

## RECOLLEMENT AND IDEMPOTENT IDEALS

Dedicated to Professor Hiroyuki Tachikawa on his 60th birthday

By

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The notion of quasi-hereditary algebras was introduced by E. Cline, B. Parshall and L. Scott [3, 4, 8 and 9]. A quasi-hereditary algebra is defined by a chain of particular idempotent ideals, and induces a sequence of recollements of their derived categories. In case  $A$  is a semiprimary ring, V. Dlab and C.M. Ringel [5] studied the notion of a quasi-hereditary ring. The notion of recollement was introduced by A. A. Beilinson, J. Bernstein and P. Deligne [2]. In [7] we studied localization of triangulated categories and derived categories, and showed that recollement is equivalent to bilocalization.

Recall that an ideal  $I$  of a ring  $A$  is called idempotent if  $I = AeA$  for some idempotent  $e$  of  $A$ ; in particular,  $I$  is a minimal idempotent ideal provided that  $e$  is primitive. An ideal  $J$  of  $A$  is said to be a heredity ideal of  $A$  if  $J^2 = J$ ,  $J(\text{Rad } A)J = 0$ , and  $J_A$  is projective. Then, in case of  $A$  being a semiprimary ring,  $J$  is a heredity ideal if and only if there exists an idempotent  $e$  of  $A$  such that: (1)  $J = AeA$ ; (2)  $Ae \otimes_{eAe} eA \cong AeA$ ; (3)  $eAe$  is a semisimple ring [5, 9]. In this case, E. Cline, B. Parshall and L. Scott showed that  $\{D^b(\text{Mod } A/AeA), D^b(\text{Mod } A), D^b(\text{Mod } eAe)\}$  is recollement [9].

In this note, we give necessary and sufficient conditions for  $\{D^b(\text{Mod } A/AeA), D^b(\text{Mod } A), D^b(\text{Mod } eAe)\}$  to be recollement in case of  $A$  is left noetherian or semiprimary. In particular, we study when a minimal idempotent ideal satisfies recollement conditions. Throughout this note, we assume that all rings have unity and that all modules are unital. For a ring  $A$ ,  $\text{Mod } A$  (resp.  $A\text{-Mod}$ ) is the category of right (resp., left)  $A$ -modules, and  $\text{mod } A$  (resp.,  $A\text{-mod}$ ) is the category of finitely presented right (resp., left)  $A$ -modules.

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**THEOREM 1.** *Suppose  $A$  is a left noetherian or semiprimary ring. Let  $e$  be an idempotent of  $A$ . The following assertions are equivalent:*

- (1)  $\{D^b(\text{Mod } A/AeA), D^b(\text{Mod } A), D^b(\text{Mod } eAe)\}$  is recollement,
- (2) (i)  $\text{Tor}_i^A(A/AeA, A/AeA)=0$  for all  $i>0$ ; (ii)  $\{(a) \text{ or } (c)\}$  and  $\{(b) \text{ or } (d)\}$ .
- (3) (i)  $\text{Ext}_A^i(A/AeA_A, A/AeA_A)=0$  for all  $i>0$ ; (ii)  $(a)$  and  $\{(b) \text{ or } (d)\}$ ,
- (4) (i)  $\text{Ext}_A^i({}_A A/AeA, {}_A A/AeA)=0$  for all  $i>0$ ; (ii)  $(b)$  and  $\{(a) \text{ or } (c)\}$ ,
- (5) (i)  $Ae \otimes_{eAe} eA \cong AeA$  and  $\text{Tor}_i^{eAe}(Ae, eA)=0$  for all  $i>0$ ; (ii)  $\{(a) \text{ or } (c)\}$  and  $\{(b) \text{ or } (d)\}$ ,

where (a)  $\text{pdim } A/AeA_A < \infty$ , (b)  $\text{pdim } {}_A A/AeA < \infty$ , (c)  $\text{pdim } Ae_{eAe} < \infty$ , and (d)  $\text{pdim } e_{eAe}eA < \infty$ .

