

## Regular sequences of ideals in a noncommutative ring

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**ABSTRACT:** Let  $R$  be an associative ring which is not in general commutative. If  $M$  is a left  $R$ -module we define the notion of an  $M$ -regular ideal of  $R$  and the notion of an  $M$ -regular sequence of ideals of  $R$ , generalizing the corresponding notions in commutative ring theory. If  $M$  is finitely-generated and if  $R$  is left stable and left noetherian, a bound is given for the lengths of  $M$ -regular sequences of ideals.

**0. Background and notation.** Throughout the following,  $R$  will denote an associative (but not necessarily commutative) ring with unit element 1. The word "ideal" will mean "proper two-sided ideal" unless modified by an adjective indicating dexterity. The complete brouwerian lattice of all (hereditary) torsion theories defined on the category  $R\text{-mod}$  of unitary left  $R$ -modules will be denoted by  $R\text{-tors}$ . Notation and terminology regarding such theories will follow [2]. In particular, if  $M$  is a left  $R$ -module then  $\xi(M)$  will denote the smallest torsion theory relative to which  $M$  is torsion and  $\chi(M)$  will denote the largest torsion theory relative to which  $M$  is torsionfree. The unique minimal element of  $R\text{-tors}$  is  $\xi = \xi(0)$  and the unique maximal element of  $R\text{-tors}$  is  $\chi = \chi(0)$ . A torsion theory in  $R\text{-tors}$  is said to be *stable* if and only if its class of torsion modules is closed under taking injective hulls. The ring  $R$  is said to be *left stable* if and only if every element of  $R\text{-tors}$  is stable.

If  $\tau \in R\text{-tors}$  then a nonzero left  $R$ -module  $M$  is called  *$\tau$ -cocritical* if and only if it is  $\tau$ -torsionfree while each of its proper homomorphic images is  $\tau$ -torsion. A left  $R$ -module  $M$  is *cocritical* if and only if it is  $\chi(M)$ -cocritical. A torsion theory in  $R\text{-tors}$  is said to be *prime* if and only if it is of the form  $\chi(M)$  for some cocritical left  $R$ -module  $M$ . If  $M$  is a nonzero left  $R$ -module then  $\{\chi(N) \mid N \text{ is a cocritical submodule of } M\}$  is called the set of *associated primes* of  $M$  and is denoted by  $\text{ass}(M)$ .

**1. Regular ideals and elements.** Let  $M$  be a nonzero left  $R$ -module. We will say that an ideal  $I$  of the ring  $R$  is  *$M$ -regular* if and only if  $M$  is  $\xi(R/I)$ -torsionfree. An element  $a$  of  $R$  will be said to be  *$M$ -regular* if and only if the ideal  $RaR$  generated by  $a$  is  $M$ -regular. The following is an elementary, and essentially well-known, characterization of regular ideals.

Its proof follows immediately from Proposition 5.8 of [5] and Corollary 1.22 of [9].

(1.1) PROPOSITION: *If  $M$  is a nonzero left  $R$ -module and if  $I$  is an ideal of  $R$  then the following conditions are equivalent:*

- (1)  $I$  is  $M$ -regular;
- (2)  $Im \neq (0)$  for all  $0 \neq m \in M$ ;
- (3)  $\text{Hom}_R(N, M) = (0)$  for any  $\xi(R/I)$ -torsion left  $R$ -module  $N$ ;
- (4)  $Im$  is large in  $Rm$  for all  $0 \neq m \in M$ .

Ideals regular with respect to a given module need not exist, even if the ring  $R$  is left noetherian. For example, if  $R$  is a simple left noetherian ring then surely no nonzero left  $R$ -module can possibly have an associated regular ideal. On the other hand, if  $R$  is a fully left bounded left noetherian ring and if  $M$  is a left  $R$ -module satisfying the condition that  $\chi(M) \neq \xi$  then the torsion theory  $\chi(M)$  is symmetric (see [5, 8, 10]) and so there exists a proper ideal  $I$  of  $R$  such that  $R/I$  is  $\chi(M)$ -torsion. Thus  $M$  is  $\xi(R/I)$ -torsionfree and so  $I$  is an  $M$ -regular ideal of  $R$ .

