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RESONANCES FOR SYMMETRIC TENSORS ON ASYMPTOTICALLY HYPERBOLIC SPACES

CHARLES HADFIELD

On manifolds with an even Riemannian conformally compact Einstein metric, the resolvent of the Lichnerowicz Laplacian, acting on trace-free, divergence-free, symmetric 2-tensors is shown to have a meromorphic continuation to the complex plane, defining quantum resonances of this Laplacian. For higher-rank symmetric tensors, a similar result is proven for (convex cocompact) quotients of hyperbolic space.

1. Introduction

This paper studies the meromorphic extension of the resolvent of the Laplacian acting on symmetric tensors above asymptotically hyperbolic manifolds. The geometric setting of asymptotically hyperbolic manifolds, modelled on convex cocompact quotients of hyperbolic space, dates back to [Mazzeo 1988; Mazzeo and Melrose 1987; Fefferman and Graham 1985]. The meromorphic extension with finite-rank poles of the resolvent of the Laplacian on functions is obtained in [Mazzeo and Melrose 1987], excluding certain exceptional points in \mathbb{C} . Refining the definition of asymptotically hyperbolic manifolds by introducing a notion of evenness, Guillarmou [2005] provided the meromorphic extension to all of \mathbb{C} and showed that for such an extension, said evenness is essential; see also [Guillopé and Zworski 1995]. By shifting his viewpoint and studying a Fredholm problem, rather than using Melrose's pseudodifferential calculus on manifolds with corners, Vasy [2013a; 2013b] was also able to recover the result of [Guillarmou 2005]. This technique is presented in a very accessible article of Zworski [2016] in a microlocal language (nonsemiclassical). This alternative method is more appropriate when one considers vector bundles, and, for symmetric tensors, is lightly explained later in this introduction. Effectively contained in [Vasy 2013a], the meromorphic extension is explicitly obtained in [Vasy 2017] for the resolvent of the Hodge Laplacian upon restriction to coclosed forms (or excluding top forms, for closed forms). Such a restriction is natural in light of works in a conformal setting [Aubry and Guillarmou 2011; Branson and Gover 2005], i.e., the boundary of the asymptotic space. In fact, from the conformal geometry viewpoint, Vasy's method of placing the asymptotically hyperbolic manifold in an ambient manifold equipped with a Lorentzian metric is very much in the spirit of both the tractor calculus [Bailey et al. 1994], as well as the ambient metric construction [Fefferman and Graham 2012].

We give the theorems (with precise definitions of the objects involved left to the body of the article) and sketch their proofs. Let \bar{X} be a compact manifold with boundary $Y = \partial\bar{X}$. That (X, g) is asymptotically

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hyperbolic means that, locally near Y in \bar{X} , there exists a chart $[0, \varepsilon)_\rho \times Y$ such that on $(0, \varepsilon) \times Y$, the metric g takes the form

$$g = \frac{d\rho^2 + h}{\rho^2},$$

where h is a family of Riemannian metrics on Y , depending smoothly on $\rho \in [0, \varepsilon)$. That g is even means that h has a Taylor series about $\rho = 0$ in which only even powers of ρ appear. Above X , we consider the set of symmetric cotensors of rank m , denoting this vector bundle by $\mathcal{E}^{(m)} = \text{Sym}^m T^*X$. On symmetric tensors, there exist two common Laplacians. The (positive) rough Laplacian $\nabla^*\nabla$ and the Lichnerowicz Laplacian Δ , originally defined on 2-cotensors [Lichnerowicz 1961], but easily extendible to arbitrary degree [Heil et al. 2016]. On functions, these two Laplacians coincide; on one-forms, the Lichnerowicz Laplacian agrees with the Hodge Laplacian; and in general, for symmetric m -cotensors, the Lichnerowicz Laplacian differs from the rough Laplacian by a zeroth-order curvature operator

$$\Delta = \nabla^*\nabla + q(\mathbf{R}).$$

We construct the Lorentzian cone $M = \mathbb{R}_s^+ \times X$ with metric

$$\eta = -ds \otimes ds + s^2g$$

(and call s the Lorentzian scale). Pulling $\mathcal{E}^{(m)}$ back to M we naturally see $\mathcal{E}^{(m)}$ as a subbundle of the bundle of all symmetric cotensors of rank m above M ; this larger bundle is denoted by $\mathcal{F} = \text{Sym}^m T^*M$. On \mathcal{F} we consider the Lichnerowicz d'Alembertian \square . Up to symmetric powers of ds/s we may identify \mathcal{F} with the direct sum of $\mathcal{E}^{(k)} = \text{Sym}^k T^*X$ for all $k \leq m$. Indeed by denoting by $\mathcal{E} = \bigoplus_{k=0}^m \mathcal{E}^{(k)}$ the bundle of all symmetric tensors above X of rank not greater than m , we are able to pull back sections of this bundle and see them as sections of \mathcal{F} :

$$\pi_s^* : C^\infty(X; \mathcal{E}) \rightarrow C^\infty(M; \mathcal{F}).$$

A long calculation gives the structure of the Lichnerowicz d'Alembertian with respect to this identification. It is seen that $s^2\square$ decomposes as the Lichnerowicz Laplacian Δ acting on each subbundle of $\mathcal{E}^{(k)}$ for $0 \leq k \leq m$; however, these fibres are coupled via off-diagonal terms consisting of the symmetric differential d and its adjoint, the divergence δ . (There are also less important couplings due to the trace Λ and its adjoint L .) Also present in the diagonal are terms involving $s \partial_s$ and $(s \partial_s)^2$. By conjugating by $s^{-n/2+m}$ we obtain the operator

$$\mathcal{Q} = \nabla^*\nabla + (s \partial_s)^2 + \mathbf{D} + \mathbf{G},$$

where \mathbf{D} is of first order consisting of the symmetric differential and the divergence, while \mathbf{G} is a smooth endomorphism on \mathcal{F} . By appealing to the b-calculus of Melrose [1993], we can push this operator acting on \mathcal{F} above M to a family of operators (holomorphic in the complex variable λ) acting on \mathcal{E} above X of the form

$$\mathcal{Q}_\lambda = \nabla^*\nabla + \lambda^2 + \mathcal{D} + \mathcal{G},$$

Theorem 1.4. *Let (X^{n+1}, g) be a convex cocompact quotient of \mathbb{H}^{n+1} . Then the inverse of*

$$\Delta - \frac{1}{4}(n^2 - 4m(n + m - 2)) + \lambda^2 \text{ acting on } L^2(X; \mathcal{E}^{(m)}) \cap \ker \Lambda \cap \ker \delta,$$

written as \mathcal{R}_λ , has a meromorphic continuation from $\operatorname{Re} \lambda \gg 1$ to \mathbb{C} ,

$$\mathcal{R}_\lambda : C_c^\infty(X; \mathcal{E}^{(m)}) \cap \ker \Lambda \cap \ker \delta \rightarrow \rho^{\lambda+n/2-m} C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(m)}) \cap \ker \Lambda \cap \ker \delta$$

with finite-rank poles.

Note that on \mathbb{H}^{n+1} , the difference between the Lichnerowicz Laplacian and the rough Laplacian is $q(\mathbb{R}) = -m(n + m - 1)$. Thus by introducing a spectral parameter $s = \lambda + \frac{1}{2}n$ (not to be confused with the Lorentzian scale), the previous operator $\Delta - c_m + \lambda^2$ may be equivalently written as

$$\nabla^* \nabla - s(n - s) - m$$

in the spirit of [Dyatlov et al. 2015].

In order to demonstrate Theorem 1.1, Vasy’s technique is to consider a slightly larger manifold X_e , as well as the ambient space $M_e = \mathbb{R}^+ \times X_e$. Using two key tricks near the boundary $Y = \partial \bar{X}$: the evenness property allows us to introduce the coordinate $\mu = \rho^2$ and twisting the Lorentzian scale with the boundary-defining function gives (what is termed the Euclidean scale) $t = s/\rho$, it is seen that the ambient metric η may be extended nondegenerately past $\mathbb{R}^+ \times Y$ to M_e . On $\operatorname{Sym}^m T^*M_e$ we construct, analogous to \mathcal{Q} , an operator \mathcal{P} replacing appearances of s by t which, on M , is easily related to \mathcal{Q} . Again the b-calculus provides a family of operators \mathcal{P} on $\bigoplus_{k=0}^m \operatorname{Sym}^k T^*X_e$ above X_e . Section 7 shows precisely how this family of operators fits into a Fredholm framework giving a meromorphic inverse, and very quickly also provides Theorem 1.1.

Such theorems are desirable for several reasons. Firstly, the quantum/classical correspondence between the spectrum of the Laplacian on a closed hyperbolic surface and Ruelle resonances of the generator of the geodesic flow on the unit tangent bundle [Faure and Tsujii 2013, Proposition 4.1] has been extended to compact hyperbolic manifolds of arbitrary dimension [Dyatlov et al. 2015], at which point the correspondence is between Ruelle resonances and the spectrum of the Laplacian acting on trace-free, divergence-free, symmetric tensors of arbitrary rank. This correspondence is extended in [Guillarmou et al. 2016] to convex cocompact hyperbolic surfaces using the scattering operator [Graham and Zworski 2003], as well as [Dyatlov and Guillarmou 2016], to obtain Ruelle resonances in this open system. Theorem 1.4 has been applied, along with results from [Dyatlov et al. 2015; Dyatlov and Guillarmou 2016], in order to provide such a correspondence in the setting of convex cocompact hyperbolic manifolds of arbitrary dimension [Hadfield 2017]. Secondly, with knowledge of the asymptotics of the resolvent of the Laplacian on functions, it is possible to construct the Poisson operator, the scattering operator, and study in a conformal setting, the GJMS operators and the \mathcal{Q} -curvature of Branson [Djadli et al. 2008, Chapters 5–6]. This problem should be particularly interesting on symmetric 2-cotensors above a conformal manifold which, upon extension to a “bulk” Poincaré–Einstein manifold, makes contact with Theorem 1.3. Finally, and again with respect to Theorem 1.3, the Lichnerowicz Laplacian plays a fundamental role in problems involving deformations of metrics and their Ricci tensors [Biquard 2000;

Delay 1999; Graham and Lee 1991], as well as to linearised gravity [Wang 2009]. Spectral analysis of the Lichnerowicz Laplacian [Delay 2002; 2007], as well as the desire to build a scattering operator, emphasise the importance of considering this Laplacian acting on more general spaces than that of L^2 sections. From the viewpoint of gravitational waves, the recent work [Baskin et al. 2015] studies decay rates of solutions to the wave equation (acting on the trivial bundle) on Minkowski space with metrics similar to (1). It is very natural to consider this problem on symmetric 2-cotensors acted upon by the Lichnerowicz d'Alembertian.

Theorem 1.3 requires the global condition that the manifold be Einstein. It is unclear whether such a condition is necessary. Vasy's technique deals with the condition of even asymptotic hyperbolicity near the boundary. Indeed, this is reflected in **Theorem 1.2**. However to obtain our desired result, uncoupling the Lichnerowicz Laplacian from the operator \mathcal{Q} currently requires a global condition on the base manifold. One should study whether perturbation techniques could provide a more general theorem, giving precise conditions for when such a meromorphic continuation exists.

The paper is structured as follows. **Section 2** sets up the geometric side of the problem, introducing the various manifolds of interest as well as the construction of the ambient metric η . This section also includes a digression into the model geometry $X = \mathbb{H}^{n+1}$ to motivate Vasy's construction. **Section 3** introduces the algebraic aspects of symmetric tensors, introduces many notational conventions and establishes several relationships between symmetric tensors when working relative to the Lorentzian and Euclidean scales. **Section 4** recalls standard notions from microlocal analysis and gives several notions from the b-calculus framework adapted to vector bundles. **Section 5** contains the bulk of the calculations of this paper, relating \mathcal{Q} and \mathcal{Q} with the Lichnerowicz Laplacian. Sections **6** and **7** introduce the operators \mathbf{P} and \mathcal{P} and provide the desired meromorphic inverse. **Section 8** establishes the four theorems. **Section 9** details the particular case of symmetric cotensors of rank $m = 2$. It is useful to gain insight into this problem via this low-rank setting, and it is hoped that the presentation of this case will aid the reader particularly during Sections **5** and **8**. Finally, **Section 10** gives the high energy estimates one would obtain if the microlocal analysis performed in **Section 7** was performed using semiclassical notions.

2. Geometry

2A. Model geometry. It is worth mentioning the model geometry which provides a clear geometric motivation for the construction of the ambient space, as well as the Minkowski and Euclidean scales.

Let $\mathbb{R}^{1,n+1}$ be Minkowski space with the Lorentzian metric

$$\eta := -dx_0^2 + \sum_{i=1}^{n+1} dx_i^2$$

and set M_e to be Minkowski space minus the closure of the backward light cone. The metric gives the Minkowski distance function, denoted by η^2 , on $\mathbb{R}^{1,n+1}$ from the origin:

$$\eta^2(x) := -x_0^2 + \sum_{i=1}^{n+1} x_i^2.$$

Hyperbolic space $X = \mathbb{H}^{n+1}$ is then identified with the (connected) hypersurface

$$X := \{x \in \mathbb{R}^{1,n+1} \mid \eta^2(x) = -1, x_0 > 0\}$$

and is given the metric g induced by the restriction of η . The boundary at infinity of hyperbolic space, i.e., the sphere $Y = \mathbb{S}^n$, is identified with the (connected) submanifold

$$Y := \{x \in \mathbb{R}^{1,n+1} \mid \eta^2(x) = 0, x_0 = 1\},$$

which, as an aside, inherits the standard metric, denoted by h , by restriction of η . For completeness we introduce de Sitter space dS^{n+1} as the hypersurface

$$dS^{n+1} := \{x \in \mathbb{R}^{1,n+1} \mid \eta^2(x) = 1\}.$$

We define the forward light cone

$$M := \{x \in \mathbb{R}^{1,n+1} \mid \eta^2(x) < 0, x_0 > 0\}$$

and note the decomposition $M = \mathbb{R}_s^+ \times X$ via the identification

$$\mathbb{R}_s^+ \times X \ni (s, x) \mapsto s \cdot x \in X.$$

In these coordinates, the metric η restricted to M takes the form

$$\eta = -ds \otimes ds + s^2g$$

and we refer to s as the Minkowski scale. We define X_e to be the subset of the $(n+1)$ -sphere contained in M_e

$$X_e := \left\{ x \in \mathbb{R}^{1,n+1} \mid \sum_{i=0}^{n+1} x_i^2 = 1, x_0 > \frac{-1}{\sqrt{2}} \right\}$$

and note that the ambient space M_e is diffeomorphic to $\mathbb{R}_t^+ \times X_e$ via the identification

$$\mathbb{R}_t^+ \times X_e \ni (t, x) \mapsto t \cdot x \in M_e.$$

We refer to t as the Euclidean scale. The dilations induced by the Euclidean scale allow the identification

$$X_e \simeq X \sqcup Y \sqcup dS^{n+1}.$$

2B. General setting. We now properly introduce the geometric setting of the article. Let (X, g) be a Riemannian manifold of dimension $n + 1$ which is even asymptotically hyperbolic [Guillarmou 2005, Definition 1.2] with boundary at infinity denoted by Y . We recall the definition of evenness.

Definition 2.1. Let (X, g) be an asymptotically hyperbolic manifold. We say that g is even if there exists a boundary-defining function ρ and a family of tensors $(h_{2i})_{i \in \mathbb{N}_0}$ on $Y = \partial \bar{X}$ such that, for all N , one has the following decomposition of g near Y :

$$\phi^*(\rho^2 g) = dr^2 + \sum_{i=0}^N h_{2i} r^{2i} + O(r^{2N+2}),$$

where ϕ is the diffeomorphism induced by the flow ϕ_r of the gradient $\text{grad}_{\rho^2 g}(\rho)$:

$$\begin{aligned} \phi : [0, 1) \times Y &\rightarrow \phi([0, 1) \times Y) \subset \bar{X}, \\ (r, y) &\mapsto \phi_r(y). \end{aligned}$$

We define $X^2 := (\bar{X} \sqcup \bar{X})/Y$ to be the topological double of \bar{X} . (For a slicker definition, we stray ever so slightly from the model geometry.) From the diffeomorphism ϕ we initially construct a C^∞ atlas on X^2 by noting that $Y \subset X^2$ is contained in an open set $U^2 := (U_- \sqcup U_+)/Y$ with $U_\pm := \phi([0, 1) \times Y)$ and we declare this set to be C^∞ diffeomorphic to $(-1, 1) \times Y$ via

$$\begin{aligned} (-1, 1) \times Y &\simeq U^2, \\ (t, y) &\mapsto \begin{cases} \phi_{-t}(y) \in U_- & \text{if } t \leq 0, \\ \phi_{+t}(y) \in U_+ & \text{if } t \geq 0. \end{cases} \end{aligned}$$

Charts on the interior of X in \bar{X} complete the atlas on X^2 .

We want to consider the boundary-defining function ρ as a function from X^2 to $[-1, 1]$ such that X may be identified with $\{\rho > 0\}$. Using the previous chart for $U^2 \simeq (-1, 1) \times Y$ we initially set

$$\begin{aligned} \rho : (-1, 1) \times Y &\rightarrow (-1, 1), \\ (r, y) &\mapsto r, \end{aligned}$$

and extend ρ to a continuous function on X^2 by demanding that ρ be constant on $X^2 \setminus U^2$. In order to ensure smoothness at $\partial \bar{U}^2$ we deform ρ smoothly on the two subsets $(-1, -1 + \varepsilon) \times Y$ and $(1 - \varepsilon, 1) \times Y$ of U^2 . This achieves our goal. We now define the function μ on X^2 by declaring

$$\mu : X^2 \rightarrow [-1, 1], \quad \mu = \begin{cases} -\rho^2 & \text{if } \rho \leq 0, \\ \rho^2 & \text{if } \rho \geq 0. \end{cases}$$

Remark 2.2. Although we have performed a deformation of ρ near $\partial \bar{U}^2$ we will continue to think of ρ and μ as coordinates for the first factor of $U^2 = (-1, 1) \times Y$ (if we wanted to be correct, in what follows we would replace $(-1, 1)$ with $(-1 + \varepsilon, 1 - \varepsilon)$ but this is cumbersome and we prefer to free up the variable ε). Of course, only the coordinates (μ, y) provide a smooth chart for X^2 near Y .

We now weaken the atlas on X^2 near Y . By the previous remark, we may think of μ as coordinates for the first factor of U^2 and we thus demand that the C^∞ atlas is with respect to this coordinate rather than ρ (as was the case for the initial atlas). It is now the case that on X^2 , only μ (and not ρ) is a smooth function.

We define the set $C_{\text{even}}^\infty(\bar{X})$ to be the subset of functions in $C^\infty(X)$ which are extensible to $C^\infty(X^2)$ and whose extension is invariant with respect to the natural involution on X^2 . (For example, consider the restriction of μ to X . However, such an invariant extension would of course not give the function μ previously constructed due to a sign discrepancy.) We remark that $\dot{C}^\infty(X)$, the subset of functions in $C^\infty(\bar{X})$ which vanish to all orders at Y , injects naturally into $C^\infty(X^2)$ and may be identified with the subset of $C^\infty(X^2)$ whose elements vanish on $\{\rho < 0\}$. Such constructions may also readily be extended to the setting of vector bundles above X by using a local basis near Y of such a vector bundle which smoothly extends across Y .

Definition 2.3. We denote by X_e the extension of X

$$X_e := \{\mu > -1\} \subset X^2$$

by S the hypersurface $\{\mu = -\frac{1}{2}\} \subset X_e$, and by X_{cs} the open submanifold $\{\mu > -\frac{1}{2}\} \subset X_e$ such that $\partial \bar{X}_{cs} = S$.

We construct two product manifolds $M := \mathbb{R}_s^+ \times X$ and $M_e := \mathbb{R}_t^+ \times X_e$. We supply M with the Lorentzian cone metric

$$\eta := -ds \otimes ds + s^2g$$

and explain how this structure may be smoothly extended to M_e .

