

## ON THE CAUCHY PROBLEM FOR THE NONLINEAR KLEIN-GORDON EQUATION WITH A CUBIC CONVOLUTION

By

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**Abstract.** We study the Cauchy problem for the nonlinear Klein-Gordon equation with a cubic convolution  $\{V_\gamma * (w(t))^2\}w(t)$ , where  $V_\gamma(x) = |x|^{-\gamma}$ , in  $(x, t) \in \mathbf{R}^n \times \mathbf{R}$ . We prove the existence of weak solutions for  $0 < \gamma < n$ . We also prove that for  $0 < \gamma < \text{Min}\{4, n\}$  the weak solution is unique and there exists a regular solution.

**Key Words.** nonlinear Klein-Gordon equation, cubic convolution, Cauchy problem, global solution, uniqueness.

### 1. Introduction and Results.

We consider the Cauchy problem for the nonlinear Klein-Gordon equation;

$$(1.1) \quad \begin{cases} \partial_t^2 w(t) - \Delta w(t) + w(t) + F(w(t)) = 0 \\ w(0) = \phi(x), \quad \partial_t w(0) = \psi(x) \end{cases}$$

in  $(x, t) \in \mathbf{R}^n \times \mathbf{R}$ . Here  $w(t)$  is a real valued function and

$$(1.2) \quad F(w(t)) = \{V_\gamma * f(w(t))\}w(t),$$

where  $f(w) = w^2$ ,  $V_\gamma(x) = |x|^{-\gamma}$  ( $0 < \gamma < n$ ) and  $*$  denotes the spatial convolution. The study of this equation was begun in Strauss [13] and Menzala and Strauss [9]. In [9] they proved the existence of a global regular solution of (1.1) for  $0 < \gamma \leq 3$ . The main purpose of the present paper is to prove the same result for  $0 < \gamma < \text{Min}\{4, n\}$ . The upper bound  $\text{Min}\{4, n\}$  of  $\gamma$  has been already appeared in the case of nonlinear Schrödinger equation with the same nonlinear term. The case of Schrödinger equation has been studied by Chadam and Glassey [2], Glassey [6], Ginibre and Velo [4] and Hayashi and Tsutsumi [7]. It seems that  $\text{Min}\{4, n\}$  is a critical value caused by the Sobolev embedding theorem.

In order to state our results, we give the main notations used in this paper. We denote by  $\|\cdot\|_p$  the norm in  $L_p = L_p(\mathbf{R}^n)$ . Let  $H_p^s = H_p^s(\mathbf{R}^n)$  with  $s \in \mathbf{R}$  and

$1 \leq p < \infty$  (especially  $H^s = H^s(\mathbf{R}^n)$  for  $p=2$ ) be the Sobolev spaces which are the completion of  $C_0^\infty(\mathbf{R}^n)$  with norms

$$\|u\|_{s,p} = \|\mathcal{F}^{-1}((1+|\xi|^2)^{s/2}\hat{u}(\xi))\|_p.$$

Here  $\hat{\cdot}$  denotes the Fourier transformation and  $\mathcal{F}^{-1}$  is its inverse. For any interval  $I \subset \mathbf{R}$  and any Banach space  $B$ , we denote by  $C^k(I; B)$  the space of  $B$ -valued  $C^k$ -functions over  $I$ , and by  $C_w(I; B)$  the space of weakly continuous functions from  $I$  to  $B$ , and by  $C_L(I; B)$  the space of functions from  $I$  to  $B$  that are strongly Lipschitz continuous. We denote by  $C^k(I; \mathcal{D}')$  the space of  $\mathcal{D}'$ -valued functions  $u(t)$  such that  $\langle u(t), v \rangle$  is in  $C^k(I)$  for any  $v \in \mathcal{D}$ .

We shall use the operator  $\zeta(H)$  for suitable functions  $\zeta(\cdot)$  as follows:

$$\zeta(H)u = \mathcal{F}^{-1}(\zeta(\langle \xi \rangle)\hat{u}(\xi)) \quad \text{in } \mathcal{S}'.$$

where  $\langle \xi \rangle = (1 + |\xi|^2)^{1/2}$  and  $\mathcal{S}'$  means the tempered distribution.

Now we are ready to state our results.

**THEOREM 1.** *Let  $0 < \gamma < n$  ( $n \geq 1$ ). Assume that  $(\phi, \psi) \in H^1 \cap L_{4n/(2n-\gamma)} \times L_2$ . Then there exists a weak solution  $w(t)$  of (1.1) which satisfies the following:*

$$(1.3) \quad w(t) \in L_\infty(\mathbf{R}; H^1) \cap C_w(\mathbf{R}; H^1) \cap C_L(\mathbf{R}; L_2) \cap C^2(\mathbf{R}; \mathcal{D}'),$$

$$(1.4) \quad F(w(t)) \in L_\infty(\mathbf{R}; L_{2n/(n+\gamma)}) \cap C(\mathbf{R}; \mathcal{D}')$$

$$(1.5) \quad (w(t), v) = (\phi, \cos\{Ht\}v) + (\psi, H^{-1} \sin\{Ht\}v) - \int_0^t (F(w(\tau)), H^{-1} \sin\{H(t-\tau)\}v) d\tau,$$

$$(1.6) \quad \begin{cases} \frac{d^2}{dt^2}(w(t), v) + (w(t), (-\Delta + 1)v) + (F(w(t), v)) = 0 \\ (w(0), v) = (\phi, v), \quad \frac{d}{dt}(w(0), v) = (\psi, v). \end{cases}$$

Here  $v \in C_0^\infty(\mathbf{R}^n)$  and  $(\cdot, \cdot)$  is  $L_2$ -inner product. And we have the energy inequality

$$(1.7) \quad E(w(t), \partial_t w(t)) \leq E(\phi, \phi) \quad \text{for } t \in \mathbf{R}.$$

where

$$(1.8) \quad E(\phi, \phi) = \frac{1}{2} \|\phi\|_2^2 + \frac{1}{2} \|\phi\|_{1,2}^2 + \frac{1}{4} V_{(n+\gamma)/2} * f(\phi)\|_2^2.$$

**THEOREM 2.** *Let  $0 < \gamma < \text{Min}\{4, n\}$  ( $n \geq 1$ ) and  $(\phi, \psi) \in H^1 \times L_2$ . Let  $I$  be an open interval in  $\mathbf{R}$  and  $0 \in I$ . Then there exists at most one  $w(t)$  which satisfies (1.5) and*

$$(1.9) \quad w(t) \in L^\infty_{\text{loc}}(I; H^1) \quad \text{for } 0 < \gamma \leq 3,$$

$$(1.10) \quad w(t) \in L^{\text{loc}}(I; H^1) \cap L^{\text{loc}}(I; L_{p'}) \quad \text{for } 3 < \gamma < 4,$$

wher  $1/p' = 1/2 - (\gamma - 1)/2n$  and  $1/r = (\gamma - 3)/2$ .