Let us see how this definition relates to the usual notion of regularity for elements of a commutative ring. Indeed, it is immediate that if  $R$  is commutative then an element  $a$  of  $R$  is regular in the above sense if and only if it is regular in the usual sense. If  $P$  is a prime ideal of an arbitrary ring  $R$  then by Proposition 6.2 of [5] we see that an ideal  $I$  of  $R$  is  $(R/P)$ -regular if and only if  $I \not\subseteq P$ . This corresponds to the well-known result that if  $P$  is a prime ideal of a commutative ring  $R$  then an element  $a$  of  $R$  is not a zero-divisor on  $R/P$  if and only if  $a \notin P$ . A left  $R$ -module  $M$  is said to be *definite* if and only if every nonzero homomorphic image of  $M$  has a cocritical submodule. (In [2] such modules are called  $D$ -modules.) The ring  $R$  is *left definite* if and only if every nonzero left  $R$ -module is definite. If  $M$  is a definite left  $R$ -module then  $\chi(M) = \bigwedge \text{ass}(M)$  (see [4] for details) and so an ideal  $I$  of  $R$  is  $M$ -regular if and only if  $\xi(R/I) \leq \pi$  for all  $\pi \in \text{ass}(M)$ . This result corresponds to the well-known result for noetherian modules over commutative rings stating that an element  $a$  of  $R$  is not a zero-divisor on  $M$  if and only if it belongs to none of the prime ideals associated with the zero submodule of  $M$ .

From Proposition 1.1 we note that if  $M$  is a nonzero left  $R$ -module and if  $I$  is an  $M$ -regular ideal of  $R$  then  $IM$  must be large in  $M$ . If the ideal  $I$  is idempotent and satisfies the condition that  $R/I$  is flat as a right  $R$ -module then the converse is also true. That is to say, if  $M$  is a nonzero left  $R$ -module then  $I$  is an  $M$ -regular ideal of  $R$  if and only if  $IM$  is large

in  $M$  [1]. A related result is Proposition 22.12 of [2], which states that if  $I$  is an idempotent ideal of a ring  $R$  then the following conditions are equivalent :

- (1)  $R/I$  is projective as a left  $R$ -module ;
- (2) If  $M$  is a left  $R$ -module such that  $I$  is an  $M$ -regular ideal of  $R$  then  $M=IM$ .

(1.2) PROPOSITION : *If  $M$  is a nonzero left  $R$ -module then the set of all  $M$ -regular ideals of  $R$ , together with  $R$  itself, forms a multiplicatively-closed filter.*

PROOF : By definition, this set is nonempty. If  $H \subseteq I$  are ideals of  $R$  and if  $H$  is  $M$ -regular then  $\xi(R/I) \leq \xi(R/H) \leq \chi(M)$  by [1, Proposition 8.6] and so  $I$  is also  $M$ -regular. If  $I$  and  $H$  are both  $M$ -regular ideals of  $R$  then by [2, Proposition 5.9] we have  $\xi(R/IH) = \xi(R/[I \cap H]) = \xi(R/I) \vee \xi(R/H)$  and so  $\xi(R/[I \cap H]) \leq \chi(M)$  and  $\xi(R/IH) \leq \chi(M)$ . This shows that  $I \cap H$  and  $IH$  are both  $M$ -regular.

(1.3) COROLLARY : *Let  $R$  be a left noetherian ring and let  $M$  be a nonzero left  $R$ -module. Then there exists a torsion theory  $\kappa(M) \in R\text{-tors}$  defined by the condition*

(\*) *A left  $R$ -module  $N$  is  $\kappa(M)$ -torsion if and only if every element of  $N$  is annihilated by an  $M$ -regular ideal of  $R$ .*

PROOF : We must show that  $\{H \mid H \text{ is a left ideal of } R \text{ containing an } M\text{-regular ideal of } R\}$  is an idempotent filter, and this is a direct consequence of Proposition 1.2 and [10, Proposition 1.2.3].