Using the even neighbourhood at infinity $U := (0, 1)_\mu \times Y$, we remark that, on $\mathbb{R}_s^+ \times U$, the Lorentzian metric takes the form

$$\eta = -ds \otimes ds + s^2 \left(\frac{d\mu \otimes d\mu}{4\mu^2} + \frac{h}{\mu} \right),$$

where h has a smooth Taylor expansion about $\mu = 0$ by the evenness hypothesis. Upon the change of variables $t = s/\rho$ with $t \in \mathbb{R}^+$, the metric on $\mathbb{R}_t^+ \times U$ takes the form

$$\eta = -\mu dt \otimes dt - \frac{1}{2}t(d\mu \otimes dt + dt \otimes d\mu) + t^2h$$

or, in a slightly more attractive convention,

$$t^{-2}\eta = -\frac{\mu}{2} \left(\frac{dt}{t} \right)^2 - \frac{1}{2} \frac{dt}{t} \cdot d\mu + h \tag{1}$$

with the convention for the symmetric product \cdot introduced in the following section. From this display we see that, by extending h to a family of Riemannian metrics on Y parametrised smoothly by $\mu \in (-1, 1)$, we can extend η smoothly onto the chart $\mathbb{R}_t^+ \times U^2 \subset M_e$. We do this, thus furnishing M_e with a Lorentzian metric. As in the model geometry we refer to s (which is only defined on M) as the Minkowski scale, and to t (which is defined on M_e) as the Euclidean scale.

From (1), the measure associated with $t^{-2}\eta$ on $\mathbb{R}_t^+ \times U^2$ is $\frac{dt}{t}dx$ where $dx = \frac{1}{2}d\mu dvol_h$. On U , we have $dx = \rho^{n+2}dvol_g$; hence dx extends smoothly to a measure on X_e , also denoted dx , and agrees with $dvol_g$ on $X \setminus U$.

3. Symmetric tensors

This section introduces the necessary algebraic aspects of symmetric tensors and establishes conventions, which follow [Heil et al. 2016].

3A. A single fibre. Let E be a vector space of dimension $n + 1$ equipped with an inner product g and let $\{e_i\}_{i=0}^n$ be an orthonormal basis and $\{e^i\}_{i=0}^n$ be the corresponding dual basis for E^* . We denote by $\text{Sym}^k E^*$ the k -fold symmetric tensor product of E^* . Elements are symmetrised tensor products

$$u_1 \cdots u_k := \sum_{\sigma \in \Pi_k} u_{\sigma(1)} \otimes \cdots \otimes u_{\sigma(k)}, \quad u_i \in E^*,$$

where Π_k is the permutation group of $\{1, \dots, k\}$. By linearity, this extends the operation \cdot to a map from $\text{Sym}^k E^* \times \text{Sym}^{k'} E^*$ to $\text{Sym}^{k+k'} E^*$. Note the inner product takes the form $g = \frac{1}{2} \sum_{i=0}^n e^i \cdot e^i$ and that for $u \in E^*$ we write $u^{\#}$ to denote the symmetric product of k copies of u . The inner product induces an inner product on $\text{Sym}^k E^*$ defined by

$$\langle u_1 \cdots u_k, v_1 \cdots v_k \rangle := \sum_{\sigma \in \Pi_k} g^{-1}(u_1, v_{\sigma(1)}) \cdots g^{-1}(u_k, v_{\sigma(k)}), \quad u_i, v_i \in E^*.$$

For $u \in E^*$, the metric adjoint of the linear map $u \cdot : \text{Sym}^k E^* \rightarrow \text{Sym}^{k+1} E^*$ is the contraction $u \lrcorner : \text{Sym}^{k+1} E^* \rightarrow \text{Sym}^k E^*$ defined by

$$(u \lrcorner v)(w_1, \dots, w_k) := v(u^{\#}, w_1, \dots, w_k), \quad u \in E^*, v \in \text{Sym}^k E^*, w_i \in E,$$

where $u^{\#}$ is dual to u relative to the inner product on E . Contraction and multiplication with the metric g define two additional linear maps:

$$\begin{aligned} \Lambda : \text{Sym}^k E^* &\rightarrow \text{Sym}^{k-2} E^*, \\ u &\mapsto \sum_{i=0}^n e^i \lrcorner e^i \lrcorner u, \end{aligned}$$

and

$$\begin{aligned} L : \text{Sym}^k E^* &\rightarrow \text{Sym}^{k+2} E^*, \\ u &\mapsto \sum_{i=0}^n e^i \cdot e^i \cdot u, \end{aligned}$$

which are adjoint to each other. As the notation is motivated by standard notation from complex geometry, we will refer to these two operators as Lefschetz-type operators.

Let F be the vector space $\mathbb{R} \times E$ equipped with the standard Lorentzian inner product $-f \otimes f + g$, where f is the canonical vector in \mathbb{R}^* . The previous constructions have obvious counterparts on F which will not be detailed. (For this subsection, we write $\langle \cdot, \cdot \rangle_F$ for the Lorentzian inner product on $\text{Sym}^m F^*$.) The decomposition of F provides a decomposition of $\text{Sym}^m F^*$:

$$\text{Sym}^m F^* = \bigoplus_{k=0}^m a_k f^{m-k} \cdot \text{Sym}^k E^*, \quad a_k = \frac{1}{\sqrt{(m-k)!}}$$

and we write

$$u = \sum_{k=0}^m a_k f^{m-k} \cdot u^{(k)}, \quad u \in \text{Sym}^m F^*, u^{(k)} \in \text{Sym}^k E^*.$$

The choice of the normalising constant a_k is chosen so that $\langle u, v \rangle_F = \sum_{k=0}^m (-1)^{m-k} \langle u^{(k)}, v^{(k)} \rangle$. There is a simple relationship between the terms $u^{(k)}$ in this decomposition of u when u is trace-free.

Lemma 3.1. *Let Λ_F and Λ denote the Lefschetz-type trace operators obtained from the inner products on F and E respectively. For $u \in \text{Sym}^m F^*$ in the kernel of Λ_F , we have*

$$\Lambda u^{(k)} = -b_{k-2} b_{k-1} u^{(k-2)},$$

where $u = \sum_{k=0}^m a_k f^{m-k} \cdot u^{(k)}$ for $u^{(k)} \in \text{Sym}^k E^*$ and constants $b_k = \sqrt{m-k}$.

Proof. Beginning with $\Lambda_F f^{m-k} = (m-k)(m-k-1)f^{m-k-2}$ we obtain

$$\Lambda_F(a_k f^{m-k} \cdot u^{(k)}) = a_{k+2}\sqrt{(m-k)(m-k-1)}f^{m-k-2} \cdot u^{(k)} + a_k f^{m-k} \cdot \Lambda u^{(k)}.$$

Therefore, as $u \in \ker \Lambda_F$, equating powers of f in the resulting formula for

$$\Lambda_F\left(\sum_{k=0}^m a_k f^{m-k} \cdot u^{(k)}\right)$$

gives

$$a_k f^{m-k} \Lambda u^{(k)} + a_k \sqrt{(m-k+2)(m-k+1)} f^{m-k} u^{(k-2)} = 0. \quad \square$$

We introduce some notation for finite sequences to simplify the calculations below. Denote by \mathcal{A}^k the space of all sequences $K = k_1 \cdots k_k$ with $0 \leq k_r \leq n$. We write $\{k_r \rightarrow j\}K$ for the result of replacing the r -th element of K by j . If j is not present, this implies we remove the r -th element from K , while if k_r is not present, this implies we add j to K to obtain jK . This notation extends to replacing multiple indices at once. For example, $\{k_p \rightarrow, k_r \rightarrow\}K$ indicates we first remove the r -th element from K and then remove the p -th element from $\{k_r \rightarrow\}K$. We set

$$e^K = e^{k_1} \cdots e^{k_m} \in \otimes^k E^*, \quad K = k_1 \cdots k_m \in \mathcal{A}^k.$$

3B. Vector bundles. These constructions are naturally extended to vector bundles above manifolds. We include this subsection in order to introduce our notation and conventions. Consider M and X (with similar constructions for M_e and X_e). We define

$$\mathcal{F} := \text{Sym}^m \text{T}^* M, \quad \mathcal{E}^{(k)} := \text{Sym}^k \text{T}^* X, \quad \mathcal{E} := \bigoplus_{k=0}^m \mathcal{E}^{(k)}.$$

If we want to make precise that \mathcal{F} consists of rank- m symmetric cotensors, we will write $\mathcal{F}^{(m)}$. The Minkowski scale gives the decomposition $M = \mathbb{R}_s^+ \times X$ and we denote by π the projection onto the second factor $\pi : M \rightarrow X$. (Remark that on M this gives the same map as the projection $\pi : M_e \rightarrow X_e$ using the Euclidean scale $M_e = \mathbb{R}_t^+ \times X_e$.) This enables $\mathcal{E}^{(k)}$ to be pulled back to a bundle over M which we will also denote by $\mathcal{E}^{(k)}$.

Given $u \in C^\infty(M; \mathcal{F})$, we decompose u as

$$u = \sum_{k=0}^m a_k \left(\frac{ds}{s}\right)^{m-k} \cdot u^{(k)}, \quad u^{(k)} \in C^\infty(M; \mathcal{E}^{(k)}), \quad (2)$$

where a_k is the previously introduced constant $((m-k)!)^{-1/2}$. We say that such a decomposition is relative to the Minkowski scale.

For a fixed value of s , say s_0 , there is an identification of the corresponding subset of M with X via the map $\pi_{|s=s_0}$. We will thus reuse π for the map

$$\begin{aligned} \pi_{s=s_0} : C^\infty(M; \mathcal{F}) &\rightarrow C^\infty(X; \mathcal{E}), \\ u = \sum_{k=0}^m a_k \left(\frac{ds}{s}\right)^{m-k} \cdot u^{(k)} &\mapsto \sum_{k=0}^m \pi_{|s=s_0} u^{(k)}, \end{aligned}$$

and in order to map from $C^\infty(X; \mathcal{E})$ to $C^\infty(M; \mathcal{F})$, taking into account the Minkowski scale, we introduce

$$\pi_s^* : C^\infty(X; \mathcal{E}) \rightarrow C^\infty(M; \mathcal{F}),$$

$$u = \sum_{k=0}^m u^{(k)} \mapsto \sum_{k=0}^m a_k \left(\frac{ds}{s}\right)^{m-k} \cdot \pi_s^* u^{(k)}.$$

On M we have two useful metrics. First, $s^{-2}\eta$, which takes the model form of the metric on F introduced in the previous subsection

$$s^{-2}\eta = -\frac{1}{2}\left(\frac{ds}{s}\right)^2 + g.$$

Second, we have the metric η , which is geometrically advantageous as it gives the Lorentzian cone metric on M . Notationally we will distinguish the two constructions by decorating the Lefschetz-type operators with a subscript of the particular metric used. A similar decoration will be used for the two inner products on \mathcal{F} . There are two useful relationships. First,

$$\Lambda_{s^{-2}\eta} u = s^4 \Lambda_\eta u, \quad u \in \mathcal{F}, \tag{3}$$

and second,

$$\langle u, v \rangle_{s^{-2}\eta} = s^{2m} \langle u, v \rangle_\eta, \quad u, v \in \mathcal{F}. \tag{4}$$

On X , when the metric g is used, no such decoration will be added. We can, however, make use of the metric $s^{-2}\eta$ by appealing to π_s^* . We introduce $\langle \cdot, \cdot \rangle_s$ on $C^\infty(X; \mathcal{E})$ by declaring

$$\langle u, v \rangle_s := \langle \pi_s^* u, \pi_s^* v \rangle_{s^{-2}\eta}, \quad u, v \in C^\infty(X; \mathcal{E}).$$

Note that such a definition does not depend on the value of $s \in \mathbb{R}^+$ at which point the inner product on \mathcal{F} is applied. With this inner product given, and the measure $d\text{vol}_g$ previously introduced, we obtain the notion of L^2 sections and define

$$L_s^2(X; \mathcal{E}) := L^2(X, d\text{vol}_g; \mathcal{E}, \langle \cdot, \cdot \rangle_s), \tag{5}$$

whose inner product is provided by

$$(u, v)_s := \int_X \langle u, v \rangle_s d\text{vol}_g, \quad u, v \in C_c^\infty(X; \mathcal{E}).$$

On X_e , we define L^2 sections with respect to the measure dx ,

$$L_t^2(X_e; \mathcal{E}) := L^2(X_e, dx; \mathcal{E}, \langle \cdot, \cdot \rangle_t).$$

On X , the necessary correspondences between the constructions using the Lorentzian and Euclidean scales are given in the following lemma.

Lemma 3.2. *There exists $J \in C^\infty(X; \text{End } \mathcal{E})$ such that*

$$\pi_s^* u = \pi_t^* J u, \quad u \in C^\infty(X; \mathcal{E}),$$

whose entries are homogeneous polynomials of degree at most m in $d\rho/\rho$, upper triangular in the sense that $J(\mathcal{E}^{(k_0)}) \subset \bigoplus_{k=k_0}^m \mathcal{E}^{(k)}$, and whose diagonal entries are the identity. Moreover,

$$\langle u, v \rangle_s = \rho^{2m} \langle Ju, Jv \rangle_t, \quad u, v \in C^\infty(X; \mathcal{E}).$$

Finally,

$$L_s^2(X; \mathcal{E}) = \rho^{n/2-m+1} J^{-1} L_t^2(X; \mathcal{E}).$$

Proof. As $t = s/\rho$, the differentials are related by

$$\frac{ds}{s} = \frac{dt}{t} + \frac{d\rho}{\rho},$$

and hence by the binomial expansion

$$a_k \left(\frac{ds}{s}\right)^{m-k} \cdot \pi^* u^{(k)} = \sum_{j=0}^{m-k} a_{k+j} \left(\frac{dt}{t}\right)^{m-k-j} \cdot \binom{m-k}{j} \frac{a_k}{a_{k+j}} \left(\frac{d\rho}{\rho}\right)^j \cdot \pi^* u^{(k)},$$

where $u^{(k)} \in C^\infty(X; \mathcal{E}^{(k)})$. This defines the endomorphism J by declaring

$$Ju^{(k)} = \sum_{j=0}^{m-k} \binom{m-k}{j} \frac{a_k}{a_{k+j}} \left(\frac{d\rho}{\rho}\right)^j \cdot u^{(k)}.$$

The second claim is direct from $s^{-2}\eta = \rho^{-2}t^{-2}\eta$; hence on \mathcal{F} , where the inner product requires m applications of the inverse metric, $\langle \cdot, \cdot \rangle_{s^{-2}\eta} = \rho^{2m} \langle \cdot, \cdot \rangle_{t^{-2}\eta}$. The final claim follows from the second claim and the previously mentioned correspondence, $dx = \rho^{n+2} d\text{vol}_g$. \square

4. b-calculus and microlocal analysis

This section introduces the necessary b-calculus formalism on symmetric cotensors. The standard reference is [Melrose 1993]; in particular we make much use of Chapters 2 and 5. We also recall some now standard ideas from microlocal analysis.

4A. b-calculus. For convenience we will only work on $M = \mathbb{R}_s^+ \times X$ rather than on both M and M_e . We define \bar{M} to be the closure of M seen as a submanifold of $\mathbb{R}_s \times X$ with its usual topology. Then

$$\bar{M} = M \sqcup X,$$

where X is naturally identified with the boundary $\partial\bar{M} = \{s = 0\}$.

We let $\{e_i\}_{i=0}^n$ denote a (local) holonomic frame for TX and $\{e^i\}_{i=0}^n$ its dual frame for T^*X . The Lie algebra of b-vector fields consists of smooth vector fields on \bar{M} tangent to the boundary X . It is thus generated by $\{s \partial_s, e_i\}$. This provides the smooth vector bundle ${}^bT\bar{M}$. The dual bundle, ${}^bT^*\bar{M}$, has basis $\{ds/s, e^i\}$. This dual bundle is used to construct the b-symmetric bundle of m -cotensors, denoted by ${}^b\mathcal{F}$. On the interior of \bar{M} , this bundle is canonically isomorphic to \mathcal{F} .

An operator \mathbf{Q} belongs to $\text{Diff}_b^p(\bar{M}; \text{End}^b \mathcal{F})$ if, relative to a frame generated by $\{ds/s, e^i\}$ the operator \mathbf{Q} may be written as a matrix

$$\mathbf{Q} = [\mathbf{Q}_{i,j}]$$

whose coefficients $\mathbf{Q}_{i,j}$ belong to $\text{Diff}_b^p(\bar{M})$. That is, each $\mathbf{Q}_{i,j}$ may be written as

$$\mathbf{Q}_{i,j} = \sum_{k, |\alpha| \leq p} q_{i,j,k,\alpha} (s \partial_s)^k \partial_x^\alpha$$

for smooth functions $q_{i,j,k,\alpha} \in C^\infty(\bar{M})$.

Operators in $\text{Diff}_b^p(\bar{M}; \text{End}^b \mathcal{F})$ provide indicial families of operators belonging to $\text{Diff}^p(X; \text{End } \mathcal{E})$. In order to define this mapping we recall the operator $\pi_{s=s_0}$ defined in the previous section for $s_0 \in \mathbb{R}^+$. This family of maps clearly has an extension to \bar{M} giving

$$\pi_{s=s_0} : C^\infty(\bar{M}; {}^b \mathcal{F}) \rightarrow C^\infty(X; \mathcal{E}),$$

where $s_0 \in [0, \infty)$. The indicial family mapping (with respect to the Minkowski scale s)

$$I_s : \text{Diff}_b^p(\bar{M}; \text{End}^b \mathcal{F}) \rightarrow \mathcal{O}(\mathbb{C}; \text{Diff}^p(X; \text{End } \mathcal{E}))$$

is defined by

$$I_s(\mathbf{Q}, \lambda)(u) := \pi_{s=0}(s^\lambda \mathbf{Q} s^{-\lambda} (\pi_s^* u)), \quad u \in C^\infty(X; \mathcal{E}).$$

When the scale s is understood, we will use the convention of removing the bold font from such an operator and write

$$\mathcal{Q} := I_s(\mathbf{Q}, \cdot), \quad \mathcal{Q}_\lambda := I_s(\mathbf{Q}, \lambda).$$

Remark 4.1. This definition effectively does three things. First, if \mathbf{Q} is written as a matrix, relative to the decomposition established by the Minkowski scale (2), then \mathcal{Q} will take the same form but without the appearances of $a_k(ds/s)^{m-k}$. Next, the functions $q_{i,j,k,\alpha}$ are frozen to their values at $s = 0$. (These two results are due to the appearance of $\pi_{s=0}$.) Finally, due to the conjugation by s^λ , all appearances of $s \partial_s$ in \mathbf{Q} are replaced by the complex parameter $-\lambda$.

Remark 4.2. The choice to conjugate by $s^{-\lambda}$ is to ensure that the subsequent operators (in particular \mathcal{P}) acting on L^2 sections have physical domains corresponding to $\text{Re } \lambda \gg 1$. If one is convinced that the convention ought to be conjugation by s^λ rather than $s^{-\lambda}$ one can kill two birds with one stone: Considering the model geometry, which motivates the viewpoint of hyperbolic space “at infinity” inside the forward light cone of compactified Minkowski space, it would be somewhat more natural to introduce the coordinate $\tilde{s} = s^{-1}$ on M , then construct the closure of M as a submanifold of $\mathbb{R}_{\tilde{s}} \times X$. The indicial family would then be constructed via a conjugation of \tilde{s}^λ and appearances of $\tilde{s} \partial_{\tilde{s}} = -s \partial_s$ would be replaced by λ . For this article, the aesthetics of such a choice are outweighed by the superfluous introduction of two dual variables, one for each of s and t .

The b-operators we consider are somewhat simpler than the previous definition in that the coefficients $q_{i,j,k,\alpha}$ do not depend on s (in the correct basis).

Definition 4.3. A b-operator $\mathcal{Q} \in \text{Diff}_b^p(\overline{M}; {}^b\mathcal{F})$ is b-trivial if, for all $s_0 \in \mathbb{R}^+$,

$$I_s(\mathcal{Q}, \lambda)(u) = \pi_{s=s_0}(s^\lambda \mathcal{Q} s^{-\lambda}(\pi_s^* u)), \quad u \in C^\infty(X; \mathcal{E}).$$

One advantage of this property is that self-adjointness of \mathcal{Q} easily implies self-adjointness of \mathcal{Q}_λ for $\lambda \in i\mathbb{R}$.

