagram. The case of group schemes is verified similarly.

3. Proof of the theorem.

3.1. It remains to prove the case n = 1, in view of the commutative diagrams with exact rows:

induced by the exact sequence of formal group schemes

$$0 \longrightarrow \hat{G}_{a,A} \xrightarrow{V^n} \hat{W}_{n+1,A} \xrightarrow{R} \hat{W}_{n,A} \longrightarrow 0 ,$$

and

induced by the exact sequence of formal group schemes

$$0 \longrightarrow G_{a,A} \xrightarrow{V^n} W_{n+1,A} \xrightarrow{R} W_{n,A} \longrightarrow 0 .$$

The following lemma implies the bijectivity of

$$\xi_{1,A}^0: {}_FW(A) \to \operatorname{Hom}_{A-\operatorname{gr}}(\hat{G}_{a,A}, \hat{G}_{m,A})$$

and

$$\xi_{1,A}^0: {}_F \hat{W}(A) \to \operatorname{Hom}_{A-\operatorname{gr}}(G_{a,A}, G_{m,A})$$

LEMMA 3.2. Let A be a $\mathbb{Z}_{(p)}$ -algebra and $F(T) \in A[[T]]^{\times}$. If F(X+Y) = F(X)F(Y), then there exists $a \in {}_{F}W(A)$ such that $F(T) = E_{p}(a; T)$. Moreover, if $F(T) \in A[T]^{\times}$, then $a \in {}_{F}\hat{W}(A)$.

PROOF. Put

$$F(T) = \prod_{k=1}^{\infty} E_p(c_k T^k) , \qquad c_k \in A ,$$

and set $\mathbf{a} = (c_{p^r})_{r \ge 0}$ and $G(T) = \prod_{k \notin P} E_p(c_k T)$, where $P = \{p^j; j \ge 0\}$. Then $F(T) = E_p(\mathbf{a}; T)G(T)$,

hence

$$(G(X)G(Y)G(X+Y)^{-1})^{-1} = E_p(a; X)E_p(a; Y)E_p(a; X+Y)^{-1}$$
$$= F_{p,1}(Fa; X, Y) .$$

Note that

$$F_{p,1}(Fa; X, Y) \equiv 1 + \text{the term of degree } p^r \pmod{\text{degree } p^r + 1}$$

for some r. If $G(T) \neq 1$, then $G(T) \equiv 1 + cT^k \pmod{\text{degree } k + 1}$ with $c \neq 0$ for some k > 0. Then k is not a power of p, and

$$G(X)G(Y)G(X+Y)^{-1} \equiv 1 + c\{X^k + Y^k - (X+Y)^k\} \pmod{\text{degree } k+1}.$$

It follows that G(T) = 1 and $F_{p,1}(Fa; X, Y) = 1$, and therefore Fa = 0.

To prove the bijectivity of $\xi_{1,A}^1: W(A)/F \to H_0^2(\hat{G}_{a,A}, \hat{G}_{m,A})$ and $\xi_{1,A}^1: \hat{W}(A)/F \to H_0^2(G_{a,A}, G_{m,A})$, we need several lemmas. We put $P = \{p^j; j \ge 0\}$ as above.

SUBLEMMA 3.3. Let $U = (U_0, U_1, ...)$. Then we have

$$F_{p,1}(U; X, Y) = \exp\left(\sum_{r \ge 0} \frac{1}{p^r} \Phi_r(U_0, U_1, \dots, U_r) \frac{X^{p^{r+1}} + Y^{p^{r+1}} - (X+Y)^{p^{r+1}}}{p}\right).$$

PROOF. By definition,

$$F_{p,1}(U; X, Y) = \exp\left(\sum_{r\geq 0} \frac{1}{p^r} \Phi_r(U_0, U_1, \dots, U_r) \Phi_r(S_{1,1}(X, Y), S_{2,1}(X, Y), \dots, S_{r+1,1}(X, Y))\right),$$

where

$$S_{r+1,1}(X, Y) = S_{r+1}(X, 0, \dots, 0, Y, 0, \dots, 0)$$

Hence we obtain the assertion, noting that

$$(X+Y)^{p^{r+1}} + p\Phi_r(S_{1,1}(X, Y), S_{2,1}(X, Y), \dots, S_{r+1,1}(X, Y))$$

