# THE APPROXIMATE SECTION EXTENSION PROPERTY AND HEREDITARY SHAPE EQUIVALENCES(1)

By

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**Abstract.** In this paper, the concept of the *approximate section extension* property (ASEP) is introduced. It is shown that hereditary shape equivalences are exactly the maps with the hereditary ASEP and every  $UV^{n-1}$ -map with n-dimentional range has the ASEP.

#### 0. Introduction.

In this paper we will introduce the concept of the approximate section extension property (ASEP), which is a shape version of the section extension property, [Do]. The ASEP is defined in Section 1 to maps between metric spaces. However, using resolutions of maps, this can be extended to a general case (see Section 3). Main results of the paper are contained in Section 2. We prove the followings:

- 1) Hereditary shape equivalences (HSE's) are exactly the maps with the hereditary ASEP. In particular, any pull back of a HSE is also HSE.
- 2) Every  $UV^{n-1}$ -map with an *n*-dimensional range and every  $UV^{\infty}$ -map between ANR's has the ASEP. If the range is a manifold, then an appropriate converse holds.

One can regard these results as a shape version of some results in the fiber homotopy theory, [Do].

Here, we list some notations to be used throughout the paper. In Sections 1 and 2, spaces are assumed to be metrizable. If A is a subset of a space X,  $\overline{A}$  is the closure of A and inc (A, X) denotes the inclusion map  $A \subset X$ . Cov X is the set of all *normal* coverings of X. For  $CV \in Cov Y$ , st CV is the star of CV. We say two maps f,  $g: X \to Y$  are CV-near and write  $(f, g) \leq CV$  when each  $x \in X$  admits a  $V \in CV$  such that  $f(x), g(x) \in V$ . An ANR is one for metric spaces. A *polyhedron* is the body |K| of a simplicial complex K with the CW-topology.

We refer to [DS] for the definitions of basic terms in Shape theory, and to [A1] for relation theoretic terms. One should refer to [Do] in Sections 1, 2 and to [Ma] in Section 3.

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## 1. Approximate section extension property.

Let  $f: X \to Y$  be a map between metric spaces. We can find closed embeddings  $i: X \to M$ ,  $j: Y \to N$  into ANR's and a map  $F: M \to N$  with Fi = jf ([H]). Consider a pair (U, V) of open neighborhoods (nbd's) U of i(X) in M and V of j(Y) in N with  $F(U) \subset V$ . We call such a pair an *admissible pair* for f w.r.t. F.

PROPOSITION 1.1: Under the above notations, the following conditions (1)–(3) are equivalent. Furthermore if the map f satisfies the condition (1) (eq., (2), (3)), for some i, j and F as above, then f satisfies the condition (1) for any such i, j and F.

- (1) For each admissible pair (U, V)  $U \in \text{Cov } U$  and  $CV \in \text{Cov } V$ , there xeist an admissible pair  $(U_1, V_1) \geq (U, V)$  (i. e.,  $U_1 \subset U$ ,  $V_1 \subset V$ ) and  $CV_1 \in \text{Cov } V_1$  such that for each closed set A of  $V_1$  and each map  $s: A \to U_1$  with  $(Fs, \text{inc } (A, V_1)) \leq CV_1$ , there exist an open nbd W of j(Y) in  $V_1$  and a map  $S: W \to U$  with  $(Fs, \text{inc } (W, V)) \leq CV$  and  $(S|_{A\cap W}, S|_{A\cap W}) \leq U$ .
- (2) For each admissible pair (U, V),  $U \in Cov U$  and  $CV \in Cov V$ , there exist  $(U_1, V_1) \geq (U, V)$  and  $CV_1 \in Cov V_1$  such that for each closed set A of Y and each map  $s: A \rightarrow U_1$  with  $(Fs, inc(A, V_1)) \leq CV_1$ , there exists a map  $S: Y \rightarrow U$  with  $(Fs, inc(Y, V)) \leq CV$  and  $(S|_A, s) \leq U$ .
- (3) For each open  $nbd\ U$  of i(X) in M and  $CV \in Cov\ N$ , there exist an open  $nbd\ U_1$  of i(X) in U and  $CV_1 \in Cov\ N$  such that for each closed set A of Y and each map  $s: A \to U_1$  with  $(Fs, inc\ (A, N)) \le CV_1$ , there exists a map  $S: Y \to U$  with  $(Fs, inc\ (Y, N)) \le CV$  and  $S|_A = s$ .

PROOF: Note that U and  $U_1$  are ANR's. Therefore  $(2) \rightarrow (1)$  follows from the nbd extension property of ANR's and  $(2) \rightarrow (3)$  follows from the homotopy extension theorem ([H]).  $(1) \rightarrow (2)$  and  $(3) \rightarrow (2)$  are obvious. For the latter statement, see Proposition 3.2, which implies the same conclusion under a more general setting.

DEFINITION 1.2: We say the map f has the approximate section extension property (ASEP) provided f satisfies the conditions in Proposition 1.1.

If f is a *proper* map (i. e., the inverses of compact sets are compact), then we can reduce the above conditions to a simpler one. For later use, we shall work in the setting of relation.

Suppose M and N are ANR's, Y is a closed subset of N,  $R:Y \to M$  is an (upper semi-) continuous relation with compact point images (i.e., for each  $y \in Y$ , R(y) is compact) ([A1]) and  $p: N \times M \to N$  is the projection. Note that  $p(R) \subset Y$ .