Lemma 4.4. Suppose \mathcal{Q} is b-trivial and formally self-adjoint relative to the inner product

$$(u, v)_{s^{-2\eta}} = \int_M \langle u, v \rangle_{s^{-2\eta}} \frac{ds}{s} d\text{vol}_g, \quad u, v \in C_c^\infty(M; \mathcal{F}).$$

Then, the indicial family \mathcal{Q} is, upon restriction to $\lambda \in i\mathbb{R}$, formally self-adjoint relative to the inner product

$$(u, v)_s = \int_X \langle u, v \rangle_s d\text{vol}_g, \quad u, v \in C_c^\infty(X; \mathcal{E}).$$

Moreover, for all λ , we have $\mathcal{Q}_\lambda^* = \mathcal{Q}_{-\bar{\lambda}}$.

Proof. We prove only the first claim. That $\mathcal{Q}_\lambda^* = \mathcal{Q}_{-\bar{\lambda}}$ for all λ follows by the same reasoning, making the obvious changes in the second display provided below. Let ψ be a smooth function on \mathbb{R}_s^+ with compact support (away from $s = 0$) and with unit mass $\int_{\mathbb{R}^+} \psi(ds/s) = 1$. Let $u, v \in C_c^\infty(X; \mathcal{E})$. The b-triviality provides

$$(\mathcal{Q}_\lambda u, v)_s = \int_{\mathbb{R}^+} (\mathcal{Q}_\lambda u, v)_s \psi \frac{ds}{s} = (s^\lambda \mathcal{Q} s^{-\lambda} \pi_s^* u, \psi \pi_s^* v)_{s^{-2\eta}}.$$

For $\lambda \in i\mathbb{R}$ this develops as

$$\begin{aligned} (\mathcal{Q}_\lambda u, v)_s &= (\pi_s^* u, s^\lambda \mathcal{Q} s^{-\lambda} \psi \pi_s^* v)_{s^{-2\eta}} \\ &= (\pi_s^* u, \psi s^\lambda \mathcal{Q} s^{-\lambda} \pi_s^* v)_{s^{-2\eta}} + (\pi_s^* u, [s^\lambda \mathcal{Q} s^{-\lambda}, \psi] \pi_s^* v)_{s^{-2\eta}} \\ &= (u, \mathcal{Q}_\lambda v)_s + (\pi_s^* u, [s^\lambda \mathcal{Q} s^{-\lambda}, \psi] \pi_s^* v)_{s^{-2\eta}}, \end{aligned}$$

where the last line has again used the b-triviality. Thus we require

$$(\pi_s^* u, [s^\lambda \mathcal{Q} s^{-\lambda}, \psi] \pi_s^* v)_{s^{-2\eta}} = 0. \tag{6}$$

Consider \mathcal{Q} as a matrix $\mathcal{Q} = [\mathcal{Q}_{i,j}]$ with respect to a basis in which

$$\mathcal{Q}_{i,j} = \sum_{k, |\alpha| \leq p} q_{i,j,k,\alpha}(s \partial_s)^k \partial_x^\alpha$$

for $q_{i,j,k,\alpha} \in C^\infty(X)$. The key is to note that we may write

$$[s^\lambda \mathcal{Q}_{i,j} s^{-\lambda}, \psi] = \sum_{k, |\alpha| \leq p-1} \kappa_{i,j,k,\alpha}(s \partial_s)^k \partial_x^\alpha \tag{7}$$

for smooth functions (which depend on λ) $\kappa_{i,j,k,\alpha} \in C^\infty(X)$ such that every term in each $\kappa_{i,j,k,\alpha}$ is smoothly divisible by some nonzero integer $(s \partial_s)$ -derivative of ψ . Factoring out these appearances and integrating over \mathbb{R}^+ in (6) causes, by the fundamental theorem of calculus, the problematic term to vanish.

The factorisation claim involving the functions $\kappa_{i,j,k,\alpha}$ follows directly from the following calculation. First

$$[s^\lambda \mathcal{Q}_{i,j} s^{-\lambda}, \psi] = \sum_{k, |\alpha| \leq p} q_{i,j,k,\alpha} [(s \partial_s - \lambda)^k \partial_x^\alpha, \psi] = \sum_{\substack{k, |\alpha| \leq p \\ k \geq 1}} q_{i,j,k,\alpha} [(s \partial_s - \lambda)^k, \psi] \partial_x^\alpha,$$

and for $k > 1$,

$$[(s \partial_s - \lambda)^k, \psi] = \sum_{\ell=1}^k \binom{k}{\ell} (-\lambda)^{k-\ell} [(s \partial_s)^\ell, \psi] = \sum_{\ell=1}^k \sum_{m=1}^{\ell} \binom{k}{\ell} (-\lambda)^{k-\ell} \binom{\ell}{m} ((s \partial_s)^m \psi) (s \partial_s)^{\ell-m},$$

which, due to the appearance of $(s \partial_s)^m \psi$ gives (7) with the desired structure. □

Remark 4.5. The use of $d\text{vol}_g$ is unimportant; the result holds for any measure on X given such a measure also appears as $d\text{vol}_g$ does in the inner product on M .

We finish this subsection by remarking on the effect that the scale (Minkowski or Euclidean) has on the indicial family.

Lemma 4.6. For $\mathcal{Q} \in \text{Diff}_b^p(\bar{M}; {}^b\mathcal{F})$, the indicial families obtained using the scales s and t are related by

$$I_s(\mathcal{Q}, \lambda) = \rho^\lambda J^{-1} I_t(\mathcal{Q}, \lambda) J \rho^{-\lambda}$$

with J presented in Lemma 3.2.

Proof. Lemma 3.2 provides $\pi_s^* = \pi_t^* \circ J$. Dual to this equation, $\pi_{s=0} = J^{-1} \circ \pi_{t=0}$. Combining these observations gives the result

$$\begin{aligned} I_s(\mathcal{Q}, \lambda)(u) &= \pi_{s=0}(s^\lambda \mathcal{Q} s^{-\lambda}(\pi_s^* u)) \\ &= J^{-1} \pi_{t=0}(\rho^\lambda t^\lambda \mathcal{Q} t^{-\lambda} \rho^{-\lambda}(\pi_t^* J u)) \\ &= \rho^\lambda J^{-1} I_t(\mathcal{Q}, \lambda)(J \rho^{-\lambda} u). \end{aligned} \quad \square$$

4B. Microlocal analysis. We recall standard objects in microlocal analysis (the necessary information is given in [Zworski 2016] for pseudodifferential operators acting on the trivial bundle; here we merely indicate the small changes that occur when acting on a vector bundle). Recall the open submanifold $X_{cs} = \{\mu > -\frac{1}{2}\} \subset X_e$ from Definition 2.3. We will assume that $L_t^2(X_e; \mathcal{E})$ provides a notion of sections above X_{cs} with Sobolev regularity s , denoted by $H^s(X_{cs}; \mathcal{E})$, with norm $\|\cdot\|_{H^s}$ (see Section 7A for subtleties arising due to the boundary S). Let ζ denote the coefficients of a covector relative to some local base for T^*X_{cs} such that we may define the Japanese bracket $\langle \zeta \rangle$. We denote by

$$\Psi_{\text{scal}}^p(X_{cs}; \text{End } \mathcal{E}) \subset \Psi^p(X_{cs}; \text{End } \mathcal{E})$$

the space of properly supported pseudodifferential operators of order p acting on \mathcal{E} and which have scalar principal symbol. For $A \in \Psi_{\text{scal}}^a(X_{cs}; \text{End } \mathcal{E})$ such a symbol is written as

$$\sigma(A) \in S^a(T^*X_{cs} \setminus 0; \text{End } \mathcal{E}) / S^{a-1}(T^*X_{cs} \setminus 0; \text{End } \mathcal{E})$$

and is scalar. For such operators, it continues to hold that, for $B \in \Psi_{\text{scal}}^b(X_{cs}; \text{End } \mathcal{E})$, the principal symbol of the composition

$$\sigma(AB) = \sigma(A)\sigma(B) \in S^{a+b}(\mathbb{T}^*X_{cs} \setminus 0; \text{End } \mathcal{E})/S^{a+b-1}(\mathbb{T}^*X_{cs} \setminus 0; \text{End } \mathcal{E})$$

remains scalar. However now, as lower-order terms are not required to be diagonal, the commutator has principal symbol

$$\sigma([A, B]) \in S^{a+b-1}(\mathbb{T}^*X_{cs} \setminus 0; \text{End } \mathcal{E})/S^{a+b-2}(\mathbb{T}^*X_{cs} \setminus 0; \text{End } \mathcal{E}),$$

which, in general, is not scalar. In the case that $A \in \Psi^a(X_{cs}) \subset \Psi_{\text{scal}}^a(X_{cs}; \text{End } \mathcal{E})$ we get

$$\sigma\left(\frac{1}{2i}[A, B]\right) = \frac{1}{2}H_{\sigma(B)}(\sigma(A)),$$

where $H_{\sigma(B)}$ is the Hamiltonian vector field associated with $\sigma(B)$. Exactly as in the case that \mathcal{E} is the trivial bundle, associated with the operator A are the notions of the wave front set $\text{WF}(A)$ and the characteristic variety $\text{Char}(A)$.

There are two radial estimates used in the analysis of \mathcal{P} (the family of operators introduced in Section 6) in order to prove Proposition 7.3. The analysis is performed in [Vasy 2013a, Section 2.4] for functions with an alternative description given in [Dyatlov and Zworski 2017, Section E.5.2]. We will follow the second approach and translate the results into a (nonsemiclassical) setting adapted to vector bundles. For this, and to follow closely the referenced works, we introduce [Dyatlov and Zworski 2017, Section E.1.2] the radially compactified cotangent bundle $\bar{\mathbb{T}}^*X_{cs}$ and projection map $\kappa : \mathbb{T}^*X_{cs} \setminus 0 \rightarrow \partial\bar{\mathbb{T}}^*X_{cs}$. Consider $P \in \Psi_{\text{scal}}^p(X_{cs}; \text{End } \mathcal{E})$ with real principal symbol $\sigma(P)$ and Hamiltonian vector field $H_{\sigma(P)}$. Write P as

$$P = \text{Re } P + i \text{Im } P$$

for

$$\text{Re } P = \frac{P + P^*}{2} \in \Psi_{\text{scal}}^p(X_{cs}; \text{End } \mathcal{E}), \quad \text{Im } P = \frac{P - P^*}{2i} \in \Psi^{p-1}(X_{cs}; \text{End } \mathcal{E}).$$

In the sense of [Dyatlov and Zworski 2017, Definition E.52], let Γ_+ and Γ_- be a source and a sink of $\sigma(P)$ respectively. Suppose that $\langle \zeta \rangle^{1-p} H_{\sigma(P)}$ vanishes on Γ_{\pm} .

Lemma 4.7. *Let s satisfy the following threshold condition on Γ_+ :*

$$\langle \zeta \rangle^{1-p} \left(\sigma(\text{Im } P) + \left(s + \frac{1-p}{2} \right) H_{\sigma(P)} \log \langle \zeta \rangle \right) \text{ is negative definite.}$$

Then for all $B_1 \in \Psi^0(X_{cs})$ with $\text{WF}(I - B_1) \cap \Gamma_+ = \emptyset$, there exists $A \in \Psi^0(X_{cs})$ with $\text{Char}(A) \cap \Gamma_+ = \emptyset$ such that for any $u \in C_c^\infty(X_{cs}; \mathcal{E})$ (and any N large enough)

$$\|Au\|_{H^s} \leq C(\|B_1 P u\|_{H^{s-p+1}} + \|u\|_{H^{-N}}).$$

Lemma 4.8. *Let s satisfy the following threshold condition on Γ_- :*

$$\langle \zeta \rangle^{1-p} \left(\sigma(\text{Im } P) + \left(s + \frac{1-p}{2} \right) H_{\sigma(P)} \log \langle \zeta \rangle \right) \text{ is negative definite.}$$

Then for all $B_1 \in \Psi^0(X_{cs})$ with $\text{WF}(I - B_1) \cap \Gamma_- = \emptyset$, there exists $A, B \in \Psi^0(X_{cs})$ with $\text{Char}(A) \cap \Gamma_- = \emptyset$ and $\text{WF}(B) \cap \Gamma_- = \emptyset$ such that for any $u \in C_c^\infty(X_{cs}; \mathcal{E})$ (and any N large enough)

$$\|Au\|_{H^s} \leq C(\|Bu\|_{H^s} + \|B_1 Pu\|_{H^{s-p+1}} + \|u\|_{H^{-N}}).$$

Remark 4.9. There are two trivial but important points to make. First, a source for P is a sink for $-P$ (and similarly a sink for P is a source for $-P$). Second, we have assumed P has real principal symbol; therefore, when considering its adjoint P^* , we have $H_{\sigma(P^*)} = H_{\sigma(P)}$. Less trivially, by approximation [Dyatlov and Zworski 2017, Lemma E.47], these results do not need to assume $u \in C_c^\infty(X_{cs}; \mathcal{E})$. In Lemma 4.7, if $s > \tilde{s}$ with \tilde{s} satisfying the threshold condition and $u \in H^{\tilde{s}}(X_{cs}; \mathcal{E})$ then the inequality holds (on the condition that the right-hand side is finite). Similarly in Lemma 4.8, if u is a distribution such that the right-hand side of the inequality is well defined, then so too is the left-hand side, and the inequality holds.

5. The Laplacian, the d'Alembertian and the operator Q

This section shows the relationship between the Laplacian on (X, g) and the d'Alembertian on (M, η) . We first introduce several differential operators on X using the Levi-Civita connection ∇ of g extended to all associated vector bundles associated with the principal orthonormal frame bundle. Let $\{e_i\}_{i=0}^n$ be a local orthonormal frame for TX and $\{e^i\}_{i=0}^n$ be the corresponding dual frame for T^*X . We define two first-order differential operators. Let the symmetrisation of the covariant derivative, called the symmetric differential, be denoted by d :

$$\begin{aligned} d : C^\infty(X; \mathcal{E}^{(k)}) &\rightarrow C^\infty(X; \mathcal{E}^{(k+1)}), \\ u &\mapsto \sum_{i=0}^n e^i \cdot \nabla_{e_i} u. \end{aligned}$$

Denote by δ its formal adjoint called the divergence:

$$\begin{aligned} \delta : C^\infty(X; \mathcal{E}^{(k)}) &\rightarrow C^\infty(X; \mathcal{E}^{(k-1)}), \\ u &\mapsto -\sum_{i=0}^n e^i \lrcorner \nabla_{e_i} u. \end{aligned}$$

The two first-order operators behave nicely with L and Λ , giving the following commutation relations [Heil et al. 2016, Equation (8)]:

$$[\Lambda, \delta] = 0 = [L, d], \quad [\Lambda, d] = -2\delta, \quad [L, \delta] = 2d. \tag{8}$$

The rough Laplacian on this space will be denoted by $\nabla^* \nabla$:

$$\begin{aligned} \nabla^* \nabla : C^\infty(X; \mathcal{E}^{(k)}) &\rightarrow C^\infty(X; \mathcal{E}^{(k)}), \\ u &\mapsto \nabla^* \nabla u, \end{aligned}$$

where ∇^* is the formal adjoint of $\nabla : C^\infty(X; \mathcal{E}^{(k)}) \rightarrow C^\infty(X; T^*X \otimes \mathcal{E}^{(k)})$. Equivalently

$$\nabla^* \nabla u = (-\text{tr} \circ \nabla \circ \nabla)(u), \quad u \in C^\infty(X; \mathcal{E}^{(k)}),$$

where $\text{tr} : T^*X \otimes T^*X \rightarrow \mathbb{R}$ is the trace operator obtained from g and is extended to

$$\text{tr} : T^*X \otimes T^*X \otimes \mathcal{E}^{(k)} \rightarrow \mathcal{E}^{(k)}.$$

For the Lichnerowicz Laplacian, we introduce the Riemann curvature tensor, which will be denoted by R :

$$R_{u,v}w = [\nabla_u, \nabla_v]w - \nabla_{[u,v]}w, \quad u, v, w \in C^\infty(X; TX).$$

It is extended to all tensor bundles as a derivation. On symmetric k -cotensors we introduce the curvature endomorphism which will be denoted by $q(R)$:

$$q(R)u = \sum_{i,j=0}^n e^j \cdot e^i \lrcorner R_{e_i, e_j}u, \quad u \in \mathcal{E}^{(k)}.$$

The Lichnerowicz Laplacian, hereafter simply referred to as the Laplacian, will be denoted by Δ :

$$\begin{aligned} \Delta : C^\infty(X; \mathcal{E}^{(k)}) &\rightarrow C^\infty(X; \mathcal{E}^{(k)}), \\ u &\mapsto (\nabla^* \nabla + q(R))u. \end{aligned}$$

We decompose symmetric k -cotensors using the symmetrised basis elements:

$$u = \sum_{K \in \mathcal{A}^k} u_K e^K, \quad u \in C^\infty(X; \mathcal{E}^{(k)}), \quad u_K \in C^\infty(X).$$

Useful formulae for the preceding operators thus far introduced are given in the following lemma. Recall the notation for finite sequences \mathcal{A}^k introduced in the final paragraph of [Section 3A](#).

Lemma 5.1. *Let $u \in C^\infty(X; \mathcal{E}^{(k)})$. At a point in X about which $\{e_i\}$ are normal coordinates, the trace is*

$$\Delta u = \sum_{K \in \mathcal{A}^k} \sum_{k_r \in K} \sum_{k_p \in \{k_r \rightarrow\}K} g^{k_r k_p} u_K e^{\{k_p \rightarrow, k_r \rightarrow\}K},$$

the symmetric differential is

$$du = \sum_{K \in \mathcal{A}^k} \sum_{i=0}^n (e_i u_K) e^{\{i\}K},$$

the divergence is

$$\delta u = - \sum_{K \in \mathcal{A}^k} \sum_{k_r \in K} \sum_{i=0}^n g^{i k_r} (e_i u_K) e^{\{k_r \rightarrow\}K},$$

and the rough Laplacian is

$$\nabla^* \nabla u = \sum_{K \in \mathcal{A}^k} \sum_{i,j=0}^n \left(-g^{ij} (e_i e_j u_K) e^K + \sum_{k_r \in K} \sum_{\ell=0}^n g^{i\ell} u_K (e_\ell \Gamma_{ij}^{k_r}) e^{\{k_r \rightarrow j\}K} \right),$$

where the connection coefficients are given locally by $\nabla_{e_i} e^k = - \sum_{j=0}^n \Gamma_{ij}^k e^j$. Finally, (at a point using normal coordinates), the Riemann curvature takes the form

$$R_{e_i, e_j} e^\ell = - \sum_{k=0}^n R_{ij}{}^\ell{}_k e^k, \quad R_{ij}{}^\ell{}_k = e_i \Gamma_{jk}^\ell - e_j \Gamma_{ik}^\ell.$$

In a similar vein to (8) we have the following two useful results, the second of which originates from [Lichnerowicz 1961, Section 10].

Lemma 5.2. *Let $u \in C^\infty(X, \mathcal{E}^{(k)})$. The Laplacian commutes with the Lefschetz-type trace operator*

$$[\Lambda, \Delta]u = 0$$

and commutes with the divergence under the following conditions:

$$[\delta, \Delta]u = 0 \quad \text{if} \quad \begin{cases} k = 0, 1, \\ k = 2 \text{ and } X \text{ is Ricci parallel,} \\ k \geq 3 \text{ and } X \text{ is locally isomorphic to } \mathbb{H}^{n+1}. \end{cases}$$

Proof. The first result is very standard. As the metric is parallel, the Riemann curvature tensor (acting as a derivation on $\mathcal{E}^{(k)}$) commutes with L ; hence

$$[L, q(\mathbf{R})]u = \sum_{i,j=0}^n (Le^j \lrcorner e^i \lrcorner - e^j \lrcorner e^i \lrcorner L) R_{e_i, e_j} u$$

and developing the second term with the aid of the commutation formula $[e^i \lrcorner, L] = 2e^i \cdot$ provides

$$[L, q(\mathbf{R})]u = \sum_{i,j=0}^n -2(e^j \cdot e^i \lrcorner + e^j \lrcorner e^i \cdot) R_{e_i, e_j} u = \sum_{i,j=0}^n -2(e^j \cdot e^i \lrcorner + \delta^{ij} + e^i \cdot e^j \lrcorner) R_{e_i, e_j} u,$$

which vanishes due to the skew-symmetry of the Riemann curvature tensor. By duality, $[\Lambda, q(\mathbf{R})] = 0$. Now using the commutation relations (8) and the characterisation of the Laplacian [Heil et al. 2016, Proposition 6.2]

$$\Delta = \delta d - d\delta + 2q(\mathbf{R})$$

provides the commutation of Λ with Δ .