= $\Phi_{r+1}(S_0(X, Y), S_{1,1}(X, Y), S_{2,1}(X, Y), \dots, S_{r+1,1}(X, Y))$
= $\Phi_{r+1}(X, 0, \dots, 0) + \Phi_{r+1}(Y, 0, \dots, 0) = X^{p^{r+1}} + Y^{p^{r+1}}$

and that

$$\Phi_r(S_{1,1}(X, Y), S_{2,1}(X, Y), \dots, S_{r+1,1}(X, Y)) = \frac{X^{p^{r+1}} + Y^{p^{r+1}} - (X+Y)^{p^{r+1}}}{p}$$

COROLLARY 3.3.1. Let A be a $Z_{(p)}$ -algebra and $a = (a_i)_{i \ge 0} \in W(A)$. Then

$$F_{p,1}(a; X, Y) \equiv 1 + a_0 \frac{X^p + Y^p - (X + Y)^p}{p} \pmod{\text{degree } p+1}$$
.

Moreover, if $a_i = 0$ for i < r, then

$$F_{p,1}(a; X, Y) \equiv 1 + a_r \frac{X^{p^{r+1}} + Y^{p^{r+1}} - (X+Y)^{p^{r+1}}}{p} \pmod{\text{degree } p^{r+1} + 1}.$$

PROOF. By Lemma 3.3,

$$F_{p,1}(U; X, Y) = \exp\left(U_0 \frac{X^p + Y^p - (X+Y)^p}{p} + \text{terms of degree} > p\right).$$

Hence we obtain

$$F_{p,1}(U; X, Y) \equiv 1 + U_0 \frac{X^p + Y^p - (X+Y)^p}{p} \pmod{(X, Y)^{p+1}}.$$

Moreover,

$$F_{p,1}(0, \dots, 0, U_r, U_{r+1}, \dots; X, Y) = \exp\left(U_r \frac{X^{p^{r+1}} + Y^{p^{r+1}} - (X+Y)^{p^{r+1}}}{p} + \text{terms of degree} > p^{r+1}\right),$$

since $\Phi_i(0, \ldots, 0) = 0$ for i < r and $\Phi_r(0, \ldots, 0, U_r) = p^r U_r$. Hence we have

$$F_{p,1}(0, \dots, 0, U_r, U_{r+1}, \dots; X, Y) \equiv 1 + U_r \frac{X^{p^{r+1}} + Y^{p^{r+1}} - (X+Y)^{p^{r+1}}}{p} \pmod{(X, Y)^{p^{r+1}+1}}.$$

These imply the assertion.

LEMMA 3.4. Let A be a $Z_{(p)}$ -algebra and $F(X, Y) \in Z^2(\hat{G}_{a,A}, \hat{G}_{m,A}) \subset A[[X, Y]]^{\times}$. Then there exist $a \in W(A)$ and $G(T) = \prod_{k \notin P} (1 + c_k T^k) \in A[[T]]^{\times}$ such that $F(X, Y) = F_{p,1}(a; X, Y)G(X)G(Y)G(X+Y)^{-1}$.

PROOF. Dividing F(X, Y) by its constant term, we may assume that $F(X, Y) \equiv 1$ (mod degree 1). Assume now that there exist a_i , $c_j \in A$ ($0 \le i < r-1$ and 1 < j < k, $j \notin P$) such that

$$F_{p,1}(a_0, a_1, \dots, a_{r-2}, 0, \dots; X, Y)G_k(X)G_k(Y)G_k(X+Y)^{-1} \equiv F(X, Y) \pmod{\text{degree } k}$$

where $r = [\log_p k]$, the greatest integer not greater than $\log_p k$, and $G_k(T) = \prod_{j < k} (1 + c_j T^j)$. Let H(X, Y) denote the homogeneous component of degree k of $F(X, Y) = [F_{p,1}(a_0, a_1, \ldots, a_{r-2}, 0, \ldots; X, Y)G_k(X)G_k(Y)G_k(X+Y)^{-1}]^{-1}$. Since $F(X, Y)[F_{p,1}(a_0, a_1, \ldots, a_{r-2}, 0, \ldots; X, Y)G_k(X)G_k(Y)G_k(X+Y)^{-1}]^{-1} \in Z^2(\hat{G}_{a,B}, \hat{G}_{m,B})$, we see that H(X, Y) satisfies the functional equations:

- 1) H(X+Y, Z) + H(X, Y) = H(X, Y+Z) + H(Y, Z);
- 2) H(X, Y) = H(Y, X).