**THEOREM 3.** *Let  $0 < \gamma < \text{Min}\{4, n\}$  ( $n \geq 1$ ).*

(i) *Let  $(\phi, \phi) \in H^1 \times L_2$ . Then  $w(t)$  which is obtained by Theorem 1 is unique and satisfies the following:*

$$(1.11) \quad w(t) \in C(\mathbf{R}; H^1) \cap C^1(\mathbf{R}; L_2) \quad \text{for } 0 < \gamma \leq 3,$$

$$(1.12) \quad w(t) \in C(\mathbf{R}; H^1) \cap C^1(\mathbf{R}; L_2) \cap L^{\text{loc}}(\mathbf{R}; L_{p'}) \quad \text{for } 3 < \gamma < 4,$$

$$(1.13) \quad E(w(t), \partial_t w(t)) = E(\phi, \phi) \quad \text{for } t \in \mathbf{R},$$

where  $r$  and  $p'$  are given in Theorem 2.

(ii) *Let  $(\phi, \phi) \in H^k \times H^{k-1}$  ( $k \in \mathbf{N}$  (natural number) and  $k \geq 2$ ). Then (1.1) has a unique solution  $w(t)$  which satisfies*

$$(1.14) \quad w(t) \in \bigcap_{i=0}^k C^i(\mathbf{R}; H^{k-i}).$$

**COROLLARY.** (i) *If  $k > n/2 + 2$ ,  $w(t)$  is in  $C^2(\mathbf{R}^n \times \mathbf{R})$ .*

(ii) *If  $k = \infty$ ,  $w(t)$  is in  $C^\infty(\mathbf{R}^n \times \mathbf{R})$ .*

**REMARK.** (i) If  $1 < \gamma < \text{Min}\{4, n\}$ , we have  $H^1 \hookrightarrow L_{4n/(2n-\gamma)}$  by the Sobolev embedding theorem. So the initial condition  $\phi \in H^1 \cap L_{4n/(2n-\gamma)}$  becomes  $\phi \in H^1$  in Theorem 2 and 3.

(ii) The upper bound  $\text{Min}\{4, n\}$  of  $\gamma$  has been already appeared in the case of the nonlinear Schrödinger equation. (See [4] and [7].)

Theorem 1 is proved by the compactness method which were used by Segal in [12]. He used this method for the nonlinear Klein-Gordon equation with the power nonlinearity. (See also Reed [11] 5.) We can choose a convergent subsequence from solutions of the equation which approximate (1.1) by the double convolution mollifier due to Ginibre and Velo [3].

In the case  $0 < \gamma \leq 3$  the same results of Theorem 2 and 3 have been already proved by [9]. Thus, we shall prove Theorem 2 and 3 in the case  $3 < \gamma < 4$ .

Theorem 2 is proved by the contraction method.

In order to prove Theorem 3, we show that a weak solution obtained by Theorem 1 becomes a regular solution. For this purpose we estimate the solutions of the approximating equation used for the proof of Theorem 1. This method has been already used by Ginibre and Velo [5] and Motai [10] in the case where  $F(w)$  is the power nonlinearity.

## 2. Proof of Theorem 1.

First we approximate the nonlinear term by the double convolution mollifier due to Ginibre and Velo [3]. We choose an even non-negative function  $h \in C_0^\infty(\mathbf{R}^n)$  such that  $\|h\|_1=1$ . For any  $j \in \mathbf{N}$  (natural number) we put

$$(2.1) \quad F_j(u) = h_j * \{V_\gamma * f(h_j * u)h_j * u\},$$

where  $h_j(x) = j^n h(jx)$ . Corresponding to (2.1), we consider the Cauchy problem;

$$(2.2) \quad \begin{cases} \partial_t^2 w_j(t) - \Delta w_j(t) + w_j(t) + F_j(w_j(t)) = 0 \\ w_j(0) = h_j * \phi, \quad \partial_t w_j(0) = h_j * \psi. \end{cases}$$

LEMMA 2.1. Let  $0 < \gamma < n$  ( $n \geq 1$ ). Assume that  $(\phi, \psi) \in H^1 \cap L_{4n/(2n-\gamma)} \times L_2$ . Then for all  $j \in \mathbf{N}$  (2.2) has a unique solution  $w_j(t)$  such that

$$(2.3) \quad w_j(t) \in \bigcap_{i=0}^k C^i(\mathbf{R}; H^{k-i}) \quad \text{for any } k \in \mathbf{N}.$$

And  $w_j(t)$  satisfies the integral equation in  $H^k$ ;

$$(2.4) \quad w_j(t) = w_j^0(t) - \int_0^t H^{-1} \sin \{H(t-\tau)\} F_j(w_j(\tau)) d\tau,$$

where

$$(2.5) \quad w_j^0(t) = \cos \{Ht\} h_j * \phi + H^{-1} \sin \{Ht\} h_j * \psi.$$

In addition the conservation of energy holds;

$$(2.6) \quad E_j(w_j(t), \partial_t w_j(t)) = E_j(h_j * \phi, h_j * \psi) \quad \text{for } t \in \mathbf{R},$$

where

$$(2.7) \quad E_j(\phi, \psi) = \frac{1}{2} \|\phi\|_2^2 + \frac{1}{2} \|\phi\|_{1,2}^2 + \frac{1}{4} \|V_{(n+\gamma)/2} * f(h_j * \phi)\|_2^2.$$

PROOF. Applying Reed [11] Theorem 2 in section 1 to (2.2), we can show the existence of a unique global solution. Employing the same arguments as in Ginibre and Velo [3] Proposition 3.3, we can also prove (2.6).  $\square$

We obtain the following lemma by the compactness method.