Proposition 1.3: Under the above notations, the projection  $p: R \to Y$  has the ASEP iff

- (#): each nbd U of R in  $Y \times M$  contains a nbd V of R such that
- (\*): for each closed set A of Y and each map  $s: A \to V$  with ps = inc(A, Y), there exists a map  $S: Y \to U$  with  $pS = 1_Y$  and  $S|_A = s$ .
- Let  $f: X \to Y$  be a proper map and M an ANR containing X as a closed subset. Consider the continuous relation  $f^{-1} = \bigcup \{y \times f^{-1}(y) : y \in Y\} : Y \to M$ . Since the projection  $p: f^{-1} \to Y$  corresponds to f by the identification  $f^{-1} \to X : (f(x), x) \mapsto x$ , we get the following.
- COROLLARY 1.4: Under the above notation, f has the ASEP iff (#) holds with R replaced by  $f^{-1}$ .
- REMARK 1.5: The map f is said to be *approximately invertible* ([A2]) if each nbd U of  $f^{-1}$  in  $Y \times M$  admits a map  $S: Y \to U$  such that  $pS = 1_Y$ .

PROOF OF 1.3: From Proposition 1.1, p has the ASEP iff

- (##): for each nbd U' of R in  $N \times M$  and  $U \in Cov N$ , there exist a nbd V' of R in U' and  $C \in Cov N$  such that
- (\*\*): if A is a closed set of Y and  $s': A \to V'$  is a map with  $(ps', \text{ inc } (A, N)) \le CV$ , then there exists  $S': Y \to U'$  with  $(pS', \text{ inc } (Y, N)) \le U$  and  $S'|_A = s'$ .
- $(\sharp) \to (\sharp\sharp)$ : Given U' and U as in  $(\sharp\sharp)$ . By [A1], Lemma A-8, there exist an open nbd  $U_1'$  of R in U' and  $U_1 = \{U_s\}_{s \in K} \in \text{Cov } N$  such that  $U_s \times U_1'(U_s) \subset U'$  for each  $\kappa \in K$  and  $U_1 < U$ . Apply  $(\sharp)$  to  $U = U_1' \cap (Y \times M)$  and we have an open nbd V of R in U which satisfies (\*). By [A1], Lemma A-8, there exist a nbd V' of R in  $U_1'$  and a  $CV = \{V_i\}_{i \in A} \in \text{Cov } N$  such that  $(V_i \times V'(V_i)) \cap (Y \times M) \subset V$  for each  $\lambda \in \Lambda$  and CV-near maps to N are  $U_1$ -homotopic. Then V' and CV satisfy (\*\*). In fact, take s' as in (\*\*). Since  $(ps', \text{inc } (A, N)) \leq CV$ , we can define  $s: A \to V$  by  $s(y) = (y, \pi s'(y))$   $(y \in A)$ , where  $\pi: N \times M \to M$  is the projection. By (\*), the map s extends to a map  $S: Y \to U$  with  $pS = 1_Y$ , and since ps' is  $U_1$ -homotopic to inc (A, N), using the homotopy extension theorem, ps' extends to a map  $g: Y \to N$  which is  $U_1$ -homotopic to inc (Y, N). Then the desired map  $S': Y \to U'$  is defined by  $S'(y) = (g(y), \pi S(y))$   $(y \in Y)$ .
  - $(##) \rightarrow (#)$ : The proof is similar and omitted.
- We use 1.4 to obtain the *Uniformization Theorem* for the ASEP. Compair this with [Do], Theorem 2.7.
  - Theorem 1.6: Suppose  $f: X \rightarrow Y$  is a proper map. If each  $y \in Y$  admits a

(not necessarily open) nbd V in Y such that  $f|_{f^{-1}(V)}: f^{-1}(V) \to V$  has the ASEP, then f itself has the ASEP.

PROOF: Consider the following property  $\mathcal{Q}(A)$  for each subset A of Y.

- $\mathcal{L}(A)$ :  $f_A$  has the ASEP, where  $f_A = f|_{f^{-1}(A)}$ :  $f^{-1}(A) \to A$ . In order to show  $\mathcal{L}(Y)$  holds, by [Mi], Theorem 5.5, it suffices to show that  $\mathcal{L}(Y)$  is an F-hereditary property, that is, satisfies the following conditions:
  - (F1) If  $f_A$  has the ASEP and B is a closed subset of A, then  $f_B$  has the ASEP.
- (F2) Suppose  $A = A_1 \cup A_2 \subset Y$  and  $A_1$  and  $A_2$  are closed in Y. If  $f_i = f_{A_i}$  has the ASEP (i=1,2), then  $f_A$  has the ASEP.
- (F3) Suppose  $A = \bigcup \{A_{\lambda} : \lambda \in \Lambda\} \subset Y$  and  $\{A_{\lambda}\}_{{\lambda} \in \Lambda}$  is discrete in Y. If each  $f_{A_{\lambda}}$  has the ASEP, then  $f_{A}$  has the ASEP.
- (F1) and (F3) are easily verified, so we will prove (F2). We use the same notation as in Corollary 1.4. Note that  $f_i^{-1}=f^{-1}\cap(A_i\times M)$  (i=1,2). To see the ASEP of  $f_A$ , let U be any open nbd of  $f_A^{-1}$  in  $A\times M$ . Since  $f_1$  has the ASEP, the nbd  $U\cap(A_1\times M)$  of  $f_1^{-1}$  contains an open nbd  $V_1$  of  $f_1^{-1}$  in  $A_1\times M$  which satisffes the condition (\*) in Proposition 1.3 w.r.t.  $f_1^{-1}$ . In turn, by the ASEP of  $f_2$ , the open nbd  $U_2=\{V_1\cup(U-(A_1\times M))\}\cap(A_2\times M)$  of  $f_2^{-1}$  in  $A_2\times M$  contains an open nbd  $V_2$  of  $f_2^{-1}$  in  $A_2\times M$  which satisfies (\*) w.r.t.  $f_2^{-1}$ . Then the nbd  $V=(V_1-(A_2\times M))\cup V_2$  of  $f^{-1}$  in U satisfies (\*) w.r.t.  $f^{-1}$  and U.

# 2. The ASEP, HSE's and $UV^n$ -maps.

We begin with a reference to [A1]. Let  $f: X \rightarrow Y$  be a proper map between metric spaces, and M an ANR containing X as a closed subset.

