

ON THE COMMUTATIVE FAMILY OF SUBNORMAL OPERATORS

By

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Introduction. HALMOS has given in [3] the definition of a subnormal operator and the characteristic property of it. A bounded operator A defined on a HILBERT space \mathfrak{H} is said to be *subnormal* if there exist a HILBERT space \mathfrak{K} containing \mathfrak{H} and a bounded normal operator N on \mathfrak{K} such that $Ax = Nx$ for every x in \mathfrak{H} . Recently in [1] BRAM has made HALMOS' characterization simpler ([1], Theorem 1) and given another characteristic property ([1], Theorem 2) and some results about subnormal operators (for example, [1], Theorems 4, 7, 8, 9).

In this paper first we shall study the problem under what conditions it is possible to extend the commutative family of subnormal operators acting on a HILBERT space \mathfrak{H} to the commutative family of normal operators on a HILBERT space \mathfrak{K} containing \mathfrak{H} . Theorem 1 answers to this question. Then we shall give a generalization of BRAM's theorems (for example Theorem 6 and Theorem 7) and another simpler proof of BRAM's theorem about the spectrum of subnormal operators (Theorem 8). Theorem 3 is a generalization of COOPER's result in [2] (cf. [9], p. 393). Theorem 5 gives a new characterization of subnormal operators.

1. An abelian semi-group of subnormal operators. Throughout the paper, a HILBERT space is a vector space over the complex numbers, an operator is a bounded linear transformation unless denoted explicitly. For an operator A we denote by A^* an adjoint operator of A .

Lemma 1. Let $A_l (l=1, 2, \dots, n)$ be n commutative operators on a HILBERT space \mathfrak{H} . If for every non-negative integer M and element x_{i_1, i_2, \dots, i_n} in \mathfrak{H} ($0 \leq i_l \leq M, l=1, 2, \dots, n$)

$$(1.1) \quad \sum_{\substack{i_l, j_l \geq 0 \\ l=1, 2, \dots, n}}^M (A_1^{i_1} A_2^{i_2} \dots A_n^{i_n} x_{j_1, j_2, \dots, j_n}, A_1^{j_1} A_2^{j_2} \dots A_n^{j_n} x_{i_1, i_2, \dots, i_n}) \geq 0,$$

then we have the inequality such that for every $M, x_{i_1, i_2, \dots, i_n}$ in \mathfrak{H} ($0 \leq i_l \leq M, l=1, 2, \dots, n$) and non-negative integer $\nu_l (l=1, 2, \dots, n)$

$$\begin{aligned}
(1.2) \quad & \sum_{l=1,2,\dots,n}^M (A_1^{i_1+\nu_1} A_2^{i_2+\nu_2} \dots A_n^{i_n+\nu_n} x_{j_1, j_2, \dots, j_n}, A_1^{j_1+\nu_1} A_2^{j_2+\nu_2} \dots A_n^{j_n+\nu_n} x_{i_1, i_2, \dots, i_n}) \\
& \leq \|A_1\|^{2\nu_1} \|A_2\|^{2\nu_2} \dots \|A_n\|^{2\nu_n} \sum_{l=1,2,\dots,n}^M (A_1^{i_1} \dots A_n^{i_n} x_{j_1, \dots, j_n}, A_1^{j_1} \dots A_n^{j_n} x_{i_1, \dots, i_n}).
\end{aligned}$$

Proof. Essentially the proof is the same as that of [1] Theorem 1. HEINZ'S theorem ([5]) is essential.

Let $\mathfrak{S}_{i_1, i_2, \dots, i_n}$ ($i_l = 0, 1, 2, \dots; l = 1, 2, \dots, n$) be spaces isomorphic to \mathfrak{S} , \mathfrak{R} be the direct sum of $\mathfrak{S}_{i_1, i_2, \dots, i_n}$, that is, $\mathfrak{R} = \sum_{i_l \geq 0} \bigoplus_{l=1,2,\dots,n} \mathfrak{S}_{i_1, i_2, \dots, i_n}$. We denote

the element of \mathfrak{R} by $\bar{x} = \{x_{i_1, i_2, \dots, i_n}\}$, where x_{i_1, i_2, \dots, i_n} is i_1, i_2, \dots, i_n -component of \bar{x} . For a positive number $\varepsilon > 0$ we put $B_l = (\|A_l\| + \varepsilon)^{-1} A_l$ ($l = 1, 2, \dots, n$), then $\|B_l\| < 1$ ($l = 1, 2, \dots, n$).

We can define a linear transformation S on \mathfrak{R} such that

$$(1.3) \quad \begin{cases} S\bar{x} = \bar{y} = \{y_{i_1, i_2, \dots, i_n}\}, & \bar{x} = \{x_{i_1, i_2, \dots, i_n}\}, \\ y_{i_1, i_2, \dots, i_n} = \sum_{j_l \geq 0} B_n^{*j_n} \dots B_2^{*j_2} B_1^{*j_1} B_1^{i_1} B_2^{i_2} \dots B_n^{i_n} x_{j_1, j_2, \dots, j_n}. \end{cases}$$

As $\|B_l\| < 1$ ($l = 1, 2, \dots, n$), the right hand of (1.3) is convergent and S is a bounded operator. Because

$$\begin{aligned}
\|S\bar{x}\|^2 &= \sum_{i_l \geq 0} \left\| \sum_{j_l \geq 0} B_n^{*j_n} \dots B_1^{*j_1} B_1^{i_1} \dots B_n^{i_n} x_{j_1, j_2, \dots, j_n} \right\|^2 \\
&\leq \sum_{i_l \geq 0} \left\{ \sum_{j_l \geq 0} \|B_n^{*j_n} \dots B_1^{*j_1} B_1^{i_1} \dots B_n^{i_n}\| \|x_{j_1, j_2, \dots, j_n}\| \right\}^2 \\
&\leq \sum_{i_l \geq 0} \left\{ \sum_{j_l \geq 0} \|B_n\|^{2j_n+2i_n} \dots \|B_1\|^{2j_1+2i_1} \right\} \left\{ \sum_{j_l \geq 0} \|x_{j_1, j_2, \dots, j_n}\|^2 \right\} \\
&= (1 - \|B_n\|^2)^{-2} \dots (1 - \|B_1\|^2)^{-2} \|\bar{x}\|^2.
\end{aligned}$$

So we have

$$(1.4) \quad \|S\bar{x}\| \leq (1 - \|B_n\|^2)^{-1} \dots (1 - \|B_1\|^2)^{-1} \|\bar{x}\| \quad (\bar{x} \in \mathfrak{R}).$$

On the other hand for $\bar{x} = \{x_{i_1, i_2, \dots, i_n}\}$ whose components equal to zero except for finite number of x_{i_1, i_2, \dots, i_n} we have by assumption (1.1)

$$\begin{aligned}
(1.5) \quad (S\bar{x}, \bar{x}) &= \sum_{i_l, j_l \geq 0}^M (B_1^{i_1} \dots B_n^{i_n} x_{j_1, \dots, j_n}, B_1^{j_1} \dots B_n^{j_n} x_{i_1, \dots, i_n}) \\
&= \sum_{i_l, j_l \geq 0}^M (A_1^{i_1} \dots A_n^{i_n} z_{j_1, j_2, \dots, j_n}, A_1^{j_1} \dots A_n^{j_n} z_{i_1, \dots, i_n}) \geq 0,
\end{aligned}$$

where $z_{i_1, i_2, \dots, i_n} = (\|A_1\| + \varepsilon)^{-i_1} \dots (\|A_n\| + \varepsilon)^{-i_n} x_{i_1, i_2, \dots, i_n}$.