LEMMA 2.2. Let  $w_j(t)$  ( $j \in \mathbf{N}$ ) be a solution of (2.2) obtained by Lemma 2.1. Then  $\{w_j(t)\}$  has a convergent subsequence (again denoted by  $\{w_j(t)\}$ ) as follows: For any compact interval  $I \subset \mathbf{R}$  and any compact subset  $K \subset \mathbf{R}^n$

$$(2.8) \quad w_j(t) \longrightarrow w(t) \quad \text{in } C(I; L_2(K)) \quad \text{as } j \rightarrow \infty.$$

Here  $w(t)$  satisfies

$$(2.9) \quad w(t) \in L_\infty(\mathbf{R}; H^1) \cap C_w(\mathbf{R}; H^1) \cap C_L(\mathbf{R}; L_2).$$

PROOF. Noting (2.6), the Ascoli-Arzelà theorem yields (2.8) and (2.9). For details please refer to Segal [12] and Reed [11] 5.  $\square$

The following lemma is the well-known Sobolev's inequality.

LEMMA 2.3. *Let  $1 < q < p < \infty$  and  $0 < \gamma < n$  ( $n \geq 1$ ). Then we have*

$$(2.10) \quad \|V_\gamma * u\|_p \leq C \|u\|_q$$

provided that

$$(2.11) \quad \frac{1}{p} = \frac{1}{q} + \frac{\gamma}{n} - 1.$$

PROOF. See Hörmander [8] Theorem 4.5.3 for a proof.  $\square$

LEMMA 2.4. *Let  $0 < \gamma < n$  ( $n \geq 1$ ). We have*

$$(2.12) \quad \left| \int V_\gamma * f(w)(x) u(x) v(x) dx \right| \leq C \|V_{(n+\gamma)/2} * f(w)\|_2 \|uv\|_{2n/(2n-\gamma)} \\ \leq C \|V_{(n+\gamma)/2} * f(w)\|_2 \|u\|_2 \|v\|_{2n/(n-\gamma)}$$

for suitable functions  $u, v$  and  $w$ .

PROOF. Using the Plancherel theorem and the Schwartz inequality we have

$$(2.13) \quad \int V_\gamma * f(w)(x) u(x) v(x) dx = (2n)^{-n} \int |\xi|^{(r-n)/2} \hat{f}(w)(\xi) |\xi|^{(r-n)/2} \hat{u} \hat{v} d\xi \\ \leq \|V_{(n+\gamma)/2} * f(w)\|_2 \|V_{(n+\gamma)/2} * (uv)\|_2.$$

It follows from Lemma 2.3 and the Hölder inequality that

$$(2.14) \quad \|V_{(n+\gamma)/2} * (uv)\|_2 \leq C \|uv\|_{2n/(2n-\gamma)} \leq C \|u\|_2 \|v\|_{2n/(n-\gamma)}.$$

(2.13) and (2.14) show that (2.12) holds.  $\square$

LEMMA 2.5. *Let  $0 < \gamma < n$  ( $n \geq 1$ ). Let  $w_j(t)$  be a solution of (2.2) obtained by Lemma 2.1. Then the following estimates holds:*

$$(2.15) \quad \|V_{(n+\gamma)/2} * f(h_j * w_j(t))\|_2 \leq C(\phi, \psi),$$

$$(2.16) \quad \|V_\gamma * f(h_j * w_j(t))\|_{2n/\gamma} \leq C(\phi, \psi),$$

$$(2.17) \quad \|F_j(w_j(t))\|_{2n/(n+\gamma)} \leq C(\phi, \psi)$$

for  $j \in \mathbf{N}$  and  $t \in \mathbf{R}$ , where  $C(\phi, \psi)$  is a positive constant which is dependent on  $(\phi, \psi)$  but independent of  $t$  and  $j$ .

PROOF. Noting (2.6), we have (2.15) by Lemma 2.3.

From Lemma 2.4 it follows that

$$(2.18) \quad \left| \int V_\gamma * f(h_j * w_j(t)) v(x) dx \right| \leq C \|V_{(n+\gamma)/2} * f(h_j * w_j(t))\|_2 \|v\|_{2n/(2n-\gamma)}$$

for  $v \in C_0^\infty(\mathbf{R}^n)$ . Therefore we obtain (2.16) by (2.15), the density and the duality. Noting  $\|w_j(t)\|_2 \leq C(\phi, \phi)$ , (2.17) follows from (2.16) and the Hölder inequality.  $\square$

LEMMA 2.6. *Let  $I$  be any compact interval in  $\mathbf{R}$ . Let  $\{w_j(t)\}$  be a convergent subsequence obtained by Lemma 2.2. Then it has the following properties:*

$$(2.19) \quad V_{(n+\gamma)/2} * f(h_j * w_j(t)) \longrightarrow V_{(n+\gamma)/2} * f(w(t))$$

*weakly in  $L_2$  and uniformly on  $I$  and*

$$(2.20) \quad F_j(w_j(t)) \longrightarrow F(w(t))$$

*weakly in  $L_{2n/(n+\gamma)}$  for  $t \in I$  as  $j \rightarrow \infty$ .*

In order to prove this lemma, we prepare two lemmas.

LEMMA 2.7. *For any compact interval  $I \subset \mathbf{R}$  and any compact subset  $K \subset \mathbf{R}^n$  we have*

$$(2.21) \quad h_j * w_j(t) \longrightarrow w(t) \quad \text{in } C(I; L_2(K)) \quad \text{as } j \rightarrow \infty.$$

PROOF. Noting (2.8), we can prove (2.21) easily. So we may omit the proof.  $\square$

LEMMA 2.8. *Let  $0 < \gamma < n$ . For any compact interval  $I \subset \mathbf{R}$  we have*

$$(2.22) \quad V_\gamma * f(h_j * w_j(t)) \longrightarrow V_\gamma * f(w(t)) \quad \text{in } \mathcal{D}'$$

*uniformly on  $I$  as  $j \rightarrow \infty$ .*

PROOF. Let  $v \in C_0^\infty(\mathbf{R}^n)$  and  $\text{supp } v \subset \{x; |x| \leq R\}$ . By the Fubini theorem we have

$$(2.23) \quad \begin{aligned} \int V_\gamma * \{f(h_j * w_j(t)) - f(w(t))\} v(x) dx &= \int \{f(h_j * w_j(t)) - f(w(t))\} V_\gamma * v(x) dx \\ &= \int_{|x| \leq R+m} + \int_{|x| \geq R+m} \\ &= I_1 + I_2. \end{aligned}$$