Note that in the above situation we clearly have  $\kappa(M) \leq \chi(M)$ .

(1.4) PROPOSITION : *If  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  is an exact sequence of nonzero left  $R$ -modules then*

- (1) *Any  $M$ -regular ideal of  $R$  is also  $M'$ -regular.*
- (2) *Any ideal of  $R$  which is both  $M'$ -regular and  $M''$ -regular is also  $M$ -regular.*

PROOF : By [2, Proposition 8.6] we see that the hypothesis implies that  $\chi(M') \geq \chi(M) \geq \chi(M') \wedge \chi(M'')$ . The result follows immediately from this and from the definition of regularity.

The following Proposition is based on a private communication to the author by Jacques Raynaud.

(1.5) PROPOSITION : *If  $R$  is a left noetherian ring then the following conditions on a nonzero finitely-generated left  $R$ -module  $M$  and a nonzero ideal  $I$  of  $R$  are equivalent :*

- (1)  $I$  contains an  $M$ -regular element ;  
 (2)  $I$  is  $M$ -regular.

PROOF: It is clear from Proposition 1.1 that (1) implies (2), so we need only prove the converse. Indeed, assume (2). Since  $R$  is left noetherian and since  $M$  is finitely-generated, we know from Propositions 21.21 and 21.22 of [2] that  $\text{ass}(M)$  is finite and nonempty, say  $\text{ass}(M) = \{\pi_1, \dots, \pi_n\}$ . Then, in particular,  $\xi(R/I) \leq \pi_i$  for all  $1 \leq i \leq n$ . For each such  $i$ , let  $N_i$  be a  $\pi_i$ -cocritical left  $R$ -module and let  $H_i = \sum \{(0 : N') \mid N' \text{ is a nonzero submodule of } N_i\}$ . Then  $H_i$  is a prime ideal of  $R$ . (Indeed,  $H_i$  is just the tertiary radical of  $N_i$  in the sense of [7] or of [4, Chapter 3].) Moreover, by Theorem 1.2 of [7] we know that  $H_i$  is the unique maximal element of the set of all ideals of  $R$  which are not  $\pi_i$ -dense in  $R$ . Thus, in particular,  $I \not\subseteq H_i$  for all  $1 \leq i \leq n$ .

We claim that  $I \not\subseteq \bigcup_{i=1}^n H_i$ . Indeed, by discarding some of the  $H_i$  if necessary we can assume without loss of generality that  $H_i \not\subseteq H_j$  whenever  $i \neq j$ . Since  $I$  is not contained in any of the  $H_i$ , we can select an element  $a_i$  of  $[I \cap (\bigcap_{j \neq i} H_j)] \setminus H_i$  for each  $1 \leq i \leq n$ . Then  $a = \sum_{i=1}^n a_i$  is an element of  $I$  which clearly does not belong to  $\bigcup_{i=1}^n H_i$ .

If  $a$  is any element of  $I \setminus \bigcup_{i=1}^n H_i$  then surely  $RaR \not\subseteq H_i$  for each  $i$  and so, by the selection of the  $H_i$ , this means that  $RaR$  is  $\pi_i$ -dense in  $R$  for all  $i$ . Thus  $\xi(R/RaR) \leq \chi(M) = \bigwedge_{i=1}^n \pi_i$ . This, together with Proposition 1.1, proves (1).

The notion of the torsion-theoretic Krull dimension of a left  $R$ -module is explored in [4]. We denote this dimension of a left  $R$ -module  $M$  by  $TTK\text{-dim}(M)$ . If the ring  $R$  is left stable and left noetherian, then  $TTK\text{-dim}(M)$  just coincides with the Gabriel dimension of  $M$  for any left  $R$ -module  $M$ . See [4, Proposition 13.3].