The whole of such \bar{x} is dense in \mathfrak{K} evidently. Therefore S is a bounded positive symmetric operator on \mathfrak{K} .

In the same way we define a linear transformation T on \mathfrak{K} such that

$$(1.6) \quad \begin{cases} T\bar{x} = \bar{z} = \{z_{i_1, i_2, \dots, i_n}\}, & \bar{x} = \{x_{i_1, i_2, \dots, i_n}\}, \\ z_{i_1, i_2, \dots, i_n} = \sum_{\substack{j_l \geq 0 \\ l=1,2,\dots,n}} B_n^{*j_n+\nu_n} \dots B_1^{*j_1+\nu_1} B_1^{i_1+\nu_1} \dots B_n^{i_n+\nu_n} x_{j_1, j_2, \dots, j_n}. \end{cases}$$

It is proved like S that T is a bounded positive symmetric operator on \mathfrak{K} .

Next we see

$$(1.7) \quad \|T\bar{x}\| \leq \|S\bar{x}\| \quad (\bar{x} \in \mathfrak{K}).$$

Because

$$\begin{aligned} \|T\bar{x}\|^2 &\leq \sum_{\substack{i_l \geq 0 \\ l=1,2,\dots,n}} \left\| \sum_{\substack{j_l \geq 0 \\ l=1,2,\dots,n}} B_n^{*j_n+\nu_n} \dots B_1^{*j_1+\nu_1} B_1^{i_1+\nu_1} \dots B_n^{i_n+\nu_n} x_{j_1, j_2, \dots, j_n} \right\|^2 \\ &\leq \sum_{\substack{i_l \geq 0 \\ l=1,2,\dots,n}} \left\| \sum_{\substack{j_l \geq 0 \\ l=1,2,\dots,n}} B_n^{*j_n+\nu_n} \dots B_1^{*j_1+\nu_1} B_1^{i_1} \dots B_n^{i_n} x_{j_1, j_2, \dots, j_n} \right\|^2, \end{aligned}$$

as B_l ($l=1,2,\dots,n$) are commutative we have

$$\begin{aligned} &\leq \sum_{\substack{i_l \geq 0 \\ l=1,2,\dots,n}} \|B_n^{*\nu_n} \dots B_1^{*\nu_1}\|^2 \left\| \sum_{\substack{j_l \geq 0 \\ l=1,2,\dots,n}} B_n^{*j_n} \dots B_1^{*j_1} B_1^{i_1} \dots B_n^{i_n} x_{j_1, j_2, \dots, j_n} \right\|^2 \\ &\leq \|B_1\|^{2\nu_1} \dots \|B_n\|^{2\nu_n} \|S\bar{x}\|^2 \leq \|S\bar{x}\|^2. \end{aligned}$$

Owing to HEINZ's theorem (cf. [5] or KATO [7]) we obtain from (1.7)

$$(1.8) \quad (T\bar{x}, \bar{x}) \leq (S\bar{x}, \bar{x}) \quad (\bar{x} \in \mathfrak{K}).$$

Hence

$$\begin{aligned} &\sum_{\substack{i_l, j_l \geq 0 \\ l=1,2,\dots,n}}^M (B_1^{i_1+\nu_1} \dots B_n^{i_n+\nu_n} x_{j_1, \dots, j_n}, B_1^{j_1+\nu_1} \dots B_n^{j_n+\nu_n} x_{i_1, \dots, i_n}) \\ &\leq \sum_{\substack{i_l, j_l \geq 0 \\ l=1,2,\dots,n}}^M (B_1^{i_1} \dots B_n^{i_n} x_{j_1, \dots, j_n}, B_1^{j_1} \dots B_n^{j_n} x_{i_1, \dots, i_n}). \end{aligned}$$

Therefore we have

$$\begin{aligned} (1.9) \quad &\sum_{\substack{i_l, j_l \geq 0 \\ l=1,2,\dots,n}}^M (A_1^{i_1+\nu_1} \dots A_n^{i_n+\nu_n} x_{j_1, \dots, j_n}, A_1^{j_1+\nu_1} \dots A_n^{j_n+\nu_n} x_{i_1, \dots, i_n}) \\ &\leq (\|A_1\| + \varepsilon)^{2\nu_1} \dots (\|A_n\| + \varepsilon)^{2\nu_n} \sum_{\substack{i_l, j_l \geq 0 \\ l=1,2,\dots,n}}^M (A_1^{i_1} \dots A_n^{i_n} x_{j_1, \dots, j_n}, A_1^{j_1} \dots A_n^{j_n} x_{i_1, \dots, i_n}). \end{aligned}$$

Remembering ε was an arbitrary positive number we obtain the inequality (1.2) from (1.9).

Let Γ be an abelian semi-group having at least one zero element 0. The function A_r ($r \in \Gamma$) from Γ into the algebra of bounded operators on a HILBERT space \mathfrak{H} is called an operator representation of Γ if

$$(1.10) \quad \begin{cases} A_{r_1} A_{r_2} = A_{r_1 + r_2} & (r_1, r_2 \in \Gamma) \text{ and} \\ A_0 = I & \text{(an identity operator on } \mathfrak{H} \text{)}. \end{cases}$$

Through the paper such an operator representation of Γ will be denoted by A_r ($r \in \Gamma, \mathfrak{H}$).

Definition 1. An operator representation A_r ($r \in \Gamma, \mathfrak{H}$) will be called positive definite if

$$(1.11) \quad \sum_{i,j} (A_{r_i} x_j, A_{r_j} x_i) \geq 0$$

for every finite number of x_i in \mathfrak{H} and r_i in Γ .

From Lemma 1 following lemma is proved.

Lemma 2. Let an operator representation A_r ($r \in \Gamma, \mathfrak{H}$) be positive definite. Then we have for every finite number of x_i in \mathfrak{H} , r_i in Γ and an arbitrary ρ in Γ

$$(1.12) \quad \sum_{i,j} (A_{r_i + \rho} x_j, A_{r_j + \rho} x_i) \leq \|A_\rho\|^2 \sum_{i,j} (A_{r_i} x_j, A_{r_j} x_i).$$

Proof. Assuming that i and j run from 1 to $n-1$ we put $A_i = A_{r_i}$ ($1 \leq i \leq n-1$) and $A_n = A_\rho$. By the fact that A_r ($r \in \Gamma, \mathfrak{H}$) is positive definite we can see easily A_l ($l=1, 2, \dots, n$) satisfy the assumption of Lemma 1 namely the inequality (1.1). Therefore putting in (1.2)

$$\begin{cases} x_{i_1, i_2, \dots, i_n} = x_l & \text{if } i_l = 1, i_m = 0 \ (m \neq l), \ l=1, 2, \dots, n-1, \\ x_{i_1, i_2, \dots, i_n} = 0 & \text{besides,} \\ \nu_l = 0 & (l=1, 2, \dots, n-1), \ \nu_n = 1, \end{cases}$$

we have (1.12).

