

On j -algebras and homogeneous Kähler manifolds

Kazufumi NAKAJIMA

(Received June 4, 1984)

Introduction.

The notion of j -algebras introduced by Pyatetskii-Shapiro played an important role in the theory of realization of homogeneous bounded domains as homogeneous Siegel domains. Vinberg, Gindikin and Pyatetskii-Shapiro [16] stated that the Lie algebra of a transitive holomorphic transformation group of a homogeneous bounded domain admits a structure of an effective proper j -algebra and that every effective proper j -algebra can be regarded as the Lie algebra of a transitive holomorphic transformation group of a homogeneous Siegel domain of the second kind. In this paper, we remove the properness and study the structure of homogeneous complex manifolds corresponding to effective j -algebras.

By an effective j -algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$ we mean a system of a Lie algebra \mathfrak{g} , a subalgebra \mathfrak{k} , an endomorphism j , and a linear form ω satisfying certain conditions. (For a precise definition, see § 3.) Let G be a connected Lie group with \mathfrak{g} as its Lie algebra and let K be the connected subgroup corresponding to \mathfrak{k} . Then K is closed and G/K admits a G -invariant Kähler structure. The homogeneous space G/K is said to be the homogeneous complex manifold associated with the effective j -algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$. We shall prove the following theorems.

THEOREM A. *Let G/K be the homogeneous complex manifold associated with an effective j -algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$. Then G/K is biholomorphic to a product of a homogeneous bounded domain M_1 and a compact simply connected homogeneous complex manifold M_2 .*

THEOREM B. *Conversely, let M_1 be a homogeneous bounded domain and let M_2 be a compact simply connected homogeneous complex manifold. Let G be a connected Lie group acting on $M_1 \times M_2$ transitively, effectively and holomorphically. Assume further that $M_1 \times M_2$ admits a G -invariant Kähler metric. Then the Lie algebra of G admits a structure of an effective j -algebra so that the associated homogeneous complex manifold coincides with $M_1 \times M_2$.*

Gindikin, Pyatetskii-Shapiro and Vinberg [17] stated that Theorem A was essentially proved in [16]. But it seems to the author that there is no

description in [16] which enables us to obtain our Proposition 8.

We can prove Theorem B by a simple consideration of the canonical hermitian form due to Koszul [8]. In order to prove Theorem A, we use the decomposition theorem of an effective j -algebra which was obtained in [16] by using an algebraic technique, i. e., by considering the algebraic hull of an effective j -algebra. In view of [11], we can show this decomposition theorem without taking the algebraic hull. This enables us to obtain a structure theorem similar to Theorem A for a certain class of homogeneous Kähler manifolds. Vinberg and Gindikin [15] conjectured: *Every homogeneous Kähler manifold admits a holomorphic fibering whose base space is a homogeneous bounded domain and whose fiber is, equipped with the induced metric, a product of a locally flat homogeneous Kähler manifold and a compact simply connected homogeneous Kähler manifold.* By a result of Borel [1], this conjecture is true for a homogeneous Kähler manifold of a semi-simple Lie group. We say that a homogeneous Kähler manifold satisfies the condition (C) if it does not contain any locally flat homogeneous Kähler submanifold. Recently, Shima [13] showed that under an “algebraic” assumption on G , if a homogeneous Kähler manifold G/K satisfies the condition (C), then G/K admits a fibering whose base space M_1 is diffeomorphic to a homogeneous bounded domain and whose fiber M_2 is a compact simply connected homogeneous Kähler submanifold. But it has not been proved in [13] that the projection: $G/K \rightarrow M_1$ is holomorphic. In this paper, we also prove the following

THEOREM C. *Let G/K be a homogeneous Kähler manifold satisfying the condition (C). Then G/K is, as a complex manifold, biholomorphic to a product of a homogeneous bounded domain and a compact simply connected homogeneous Kähler manifold.*

In the special case where G is solvable, Theorem C is obtained by Kodama and Shima [7] by using the main result of [16]. To prove Theorems A and C, we shall find a connected closed subgroup U containing K so that G/U is a homogeneous bounded domain and U/K is a compact simply connected homogeneous Kähler submanifold of G/K (Theorem 11). We can see that the fibering: $G/K \rightarrow G/U$ is holomorphic. Therefore the conjecture of Vinberg and Gindikin is true for a homogeneous Kähler manifold satisfying (C) and for a homogeneous Kähler manifold associated with an effective j -algebra.

From our Theorem 11, we can reprove the main result of [16]. We can also show by our method the following unpublished result of Koszul [10]: *Every homogeneous complex manifold with a positive definite canonical*

hermitian form is biholomorphic to a homogeneous bounded domain.

§ 1. Preliminaries.

Let G/K be a homogeneous manifold of a connected Lie group G by a closed subgroup K . Denote by \mathfrak{g} and \mathfrak{k} the Lie algebras of G and K respectively. Assume that G/K admits a G -invariant complex structure J . Then there corresponds an endomorphism j of \mathfrak{g} satisfying the followings:

- (1.1) $j \mathfrak{k} \subset \mathfrak{k}, j^2 x \equiv -x \pmod{\mathfrak{k}},$
- (1.2) $\text{Ad } k \circ jx \equiv j \circ \text{Ad } k x \pmod{\mathfrak{k}},$
- (1.3) $[jx, jy] \equiv [x, y] + j[jx, y] + j[x, jy] \pmod{\mathfrak{k}},$

where $x, y \in \mathfrak{g}$ and $k \in K$. The operator j induces an endomorphism \tilde{j} of $\mathfrak{g}/\mathfrak{k}$ in a natural manner. Then under the identification of $\mathfrak{g}/\mathfrak{k}$ with the tangent space $T_o(G/K)$ at the origin o of G/K ,

- (1.4) $\tilde{j}v = J_o v$ for any $v \in T_o(G/K)$.

Conversely, if an endomorphism j satisfies (1.1)~(1.3), then there corresponds a unique G -invariant complex structure J satisfying (1.4). Another endomorphism j' is called equivalent to j if it satisfies $j'x \equiv jx \pmod{\mathfrak{k}}$ for any $x \in \mathfrak{g}$. Then j' also satisfies (1.1)~(1.3) and determines the same G -invariant complex structure. If the group K is connected, then (1.2) is equivalent to

- (1.5) $[h, jx] \equiv j[h, x] \pmod{\mathfrak{k}}$ for $h \in \mathfrak{k}, x \in \mathfrak{g}$.

We remark that the following conditions for a homogeneous complex manifold G/K are mutually equivalent:

- (a) G/K is biholomorphic to a homogeneous Siegel domain of the second kind.
- (b) G/K is biholomorphic to a homogeneous bounded domain in \mathbb{C}^n .
- (c) The universal covering space of G/K is biholomorphic to a homogeneous bounded domain in \mathbb{C}^n .

This fact is proved in [11] by using the Kobayashi hyperbolicity. Of course, if we admit the results of [16], then it is obtained from [5], [6] and [16].

Let \mathfrak{g} be a Lie algebra and \mathfrak{k} be a subalgebra equipped with an endomorphism j satisfying (1.1), (1.3) and (1.5). For every $x \in \mathfrak{g}$, $\text{ad } x - j \circ \text{ad } x$ induces an endomorphism of $\mathfrak{g}/\mathfrak{k}$. Assume that $\text{Tr}_{\mathfrak{g}/\mathfrak{k}} \text{ad } h = 0$ for any $h \in \mathfrak{k}$. According to Koszul [8], we define the Koszul form ψ of $(\mathfrak{g}, \mathfrak{k}, j)$ by

$$(1.6) \quad \psi(x) = \text{Tr}_{\mathfrak{g}/\mathfrak{k}}(\text{ad } jx - j \circ \text{ad } x) \text{ for } x \in \mathfrak{g}.$$

We then have ([8])

$$(1.7) \quad \psi([jx, jy]) = \psi([x, y]), \quad \psi([h, x]) = 0 \text{ for } x, y \in \mathfrak{g}, h \in \mathfrak{k}.$$