(1.6) PROPOSITION: *Let  $R$  be a left stable left noetherian ring and let  $M$  be a finitely-generated left  $R$ -module. If  $I$  is an  $M$ -regular ideal in  $R$  then  $TTK\text{-dim}(M) > TTK\text{-dim}(M/IM)$ .*

PROOF: Set  $\bar{M} = M/IM$ . If  $TTK\text{-dim}(\bar{M}) = k$  then there exists a chain of prime torsion theories in  $R\text{-mod}$  of the form

$$\pi_k < \dots < \pi_0 = \pi',$$

where  $\pi' \in \text{ass}(M')$  for some homomorphic image  $M'$  of  $\bar{M}$ . Since  $\bar{M}$  is  $\xi(R/I)$ -torsion, so is  $M'$  and so  $\xi(R/I) \not\leq \pi'$ . On the other hand, by [3, Proposition 2] there exists an element  $\pi$  of  $\text{ass}(M)$  satisfying  $\pi \geq \pi'$ . Since  $M$  is  $\xi(R/I)$ -torsionfree, this implies that  $\pi \geq \xi(R/I)$  and so  $\pi > \pi'$ . Therefore  $TTK\text{-dim}(M) > TTK\text{-dim}(\bar{M})$ .

**2. Regular sequences of ideals.** Let  $M$  be a nonzero left  $R$ -module and let  $K$  be an ideal of  $R$ . A (finite or infinite) sequence  $I = \langle I_1, I_2, \dots \rangle$  of ideals of  $R$  contained in  $K$  will be called an  $M$ -regular sequence in  $K$  if and only if

(1)  $I_1$  is an  $M$ -regular ideal of  $R$ ;

(2) If  $t > 1$  then  $\sum_{j=1}^{t-1} I_j M \neq M$  and  $I_t$  is an  $(M/[\sum_{j=1}^{t-1} I_j M])$ -regular ideal of  $R$ .

It is immediate from this definition that if the ring  $R$  is commutative then a sequence  $\langle a_1, \dots, a_n \rangle$  of elements of  $K$  is  $M$ -regular in the usual sense of commutative ring theory if and only if  $\langle (a_1), \dots, (a_n) \rangle$  is an  $M$ -regular sequence of ideals of  $R$  in the above sense. In [6, Chapter 8] Lubkin considers a generalization of this situation. In particular, he considers sequences  $\langle a_1, \dots, a_n \rangle$  of elements of a (not-necessarily commutative) ring  $R$  satisfying the following conditions:

(1) If  $1 \leq h \leq n$  then  $Ra_h M \subseteq \sum_{i=1}^h a_i M$ ;

(2) If  $1 \leq h \leq n$  then the function  $\alpha_h$  from  $M/[\sum_{i=1}^{h-1} a_i M]$  to itself defined by  $\bar{x} \mapsto a_h \bar{x}$  is an  $R$ -monomorphism.

If each of the modules  $M/[\sum_{i=1}^{h-1} a_i M]$  is nonzero then, in such a situation, it is clear that  $\langle Ra_1 R, \dots, Ra_n R \rangle$  is an  $M$ -regular sequence of ideals of  $R$  in the sense defined above.