Definition 2. For two operator representations of Γ A_r ($r \in \Gamma, \mathfrak{H}$) and B_r ($r \in \Gamma, \mathfrak{K}$) it is defined that B_r ($r \in \Gamma, \mathfrak{K}$) is an extension of A_r ($r \in \Gamma, \mathfrak{H}$) if following conditions are satisfied

$$(1.13) \quad \mathfrak{K} \supset \mathfrak{H} \text{ and } B_r x = A_r x \ (x \in \mathfrak{H}) \text{ for all } r \in \Gamma.$$

If all B_r are normal operators on \mathfrak{K} we call B_r ($r \in \Gamma, \mathfrak{K}$) the normal extension of A_r ($r \in \Gamma, \mathfrak{H}$).

We obtain the following theorem which is a generalization of

HALMOS' theorem ([3], Theorem 3).

Theorem 1. *An operator representation A_r ($r \in \Gamma$, \mathfrak{H}) of an abelian semi-group Γ has a normal extension N_r ($r \in \Gamma$, \mathfrak{K}) if and only if A_r ($r \in \Gamma$, \mathfrak{H}) is positive definite.*

Proof. Necessity. For every finite number of x_i in \mathfrak{H} and r_i in Γ we have

$$\begin{aligned} \sum_{i,j} (A_{r_i} x_j, A_{r_j} x_i) &= \sum_{i,j} (N_{r_i} x_j, N_{r_j} x_i) = \sum_{i,j} (N_{r_j}^* x_j, N_{r_i}^* x_i) \\ &= \left\| \sum_i N_{r_i}^* x_i \right\|^2 \geq 0. \end{aligned}$$

Sufficiency. The construction of \mathfrak{K} and N_r ($r \in \Gamma$) are obtained by generalizing HALMOS' method ([3]) to the case of semi-groups.

Putting \mathfrak{F} the Cartesian product of \mathfrak{H}_r ($r \in \Gamma$), namely $\mathfrak{F} = \prod_{r \in \Gamma} \mathfrak{H}_r$, here every \mathfrak{H}_r is isomorphic to \mathfrak{H} . We shall denote the element of \mathfrak{F} by $\bar{x} = \{x_r\}$ whose r -component is x_r . Let $\mathfrak{D} = \{\bar{x}; \bar{x} = \{x_r\}, x_r \neq 0 \text{ at most finite number of } r\}$, then \mathfrak{D} is a linear manifold in \mathfrak{F} . We shall introduce onto \mathfrak{D} a bilinear functional such that

$$(1.15) \quad \langle \bar{x}, \bar{y} \rangle = \sum_{r, r' \in \Gamma} (A_r x_{r'}, A_{r'} y_r) \quad (\bar{x}, \bar{y} \in \mathfrak{D}),$$

for brevity we identify all \mathfrak{H}_r with \mathfrak{H} . Since A_r ($r \in \Gamma$, \mathfrak{H}) is positive definite, $\langle \bar{x}, \bar{y} \rangle$ is a positive symmetric bilinear functional. Putting $\mathfrak{S} = \{\bar{x}; \langle \bar{x}, \bar{x} \rangle = 0\}$, then naturally the quotient space $\mathfrak{D}/\mathfrak{S}$ is an inner product space. The completion \mathfrak{K} of $\mathfrak{D}/\mathfrak{S}$ by this inner product is a HILBERT space. Evidently the correspondence $\mathfrak{H} \ni x \rightarrow \bar{x} = \{x_r\}$, where $x_0 = x$ and $x_r = 0$ ($r \neq 0$), is an isomorphism from \mathfrak{H} into \mathfrak{D} . Thus \mathfrak{H} is imbedded into \mathfrak{K} .

Next we shall define linear transformations N_ρ ($\rho \in \Gamma$) on \mathfrak{D} such that

$$(1.16) \quad \begin{cases} N_\rho \bar{x} = \bar{y} = \{y_r\}, & \bar{x} = \{x_r\}, \\ y_r = A_\rho x_r & (x \in \Gamma). \end{cases}$$

Then we have from Lemma 2

$$\begin{aligned} \langle N_\rho \bar{x}, N_\rho \bar{x} \rangle &= \sum_{r, r' \in \Gamma} (A_{r+\rho} x_{r'}, A_{r'+\rho} x_r) \\ &\leq \|A_\rho\|^2 \sum_{r, r' \in \Gamma} (A_r x_{r'}, A_{r'} x_r) = \|A_\rho\|^2 \langle \bar{x}, \bar{x} \rangle. \end{aligned}$$

Therefore N_ρ is regarded as a bounded operator on \mathfrak{K} . We shall denote this operator on \mathfrak{K} by the same notation N_ρ .

We shall show N_ρ is a normal operator on \mathfrak{K} . For every $\rho, r \in \Gamma$, putting $\Gamma_{r-\rho} = \{\delta; \delta + \rho = r\}$, and we introduce linear transformations

L_ρ ($\rho \in \Gamma$) on \mathfrak{D} such that

$$(1.18) \quad \begin{cases} L_\rho \bar{x} = \bar{z} = \{z_\gamma\}, & \bar{x} = \{x_\gamma\}, \\ z_\gamma = \sum_{\delta \in \Gamma_{\gamma-\rho}} x_\delta \text{ or } = 0 & \text{if } \Gamma_{\gamma-\rho} = \phi, \end{cases}$$

generally $\Gamma_{\gamma-\rho}$ is an infinite set, but for \bar{x} $x_\delta = 0$ except for finite number of δ , so $\sum_{\delta \in \Gamma_{\gamma-\rho}} x_\delta$ has a meaning. Thus

$$(1.19) \quad \begin{aligned} \langle L_\rho \bar{x}, L_\rho \bar{x} \rangle &= \sum_{\gamma, \gamma' \in \Gamma} (A_\gamma \sum_{\delta \in \Gamma_{\gamma-\rho}} x_\delta, A_{\gamma'} \sum_{\delta \in \Gamma_{\gamma'-\rho}} x_\delta) \\ &= \sum_{\delta, \delta' \in \Gamma} (A_{\delta+\rho} x_{\delta'}, A_{\delta'+\rho} x_\delta) = \langle N_\rho \bar{x}, N_\rho \bar{x} \rangle \leq \|A_\rho\|^2 \langle \bar{x}, \bar{x} \rangle. \end{aligned}$$

Therefore L_ρ defines a bounded operator on \mathfrak{R} , we shall denote that operator by the same notation L_ρ . Then likewise we have

$$(1.20) \quad \langle L_\rho \bar{x}, \bar{y} \rangle = \langle \bar{x}, N_\rho \bar{y} \rangle \quad (\bar{x}, \bar{y} \in \mathfrak{D}),$$

therefore $L_\rho^* = N_\rho$ ($\rho \in \Gamma$) on \mathfrak{R} . From (1.19) and (1.20) N_ρ ($\rho \in \Gamma$) are normal operators on \mathfrak{R} . And evidently $N_\rho = A_\rho$ on \mathfrak{S} . Furthermore by (1.16) $N_0 = I$ and $N_{\gamma_1} N_{\gamma_2} = N_{\gamma_1 + \gamma_2}$ ($\gamma_1, \gamma_2 \in \Gamma$). The proof is complete.