The second result is more involved as a demonstration via a direct calculation (however, as these statements are well known, we only sketch said calculations). For $k = 0, 1$ the Laplacian and divergence agree with Hodge Laplacian and the adjoint of the exterior derivative. We will thus assume X is Ricci parallel (and $k \geq 2$). We break the calculation into two parts studying $[\delta, \nabla^* \nabla]$ and $[\delta, q(\mathbf{R})]$. As usual, we use a frame $\{e_i\}_{i=0}^n$ for TX with dual frame $\{e^i\}_{i=0}^n$ and calculate at a point about which the connection coefficients vanish. We act on $u = u_K e^K \in C^\infty(X; \mathcal{E}^{(k)})$. That the Ricci tensor is parallel implies, by the (second) Bianchi identity, $\sum_\ell \nabla_{e_\ell} R_{ij}{}^\ell{}_k = 0$. This observation is repeatedly used. Also, the Ricci endomorphism may be written as $\sum_{i,j} Ric_i^j e^i \otimes e_j$ with $Ric_i^j = \sum_{k,\ell} g^{k\ell} (\nabla_{e_i} \Gamma_{k\ell}^j - \nabla_{e_k} \Gamma_{\ell i}^j)$.

Consider $[\delta, \nabla^* \nabla]$. Calculating simply $\delta \nabla^* \nabla$ gives

$$\begin{aligned} \delta \nabla^* \nabla &= - \sum_k e^k \lrcorner \nabla_{e_k} \left(- \text{tr} \sum_{i,j} e^i \otimes \nabla_{e_i} (e^j \otimes \nabla_{e_j}) \right) \\ &= \sum_{i,j,k} g^{ij} e^k \lrcorner \nabla_{e_k} \nabla_{e_i} \nabla_{e_j} - \sum_{i,j,k,\ell} g^{i\ell} (\nabla_{e_k} \Gamma_{i\ell}^j) e^k \lrcorner \nabla_{e_j}, \end{aligned}$$

with a similar calculation for $\nabla^* \nabla \delta$. Combining these results and commuting ∇_{e_k} with $\nabla_{e_i} \nabla_{e_j}$ gives

$$\begin{aligned} [\delta, \nabla^* \nabla] &= \sum_{i,j,k} g^{ij} e^k \lrcorner [\nabla_{e_k}, \nabla_{e_i} \nabla_{e_j}] - \sum_i (\text{Ric } e^i) \lrcorner \nabla_{e_i} \\ &= - \sum_{i,j,k} g^{ij} e^k \lrcorner \{\nabla_{e_i}, \mathbf{R}_{e_j, e_k}\} - \sum_i (\text{Ric } e^i) \lrcorner \nabla_{e_i}, \end{aligned}$$

where $\{\cdot, \cdot\}$ is the anticommutator. After a tedious calculation, we obtain

$$[\delta, \nabla^* \nabla]u = \sum_i (\text{Ric } e^i) \lrcorner \nabla_{e_i} u + 2(\mathbf{R}, \nabla, u), \tag{9}$$

where (\mathbf{R}, ∇, u) is shorthand for the unwieldy term

$$(\mathbf{R}, \nabla, u) = \sum_{i,j} \sum_{k_r \in K} \sum_{k_p \in \{k_r \rightarrow\} K} \mathbf{R}^{ik_r k_p j} (\nabla_{e_i} u_K) e^{\{k_p \rightarrow j, k_r \rightarrow\} K}.$$

For completeness we outline this calculation:

$$\begin{aligned} - \sum_{i,j,\ell} g^{ij} e^\ell \lrcorner \{\nabla_{e_i}, \mathbf{R}_{e_j, e_\ell}\} u &= - \sum_{i,j,\ell} \sum_{k_r \in K} (\{\nabla_{e_i}, \mathbf{R}_\ell^{ik_r j}\} u_K) e^\ell \lrcorner e^{\{k_r \rightarrow j\} K} \\ &= -2 \sum_{i,j,\ell} \sum_{k_r \in K} \mathbf{R}_\ell^{ik_r j} (\nabla_{e_i} u_K) e^\ell \lrcorner e^{\{k_r \rightarrow j\} K}, \end{aligned}$$

where the anticommutator has been removed using $\sum_\ell \nabla_{e_\ell} \mathbf{R}_{ij}{}^\ell{}_k = 0$. Developing the final term in the preceding display gives

$$e^\ell \lrcorner e^{\{k_r \rightarrow j\} K} = g^{j\ell} e^{\{k_r \rightarrow j\} K} + \sum_{k_p \in \{k_r \rightarrow\} K} g^{k_p \ell} e^{\{k_p \rightarrow j, k_r \rightarrow\} K},$$

which after a little rearrangement of dummy indices and using the algebraic symmetries of the Riemann curvature tensor gives

$$- \sum_{i,j,\ell} g^{ij} e^\ell \lrcorner \{\nabla_{e_i}, \mathbf{R}_{e_j, e_\ell}\} u = 2 \sum_i (\text{Ric } e^i) \lrcorner \nabla_{e_i} u + 2(\mathbf{R}, \nabla, u).$$

Upon subtraction of $\sum_i (\text{Ric } e^i) \lrcorner \nabla_{e_i} u$, this provides (9).

Consider $[\delta, q(\mathbf{R})]$. Similar to the previous calculations we obtain

$$\begin{aligned} [\delta, q(\mathbf{R})] &= \sum_{i,j,k} -e^k \lrcorner e^j \cdot e^i \lrcorner \nabla_{e_k} \mathbf{R}_{e_i, e_j} + e^j \cdot e^i \lrcorner \mathbf{R}_{e_i, e_j} (e^k \lrcorner \nabla_{e_k}) \\ &= \sum_{i,j,k} e^j \cdot e^i \lrcorner e^k \lrcorner [\mathbf{R}_{e_i, e_j}, \nabla_{e_k}] - g^{jk} e^i \lrcorner \nabla_{e_k} \mathbf{R}_{e_i, e_j} + e^j \cdot e^i \lrcorner (\mathbf{R}_{e_i, e_j} e^k) \lrcorner \nabla_{e_k}. \end{aligned}$$

After an even more tedious calculation treating each of the three terms in the previous display, we obtain

$$[\delta, q(\mathbf{R})]u = -[\delta, \nabla^* \nabla]u - (\nabla, \mathbf{R}, u), \tag{10}$$

where (∇, R, u) represents the even more unwieldy term

$$(\nabla, R, u) = \sum_{i,j,\ell} \sum_{\substack{k_r \in K \\ k_p \in \{k_r \rightarrow\} K \\ k_s \in \{k_p \rightarrow, k_r \rightarrow\} K}} g^{\ell k_s} (\nabla_{e_\ell} R_i^{k_r k_p j}) u_K e^{\{k_s \rightarrow i, k_p \rightarrow j, k_r \rightarrow\} K}.$$

Again, we sketch the calculation. One of the three terms is easy to calculate directly, giving

$$\sum_{i,j,k} e^j \cdot e^i \lrcorner (R_{e_i, e_j} e^k) \lrcorner \nabla_{e_k} u = -(\mathbf{R}, \nabla, u).$$

Another term is also relatively easy, again using the trick that $\sum_\ell \nabla_{e_\ell} R_{ij}{}^\ell{}_k = 0$:

$$-\sum_{i,j,k} g^{jk} e^i \lrcorner \nabla_{e_k} R_{e_i, e_j} u = -\sum_i (\text{Ric } e^i) \lrcorner \nabla_{e_i} u - (\mathbf{R}, \nabla, u).$$

The involved step is treating $\sum_{i,j,k} e^j \cdot e^i \lrcorner e^k \lrcorner [R_{e_i, e_j}, \nabla_{e_k}]$. We first obtain

$$\sum_{i,j,\ell} e^j \cdot e^i \lrcorner e^\ell \lrcorner [R_{e_i, e_j}, \nabla_{e_\ell}] u = \sum_{i,k,\ell,m} \sum_{k_r \in K} ([R_{ji}{}^{k_r}{}_m, \nabla_{e_\ell}] u_K) e^j \cdot e^i \lrcorner e^\ell \lrcorner e^{\{k_r \rightarrow m\} K},$$

and it is important to realise that whenever the index ℓ contracts with m (or i or j), the resulting sum vanishes (as $\sum_\ell \nabla_{e_\ell} R_{ij}{}^\ell{}_k = 0$). Similarly, if i and m are contracted then, as Ricci is parallel, the resulting sum vanishes. Expanding the final part of the previous display (and letting terms $(g^{\ell m}, g^{im})$ denote any terms involving $g^{\ell m}$ or g^{im}) gives

$$\begin{aligned} e^j \cdot e^i \lrcorner e^\ell \lrcorner e^{\{k_r \rightarrow m\} K} &= \sum_{k_p \in \{k_r \rightarrow\} K} g^{\ell k_p} e^j \cdot e^i \lrcorner e^{\{k_p \rightarrow m, k_r \rightarrow\} K} + \text{terms}(g^{\ell m}) \\ &= \sum_{\substack{k_p \in \{k_r \rightarrow\} K \\ k_s \in \{k_p \rightarrow, k_r \rightarrow\} K}} g^{\ell k_p} g^{i k_s} e^{\{k_s \rightarrow j, k_p \rightarrow m, k_r \rightarrow\} K} + \text{terms}(g^{\ell m}, g^{im}) \end{aligned}$$

and after a little rearrangement of dummy indices, this gives

$$\sum_{i,j,\ell} e^j \cdot e^i \lrcorner e^\ell \lrcorner [R_{e_i, e_j}, \nabla_{e_\ell}] u = -(\nabla, \mathbf{R}, u),$$

whence (10) is obtained.

Combining (9) with (10) gives $[\delta, \Delta]u = -(\nabla, \mathbf{R}, u)$. For symmetric tensors of rank 2, such a summation (over k_r, k_p, k_s) does not arrive, so such a term instantly vanishes and the result follows. For tensors of higher rank, one needs the Riemann curvature to be parallel. This is assured in the constant curvature setting of \mathbb{H}^{n+1} . □

The objects thus far introduced in this section all have natural analogues in the Lorentzian setting on (M, η) . We denote by ${}^M \nabla$ the Levi-Civita connection of η extended to all associated vector bundles and ${}^M \mathbf{R}$ the Riemann curvature tensor of η . We let d_η and δ_η denote the symmetric differential and the divergence with respect to η . Finally we let ${}^M \nabla^* {}^M \nabla$ denote the rough d'Alembertian and \square the (Lichnerowicz) d'Alembertian, both constructed with respect to the metric η .

5A. Minkowski scale and the operator \mathcal{Q} . We define the first of our two main operators.

Definition 5.3. The second-order differential operator $\mathcal{Q} \in \text{Diff}^2(M; \text{End } \mathcal{F})$ is the following conjugation of the d'Alembertian:

$$\begin{aligned} \mathcal{Q} : C^\infty(M; \mathcal{F}) &\rightarrow C^\infty(M; \mathcal{F}), \\ u &\mapsto s^{n/2-m+2} \square s^{-n/2+m} u. \end{aligned}$$

Lemma 5.4. The differential operator \mathcal{Q} is formally self-adjoint with respect to the inner product

$$(u, v)_{s^{-2}\eta} = \int_M \langle u, v \rangle_{s^{-2}\eta} \frac{ds}{s} d\text{vol}_g, \quad u, v \in C_c^\infty(M; \mathcal{F}).$$

Proof. The d'Alembertian is self-adjoint with respect to the inner product

$$(u, v)_\eta = \int_M \langle u, v \rangle_\eta d\text{vol}_\eta, \quad u, v \in C_c^\infty(M; \mathcal{F}).$$

The two inner products on \mathcal{F} are related via (4). Tracking the effects of the conjugations by powers of s on \square , as well as the multiplication by s^2 , in order to obtain \mathcal{Q} implies self-adjointness when using the inner product $\langle \cdot, \cdot \rangle_{s^{-2}\eta}$ with the measure $s^{-(n+2)} d\text{vol}_\eta$, which gives the result as $d\text{vol}_\eta = s^{n+2} (ds/s) d\text{vol}_g$. \square

Lemma 5.5. The operator \mathcal{Q} commutes with the Lefschetz-type trace operator $s^{-2} \Lambda_{s^{-2}\eta}$:

$$[s^{-2} \Lambda_{s^{-2}\eta}, \mathcal{Q}]u = 0, \quad u \in C^\infty(M; \mathcal{F}).$$

Proof. The Lorentzian analogue of Lemma 5.2 is that the d'Alembertian commutes with Λ_η :

$$[\Lambda_\eta, \square] = 0.$$

This operator is related to our standard Lefschetz-type operator $\Lambda_{s^{-2}\eta}$ via (3). The result is now a direct calculation. For clarity we denote differential operators with a superscript (m) to indicate that they act on symmetric cotensors of rank m . In particular, on $C^\infty(M; \mathcal{F})$ we have

$$\begin{aligned} s^{-2} \Lambda_{s^{-2}\eta} \mathcal{Q}^{(m)} &= s^2 \Lambda_\eta s^{n/2-m+2} \square^{(m)} s^{-n/2+m} = s^2 s^{n/2-m+2} \square^{(m-2)} s^{-n/2+m} \Lambda_\eta \\ &= s^{n/2-(m-2)+2} \square^{(m-2)} s^{-n/2+(m-2)} s^2 \Lambda_\eta = \mathcal{Q}^{(m-2)} s^{-2} \Lambda_{s^{-2}\eta}. \end{aligned} \quad \square$$

The rest of this subsection is dedicated to proving:

Proposition 5.6. For $u \in C^\infty(M; \mathcal{F})$ decomposed relative to the Minkowski scale (2), the conjugated d'Alembertian \mathcal{Q} is given by

$$\begin{aligned} \mathcal{Q} a_k \left(\frac{ds}{s}\right)^{m-k} \cdot u^{(k)} &= a_{k+2} \left(\frac{ds}{s}\right)^{m-k-2} \cdot (-b_k b_{k+1} \mathbf{L}) u^{(k)} \\ &+ a_{k+1} \left(\frac{ds}{s}\right)^{m-k-1} \cdot (2b_k \mathbf{d}) u^{(k)} \\ &+ a_k \left(\frac{ds}{s}\right)^{m-k} \cdot (\Delta + (s \partial_s)^2 - c_k - \mathbf{L}\Lambda) u^{(k)} \\ &+ a_{k-1} \left(\frac{ds}{s}\right)^{m-k+1} \cdot (-2b_{k-1} \delta) u^{(k)} \\ &+ a_{k-2} \left(\frac{ds}{s}\right)^{m-k+2} \cdot (-b_{k-2} b_{k-1} \Lambda) u^{(k)} \end{aligned}$$

with constants

$$\begin{aligned} a_k &= ((m - k)!)^{-1/2}, \\ b_k &= \sqrt{m - k}, \\ c_k &= \frac{1}{4}n^2 + m(n + 2k + 1) - k(2n + 3k - 1). \end{aligned}$$

Consequently, relative to this scale, there exist $\mathbf{D} \in \text{Diff}^1(M; \text{End } \mathcal{F})$ and $\mathbf{G} \in C^\infty(M; \text{End } \mathcal{F})$ independent of s such that

$$\mathbf{Q} = \nabla^* \nabla + (s \partial_s)^2 + \mathbf{D} + \mathbf{G}.$$

Proof. The result will follow from Lemmas 5.8 and 5.9. The conjugation by $s^{-n/2+m}$ is chosen so that the term $(s \partial_s + \frac{n}{2} - m)^2$ in Lemma 5.8 becomes simply $(s \partial_s)^2$. \square

Proposition 5.6 is a direct calculation which we present in the rest of this subsection. To begin we state the following lemma whose proof need not be detailed.

Lemma 5.7. *In the Minkowski scale, with $\{e_i\}_{i=0}^n$ a local holonomic frame on (X, g) with dual frame $\{e^i\}_{i=0}^n$ such that $g = \sum_{i,j} g_{ij} e^i \otimes e^j$, the connection ${}^M\nabla$ acts in the following manner:*

$$\begin{aligned} {}^M\nabla_{s \partial_s} \frac{ds}{s} &= -\frac{ds}{s}, & {}^M\nabla_{e_i} \frac{ds}{s} &= -\sum_{j=0}^n g_{ij} e^j, \\ {}^M\nabla_{s \partial_s} e^i &= -e^i, & {}^M\nabla_{e_i} e^j &= \delta_i^j \frac{ds}{s} + \nabla_{e_i} e^j. \end{aligned}$$

This lemma provides the following two important formulae for the symmetrised basis:

$${}^M\nabla_{s \partial_s} \left(\frac{ds}{s}\right)^{m-k} \cdot e^K = -m \left(\frac{ds}{s}\right)^{m-k} \cdot e^K \tag{11}$$

and

$$\begin{aligned} {}^M\nabla_{e_i} \left(\frac{ds}{s}\right)^{m-k} \cdot e^K &= \left(\frac{ds}{s}\right)^{m-k-1} \cdot (-(m - k)g_{ij} e^{\{ \rightarrow j \} K}) \\ &+ \left(\frac{ds}{s}\right)^{m-k} \cdot \left(-\sum_{k_r \in K} \Gamma_{ij}^{k_r} e^{\{k_r \rightarrow j\} K}\right) \\ &+ \left(\frac{ds}{s}\right)^{m-k+1} \cdot \left(\sum_{k_r \in K} \delta_i^{k_r} e^{\{k_r \rightarrow\} K}\right), \end{aligned} \tag{12}$$

where the second result is a consequence of

$${}^M\nabla_{e_i} e^K = \sum_{k_r \in K} \delta_i^{k_r} \frac{ds}{s} \cdot e^{\{k_r \rightarrow\} K} + \nabla_{e_j} e^K$$

and we recall that the connection coefficients were introduced in Lemma 5.1. We split the calculation of the d'Alembertian into two calculations, treating the rough d'Alembertian separately from the curvature endomorphism.