Let G/K be a homogeneous complex manifold with a G -invariant volume element V . If V is expressed as

$$V = V^* dz^1 \wedge \dots \wedge dz^n \wedge d\bar{z}^1 \wedge \dots \wedge d\bar{z}^n$$

in terms of local coordinate system (z^1, \dots, z^n) , then we get a G -invariant hermitian form η on G/K , called *the canonical hermitian form*, by

$$\eta = \sum_{i,j} \frac{\partial^2 \log V^*}{\partial z^i \partial \bar{z}^j} dz^i \otimes d\bar{z}^j.$$

Let us denote by π the projection of G onto G/K . Then $\pi^*\eta$ is a left invariant symmetric bilinear form on G . Therefore it is regarded as a symmetric bilinear form on \mathfrak{g} . Under this identification, Koszul [8] showed

$$\pi^*\eta(x, y) = \frac{1}{2} \psi([jx, y]) \text{ for any } x, y \in \mathfrak{g}.$$

The following facts are well known :

(i) *If G/K is a homogeneous bounded domain, then η coincides with the Bergman metric. Therefore $\psi([jx, x]) \geq 0$ for any $x \in \mathfrak{g}$ and $\psi([jx, x]) = 0$ if and only if $x \in \mathfrak{k}$.*

(ii) *Let G/K be a compact simply connected homogeneous complex manifold with a G -invariant Kähler metric. Then the group of all holomorphic*

isometries of G/K is a compact semi-simple group. Therefore by [8], η is negative definite. Consequently, $\psi([jx, x]) \leq 0$ for any $x \in \mathfrak{g}$ and $\psi([jx, x]) = 0$ if and only if $x \in \mathfrak{k}$.

(iii) *Let G/K be a homogeneous Kähler manifold of a semi-simple Lie group G . Then the canonical hermitian form η is non-degenerate ([1], [8]).*

By a symplectic space (W, j, ρ) , we mean a real vector space W endowed with an endomorphism j and a skew-symmetric bilinear form ρ satisfying

$$\begin{aligned} j^2 w &= -w, \quad \rho(jw, jw') = \rho(w, w'), \\ \rho(jw, w) &> 0 \text{ for any } w \neq 0. \end{aligned}$$

A linear endomorphism f of W is called *symplectic* if

$$\rho(fw, w') + \rho(w, fw') = 0 \text{ for any } w, w' \in W.$$

Let us denote by $\mathfrak{sp}(W)$ the Lie algebra of all symplectic endomorphisms. Then

$$(1.8) \quad \mathfrak{sp}(W) = \mathfrak{k}(W) + \mathfrak{m}(W),$$

where $\mathfrak{k}(W) = \{f \in \mathfrak{sp}(W); f \circ j = j \circ f\}$ and $\mathfrak{m}(W) = \{f \in \mathfrak{sp}(W); f \circ j + j \circ f = 0\}$. It is well known that $\mathfrak{k}(W)$ is a maximal compact subalgebra of the semi-simple Lie algebra $\mathfrak{sp}(W)$ and the decomposition (1.8) is a Cartan decomposition. Let $Sp(W)$ and $K(W)$ denote the connected subgroup of $GL(W)$ corresponding to $\mathfrak{sp}(W)$ and $\mathfrak{k}(W)$ respectively. The homogeneous space $Sp(W)/K(W)$ is a hermitian symmetric space of the non compact type and the complex structure of $Sp(W)/K(W)$ corresponds to the endomorphism I of $\mathfrak{sp}(W)$ given by

$$I(f) = \frac{1}{2}[j, f] \text{ for } f \in \mathfrak{sp}(W).$$

§ 2. A submanifold of a homogeneous Kähler manifold.

Let G/K be a homogeneous Kähler manifold of a connected Lie group G by a closed subgroup K . Let \mathfrak{r} be an abelian ideal of \mathfrak{g} and put

$$\mathfrak{l} = \mathfrak{k} + j\mathfrak{r} + \mathfrak{r}, \quad \mathfrak{l}_0 = \mathfrak{k} + j\mathfrak{r}.$$

One can easily see that both \mathfrak{l} and \mathfrak{l}_0 are subalgebras of \mathfrak{g} . Let L be the connected subgroup of G corresponding to \mathfrak{l} . Being a complex submanifold of G/K , $L/L \cap K$ is a homogeneous Kähler submanifold of G/K . Let \tilde{L} be the universal covering group of L and let \tilde{K} be the connected subgroup of \tilde{L} generated by \mathfrak{k} . Then \tilde{L}/\tilde{K} is the universal covering space of $L/L \cap K$ and it admits an \tilde{L} -invariant Kähler structure so that the canonical projection of \tilde{L}/\tilde{K} onto $L/L \cap K$ is holomorphic and isometric.

We now assume that the sum $\mathfrak{l} = \mathfrak{k} + j\mathfrak{r} + \mathfrak{r}$ is direct. According to [16], we define an affine representation: $u \rightarrow C_u$ of \mathfrak{l} in \mathfrak{r}^C (=the complexification of \mathfrak{r}) by

$$C_u(z) = [u, z] + \sqrt{-1}x + y \text{ for } z \in \mathfrak{r}^C,$$

where $u = h + jx + y$ ($h \in \mathfrak{k}$, $x, y \in \mathfrak{r}$). This representation induces a homomorphism ϕ of \tilde{L} to the group of affine transformations of \mathfrak{r}^C . Clearly $C_u(0) = 0$ if and only if $u \in \mathfrak{k}$. Therefore the orbit D^* of $\phi(\tilde{L})$ through the origin 0 is a domain in \mathfrak{r}^C and \tilde{L}/\tilde{K} is the universal covering space of D^* . Since $C_{ju}(0) = \sqrt{-1}C_u(0)$ for any $u \in \mathfrak{l}$, the natural projection Φ of \tilde{L}/\tilde{K} onto D^* is holomorphic. Let \tilde{L}_0 and \tilde{R} be the connected subgroups of \tilde{L}

corresponding to \mathfrak{l}_0 and \mathfrak{r} respectively. We then have

$$(2.1) \quad \tilde{L} = \tilde{R} \cdot \tilde{L}_0, \quad \tilde{R} \cap \tilde{L}_0 = \{e\}.$$

In fact, the first equality is obvious. Let $a \in \tilde{R} \cap \tilde{L}_0$. There exists $x \in \mathfrak{r}$ such that $a = \exp x$. Then $\phi(a)0 = x$. On the other hand, $\phi(\tilde{L}_0)$ leaves the subspace $\sqrt{-1}\mathfrak{r}$ invariant. Hence we get $x=0$, proving (2.1). For any $a \in \tilde{L}_0$, we denote by $\phi_0(a)$ the affine transformation of \mathfrak{r} given by

$$\phi_0(a)v = \frac{1}{\sqrt{-1}}\phi(a)\sqrt{-1}v \quad (v \in \mathfrak{r}).$$

Clearly, the assignment: $a \rightarrow \phi_0(a)$ is a homomorphism and it corresponds to the affine representation: $u \rightarrow C'_u$ of \mathfrak{l}_0 given by

$$C'_u(r) = [u, r] + x \text{ for } r \in \mathfrak{r},$$

where $u = h + jx$ ($x \in \mathfrak{r}$, $h \in \mathfrak{k}$). Let Ω^* be the orbite of $\phi_0(\tilde{L}_0)$ through 0. Then Ω^* is a domain in \mathfrak{r} and ϕ_0 induces a covering projection Φ_0 of \tilde{L}_0/\tilde{K} onto Ω^* . Using (2.1), we obtain

$$D^* = \{z \in \mathfrak{r}^C; \text{Im } z \in \Omega^*\}.$$

As is mentioned in Shima [13], the following holds:

LEMMA 1. Ω^* is a convex domain and ϕ_0 is a diffeomorphism of \tilde{L}_0/\tilde{K} onto Ω^* .

In fact, we can obtain this lemma from a result of Shima [12], noting that \tilde{L}_0/\tilde{K} is a homogeneous Hessian manifold in the sense of [12]. But for the convenience of the readers, we state an outline of the proof by modifying the arguments in [9] and [12].