THEOREM ([A1], Theorem 4.5): The map f is a HSE iff the relation  $f^{-1}: Y \to M$  is slice trivial, that is, satisfies the following:

For each nbd U of  $f^{-1}$  in  $Y \times M$  there exist a nbd V of  $f^{-1}$  and maps  $\phi: V \times [0,1] \rightarrow U$  and  $S: Y \rightarrow U$  such that  $\phi_0 = \text{inc}(V, U)$ ,  $\phi_1(y, x) = S(y)$ ,  $p\phi(y, x, t) = y$ ,  $pS = 1_Y$   $((y, x) \in V, t \in [0, 1])$ , where  $p: Y \times M \rightarrow Y$  is the projection.

We will use the above theorem to get another characterization of HSE's in term of the ASEP, which corresponds to [Do], Proposition 3.1.

Let  $\alpha: B \to Y$  is a proper map. Define E and  $f_{\alpha}: E \to B$ ,  $\beta: E \to X$  by  $E = \{(b, x) \in B \times X : \alpha(b) = f(x)\}$ ,  $f_{\alpha}(b, x) = b$ ,  $\beta(b, x) = x$ ,  $((b, x) \in E)$ . The map  $f_{\alpha}$  is called the map induced from f by  $\alpha$ . Since  $E = \bigcup \{b \times f^{-1}\alpha(b) : b \in B\} = \bigcup \{\alpha^{-1}(y) \times f^{-1}(y) : y \in Y\}$ , if we regard E as a relation from B to X, we have:

- 1)  $E=f^{-1}\alpha$ , therefore E is *continuous* and has *compact* point images.
- 2)  $\alpha \times 1_M : B \times M \to Y \times M$  is a closed map and  $E = (\alpha \times 1_M)^{-1} (f^{-1})$ .

LEMMA 2.1: Under the above notation, the following conditions are equivalent.

- a)  $f_{\alpha}$  has the ASEP.
- b) Each nbd U of  $f^{-1}$  in  $Y \times M$  contains a nbd V of  $f^{-1}$  such that if A is a closed set of B and  $\alpha_0: A \to V$  is a map with  $p\alpha_0 = \alpha|_A$ , then there exists a map  $\alpha': B \to U$  with  $p\alpha' = \alpha$  and  $\alpha'|_A = \alpha_0$ .

PROOF: By Proposition 1.3, a) is equivalent to 1.3 (#), with R and Y replaced by E and B. By the observation 2),  $\alpha \times 1_M$  gives the correspondence between a nbd base of  $f^{-1}$  in  $Y \times M$  and a nbd base of E in  $B \times M$ , so that the maps  $\alpha_0$  and  $\alpha'$  as in b) correspond to the maps s and S as in (#) and (\*). From this follows 2.1. Compair this with [Do], Proposition 3.1.

Let  $\tilde{f}$  be the map induced from f by fr, i. e.,  $\tilde{f} = f_{fr}$ , where  $r: X \times [0, 1] \to X$  is the projection.

Theorem 2.2: Let  $f: X \rightarrow Y$  be a proper map. The following conditions are equivalent.

- a) For each proper map  $\alpha: B \to Y$ ,  $f_{\alpha}$  has the ASEP.
- b) f is approximately invertible (see Remark 1.5) and  $\tilde{f}$  has the ASEP.
- c) f is a HSE.
- d) Each nbd U of  $f^{-1}$  in  $Y \times M$  contains a nbd V of  $f^{-1}$  such that for any proper map  $\alpha: B \to Y$ , Lemma 2.1, b) holdds.

Since  $(f_{\alpha})_{\beta} = f_{\alpha\beta}$  for any maps  $C \xrightarrow{\beta} B \xrightarrow{\alpha} Y$ , we have the following.

COROLLARY 2.3: If f is a HSE, then  $f_{\alpha}$  is a HSE for any proper map  $\alpha$ :  $B \rightarrow Y$ .

REMARK 2.4: By [A1], Lemma 4.6, we see the relation  $E=f^{-1}\alpha: B \to M$  is slice trivial if  $f^{-1}: Y \to M$  is slice trivial. This also implies 2.3.

PROOF OF 2.2: (a)  $\rightarrow$  (b) follows from 1.4. (b)  $\rightarrow$  (c): It suffices to show that each open nbd U of  $f^{-1}$  in  $Y \times M$  admits a slice contraction  $\phi: f^{-1} \times [0,1] \rightarrow U$  of  $f^{-1}$  in U. (See [A1], Lemma 4.3.) Applying Lemma 2.1 to  $\tilde{f}$ , we can find an open nbd V of  $f^{-1}$  in U such that each map  $g: X \times \{0,1\} \rightarrow V$  with  $pg = fr|_{X \times \{0,1\}}$  has an extension  $G: X \times [0,1] \rightarrow U$  with pG = fr. Since f is approximately invertible, there exists a map  $S: Y \rightarrow V$  with  $PS = 1_Y$ . Then the map  $g: X \times \{0,1\} \rightarrow V$  defined by  $g(x,0) = (f(x), x) \in f^{-1}$  and  $g(x,1) = S(f(x)) \in V$  ( $x \in X$ ) admits an extension G as above. Define  $\phi: f^{-1} \times [0,1] \rightarrow U$  by  $\phi(y, x, t) = G(x, t)$  ((y, x, t)  $\in f^{-1} \times [0,1]$ ). (c)  $\rightarrow$  (d): See the proof of [A1], Proposition 2.2. (d)  $\rightarrow$  (a) follows from Lemma 2.1.

Next we study  $UV^n$ -maps with the ASEP. We recall some definitions of basic terms. For  $n \ge 0$ ,  $S^n$  denotes the standard n-sphere and  $B^n$  denotes the n-ball. Suppose X be a metric space and  $i: X \to M$  is a closed embedding into an ANR M. We say X is  $UV^n$  (or  $AC^n$ ) provided each nbd U of i(X) in M contains a nbd V of i(X) such that every  $\alpha: S^k \to V$   $(0 \le k \le n)$  is null homotopic in U. The definition does not depend on the choice of such an embedding i. X is  $UV^\infty$  if X is  $UV^n$  for each  $n \ge 0$ . A  $UV^n$ -map  $(0 \le n \le \infty)$  is an onto map each point inverse of which is  $UV^n$ . For the details, see [Dy], [K] and [L]. We will prove the following theorem.