PROOF. First, we show that if  $A$  is left noetherian or semiprimary, then we have  $\text{wdim}_A A/AeA = \text{pdim}_A A/AeA$  and  $\text{wdim}_{eAe} eA = \text{pdim}_{eAe} eA$ . If  $A$  is left noetherian, then  ${}_A AeA$  is a finitely generated left  $A$ -module. Therefore we have an epimorphism  ${}_A Ae^{(n)} \rightarrow {}_A AeA$  for some  $n \in \mathbb{N}$ . This implies that  $eA$  is a finitely generated left  $eAe$ -module. By [1, Theorem 4], we have  $\text{wdim}_A A/AeA = \text{pdim}_A A/AeA$  and  $\text{wdim}_{eAe} eA = \text{pdim}_{eAe} eA$ . If  $A$  is semiprimary, then we have also same results by [1, Proposition 7]. According to [7, Section 2 and 3], it suffices to show that the condition (i) in (2)-(5) hold, in order to show that (1) implies the other assertions. Conversely, if the functor  $D^b(\text{Mod } A/AeA) \rightarrow D^b(\text{Mod } A)$  is fully faithful, then  $0 \rightarrow D^b(\text{Mod } A/AeA) \rightarrow D^b(\text{Mod } A) \rightarrow D^b(\text{Mod } eAe) \rightarrow 0$  is exact in the sense of [2]. According to [7, Section 2], (a) and (b) are equivalent to (c) and (d), respectively. And (ii) of the other assertions imply that  $\{D^b(\text{Mod } A/AeA), D^b(\text{Mod } A), D^b(\text{Mod } eAe)\}$  is recollement (see [7, Sections 2, 3 and Proposition 5.9] for details).

(1) $\Rightarrow$ (2):  $D^b(\text{Mod } A/AeA) \rightarrow D^b(\text{Mod } A)$  has a left adjoint, say  $G$ . Then  $G \cong L^{-b}(- \otimes_A A/AeA)$  (see [7, Section 3] or [8, Proof of (2.1) Theorem]). Therefore we have the following isomorphism in  $D^b(\text{Mod } A/AeA)$ :

$$A/AeA \cong L^{-b}(- \otimes_A A/AeA)(A/AeA).$$

In particular, we have

$$\text{Tor}_i^A(A/AeA, A/AeA) = 0 \quad \text{for all } i > 0.$$

(2) $\Rightarrow$ (1): According to [7, Proposition 5.3] or [8, Proof of (2.1) Theorem], we have a fully faithful functor

$$D^b(\text{Mod } A/AeA) \longrightarrow D^b(\text{Mod } A).$$

(1) $\Leftrightarrow$ (5): See [8, (2.1) Theorem] and [9, Theorem 2.1].

(1) $\Rightarrow$ (3): This is trivial by the following isomorphisms:

$$\begin{aligned} \text{Ext}_A^i(A/AeA, A/AeA) &\cong \text{Hom}_{D^b(\text{Mod } A)}(A/AeA, A/AeA[i]) \\ &\cong \text{Hom}_{D^b(\text{Mod } A/AeA)}(A/AeA, A/AeA[i]) \\ &= 0 \quad \text{for all } i > 0. \end{aligned}$$

(3) $\Rightarrow$ (1): By Rickard's results there exists a fully faithful functor  $D^-(\text{Mod } A/AeA) \rightarrow D^-(\text{Mod } A)$ , in particular, a fully faithful functor  $D^b(\text{Mod } A/AeA) \rightarrow D^b(\text{Mod } A)$  (see [6], [10] and [11]).

(4) $\Rightarrow$ (2): Considering (3) $\Rightarrow$ (1) in case of the left module categories (we need not assume that  $A$  is right noetherian),  $\{D^b(A/AeA\text{-Mod}), D^b(A\text{-Mod}), D^b(eAe\text{-Mod})\}$  is recollement. As well as (1) $\Rightarrow$ (2), we get  $\text{Tor}_i^A(A/AeA, A/AeA) = 0$  for all  $i > 0$ .

(2) $\Rightarrow$ (4): Since the condition (2) is symmetric,  $\{D^b(A/AeA\text{-Mod}), D^b(A\text{-Mod}), D^b(eAe\text{-Mod})\}$  is recollement (we need not assume that  $A$  is right noetherian). well as (1) $\Rightarrow$ (3), we get  $\text{Ext}_A^i({}_A A/AeA, {}_A A/AeA) = 0$  for all  $i > 0$ .

REMARK. (2)-(5) in the above theorem are also equivalent for right noetherian rings.

Recall that a ring  $A$  is called a noetherian algebra if its center  $Z(A)$  is a noetherian ring, and  $A$  is a finitely generated  $Z(A)$ -module.

PROPOSITION 2. *Let  $A$  be a noetherian algebra, and  $e$  an idempotent. The following assertions are equivalent:*

- (1)  $\{D^b(\text{mod } A/AeA), D^b(\text{mod } A), D^b(\text{mod } eAe)\}$  is recollement,
- (2)  $\{D^b(\text{Mod } A/AeA), D^b(\text{Mod } A), D^b(\text{Mod } eAe)\}$  is recollement.