Let  $K$  be an ideal of the ring  $R$ . If  $M$  is a nonzero left  $R$ -module and if  $\langle I_1, I_2, \dots \rangle$  is an  $M$ -regular sequence in  $K$  then for each  $t \geq 1$  it is surely true that the truncated sequence  $\langle I_1, I_2, \dots, I_t \rangle$  is also  $M$ -regular. Moreover, if  $H = \sum_{j=1}^t I_j$  then  $\langle (I_{t+1} + H)/H, (I_{t+2} + H)/H, \dots \rangle$  is an  $(M/HM)$ -regular sequence in  $K$ . If the ring  $R$  is left noetherian and if  $I$  is an ideal of  $R$  then a nonzero left  $R$ -module  $M$  is  $\xi(R/I)$ -torsion if and only if every nonzero element of  $M$  is annihilated by some power of  $I$ . (See, for example, Proposition 5.6 of [5].) As an immediate consequence of this we note that if  $I$  and  $H$  are ideals of a left noetherian ring  $R$  then  $\xi(R/I) = \xi(R/H)$  if and only if there exist positive integers  $p$  and  $q$  such that  $I^p \subseteq H$  and  $H^q \subseteq I$ . (Ideals having this property are said to be *radically equivalent*.) In particular  $\xi(R/I) = \xi(R/I^k)$  for each ideal  $I$  of  $R$  and each positive integer  $k$ .

If  $I = \langle I_1, I_2, \dots \rangle$  is a (finite or infinite) sequence of ideals of a ring  $R$  then we can define a descending chain of torsion theories

$$\rho_1(I) \geq \rho_2(I) \geq \dots$$

in  $R$ -tors by setting  $\rho_t(I) = \xi(R/[\sum_{j=1}^t I_j]) = \bigwedge_{j=1}^t \xi(R/I_j)$  for all  $t \geq 1$ . See [9] for further characterizations of such torsion theories. In particular, we note that by [9, Proposition 1.20] a left  $R$ -module  $M$  is  $\rho_t(I)$ -torsionfree if and

only if  $\text{Hom}_R(R/I_j, M) = 0$  for all  $1 \leq j \leq t$ . For any such sequence  $I$  and for any nonzero left  $R$ -module  $M$  we can also define another descending chain of torsion theories

$$\chi_0(I, M) \geq \chi_1(I, M) \geq \dots$$

in  $R$ -tors by setting  $\chi_0(I, M) = \chi(M)$  and  $\chi_t(I, M) = \chi_{t-1}(I, M) \wedge \chi(M/[\sum_{j=1}^t I_j M])$  for all  $t \geq 1$ .

(2.1) PROPOSITION: *Let  $M$  be a nonzero left  $R$ -module and let  $I = \langle I_1, I_2, \dots \rangle$  be a sequence of nonzero ideals of  $R$  contained in an ideal  $K$  of  $R$ . Then*

(1)  *$I$  is an  $M$ -regular sequence in  $K$*

*implies*

(2) (a)  $\chi > \rho_1(I) > \rho_2(I) > \dots$ ;

(b)  $\chi_0(I, M) > \chi_1(I, M) > \dots$ ;

(c)  $\rho_h(I) \leq \chi_{h-1}(I, M)$  and  $\rho_h(I) \not\leq \chi_h(I, M)$  for all  $h \geq 1$ .

*Moreover, the converse holds if the ring  $R$  is left definite.*

PROOF: (1)  $\Rightarrow$  (2): In order to prove (2 a) we must show that equality cannot occur at any stage of the sequence. By definition,  $M$  is a nonzero  $\rho_1(I)$ -torsionfree left  $R$ -module and so  $\chi > \rho_1(I)$ . If  $h > 1$  and if  $N = \sum_{j=1}^{h-1} I_j M$  then  $M/N$  is a nonzero  $\rho_h(I)$ -torsionfree left  $R$ -module which is  $\rho_{h-1}(I)$ -torsion and so  $\rho_h(I) \neq \rho_{h-1}(I)$ .

Since  $I$  is assumed to be an  $M$ -regular sequence we know that, in particular,  $\sum_{j=1}^{h-1} I_j M \neq M$  for all  $h > 1$ . Moreover,  $\xi(R/I_h) \leq \chi(M/[\sum_{j=1}^{h-1} I_j M])$  for all such  $h$ . In particular, this implies that  $\rho_h(I) \leq \chi_{h-1}(I, M)$  for all  $h \geq 1$ . We further note that  $M/[\sum_{j=1}^h I_j M] = M/[\sum_{j=1}^h I_j]M$  for all  $h \geq 1$ . This module is  $\rho_h(I)$ -torsion and so surely cannot be  $\rho_h(I)$ -torsionfree. Therefore  $\rho_h(I) \not\leq \chi_h(I, M)$  for all such  $h$ , proving (2 c).