THEOREM 2.5: Suppose  $f: X \rightarrow Y$  is a closed onto map.

- (1) If f is a  $UV^{n-1}$ -map and  $\dim Y \le n < \infty$ , then f has the ASEP.
- (2) If f is a  $UV^{\infty}$ -map and X and Y are ANR's, then f has the ASEP.

The proof is based on the following *lifting* lemmas.

LEMMA 1 ([Dy], Lemma 8.3): Under the same notation as in Proposition 1.1, suppose the map f is a closed  $UV^{n-1}$ -map  $(0 \le n < \infty)$ . Then for each admissible pair (U, V) for f w.r.t. F and each  $CV' \in Cov V$ , there exist  $(U_1, V_1) \ge (U, V)$  and  $CV_1 \in Cov V_1$  such that

(\*) if (P,Q) is a polyhedral pair with dim  $p \le n$  and  $g: P \to V_1$ ,  $h: Q \to U_1$  are maps with  $(g|_Q, Fh) \le CV_1$ , then there exists a map  $g': P \to U$  with  $g'|_Q = h$  and  $(Fg', g) \le CV'$ .

LEMMA 2 ([K], Theorem 1, Part II): Let  $f: X \to Y$  be an  $LC^{\infty}$ -dense map. Then for each locally finite open covering CV of Y, polyhedral pair (P,Q) and maps  $g: P \to Y$ ,  $h: Q \to X$  with  $g|_Q \simeq fh$  (CV-homotopic), there exists a map  $g': P \to X$  with  $g'|_Q = h$  and  $fg' \simeq g$  (st CV-homotopic).

Remark 2.6: 1) Among closed onto maps with ANR domains, LC°-(dense) maps coincide with  $UV^{\infty}$ -maps.

2) Theorem 2.5, (2) holds even if X is an approximate polyhedron (AP) ([Ma]) and f is an LC $^{\infty}$ -dense map.

However, it will be shown that Taylor's map  $F: X \to Q$  does not have the ASEP (Example 3.7). Hence we can't omit the assumption on X if  $\dim Y = \infty$ .

PROOF OF 2.5: (1) Under the same notation as in Proposition 1.1, we will show that f satisfies the condition (2) in 1.1. Given any admissible pair (U, V),  $U \in Cov U$  and  $CV \in Cov V$ . Let CV' be a star refinement of CV. By Lemma 1, we get  $(U_1, V_1) \ge (U, V)$  and  $CV_1 \in Cov V_1$  which satisfy (\*). We must verify that

 $(U_1, V_1)$ ,  $\subset V_1$  satisfy the required condition in Proposition 1.1, (2) w.r.t. (U, V),  $\subset V$  and  $\subset V$ . Let A be a closed set of Y, W an open  $\operatorname{nbd}$  of A in  $V_1$  and  $s:W \to U_1$  a map with  $(Fs, \operatorname{inc}(W, V_1)) \leq \subset V_1$ . Take an open  $\operatorname{nbd}(W_1) = A$  with  $\overline{W}_1 \subset W$  and a common refinement  $W \in \operatorname{Cov} V_1$  of coverings  $CV'|_{V_1}$ ,  $\{V_1 - A, W_1\}$  and  $s^{-1}(U|_{V_1}) \cup \{V_1 - A\}$ . Since  $\dim Y \leq n$  and  $V_1$  is an ANR, there exist a polyhedron P and  $\operatorname{maps}(Y \to P \to V_1)$  such that  $\dim P \leq n$  and  $(ri, \operatorname{inc}(Y, V_1)) \leq W$  ([H], Themrem 6.1). Choose a triangulation K of P such that  $\{|\sigma|: \sigma \in K\}$  refines  $\{r^{-1}(W), P - r^{-1}(\overline{W}_1)\}$ , and  $\operatorname{put}(Q) = \bigcup \{|\sigma|: \sigma \in K, |\sigma| \cap r^{-1}(\overline{W}_1) \neq \emptyset\}$ . Then Q is a subpolyhedron of P and P and

(2) is verified by the same argument as in (1), using Lemma 2 instead of Lemma 1.

The next theorem is a partial converse of the above theorem.

THEOREM 2.7: Let  $f: X \to Y$  be a proper (onto) map with the ASEP and  $y \in Y$ . If each nbd V of y in Y contains an n-sphere contractible in V, then  $f^{-1}(y)$  is UV<sup>n</sup>.

PROOF. Take a closed embedding  $X \subset M$  into an ANR M. To show  $f^{-1}(y)$  is  $UV^n$  (in M), let  $U_1$  be any open nbd of  $f^{-1}(y)$  in M. We must find a nbd  $U_2$  of  $f^{-1}(y)$  in  $U_1$  as in the definition of  $UV^n$ -property. Take an open nbd  $V_1$ ,  $V_2$  of y in Y with  $f^{-1}(V_1) \subset U_1$ ,  $\overline{V}_2 \subset V_1$  and let  $\widetilde{U} = V_1 \times U_1 \cup (Y - \overline{V}_2) \times M$ . Then by Corollary 1.4, we get an open nbd  $\widetilde{V}$  of  $f^{-1}$  in  $\widetilde{U}$  which satisfies Proposition 1.3, (\*). Take a nbd  $V_3$  of y in  $V_2$  and a nbd  $U_2$  of  $f^{-1}(y)$  in  $U_1$  such that  $V_3 \times U_2 \subset \widetilde{V}$ . By the assumption,  $V_3$  contains an n-sphere  $S^n$  contractible in  $V_3$ . Now for  $0 \le i$   $\le n$ , consider  $S^i \subset S^n$  and given any map  $\alpha : S^i \to U_2$ . Then the map  $s : S^i \to \widetilde{V}$  defined by  $s(x) = (x, \alpha(x))$  ( $x \in S^i$ ) can be extended to a map  $S : Y \to \widetilde{U}$  with  $pS = 1_Y$ . Since  $S^i \simeq 0$  in  $V_3$ , we have a map  $h : B^{i+1} \to V_3$  such that  $h|_{S^i} = \operatorname{inc}(S^i, Y)$ . Then  $\pi Sh : B^{i+1} \to U_1$  is an extension of  $\alpha$ , where  $\pi : Y \times M \to M$  is the projection. This completes the proof.