By Lazard's comparison lemma [2, Lemma 3], there exists $a \in A$ such that

$$H(X, Y) = \begin{cases} a\{X^k + Y^k - (X+Y)^k\} & \text{if } k \text{ is not a power of } p \\ a \frac{X^k + Y^k - (X+Y)^k}{p} & \text{if } k \text{ is a power of } p. \end{cases}$$

(1) When k is not a power of p, put $c_k = a$ and $G_{k+1}(T) = G_k(T)(1 + c_k T^k)$. Then we have

$$F_{p,1}(a_k; X, Y)G_{k+1}(X)G_{k+1}(Y)G_{k+1}(X+Y)^{-1} \equiv F(X, Y) \pmod{\text{degree } k+1}$$

since

$$(1+c_kX^k)(1+c_kY^k)\{1+c_k(X+Y)^k\}^{-1} \equiv 1+c_k\{X^k+Y^k-(X+Y)^k\} \pmod{\text{degree } k+1}.$$

(2) When $k = p^r$, put $a_{r-1} = a$. By Corollary 3.3.1, we have

$$F_{p,1}(\underbrace{0,\ldots,0}_{r-1},a_{r-1},0,\ldots;X,Y) \equiv 1 + a_{r-1} \frac{X^{p^r} + Y^{p^r} - (X+Y)^{p^r}}{p} \pmod{\text{degree } p^r + 1}.$$

Hence we obtain

$$F_{p,1}(a_0, \dots, a_{r-2}, a_{r-1}, 0, \dots; X, Y)G_k(X)G_k(Y)G_k(X+Y)^{-1} \equiv F(X, Y)$$

(mod degree $p^r + 1$),

noting that

$$F_{p,1}(a_0, \ldots, a_{r-2}, 0, \ldots; X, Y)F_{p,1}(0, \ldots, 0, a_{r-1}, 0, \ldots; X, Y)$$

= $F_{p,1}(a_0, \ldots, a_{r-2}, a_{r-1}, 0, \ldots; X, Y)$.

Continuing this process, we find $\mathbf{a} \in W(A)$ and $G(T) = \prod_{k \notin P} (1 + c_k T^k) \in A[[T]]$ such that $F(X, Y) = F_{p,1}(\mathbf{a}; X, Y)G(X)G(Y)G(X+Y)^{-1}$.

LEMMA 3.5. Let A be a $\mathbb{Z}_{(p)}$ -algebra and $F(X, Y) \in \mathbb{Z}^2(\mathbb{G}_{a,A}, \mathbb{G}_{m,A}) \subset A[X, Y]^{\times}$. Then there exist $\mathbf{a} \in \hat{W}(A)$ and $G(T) = \prod_{k \notin P} (1 + c_k T^k) \in A[T]^{\times}$ such that $F(X, Y) = F_{p,1}(\mathbf{a}; X, Y)G(X)G(Y)G(X+Y)^{-1}$.

PROOF. As above, dividing F(X, Y) by its constant term, we may assume that $F(X, Y) \equiv 1 \pmod{\text{degree 1}}$. By Lemma 3.4, there exist $\boldsymbol{a} = (a_i)_{i \geq 0} \in W(A)$ and $G(T) = \prod_{k \notin P} (1 + c_k T^k) \in A[[T]]^{\times}$ such that $F(X, Y) = F_{p,1}(\boldsymbol{a}; X, Y)G(X)G(Y)G(X+Y)^{-1}$. We prove that $\boldsymbol{a} \in \hat{W}(A)$ and $G(T) \in A[T]^{\times}$.

Let d be the degree of F(X, Y) and let a denote the ideal of A generated by the coefficients of the terms of degree ≥ 1 in F(X, Y). Since the polynomial F(X, Y) is invertible, a is nilpotent.

Now observe the following:

1) For $j \notin P$, put

$$(1+c_j X^j)(1+c_j Y^j)\{1+c_j (X+Y)^j\}^{-1} = 1 + \sum_{k=1}^{\infty} H_k(X, Y),$$

where $H_k(X, Y)$ is homogeneous of degree *jk*. Then the ideal generated by the coefficients of $H_1(X, Y)$ coincides with (c_j) , and the ideal generated by the coefficients of $H_k(X, Y)$ is contained in $(c_j)^k$ for k > 1;

2) Put

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$$F_{p,1}(\underbrace{0,\ldots,0}_{i}, a_{i}, 0,\ldots; X, Y) = 1 + \sum_{k=1}^{\infty} H_{k}(X, Y),$$

where $H_k(X, Y)$ is homogeneous of degree $p^{i+1}k$. Then the ideal generated by the coefficients of $H_1(X, Y)$ coincides with (a_i) , and the ideal generated by the coefficients of $H_k(X, Y)$ is contained in $(a_i)^k$ for k > 1. These imply the following:

1) If *j* is not a power of *p* and $(s-1)d < j \le sd$, then $c_i \in \mathfrak{a}^s$;

2) If $(s-1)d < p^{i+1} \le sd$, then $a_i \in \mathfrak{a}^s$.