Definition 3. Let N_γ ($\gamma \in \Gamma, \mathfrak{R}$) be a normal extension of A_γ ($\gamma \in \Gamma, \mathfrak{S}$). If for any subspace \mathfrak{R}_0 such that $\mathfrak{R} \supset \mathfrak{R}_0 \supset \mathfrak{S}$ and every N_γ is reduced by \mathfrak{R}_0 , we have $\mathfrak{R}_0 = \mathfrak{R}$, then N_γ ($\gamma \in \Gamma, \mathfrak{R}$) is called a minimal normal extension of A_γ ($\gamma \in \Gamma, \mathfrak{S}$).

Putting $\mathfrak{L} = \{ \sum_i N_{\gamma_i}^* x_i; \text{ for every finite number of } x_i \text{ in } \mathfrak{S} \text{ and } \gamma_i \text{ in } \Gamma \}$, then evidently the closure of \mathfrak{L} in \mathfrak{R} is a subspace containing \mathfrak{S} and invariant under every N_γ and N_γ^* . Therefore the necessary and sufficient condition that N_γ ($\gamma \in \Gamma, \mathfrak{R}$) be a minimal normal extension of A_γ ($\gamma \in \Gamma, \mathfrak{S}$) is that a linear manifold \mathfrak{L} be dense in \mathfrak{R} . It is noted that the normal extension N_γ ($\gamma \in \Gamma, \mathfrak{R}$) which was obtained in Theorem 1 is a minimal normal extension of A_γ ($\gamma \in \Gamma, \mathfrak{S}$).

Theorem 2. A minimal normal extension N_γ ($\gamma \in \Gamma, \mathfrak{R}$) of A_γ ($\gamma \in \Gamma, \mathfrak{S}$) is unique except for unitary isomorphism and $\|N_\gamma\|_{\mathfrak{R}} = \|A_\gamma\|_{\mathfrak{S}}$ ($\gamma \in \Gamma$), where $\|N_\gamma\|_{\mathfrak{R}}$ and $\|A_\gamma\|_{\mathfrak{S}}$ are respectively the operator norms on \mathfrak{R} and \mathfrak{S} .

Proof. Let N_γ ($\gamma \in \Gamma, \mathfrak{R}_1$) and M_γ ($\gamma \in \Gamma, \mathfrak{R}_2$) be two minimal normal extensions of A_γ ($\gamma \in \Gamma, \mathfrak{S}$) and \mathfrak{L}_1 and \mathfrak{L}_2 be respectively linear manifolds defined above (cf. after Definition 3). Then we have

$$\begin{aligned} \left\| \sum_i N_{\gamma_i}^* x_i \right\|_1^2 &= \sum_{i,j} (N_{\gamma_j} x_i, N_{\gamma_i} x_j) = \sum_{i,j} (A_{\gamma_j} x_i, A_{\gamma_i} x_j) \\ &= \sum_{i,j} (M_{\gamma_j} x_i, M_{\gamma_i} x_j) = \left\| \sum_i M_{\gamma_i}^* x_i \right\|_2^2. \end{aligned}$$

Therefore if we make correspond $\sum_i N_{r_i}^* x_i$ to $\sum_i M_{r_i}^* x_i$, then we have an isometric transformation from \mathfrak{L}_1 onto \mathfrak{L}_2 . Since \mathfrak{L}_1 and \mathfrak{L}_2 are dense respectively in \mathfrak{R}_1 and \mathfrak{R}_2 . Consequently N_r ($r \in \Gamma$, \mathfrak{R}_1) and M_r ($r \in \Gamma$, \mathfrak{R}_3) are unitary equivalent.

From (1.17) we have $\|N_\rho\|_{\mathfrak{R}} \leq \|A_\rho\|_{\mathfrak{S}}$, on the other hand from $\mathfrak{S} \subset \mathfrak{R}$ we have $\|A_\rho\|_{\mathfrak{S}} \leq \|N_\rho\|_{\mathfrak{R}}$, therefore we obtain $\|N_\rho\|_{\mathfrak{R}} = \|A_\rho\|_{\mathfrak{S}}$ ($\rho \in \Gamma$).

Remark. The fact $\|N_r\|_{\mathfrak{R}} = \|A_r\|_{\mathfrak{S}}$ ($r \in \Gamma$) is a generalization of BRAM [1] Lemma 2, but remarked that HALMOS' theorem about the spectrum of subnormal operators is not necessary.

2. A commutative family of isometric operators. In this section the partially isometric operator V such that $V^*V = I$ will be called isometric simply. By the application of Theorem 1 we can show the following Theorem 3. This is a generalization of COOPER's result ([2] or cf. [9] p. 393) about the continuous one parameter semi-group V_t ($t \geq 0$) consisting of isometric operators. In our proof any assumption about the parameter is not necessary.

Theorem 3. Let V_r ($r \in \Gamma$, \mathfrak{S}) be an operator representation consisting of isometric operators. Then it can be extended to an unitary operator representation U_r ($r \in \Gamma$, \mathfrak{R}).

Let $\mathfrak{B} = \{V\}$ be a commutative family of isometric operators on \mathfrak{S} . As the semi-group generated by \mathfrak{B} consists of isometric operators, from Theorem 3 we can extend \mathfrak{B} to a commutative family $\{\mathfrak{U} = U\}$ of unitary operators.

Before the proof we shall show the following Lemmas.

Lemma 3. Let A_r ($r \in \Gamma$, \mathfrak{S}) be positive definite, N_r ($r \in \Gamma$, \mathfrak{R}) be a minimal extension of A_r ($r \in \Gamma$, \mathfrak{S}) and B be a bounded operator on \mathfrak{S} .

a) The necessary and sufficient conditions that B can be extended to an operator L on \mathfrak{R} being commutative with all N_r ($r \in \Gamma$) is that

$$(i) \quad BA_r = A_r B \quad (r \in \Gamma)$$

and some positive number $C > 0$ exist such that

$$(2.1) \quad (ii) \quad \sum_{i,j} (A_{r_i} B x_j, A_{r_j} B x_i) \leq C \sum_{i,j} (A_{r_i} x_j, A_{r_j} x_i)$$

for every finite number x_i in \mathfrak{S} and r_i in Γ . And such L is unique.

b) Let B_1 and B_2 be bounded operators on \mathfrak{S} and satisfy conditions (i) and (ii) in a) and L_1 and L_2 be the extensions on \mathfrak{R} of B_1 and B_2 respectively. Then if B_1 and B_2 are commutative, L_1 and L_2 are commutative also.

c) If adding to (i), (ii) of a) B is a normal operator, then L is also a

normal operator on \mathfrak{R} .

Proof.

a). Necessity. (i) is evident. By observing that

$$(2.2) \quad \sum_{i,j} (A_{r_i} Bx_j, A_{r_j} Bx_i) = \sum_{i,j} (N_{r_i}^* Lx_i, N_{r_j}^* Lx_j) = \|L(\sum_i N_{r_i}^* x_i)\|^2 \\ \leq \|L\|^2 \|\sum_i N_{r_i}^* x_i\|^2 = \|L\|^2 \sum_{i,j} (A_{r_i} x_j, A_{r_j} x_i),$$

(ii) is obtained.