Here  $m$  is a suitable number which will be chosen later. If  $|x| \geq R+m$ , we

have  $|x-y| \geq m$  for  $|y| \leq R$ . Noting this, we obtain

$$(2.24) \quad |I_2| \leq m^{-\gamma} \int |f(h_j * w_j(t)) - f(w(t))| dx \int |v(y)| dy \\ \leq m^{-\gamma} (\|h_j * w_j(t)\|_2^2 + \|w(t)\|_2^2) \|v\|_1.$$

Next we estimate  $I_1$ . We have

$$(2.25) \quad |I_1| \leq \int_{|x| \leq R+m} \left\{ |f(h_j * w_j(t)) - f(w(t))| \int_{|y| \leq R} |x-y|^{-\gamma} |v(y)| dy \right\} dx.$$

It follows from  $n-1-\gamma > -1$  that

$$(2.26) \quad \int_{|y| \leq R} |x-y|^{-\gamma} |v(y)| dy \leq C(2R+m)^{n-\gamma} \|v\|_\infty.$$

This implies that

$$(2.27) \quad |I_1| \leq C(2R+m)^{n-\gamma} (\|w_j(t)\|_2 + \|w(t)\|_2) \|v\|_\infty \|h_j * w_j(t) - w(t)\|_{L_2(|x| \leq R+m)}.$$

Choosing  $m$  sufficiently large, we have (2.22) by (2.6), (2.9), (2.24), (2.27) and Lemma 2.7.  $\square$

We are ready to prove Lemma 2.6.

PROOF OF LEMMA 2.6. As  $0 < (n+\gamma)/2 < n$ , we have (2.19) by (2.15) and Lemma 2.8.

By (2.17) we obtain (2.20) if we can show that

$$(2.28) \quad F_j(w_j(t)) \longrightarrow F(w(t)) \quad \text{in } \mathcal{D}' \text{ for } t \in I$$

as  $j \rightarrow \infty$ . For  $v \in C_0^\infty(\mathbf{R}^n)$  we have

$$(2.29) \quad (F_j(w_j(t)) - F(w(t)), v) = (V_\gamma * f(h_j * w_j(t)) h_j * w_j(t), h_j * v - v) \\ + (F(h_j * w_j(t)) - F(w(t)), v) \\ = I_1 + I_2.$$

Lemma 2.4, (2.15) and (2.6) imply that

$$(2.30) \quad |I_1| \leq C \|V_{(n+\gamma)/2} * f(h_j * w_j(t))\|_2 \|w_j(t)\|_2 \|h_j * v - v\|_{2n/(n-\gamma)} \\ \leq C(\phi, \phi) \|h_j * v - v\|_{2n/(n-\gamma)}.$$

We put

$$(2.31) \quad I_2 = (V_\gamma * f(h_j * w_j(t)) \{h_j * w_j(t) - w(t)\}, v) \\ + (V_\gamma * \{f(h_j * w_j(t)) - f(w(t))\} w(t), v) \\ = I_{21} + I_{22}.$$

Again by Lemma 2.4 and (2.15) we have

$$(2.32) \quad |I_{21}| \leq C(\phi, \psi) \|h_j * w_j(t) - w(t)\|_{L_2(\text{supp } v)} \|v\|_{2n/(n-\gamma)}.$$

We can rewrite  $I_{22}$  as follows:

$$(2.33) \quad I_{22} = (V_\gamma * \{f(h_j * w_j(t)) - f(w(t))\}, w(t)v).$$

On the other hand it follows from (2.16) and Lemma 2.8 that

$$(2.34) \quad V_\gamma * f(h_j * w_j(t)) \longrightarrow V_\gamma * f(w(t))$$

weakly in  $L_{2n/\gamma}$  and uniformly on  $I$  as  $j \rightarrow \infty$ . By the Hölder inequality and (2.6) we have  $w(t)v \in L_{2n/(2n-\gamma)}$ . Noting this, (2.34) implies that  $I_{22} \rightarrow 0$  as  $j \rightarrow \infty$ . So (2.30), (2.32) and Lemma 2.7 show that (2.28) holds.  $\square$

Now we are in a position to prove Theorem 1.

**PROOF OF THEOREM 1.** Let  $\{w_j(t)\}$  be a convergent subsequence obtained by Lemma 2.2. We multiply  $v \in C_0^\infty(\mathbf{R}^n)$  by (2.4) and integrate on  $\mathbf{R}^n$ . Then we have

$$(2.35) \quad (w_j(t), v) = (h_j * \phi, \cos \{Ht\}v) + (h_j * \phi, H^{-1} \sin \{Ht\}v) \\ - \int_0^t (F_j(w_j(\tau)), H^{-1} \sin \{H(t-\tau)\}v) d\tau.$$

Using the Hausdorff-Young inequality, we can show that  $H^{-1} \sin \{H(t-\tau)\}v \in L_{2n/(n-\gamma)}$ . Thus it follows from (2.20) that

$$(2.36) \quad (F_j(w_j(\tau)), H^{-1} \sin \{H(t-\tau)\}v) \longrightarrow (F(w(\tau)), H^{-1} \sin \{H(t-\tau)\}v)$$

as  $j \rightarrow \infty$ . By the Hölder inequality, (2.17) and the Hausdorff-Young inequality we have

$$(2.37) \quad (F_j(w_j(t)), H^{-1} \sin \{H(t-\tau)\}v) \leq \|F_j(w_j(\tau))\|_{2n/(n+\gamma)} \|H^{-1} \sin \{H(t-\tau)\}v\|_{2n/(n-\gamma)} \\ \leq C(\phi, \psi) \|\hat{v}\|_{2n/(n+\gamma)}.$$

(2.36) and (2.37) mean that we can use the Lebesgue dominated convergence theorem. Thus letting  $j \rightarrow \infty$  in (2.35), we obtain (1.5).

Noting  $\phi \in L_{4n/(2n-\gamma)}$ , (2.6) and (2.19) imply (1.7).