Let (z^1, \dots, z^n) be the canonical linear coordinate system of \mathfrak{r}^C . We may regard (z^1, \dots, z^n) as a local coordinate system of \tilde{L}/\tilde{K} by the map Φ . As usual, we write $z^i = x^i + \sqrt{-1}y^i$. Then (y^1, \dots, y^n) gives a local coordinate system of \tilde{L}_0/\tilde{K} . Let us denote by g the Kähler metric on \tilde{L}/\tilde{K} . For any $x \in \mathfrak{r}$, $\exp x$ is an isometry and it corresponds to the translation; $z \rightarrow z + x$. Therefore if we express g in terms of the coordinate system (z^1, \dots, z^n) as $g = \sum_{i,j} g_{i\bar{j}} dz^i \otimes d\bar{z}^j$, then we have $\frac{\partial g_{i\bar{j}}}{\partial x^k} = 0$. Since g is a Kähler

metric, this means that $\frac{\partial g_{i\bar{j}}}{\partial y^k} = \frac{\partial g_{k\bar{j}}}{\partial y^i} = \frac{\partial g_{i\bar{k}}}{\partial y^j}$. Let g_0 be the riemannian metric on

\tilde{L}_0/\tilde{K} induced from g . If we express g_0 as $g_0 = \sum_{i,j} h_{ij} dy^i \otimes dy^j$. We then have

$$(2.2) \quad \frac{\partial h_{ij}}{\partial y^k} = \frac{\partial h_{ik}}{\partial y^j}.$$

Let us consider the set Ω_0 given by

$$\Omega_0 = \{y \in \mathfrak{r} ; ty \in \Omega^* \text{ for } 0 \leq t \leq 1\}.$$

Then Ω_0 is a star-shaped domain in Ω^* . Therefore there exists a diffeomorphism α of Ω_0 onto an open set of \tilde{L}_0/\tilde{K} containing the origin o of \tilde{L}_0/\tilde{K} satisfying $\Phi_0 \circ \alpha = 1$. Then by the same way as [12], using (2.2) and Poincaré lemma, we can find a function Ψ on Ω_0 so that the induced metric α^*g_0 is expressed as $\alpha^*g_0 = \sum_{i,j} \frac{\partial^2 \Psi}{\partial y^i \partial y^j} dy^i \otimes dy^j$. Then the function Ψ is convex and it has the following property ([12]): Let y be a point of $\mathfrak{r} \setminus \Omega_0$. If $ty \in \Omega_0$ for $0 \leq t < 1$, then $\lim_{t \rightarrow 1} \Psi(ty) \rightarrow \infty$. From this fact, noting that Ψ is convex and that Ω_0 is star-shaped, one can see that Ω_0 is convex by the similar way as [8]. Let us denote by $\bar{\Omega}_0$ the closure of Ω_0 in Ω^* . Let $y \in \bar{\Omega}_0$. We assert that for any $t \in [0, 1)$, $ty \in \Omega_0$. We may assume $t > 0$. Let us denote by $B_\delta(x)$ the ball defined by $B_\delta(x) = \{x' \in \mathfrak{r} ; \|x' - x\| < \delta\}$. Here the norm $\| \cdot \|$ is the usual euclidian norm of \mathfrak{r} . If ε is small enough, $B_\varepsilon(0) \subset \Omega_0$. Consider the ball $B_\delta(y)$, where $\delta = \frac{(1-t)}{t} \varepsilon$. Then there exists $y' \in \Omega_0$ such that $y' \in B_\delta(y)$. The line through y' and ty intersects $B_\varepsilon(0)$. Therefore from the convexity of Ω_0 , $ty \in \Omega_0$, proving the assertion. Consequently, y belongs to Ω_0 and hence $\bar{\Omega}_0 = \Omega_0$. This means that $\Omega^* = \Omega_0$ and therefore we get Lemma 1.

It follows from Lemma 1 that the domain D^* is simply connected. Hence we have

LEMMA 2. *The universal covering space of $L/L \cap K$ is biholomorphic to D^* .*

From Lemma 1, we also know that Ω^* is homeomorphic to \mathbf{R}^n and that Ω^* admits an \tilde{L}_0 -invariant riemannian metric. Moreover every element of \tilde{L}_0 acts on Ω^* as an affine transformation. Therefore by the similar arguments as in the proof of Lemma 3.1 in [11], we have

LEMMA 3. *Assume further that G acts on G/K almost effectively. Then by a suitable change of the operator j , $j\mathfrak{x}$ becomes a solvable subalgebra.*

§ 3. j -algebras and associated homogeneous complex manifolds.

Let \mathfrak{g} be a Lie algebra and let \mathfrak{t} be a subalgebra. Let j be an endo-

morphism of \mathfrak{g} satisfying (1.1), (1.3) and (1.5) and let ω be a linear form on \mathfrak{g} . The system $(\mathfrak{g}, \mathfrak{k}, j, \omega)$ or simply \mathfrak{g} is called a *j-algebra* if the following conditions are satisfied ;

- (3.1) $\omega([\mathfrak{k}, \mathfrak{g}]) = 0$,
- (3.2) $\omega([jx, jy]) = \omega([x, y])$ for $x, y \in \mathfrak{g}$,
- (3.3) $\omega([jx, x]) > 0$ if $x \notin \mathfrak{k}$.

Clearly every *j*-invariant subalgebra of a *j*-algebra is also a *j*-algebra. A *j*-algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$ is called *effective* if \mathfrak{k} contains no non-zero ideal of \mathfrak{g} . By (3.3), the center of the *j*-algebra \mathfrak{g} is contained in \mathfrak{k} and it is trivial if \mathfrak{g} is effective.

Let $(\mathfrak{g}, \mathfrak{k}, j, \omega)$ be an effective *j*-algebra. Let G be a connected Lie group with \mathfrak{g} as its Lie algebra and let K be the connected subgroup of G corresponding to \mathfrak{k} . If we regard ω as a left invariant 1-form on G , then K is the identity component of the subgroup given by $\{a \in G ; R_a^* \omega = \omega\}$, where R_a denotes the right translation of G defined by $R_a g = ga (g \in G)$. Therefore the group K is closed. The homogeneous space G/K admits a G -invariant complex structure J satisfying (1.4). We call G/K the homogeneous complex manifold associated with the effective *j*-algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$. By (3.1)~(3.3), the symmetric bilinear form $\omega([jx, y])$ on \mathfrak{g} induces a G -invariant Kähler metric on G/K .

Let \mathfrak{r} be an abelian ideal of the effective *j*-algebra \mathfrak{g} . Using (3.1) and (3.3), one can see that the sum

$$\mathfrak{l} = \mathfrak{k} + j\mathfrak{r} + \mathfrak{r}$$

is direct. Let L be the connected subgroup of G corresponding to \mathfrak{l} . Then L contains K . Let D^* and Ω^* be as in § 2. We already know from Lemmas 1 and 2 that Ω^* is convex and that the universal covering space of L/K is biholomorphic to D^* . Moreover using the fact stated in § 1, we have from [16]

PROPOSITION 4 ([16]). (1) Ω^* is a convex domain not containing any entire straight line and hence D^* is biholomorphic to a homogeneous bounded domain.

(2) L/K is biholomorphic to D^* .

REMARK 1. The proof of [16] contains a small gap. But it can be easily corrected by a careful use of a result of Koszul [9] or by using the fact that Ω^* is simply connected.

An abelian ideal \mathfrak{r} of the effective *j*-algebra \mathfrak{g} is called of the first kind if

there exists $r_0 \in \mathfrak{r}$ such that $[jx, r_0] = x$ for any $x \in \mathfrak{r}$. The element r_0 is called the principal idempotent. Using Proposition 4, one can see from [16] that if there exists a non-trivial abelian ideal \mathfrak{r} , then there exists a non-trivial abelian ideal of the first kind contained in \mathfrak{r} . In what follows, \mathfrak{r} denotes an abelian ideal of the first kind with the principal idempotent r_0 . Let $\mathfrak{l} = \mathfrak{k} + j\mathfrak{r} + \mathfrak{r}$ and let ψ be the Koszul form of $(\mathfrak{l}, \mathfrak{k}, j)$. By Proposition 4, the symmetric bilinear form $\psi([jx, y])$ ($x, y \in \mathfrak{r}$) is positive definite. Therefore there exists a unique $r'_0 \in \mathfrak{r}$ such that $\psi(x) = \psi([jx, r'_0])$ for $x \in \mathfrak{r}$. On the one side, $\psi(x) = \psi([jx, r_0])$ for any $x \in \mathfrak{r}$. Therefore $r'_0 = r_0$. This means that r_0 is uniquely determined. Using the fact $\psi([\mathfrak{k}, \mathfrak{l}]) = 0$, one can easily see

$$(3.4) \quad [\mathfrak{k}, r_0] = 0.$$

Therefore the condition “ $[jx, r_0] = x$ for any $x \in \mathfrak{r}$ ” is independent to the choice of the operator j .