Sufficiency. First we define a linear transformation L for the element of \mathfrak{L} such that $L(\sum_i N_{r_i}^* x_i) = \sum_i N_{r_i}^* Bx_i$. Then

$$\|L(\sum_i N_{r_i}^* x_i)\|^2 = \sum_{i,j} (N_{r_i} Bx_j, N_{r_j} Bx_i) \\ \leq C \sum_{i,j} (A_{r_i} Bx_j, A_{r_j} Bx_i) = C \|\sum_i N_{r_i}^* x_i\|^2.$$

Hence L is a bounded operator on \mathfrak{L} , and L can be extended onto \mathfrak{R} uniquely. We see easily $LN_r = N_r L$ ($r \in \Gamma$) on \mathfrak{L} and L is unique on \mathfrak{L} . Thus we have conclusion.

$$\text{b).} \quad L_1 L_2 (\sum_i N_{r_i}^* x_i) = \sum_i N_{r_i}^* B_1 B_2 x_i \\ = \sum_i N_{r_i}^* B_2 B_1 x_i = L_2 L_1 (\sum_i N_{r_i}^* x_i),$$

hence $L_1 L_2 = L_2 L_1$.

c). As B is normal and commutative to all A_r , B^* commutes with all A_r $r \in \Gamma$. And

$$\sum_{i,j} (A_{r_i} B^* x_j, A_{r_j} B^* x_i) = \sum_{i,j} (A_{r_i} Bx_j, A_{r_j} Bx_i) \\ \leq C \sum_{i,j} (A_{r_i} x_j, A_{r_j} x_i).$$

Therefore from a) B^* has a extension M on \mathfrak{R} uniquely. From b) L and M are commutative and

$$(L(\sum_i N_{r_i}^* x_i), \sum_i N_{r_i}^* y_i) = \sum_{i,j} (A_{r_j} Bx_i, A_{r_i} y_j) \\ = \sum_{i,j} (A_{r_j} x_i, A_{r_i} B^* y_j) = (\sum_i N_{r_i}^* x_i, M(\sum_i N_{r_i}^* y_i)).$$

Thus we obtain $L^* = M$, consequently L is a normal operator on \mathfrak{R} .

Lemma 4. Let V_l ($l=1,2,\dots,n$) be n commutative isometric operators on \mathfrak{H} , then we can extend them to n commutative unitary operators U_l ($l=1,2,\dots,n$) on \mathfrak{R} containing \mathfrak{H} .

Proof. In the case $n=1$, it is evident that V_1 can be extended to the unitary operator. Therefore the minimal normal extension of V_l

is an unitary operator. Let a semi-group generated by V_1, V_2, \dots, V_ν ($\nu < n$) have a minimal normal extension consisting of unitary operators on \mathfrak{H}_0 containing \mathfrak{H} and W_l ($l=1, 2, \dots, \nu$) be extensions of V_l ($l=1, 2, \dots, \nu$). By Lemma 3, a) $V_{\nu+1}$ can be extended to the operator $W_{\nu+1}$ on \mathfrak{H}_0 . It is easily proved $W_{\nu+1}$ is isometric operator on \mathfrak{H} . Hence putting $U_{\nu+1}$ a minimal normal extension of $W_{\nu+1}$ and \mathfrak{K} the space on which $U_{\nu+1}$ is defined, again from Lemma 3, a) W_l ($l=1, 2, \dots, \nu$) can be extended to U_l ($l=1, 2, \dots, \nu$) defined on \mathfrak{K} . From Lemma 3, b) U_l ($l=1, 2, \dots, \nu+1$) are commutative and U_l ($l=1, 2, \dots, \nu$) are isometric, and normal from Lemma 3, c). Therefore U_l ($l=1, 2, \dots, \nu+1$) are commutative unitary operators on \mathfrak{K} . By the induction the conclusion is obtained.

Proof of Theorem 3. From Lemma 4 V_γ ($\gamma \in \Gamma$, \mathfrak{H}) is positive definite. Therefore from Theorem 1 V_γ ($\gamma \in \Gamma$, \mathfrak{H}) has a minimal normal extension U_γ ($\gamma \in \Gamma$, \mathfrak{K}). If we replace A_γ with V_γ and N_γ with U_γ in the inequality (1.17), we have $\langle U_\rho \bar{x}, U_\rho \bar{x} \rangle = \langle \bar{x}, \bar{x} \rangle$. Therefore U_γ ($\gamma \in \Gamma$) are unitary operators on \mathfrak{K} .

Remark. In Lemma 3 and Lemma 4, Theorem 1 is not used essentially. And by Maximal theorem (or transfinite induction) and Lemma 4, Theorem 3 is proved independently of Theorem 1.

3. A continuous one parameter semi-group. In this section we shall study a continuous one parameter semi-group consisting of subnormal operators and give two types of characterization of subnormal operators. One parameter family of bounded operators A_t ($t \geq 0$) on \mathfrak{H} is called continuous one parameter semi-group when

$$(3.1) \quad \begin{aligned} (i) \quad & A_{t_1} A_{t_2} = A_{t_1+t_2} \quad (t_1 \geq 0, t_2 \geq 0), A_0 = I, \\ (ii) \quad & \text{weakly continuous on } t \geq 0. \end{aligned}$$

Lemma 5. *Continuous one parameter semi-group A_t ($t \geq 0$, \mathfrak{H}) of subnormal operators is positive definite.*

Proof. For an arbitrary finite number of $t_i \geq 0$ ($i=1, 2, \dots, n$) we find sequences of positive rational numbers $r_{\nu, i}$ ($\nu=1, 2, \dots; i=1, 2, \dots, n$) such that $\lim_{\nu \rightarrow \infty} r_{\nu, i} = t_i$ ($i=1, 2, \dots, n$). We can put $r_{\nu, i} = b_{\nu, i} / a_\nu$ ($\nu=1, 2, \dots; i=1, 2, \dots, n$), where $b_{\nu, i}$, a_ν are positive integers. Since one parameter semi-group is weakly continuous if and only if strongly continuous ([6]), we have

$$(3.2) \quad \begin{aligned} \sum_{i,j} (A_{t_i} x_j, A_{t_j} x_i) &= \lim_{\nu \rightarrow \infty} \sum_{i,j} (A_{r_{\nu, i}} x_j, A_{r_{\nu, j}} x_i) \\ &= \lim_{\nu \rightarrow \infty} \sum_{i,j} ((A_{1/a_\nu})^{b_{\nu, i}} x_j, (A_{1/a_\nu})^{b_{\nu, j}} x_i) \end{aligned}$$

On the other hand every A_{1/a_ν} is subnormal by assumption, hence

$$\sum_{i,j} ((A_{1/a_\nu})^{b_{\nu,i}} x_j, (A_{1/a_\nu})^{b_{\nu,j}} x_i) \geq 0$$

Therefore $A_t(t \geq 0, \mathfrak{H})$ is positive definite.