Lemma 5.8. *For $u \in C^\infty(M; \mathcal{F})$ decomposed relative to the Minkowski scale (2), the rough d'Alembertian is given by*

$$\begin{aligned} s^2 M \nabla^* M \nabla a_k \left(\frac{ds}{s}\right)^{m-k} \cdot u^{(k)} &= a_{k+2} \left(\frac{ds}{s}\right)^{m-k-2} \cdot (-b_k b_{k+1} L) u^{(k)} \\ &+ a_{k+1} \left(\frac{ds}{s}\right)^{m-k-1} \cdot (2b_k d) u^{(k)} \\ &+ a_k \left(\frac{ds}{s}\right)^{m-k} \cdot (\nabla^* \nabla + (s \partial_s + \frac{1}{2}n - m)^2 - \tilde{c}_k) u^{(k)} \\ &+ a_{k-1} \left(\frac{ds}{s}\right)^{m-k+1} \cdot (-2b_{k-1} \delta) u^{(k)} \\ &+ a_{k-2} \left(\frac{ds}{s}\right)^{m-k+2} \cdot (-b_{k-2} b_{k-1} \Lambda) u^{(k)} \end{aligned}$$

with modified constants

$$\tilde{c}_k = \frac{1}{4}n^2 + m(n + 2k + 1) - k(n + 2k).$$

Proof. It suffices to consider a single term $u_K (ds/s)^{m-k} \cdot e^K$ and we will ignore the normalising constants a_k until the final step. Upon a first application of $M \nabla$ we obtain a section of $T^*M \otimes \mathcal{F}$:

$$\begin{aligned} M \nabla u_K \left(\frac{ds}{s}\right)^{m-k} \cdot e^K &= s \partial_s u_K \frac{ds}{s} \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot e^K + u_K \frac{ds}{s} \otimes M \nabla_{s \partial_s} \left(\left(\frac{ds}{s}\right)^{m-k} \cdot e^K\right) \\ &+ \sum_i e_i u_K e^i \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot e^K + \sum_i u_K e^i \otimes M \nabla_{e_i} \left(\left(\frac{ds}{s}\right)^{m-k} \cdot e^K\right). \end{aligned}$$

Using (11) and (12) to develop the terms involving $M \nabla_{s \partial_s}$ and $M \nabla_{e_i}$ we group the result in terms of symmetric powers of ds/s . In order to handle the equations we write

$$M \nabla u_K \left(\frac{ds}{s}\right)^{m-k} \cdot e^K = \boxed{1} + \boxed{2} + \boxed{3} + \boxed{4}, \tag{13}$$

where

$$\begin{aligned} \boxed{1} &= -(m-k) \sum_{i,j} u_K g_{ij} e^i \otimes \left(\frac{ds}{s}\right)^{m-k-1} \cdot e^{\{\rightarrow j\}K}, \\ \boxed{2} &= (s \partial_s - m) u_K \frac{ds}{s} \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot e^K, \\ \boxed{3} &= \sum_i e_i u_K e^i \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot e^K - \sum_{i,j} u_K e^i \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot \sum_{k_r \in K} \Gamma_{ij}^{k_r} e^{\{k_r \rightarrow j\}K}, \\ \boxed{4} &= - \sum_i u_K e^i \otimes \left(\frac{ds}{s}\right)^{m-k+1} \cdot \sum_{k_r \in K} \delta_i^{k_r} e^{\{k_r \rightarrow\}K}. \end{aligned}$$

Taking the second derivative, we calculate at a point about which $\{e_i\}$ are normal coordinates. Of course, we only need to keep track of terms which are not subsequently killed upon applying the trace tr_η (which, as the notation suggests, is the trace map from $T^*M \otimes T^*M \rightarrow \mathbb{R}$ built using the metric η).

[1] Considering the first term in (13), applying ${}^M\nabla_{s\partial_s}$ provides only terms in the kernel of tr_η and applying ${}^M\nabla_{e_i}$ gives

$$\begin{aligned} \sum_{\ell} e^{\ell} \otimes {}^M\nabla_{e_{\ell}} \mathbb{1} &= -(m-k) \sum_{i,j,\ell} e_{\ell} u_K g_{ij} e^{\ell} \otimes e^i \otimes \left(\frac{ds}{s}\right)^{m-k-1} \cdot e^{\{\rightarrow j\}K} \\ &\quad - (m-k) \sum_{i,j,\ell} u_K g_{ij} e^{\ell} \otimes e^i \otimes {}^M\nabla_{e_{\ell}} \left(\left(\frac{ds}{s}\right)^{m-k-1} \cdot e^{\{\rightarrow j\}K}\right) + \ker \text{tr}_\eta \end{aligned}$$

and we immediately apply tr_η to get

$$-s^2(\text{tr}_\eta \circ {}^M\nabla) \mathbb{1} = (m-k) \left(\frac{ds}{s}\right)^{m-k-1} \cdot \left(\sum_i e_i u_K e^{\{\rightarrow i\}K}\right) + (m-k) u_K \sum_j {}^M\nabla_{e_j} \left(\left(\frac{ds}{s}\right)^{m-k-1} \cdot e^{\{\rightarrow j\}K}\right).$$

The first term of the preceding display reduces to the symmetric differential $(m-k)(ds/s)^{m-k-1} \cdot d(u_K e^K)$ by Lemma 5.1. The second term of the preceding display is calculated with the aid of (12) and remembering that the connection coefficients cancel at the point of interest. Specifically

$$\begin{aligned} {}^M\nabla_{e_j} \left(\left(\frac{ds}{s}\right)^{m-k-1} \cdot e^{\{\rightarrow j\}K}\right) &= \left(\frac{ds}{s}\right)^{m-k-2} \cdot \left(-\sum_i (m-k-1) g_{ij} e^{\{\rightarrow i, \rightarrow j\}K}\right) \\ &\quad + \left(\frac{ds}{s}\right)^{m-k} \cdot \left(\delta_j^j e^K + \sum_{k_r \in K} \delta_j^{k_r} e^{\{\rightarrow j, k_r \rightarrow\}K}\right). \end{aligned}$$

Observe that $\sum_j \sum_{k_r \in K} \delta_j^{k_r} e^{\{\rightarrow j, k_r \rightarrow\}K} = k e^K$. Using Lemma 5.1 again this time to recover L , the result is

$$\begin{aligned} -s^2(\text{tr}_\eta \circ {}^M\nabla) \mathbb{1} &= \left(\frac{ds}{s}\right)^{m-k-2} \cdot (-(m-k)(m-k-1)L) u_K e^K \\ &\quad + \left(\frac{ds}{s}\right)^{m-k-1} \cdot ((m-k)d) u_K e^K \\ &\quad + \left(\frac{ds}{s}\right)^{m-k} \cdot (-(m-k)(n+1+k)) u_K e^K. \end{aligned}$$

[2] Considering the second term in (13) is much simpler. A second application of ${}^M\nabla$ provides

$$\begin{aligned} {}^M\nabla \mathbb{2} &= (s\partial_s - m - 1)(s\partial_s - m) u_K \frac{ds}{s} \otimes \frac{ds}{s} \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot e^K \\ &\quad - (s\partial_s - m) u_K \sum_{i,j} g_{ij} e^i \otimes e^j \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot e^K + \ker \text{tr}_\eta \end{aligned}$$

and the desired result is

$$-s^2(\text{tr}_\eta \circ {}^M\nabla) \mathbb{2} = \left(\frac{ds}{s}\right)^{m-k} \cdot ((s\partial_s - m + n)(s\partial_s - m)) u_K e^K.$$

[3] Considering the third term in (13) is somewhat similar to the first term in that ${}^M\nabla_{s\partial_s}$ provides only terms in the kernel of tr_η . Remembering that at the point of interest, the connection coefficients vanish,

applying ${}^M\nabla_{e_j}$ gives

$$\begin{aligned} \sum_j e^j \otimes {}^M\nabla_{e_j} \boxed{3} &= \sum_{i,j} e_j e_i u_K e^j \otimes e^i \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot e^K \\ &+ \sum_{i,j} e_i u_K e^j \otimes e^i \otimes {}^M\nabla_{e_j} \left(\left(\frac{ds}{s}\right)^{m-k} \cdot e^K\right) \\ &- \sum_{i,j,\ell} u_K e^\ell \otimes e^i \otimes \left(\frac{ds}{s}\right)^{m-k} \cdot \sum_{k_r \in K} (\nabla_{e_\ell} \Gamma_{ij}^{k_r}) e^{\{k_r \rightarrow j\}K} + \ker \operatorname{tr}_\eta, \end{aligned}$$

and we immediately apply tr_η to recover the rough Laplacian from the first and third terms in the previous display

$$-s^2(\operatorname{tr}_\eta \circ {}^M\nabla) \boxed{3} = \left(\frac{ds}{s}\right)^{m-k} \cdot \nabla^* \nabla (u_K e^K) - \sum_{i,j} g^{ij} e_i u_K {}^M\nabla_{e_j} \left(\left(\frac{ds}{s}\right)^{m-k} \cdot e^K\right),$$

while the second term in the previous display is first treated using (12) and then Lemma 5.1 to recover the symmetric differential and the divergence:

$$\begin{aligned} & - \sum_{i,j} g^{ij} e_i u_K {}^M\nabla_{e_j} \left(\left(\frac{ds}{s}\right)^{m-k} \cdot e^K\right) \\ &= \sum_{i,j,\ell} g^{ij} e_i u_K (m-k) \left(\frac{ds}{s}\right)^{m-k-1} \cdot g_{\ell j} e^{\{\rightarrow \ell\}K} + \sum_{i,j,\ell} g^{ij} e_i u_K \left(\frac{ds}{s}\right)^{m-k+1} \cdot \sum_{k_r \in K} \delta_j^{k_r} e^{\{k_r \rightarrow\}K} \\ &= (m-k) \left(\frac{ds}{s}\right)^{m-k-1} \cdot d(u_K e^K) - \left(\frac{ds}{s}\right)^{m-k+1} \cdot \delta(u_K e^K). \end{aligned}$$

The result is

$$\begin{aligned} -s^2(\operatorname{tr}_\eta \circ {}^M\nabla) \boxed{3} &= \left(\frac{ds}{s}\right)^{m-k-1} \cdot ((m-k)d)u_K e^K \\ &+ \left(\frac{ds}{s}\right)^{m-k} \cdot (\nabla^* \nabla)u_K e^K \\ &+ \left(\frac{ds}{s}\right)^{m-k+1} \cdot (-\delta)u_K e^K. \end{aligned}$$

$\boxed{4}$ Considering finally the fourth term in (13) we immediately remove the sum over i using the Kronecker delta. Again ${}^M\nabla_{s \partial_s}$ provides only terms in the kernel of tr_η and applying ${}^M\nabla_{e_i}$ gives

$$\begin{aligned} \sum_i e^i \otimes {}^M\nabla_{e_i} \boxed{4} &= - \sum_i \sum_{k_r \in K} e_i u_K e^i \otimes e^{k_r} \otimes \left(\frac{ds}{s}\right)^{m-k+1} \cdot e^{\{k_r \rightarrow\}K} \\ &- \sum_i \sum_{k_r \in K} u_K e^i \otimes e^{k_r} \otimes {}^M\nabla_{e_i} \left(\left(\frac{ds}{s}\right)^{m-k+1} \cdot e^{\{k_r \rightarrow\}K}\right) + \ker \operatorname{tr}_\eta \end{aligned}$$

and we immediately apply tr_η to get

$$-s^2(\operatorname{tr}_\eta \circ {}^M\nabla) \boxed{4} = \left(\frac{ds}{s}\right)^{m-k+1} \cdot \left(\sum_i \sum_{k_r \in K} g^{ik_r} e_i u_K e^{\{k_r \rightarrow\}K} \right) + \sum_i \sum_{k_r \in K} g^{ik_r} u_K {}^M\nabla_{e_i} \left(\left(\frac{ds}{s}\right)^{m-k+1} \cdot e^{\{k_r \rightarrow\}K}\right).$$

The first term provides the divergence $-(ds/s)^{m-k+1} \cdot \delta(u_K e^K)$, while the second term is treated using (12) and then Lemma 5.1 to recover a multiple of $u_K e^K$ and a term involving Λ :

$$\begin{aligned} & \sum_i \sum_{k_r \in K} g^{ik_r} u_K^M \nabla_{e_i} \left(\left(\frac{ds}{s} \right)^{m-k+1} \cdot e^{\{k_r \rightarrow\}K} \right) \\ &= -(m-k+1) \left(\frac{ds}{s} \right)^{m-k} \cdot \sum_{i,j} \sum_{k_r \in K} g^{ik_r} g_{ij} e^{\{j, k_r \rightarrow\}K} - \left(\frac{ds}{s} \right)^{m-k+2} \cdot \sum_{k_r \in K} \sum_{k_p \in \{k_r \rightarrow\}K} g^{k_r k_p} e^{\{k_p \rightarrow, k_r \rightarrow\}K} \\ &= -k(m-k+1) \left(\frac{ds}{s} \right)^{m-k} \cdot u_K e^K - \left(\frac{ds}{s} \right)^{m-k+2} \cdot \Lambda(u_K e^K). \end{aligned}$$

The result is

$$\begin{aligned} -s^2(\text{tr}_\eta \circ^M \nabla) \boxed{4} &= \left(\frac{ds}{s} \right)^{m-k} \cdot (-k(m-k+1)) u_K e^K \\ &+ \left(\frac{ds}{s} \right)^{m-k+1} \cdot (-\delta) u_K e^K \\ &+ \left(\frac{ds}{s} \right)^{m-k+2} \cdot (-\Lambda) u_K e^K. \end{aligned}$$

Upon summation of these four terms coming from (13) we obtain

$$\begin{aligned} s^2 M \nabla^* M \nabla \left(\frac{ds}{s} \right)^{m-k} \cdot u^{(k)} &= \left(\frac{ds}{s} \right)^{m-k-2} \cdot (-(m-k)(m-k-1)L) u^{(k)} \\ &+ \left(\frac{ds}{s} \right)^{m-k-1} \cdot (2(m-k)d) u^{(k)} \\ &+ \left(\frac{ds}{s} \right)^{m-k} \cdot (\nabla^* \nabla + (s \partial_s + \frac{1}{2}n - m)^2 - \tilde{c}_k) u^{(k)} \\ &+ \left(\frac{ds}{s} \right)^{m-k+1} \cdot (-2k\delta) u^{(k)} \\ &+ \left(\frac{ds}{s} \right)^{m-k+2} \cdot (-k(k-1)\Lambda) u^{(k)} \end{aligned}$$

with constant \tilde{c}_k as given in the proposition. The final step is to reintroduce the normalisation constants a_k . Treating, for example, the term containing $(ds/s)^{m-k-1}$ amounts to observing

$$a_{k+1}^{-1} (m-k) a_k = \sqrt{(m-k)} \quad \square$$

Lemma 5.9. *For $u \in C^\infty(M; \mathcal{F})$ decomposed relative to the Minkowski scale (2), the curvature endomorphism acts diagonally with respect to the Minkowski scale and is given by*

$$s^2 q({}^M \mathbf{R}) u^{(k)} = (q(\mathbf{R}) + k(n+k-1) - L\Lambda) u^{(k)}.$$

Proof. We need only concern ourselves with the effect of $q({}^M \mathbf{R})$ on $(ds/s)^{m-k} \cdot e^K$. It is easy to see from Lemma 5.7 that ${}^M \mathbf{R}_{s \partial_s, e_i}$ is the zero endomorphism, that ${}^M \mathbf{R}_{e_i, e_j} (ds/s) = 0$, and that $\eta({}^M \mathbf{R}_{e_i, e_j} e_k^*, ds/s) = 0$. Therefore we need only calculate the effect of $q({}^M \mathbf{R})$ on e^K . The nontrivial information of ${}^M \mathbf{R}$ is encoded in the equation

$${}^M \mathbf{R}_{ij}{}^k{}_\ell = g_{j\ell} \delta_i^k - g_{i\ell} \delta_j^k + \mathbf{R}_\ell{}^{ikr}{}_j.$$

We extend ${}^M\mathbf{R}_{e_i, e_j}$ to $\mathcal{E}^{(k)}$, giving

$${}^M\mathbf{R}_{e_i, e_j} e^K = \mathbf{R}_{e_i, e_j} e^K + \sum_{k_r \in K} (\delta_j^{k_r} g_{i\ell} - \delta_i^{k_r} g_{j\ell}) e^{\{k_r \rightarrow \ell\}K}.$$

Calculating the interior product requires the metric; in particular,

$$s^2 e^i \lrcorner_\eta {}^M\mathbf{R}_{e_i, e_j} = e^i \lrcorner {}^M\mathbf{R}_{e_i, e_j},$$

where \lrcorner_η uses the metric η to identify TM with T^*M . Consequently calculating

$$\sum_i (s^2 e^i \lrcorner_\eta {}^M\mathbf{R}_{e_i, e_j} e^K - e^i \lrcorner \mathbf{R}_{e_i, e_j} e^K)$$

gives

$$\sum_i \sum_{k_r \in K} (\delta_j^{k_r} g_{i\ell} - \delta_i^{k_r} g_{j\ell}) \left(g^{i\ell} e^{\{k_r \rightarrow \ell\}K} + \sum_{k_p \in \{k_r \rightarrow \ell\}K} g^{ik_p} e^{\{k_p \rightarrow, k_r \rightarrow \ell\}K} \right).$$

Applying $\sum_j e^j \cdot$ to the preceding display provides $s^2 q({}^M\mathbf{R}) - q(\mathbf{R})$. Splitting the calculation into four terms, the results are

$$\begin{aligned} & \sum_{i,j} \sum_{k_r \in K} e^j \cdot \delta_j^{k_r} g_{i\ell} g^{i\ell} e^{\{k_r \rightarrow \ell\}K} = k(n+1)e^K, \\ & - \sum_{i,j} \sum_{k_r \in K} e^j \cdot \delta_i^{k_r} g_{j\ell} g^{i\ell} e^{\{k_r \rightarrow \ell\}K} = -ke^K, \\ & \sum_{i,j} \sum_{k_r \in K} \sum_{k_p \in \{k_r \rightarrow \ell\}K} e^j \cdot \delta_j^{k_r} g_{i\ell} g^{ik_p} e^{\{k_p \rightarrow, k_r \rightarrow \ell\}K} = k(k-1)e^K, \\ & - \sum_{i,j} \sum_{k_r \in K} \sum_{k_p \in \{k_r \rightarrow \ell\}K} e^j \cdot \delta_i^{k_r} g_{j\ell} g^{ik_p} e^{\{k_p \rightarrow, k_r \rightarrow \ell\}K} = -L\Lambda e^K. \end{aligned}$$

Upon summation of these four terms, the proof is complete. □

Proposition 5.10. *Suppose $u \in C^\infty(M; \mathcal{F})$, decomposed relative to the Minkowski scale (2), is trace-free with respect to the trace operator $\Lambda_{s^{-2}\eta}$. Then the conjugated d'Alembertian \mathbf{Q} is given by*

$$\begin{aligned} \mathbf{Q}a_k \left(\frac{ds}{s}\right)^{m-k} \cdot u^{(k)} &= a_{k+1} \left(\frac{ds}{s}\right)^{m-k-1} \cdot (2b_k d)u^{(k)} \\ &+ a_k \left(\frac{ds}{s}\right)^{m-k} \cdot (\Delta + (s \partial_s)^2 - c'_k)u^{(k)} \\ &+ a_{k-1} \left(\frac{ds}{s}\right)^{m-k+1} \cdot (-2b_{k-1} \delta)u^{(k)} \end{aligned}$$

with constants a_k, b_k given in Proposition 5.6 and the modified constants

$$c'_k = c_k - (m-k)(m-k-1).$$

Proof. This follows directly from the structure of \mathbf{Q} given in Proposition 5.6 and the condition that $\Lambda u^{(k)} = -b_{k-2} b_{k-1} u^{(k-2)}$, coming from Lemma 3.1. □

5B. The indicial family of \mathcal{Q} .

Definition 5.11. Denote by \mathcal{Q} the indicial family of the operator $\mathcal{Q} \in \text{Diff}_b^2(\bar{M}; \mathcal{F})$ relative to the Minkowski scale s :

$$\mathcal{Q} = I_s(\mathcal{Q}; \lambda) \in \text{Diff}^2(X; \mathcal{E}).$$

The previous section introduced \mathcal{Q} as a differential operator on \mathcal{F} above M ; however, from the structure of \mathcal{Q} given as in Proposition 5.6, it is clear that the operator extends to \bar{M} . Moreover by the same proposition we immediately get the structure of \mathcal{Q} .

Proposition 5.12. For $u = \sum_{k=0}^m u^{(k)} \in C^\infty(X; \mathcal{E})$, the operator \mathcal{Q} is given by

$$\mathcal{Q}_\lambda u^{(k)} = (-b_k b_{k+1} L)u^{(k)} + (2b_k d)u^{(k)} + (\Delta + \lambda^2 - c_k - L\Lambda)u^{(k)} + (-2b_{k-1} \delta)u^{(k)} + (-b_{k-2} b_{k-1} \Lambda)u^{(k)}$$

with constants

$$b_k = \sqrt{m - k},$$

$$c_k = \frac{1}{4}n^2 + m(n + 2k + 1) - k(2n + 3k - 1).$$

Consequently, there exist $\mathcal{D} \in \text{Diff}^1(X; \text{End } \mathcal{E})$ and $\mathcal{G} \in C^\infty(X; \text{End } \mathcal{E})$ independent of λ such that

$$\mathcal{Q}_\lambda = \nabla^* \nabla + \lambda^2 + \mathcal{D} + \mathcal{G}.$$

Proposition 5.13. The family of differential operators \mathcal{Q} is, upon restriction to $\lambda \in i\mathbb{R}$, a family of formally self-adjoint operators with respect to the inner product

$$(u, v)_s = \int_X \sum_{k=0}^m (-1)^{m-k} \langle u^{(k)}, v^{(k)} \rangle d\text{vol}_g,$$

where $u = \sum_{k=0}^m u^{(k)}$, $v = \sum_{k=0}^m v^{(k)}$ for $u^{(k)}, v^{(k)} \in C_c^\infty(X; \mathcal{E}^{(k)})$. Moreover, for all λ , we have $\mathcal{Q}_\lambda^* = \mathcal{Q}_{-\bar{\lambda}}$.