Next we show that

$$(2.38) \quad (w(t), v) \in C^2(\mathbf{R}) \quad \text{for any } v \in C_0^\infty(\mathbf{R}^n).$$

From (1.5) it follows that  $(w(t), v) \in C^1(\mathbf{R})$  and

$$(2.39) \quad \frac{d}{dt}(w(t), v) = -(\phi, H^{-1} \sin \{Ht\}v) + (\phi, \cos \{Ht\}v) \\ - \int_0^t (F(w(\tau)), \cos \{H(t-\tau)\}v) d\tau.$$



If we show that

$$(2.40) \quad (F(w(t)), v) \in C(\mathbf{R}).$$

(2.38) can be proved. Let  $t \in \mathbf{R}$  and be fixed. Put

$$(2.41) \quad \begin{aligned} J(\eta) &= (F(w(t+\eta)) - F(w(t)), v) \\ &= (V_\gamma * \{f(w(t+\eta)) - f(w(t))\} w(t), v) \\ &\quad + (V_\gamma * f(w(t+\eta)) \{w(t+\eta) - w(t)\}, v) \\ &= J_1(\eta) + J_2(\eta). \end{aligned}$$

By (2.12) we obtain

$$(2.42) \quad |J_2(\eta)| \leq C \|V_{(n+\gamma)/2} * f(w(t+\eta))\|_2 \|w(t+\eta) - w(t)\|_2 \|v\|_{2n/(2n-\gamma)}.$$

From (1.7) and (2.9) it follows that  $|J_2(\eta)| \rightarrow 0$  as  $\eta \rightarrow 0$ . By (2.3) and (2.16) we can show that

$$(2.43) \quad V_\gamma * f(h_j * w_j(t)) \in C_w(\mathbf{R}; L_{2n/\gamma}).$$

(2.34) and (2.43) imply that

$$(2.44) \quad V_\gamma * f(w(t)) \in C_w(\mathbf{R}; L_{2n/\gamma}).$$

Noting  $w(t)v \in L_{2n/(2n-\gamma)}$ , by (2.44) we have  $|J_1(\eta)| \rightarrow 0$  as  $\eta \rightarrow 0$ . Then (2.40) is proved. Noting (2.9), (2.17) and (2.20), (1.3) and (1.4) have already been proved. (1.5) implies (1.6). Thus the proof of Theorem 1 is completed.

### 3. Proof of Theorem 2.

We begin with the well known estimates for the elementary solution of the linear Klein-Gordon equation.

PROPOSITION 3.1. *Let  $1 < p \leq 2$  and  $1/p + 1/p' = 1$ . Put  $\delta(p') = 1/2 - 1/p'$ .*

(i) *Let  $p', s'$  and  $s$  satisfy*

$$(3.1) \quad (n+1)\delta(p') \leq 1 + s - s'.$$

*Then we have for  $g \in C_0^\infty(\mathbf{R}^n)$*

$$(3.2) \quad \|H^{-1} \sin \{Ht\} g\|_{s', p'} \leq C |t|^{1+s-s'-2n\delta(p')} \|g\|_{s, p}.$$

(ii) *Put  $1/r = s' + n\delta(p') - 1$ . Let  $p', r$  and  $s'$  satisfy*

$$(3.3) \quad 0 \leq \frac{1}{r} < \frac{1}{2} \quad \text{and} \quad s' \leq 1 - \frac{(n+1)}{2} \delta(p').$$

*Then we have for  $g \in C_0^\infty(\mathbf{R}^n)$*

$$(3.4) \quad \|H^{-1} \sin \{Ht\} g\|_{L_r(\mathbf{R}; H_{p'}^{s'})} \leq C \|g\|_2.$$

PROOF. (i) See Brenner [1] Appendix 2 for a proof.

(ii) See Ginibre and Velo [5] Lemma 3.1 for a proof.  $\square$

The following lemma is useful to estimate the nonlinear term.

LEMMA 3.2. *Let  $p, a, b$  and  $q$  satisfy*

$$(3.5) \quad \frac{1}{p} = \frac{1}{a} + \frac{1}{b} + \frac{1}{q} + \frac{\gamma}{n} - 1 \quad \text{and} \quad 1 - \frac{\gamma}{n} < \frac{1}{a} + \frac{1}{b} < 1.$$

Then we have

$$(3.6) \quad \|F(u) - F(v)\|_p \leq C(\|u - v\|_a \|u + v\|_b \|u\|_q + \|v\|_a \|v\|_b \|u - v\|_q)$$

for suitable functions  $u$  and  $v$ .

PROOF. By the Hölder inequality and Lemma 2.3 we have (3.6). (2.11) yields (3.5).  $\square$

PROOF OF THEOREM 2. As mentioned in the introduction, we will prove in the case  $3 < \gamma < 4$  ( $n \geq 4$ ). Let  $I$  be an open interval and  $J$  be any finite interval such that  $0 \in J \subset I$ . Let  $I_0$  be an interval such that  $0 \in I_0 \subset J$ . Put

$$X(I_0) = L_\infty(I_0; H^1) \cap L_r(I_0; L_{p'}).$$

The norm of  $X(I_0)$  is given by

$$\|u\|_{X(I_0)} = \text{Max}\{\|u\|_{L_\infty(I_0; H^1)}, \|u\|_{L_r(I_0; L_{p'})}\}.$$

From Lemma 2.4, Lemma 2.3 and the embedding  $H^1 \hookrightarrow L_{4n/(2n-\gamma)}$  it follows that

$$(3.7) \quad \left| \int F(w(t))v(x)dx \right| \leq \|w(t)\|_{1,2}^3 \|v\|_{1,2} \\ \leq \|w\|_{X(J)}^3 \|v\|_{1,2}.$$

This means that  $F(w(t)) \in H^{-1}$  for  $t \in J$ . Thus by (1.4) we have

$$(3.8) \quad w(t) = w^0(t) - \int_0^t H^{-1} \sin \{H(t-\tau)\} F(w(\tau)) d\tau$$

in  $L_2$  for  $t \in J$ .