From [16], we also know the following

PROPOSITION 5 ([16]). *Let \mathfrak{r} be an abelian ideal of the first kind with the principal idempotent r_0 and let $\mathfrak{g}^{(a)}$ be the largest $\text{ad } jr_0$ -invariant subspace on which every eigenvalue of $\text{ad } jr_0$ has real part a . Then the Lie algebra \mathfrak{g} is decomposed into the sum of subspaces*

$$\mathfrak{g} = \mathfrak{s} + j\mathfrak{r} + \mathfrak{r} + \mathfrak{w}$$

in the following way :

- (a) $\mathfrak{g}^{(0)} = \mathfrak{s} + j\mathfrak{r}$, $\mathfrak{g}^{(\frac{1}{2})} = \mathfrak{w}$ and $\mathfrak{g}^{(1)} = \mathfrak{r}$.
- (b) \mathfrak{s} is a j -invariant subalgebra containing \mathfrak{k} and given by

$$\mathfrak{s} = \{x \in \mathfrak{g}^{(0)}; [x, r_0] = 0\}.$$

- (c) $j\mathfrak{w} \subset \mathfrak{w} + \mathfrak{k}$.

(d) Let $\mathfrak{g}' = \mathfrak{k} + j\mathfrak{r} + \mathfrak{r} + \mathfrak{w}$. Then \mathfrak{g}' is a j -invariant subalgebra. Let G' be the connected subgroup of G generated by \mathfrak{g}' . Then the homogeneous complex submanifold G'/K is biholomorphic to a homogeneous Siegel domain of the second kind.

It should be noted that the above decomposition of \mathfrak{g} is uniquely determined from the abelian ideal \mathfrak{r} and independent to the choice of j . More precisely, let j' be another endomorphism equivalent to j and let $\mathfrak{g}^{(0)'}$, \mathfrak{w}' , \mathfrak{s}' be the subspaces obtained from the element $j'r_0$. Then $\mathfrak{g}^{(0)'} = \mathfrak{g}^{(0)}$, $\mathfrak{w}' = \mathfrak{w}$ and $\mathfrak{s}' = \mathfrak{s}$ ([11]).

We also note

$$[\mathfrak{g}^{(0)}, \mathfrak{g}^{(0)}] \subset \mathfrak{g}^{(0)}, [\mathfrak{g}^{(0)}, \mathfrak{w}] \subset \mathfrak{w}, [\mathfrak{w}, \mathfrak{w}] \subset \mathfrak{r},$$

because $[\mathfrak{g}^{(a)}, \mathfrak{g}^{(b)}] \subset \mathfrak{g}^{(a+b)}$.

By virtue of Proposition 5, the results in §§ 3, 4 of [11] also hold for our homogeneous complex manifold G/K . In particular, we have

PROPOSITION 6 ([11]). (1) *Let S be the connected subgroup of G corresponding to the subalgebra \mathfrak{s} . Then S is a closed subgroup of G containing K and the homogeneous space G/S is a cell.*

(2) *For any $s \in \mathfrak{s}$, $\text{Tr}_{\mathfrak{r}} \text{ad } s = 0$.*

(3) *If \mathfrak{r} is a maximal abelian ideal of the first kind, then the subalgebra \mathfrak{s} is reductive.*

The assertions (2) and (3) are first proved in [16] for an effective algebraic j -algebra.

By Lemma 3, we may assume that $j\mathfrak{r}$ is a solvable subalgebra. We may also assume that \mathfrak{w} is invariant by j . We set

$$\mathfrak{t}' = j\mathfrak{r} + \mathfrak{r} + \mathfrak{w}.$$

Then \mathfrak{t}' is a j -invariant solvable subalgebra and

$$\mathfrak{g} = \mathfrak{t}' + \mathfrak{s} \text{ (vector space direct sum).}$$

PROPOSITION 7. *Let T' and S be the connected subgroups of G corresponding to \mathfrak{t}' and \mathfrak{s} respectively. Then $G = T' \cdot S$, $T' \cap S = \{e\}$.*

PROOF Let us denote by T_0 , L_0 and G_0 the connected subgroups of G generated by $j\mathfrak{r}$, $j\mathfrak{r} + \mathfrak{k}$, and $\mathfrak{g}^{(0)}$ respectively. Let Ω^* be as before and put $\Omega = \Omega^* + \mathfrak{r}_0$. Let ξ be the translation of \mathfrak{r} given by $\xi(y) = y + \mathfrak{r}_0$. Then we have $\Omega = \xi \circ \phi_0(\tilde{L}_0) \circ \xi^{-1}(\mathfrak{r}_0)$. Using (3.4) and using the hypothesis that \mathfrak{r} is of the first kind, we have $\xi \circ \phi_0(\tilde{L}_0) \circ \xi^{-1} = \{\text{Ad } a|_{\mathfrak{r}}; a \in L_0\}$. Therefore $\Omega = \{\text{Ad } a \mathfrak{r}_0; a \in L_0\} = \{\text{Ad } a \mathfrak{r}_0; a \in T_0\}$. Since $\text{Ad}(\exp t j \mathfrak{r}_0) \mathfrak{r}_0 = e^t \mathfrak{r}_0$, Ω is a convex cone not containing any entire straight line. It follows from (2) of Proposition 6 and a result of Vinberg [14] that $\Omega = \{\text{Ad } a \mathfrak{r}_0; a \in G_0\}$ and $S = \{a \in G_0; \text{Ad } a \mathfrak{r}_0 = \mathfrak{r}_0\}$ (cf. [11], [16]). Therefore $\Omega = G_0/S$ and hence $G_0 = T_0 \cdot S$. Let N be the connected subgroup generated by $\mathfrak{r} + \mathfrak{w}$. Since $\mathfrak{r} + \mathfrak{w}$ is an ideal and since $\mathfrak{g} = \mathfrak{g}^{(0)} + \mathfrak{r} + \mathfrak{w}$, we have $G = N \cdot G_0$. Therefore $G = N \cdot T_0 \cdot S = T' \cdot S$. Since $T' \cap S$ is discrete and since G/S is a cell, we have $T' \cap S = \{e\}$.

q. e. d.

REMARK 2. As is stated before, the assertions (2) and (3) are proved in [16] for an effective algebraic j -algebra. But the proof in [16] implicit-

ly used the following fact (cf. P. 422, Proof of Lemma 1, § 3 and P. 430, [16]): Let $(\mathfrak{g}, \mathfrak{t}, j, \omega)$ be an effective j -algebra and let \mathfrak{h} be a j -invariant subalgebra of \mathfrak{g} containing \mathfrak{t} . Denote by \mathfrak{n} the largest ideal of \mathfrak{h} contained in \mathfrak{t} . Then the Lie algebra $\mathfrak{h}/\mathfrak{n}$ equipped with the subalgebra $\mathfrak{t}/\mathfrak{n}$ and the endomorphism induced from j admits a structure of an effective j -algebra. This fact is not so obvious if we do not use Lemma 1.2 of [11]. Note that the effectiveness assumption on \mathfrak{g} is essential. In fact, one can construct a j -algebra $(\mathfrak{g}, \mathfrak{t}, j, \omega)$ so that $\mathfrak{g}/\mathfrak{n}$ does not admit a structure of a j -algebra, where \mathfrak{n} is the largest ideal of \mathfrak{g} contained in \mathfrak{t} .

§ 4. A fibering of the associated homogeneous complex manifold G/K .