COROLLARY 2.8: Let  $f: X \to Y$  be a proper map.

- (1) Suppose dim  $Y \le n$   $(0 \le n < \infty)$  and each non-empty open set U of Y contains an (n-1)-sphere contractible in U. Then the map f has the ASEP iff f is a  $UV^{n-1}$ -map
- (2) Suppose X and Y are ANR's and each non-empty open set U of Y contains an n-sphere for each  $n \ge 0$ . Then f has the ASEP iff f is a  $UV^{\infty}$ -map.

REMARK 2.9: If dim Y=n  $(0 \le n < \infty)$  and Y contains a dense open set which

is an n-manifold, then Y satisfies the condition in (1). If Y is a Q (or  $\mathcal{L}_2$ )-manifold, then Y satisfies the condition in (2).

#### 3. Generalization.

In this section, we extend the definition of the ASEP to the general case. The manner of the generalization has been established in [Ma], where the concept of shape fibrations is generalized using (AP-) resolutions of maps. We shall show the same method works for the ASEP as well as shape fibrations.

We use the same notations as in [Ma]. In addition,  $A(\underline{p})$  denotes the set of all *admissible* pairs of *a map*  $\underline{p}$  of systems. In this section, spaces are not assumed to be metrizable. Let B be a space and  $A \subset V$  subsets of B. By definition, V is a halo of A in B if there is a map  $\tau: B \to [0,1]$  with  $A \subset \tau^{-1}(1)$  are  $B - V \subset \tau^{-1}(0)$ .

DEFINITION 3.1: Let  $p: E \to B$  be a map of systems, where  $E = (E_{\lambda}, q_{\lambda \lambda_{\perp}}, \Lambda)$ ,  $E = (B_{\mu}, r_{\mu \mu_{1}}, M)$  and  $p = (p_{\mu}, \phi)$ . We say p has the ASEP provided the following holds.

For each  $(\lambda, \mu) \in A(\underline{p})$  and each  $\bigcup_{\lambda} \in \text{Cov } E_{\lambda}$ ,  $\bigcup_{\mu} \in \text{Cov } B_{\mu}$ , there exist  $(\lambda_1, \mu_1) \geq (\lambda, \mu)$  in  $A(\underline{p})$  and  $\bigcup_{\mu_1} \in \text{Cov } B_{\mu_1}$  which satisfies the following:

(\*) Suppose  $\mu_2 \geq \mu_1$ , V is a halo of a subset A in  $B_{\mu_2}$  and  $s: V \to E_{\lambda_1}$  is a map with  $(p_{\mu_1\lambda_1}s, r_{\mu_1\mu_2}|_V) \leq CV_{\mu_1}$ . Then there exist  $\mu_3 \geq \mu_2$  and a map  $S: B_{\mu_3} \to E_{\lambda}$  with  $(p_{\mu\lambda}S, r_{\mu\mu_3}) \leq CV_{\mu}$  and  $(S|_{r_{\mu_3\mu_3}(A)}, q_{\lambda\lambda_1}sr_{\mu_2\mu_3}|_{r_{\mu_3\mu_3}(A)}) \leq U_{\lambda}$ .

PROPOSITION 3.2: Let  $(\underline{q},\underline{r},\underline{p})$  and  $(\underline{q'},\underline{r'},\underline{p'})$  be two AP-resolutions of a map  $p: E \to B$ . If p has the ASEP, then so does p'.

Note that under the notation of Proposition 1.1, all admissible pairs form an ANR (hence AP)-resolution of the map f. Therefore by Proposition 1.1, the following definition extends Definition 1.2.

DEFINITION 3.3: Let  $p: E \rightarrow B$  be a map. We say the map p has the ASEP provided some (eq., any) AP-resolution of p has the ASEP.

We can extend the concept of approximate invertibility ([A2]) by the same method.

PROPOSITITION AND DEFINITION 3.4: Suppose  $p: E \to B$  be a map and  $(\underline{q}, \underline{r}, \underline{p})$  is an AP-resolution of p, where  $\underline{q} = (q_{\lambda}): E \to \underline{E} = (E_{\lambda}, q_{\lambda \lambda_{1}}, \Lambda)$ ,  $\underline{r} = (r_{\mu}): B \to \underline{B} = (B_{\mu}, r_{\mu \mu_{1}}, M)$  and  $\underline{p} = (p_{\mu}): \underline{E} \to \underline{B}$ . Then the following conditions are equivalent and depend only on the map p.

(1) For each  $(\lambda, \mu) \in A(p)$  and each  $(\mathcal{V}_{\mu} \in \text{Cov } B_{\mu}, \text{ there exist } \mu_1 \geq \mu \text{ in } M \text{ and } a$ 

- map  $S: B_{\mu_1} \to E_{\lambda}$  with  $(p_{\mu\lambda}S, r_{\mu\mu_1}) \leq CV_{\mu}$ .
- (2) For each  $(\lambda, \mu) \in A(\underline{p})$  and each  $CV_{\mu} \in Cov B_{\mu}$ , there exists  $S: B \to E_{\lambda}$  with  $(p_{\mu\lambda}S, r_{\mu}) \leq CV_{\mu}$ .

We say the map p has approximate sections when the above conditions are satisfied.

REMARK 3.5: T. Watanabe also introduced ([W], Section 24) the concept of weak approximative dominations, which is essentially the same as ours.