Let  $w_1(t)$  and  $w_2(t)$  be two solutions which satisfy the assumptions of Theorem 2. From (3.8) we obtain

$$(3.9) \quad w_1(t) - w_2(t) = - \int_0^t H^{-1} \sin \{H(t-\tau)\} [F(w_1(\tau)) - F(w_2(\tau))] d\tau.$$

By Proposition 3.1 (i) we have

$$(3.10) \quad \|w_1(t) - w_2(t)\|_{p'} \leq C \left| \int_0^t |t-\tau|^{3-\gamma} \|F(w_1(\tau)) - F(w_2(\tau))\|_{1,p} d\tau \right|.$$

Lemma 3.2 and the Sobolev embedding theorem yield that

$$(3.11) \quad \begin{aligned} & \|F(w_1(\tau)) - F(w_2(\tau))\|_{1,p} \\ & \leq C(\|w_1(\tau)\|_{1,2} + \|w_2(\tau)\|_{1,2})(\|w_1(\tau)\|_{p'} + \|w_2(\tau)\|_{p'})\|w_1(\tau) - w_2(\tau)\|_{1,2} \\ & \quad + C(\|w_1(\tau)\|_{1,2} + \|w_2(\tau)\|_{1,2})^2\|w_1(\tau) - w_2(\tau)\|_{p'}. \end{aligned}$$

By (3.10) we have

$$(3.12) \quad \begin{aligned} \|w_1(t) - w_2(t)\|_{p'} & \leq C\|w_1 - w_2\|_{X(I_0)}(\|w_1\|_{X(J)} + \|w_2\|_{X(J)}) \\ & \quad \times \left| \int_0^t |t - \tau|^{3-\gamma} (\|w_1(\tau)\|_{p'} + \|w_2(\tau)\|_{p'}) d\tau \right| \\ & \quad + C(\|w_1\|_{X(I_0)} + \|w_2\|_{X(I_0)})^2 \\ & \quad \times \left| \int_0^t |t - \tau|^{3-\gamma} \|w_1(\tau) - w_2(\tau)\|_{p'} d\tau \right| \end{aligned}$$

As  $3 - \gamma > -1$ , from the Young inequality we obtain

$$(3.13) \quad \|w_1(t) - w_2(t)\|_{L_r(I_0; L_{p'})} \leq C|I_0|^{4-\gamma}(\|w_1\|_{X(J)} + \|w_2\|_{X(J)})^2\|w_1 - w_2\|_{X(I_0)}.$$

Employing the same arguments as we obtain (3.11), we have

$$(3.14) \quad \begin{aligned} & \|F(w_1(\tau)) - F(w_2(\tau))\|_2 \\ & \leq C(\|w_1(\tau)\|_{1,2} + \|w_2(\tau)\|_{1,2})(\|w_1(\tau)\|_{p'} + \|w_2(\tau)\|_{p'})\|w_1(\tau) - w_2(\tau)\|_{p'}. \end{aligned}$$

Hence it follows that

$$(3.15) \quad \begin{aligned} & \|w_1(t) - w_2(t)\|_{1,2} \\ & \leq C(\|w_1\|_{X(J)} + \|w_2\|_{X(J)}) \left| \int_0^t (\|w_1(\tau)\|_{p'} + \|w_2(\tau)\|_{p'})\|w_1(\tau) - w_2(\tau)\|_{p'} d\tau \right|. \end{aligned}$$

Noting  $r > 2$ , from the Hölder inequality we obtain

$$(3.16) \quad \|w_1(t) - w_2(t)\|_{1,2} \leq C|I_0|^{(r-2)/r}(\|w_1\|_{X(J)} + \|w_2\|_{X(J)})^2\|w_1 - w_2\|_{X(I_0)}.$$

(3.13) and (3.16) show that

$$(3.17) \quad \|w_1 - w_2\|_{X(I_0)} \leq C|I_0|^{4-\gamma}(\|w_1\|_{X(J)} + \|w_2\|_{X(J)})^2\|w_1 - w_2\|_{X(I_0)}.$$

Taking  $|I_0|$  sufficiently small in (3.17), we obtain a inequality which implies that  $w_1 = w_2$  on  $I_0$ . Iterating this process, we can show that  $w_1 = w_2$  on  $J$ . As  $J$  arbitrary, Theorem 2 is proved.

### 4. Proof of Theorem 3.

In this section we restrict our attention to  $3 < \gamma < 4$  ( $n \geq 4$ ), too. In order to investigate the regularity of a weak solution, we estimate the solutions of the approximating equation.

LEMMA 4.1. Let  $3 < \gamma < 4$  ( $n \geq 4$ ). Let  $(\phi, \psi) \in H^1 \times L_2$  and  $w_j(t)$  ( $j \in N$ ) be a solution of (2.2) obtained by Lemma 2.1. Let  $p'$  and  $r$  be given in Theorem 2. Then for any compact interval  $I \subset \mathbf{R}$  there exists a positive constant  $C(\phi, \psi, I)$  which is dependent on  $(\phi, \psi)$  and  $I$  but independent of  $j$  such that

$$(4.1) \quad \|w_j\|_{L_r(I; L_{p'})} \leq C(\phi, \psi, I) \quad \text{for } j \in N.$$

PROOF. It is sufficient to prove (4.1) in the case  $I = [0, \alpha]$ . In the same way as we obtain (3.12) we have

$$(4.2) \quad \|w_j(t)\|_{p'} \leq \|w_j^0(t)\|_{p'} + C(\phi, \psi) \int_0^t |t-\tau|^{3-\gamma} \|w_j(\tau)\|_{p'} d\tau$$

Here we have used (2.6). By Proposition 3.1 (ii) and the Young inequality we have

$$(4.3) \quad \|w_j\|_{L_r(I; L_{p'})} \leq C(\|\phi\|_{1,2} + \|\psi\|_2) + C(\phi, \psi) \left\| \int_0^t |t-\tau|^{3-\gamma} \|w_j(\tau)\|_{p'} d\tau \right\|_{L_r(I)} \\ \leq C(\|\phi\|_{1,2} + \|\psi\|_2) + C(\phi, \psi) \alpha^{4-\gamma} \|w_j\|_{L_r(I; L_{p'})}.$$

We can verify the condition (3.3) easily. Choosing  $\alpha$  to satisfy  $C(\phi, \psi) \alpha^{4-\gamma} \leq 1/2$ , we have

$$(4.4) \quad \|w_j\|_{L_r(I; L_{p'})} \leq C(\phi, \psi, I) \quad \text{for } j \in N.$$

Next we show that (4.1) holds for any number  $\alpha \in [0, \infty)$ . Let  $M$  be the supremum of the number  $\alpha \in [0, \infty)$  so that (4.1) holds with  $I = [0, \alpha]$ . We have already showed that  $M > 0$ . If  $M = \infty$ , the lemma is proved. We assume that  $M < \infty$ . Let  $\alpha < M$  and  $I_1 = [0, \alpha]$ . From the definition of  $M$  it follows that

$$(4.5) \quad \|w_j\|_{L_r(I_1; L_{p'})} \leq C(\phi, \psi, I_1) \quad \text{for } j \in N.$$