Theorem 4. *Continuous one parameter semi-group $A_t(t \geq 0, \mathfrak{H})$ of subnormal operators can be extended to continuous one parameter semi-group $N_t(t \geq 0, \mathfrak{K})$ consisting of normal operators on \mathfrak{K} containing \mathfrak{H} .*

Proof. From Lemma 5 $A_t(t \geq 0, \mathfrak{H})$ has a minimal normal extension $N_t(t \geq 0, \mathfrak{K})$. We shall show the continuity of N_t about the parameter. It is evident N_t is continuous about $t \geq 0$ on the linear manifold \mathfrak{L} (cf. Def. 3) of \mathfrak{K} , and for any $t_0 \geq 0$ and a sequence of rational numbers $r_\nu (\nu = 1, 2, \dots)$ such that $\lim_{\nu \rightarrow \infty} r_\nu = t_0$ $\{\|N_{r_\nu}\|; \nu = 1, 2, \dots\}$ is uniformly bounded, because $\|N_{r_\nu}\| = \|N_1\|^{r_\nu} (\nu = 1, 2, \dots)$. Therefore easily we can see $\lim_{\nu \rightarrow \infty} N_{r_\nu} = N_{t_0}$ strongly by observing that \mathfrak{L} is dense in \mathfrak{K} and $\{\|N_{r_\nu}\|; \nu = 1, 2, \dots\}$ is uniformly bounded. Thus N_t is strongly continuous about $t \geq 0$.

Remark. (i) If in Theorem 4 \mathfrak{H} is separable, the space \mathfrak{K} of the minimal normal extension $N_t(t \geq 0, \mathfrak{K})$ is also separable.

(ii) From Theorem 2 we have $\|A_t\| = \|A_1\|^t (t \geq 0)$ for every continuous one parameter semi-group $A_t(t \geq 0)$ of subnormal operators.

Theorem 5. *A bounded operator A on \mathfrak{H} is subnormal if and only if one parameter semi-group $\exp(tA) (t \geq 0)$ is positive definite.*

Proof. Necessity. Let N be a minimal normal extension of A . Then we have

$$\begin{aligned} (3.3) \quad \sum_{i,j} (\exp(t_i A)x_j, \exp(t_j A)x_i) &= \sum_{i,j} (\exp(t_j N^*)x_j, \exp(t_i N^*)x_i) \\ &= \|\sum_i \exp(t_i N^*)x_i\|^2 \geq 0. \end{aligned}$$

Sufficiency. Let $N_t(t \geq 0, \mathfrak{K})$ be a minimal normal extension of $\exp(tA) (t \geq 0, \mathfrak{H})$. Then N_t is continuous about $t \geq 0$ from Theorem 4. If we put $N = \frac{dN_t}{dt} \Big|_{t=0}$, that is, the infinitesimal operator of $N_t(t \geq 0)$ ([6]), N is regular normal operator on \mathfrak{K} ([8]) (generally non-bounded). Since $\frac{d \exp(tA)}{dt} \Big|_{t=0} = A$ on \mathfrak{H} , we have $\bigcap_{n=1}^{\infty} D_{N^n} \supset \mathfrak{H}$, where D_{N^n} denotes the domain of N^n . Therefore

$$\begin{aligned} (3.4) \quad \sum_{n,m} (A^n x_m, A^m x_n) &= \sum_{n,m} (N^n x_m, N^m x_n) = \sum_{n,m} (N^{*m} x_m, N^{*n} x_n) \\ &= \|\sum_n N^{*n} x_n\|^2 \geq 0. \end{aligned}$$

Hence A is subnormal.

Remark. Naturally A is subnormal if and only if $\exp(tA)$ ($-\infty < t < +\infty$) is positive definite.

Let Γ be a group and e be an identity element of Γ . An operator valued function $\phi(r)$ from Γ into bounded operators on a HILBERT space \mathfrak{H} is called a positive definite function in NAGY's sense ([11]) if $\phi(e)=I$; identity operator on \mathfrak{H} , and $\sum_{i,j} (x_i, \phi(r_i^{-1}r_j) x_j) \geq 0$ for every finite number of x_i in \mathfrak{H} and r_i in Γ .

Lemma 6. Let Γ be a group, $\varphi(r)$ ($r \in \Gamma, \mathfrak{H}$) an operator representation of Γ and $\phi(r) = \varphi(r^{-1})^* \varphi(r)$. Then $\phi(r)$ ($r \in \Gamma, \mathfrak{H}$) is positive definite in the sense of Definition 1 if and only if $\phi(r)$ is a positive definite function on Γ in NAGY's sense.

Proof. Because

$$(3.5) \quad \begin{aligned} \sum_{i,j} (x_i, \phi(r_i^{-1}r_j) x_j) &= \sum_{i,j} (\varphi(r_j^{-1}r_i) x_i, \varphi(r_i^{-1}r_j) x_j) \\ &= \sum_{i,j} (\varphi(\delta_j) y_i, \varphi(\delta_i) y_j) \end{aligned}$$

where $\delta_i = r_i^{-1}$ and $y_i = \varphi(r_i) x_i$ for all i . And hence the conclusion is clear.

From Theorem 5 and Lemma 6 we obtain the following theorem which is a generalization of BRAM's theorem ([1] Theorem 2).

Theorem 6. A bounded operator A on a HILBERT space \mathfrak{H} is subnormal if and only if $\exp(-tA^*) \exp(tA)$ ($-\infty < t < +\infty$) is a positive definite function in NAGY's sense.

Remark. If for an arbitrary positive definite function on an abelian group $\phi(r)$ in NAGY's sense it is possible to find an operator representation $\varphi(r)$ such that $\phi(r) = \varphi(-r)^* \varphi(r)$, we shall obtain from Theorem 1 NAGY's result ([11] Theorem III) in the case of abelian groups. But in general it is impossible. For example for continuous one parameter semi-group T_t ($t \geq 0$) of contractions; $\|T_t\| \leq 1$, if we put $\phi(t) = T_t$ for $t \geq 0$ and $\phi(t) = T_t^*$ for $t \leq 0$, then $\varphi(t)$ exists for such $\phi(t)$ if and only if all T_t are unitary operators.

4. A weak closure of A_r ($r \in \Gamma, \mathfrak{H}$). Let A_r ($r \in \Gamma, \mathfrak{H}$) be a positive definite operator representation and A_ω ($\omega \in \Omega, \mathfrak{H}$) be the weakly closed algebra (not necessary self-adjoint) generated by A_r ($r \in \Gamma$). In this section we shall give a theorem which shows the relation between the minimal normal extension N_r ($r \in \Gamma, \mathfrak{H}$) of A_r ($r \in \Gamma, \mathfrak{H}$) and that of A_ω ($\omega \in \Omega, \mathfrak{H}$). This theorem is a generalization of [1] Theorem 9 but our

proof seems to be simpler than that of [1].