Proof. This follows from Lemmas 4.4 and 5.4. □

The operator \mathcal{Q} preserves the subbundle $\mathcal{F} \cap \ker \Lambda_{s^{-2}\eta}$ by Lemma 5.5. As π_s^* is algebraic, we may consider it as a map from \mathcal{E} over X to \mathcal{F} over M . We thus obtain the subbundle $\mathcal{E} \cap \ker(\Lambda_{s^{-2}\eta} \circ \pi_s^*)$ over X , that is, symmetric tensors above X which are trace-free with respect to the ambient trace operator $\Lambda_{s^{-2}\eta}$. It thus follows that \mathcal{Q} may also be considered a family of differential operators on this subbundle and we obtain:

Proposition 5.14. For $u = \sum_{k=0}^m u^{(k)} \in C^\infty(X; \mathcal{E}) \cap \ker(\Lambda_{s^{-2}\eta} \circ \pi_s^*)$, the operator \mathcal{Q} is given by

$$(2b_k d)u^{(k)} + \mathcal{Q}_\lambda u^{(k)} = (\Delta + \lambda^2 - c'_k)u^{(k)} + (-2b_{k-1} \delta)u^{(k)}.$$

6. The operator P and its indicial family

This section introduces the operator P on M_e and its indicial family \mathcal{P} on X_e and similar results to those presented for \mathcal{Q} and \mathcal{Q} are given. The relationship between these two constructions is also detailed.

6A. Euclidean scale. The manifold $M_e = \mathbb{R}_t^+ \times X_e$ has been equipped with the Lorentzian metric η which agrees with the Lorentzian cone metric put on M . Recalling the smooth chart $U = (0, 1)_\mu \times Y \subset X \subset X_e$, the metric on $\mathbb{R}_t^+ \times U$ takes the form of (1) and we may assume that this is the form of η on the larger chart $\mathbb{R}_t^+ \times U^2$, where $U^2 = (-1, 1)_\mu \times Y$. For later use we record the behaviour of ${}^{M_e}\nabla$.

Lemma 6.1. *On the chart $\mathbb{R}_t^+ \times (-1, 1)_\mu \times Y$ with $\{e_i\}_{i=1}^n$ a local holonomic frame on Y with dual frame $\{e^i\}_{i=1}^n$ such that $h = \sum_{i,j} h_{ij} e^i \otimes e^j$, the connection ${}^{M_e}\nabla$ acts in the following manner:*

$$\begin{aligned} {}^{M_e}\nabla_t \partial_t \frac{dt}{t} &= 0, & {}^{M_e}\nabla_{\partial_\mu} \frac{dt}{t} &= 0, \\ {}^{M_e}\nabla_t \partial_t d\mu &= -d\mu, & {}^{M_e}\nabla_{\partial_\mu} d\mu &= -\frac{dt}{t}, \\ {}^{M_e}\nabla_t \partial_t e^i &= -e^i, & {}^{M_e}\nabla_{\partial_\mu} e^i &= -\frac{1}{2} h^{ij} (\partial_\mu h_{jk}) e^k, \end{aligned}$$

and

$$\begin{aligned} {}^{M_e}\nabla_{e_i} \frac{dt}{t} &= -(\partial_\mu h_{ij}) e^j, \\ {}^{M_e}\nabla_{e_i} d\mu &= -2((1 - \mu \partial_\mu) h_{ij}) e^j, \\ {}^{M_e}\nabla_{e_i} e^j &= -\delta_i^j \frac{dt}{t} - \frac{1}{2} h^{jk} (\partial_\mu h_{ik}) d\mu + {}^Y\nabla_{e_i} e^j. \end{aligned}$$

Motivated by the structure of \mathbf{Q} from the previous section we define the second of our two main operators.

Definition 6.2. The second-order differential operator $\mathbf{P} \in \text{Diff}^2(M_e; \mathcal{F})$ is the following conjugation of the d'Alembertian:

$$\begin{aligned} \mathbf{P} : C^\infty(M; \mathcal{F}) &\rightarrow C^\infty(M_e; \mathcal{F}), \\ u &\mapsto t^{n/2-m+2} \square t^{-n/2+m} u. \end{aligned}$$

Note that on $M \subset M_e$ there is a trivial correspondence between \mathbf{P} and \mathbf{Q} ,

$$\mathbf{P} = \rho^{-n/2+m-2} \mathbf{Q} \rho^{n/2-m},$$

and that, since $\rho = 1$ on $X \setminus U$, we have equality $\mathbf{P} = \mathbf{Q}$ on $M \setminus (\mathbb{R}^+ \times U)$.

Lemma 6.3. *The operator $\mathbf{P} \in \text{Diff}^2(M_e; \mathcal{F})$ naturally extends to an operator $\mathbf{P} \in \text{Diff}_b^2(\overline{M}_e; {}^b\mathcal{F})$ and is b-trivial.*

Proof. The important point is to verify that at $\mu = 0$, \mathbf{P} fits into the b-calculus framework. This is reasonably clear from Lemma 6.1. Indeed, the Lie algebra of b-vector fields is generated by $\{t \partial_t, \partial_\mu, e_i\}$, where $\{e_i\}_{i=1}^n$ is a local holonomic frame on Y , while the b-cotangent bundle has basis $\{dt/t, d\mu, e^i\}$ with $\{e^i\}_{i=1}^n$ the dual frame on T^*Y . Lemma 6.1 thus shows that ${}^{M_e}\nabla$ is a b-connection. Taking the trace using η and then multiplying by t^2 is equivalent to taking the trace with $t^{-2}\eta$, whose structure (1) indicates it is a b-metric. Therefore $t^2 {}^{M_e}\nabla^* {}^{M_e}\nabla$ is a b-differential operator. That $t^2 {}^{M_e}\nabla^* {}^{M_e}\nabla$ is b-trivial is also immediate from Lemma 6.1 and the structure of $t^{-2}\eta$. A similar line of reasoning for $q({}^{M_e}\mathbf{R})$ (which uses one application of the inverse of the metric η) shows that $t^2 \square$ is also a b-differential operator. The final conjugation by powers of t preserves the b-structure (and its b-triviality) as it merely conjugates appearances of $t \partial_t$. This implies the result. □

Lemma 6.4. *The differential operator \mathbf{P} is formally self-adjoint with respect to the inner product*

$$(u, v)_{t^{-2}\eta} = \int_{M_e} \langle u, v \rangle_{t^{-2}\eta} \frac{dt}{t} dx, \quad u, v \in C_c^\infty(M_e; \mathcal{F}).$$

Proof. By the correspondence between \mathbf{P} and \mathbf{Q} on $M \setminus (\mathbb{R}^+ \times U)$ and Lemma 5.4, it suffices to verify this claim when u, v are supported on $\mathbb{R}_t^+ \times U^2$. The d'Alembertian is self-adjoint with respect to the inner product

$$(u, v)_\eta = \int_{M_e} \langle u, v \rangle_\eta d\text{vol}_\eta, \quad u, v \in C_c^\infty(\mathbb{R}_t^+ \times U^2; \mathcal{F}).$$

The two inner products on the fibres of \mathcal{F} are related via the Euclidean scale analogue of (4). Tracking the effects of the conjugations by powers of t on \square , as well as the multiplication by t^2 , in order to obtain \mathbf{P} implies self-adjointness when using the inner product $\langle \cdot, \cdot \rangle_{t^{-2}\eta}$ with the measure $t^{-n-2}d\text{vol}_\eta$. As $\det \eta = -\frac{1}{4}t^{2n+2} \det h$, we have

$$t^{-n-2}d\text{vol}_\eta = \frac{1}{2} \frac{dt}{t} d\mu d\text{vol}_h. \quad \square$$

6B. The indicial family of \mathbf{P} .

Definition 6.5. Denote by \mathcal{P} the indicial family of the operator $\mathbf{P} \in \text{Diff}_0^2(\overline{M}_e; {}^b\mathcal{F})$ relative to the Euclidean scale t :

$$\mathcal{P}_\lambda = \mathbf{I}_t(\mathbf{P}; \lambda) \in \text{Diff}^2(X_e; \mathcal{E}).$$

Lemma 4.6 gives the following proposition (whose final statement follows as ρ is constant on $X \setminus U$).

Proposition 6.6. *On $X \subset X_e$ the indicial family operators \mathcal{P} and \mathcal{Q} are related by*

$$\mathcal{P}_\lambda = \rho^{-\lambda-n/2+m-2} J \mathcal{Q}_\lambda J^{-1} \rho^{\lambda+n/2-m}$$

with J presented in Lemma 3.2. Moreover, on $X \setminus U$, we have $\mathcal{P} = \mathcal{Q}$.

Proposition 6.7. *The family of differential operators \mathcal{P} is, upon restriction to $\lambda \in i\mathbb{R}$, a family of formally self-adjoint operators with respect to the inner product*

$$(u, v)_t = \int_{X_e} \langle u, v \rangle_t dx, \quad u, v \in C_c^\infty(X_e; \mathcal{E}).$$

Moreover, for all λ , we have $\mathcal{P}_\lambda^* = \mathcal{P}_{-\bar{\lambda}}$.

7. Microlocal analysis

This section constructs an inverse to the family \mathcal{P} introduced in the preceding section. This is done by first showing that the family is a family of Fredholm operators and then by considering a Cauchy problem which provides an inverse for $\text{Re } \lambda \gg 1$. In [Vasy 2013b; Zworski 2016], the procedure is described for functions, rather than symmetric tensors. We are required to alter only minor details in order to apply the technique to symmetric tensors.

7A. Function spaces. From Section 3B, we have the space of L^2 sections $L^2_1(X_e; \mathcal{E})$. This defines $H^s_{\text{loc}}(X_e; \mathcal{E})$, the space of (locally) H^s sections for $s \in \mathbb{R}$. For all notions of Sobolev regularity, we will only use the Euclidean scale; we thus need not decorate these spaces with a subscript t .

As is standard, we denote by $\dot{C}^\infty(X_{cs}; \mathcal{E})$ the set of smooth sections which are extensible to smooth sections over X_e and whose support is contained in \bar{X}_{cs} , and by $C^\infty(\bar{X}_{cs}; \mathcal{E})$ all smooth sections which are smoothly extensible to X_e .

Following [Hörmander 1994, Appendix B.2] we obtain, for $s \in \mathbb{R}$, the Sobolev spaces

$$\dot{H}^s(\bar{X}_{cs}; \mathcal{E}) \quad \text{and} \quad \bar{H}^s(X_{cs}; \mathcal{E}),$$

which are, respectively, the set of elements in $H^s_{\text{loc}}(X_e; \mathcal{E})$ supported by \bar{X}_{cs} and the space of restrictions to X_{cs} of $H^s_{\text{loc}}(X_e; \mathcal{E})$. Then $\dot{H}^s(\bar{X}_{cs}; \mathcal{E})$ gets its norm directly from that of $H^s_{\text{loc}}(X_e; \mathcal{E})$, while the norm of an element in $\bar{H}^s(X_{cs}; \mathcal{E})$ is that obtained by taking the infimum of the norms of all permissible extensions of the element which have compact support in X_e . (Such norms will be denoted, for simplicity, by $\|\cdot\|_{\dot{H}^s}$ and $\|\cdot\|_{\bar{H}^s}$. Furthermore, if an object is supported away from S , these norms correspond and we may simply write $\|\cdot\|_{H^s}$.)

The inner product $\langle \cdot, \cdot \rangle_t$ gives the L^2 pairing

$$(\cdot, \cdot)_t : \dot{C}^\infty(X_{cs}; \mathcal{E}) \times C^\infty(\bar{X}_{cs}; \mathcal{E}) \rightarrow \mathbb{C},$$

which extends by density [Hörmander 1994, Theorem B.2.1] to a pairing between the spaces $\dot{H}^{-s}(\bar{X}_{cs}; \mathcal{E})$ and $\bar{H}^s(X_{cs}; \mathcal{E})$, providing the identification of dual spaces

$$(\bar{H}^s(X_{cs}; \mathcal{E}))^* \simeq \dot{H}^{-s}(\bar{X}_{cs}; \mathcal{E}), \quad s \in \mathbb{R}. \tag{14}$$

Definition 7.1. For $s \in \mathbb{R}$, let \mathcal{X}^s and \mathcal{Y}^s be the spaces

$$\begin{aligned} \mathcal{Y}^s &= \bar{H}^s(X_{cs}; \mathcal{E}), \\ \mathcal{X}^s &= \{u : u \in \mathcal{Y}^s, \mathcal{P}u \in \mathcal{Y}^{s-1}\}. \end{aligned}$$

These spaces come with the standard norms, in particular,

$$\|u\|_{\mathcal{X}^s} = \|u\|_{\mathcal{Y}^s} + \|\mathcal{P}u\|_{\mathcal{Y}^{s-1}}, \quad u \in \mathcal{X}^s.$$

Remark 7.2. It will be seen that λ does not appear in the principal symbol of \mathcal{P} ; it is thus unimportant to state with respect to what value of λ the preceding norm is taken, as all such norms are equivalent.

When restricting to $U^2 \subset X_e$, we will let $\{e_i\}_{i=1}^n$ denote an orthonormal frame for (Y, h) , which depends on $\mu \in (-1, 1)$, and by $\{e^i\}_{i=1}^n$ its dual frame. The frames are completed to frames for TU^2 and T^*U^2 by including ∂_μ and $d\mu$ respectively. A dual vector will be denoted by

$$\xi d\mu + \sum_{i=0}^n \eta_i e^i \in T^*U^2. \tag{15}$$

The next subsection proves the following two propositions.

Proposition 7.3. *For fixed s , the family of operators*

$$\mathcal{P} : \mathcal{X}^s \rightarrow \mathcal{Y}^{s-1}$$

is Fredholm for $\operatorname{Re} \lambda > \frac{1}{2} - s$.

Proof. See Lemmas 7.6 and 7.7. □

Proposition 7.4. *For fixed s , the Fredholm operator $\mathcal{P}_\lambda : \mathcal{X}^s \rightarrow \mathcal{Y}^{s-1}$ is Fredholm of index 0 for $\operatorname{Re} \lambda > m + \frac{1}{2} - s$ and it has a meromorphic inverse*

$$\mathcal{P}^{-1} : \mathcal{Y}^{s-1} \rightarrow \mathcal{X}^s$$

with poles of finite rank.

Proof. See Lemmas 7.8 and 7.9. □

7B. Proofs of Propositions 7.3 and 7.4. On $\mathbb{R}_t^+ \times U^2$, the inverse of the metric η takes the form

$$t^2 \eta^{-1} = -2t \partial_t \cdot \partial_\mu + 2\mu \partial_\mu \cdot \partial_\mu + h^{-1},$$

which implies to highest order for $t^{2M_e} \nabla^{*M_e} \nabla$ that

$$t^{2M_e} \nabla^{*M_e} \nabla = -4\mu \partial_\mu^2 + 4t \partial_t \partial_\mu + \Delta_h + \operatorname{Diff}^1(\mathbb{R}_t^+ \times U^2; \operatorname{End} \mathcal{F}),$$

where Δ_h may be considered the rough Laplacian on (Y, h) . Considering \mathcal{P} , conjugation by $t^{-n/2+m}$ replaces $t \partial_t$ by $(t \partial_t - \frac{1}{2}n + m)$ and we can absorb the newly created term $4(-\frac{1}{2}n + m)\partial_\mu$ into $\operatorname{Diff}^1(\mathbb{R}_t^+ \times U^2; \operatorname{End} \mathcal{F})$. Also, the curvature term is of order zero so

$$\mathbf{P} = -4\mu \partial_\mu^2 + 4t \partial_t \partial_\mu + \Delta_h + \mathbf{A}$$

for some $\mathbf{A} \in \operatorname{Diff}^1(\mathbb{R}_t^+ \times U^2; \operatorname{End} \mathcal{F})$. This structure of \mathbf{P} immediately gives the structure of \mathcal{P} to highest order. Keeping track of the term $4t \partial_t \partial_\mu$ for the moment, we write

$$\mathcal{P}_\lambda = -4\mu \partial_\mu^2 - 4\lambda \partial_\mu + \Delta_h + \mathcal{A}_\lambda, \tag{16}$$

where $\mathcal{A}_\lambda \in \operatorname{Diff}^1(U^2; \operatorname{End} \mathcal{E})$ is the indicial family of \mathbf{A} . The most obvious conclusion we draw from such a presentation of \mathcal{P} is that \mathcal{P} is a family of elliptic operators on $U^2 \cap \{\mu > 0\}$ and a family of strictly hyperbolic operators for $\{\mu < 0\}$ (with respect to the level sets $\{\mu = \text{constant}\}$). Of course the ellipticity extends to all of X . The principal symbol on U^2 is also immediately recognisable as

$$\sigma(\mathcal{P}) = 4\mu \xi^2 + |\eta|^2$$

using the notation from (15) and $|\eta|^2 = \sum_{i=1}^n \eta_i^2$. And on U^2 , the Hamiltonian vector field associated with $\sigma(\mathcal{P})$ is

$$H_{\sigma(\mathcal{P})} = 8\mu \xi \partial_\mu - 4\xi^2 \partial_\xi + H_{|\eta|^2}.$$

The strategy to obtain a Fredholm problem is to combine standard results for elliptic and hyperbolic operators with some analysis performed at the junction $Y = \{\mu = 0\}$. The analysis was first presented

in [Vasy 2013a, Section 4.4]. It turns out the dynamics of interest are those of radial sources and sinks [Dyatlov and Zworski 2017, Definition E.52]. The original radial estimates of Melrose [1994] on asymptotically Euclidean spaces have been adapted to functions on asymptotically hyperbolic spaces by Vasy [2013a]. Indeed, to see that such dynamics are relevant for \mathcal{P} , consider $\sigma(\mathcal{P})$ and $H_{\sigma(\mathcal{P})}$ given in the preceding displays. Define the characteristic variety $\Sigma \subset \mathbb{T}^*X_{cs} \setminus 0$ which is contained in \mathbb{T}^*U . As $(\mu, y, 0, \eta) \notin \Sigma$, we may split Σ as $\Sigma = \Sigma_+ \cup \Sigma_-$, given by $\Sigma_{\pm} = \Sigma \cap \{\pm\xi > 0\}$. At Y remark that

$$\Sigma \cap \mathbb{T}_Y^*U = \{(0, y, \xi, 0) : \xi \neq 0\} \subset N^*Y$$

and, recalling the projection $\kappa : \mathbb{T}^*U \setminus 0 \rightarrow \partial\bar{\mathbb{T}}^*U$, define

$$\Gamma_+ = \kappa(\Sigma_+ \cap Y), \quad \Gamma_- = \kappa(\Sigma_- \cap Y).$$

In [Vasy 2013b, Section 3.2], it is shown that Γ_{\pm} are respectively a source and a sink for $\sigma(\mathcal{P})$. In order to apply Lemmas 4.7 and 4.8, we introduce the principal symbol of the imaginary part of \mathcal{P} . By Remark 4.9, $H_{\sigma(\mathcal{P})} = H_{\sigma(\mathcal{P}^*)}$ and by Proposition 6.7, $\mathcal{P}_{\lambda}^* = \mathcal{P}_{-\bar{\lambda}}$, hence $\sigma(\text{Im } \mathcal{P}) = -\sigma(\text{Im } \mathcal{P}^*)$. Also, by a direct calculation using the structure of $H_{\sigma(\mathcal{P})}$,

$$\langle \xi + \eta \rangle^{-1} H_{\sigma(\mathcal{P})} \log \langle \xi + \eta \rangle = \mp 4 \quad \text{on } \Gamma_{\pm}. \tag{17}$$