Let  $\alpha < \beta$  and  $I_2 = [\alpha, \beta]$ . Employing the same arguments as we obtain (4.3), we have

$$(4.6) \quad \|w_j\|_{L_r(I_2; L_{p'})} \leq C(\|\phi\|_{1,2} + \|\psi\|_2) \\ + C(\phi, \psi) \left\| \int_\alpha^t |t-\tau|^{3-\gamma} \|w_j(\tau)\|_{p'} d\tau \right\|_{L_r(I_2)} \\ + C(\phi, \psi) \left\| \int_0^\alpha |t-\tau|^{3-\gamma} \|w_j(\tau)\|_{p'} d\tau \right\|_{L_r(I_2)} \\ = J_1 + J_2 + J_3.$$

From the same arguments of a proof of the Young inequality we obtain

$$(4.7) \quad J_2 \leq C(\phi, \psi) (\beta - \alpha)^{4-\gamma} \|w_j\|_{L_r(I_2; L_{p'})},$$

$$(4.8) \quad J_3 \leq C(\phi, \psi) \beta^{4-\gamma} \|w_j\|_{L_r(I_1; L_{p'})}.$$

Choosing  $\beta$  near  $\alpha$  to satisfy  $C(\phi, \psi)(\beta - \alpha)^{4-\gamma} \leq 1/2$ , by (4.5)~(4.8) we have

$$(4.9) \quad \|w_j\|_{L_{\tau}([0, \beta]; L_{p'})} \leq C(\phi, \psi, \beta) \quad \text{for } j \in \mathbf{N}.$$

Since the distance between  $\alpha$  and  $\beta$  depends on  $C(\phi, \psi)$  only, we can choose  $\alpha$  near  $M$  to satisfy  $M - \alpha < \beta - \alpha$ . Hence (4.9) contradicts the definition of  $M$ .  $\square$

LEMMA 4.2. *Let  $3 < \gamma < 4$  ( $n \geq 4$ ). Let  $(\phi, \psi) \in H^2 \times H^1$  and  $w_j(t)$  ( $j \in \mathbf{N}$ ) be a solution of (2.2) obtained by Lemma 2.1. Let  $1/q' = 1/2 - 1/2n$ . Then for any compact interval  $I \subset \mathbf{R}$  there exists a positive constant  $C(\phi, \psi, I)$  which is dependent on  $(\phi, \psi)$  and  $I$  but independent of  $j$  such that*

$$(4.10) \quad \|w_j\|_{L_{\infty}(I; H_{q'}^1)} \leq C(\phi, \psi, I) \quad \text{for } j \in \mathbf{N}.$$

PROOF. Let  $I = [0, \alpha]$ . From (2.4) and Proposition 3.1 (i) it follows that

$$(4.11) \quad \|w_j(t)\|_{1, q'} \leq \|w_j^0\|_{1, q'} + \int_0^t \|F_j(w_j(\tau))\|_{1, q} d\tau.$$

We can verify (3.1) easily. Applying Lemma 3.2 to  $\|F_j(w_j(\tau))\|_{1, q}$ , we have

$$(4.12) \quad \|F_j(w_j(\tau))\|_{1, q} \leq C \|w_j(\tau)\|_{p'}^2 \|w_j(\tau)\|_{1, q'},$$

where  $p'$  is given by Lemma 4.1. As the embedding  $H^2 \hookrightarrow H_{q'}^1$  holds, from (4.11) and (4.12) we obtain

$$(4.13) \quad \|w_j(t)\|_{1, q'} \leq C(\|\phi\|_{2, 2} + \|\psi\|_{1, 2}) + C \|w_j\|_{L_{\infty}(I; H_{q'}^1)} \int_0^t \|w_j(\tau)\|_{p'}^2 d\tau.$$

From the Hölder inequality and Lemma 4.1 it follows that

$$(4.14) \quad \|w_j\|_{L_{\infty}(I; H_{q'}^1)} \leq C(\|\phi\|_{2, 2} + \|\psi\|_{1, 2}) + C(\phi, \psi, I) \alpha^{(r-2)/r} \|w_j\|_{L_{\infty}(I; H_{q'}^1)}.$$

Here choosing  $\alpha$  sufficiently small, we have

$$(4.15) \quad \|w_j\|_{L_{\infty}(I; H_{q'}^1)} \leq C(\phi, \psi, I).$$

Employing the same arguments of the proof of Lemma 4.1, we can show that (4.10) holds for any  $\alpha \in [0, \infty)$ . So we may omit its proof.  $\square$

LEMMA 4.3. *Under the same assumptions of Lemma 4.2. we have*

$$(4.16) \quad \|w_j\|_{L_{\infty}(I; H^2)} \leq C(\phi, \psi, I) \quad \text{for } j \in \mathbf{N}$$

for any compact interval  $I \subset \mathbf{R}$ . Here  $C(\phi, \psi, I)$  is a positive constant which is dependent on  $(\phi, \psi)$  and  $I$  but independent of  $j$ .

PROOF. From (2.4) it follows that

$$(4.17) \quad \|w_j(t)\|_{2, 2} \leq C(\|\phi\|_{2, 2} + \|\psi\|_{1, 2}) + \int_0^t \|F_j(w_j(\tau))\|_{1, 2} d\tau.$$

Applying Lemma 3.2 to  $\|F_j(w_j(\tau))\|_{1,2}$ , we obtain

$$(4.18) \quad \|F_j(w_j(\tau))\|_{1,2} \leq C \|w_j(\tau)\|_{1,q'}^2 \|w_j(\tau)\|_{2,2},$$

where  $q'$  is given by Lemma 4.2. To note Lemma 4.2, we have

$$(4.19) \quad \|w_j(t)\|_{2,2} \leq C(\|\phi\|_{2,2} + \|\psi\|_{1,2}) + C(\phi, \psi, I) \int_0^t \|w_j(\tau)\|_{2,2} d\tau.$$

The Gronwall inequality implies (4.16).  $\square$

Now we give the estimates of the weak solution.