REMMARK 3.6: 1) Every ANR-resolution of a space X induces an HPolexpansion of X in the category pro-HTop. Therefore by the definition, if a map  $p: E \rightarrow B$  has approximate sections then p induces a weak domination in Shape category.

2) Let  $f: X \rightarrow Y$  be a map between metric spaces. If the map f has approximate sections, then f has a *dense* image.

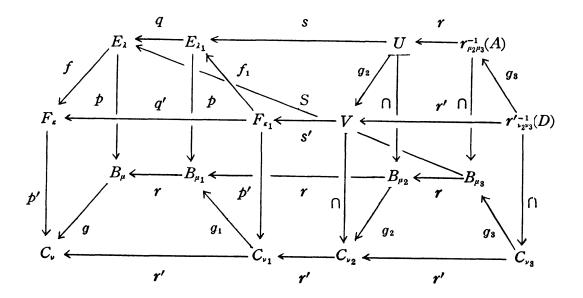
The proof of 3.2 is similar to those of [Ma], Theorem 4, or [MR], Theorem 1. For the sake of completeness, we give a full detail of the proof. As for notations, let  $\underline{q} = (q_{\lambda}) : E \to \underline{E} = (E_{\lambda}, q_{\lambda\lambda_{1}}, \Lambda), \ \underline{r} = (r_{\mu}) : B \to \underline{B} = (B_{\mu}, r_{\mu\mu_{1}}, M), \ \underline{p} = (p_{\mu}) : \underline{E} \to \underline{B}, \ \underline{q}' = (q'_{\kappa}) : E \to \underline{F} = (F_{\kappa}, q'_{\kappa\kappa_{1}}, K), \ \underline{r}' = (r'_{\nu}) : B \to \underline{C} = (C_{\nu}, r'_{\nu\nu_{1}}, N), \ \underline{p}' = (p'_{\nu}) : \underline{F} \to \underline{C}.$  We need the following lemma. See [Ma], Definition 3 and [MR], Theorem 1.

## LEMMA 3.7: Under the above notation:

- (1) For each  $(\kappa, \nu) \in A(\underline{p}')$ ,  $\mathcal{W} \in \text{Cov } F_{\kappa}$  and  $\mathcal{Z} \in \text{Cov } C_{\nu}$ , there exist  $(\lambda, \mu) \in A(\underline{p})$  and maps  $f: E_{\lambda} \to F_{\kappa}$ ,  $g: B_{\mu} \to C_{\nu}$  with  $(fq_{\lambda}, q'_{\kappa}) \leq \mathcal{W}$ ,  $(gr_{\mu}, r'_{\nu}) \leq \mathcal{Z}$  and  $(p'_{\nu\kappa}f, gp_{\mu\lambda}) \leq \mathcal{Z}$ .
- (2) For each  $(\kappa, \nu) \in A(\underline{p}')$ ,  $W \in \text{Cov } F_{\kappa}$  and  $Z \in \text{Cov } C_{\nu}$ , there exist  $W' \in \text{Cov } F_{\kappa}$  and  $Z' \in \text{Cov } C_{\nu}$  which refine W and Z resp. and satisfy the following:

For each  $(\lambda, \mu) \in A(\underline{p})$  and maps  $f: E_{\lambda} \to F_{\kappa}$ ,  $g: B_{\lambda} \to C_{\nu}$  with  $(fq_{\lambda}, q'_{\kappa}) \leq \mathcal{W}'$ ,  $(gr_{\mu}, r'_{\nu}) \leq \mathcal{Z}'$  and  $(p'_{\nu\kappa}f, gp_{\mu\lambda}) \leq \mathcal{Z}'$  and for each  $(\lambda_1, \mu_1) \geq (\lambda, \mu)$  in  $A(\underline{p})$  and  $U_1 \in Cov E_{\lambda_1}$ ,  $CV_1 \in Cov B_{\mu_1}$ , there exist  $(\kappa_1, \nu_1) \geq (\kappa, \nu)$  in  $A(\underline{p}')$  and two maps  $f_1: F_{\kappa_1} \to E_{\lambda_1}$ ,  $g_1: C_{\nu_1} \to B_{\mu_1}$  with  $(f_1q'_{\kappa_1}, q_{\lambda_1}) \leq U_1$ ,  $(g_1r'_{\nu_1}, r_{\mu_1}) \leq CV_1$ ,  $(p_{\mu_1\lambda_1}f_1, g_1p'_{\nu_1\kappa_1}) \leq CV_1$  and  $(fq_{\lambda\lambda_1}f_1, q'_{\kappa\kappa_1}) \leq \mathcal{W}$ ,  $(gr_{\mu\mu_1}g_1, r'_{\nu\nu_1}) \leq \mathcal{Z}$ .

PROOF OF 3.2: The proof consists of the construction of the following diagram by Lemma 3.7 and the ASEP of p.



To show  $\underline{p}'$  has the ASEP, given  $(\kappa, \nu) \in A(\underline{p}')$ ,  $\mathcal{W}_{\kappa} \in \text{Cov } F_{\kappa}$  and  $\mathcal{Z}_{\nu} \in \text{Cov } C_{\nu}$ . Take  $\mathcal{W}' \in \text{Cov } F_{\kappa}$  and  $\mathcal{Z}' \in \text{Cov } C_{\nu}$  with st  $\mathcal{W}' < \mathcal{W}_{\kappa}$ , st<sup>2</sup>  $\mathcal{Z}' < \mathcal{Z}_{\nu}$ . Apply 3.7, (2) to  $(\kappa, \nu)$ ,  $\mathcal{W}'$ ,  $\mathcal{Z}'$  and we obtain

(a):  $W'_{\epsilon} \in \text{Cov } F_{\epsilon}$  and  $\mathcal{Z}'_{\nu} \in \text{Cov } C_{\nu}$  as in 3.7, (2).