PROOF. In general, if  $R$  is a right coherent ring, then we have  $D^b_{\text{mod } R}(\text{Mod } R) \cong D^b(\text{mod } R)$ . Also, for a given  $X \in \text{mod } R$ , if  $\text{Ext}_R^i(X, Y) = 0$  for all  $i > n$  and  $Y \in \text{mod } R$ , then  $\text{pdim } X_R \leq n$ .

(1) $\Rightarrow$ (2): Let  $F$  and  $G$  be right and left adjoint functors of  $D^b(\text{mod } A/AeA) \rightarrow D^b(\text{mod } A)$ , respectively. Since  $A$  is noetherian and  $A/AeA$  is a finitely generated  $A$ -module, we have  $G \cong L^{-b}(- \otimes_A A/AeA)$ , and  $\text{Tor}_i^A(A/AeA, A/AeA) = 0$  for all  $i > 0$  as well as (1) $\Rightarrow$ (2) in the proof of theorem 1. Moreover  $\text{Tor}_i^A(\text{mod } A, A/AeA) = 0$  implies  $\text{Tor}_i^A(\text{Mod } A, A/AeA) = 0$  for all  $i$ , in particular,  $\text{pdim } {}_A A/AeA < \infty$ . For given  $X \in \text{mod } A$ , we have the following isomorphisms:

$$\begin{aligned} \text{Ext}_A^i(A/AeA, X) &\cong \text{Hom}_{D^b(\text{mod } A)}(G(A/AeA), X[i]) \\ &\cong \text{Hom}_{D^b(\text{mod } A/AeA)}(A/AeA, FX[i]) \\ &\cong H^i(FX[i]) \quad \text{for all } i. \end{aligned}$$

Since  $FX[i]$  is contained in  $\mathbf{D}^b(\text{mod } A)$ , we get  $\text{pdim } A/AeA < \infty$ . Hence  $\{\mathbf{D}^b(\text{Mod } A/AeA), \mathbf{D}^b(\text{Mod } A), \mathbf{D}^b(\text{Mod } AeA)\}$  is recollement by Theorem 1.

(2) $\Rightarrow$ (1): Let  $E$  and  $H$  be right and left adjoint functors of  $\mathbf{D}^b(\text{Mod } A/AeA) \rightarrow \mathbf{D}^b(\text{Mod } A)$ , respectively. It is clear that  $\mathbf{D}^b(\text{mod } A/AeA) \rightarrow \mathbf{D}^b(\text{mod } A)$  has a left adjoint. Since  $A$  is a noetherian algebra, and  $A/AeA$  is finitely generated,  $\text{Ext}_A^i(A/AeA, X)$  is a finitely generated  $A/AeA$ -module for all  $X \in \text{mod } A$ . Then it is easy to see that  $\text{Im } H|_{\mathbf{D}^b(\text{mod } A)}$  is contained in  $\mathbf{D}^b_{\text{mod } A}(\text{Mod } A)$ . By the above equivalence,  $\mathbf{D}^b(\text{mod } A/AeA) \rightarrow \mathbf{D}^b(\text{mod } A)$  has a right adjoint. We are done by Theorem 1.

Let  $A$  be a left (or right) noetherian or semiprimary ring. An ideal  $I$  of  $A$  is called a recollement ideal of  $A$  if  $I = AeA$  with some idempotent  $e$  of  $A$  which satisfies the equivalent conditions (2)-(5) of Theorem 1. The next proposition is useful to exhibiting examples of recollement ideals.

**PROPOSITION 3.** *Let  $R$  be a commutative ring, and  $A$  and  $B$   $R$ -algebras. Suppose  $A$  is a left or right noetherian ring and  $B$  is a finitely generated projective  $R$ -module. If  $I$  is a recollement ideal of  $A$ , then  $I \otimes_R B$  is a recollement ideal of  $A \otimes_R B$ .*

**PROOF.** First,  $A \otimes_R B$  is a left or right noetherian ring, because  $B$  is a finitely generated  $R$ -module. Since  $B$  is  $R$ -projective, we have  $\text{pdim } I_A \cong \text{pdim } I \otimes_R B_{A \otimes_R B}$  and  $\text{pdim } {}_R I \cong \text{pdim } {}_R I \otimes_R B$ . And let  $P_\cdot$  be a projective resolution of  $A/I$ . Then we have

$$\begin{aligned} \text{Tor}_i^{A \otimes_R B}(A/I \otimes_R B, A/I \otimes_R B) &\cong H_i(P_\cdot \otimes_R B \otimes_{A \otimes_R B} A/I \otimes_R B) \\ &\cong H_i(P_\cdot \otimes_A A/I) \otimes_R B \\ &\cong \text{Tor}_i^A(A/I, A/I) \otimes_R B \\ &= 0 \quad \text{for all } i > 0. \end{aligned}$$