Theorem 7. *If A_r ($r \in \Gamma$, \mathfrak{S}) is positive definite, then the weak closed algebra A_ω ($\omega \in \Omega$, \mathfrak{S}) is positive definite also. And let N_r ($r \in \Gamma$, \mathfrak{R}) and L_ω ($\omega \in \Omega$, \mathfrak{M}) be respectively the minimal normal extensions of A_r ($r \in \Gamma$, \mathfrak{S}) and A_ω ($\omega \in \Omega$, \mathfrak{S}). Then we may consider $\mathfrak{M} = \mathfrak{R}$ and $L_\omega \in \mathfrak{R} \{N_r (r \in \Gamma)\}$ ($\omega \in \Omega$), where $\mathfrak{R} \{N_r (r \in \Gamma)\}$ is the operator ring (weakly closed self-adjoint algebra) generated by $N_r (r \in \Gamma)$ on \mathfrak{R} .*

Proof. Since A_r ($r \in \Gamma$, \mathfrak{S}) is positive definite, the algebra \mathfrak{A} generated by A_r ($r \in \Gamma$) is evidently positive definite. By the definition of positive definite naturally the strong closure of \mathfrak{A} is also positive definite. Therefore A_ω ($\omega \in \Omega$, \mathfrak{S}) is positive definite, because the strong closure of a linear set of operators is the same as its weak closure.

Let L_ω ($\omega \in \Omega$, \mathfrak{M}) be the minimal normal extension of A_ω ($\omega \in \Omega$, \mathfrak{S}), L_r ($r \in \Gamma$) be its part which is an extension of A_r ($r \in \Gamma$) onto \mathfrak{M} and $\mathfrak{R} \{L_r (r \in \Gamma)\}$ be the operator ring on \mathfrak{M} generated by L_r ($r \in \Gamma$).

First we shall show that for any L_ω ($\omega \in \Omega$) (fixed) and for any $\varepsilon > 0$ and $x_i \in \mathfrak{S}$ ($i = 1, 2, \dots, n$) there exists an operator L such that

$$(4.1) \quad \begin{cases} L \in \mathfrak{R} \{L_r (r \in \Gamma)\}, \\ \|L\| \leq \sqrt{2} \|L_\omega\|, \\ \|L_\omega x_i - Lx_i\| \leq \varepsilon \end{cases} \quad (i = 1, 2, \dots, n).$$

Because for A_ω there exists $B \in \mathfrak{A}$ such that $\|A_\omega x_i - Bx_i\| \leq \varepsilon$ ($i = 1, 2, \dots, n$), putting M the extension of B onto \mathfrak{M} , then $M \in \mathfrak{R} \{L_r (r \in \Gamma)\}$ and $\|L_\omega x_i - Mx_i\| \leq \varepsilon$ ($i = 1, 2, \dots, n$), it follows that $\|R(L_\omega)x_i - R(M)x_i\| \leq \varepsilon$, $\|I(L_\omega)x_i - I(M)x_i\| \leq \varepsilon$ ($i = 1, 2, \dots, n$), where $R(T)$ and $I(T)$ denote respectively the real part and the imaginary part of T , evidently $R(M), I(M) \in \mathfrak{R} \{L_r (r \in \Gamma)\}$, we can find a polynomial $P(t)$ (cf. von NEUMANN [9] p. 399) such that

$$(4.2) \quad \begin{aligned} \|P(R(M))\| &\leq \|L_\omega\|, & \|P(I(M))\| &\leq \|L_\omega\|, \\ \|P(R(M))x_i - R(L_\omega)x_i\| &\leq \varepsilon, \\ \|P(I(M))x_i - I(L_\omega)x_i\| &\leq \varepsilon \end{aligned} \quad i = 1, 2, \dots, n.$$

If we put $L = P(R(M)) + iP(I(M))$, then L satisfies (4.1).

Putting $\mathfrak{L} = \{\sum_i L_{r_i}^* x_i; \text{ for every finite number of } x_i \in \mathfrak{S} \text{ and } r_i \in \Gamma\}$ and $\mathfrak{R} = \overline{\mathfrak{L}}$ (closure of \mathfrak{L} in \mathfrak{M}). Then \mathfrak{R} reduces every L_r ($r \in \Gamma$) and therefore \mathfrak{R} reduces $\mathfrak{R} \{L_r (r \in \Gamma)\}$. We can see by using (4.1) \mathfrak{R} reduces also every L_ω ($\omega \in \Omega$). Because, for any $f \in \mathfrak{R}$ and $\varepsilon > 0$, we can find $\sum_{i=1}^n L_{r_i}^* x_i \in \mathfrak{L}$ and $L \in \mathfrak{R} \{L_r (r \in \Gamma)\}$ such that $\|f - \sum_{i=1}^n L_{r_i}^* x_i\| \leq \varepsilon / \sqrt{2} \|L_\omega\|$,

$\|L\| \leq \sqrt{2} \|L_\omega\|$, $\|L_\omega x_i - Lx_i\| \leq \varepsilon / \sum_{i=1}^n \|L_{r_i}\|$. Hence $\|L_\omega f - Lf\| \leq \|L_\omega f - L_\omega(\sum_{i=1}^n L_{r_i}^* x_i)\| + \|L_\omega(\sum_{i=1}^n L_{r_i}^* x_i) - L(\sum_{i=1}^n L_{r_i}^* x_i)\| + \|L(\sum_{i=1}^n L_{r_i}^* x_i) - Lf\| \leq 3\varepsilon$, that is, we have $\|L_\omega f - Lf\| \leq 3\varepsilon$. Since L_ω and L are commutative we have $\|L_\omega^* f - L^* f\| \leq 3\varepsilon$ also. As $Lf, L^* f \in \mathfrak{K}$ and ε is arbitrary, it follows that $L_\omega f, L_\omega^* f \in \mathfrak{K}$ namely \mathfrak{K} reduces L_ω . Since L_ω ($\omega \in \Omega, \mathfrak{M}$) is a minimal normal extension, we must have $\mathfrak{K} = \mathfrak{M}$. Likewise above we can see for arbitrary $L_\omega, f_i \in \mathfrak{K}$ ($i=1, 2, \dots, n$) and $\varepsilon > 0$ there exists $L \in \mathfrak{R} \{L_r (r \in \Gamma)\}$ such that $\|L_\omega f_i - Lf_i\| \leq 3\varepsilon$ ($i=1, 2, \dots, n$). Therefore L_ω belongs to the strong closure of $\mathfrak{R} \{L_r (r \in \Gamma)\}$ on \mathfrak{K} , that is, $L_\omega \in \mathfrak{R} \{L_r (r \in \Gamma)\}$. $L_r (r \in \Gamma, \mathfrak{K})$ is evidently the minimal normal extension of $A_r (r \in I', \mathfrak{H})$. Thus the proof is complete.

Remark. As A_ω ($\omega \in \Omega, \mathfrak{H}$) is positive definite, by using Lemma 3 a) A_ω can be extended uniquely to an operator L_ω acting on \mathfrak{K} which is the space of the minimal normal extension $N_r (r \in \Gamma, \mathfrak{K})$ of $A_r (r \in \Gamma, \mathfrak{H})$. In other words Theorem 7 is as follows A_ω , an element of the weakly closed algebra generated by $A_r (r \in \Gamma)$, can be extended uniquely to an operator L_ω on \mathfrak{K} such that $L_\omega \in \mathfrak{R} \{N_r (r \in \Gamma)\}$ and $\|L_\omega\| = \|A_\omega\|$.