By 3.7, (1), there exist  $(\lambda, \mu) \in A(\underline{p})$  and maps  $f: E_{\lambda} \to F_{\epsilon}$ ,  $g: B_{\mu} \to C_{\nu}$  with  $(fq_{\lambda}, q'_{\epsilon}) \le \mathcal{W}'_{\epsilon}$ ,  $(gr_{\mu}, r'_{\nu}) \le \mathcal{Z}'_{\nu}$ ,  $(p'_{\nu\epsilon}f, gp_{\mu\lambda}) \le \mathcal{Z}'_{\nu}$ . Since p has the ASEP, there exist

(b):  $(\lambda_1, \mu_1) \ge (\lambda, \mu)$  and  $CV_{\mu_1} \in Cov B_{\mu_1}$  which satisfy 3.1, (\*) w. r. t.  $(\lambda, \mu)$ ,  $f^{-1}(W') \in Cov E_{\lambda}$  and  $g^{-1}(Z') \in Cov B_{\mu}$ .

Take  $CV'_{\mu_1} \in Cov B_{\mu_1}$  with st  $CV'_{\mu_1} < CV_{\mu_1} \wedge (gr_{\mu\mu_1})^{-1}(\mathcal{Z}')$ . By 3.7, (2) (in this case, we apply the lemma only to q and q'), there exists

(c):  $\mathcal{CV}_{\mu_1}^{\prime\prime} \in \text{Cov } B_{\mu_1}$  as in 3.7, (2) w.r.t.  $\mu_1$  and  $\mathcal{CV}_{\mu_1}^{\prime}$ .

We apply (a) to the data  $(\lambda, \mu)$ , f, g,  $(\lambda_1, \mu_1)$  and  $\mathcal{O}''_{\mu_1} \in \text{Cov } B_{\mu_1}$  to get  $(\kappa_1, \nu_1) \geq (\kappa, \nu)$  in  $A(\underline{p})$  and maps  $f_1: F_{\kappa_1} \to E_{\lambda_1}$  and  $g_1: C_{\nu_1} \to B_{\mu_1}$  with  $(g_1 r'_{\nu_1}, r_{\mu_1}) \leq \mathcal{O}''_{\mu_1}$ ,  $(p_{\mu_1 \lambda_1} f_1, g_1 p'_{\nu_1 \kappa_1}) \leq \mathcal{O}''_{\mu_1}$  and  $(fq_{\lambda \lambda_1} f_1, q'_{\kappa \kappa_1}) \leq \mathcal{O}''$ ,  $(gr_{\mu\mu_1} g_1, r'_{\nu\nu_1}) \leq \mathcal{O}'$ .

Claim:  $(\kappa_1, \nu_1)$  and  $g_1^{-1}(CV'_{\mu_1}) \in \text{Cov } C_{\nu_1}$  satisfy 3.1, (\*) w.r.t.  $(\kappa, \nu)$ ,  $\mathcal{W}_{\kappa}$  and  $\mathcal{Z}_{\nu}$ .

To see this, suppose  $\nu_2 \geq \nu_1$  in N, V is an open halo of a subset D in  $C_{\nu_2}$  and  $s': V \to F_{\varepsilon_1}$  is a map with  $(p'_{\nu_1\varepsilon_1}s', r'_{\nu_1\nu_2}|_V) \leq g_1^{-1}(\bigcirc V'_{\mu_1})$ . Take a haloing function  $\tau: C_{\nu_2} \to [0,1]$  with  $D \subset \tau^{-1}(1)$  and  $C_{\nu_2} - V \subset \tau^{-1}(0)$ . Let  $V_1 = \tau^{-1}(1/2,1]$ . Then V is a halo of  $V_1$ ,  $\bar{D} \subset V_1$ , and  $r'_{\nu\nu_2}^{-1}(\mathcal{Z}')$ ,  $\{C_{\nu_2} - \bar{D}, V_1\}$ ,  $(q'_{\varepsilon\varepsilon_1}s')^{-1}(\mathcal{W}') \cup \{C_{\nu_2} - \bar{D}\} \in \text{Cov } C_{\nu_2}$ . Take  $\mathcal{Z}_{\nu_2}$  e Cov  $C_{\nu_2}$  which refines the above three coverings. Apply 3.7, (2) to  $\nu_2$  and  $\mathcal{Z}_{\nu_2}$ , we obtain

(d):  $\mathcal{Z}'_{\nu_2} \in \text{Cov } C_{\nu_2}$  as in 3.7, (2).

We apply (c) to the data  $\nu_1$ ,  $g_1$ ,  $\nu_2$ ,  $\mathcal{Z}'_{\nu_2}$  and obtain  $\mu_2 \geq \mu_1$  and a map  $g_2: B_{\mu_2} \rightarrow C_{\nu_2}$  with  $(g_2 r_{\mu_2}, r'_{\nu_2}) \leq \mathcal{Z}'_{\nu_2}$  and  $(g_1 r'_{\nu_1 \nu_2} g_2, r_{\mu_1 \mu_2}) \leq \mathcal{C} \mathcal{V}'_{\mu_1}$ . Let  $U = g_2^{-1}(V)$ ,  $A = g_2^{-1}(V_1)$  and  $s = f_1 s' g_2|_U: U \rightarrow E_{\lambda_1}$ . Then U is a halo of A in  $B_{\mu_2}$  and  $(p_{\mu_1 \lambda_1} s, r_{\mu_1 \mu_2}|_U) \leq \mathcal{C} \mathcal{V}_{\mu_1}$ .