LEMMA 4.4. *Let  $w(t)$  be a weak solution of (1.1) obtained by Theorem 1. Let  $3 < \gamma < 4$  ( $n \geq 4$ ) and  $I$  be any compact interval in  $\mathbf{R}$ .*

(i) *Let  $(\phi, \psi) \in H^1 \times L_2$ . Then we have*

$$(4.20) \quad \|w\|_{L_\tau(I; L_{p'})} \leq C(\phi, \psi, I),$$

where  $C(\phi, \psi, I)$  is a positive constant which is dependent on  $(\phi, \psi)$  and  $I$ , provided that

$$(4.21) \quad \frac{1}{p'} = \frac{1}{2} - \frac{\gamma-1}{2n} \quad \text{and} \quad \frac{1}{r} = \frac{\gamma-3}{2}.$$

(ii) *Let  $(\phi, \psi) \in H^2 \times H^1$ . Then we have*

$$(4.22) \quad \|w\|_{L_\infty(I; H^2)} \leq C(\phi, \psi, I),$$

where  $C(\phi, \psi, I)$  is a positive constant which is dependent on  $(\phi, \psi)$  and  $I$ .

PROOF. By (4.1), (4.16) and Lemma 2.2 we can choose a convergent subsequence (again denoted by  $w_j(t)$ ) so that

$$(4.23) \quad w_j(t) \longrightarrow w(t) \quad \text{weakly in } L_\tau(I; L_{p'}),$$

$$(4.24) \quad w_j(t) \longrightarrow w(t) \quad \text{weakly in } H^2 \text{ and uniformly on } I$$

as  $j \rightarrow \infty$ . Thus we have (4.20) and (4.22).  $\square$

We prepare three lemmas on the regularity of the integral equation.

LEMMA 4.5. *Assume that for  $i=0$  or  $1$*

$$(4.25) \quad F(w(t)) \in L_1^{loc}(\mathbf{R}; H^i).$$

Then we have

$$(4.26) \quad \int_0^t H^{-1} \sin \{H(t-\tau)\} F(w(\tau)) d\tau \in C(\mathbf{R}; H^{1+i}) \cap C^1(\mathbf{R}; H^i).$$

PROOF. See Motai [9] Lemma 4.2 for a proof.  $\square$

LEMMA 4.6. Assume that for  $k \in \mathbf{N}$

$$(4.27) \quad w(t) \in \bigcap_{i=0}^k C^i(\mathbf{R}; H^{k-i}).$$

Then we have

$$(4.28) \quad F(w(t)) \in \bigcap_{i=0}^k C^i(\mathbf{R}; H^{k-i}) \quad \text{for } 0 < \gamma < \text{Min}\{2k, n\}.$$

PROOF. If we use Lemma 3.2 and the Sobolev embedding theorem, we can prove (4.28) easily. So we may omit a proof.  $\square$

LEMMA 4.7. Assume that for  $k \in \mathbf{N}$

$$(4.29) \quad F(w(t)) \in \bigcap_{i=0}^k C^i(\mathbf{R}; H^{k-i}).$$

Then we have

$$(4.30) \quad \int_0^t H^{-1} \sin \{H(t-\tau)\} F(w(\tau)) d\tau \in \bigcap_{i=0}^{k+1} C^i(\mathbf{R}; H^{k+1-i}).$$

PROOF. This result is well-known. So we may omit the proof.  $\square$

We are in a position to prove Theorem 3.

PROOF OF THEOREM 3. (i) Let  $w(t)$  be a weak solution obtained by Theorem 1. Since  $w(t) \in L_\infty(\mathbf{R}; H^1)$ , from the same argument as we obtain (3.8) it follows that

$$(4.31) \quad w(t) = w^0(t) - \int_0^t H^{-1} \sin \{H(t-\tau)\} F(w(\tau)) d\tau \quad \text{in } L_2$$

for  $t \in \mathbf{R}$ . By  $(\phi, \psi) \in H^1 \times L_2$  we have

$$(4.32) \quad w^0(t) \in C(\mathbf{R}; H^1) \cap C^1(\mathbf{R}; L_2).$$

Noting (3.14), from (1.7) we obtain

$$(4.33) \quad \|F(w(t))\|_2 \leq C(\phi, \psi) \|w(t)\|_2^2.$$

As  $r > 2$ , Lemma 4.4 (i) and (4.32) imply (4.25). Hence by Lemma 4.5 we have (1.12).

The uniqueness of  $w(t)$  follows from (1.12) and Theorem 2.

If we resolve (1.1) at initial time  $t_0 \in \mathbf{R}$  with a initial data  $(w(t_0), \partial_t w(t_0))$ , by Theorem 1 we obtain

$$(4.34) \quad E(w(t), \partial_t w(t)) \leq E(w(t_0), \partial_t w(t_0)) \quad \text{for } t \in \mathbf{R}.$$

The uniqueness, (1.7) and (4.34) imply (1.13).

(ii) We first note that for  $(\phi, \psi) \in H^k \times H^{k-1}$  ( $k \geq 2$ ) we have

$$(4.35) \quad w_0(t) \in \bigcap_{i=0}^k C^i(\mathbf{R}; H^{k-i}).$$

In the case  $k=2$  we have

$$(4.36) \quad F(w(t)) \leq C \|w(t)\|_{2,2}^3$$

by Lemma 3.2 and the Sobolev embedding theorem. From Lemma 4.4 (ii) and Lemma 4.5 it follows that

$$(4.37) \quad w(t) \in C(\mathbf{R}; H^2) \cap C^1(\mathbf{R}; H^1).$$

This implies that

$$(4.38) \quad F(w(t)) \in C(\mathbf{R}; H^1) \cap C^1(\mathbf{R}; L_2).$$

By Lemma 4.7 we have

$$(4.39) \quad w(t) \in \bigcap_{i=0}^2 C^i(\mathbf{R}; H^{2-i}).$$

In the case  $k > 2$  we can first obtain (4.39). Lemma 4.6 shows that

$$(4.40) \quad F(w(t)) \in \bigcap_{i=0}^2 C^i(\mathbf{R}; H^{2-i}).$$

And Lemma 4.7 implies that

$$(4.41) \quad w(t) \in \bigcap_{i=0}^3 C^i(\mathbf{R}; H^{3-i}).$$

Iterating this process, we can prove (1.14).

Corollary follows from the Sobolev lemma.

The proof Theorem 3 is completed.

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