Hence, a_i and c_j are nilpotent for all *i* and *j*, and are zero for all but a finite number of *i* and *j*.

3.6. Now we prove the bijectivity of $\xi_{1,A}^1$: $W(A)/F \to H_0^2(\hat{G}_{a,A}, \hat{G}_{m,A})$ and $\xi_{1,A}^1$: $\hat{W}(A)/F \to H_0^2(G_{a,A}, G_{m,A})$.

Lemma 3.4 and Lemma 3.5 imply the surjectivity of $\xi_{1,A}^1$: $W(A)/F \to H_0^2(\hat{G}_{a,A}, \hat{G}_{m,A})$ and $\xi_{1,A}^1$: $\hat{W}(A)/F \to H_0^2(G_{a,A}, G_{m,A})$, respectively.

Now assume that $F_{p,1}(\boldsymbol{a}; X, Y) \in B^2(\hat{\boldsymbol{G}}_{\boldsymbol{a},\boldsymbol{A}}, \hat{\boldsymbol{G}}_{\boldsymbol{m},\boldsymbol{A}})$ for $\boldsymbol{a} \in W(A)$. Then there exists $F(T) \in A[[T]]^{\times}$ such that $F(X)F(Y)F(X+Y)^{-1} = F_{p,1}(\boldsymbol{a}; X, Y)$. Put $F(T) = \prod_{k \ge 1} E_p(c_k T^k)$. Then

$$F_{p,1}(\boldsymbol{a}; X, Y)F_{p,1}(F\boldsymbol{b}; X, Y)^{-1} = \prod_{k \notin P} E_p(c_k X^k)E_p(c_k Y^k)E_p(c_k (X+Y)^k)^{-1}$$

where $\boldsymbol{b} = (c_{p^r})_{r \ge 0}$. As in the proof of Lemma 3.2, we see that $c_k = 0$ if k is not a power of p, hence $F_{p,1}(\boldsymbol{a}; X, Y) = F_{p,1}(F\boldsymbol{b}; X, Y)$. It follows that $\xi_{1,A}^1 \colon W(A)/F \to H_0^2(\hat{\boldsymbol{G}}_{a,A}, \hat{\boldsymbol{G}}_{m,A})$ is injective. It is similarly seen that $\xi_{1,A}^1 \colon \hat{W}(A)/F \to H_0^2(\boldsymbol{G}_{a,A}, \boldsymbol{G}_{m,A})$ is injective.

REMARK 3.7. $\operatorname{End}_{A-\operatorname{gr}}(\hat{W}_{n,A})$ (resp. $\operatorname{End}_{A-\operatorname{gr}}(W_{n,A})$) acts on $H_0^2(\hat{W}_{n,A}, \hat{G}_{m,A})$ (resp. $H_0^2(W_{n,A}, G_{m,A})$) by the pull-back. We can describe the action under the identifications $H_0^2(\hat{W}_{n,A}, \hat{G}_{m,A}) = W(A)/F^n$ and $H_0^2(W_{n,A}, G_{m,A}) = \hat{W}(A)/F^n$ as follows:

Let **[b]** denote the endomorphism of $\hat{W}_{n,A}$ or $W_{n,A}$, defined by $\boldsymbol{b} = (b_r)_{0 \le r \le n-1} \in W_n(A)$. Then $\boldsymbol{a}[\boldsymbol{b}] = (F^n \boldsymbol{b}) \cdot \boldsymbol{a}$.

REMARK 3.8. It is more or less known that $H_0^2(\hat{G}_{a,A}, \hat{G}_{m,A}) = 0$ and $H_0^2(G_{a,A}, G_{m,A}) = 0$ if A is of characteristic 0. We can also verify these facts, noting that the homomorphism F is surjective on W(A) and on $\hat{W}(A)$.

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