Finally, to establish (2 b) we note that if  $\chi_h(I, M) = \chi_{h-1}(I, M)$  then  $\rho_{h-1}(I) \leq \chi_{h-1}(I, M)$  which, as we have already seen, cannot happen.

(2)  $\Rightarrow$  (1): We now assume that  $R$  is left definite and that (2) holds. If  $h \geq 2$  then by (2 b) we have  $\chi > \chi(M) > \chi_{h-1}(I, M)$  and so, in particular,  $\sum_{j=1}^{h-1} I_j M \neq M$ . Moreover, by (2 c) we see that  $\chi(M) = \chi_0(I, M) \geq \rho_1(I) = \xi(R/I_1)$  and so  $\langle I_1 \rangle$  is an  $M$ -regular sequence in  $K$ . Now assume inductively that  $h \geq 1$  and that we have already established that  $\langle I_1, \dots, I_h \rangle$  is an  $M$ -regular sequence in  $K$ . For notational convenience, set  $\sigma = \chi(M/[\sum_{j=1}^h I_j M])$ . Then  $\rho_h(I) \wedge \xi(R/I_{h+1}) = \rho_{h+1}(I) \leq \chi_h(I, M) = \chi_{h-1}(I, M) \wedge \sigma \leq \sigma$ .

If  $\pi \in \text{ass}(M/[\sum_{j=1}^h I_j M])$  then  $\pi = \chi(N)$ , where  $N$  is a cocritical submodule of  $M/[\sum_{j=1}^h I_j M]$ . This implies, in particular, that  $N$  is  $\rho_h(I)$ -torsion and so  $\rho_h(I) \not\leq \pi$ . But  $\pi$  is prime and so  $\xi(R/I_{h+1}) \leq \pi$ . Since  $R$  is left definite,

by [4, Proposition 0.5] we see that  $\xi(R/I_{h+1}) \leq \sigma$  and so  $\langle I_1, \dots, I_{h+1} \rangle$  is an  $M$ -regular sequence in  $K$ . This proves (1).

(2.2) COROLLARY: *If  $R$  is a left stable left noetherian ring and if  $M$  is a nonzero left  $R$ -module then there does not exist an infinite  $M$ -regular sequence of ideals of  $R$ .*

PROOF: Let  $I = \langle I_1, I_2, \dots \rangle$  be an infinite  $M$ -regular sequence of ideals of  $R$ . Then by Proposition 2,1 we have an infinite descending chain in  $R$ -tors :

$$\rho_1(I) > \rho_2(I) > \dots$$

By [5, Proposition 4.12], each one of the torsion theories  $\rho_i(I)$  is compact, yielding a contradiction by [5, Proposition 4.10].

(2.3) PROPOSITION: *Let  $R$  be a left stable left noetherian ring and let  $M$  be a finitely-generated left  $R$ -module having an  $M$ -regular sequence of ideals  $\langle I_1, \dots, I_n \rangle$  in  $R$ . Then  $n \leq \text{TTK-dim}(M) + 1$ .*

PROOF: We will proceed by induction on  $n$ . The case  $n=1$  is trivial and so assume that  $n > 1$  and that the result has been shown true for all finitely-generated left  $R$ -modules having associated regular sequences of length  $n-1$ . Set  $\bar{M} = M/I_1 M$ . Then  $\langle [I_2 + I_1]/I_1, \dots, [I_n + I_1]/I_1 \rangle$  is an  $\bar{M}$ -regular sequence and so, by the induction hypothesis,  $\text{TTK-dim}(\bar{M}) \geq n-2$ . By Proposition 1.6, this implies that  $\text{TTK-dim}(M) \geq n-1$ , i. e.,  $n \leq \text{TTK-dim}(M) + 1$ .

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