**LEMMA 4.** *If  $A$  is a local semiprimary ring, then  $\text{pdim } M$  is 0 or  $\infty$ , for all modules  $M$ .*

**PROPOSITION 5.** *Suppose  $A$  is a semiprimary ring. Let  $I$  be a minimal idempotent ideal of  $A$ . Then  $I$  is a recollement ideal of  $A$  if and only if  $I$  is projective as both a left and right  $A$ -module.*

**PROOF.** If  $I = AeA$  is projective as both a left and right  $A$ -module, then it is easy to see that  $A/AeA$  satisfies the condition (2) of Theorem 1. Con-

versely, if  $I=AeA$  is a recollement ideal, then  $AeA$  has finite projective dimension. Let  $P\cdot$  be a projective resolution of  $Ae$  as right  $eAe$ -modules. Then given any left  $A$ -module  $X$ , we get

$$\begin{aligned} \text{Tor}_i^A(AeA, X) &\cong \text{Tor}_i^A(Ae \otimes_{eAe} eA, X) \cong H_i(P\cdot \otimes_{eAe} eA \otimes_A X) \\ &\cong \text{Tor}_i^{eAe}(Ae, eX). \end{aligned}$$

For every left  $eAe$ -module  $Y$ , there exists a left  $A$ -module  $X$  such that  $Y$  is isomorphic to  $eX$ . Then  $Ae$  has finite projective dimension in  $\text{Mod } eAe$ . Since  $I$  is a minimal idempotent ideal of  $A$ ,  $eAe$  is a local semiprimary ring. Therefore  $Ae$  is a projective right  $eAe$ -module by Lemma 4. Hence  $AeA$  is a projective right  $A$ -module by the above isomorphisms. Similarly,  $AeA$  is also a projective left  $A$ -module.

According to the above proposition, it suffices to find idempotent ideals which are two-sided projective, when we want to find minimal recollement ideals. But the following proposition implies that heredity ideals are best possible in case of rings of finite global dimension.

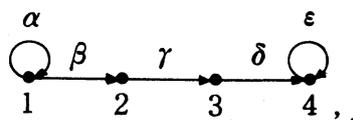
**PROPOSITION .6** *Suppose  $A$  is a semiprimary ring of finite global dimension. Let  $I$  be a minimal idempotent ideal. Then  $I$  is a recollement ideal if and only if  $I$  is a heredity ideal.*

**PROOF.** Let  $I$  be  $AeA$  with some idempotent  $e$  of  $A$ ,  $P\cdot$  a projective resolution of  $eAe/eJe$  as right  $eAe$ -modules. The  $P\cdot \otimes_{eAe} eA$  is a projective resolution of  $eA/eJeA$  as right  $A$ -modules, where  $J$  is the radical of  $A$ . Therefore, we get

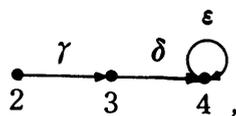
$$\begin{aligned} \text{Tor}_i^{eAe}(eAe/eJe, eX) &\cong H_i(P\cdot \otimes_{eAe} eA \otimes_A X) \\ &\cong \text{Tor}_i^A(eA/eJeA, X) \end{aligned}$$

According to assumption,  $\text{pdim } eA/eJeA < \infty$ , and  $\text{pdim } eAe/eJe < \infty$ . Since  $eAe$  is a local semiprimary ring,  $eAe/eJe$  is a projective  $eAe$ -module by Lemma 4. Hence  $eJe=0$ .

**EXAMPLES.** (a) Let  $A$  be a finite dimensional algebra over a field  $k$  which has a quiver with relations:

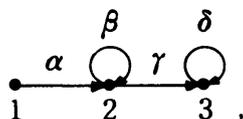


with  $\alpha^2 = \varepsilon^2 = \gamma\beta = 0$ . Then  $Ae_1A$  is projective as both sides. Moreover,  $e_1Ae_1$  is isomorphic to  $k[x]/(x^2)$  as a ring, and  $A/Ae_1A$  has the following quiver with relations:



with  $\varepsilon^2 = 0$ . Hence we have  $\text{pdim } A = \text{gldim } e_1Ae_1 = \text{gldim } A/Ae_1A = \infty$ .

(b) Let  $A$  be a finite dimensional algebra over a field  $k$  which has a quiver with relations:



with  $\beta\alpha = \delta\gamma = \beta^2 = \delta^2 = 0$ . Then  $A(e_1 + e_2)A$  is a recollement ideal. But  $Ae_2A$  is not a recollement ideal because of  $\text{pdim } Ae_2A = \infty$ .

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