In fact Proposition 6.7 along with (16) gives more precisely

$$\text{Im } \mathcal{P}_{\lambda} = \frac{\mathcal{P}_{\lambda} - \mathcal{P}_{\lambda}^*}{2i} = 4i(\text{Re } \lambda) \partial_{\mu} + \frac{\mathcal{A}_{\lambda} - \mathcal{A}_{-\bar{\lambda}}}{2i}.$$

However, as \mathcal{A} is of first order, \mathcal{A}_{λ} may be written as the sum of a first-order operator independent of λ and a zeroth-order operator (which may depend on λ). Therefore

$$\sigma(\text{Im } \mathcal{P}_{\lambda}) = -4 \text{Re } \lambda \xi. \tag{18}$$

Bringing this all together in preparation for the proof of Proposition 7.3 we have:

Lemma 7.5. *For \mathcal{P} , we have Γ_+ is a source, while Γ_- is a source for $-\mathcal{P}$. In both situations, the threshold condition, when working on $H^s(X_{cs}; \mathcal{E})$, is satisfied if*

$$s > -\text{Re } \lambda + \frac{1}{2}.$$

For \mathcal{P}^ , we have Γ_- is a sink, while Γ_+ is a sink for $-\mathcal{P}^*$. In both situations, the threshold condition, when working on $H^{\tilde{s}}(X_{cs}; \mathcal{E})$, is satisfied if*

$$\tilde{s} < \text{Re } \lambda + \frac{1}{2}.$$

Proof. We explain the first result, all others are similar after taking into account Remark 4.9. On Γ_+ , by (17) and (18),

$$\langle \xi + \eta \rangle^{-1} (\sigma(\text{Im } \mathcal{P}) + (s - \frac{1}{2}) H_{\sigma(\mathcal{P})} \log \langle \xi + \eta \rangle) = -4(\text{Re } \lambda + s - \frac{1}{2}).$$

For this to be negative definite requires precisely that $s > -\text{Re } \lambda + \frac{1}{2}$. □

Lemma 7.6. *Restricting to $s > -\text{Re } \lambda + \frac{1}{2}$, the operators $\mathcal{P}_{\lambda} : \mathcal{X}^s \rightarrow \mathcal{Y}^{s-1}$ have finite-dimensional kernels.*

Proof. It suffices to obtain an estimate, for $u \in \mathcal{X}^s$, of the form

$$\|u\|_{\bar{H}^s} \leq C(\|\mathcal{P}_\lambda u\|_{\bar{H}^{s-1}} + \|\psi u\|_{H^{-N}})$$

for some ψ supported on $\{\mu > -\frac{1}{2}\}$ and such that $\psi = 1$ near $\{\mu > -\frac{1}{2} + \varepsilon\}$. This is done by writing $u = (\psi_- + \psi_0 + \psi_+)u$ with the supports of ψ_- , ψ_0 , ψ_+ respectively contained in $\{\mu < -\varepsilon\}$, $\{|\mu| < 2\varepsilon\}$, $\{\mu > \varepsilon\}$. The estimate for ψ_+u is due to ellipticity of \mathcal{P} . The estimate for ψ_-u is due to hyperbolicity, which allows us to reduce to the estimate for ψ_0u :

$$\|\psi_-u\|_{\bar{H}^s} \leq C(\|\mathcal{P}_\lambda u\|_{\bar{H}^{s-1}} + \|\psi_0u\|_{H^s}).$$

The estimate for ψ_0u is obtained by microlocalising. Away from Σ , ellipticity gives the result, while near Σ , propagation of singularities implies that the norms can be controlled by Γ_\pm . The high regularity results for Γ_+ and Γ_- from Lemma 4.7 are applicable as these are sources for \mathcal{P} and $-\mathcal{P}$ respectively. Lemma 7.5 ensures that the threshold conditions are satisfied (by hypothesis of this proposition). The desired estimate is obtained. \square

Lemma 7.7. *Restricting to $s > -\operatorname{Re} \lambda + \frac{1}{2}$, the operators $\mathcal{P}_\lambda : \mathcal{X}^s \rightarrow \mathcal{Y}^{s-1}$ have finite-dimensional cokernels.*

Proof. To show that the range is of finite codimension we study the adjoint operator \mathcal{P}^* . By (14) the dual space of $\bar{H}^{s-1}(X_{cs}; \mathcal{E})$ is $\dot{H}^{1-s}(\bar{X}_{cs}; \mathcal{E})$ and the dimension of the kernel of \mathcal{P}^* equals the dimension of the cokernel of \mathcal{P} . It suffices to obtain an estimate of the form

$$v \in \dot{H}^{1-s}(\bar{X}_{cs}; \mathcal{E}) \cap \ker \mathcal{P}^* \implies \|v\|_{\dot{H}^{1-s}} \leq C\|\psi v\|_{H^{-N}}$$

with ψ as defined in the previous proof. Again, we use the partition $v = (\psi_- + \psi_0 + \psi_+)v$. Again, the estimate for ψ_+v is due to ellipticity of \mathcal{P}^* . This time, the estimates for ψ_-v are immediate due to hyperbolicity and the requirement at S that v vanish to all orders, which implies that $v = 0$ on $\{\mu < 0\}$. The estimate for ψ_0v is obtained by microlocalising. (Away from $\operatorname{Char}(P)$, the result is obtained by ellipticity.) The low regularity results for Γ_- and Γ_+ from Lemma 4.8 are applicable as these are sinks for \mathcal{P}^* and $-\mathcal{P}^*$ respectively. Lemma 7.5 ensures that the threshold conditions are satisfied. Therefore there exist $A, B \in \Psi^0(X_{cs})$ with $\operatorname{Char}(A) \cap \Gamma_\pm = \emptyset$ and $\operatorname{WF}(B) \cap \Gamma_\pm = \emptyset$ such that $\|A\psi_0v\|_{H^{1-s}} \leq C(\|B\psi_0v\|_{H^{1-s}} + \|\psi v\|_{H^{-N}})$. As $v = 0$ on $\{\mu < 0\}$ and v is smooth (by ellipticity of \mathcal{P}^*) on $\{\mu > 0\}$, we have $\operatorname{WF}(B\psi_0v) \cap \operatorname{Char}(\mathcal{P}^*) = \emptyset$ so microellipticity gives $\|B\psi_0v\|_{H^{1-s}} \leq C\|\psi v\|_{H^{-N}}$. The desired estimate is obtained. \square

Lemma 7.8. *For \mathcal{P}_λ with $\lambda \in \mathbb{R}$ acting on $\bar{H}^s(X_{cs}; \mathcal{E})$, the kernel of \mathcal{P}_λ is trivial for $\lambda \gg 1$.*

Proof. Consider $u \in \ker \mathcal{P}_\lambda$. By the estimate obtained in Lemma 7.6, $u \in C^\infty(\bar{X}_{cs}; \mathcal{E})$. Restricting our attention to $\{\mu > 0\}$, Proposition 6.6 gives

$$\rho^{-\lambda-n/2+m-2} J \mathcal{Q}_\lambda J^{-1} \rho^{\lambda+n/2-m} u = 0,$$

so defining $\tilde{u} = J^{-1} \rho^{\lambda+n/2-m} u$, we get $\mathcal{Q}_\lambda \tilde{u} = 0$, or by Proposition 5.12,

$$(\nabla^* \nabla + \lambda^2 + \mathcal{D} + \mathcal{G}) \tilde{u} = 0.$$

Now \mathcal{D} may be bounded (up to a constant) by ∇ (and \mathcal{G} by a constant as the curvature is bounded on X) so we can find C independent of λ such that

$$|(Q_\lambda \tilde{u}, \tilde{u})_s| \geq C^{-1} \|\nabla \tilde{u}\|_s^2 + (\lambda^2 - C) \|\tilde{u}\|_s^2,$$

and taking $\lambda \gg \sqrt{C}$ shows $\tilde{u} = 0$ on $\{\rho > 0\}$. By smoothness, u vanishes on $\{\mu \geq 0\}$ (and so too do all its derivatives on Y). Standard hyperbolic estimates give the desired result $u = 0$ if we can show a type of unique continuation result that $u = 0$ on $\{\mu > -\varepsilon\}$.

To this end we work on U^2 and consider \mathbf{P} written in the form

$$\mathcal{P}_\lambda = -\mu \partial_\mu^2 + \Delta_h + \mathcal{B}_\lambda$$

for $\mathcal{B}_\lambda = -4\lambda \partial_\mu + \mathcal{A}_\lambda \in \text{Diff}^1(U^2; \text{End } \mathcal{E})$. Let $\langle \cdot, \cdot \rangle_{h,t}$ on $T^*Y \otimes \mathcal{E}$ denote the coupling of the metrics h on T^*Y with $\langle \cdot, \cdot \rangle_t$ on \mathcal{E} . For ease of presentation, we will assume throughout this proof that all objects are real-valued. Consider $u, v \in C_c^\infty(U^2, \mathcal{E})$ (and we may assume $\text{supp } u \subset (-1, 0] \times Y$). Then we have the formula

$$\langle {}^Y \nabla u, {}^Y \nabla v \rangle_{h,t} = \langle \Delta_h u, v \rangle_t + \text{div},$$

where div denotes any term which is of divergence nature on Y , and hence vanishes upon integrating over Y (using $d\text{vol}_h$). Indeed such an equation is obtained by considering $f \in C^\infty(Y)$ and calculating, at some value μ ,

$$\begin{aligned} \int_Y \langle {}^Y \nabla u, {}^Y \nabla v \rangle_{h,t} f \, d\text{vol}_h &= \int_Y \langle {}^Y \nabla u, {}^Y \nabla (fv) \rangle_{h,t} - \langle {}^Y \nabla u, {}^Y \nabla f \otimes v \rangle_{h,t} \, d\text{vol}_h \\ &= \int_Y (\langle \Delta_h u, v \rangle_t + \text{div}) f \, d\text{vol}_h, \end{aligned}$$

where the second term was dealt with in the following way:

$$\begin{aligned} \int_Y \langle {}^Y \nabla u, {}^Y \nabla f \otimes v \rangle_{h,t} \, d\text{vol}_h &= \int_Y \sum_i \langle {}^Y \nabla_{e_i} u, v \rangle_t \text{tr}_h(e^i \otimes {}^Y \nabla f) \, d\text{vol}_h \\ &= \int_Y {}^Y \nabla^* \left(\sum_i \langle {}^Y \nabla_{e_i} u, v \rangle_t e^i \right) f \, d\text{vol}_h. \end{aligned}$$

With this formula established we define, for given u ,

$$\mathcal{H}(\mu) = |\mu| \langle \partial_\mu u, \partial_\mu u \rangle_t + \langle {}^Y \nabla u, {}^Y \nabla u \rangle_{h,t} + \langle u, u \rangle_t$$

and on $\{\mu < 0\}$ (using $v = \partial_\mu u$ in the previously established formula)

$$-\partial_\mu \mathcal{H} = -2 \langle \mathcal{P}u, \partial_\mu u \rangle_t + \langle (2\mathcal{B}_\lambda - \partial_\mu)u, \partial_\mu u \rangle_t + \text{div} - \tilde{\mathcal{H}},$$

where $\tilde{\mathcal{H}}$ has the same structure as \mathcal{H} but with appearances of h (used to construct the various inner products) replaced by its Lie derivative, $\mathcal{L}_{\partial_\mu} h$. Recall that $\text{supp } u \subset (-1, 0] \times Y$ and u is smooth, and

hence $\partial_\mu^N u = 0$ at $\{\mu = 0\}$ for all N . Continuing to work on $\{\mu < 0\}$,

$$\begin{aligned}
 & -\partial_\mu(|\mu|^{-N}\mathcal{H}) + |\mu|^{-N}\operatorname{div} \\
 & \quad = -N|\mu|^{-N-1}\mathcal{H} - 2|\mu|^{-N}\operatorname{Re}\langle\mathcal{P}_\lambda u, \partial_\mu u\rangle_t + |\mu|^{-N}\langle(2\mathcal{B}_\lambda - \partial_\lambda)u, \partial_\mu u\rangle_t - |\mu|^{-N}\widetilde{\mathcal{H}}.
 \end{aligned}$$

Now suppose that $u \in \ker \mathcal{P}_\lambda$. Fix $\delta > 0$ small and let $0 < \varepsilon < \delta$. We take the previous display and insert it into the operator $\int_{-\delta}^{-\varepsilon} \int_Y \cdots d\mu d\operatorname{vol}_h$. The first term on the left-hand side of the previous display is treated with the fundamental theorem of calculus, and the second term vanishes due to the appearance of $\int_Y \operatorname{div} d\operatorname{vol}_h$. We claim the right-hand side is negative for large N . Indeed the second term vanishes as u is assumed in the kernel of \mathcal{P}_λ . Considering the third term, $\langle(2\mathcal{B}_\lambda - \partial_\lambda)u, \partial_\mu u\rangle_t$ is quadratic in $u, {}^Y\nabla u$, and $\partial_\mu u$; hence for N large enough, it may be bounded by $N|\mu|^{-1}\mathcal{H}$. Thus the third term’s potential positivity may be absorbed by the negativity of the first term. The fourth term may be treated in a similar manner upon consideration of the Taylor expansion of h at Y . We obtain

$$\delta^{-N} \int_Y \mathcal{H}(-\delta) d\operatorname{vol}_h \leq \varepsilon^{-N} \int_Y \mathcal{H}(-\varepsilon) d\operatorname{vol}_h.$$

As u is smooth and vanishes to all orders at $\mu = 0$, we may bound $\int_Y \mathcal{H}(-\varepsilon) d\operatorname{vol}_h$ by $C|\mu|^K$ on $[-\varepsilon, 0]$ for arbitrarily large K . We can obtain a similar bound for $\int_Y \mathcal{H}(-\delta) d\operatorname{vol}_h$, in particular, for $K > N$. This produces

$$\delta^{-N} \int_Y \mathcal{H}(-\delta) d\operatorname{vol}_h \leq C\varepsilon^{-N+K}$$

and letting $\varepsilon \rightarrow 0^+$ shows $\int_Y \mathcal{H}(-\delta) d\operatorname{vol}_h = 0$; hence $\mathcal{H}(-\delta) = 0$. Doing this for all δ less than the original δ gives $\mathcal{H} = 0$ near 0. Hence $\partial_\mu u$ and $\nabla^Y u$ vanish and $u = 0$ near 0. This suffices to conclude the proof. □

Lemma 7.9. *For \mathcal{P}_λ^* with $\lambda \in \mathbb{R}$ acting on $\dot{H}^{1-s}(\bar{X}_{cs}; \mathcal{E})$, the kernel of \mathcal{P}_λ^* is trivial for $\lambda \gg 1$.*

Proof. Take λ satisfying the threshold condition and consider $v \in \ker \mathcal{P}_\lambda^*$. Hyperbolicity, as used in Lemma 7.7, implies $v = 0$ on $\{\mu \leq 0\}$, and that v is smooth on X due to ellipticity. The strategy given in Lemma 7.7 implies $v \in \dot{H}^{\tilde{s}}(\bar{X}_{cs}; \mathcal{E})$ for all $\tilde{s} < \lambda + \frac{1}{2}$, which with $\lambda \gg n$ implies v is continuous. By the same logic, again by taking λ sufficiently large, we may assume v is regular enough to conclude $\partial_\mu^N v|_Y = 0$ for $N \leq \frac{1}{2}\lambda$. Equivalently, $v|_X \in \rho^{2N} C_{\text{even}}^\infty(\bar{X}; \mathcal{E})$. Meanwhile, direct calculations on $C^\infty(X; \mathcal{E})$ give

$$\begin{aligned}
 \rho^N \nabla^* \nabla \rho^{-N} &= \nabla^* \nabla - N^2 - N(\Delta \log \rho) + 2N \nabla_\rho \partial_\rho, \\
 \rho^N d\rho^{-N} &= d - N \frac{d\rho}{\rho}, \\
 \rho^N \delta \rho^{-N} &= \delta + N \frac{d\rho}{\rho} \lrcorner,
 \end{aligned}$$

where $\Delta \log \rho = n - (\frac{1}{2} \sum_{ij} h^{ij} \rho \partial_\rho h_{ij}) \in n - \rho^2 C_{\text{even}}^\infty(\bar{X}; \mathcal{E})$. Also for $\tilde{u} \in C_c^\infty(X; \mathcal{E})$ we have

$$|(2N \nabla_\rho \partial_\rho \tilde{u}, \tilde{u})_s| = \left| N \int_X \|u\|_s^2 \partial_\rho \left(\frac{d\rho d\operatorname{vol}_h}{\rho^n} \right) \right| \leq CN \|u\|_s^2.$$

So consider the difference operator $(Q_\lambda - N^2 + 2N\nabla_\rho \partial_\rho) - \rho^N Q_\lambda \rho^{-N}$ acting on $\tilde{u} \in C_c^\infty(X; \mathcal{E})$. All terms are of order N and of differential order 0. Similar to the previous proof (and using the preceding remark in order to treat the term involving $N\nabla_\rho \partial_\rho$) we may obtain

$$|(\rho^N Q_\lambda \rho^{-N} \tilde{u}, \tilde{u})_s| \geq C^{-1} \|\nabla \tilde{u}\|_s^2 + (\lambda^2 - N^2 - CN) \|\tilde{u}\|_s^2,$$

and provided $N \gg C$, the final term in the preceding display may be written with coefficient $\lambda^2 - 2N^2$. Set $N = \lfloor \frac{1}{2}\lambda \rfloor$ with $\lambda \gg 2C$ so that

$$|(\rho^N Q_\lambda \rho^{-N} \tilde{u}, \tilde{u})_s| \geq C^{-1} \|\nabla \tilde{u}\|_s^2 + \frac{1}{2} \lambda^2 \|\tilde{u}\|_s^2.$$

Considering the Hilbert space $\{w \in L_s^2(X; \mathcal{E}) : B(w, w) < \infty\}$ with $B(w, w) = \|\rho^N Q_\lambda \rho^{-N} w\|_s^2 < \infty$, the previous inequality shows that $w \mapsto (w, \tilde{f})_s$ is a linear functional for $\tilde{f} \in L_s^2(X; \mathcal{E})$ so by the Riesz representation theorem, there exists $\tilde{u} \in L_s^2(X; \mathcal{E})$ with $(\rho^N Q_\lambda \rho^{-N} w, \tilde{u})_s = (w, \tilde{f})_s$ for all w . To show v vanishes on X , it suffices to show $(f, v)_t = 0$ for all $f \in C_c^\infty(X; \mathcal{E})$. Let $f \in C_c^\infty(X; \mathcal{E})$ and

$$\tilde{f} = \rho^{\lambda+n/2-m+2} J^{-1} \rho^{-N} f \in C_c^\infty(X; \mathcal{E}).$$

Then the preceding argument gives $\tilde{u} \in L_s^2(X; \mathcal{E})$ such that $\rho^{-N} Q_\lambda \rho^N \tilde{u} = \tilde{f}$; hence $\mathcal{P}_\lambda u = f$, where

$$u = J \rho^{-\lambda-n/2+m} \rho^N \tilde{u} \in \rho^{-(1/2)\lambda+1} L_t^2(X; \mathcal{E})$$

(the inclusion is a consequence of Lemma 3.2). This gives u enough regularity to perform the following pairing which provides the desired result:

$$(f, v)_t = (\mathcal{P}_\lambda u, v)_t = (u, \mathcal{P}_\lambda^* v)_t = (u, 0)_t = 0. \quad \square$$

8. Proofs of theorems

8A. Proof of Theorem 1.1. Proposition 6.6 gives

$$Q_\lambda = J^{-1} \rho^{\lambda+n/2-m+2} \mathcal{P}_\lambda \rho^{-\lambda-n/2+m} J$$

By Propositions 7.3 and 7.4, there is a meromorphic family \mathcal{P}^{-1} on \mathbb{C} mapping $\dot{C}^\infty(X; \mathcal{E})$ to $C^\infty(X_{cs}; \mathcal{E})$. Hence an extension of Q^{-1} from $\text{Re } \lambda \gg 1$ to all of \mathbb{C} as a meromorphic family is given by

$$Q_\lambda^{-1} = J^{-1} \rho^{\lambda+n/2-m} r_X \mathcal{P}_\lambda^{-1} \rho^{-\lambda-n/2+m-2} J,$$

where r_X is the restriction of sections above X_{cs} to sections above X . The previous display implies

$$Q_\lambda^{-1} : \dot{C}^\infty(X; \mathcal{E}) \rightarrow \rho^{\lambda+n/2-m} J^{-1} C_{\text{even}}^\infty(\bar{X}; \mathcal{E})$$

and for $f \in \dot{C}^\infty(X; \mathcal{E})$, we may write near $\partial \bar{X}$

$$Q_\lambda^{-1} f|_U = \mu^{\lambda/2+n/4-m/2} J^{-1} \sum_{k=0}^m \sum_{\ell=0}^k (d\mu)^{k-\ell} \cdot \tilde{u}^{(\ell)}, \quad \tilde{u}^{(\ell)} \in C_{\text{even}}^\infty([0, 1) \times Y; \text{Sym}^\ell T^*Y).$$

The proof of [Lemma 3.2](#) shows that the part of J (or J^{-1}) which sends $\mathcal{E}^{(k)}$ to $\mathcal{E}^{(k+p)}$ for $0 \leq p \leq m - k$ is, up to a constant, $(d\mu/\mu)^p$. Therefore,

$$\mathcal{Q}_\lambda^{-1} f|_U \in \mu^{\lambda/2+n/4-m/2} \bigoplus_{k=0}^m \bigoplus_{p=0}^{m-k} \left(\frac{d\mu}{\mu}\right)^p \cdot \bigoplus_{\ell=0}^k (d\mu)^{k-\ell} \cdot C_{\text{even}}^\infty([0, 1] \times Y; \text{Sym}^\ell T^*Y).$$

Hence on X ,

$$\mathcal{Q}_\lambda^{-1} f \in \rho^{\lambda+n/2-m} \bigoplus_{k=0}^m \bigoplus_{p=0}^{m-k} \rho^{-2p} C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(k+p)}),$$

which is contained in $\rho^{\lambda+n/2-m} \bigoplus_{k=0}^m \rho^{-2k} C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(k)})$.