Let G/K be the homogeneous complex manifold associated with an effective j -algebra $(\mathfrak{g}, \mathfrak{t}, j, \omega)$. We use the same notations as the previous section. Let \mathfrak{r} be a maximal abelian ideal of the first kind with the principal idempotent \mathfrak{r}_0 . Then the subalgebra \mathfrak{s} is reductive by Proposition 6 and hence it is decomposed as

$$(4.1) \quad \mathfrak{s} = \mathfrak{c}(\mathfrak{s}) + \mathfrak{s}_1 + \mathfrak{s}_2,$$

where $\mathfrak{c}(\mathfrak{s})$ denotes the center of \mathfrak{s} , \mathfrak{s}_2 denotes the compact semi-simple ideal and \mathfrak{s}_1 is the semi-simple ideal having no compact components. Recall that $\mathfrak{c}(\mathfrak{s})$ is contained in \mathfrak{t} (§ 3). Being a complex submanifold of G/K , S/K is a homogeneous Kähler manifold on which the semi-simple part of S acts transitively. It follows from Borel [1] that $\mathfrak{t} = \mathfrak{c}(\mathfrak{s}) + \mathfrak{t} \cap \mathfrak{s}_1 + \mathfrak{t} \cap \mathfrak{s}_2$ and we can assume $j\mathfrak{s}_i \subset \mathfrak{s}_i$ ($i=1, 2$). Moreover let S_1 denote the connected subgroup of G corresponding to \mathfrak{s}_1 . Then there exists a closed subgroup U_1 of S_1 containing $K \cap S_1$ and the followings hold ([1]):

- (a) The Lie algebra \mathfrak{u}_1 of U_1 is a maximal compact subalgebra of \mathfrak{s}_1 .
- (b) $j\mathfrak{u}_1 \subset \mathfrak{u}_1$ and $[jx, u] \equiv j[x, u] \pmod{\mathfrak{u}_1}$ for any $x \in \mathfrak{s}_1$, $u \in \mathfrak{u}_1$.
- (c) The homogeneous space S_1/U_1 admits an S_1 -invariant complex structure by the property (b). Equipped with this complex structure, S_1/U_1 is biholomorphic to a symmetric bounded domain and the canonical projection of $S_1/S_1 \cap K$ onto S_1/U_1 is holomorphic.
- (d) The fiber $U_1/U_1 \cap K$ is a compact simply connected homogeneous Kähler manifold.

We set

$$\mathfrak{u} = \mathfrak{c}(\mathfrak{s}) + \mathfrak{u}_1 + \mathfrak{s}_2.$$

Clearly \mathfrak{u} is a j -invariant subalgebra containing \mathfrak{t} . Let U denote the connected subgroup of G with \mathfrak{u} as its Lie algebra. Then U is a closed subgroup

of S and hence it is also closed in G because of Proposition 6. Clearly U/K is compact. Since U/K admits a U -invariant Kähler structure and since the semi-simple part of U acts on U/K transitively, U/K is simply connected by a result of [1]. We shall prove the following

PROPOSITION 8. *The homogeneous space G/U admits naturally a G -invariant complex structure with respect to which the projection of G/K onto G/U is holomorphic.*

We can assume that $\mathfrak{j}\mathfrak{r}$ is a solvable subalgebra and that $\mathfrak{j}\mathfrak{w}=\mathfrak{w}$. We need the following result.

LEMMA 9 ([11]). *There exists $r_\alpha \in \mathfrak{r}$ ($\alpha=1, \dots, m$) and the decompositions $\mathfrak{r} = \sum_{\alpha \leq \beta} \mathfrak{r}_{\alpha\beta}$, $\mathfrak{w} = \sum_{\alpha} \mathfrak{w}_\alpha$ satisfying the followings:*

- (a) $[\mathfrak{j}r_\alpha, \mathfrak{j}r_\beta]=0$, $[\mathfrak{j}r_\alpha, r_\beta]=\delta_{\alpha\beta}r_\beta$ and $r_0=\sum_{\alpha} r_\alpha$.
- (b) $\mathfrak{r}_{\alpha\alpha}=\mathbf{R} r_\alpha$ and $\mathfrak{j}\mathfrak{w}_\alpha=\mathfrak{w}_\alpha$.
- (c) $\mathfrak{r}_{\alpha\beta}$, \mathfrak{w}_α , and $\mathfrak{j}\mathfrak{r}_{\alpha\beta}$ are invariant by $\text{ad } \mathfrak{j}r_\gamma$ and the real parts of the eigenvalues of $\text{ad } \mathfrak{j}r_\gamma$ on $\mathfrak{r}_{\alpha\beta}$, \mathfrak{w}_α , and $\mathfrak{j}\mathfrak{r}_{\alpha\beta}$ are equal to $\frac{1}{2}(\delta_{\alpha\gamma}+\delta_{\beta\gamma})$, $\frac{1}{2}\delta_{\alpha\gamma}$, and $\frac{1}{2}(\delta_{\alpha\gamma}-\delta_{\beta\gamma})$.

Using this lemma, we prove

LEMMA 10. *Let ψ be the Koszul form of $(\mathfrak{l}, \mathfrak{t}, \mathfrak{j})$. Then*

- (1) $\psi([\mathfrak{j}w, w]) > 0$ for every non-zero w of \mathfrak{w} .
- (2) $\psi([s, x]) = 0$ for any $s \in \mathfrak{s}$ and $x \in \mathfrak{r}$.

PROOF. Let $w \in \mathfrak{w}$. We can write as $w = \sum_{\alpha} w_\alpha$, where $w_\alpha \in \mathfrak{w}_\alpha$. We then have $[w_\alpha, w_\beta] \in \mathfrak{r}_{\alpha\beta}$. Therefore there exists $c_\alpha \in \mathbf{R}$ such that $[\mathfrak{j}w_\alpha, w_\alpha] = c_\alpha r_\alpha$. We can see $c_\alpha \geq 0$. In fact, let ψ' be the Koszul form of $(\mathfrak{g}', \mathfrak{t}, \mathfrak{j})$. By Proposition 5, $\psi'([\mathfrak{j}x, x]) \geq 0$ for any $x \in \mathfrak{g}'$ and $\psi'([\mathfrak{j}x, x]) = 0$ if and only if $x \in \mathfrak{t}$. Therefore $\psi'(r_\alpha) = \psi'([\mathfrak{j}r_\alpha, r_\alpha]) > 0$ and $\psi'([\mathfrak{j}w_\alpha, w_\alpha]) \geq 0$. Hence $c_\alpha \geq 0$. Similarly, we have $\psi(r_\alpha) > 0$. By a direct computation, we have

$$(4.2) \quad \psi(x) = 2 \text{Tr}_{\mathfrak{r}} \text{ad } \mathfrak{j}x \text{ for } x \in \mathfrak{r}.$$

Hence using Lemma 9, we have $\psi(\mathfrak{r}_{\alpha\beta}) = 0$ for $\alpha < \beta$. It follows that $\psi([\mathfrak{j}w, w]) = \sum_{\alpha} c_\alpha \psi(r_\alpha) \geq 0$. Evidently, $c_\alpha = 0$ if and only if $w_\alpha = 0$, proving (1).

Let $x \in \mathfrak{r}$ and $s \in \mathfrak{s}$. Then $[s, jx] - j[s, x] \in \mathfrak{g}^{(0)}$. By a simple calculation, $[[s, jx] - j[s, x], \mathfrak{r}_0] = 0$. This means

$$(4.3) \quad [s, jx] - j[s, x] \in \mathfrak{s} \text{ for } s \in \mathfrak{s}, x \in \mathfrak{r}.$$

Using (4.2), (4.3) and (2) of Proposition 6, we have

$$\psi([s, x]) = 2 \operatorname{Tr}_{\mathfrak{r}} \operatorname{ad} j[s, x] = 2 \operatorname{Tr}_{\mathfrak{r}} \operatorname{ad} [s, jx] = 0.$$

q. e. d.