Therefore (b) gives  $\mu_3 \geq \mu_2$  and a map  $S: B_{\mu_3} \to E_{\lambda}$  with  $(p_{\mu\lambda}S, r_{\mu\mu_3}) \leq g^{-1}(\mathcal{Z}')$  and  $(S|_{r_{\mu_2\mu_3}^{-1}(A)}, q_{\lambda\lambda_1}sr_{\mu_2\mu_3}|_{r_{\mu_2\mu_3}^{-1}(A)}) \leq f^{-1}(\mathcal{W}')$ . Apply (d) to  $\mu_2$ ,  $g_2$ ,  $\mu_3$ , and we get  $\nu_3 \geq \nu_2$  and a map  $g_3: C_{\nu_3} \to B_{\mu_3}$  with  $(g_2r_{\mu_2\mu_3}g_3, r'_{\nu_2\nu_3}) \leq \mathcal{Z}_{\nu_2}$ . Let  $S' = fSg_3: C_{\nu_3} \to F_{\kappa}$ . Then adjacent maps of the followings are  $\mathcal{Z}'$ -near:

$$p_{\nu\kappa}'S', gp_{\mu\lambda}Sg_3, gr_{\mu\mu_3}g_3, gr_{\mu\mu_1}g_1r_{\nu_1\nu_2}'g_2r_{\mu_2\mu_3}g_3, r_{\nu\nu_2}'g_2r_{\mu_2\mu_3}g_3, r_{\nu\nu_3}'.$$

This implies  $(p'_{\nu\kappa}S', r'_{\nu\nu\alpha}) \leq \mathcal{Z}_{\nu}$ .

It remains only to show  $(q'_{\kappa_1}s'r'_{\nu_2\nu_3}|_{r'_{\nu_2\nu_3}(D)}, S'|_{r'_{\nu_2\nu_3}(D)}) \leq \mathcal{W}_{\kappa}$ . First note that  $g_3(r'_{\nu_2\nu_3}(D)) \subset r_{\mu_2\mu_3}^{-1}(A)$  and that, since  $(g_2r_{\mu_2\mu_3}g_3, r'_{\nu_2\nu_3}) \leq (q'_{\kappa_1}s')^{-1}(\mathcal{W}') \cup \{C_{\nu_2} - \bar{D}\}$ , maps  $g_2r_{\mu_2\mu_3}g_3, r'_{\nu_2\nu_3}: r'_{\nu_2\nu_3}(D) \to V$  are  $(q'_{\kappa\kappa_1}s')^{-1}(\mathcal{W}')$ -near. Thus adjacent maps of the followings are  $\mathcal{W}'$ -near on  $r'_{\nu_2\nu_3}(D)$ :

$$q'_{\kappa\kappa_1}s'\gamma'_{\nu_2\nu_3}, \ q'_{\kappa\kappa_1}s'g_2\gamma_{\mu_2\mu_3}g_3, \ fq_{\lambda\lambda_1}s\gamma_{\mu_2\mu_3}g_3, \ S'.$$

Since st  $W' < W_{\kappa}$ , we have the conclusion.

We conclude the section, inspecting Taylor's map  $F: X \to Q$  ([DW], [T]).

EXAMPLE 3.8 (Taylor's map): The map F is obtained as the *inverse limit* of the following *level* map  $f = \{f_n\}$ :

$$L \stackrel{\beta}{\longleftarrow} \Sigma^{1}L \stackrel{\Sigma\beta}{\longleftarrow} \Sigma^{2}L \stackrel{\Sigma^{2}\beta}{\longleftarrow} -- \stackrel{\Sigma^{n-1}\beta}{\longleftarrow} \Sigma^{n}L \stackrel{\Sigma^{n}\beta}{\longleftarrow} f_{0} \downarrow \qquad f_{1} \downarrow \qquad f_{2} \downarrow \qquad f_{n} \downarrow f_{n} \downarrow f_{n}$$

$$* \stackrel{\beta}{\longleftarrow} I^{1} \stackrel{\Sigma^{1}}{\longleftarrow} I^{2} \stackrel{\beta}{\longleftarrow} -- \stackrel{\Sigma^{n-1}\beta}{\longleftarrow} I^{n} \stackrel{\Sigma^{n}\beta}{\longleftarrow} f_{n} \downarrow f_{$$

where  $I=[-1,1]^r$  (r is a fixed positive integer),  $\Sigma^n L$  is the nr-th suspension of a compact polyhedron L and  $\beta$  is a map for which the composition  $\beta \circ \Sigma \beta \circ \cdots \circ \Sigma^n \beta \not= 0$  for each  $n \ge 0$ ,  $f_n$  is induced from the projection  $L \times I^n \to I^n$  and  $p_n$  is the projection. Note that, by [Ma], Theorem 8,  $\underline{f}$  is an ANR-resolution of F. The map F is an example of CE-maps which are *not* shape equivalences ([T]), and moreover, F is approximately invertible ([A2]). Note that each  $f_n$  has a section.

We now show that F does *not* have the ASEP. To see this, on the contrary, suppose F, hence  $\underline{f}$  has the ASEP. Then there exist  $n \ge 0$  and  $\varepsilon > 0$  which satisfy 3.1, (\*) w.r.t. the index 0 in  $\underline{f}$ . Since  $\Sigma^n L$  is a finite dimensional compact metric space, we can find an embedding  $i: \Sigma^n L \to I^m$  for some  $m \ge 0$ . Define an embedding  $j: \Sigma^n L \to I^{n+m} = I^n \times I^m$  by  $j(x) = (f_n(x), i(x))$  ( $x \in \Sigma^n L$ ) and let  $A = j(\Sigma^n L)$ ,  $s = j^{-1}: A \to \Sigma^n L$ . Since  $f_n s = p_{n n+m}|_A$ , by the choice of n, there exist  $k \ge n+m$  and a map  $S: I^k \to L$  such that S coincides with the composition  $\beta \circ \cdots \circ \Sigma^{n-1} \beta \circ s \circ p_{n+m,k}$  on  $p_{n+m,k}^{-1}(A) = A \times I^{k-n-m}$ . Since  $S \cong 0$  and  $sp_{n+m,k}: A \times I^{k-n-m} \to \Sigma^n L$  is a homotopy equivalence,

 $\beta \circ \cdots \circ \Sigma^{n-1} \beta \simeq 0$ . This contradicts the choice of  $\beta$ .

One can embeds X into Q and extend F to the CE-map  $G: Q \to Q \cup_F Q$ . It is known that  $Q \cup_F Q$  does *not* have the *trivial* shape ([DS]), hence G is not a weak domination. This implies that G is *not* approximately invertible.

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