Remark 8.1. Suppose that, for $f \in \dot{C}^\infty(X; \mathcal{E})$, it were possible to write in the preceding proof that near $\partial\bar{X}$

$$\mathcal{Q}_\lambda^{-1} f|_U = \rho^{\lambda+n/2-m} J^{-1} \tilde{u}^{(m)}, \quad \tilde{u}^{(m)} \in C_{\text{even}}^\infty(\bar{U}; \mathcal{E}^{(m)}).$$

Then as J^{-1} acts as the identity upon restriction to $\mathcal{E}^{(m)}$, we would obtain

$$\mathcal{Q}_\lambda^{-1} f \in \rho^{\lambda+n/2-m} C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(m)}).$$

This will be useful for the asymptotics given in [Theorems 1.3](#) and [1.4](#).

8B. Proof of [Theorem 1.2](#). The meromorphic inverse of \mathcal{Q}_λ is precisely that given in the preceding proof:

$$\mathcal{Q}_\lambda^{-1} = J^{-1} \rho^{\lambda+n/2-m} r_X \mathcal{P}_\lambda^{-1} \rho^{-\lambda-n/2+m-2} J.$$

All we must check is that, given $f \in \dot{C}^\infty(X; \mathcal{E}) \cap \ker(\Lambda_{s^{-2}\eta} \circ \pi_s^*)$, the resulting section $u = \mathcal{Q}_\lambda^{-1} f$ is indeed trace-free with respect to the ambient trace operator. To this end, we first lift the equation $\mathcal{Q}_\lambda u = f$ to an equation on M involving \mathcal{Q} , giving

$$s^\lambda \mathcal{Q} s^{-\lambda} (\pi_s^* u) = \pi_s^* f.$$

We apply $\Lambda_{s^{-2}\eta}$ to obtain an equation on $\mathcal{F}^{(m-2)}$. Using the hypothesis $\Lambda_{s^{-2}\eta} \pi_s^* f = 0$ and [Lemma 5.5](#) to commute $s^{-2} \Lambda_{s^{-2}\eta}$ with \mathcal{Q} gives

$$s^2 s^\lambda \mathcal{Q} s^{-\lambda} s^{-2} \Lambda_{s^{-2}\eta} (\pi_s^* u) = 0.$$

Freezing this differential equation at $s = 0$ with $\pi_{s=0}$ to obtain the indicial family of \mathcal{Q} provides the equation

$$I_s(\mathcal{Q}, \lambda + 2) \pi_{s=0} \Lambda_{s^{-2}\eta} (\pi_s^* u) = 0.$$

[Section 7](#) ensures that, for $\text{Re } \lambda \gg 1$, this operator has trivial kernel; hence

$$\pi_{s=0} \Lambda_{s^{-2}\eta} (\pi_s^* u) = 0$$

and $u \in \ker(\Lambda_{s^{-2}\eta} \circ \pi_s^*)$ as required.

8C. Proof of Theorems 1.3 and 1.4. We are finally in a position to consider the original problem of proving Theorems 1.3 and 1.4. Let

$$f \in \dot{C}^\infty(X; \mathcal{E}^{(m)}) \cap \ker \Lambda \cap \ker \delta$$

and define, using Theorem 1.1,

$$u = \sum_{k=0}^m u^{(k)} = \mathcal{Q}_\lambda^{-1} f, \quad u^{(k)} \in \rho^{\lambda+n/2-m-2k} C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(k)}).$$

Note that the growth near $\partial\bar{X}$ of $u^{(k)}$ and $\delta u^{(k)}$ may be controlled by the size of $\text{Re } \lambda$; hence for $\text{Re } \lambda \gg 1$ we may assume that they are sections of $L_s^2(X; \mathcal{E}^{(k)})$ and $L_s^2(X; \mathcal{E}^{(k-1)})$ respectively. We claim, for $\text{Re } \lambda \gg 1$ and $|\text{Im } \lambda| \ll 1$, that

$$u = u^{(m)} \in \rho^{\lambda+n/2-m} C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(k)}) \cap \ker \Lambda \cap \ker \delta,$$

at which point the equation $\mathcal{Q}_\lambda u = f$ decouples giving

$$(\Delta + \lambda^2 - c_m)u = f,$$

and by uniqueness of the L^2 inverse of the Laplacian, we have the formula, for $\text{Re } \lambda \gg 1$ and $|\text{Im } \lambda| \ll 1$,

$$(\Delta + \lambda^2 - c_m)^{-1} = J^{-1} \rho^{\lambda+n/2-m} r_X \mathcal{P}_\lambda^{-1} \rho^{-\lambda-n/2+m-2} J,$$

with the right-hand side giving the meromorphic extension of the resolvent stated in the theorems.

To this end take $\text{Re } \lambda \gg 1$ and $|\text{Im } \lambda| \ll 1$. By Theorem 1.2, we deduce u is trace-free with respect to the ambient trace operator; thus \mathcal{Q}_λ takes the form detailed in Proposition 5.14. We begin by remarking that while working on $L_s^2(X; \mathcal{E}^{(k)})$, if $\mathcal{R}_\lambda^{(k)}$ is any operator of the form $(\Delta + \lambda^2 + O(1))^{-1}$ (which has order $O(|\lambda|^{-2})$), then the operator $d\mathcal{R}_\lambda^{(k)}\delta$ has norm of order $O(1)$. We define $\mathcal{R}_\lambda^{(0)} = (\Delta + \lambda^2 - c'_0)^{-1}$ and for $0 < k < m$,

$$\mathcal{R}_\lambda^{(k)} = (\Delta + \lambda^2 - c'_k + 4(m - k + 1)d\mathcal{R}_\lambda^{(k-1)}\delta)^{-1}.$$

The component of $\mathcal{Q}_\lambda u = f$ in $\mathcal{E}^{(0)}$ is

$$(\Delta + \lambda^2 - c'_0)u^{(0)} = 2\sqrt{m}\delta u^{(1)};$$

hence $u^{(0)} = 2\sqrt{m}\mathcal{R}_\lambda^{(0)}\delta u^{(1)}$. The component of $\mathcal{Q}_\lambda u = f$ in $\mathcal{E}^{(1)}$ now reads as

$$(\Delta + \lambda^2 - c'_1 + 4m d\mathcal{R}_\lambda^{(0)}\delta)u^{(1)} = 2\sqrt{m-1}\delta u^{(2)};$$

hence $u^{(1)} = 2\sqrt{m-1}\mathcal{R}_\lambda^{(1)}\delta u^{(2)}$. Continuing, we obtain on $\mathcal{E}^{(m)}$,

$$(\Delta + \lambda^2 - c_m + 4d\mathcal{R}_\lambda^{(m-1)}\delta)u^{(m)} = f.$$

Applying the divergence, we recall Lemma 5.2. For this, we must assume that if $m = 2$ then X has parallel Ricci curvature, and if $m \geq 3$ then X is locally isomorphic to \mathbb{H}^{n+1} . We obtain

$$(\Delta + \lambda^2 - c_m + 4\delta d\mathcal{R}_\lambda^{(m-1)})\delta u^{(m)} = 0.$$

Again, $\delta d\mathcal{R}_\lambda^{(m-1)}$ has norm of order $O(1)$ so we may invert this equation and deduce that $\delta u^{(m)} = 0$. This implies, for all $k < m$,

$$u^{(k)} = 2\sqrt{m-k}\mathcal{R}_\lambda^{(k)}\delta u^{k+1} = 0.$$

Therefore $u = u^{(m)}$. By Remark 8.1, $u \in \rho^{\lambda+n/2-m}C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(m)})$. By Theorem 1.2, $u \in \ker \Lambda$, and as previously mentioned $u \in \ker \delta$. This completes the proof.

9. Symmetric cotensors of rank 2

This section details the results stated in Sections 5 and 8 for rank-2 symmetric cotensors. In this low rank, writing the action of the d'Alembertian, or its conjugation Q , on $\mathcal{F} = \text{Sym}^2 T^*M$ is tractable.

9A. The operator Q for 2-cotensors. Using the decomposition given by the Minkowski scale, we write

$$u = \left[1 \quad \frac{ds}{s} \cdot \frac{1}{\sqrt{2}} \left(\frac{ds}{s} \right)^2 \right] \begin{bmatrix} u^{(2)} \\ u^{(1)} \\ u^{(0)} \end{bmatrix}, \quad u \in C^\infty(M; \mathcal{F}), \quad u^{(k)} \in C^\infty(M; \mathcal{E}^{(k)}).$$

The change of basis matrix J takes the form

$$J = \begin{bmatrix} 1 & \frac{d\rho}{\rho} \cdot \frac{1}{\sqrt{2}} \left(\frac{d\rho}{\rho} \right)^2 \\ 0 & 1 & \sqrt{2} \frac{d\rho}{\rho} \\ 0 & 0 & 1 \end{bmatrix}.$$

Propositions 5.6 and 5.10 become:

Proposition 9.1. *For $u \in C^\infty(M; \mathcal{F})$ decomposed relative to the Minkowski scale (2), the conjugated d'Alembertian Q is given by*

$$Qu = \left[1 \quad \frac{ds}{s} \cdot \frac{1}{\sqrt{2}} \left(\frac{ds}{s} \right)^2 \right] \begin{bmatrix} \Delta + (s \partial_s)^2 - c_2 - L\Lambda & 2d & -\sqrt{2}L \\ -2\delta & \Delta + (s \partial_s)^2 - c_1 & 2\sqrt{2}d \\ -\sqrt{2}\Lambda & -2\sqrt{2}\delta & \Delta + (s \partial_s)^2 - c_0 \end{bmatrix} \begin{bmatrix} u^{(2)} \\ u^{(1)} \\ u^{(0)} \end{bmatrix}$$

with constants

$$c_2 = \frac{1}{4}n(n-8), \quad c_1 = \frac{1}{4}(n^2+16), \quad c_0 = \frac{1}{4}(n^2+8n+8).$$

If, furthermore, u is trace-free with respect to the trace operator $\Lambda_{s^{-2}\eta}$, then $\Lambda u^{(2)} = -\sqrt{2}u^{(0)}$, and

$$Qu = \left[1 \quad \frac{ds}{s} \cdot \frac{1}{\sqrt{2}} \left(\frac{ds}{s} \right)^2 \right] \begin{bmatrix} \Delta + (s \partial_s)^2 - c'_2 & 2d & 0 \\ -2\delta & \Delta + (s \partial_s)^2 - c'_1 & 2\sqrt{2}d \\ 0 & -2\sqrt{2}\delta & \Delta + (s \partial_s)^2 - c'_0 \end{bmatrix} \begin{bmatrix} u^{(2)} \\ u^{(1)} \\ u^{(0)} \end{bmatrix}$$

with modified constants

$$c'_2 = c_2, \quad c'_1 = c_1, \quad c'_0 = \frac{1}{4}(n^2+8n).$$

9B. The indicial family of Q for 2-cotensors. Propositions 5.12 and 5.14 become:

Proposition 9.2. For $u = \sum_{k=0}^2 u^{(k)} \in C^\infty(X; \mathcal{E})$ the operator Q is given by

$$Q_\lambda u = \begin{bmatrix} \Delta + \lambda^2 - c_2 - L\Lambda & 2d & -\sqrt{2}L \\ -2\delta & \Delta + \lambda^2 - c_1 & 2\sqrt{2}d \\ -\sqrt{2}\Lambda & -2\sqrt{2}\delta & \Delta + \lambda^2 - c_0 \end{bmatrix} \begin{bmatrix} u^{(2)} \\ u^{(1)} \\ u^{(0)} \end{bmatrix}$$

and if, furthermore, $u \in \ker(\Lambda_{s^{-2}\eta} \circ \pi_s^*)$ then

$$Q_\lambda u = \begin{bmatrix} \Delta + \lambda^2 - c'_2 & 2d & 0 \\ -2\delta & \Delta + \lambda^2 - c'_1 & 2\sqrt{2}d \\ 0 & -2\sqrt{2}\delta & \Delta + \lambda^2 - c'_0 \end{bmatrix} \begin{bmatrix} u^{(2)} \\ u^{(1)} \\ u^{(0)} \end{bmatrix}$$

with previously given constants.

9C. Illustration of proof for 2-cotensors. Let $f \in \dot{C}^\infty(X; \mathcal{E}^{(2)}) \cap \ker \Lambda \cap \ker \delta$ and define

$$\begin{bmatrix} u^{(2)} \\ u^{(1)} \\ u^{(0)} \end{bmatrix} = J^{-1} \rho^{\lambda+n/2-2} r_X \mathcal{P}^{-1} \rho^{-\lambda-n/2} J \begin{bmatrix} f \\ 0 \\ 0 \end{bmatrix}.$$

Take $\operatorname{Re} \lambda \gg 1$ and $|\operatorname{Im} \lambda| \ll 1$. By [Theorem 1.1](#),

$$u^{(k)} \in \rho^{\lambda+n/2-2-2k} C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(k)}),$$

and by [Proposition 6.6](#), $Q_\lambda u = f$. [Theorem 1.2](#) forces

$$\Lambda_{s^{-2}\eta} \left(u^{(2)} + \frac{ds}{s} \cdot u^{(1)} + \frac{1}{\sqrt{2}} \left(\frac{ds}{s} \right)^2 \cdot u^{(0)} \right) = 0;$$

hence $\Lambda u^{(2)} = -\sqrt{2}u^{(0)}$, and $Q_\lambda u = f$ reads explicitly as

$$\begin{bmatrix} \Delta + \lambda^2 - c_2 & 2d & 0 \\ -2\delta & \Delta + \lambda^2 - c_1 & 2\sqrt{2}d \\ 0 & -2\sqrt{2}\delta & \Delta + \lambda^2 - c'_0 \end{bmatrix} \begin{bmatrix} u^{(2)} \\ u^{(1)} \\ u^{(0)} \end{bmatrix} = \begin{bmatrix} f \\ 0 \\ 0 \end{bmatrix}.$$

Introducing the resolvents $\mathcal{R}_\lambda^{(0)}$ and $\mathcal{R}_\lambda^{(1)}$ provides

$$\begin{bmatrix} \Delta + \lambda^2 - c_2 + 4d\mathcal{R}_\lambda^{(1)}\delta & 0 & 0 \\ -2\delta & \Delta + \lambda^2 - c_1 + 8d\mathcal{R}_\lambda^{(0)}\delta & 0 \\ 0 & -2\sqrt{2}\delta & \Delta + \lambda^2 - c'_0 \end{bmatrix} \begin{bmatrix} u^{(2)} \\ u^{(1)} \\ u^{(0)} \end{bmatrix} = \begin{bmatrix} f \\ 0 \\ 0 \end{bmatrix}$$

and applying δ , assuming that X is Einstein, provides the homogeneous equation

$$\begin{bmatrix} \Delta + \lambda^2 - c_2 + 4\delta d\mathcal{R}_\lambda^{(1)} & 0 & 0 \\ -2\delta & \Delta + \lambda^2 - c_1 + 8\delta d\mathcal{R}_\lambda^{(0)} & 0 \\ 0 & -2\sqrt{2}\delta & \Delta + \lambda^2 - c'_0 \end{bmatrix} \begin{bmatrix} \delta u^{(2)} \\ \delta u^{(1)} \\ \delta u^{(0)} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}.$$

The lower triangular nature of this system implies $\delta u^{(k)} = 0$ for all k . Hence the system $\mathcal{Q}_\lambda u = f$ collapses. So $u^{(0)}$ and $u^{(1)}$ vanish and by [Remark 8.1](#),

$$u = u^{(2)} \in \rho^{\lambda+n/2-2} C_{\text{even}}^\infty(\bar{X}; \mathcal{E}^{(k)}),$$

giving $(\Delta + \lambda^2 - c_2)u = f$.

10. High energy estimates via semiclassical analysis

This article shows the meromorphic continuation of the resolvent of the Laplacian on symmetric tensors using microlocal techniques. This direction means one does not talk about introducing complex absorbers but rather studies the problem on a manifold with boundary. If one were to follow more closely the track established by Vasy, one obtains semiclassical estimates. We state these estimates.

On X , whose smooth structure at infinity is the even structure given by μ rather than ρ , we have the semiclassical spaces $H_{|\lambda|^{-1}}^s(X; \mathcal{E})$.

Theorem 10.1. *Suppose that X is an even asymptotically hyperbolic manifold which is nontrapping. Then the meromorphic continuation, written as \mathcal{Q}_λ^{-1} , of the inverse of \mathcal{Q}_λ initially acting on $L_s^2(X; \mathcal{E})$ has nontrapping estimates holding in every strip $|\operatorname{Re} \lambda| < C$, $|\operatorname{Im} \lambda| \gg 0$: for $s > \frac{1}{2} + C$,*

$$\|\rho^{-\lambda-n/2+m} \mathcal{Q}_\lambda^{-1} f\|_{H_{|\lambda|^{-1}}^s(X; \mathcal{E})} \leq C |\lambda|^{-1} \|\rho^{-\lambda-n/2+m-2} f\|_{H_{|\lambda|^{-1}}^{s-1}(X; \mathcal{E})}.$$

If X is furthermore Einstein, then restricting to symmetric 2-cotensors, the meromorphic continuation \mathcal{R}_λ of the inverse of

$$\Delta - \frac{1}{4}n(n-8) + \lambda^2$$

initially acting on $L^2(X; \mathcal{E}^{(2)}) \cap \ker \Lambda \cap \ker \delta$ has nontrapping estimates holding in every strip $|\operatorname{Re} \lambda| < C$, $|\operatorname{Im} \lambda| \gg 0$: for $s > \frac{1}{2} + C$,

$$\|\rho^{-\lambda-n/2+2} \mathcal{R}_\lambda f\|_{H_{|\lambda|^{-1}}^s(X; \mathcal{E}^{(2)})} \leq C |\lambda|^{-1} \|\rho^{-\lambda-n/2} f\|_{H_{|\lambda|^{-1}}^{s-1}(X; \mathcal{E}^{(2)})}.$$

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