We now prove Proposition 8. Define a skew-symmetric bilinear form ρ on \mathfrak{w} by

$$\rho(w, w') = \psi([w, w']) \text{ for } w, w' \in \mathfrak{w},$$

where ψ is the Koszul form of $(\mathfrak{l}, \mathfrak{k}, j)$. By Lemma 10, (\mathfrak{w}, j, ρ) is a symplectic space and for every $s \in \mathfrak{s}$, $\operatorname{ad} s$ is a symplectic endomorphism of \mathfrak{w} . It is easily checked that $\operatorname{ad} js \equiv I(\operatorname{ad} s) \pmod{\mathfrak{k}(\mathfrak{w})}$, where I denotes the endomorphism of $\mathfrak{sp}(\mathfrak{w})$ as in § 1. For each $k \in K$, $\operatorname{Ad} k$ is an element of $K(\mathfrak{w})$. Then the natural mapping ξ of S/K to $Sp(\mathfrak{w})/K(\mathfrak{w})$ is holomorphic. Since $Sp(\mathfrak{w})/K(\mathfrak{w})$ is biholomorphic to a bounded domain, $\xi(U/K)$ must be a point. This implies

$$(4.4) \quad [u, jw] = j[u, w] \text{ for } u \in \mathfrak{u}, w \in \mathfrak{w}.$$

Let us set

$$\mathfrak{s}_0 = \{s \in \mathfrak{g}^{(0)}; [s, \mathfrak{r}] = 0\}.$$

Then \mathfrak{s}_0 is an ideal of $\mathfrak{g}^{(0)}$ contained in \mathfrak{s} . Since \mathfrak{s} is reductive, so is \mathfrak{s}_0 . Let Ω be the convex cone as in the proof of Proposition 7. Then $\mathfrak{s}/\mathfrak{s}_0$ is identified with the isotropy subalgebra of a transitive isometric transformation group of Ω . In particular, $\mathfrak{s}/\mathfrak{s}_0$ is reductive and its semi-simple part is compact. Consequently, \mathfrak{s}_1 is an ideal of \mathfrak{s}_0 . It follows that for any $x \in \mathfrak{r}$, \mathfrak{s}_1 is invariant by $\operatorname{ad} jx$. Hence there corresponds $s(x) \in \mathfrak{s}_1$ satisfying

$$(4.5) \quad [s(x), s'] = [jx, s'] \text{ for any } s' \in \mathfrak{s}_1.$$

We then have

$$(4.6) \quad [s(x), js'] \equiv j[jx, s'] \equiv j[s(x), s'] \pmod{\mathfrak{k} \cap \mathfrak{s}_1} \text{ for } x \in \mathfrak{r}, s' \in \mathfrak{s}_1.$$

Since $S_1/S_1 \cap K$ is a homogeneous Kähler manifold of the semi-simple Lie group S_1 , its canonical hermitian form is non-degenerate (see, § 1). As a result, (4.6) combined with a result of Hano [4] means that $s(x)$ is an element of $\mathfrak{k} \cap \mathfrak{s}_1$. Let $u \in \mathfrak{u}$ and $x \in \mathfrak{r}$. By (4.3), $[u, jx] - j[u, x] \in \mathfrak{s}$. We can see for any $s' \in \mathfrak{s}_1$,

$$[[u, jx] - j[u, x], s'] = [[u, s(x)] - s([u, x]), s'].$$

Therefore the \mathfrak{s}_1 -component of $[u, jx] - j[u, x]$ with respect to the decomposition (4.1) is equal to $[u, s(x)] - s([u, x])$. Hence we have

$$(4.7) \quad [u, jx] \equiv j[u, x] \pmod{\mathfrak{u}} \text{ for } u \in \mathfrak{u}, x \in \mathfrak{r}.$$

It follows from (4.4), (4.7) and the property (b) of the group U_1

$$(4.8) \quad [u, jx] \equiv j[u, x] \pmod{\mathfrak{u}} \text{ for } u \in \mathfrak{u}, x \in \mathfrak{g}.$$

Since U is connected, (4.8) means that there corresponds a G -invariant complex structure on G/U . Clearly the projection $G/K \rightarrow G/U$ is holomorphic. Thus we have proved Proposition 8.

§ 5. Proof of Theorem A.

Let G/K be the homogeneous complex manifold associated with the effective j -algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$. We use the same notations as before. Let $s(x)$ be the element of $\mathfrak{k} \cap \mathfrak{s}_1$ given by (4.5) for an element $x \in \mathfrak{r}$. If $x \in \mathfrak{r}_{\alpha\beta}$ ($\alpha < \beta$), then $\text{ad } jx$ is a nilpotent endomorphism of \mathfrak{g} . Indeed, let us denote by $\mathfrak{g}_{\alpha}^{(a)}$ the largest $\text{ad } jr_{\alpha}$ -invariant subspace on which every eigenvalue of $\text{ad } jr_{\alpha}$ has real part a . Then $[\mathfrak{g}_{\alpha}^{(a)}, \mathfrak{g}_{\alpha}^{(b)}] \subset \mathfrak{g}_{\alpha}^{(a+b)}$ and $jr_{\alpha\beta} \subset \mathfrak{g}_{\alpha}^{(\frac{1}{2})}$ for $\alpha < \beta$. Hence if $x \in \mathfrak{r}_{\alpha\beta}$ ($\alpha < \beta$), then $\text{ad } s(x)$ is a nilpotent endomorphism of \mathfrak{s}_1 . On the other hand, since $s(x) \in \mathfrak{k} \cap \mathfrak{s}_1$, $\text{ad } s(x)$ is semi-simple. Therefore $s(x) = 0$ and we get

$$[jr_{\alpha\beta}, \mathfrak{s}_1] = 0 \text{ for } \alpha < \beta.$$

We now put

$$j'r_{\alpha} = jr_{\alpha} - s(r_{\alpha}), \quad j'x = jx \text{ for } x \in \mathfrak{r}_{\alpha\beta} \quad (\alpha < \beta).$$

One can easily see that $j'\mathfrak{r}$ is also a solvable subalgebra. Therefore taking $j'\mathfrak{r}$ instead of $j\mathfrak{r}$, we may assume

$$(5.1) \quad [j'\mathfrak{r}, \mathfrak{s}_1] = 0.$$

Since \mathfrak{u}_1 is a maximal compact subalgebra of \mathfrak{s}_1 , there exist a solvable subalgebra \mathfrak{t}_1 and an endomorphism j_1 of \mathfrak{t}_1 satisfying

$$\begin{aligned} \mathfrak{s}_1 &= \mathfrak{u}_1 + \mathfrak{t}_1 \text{ (vector space direct sum),} \\ j_1x &\equiv jx \pmod{\mathfrak{u}_1} \text{ for } x \in \mathfrak{t}_1. \end{aligned}$$

Let us set

$$\mathfrak{t} = \mathfrak{t}_1 + \mathfrak{t}' \quad (\mathfrak{t}' = j'\mathfrak{r} + \mathfrak{r} + \mathfrak{w}).$$

Using (5.1), we know that \mathfrak{t} is a solvable subalgebra. Clearly

$$\mathfrak{g} = \mathfrak{t} + \mathfrak{u} \text{ (vector space direct sum).}$$

We define an endomorphism \hat{j} of \mathfrak{t} by

$$(5.2) \quad \hat{j}x = j_1x \text{ if } x \in \mathfrak{t}_1 \text{ and } \hat{j}x = jx \text{ if } x \in \mathfrak{t}'.$$

We then have

$$(5.3) \quad \hat{j}x \equiv jx \pmod{\mathfrak{u}} \text{ for any } x \in \mathfrak{t}.$$

Let T_1 and T be the connected subgroup of G corresponding to \mathfrak{t}_1 and \mathfrak{t} respectively. Then $S_1 = T_1 \cdot U_1$ and hence $S = T_1 \cdot U$. Recall that $G = T' \cdot S$ by Proposition 7. Therefore $G = T \cdot U$. This means that the group T acts on G/U transitively. Since $T \cap U$ is discrete, T is a covering space of G/U . As a result, T admits a left invariant complex structure so that the projection of T onto G/U is holomorphic. By (5.3), the corresponding endomorphism of \mathfrak{t} is nothing but the operator \hat{j} given by (5.2).