5. A spectrum of subnormal operators. HALMOS [4] has shown that if N is the minimal normal extension of the subnormal operator A , then the resolvent set $\rho(A)$ of A is contained in the resolvent set $\rho(N)$ of N . Let $\rho_n(N)$ ($n=1, 2, \dots$) be all connected components of $\rho(N)$. Then BRAM [1] has shown $\rho(A) = \sum_{n \in J} \rho_n(N)$, where J is a subset of the positive integers. In this section we shall show simpler another proof of this theorem, in our proof the theory of complex variable functions is not necessary.

Denoting $\rho(A)$ the resolvent set of a operator A , \mathfrak{P} the whole of polynomials $P(\lambda)$ on the complex plane, \mathfrak{F}_A the whole of rational functions $f(\lambda) = P_1(\lambda)/P_2(\lambda)$ which are regular on the spectrum of A . We can define $P(A)$ for $P(\lambda) \in \mathfrak{P}$ and $f(A) = P_1(A)P_2(A)^{-1}$ for $f(\lambda) \in \mathfrak{F}_A$.

Lemma 7. *The following conditions are equivalent each other*

- a) A is subnormal,
- b) $P(A)$ ($P(\lambda) \in \mathfrak{P}, \mathfrak{H}$) is positive definite,
- c) $f(A)$ ($f(\lambda) \in \mathfrak{F}_A, \mathfrak{H}$) is positive definite,
- d) $(A - \lambda)^{-1}$ for some $\lambda \in \rho(A)$ is subnormal.

Proof. It is evident that a) implies b) and c) implies d). We shall prove b) implies c). Because for arbitrary finite number of $f_i(\lambda) \in \mathfrak{F}_A$

($i=1,2,\dots,n$), $f_i(\lambda)=P_i(\lambda)/Q_i(\lambda)$, we have

$$(5.1) \quad \sum_{i,j=1}^n (f_i(A)x_j, f_j(A)x_i) = \sum_{i,j=1}^n (P_i(A)Q_i(A)^{-1}x_j, P_j(A)Q_j(A)^{-1}x_i) \\ = \sum_{i,j=1}^n (P_i(A)R_i(A)y_j, P_j(A)R_j(A)y_i) \geq 0,$$

where $y_i=Q_1(A)^{-1}Q_2(A)^{-1}\dots Q_n(A)^{-1}x_i$ ($i=1,2,\dots,n$) and $R_i(\lambda)=Q_1(\lambda)Q_2(\lambda)\dots Q_n(\lambda)/Q_i(\lambda)$ ($i=1,2,\dots,n$). And likewise d) implies a).

Remark. Let $f(A)$ ($f \in \mathfrak{F}_A, \mathfrak{H}$) be positive definite and $N_f(f \in \mathfrak{F}_A, \mathfrak{R})$ be its minimal extension. Then by remembering the proof of Theorem 1 we obtain $N_{f_1} N_{f_2} = N_{f_1 f_2}$ and $N_{f_1+f_2} = N_{f_1} + N_{f_2}$. Therefore we have from Theorem 2 $\|f_1(A)+f_2(A)\|_{\mathfrak{H}} = \|N_{f_1} + N_{f_2}\|_{\mathfrak{R}}$ for every $f_1, f_2 \in \mathfrak{F}_A$ (this fact will be used in the proof of Theorem 8).

Theorem 8. (HALMOS, BRAM). *Let A be subnormal and N be the minimal normal extension of A . Then we have*

$$(5.2) \quad \rho(A) = \sum_{n \in J} \rho_n(N)$$

where $\rho_n(N)$ ($n=1,2,\dots$) are all connected components of $\rho(N)$ and J is a suitable subset of the positive integers.

Proof. From Lemma 9 $f(A)$ ($f(\lambda) \in \mathfrak{F}_A, \mathfrak{H}$) is positive definite, hence it has a minimal normal extension $N_f(f(\lambda) \in \mathfrak{F}_A, \mathfrak{R})$. We shall denote by N the extension of A onto \mathfrak{R} . For any $\lambda_0 \in \rho(A)$ if we put $P(\lambda) = \lambda - \lambda_0$, then $P(\lambda)^{-1} \in \mathfrak{F}_A$ and $P(\lambda)P(\lambda)^{-1} = 1$, hence $N_P N_{P^{-1}} = N_{P^{-1}P} = I$. Therefore $(N - \lambda_0)N_{P^{-1}} = N_{P^{-1}}(N - \lambda_0) = I$ namely $\lambda_0 \in \rho(N)$ and $N_{(\lambda - \lambda_0)^{-1}} = (N - \lambda_0)^{-1}$. Furthermore we obtain $N_f = f(N)$ for every $f \in \mathfrak{F}_A$. On the other hand N is a minimal normal extension of A . Because, if a subspace \mathfrak{R}_0 of \mathfrak{R} contains \mathfrak{H} and reduces N , then evidently \mathfrak{R}_0 reduces every $f(N) = N_f$ ($f \in \mathfrak{F}_A$), therefore $\mathfrak{R}_0 = \mathfrak{R}$. Thus we obtain HALMOS' theorem, that is, $\rho(A) \subseteq \rho(N)$.

Next we shall prove that $\rho_n(N) \cap \rho(A) = \emptyset$ or $= \rho_n(N)$ for every n . If $\rho_n(N) \cap \rho(A) \neq \emptyset$ for some n , then $\rho_n(N) \cap \rho(A)$ is non-empty open set. On the other hand $\rho_n(N) \cap \rho(A)$ is a closed set in $\rho_n(N)$. Because, for every sequence $\lambda_\nu \in \rho_n(N) \cap \rho(A)$ such that $\lim_{\nu \rightarrow \infty} \lambda_\nu = \lambda_0 \in \rho_n(N)$ we have $\lim_{\nu \rightarrow \infty} \|(N - \lambda_\nu)^{-1} - (N - \lambda_0)^{-1}\|_{\mathfrak{R}} = 0$, by the Remark after Lemma 9 $\|(A - \lambda_\nu)^{-1} - (A - \lambda_\mu)^{-1}\|_{\mathfrak{H}} = \|(N - \lambda_\nu)^{-1} - (N - \lambda_\mu)^{-1}\|_{\mathfrak{R}}$, therefore we find an operator B on \mathfrak{H} such that $\lim_{\nu \rightarrow \infty} \|(A - \lambda_\nu)^{-1} - B\|_{\mathfrak{H}} = 0$, and hence for every $\lambda \in \rho(A)$ we have $(A - \lambda)^{-1} - B = \lim_{\nu \rightarrow \infty} (A - \lambda)^{-1} - (A - \lambda_\nu)^{-1} = \lim_{\nu \rightarrow \infty} (\lambda - \lambda_\nu)(A - \lambda)^{-1}(A - \lambda_\nu)^{-1} = (\lambda - \lambda_0)(A - \lambda)^{-1}B$, that is, $(A - \lambda)^{-1} - B = (\lambda - \lambda_0)(A - \lambda)^{-1}B$. Therefore it

follows that $\lambda_0 \in \rho(A)$ and $B = (A - \lambda_0)^{-1}$. Consequently $\rho_n(N) \cap \rho(A)$ is open and closed in $\rho_n(N)$, hence $\rho_n(N) \cap \rho(A) = \rho_n(N)$. The proof is complete.

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