We shall show that the Lie algebra \mathfrak{t} admits a structure of a j -algebra. Let ψ_1 and ψ' be the Koszul forms of $(\mathfrak{t}_1, 0, j_1)$ and $(\mathfrak{t}', 0, j)$ respectively and define a linear form $\hat{\omega}$ on \mathfrak{t} by

$$\hat{\omega}(x_1) = \psi_1(x_1) \text{ if } x_1 \in \mathfrak{t}_1 \text{ and } \hat{\omega}(x') = \psi'(x') \text{ if } x' \in \mathfrak{t}'.$$

Since $\psi'(\mathfrak{u}) = 0$ and since $[\mathfrak{t}_1, \mathfrak{t}'] \subset \mathfrak{u}$, we have $\hat{\omega}([\mathfrak{t}_1, \mathfrak{t}']) = 0$. Recalling that both \mathfrak{t}_1 and \mathfrak{t}' are the Lie algebras corresponding to homogeneous bounded domains, we have for $x_1, y_1 \in \mathfrak{t}_1$ and for $x', y' \in \mathfrak{t}'$

$$\begin{aligned} \hat{\omega}([\hat{j}(x_1 + x'), \hat{j}(y_1 + y')]) &= \psi_1([j_1x_1, j_1y_1]) + \psi'([jx', jy']) \\ &= \psi_1([x_1, y_1]) + \psi'([x', y']) = \hat{\omega}([x_1 + x', y_1 + y']) \end{aligned}$$

and

$$\hat{\omega}([\hat{j}(x_1 + x'), x_1 + x']) = \psi_1([j_1x_1, x_1]) + \psi'([jx', x']) > 0,$$

if $x_1 + x' \neq 0$. Hence $(\mathfrak{t}, 0, \hat{j}, \hat{\omega})$ is a j -algebra. We apply Proposition 5 to the j -algebra \mathfrak{t} . Let $\mathfrak{r}_\mathfrak{t}$ be a maximal abelian ideal of the first kind. Then we have $\mathfrak{t} = \hat{j}\mathfrak{r}_\mathfrak{t} + \mathfrak{r}_\mathfrak{t} + \mathfrak{u}_\mathfrak{t}$, because \mathfrak{t} is solvable and $\mathfrak{k} = 0$. It follows from Proposition 5 that the group T is biholomorphic to a homogeneous bounded domain. Since the projection: $T \rightarrow G/U$ is a holomorphic covering mapping, G/U itself is biholomorphic to a homogeneous bounded domain. It is now clear that $T \cap U = \{e\}$. Hence we have proved

THEOREM 11. *Let G/K be a homogeneous complex manifold associated with an effective j -algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$. Then there exist a closed connected*

reductive subgroup U containing K and a connected solvable subgroup T and the followings hold :

- (a) $G = T \cdot U$, $T \cap U = \{e\}$.
- (b) U/K is a compact simply connected homogeneous complex submanifold of G/K .
- (c) The homogeneous space G/U admits naturally a G -invariant complex structure with respect to which the projection of G/K onto G/U is holomorphic and G/U is biholomorphic to a homogeneous bounded domain.

We now set $M_1 = G/U$ and $M_2 = U/K$. For the proof of Theorem A, it remains to show that G/K is biholomorphic to $M_1 \times M_2$. This can be done as follows. Consider the fibering: $G/K \rightarrow M_1$. Then every fiber is biholomorphic to the compact complex manifold M_2 . Therefore by a result of Fischer and Grauert [2], this fibering is a holomorphic fiber bundle. Its structure group may be taken to be a complex Lie group. Consequently, as is mentioned in [15], this bundle is holomorphically trivial by a theorem of Grauert [3], because M_1 is topologically trivial. Hence we get Theorem A.

REMARK 3. By Theorem 11, the homogeneous complex manifold G/K associated with an effective j -algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$ is simply connected. Let \tilde{G} be the simply connected Lie group with \mathfrak{g} as its Lie algebra and let \tilde{K} be the connected subgroup of \tilde{G} corresponding to \mathfrak{k} . We then have $\tilde{G}/\tilde{K} = G/K$ because G/K is simply connected. Therefore the associated homogeneous complex manifold is uniquely determined from the effective j -algebra \mathfrak{g} and independent to the choice of the group G .

§ 6. Some consequences obtained from Theorem 11.

An effective j -algebra $(\mathfrak{g}, \mathfrak{k}, j, \omega)$ is called *proper* if every compact semi-simple j -invariant subalgebra is contained in \mathfrak{k} . Let \mathfrak{u} be the Lie algebra of the group U as in Theorem 11. Then the semi-simple part of \mathfrak{u} is a compact j -invariant subalgebra. Therefore if the effective j -algebra \mathfrak{g} is proper, then \mathfrak{u} coincides with \mathfrak{k} . Hence we obtain from Theorem 11 the following

THEOREM 12 (Vinberg, Gindikin and Pyatetskii-Shapiro [16]). *Every homogeneous complex manifold associated with an effective proper j -algebra is biholomorphic to a homogeneous bounded domain.*

By using Theorem 11, we can also prove the following theorem of Koszul.

THEOREM 13 (Koszul [10]). *Let G/K be a homogeneous complex manifold with a G -invariant volume element and assume that the canonical hermitian form is positive definite. Then G/K is biholomorphic to a homogeneous bounded domain.*

PROOF. We may assume that the action of G is effective. Let ψ be the Koszul form of $(\mathfrak{g}, \mathfrak{k}, j)$. From the hypothesis, $(\mathfrak{g}, \mathfrak{k}, j, \psi)$ is an effective j -algebra. Let K_0 be the identity component of K . Then G/K_0 is the homogeneous complex manifold associated with $(\mathfrak{g}, \mathfrak{k}, j, \psi)$. Let U be as in Theorem 11 and let \mathfrak{u} be its Lie algebra. We denote by ψ_1 (resp. by ψ_2) the Koszul form of $(\mathfrak{g}, \mathfrak{u}, j)$ (resp. of $(\mathfrak{u}, \mathfrak{k}, j)$). We then have for any $u \in \mathfrak{u}$, $\psi([ju, u]) = \psi_1([ju, u]) + \psi_2([ju, u])$. Clearly $\psi_1([ju, u]) = 0$. Since U/K is a compact simply connected homogeneous Kähler manifold, $\psi_2([ju, u]) \leq 0$. Therefore $\psi([ju, u]) = 0$ and hence $\mathfrak{u} = \mathfrak{k}$. It follows from Theorem 11 that $G/K_0 (= G/U)$ is a homogeneous bounded domain. Hence we can conclude that G/K itself is a homogeneous bounded domain.

q. e. d.

As an immediate consequence of this theorem, we have

COROLLARY 14. *Every homogeneous Kähler manifold of negative definite Ricci tensor is biholomorphic to a homogeneous bounded domain.*

§ 7. Proof of Theorem B.

Let M_1 , M_2 and G be as Theorem B. We denote by K the isotropy subgroup of G at a point $(p_1, p_2) \in M_1 \times M_2$. Every element g of G can be expressed as $g(z_1, z_2) = (g_1(z_1, z_2), g_2(z_1, z_2))$. Then $g_1(z_1, z_2)$ is independent to z_2 , because M_2 is compact. Let us define a closed subgroup U by

$$U = \{g \in G ; g_1(p_1) = p_1\}.$$

We then have $G/U = M_1$ and $U/K = M_2$. It should be noted that U is connected because M_1 is simply connected. Let \mathfrak{g} and \mathfrak{k} be the Lie algebras of G and K respectively and let j be the endomorphism of \mathfrak{g} corresponding to the complex structure of $M_1 \times M_2$. We also denote by \mathfrak{u} the Lie algebra of U . Clearly \mathfrak{u} is j -invariant. Let ψ , ψ_1 and ψ_2 be the Koszul forms of $(\mathfrak{g}, \mathfrak{k}, j)$, $(\mathfrak{g}, \mathfrak{u}, j)$ and $(\mathfrak{u}, \mathfrak{k}, j)$ given by (1.6). For any $x \in \mathfrak{g}$, $\text{ad } jx - j \circ \text{ad } x$ leaves \mathfrak{u} and \mathfrak{k} invariant. Define a linear form ψ'_2 on \mathfrak{g} by

$$\psi'_2(x) = \text{Tr}_{\mathfrak{u}/\mathfrak{k}}(\text{ad } jx - j \circ \text{ad } x) \text{ for } x \in \mathfrak{g}.$$

We then have $\psi = \psi_1 + \psi'_2$ and $\psi'_2 = \psi_2$ on \mathfrak{u} . Since ψ and ψ_1 satisfy (1.7), we have $\psi'_2([\mathfrak{k}, \mathfrak{g}]) = 0$ and $\psi'_2([jx, jy]) = \psi'_2([x, y])$ for any $x, y \in \mathfrak{g}$. Let us set

$$\omega = \beta (\psi_1 - \psi'_2),$$

where β is a positive number. Then ω satisfies (3.1) and (3.2). Since M_1 is a homogeneous bounded domain, $\psi_1([jx, x]) \geq 0$ for any $x \in \mathfrak{g}$ and the equality holds if and only if $x \in \mathfrak{u}$. On the one side, if $x \in \mathfrak{u}$, then $\psi'_2([jx, x]) \leq 0$ and the equality holds if and only if $x \in \mathfrak{k}$, because U/K is a compact simply connected homogeneous Kähler manifold. Consequently, if we take β large enough, then ω satisfies (3.3). Therefore $(\mathfrak{g}, \mathfrak{k}, j, \omega)$ becomes an effective j -algebra, proving Theorem B.

§ 8. Proof of Theorem C.

In this section, we study the structure of homogeneous Kähler manifolds satisfying (C) and prove Theorem C. The following result is essentially proved in [7].

PROPOSITION 15 (Kodama and Shima [7]). *Let G/K be a homogeneous Kähler manifold satisfying (C). Assume further that G is solvable. Then G/K is holomorphically isomorphic to a homogeneous bounded domain.*

Let G/K be a homogeneous Kähler manifold satisfying (C). We may assume that G acts on G/K effectively. Let \mathfrak{g} and \mathfrak{k} be the Lie algebras of G and K respectively and denote by j the endomorphism of \mathfrak{g} corresponding to the complex structure of G/K . From the condition (C), we have (cf. [7], [13])

$$(8.1) \quad [jx, x] \neq 0 \text{ if } x \notin \mathfrak{k}.$$

Let \mathfrak{r} be an abelian ideal of \mathfrak{g} . Using (8.1), one can easily see that the sum $\mathfrak{l} = \mathfrak{k} + j\mathfrak{r} + \mathfrak{r}$ is direct. Let L be the connected subgroup corresponding to \mathfrak{l} . Then $L/L \cap K$ is a homogeneous Kähler submanifold satisfying (C). By Lemma 3, we may assume that $j\mathfrak{r}$ is a solvable subalgebra. Then the connected subgroup corresponding to the solvable subalgebra $j\mathfrak{r} + \mathfrak{r}$ acts on $L/L \cap K$ transitively. Therefore from Proposition 15, we know that the homogeneous space $L/L \cap K$ is biholomorphic to a homogeneous bounded domain.

By virtue of this fact, we can also see by the same method as [11] that if \mathfrak{r} is a non-trivial abelian ideal, then there exists a non-trivial abelian ideal of the first kind which is contained in \mathfrak{r} .

Next we shall show that the analogous assertion to Proposition 5 holds. Let \mathfrak{r} be an abelian ideal of the first kind with a principal idempotent \mathfrak{r}_0 and let $\mathfrak{g}^{(a)}$ be the subspace as in Proposition 5. We define a j -invariant subspace Q by

$$Q = \{x \in \mathfrak{g} ; [x, r_0] = [jx, r_0] = 0\}.$$

One can easily see that $\mathfrak{g} = Q + \mathfrak{l}$, $Q \cap \mathfrak{l} = \mathfrak{k}$ and that Q is invariant by $\text{ad } jr_0$. Therefore Q is decomposed as $Q = \sum_{a \in \mathbb{R}} Q^{(a)}$, where $Q^{(a)} = Q \cap \mathfrak{g}^{(a)}$. Using (8.1), we can show by the same way as [11],

$$\begin{aligned} \mathfrak{g}^{(1)} &= \mathfrak{r}, \quad \mathfrak{g}^{(0)} = j\mathfrak{r} + Q^{(0)}, \\ Q^{(a)} &= 0 \text{ for } a < 0 \text{ or } a > \frac{1}{2}, \\ jQ^{(a)} &\subset Q^{(a)} + \mathfrak{k}. \end{aligned}$$

Let us set $\mathfrak{g}' = \mathfrak{k} + j\mathfrak{r} + \mathfrak{r} + \sum_{0 < a} Q^{(a)}$. Then \mathfrak{g}' is a j -invariant subalgebra. Therefore if we denote by G' the corresponding subgroup, then $G'/G' \cap K$ is a homogeneous Kähler manifold satisfying (C). By changing j suitably, we may assume that $j\mathfrak{r}$ is a solvable subalgebra and that $jQ^{(a)} \subset Q^{(a)}$. Note that $[\mathfrak{g}^{(a)}, \mathfrak{g}^{(b)}] \subset \mathfrak{g}^{(a+b)}$ and that $\mathfrak{g}^{(a)} = Q^{(a)}$ if $a \neq 0, 1$. Then $\mathfrak{t}' = j\mathfrak{r} + \mathfrak{r} + \sum_{0 < a} Q^{(a)}$ is a j -invariant solvable subalgebra and the corresponding subgroup acts on $G'/G' \cap K$ transitively. Hence by Proposition 15, $G'/G' \cap K$ is biholomorphic to a homogeneous bounded domain. Let us denote by ψ' the Koszul form of $(\mathfrak{t}', 0, j)$. Then $\psi'(Q^{(a)}) = 0$ for any $a \neq 0$. If $a \neq 0, \frac{1}{2}$, then $[jQ^{(a)}, Q^{(a)}] \subset Q^{(2a)}$ and hence $\psi'([jQ^{(a)}, Q^{(a)}]) = 0$. This means that $Q^{(a)} = 0$ for $a \neq 0, \frac{1}{2}$, because $\psi'([jx, x]) > 0$ for $x \neq 0$. Clearly $Q^{(0)} = \{x \in \mathfrak{g}^{(0)} ; [x, r_0] = 0\}$. We now set $\mathfrak{s} = Q^{(0)}$ and $\mathfrak{w} = Q^{(\frac{1}{2})}$. Then the decomposition of \mathfrak{g} stated in Proposition 5 also holds.

Now from [11], we know that Proposition 6 also holds for the homogeneous Kähler manifold G/K . If we assume that \mathfrak{r} is a maximal abelian ideal of the first kind, then the group S corresponding to \mathfrak{s} is reductive and hence S/K is a homogeneous Kähler manifold on which the semi-simple part of S acts transitively. Therefore by Borel [1], S/K is simply connected. In particular, the group K is connected. Now Theorem C can be proved by the same arguments as in §§ 4 and 5.

REMARK 4. To prove Proposition 15, Kodama and Shima [7] calculated the canonical hermitian form of G/K and showed that it is positive definite. From this fact, combined with the result of [16] (Theorem 12) they conclude that G/K is biholomorphic to a homogeneous bounded domain. We may also apply Theorem 13 and obtain Proposition 15.

References

- [1] A. BOREL, Kählerian coset spaces of semi-simple Lie groups, Proc, Nat. Acad. Sci. U. S. A., 40 (1954) 1147–1151.
- [2] W. FISCHER and H. GRAUERT, Local-triviale Familien kompakt komplexen Manigfaltigkeiten, Nachr. Acad. Wiss. Göttingen II Math-Phys. Kl., 1965, 89–94.
- [3] H. GRAUERT, Analytische Faserungen über holomorph-vollständigen Räumen, Math. Ann., 135 (1958) 263–273.
- [4] J. HANO, Equivariant projective immersion of a complex coset space with non-degenerate canonical hermitian form, Scripta Math., 29 (1971) 125–139.
- [5] S. KANEYUKI, On the automorphism groups of homogeneous bounded domains, J. Fac. Sci. Univ. Tokyo, 14 (1967) 89–130.
- [6] S. KANEYUKI, Homogeneous Bounded Domains and Siegel Domains, Lect. Notes in Math., 241, Springer, 1971.
- [7] A. KODAMA and H. SHIMA, Characterizations of homogeneous bounded domains, Tsukuba J. Math., 7 (1983) 79–86.
- [8] J. L. KOSZUL, Sur la forme hermitienne canonique des espaces homogènes complexes, Canad. J. Math., 7 (1955) 562–576.
- [9] J. L. KOSZUL, Domaines bornés homogènes et orbites de groupes de transformations affines, Bull. Soc. Math. France, 89 (1961) 515–533.
- [10] J. L. KOSZUL, Sur les j -algèbres propres, 1966 (unpublished).
- [11] K. NAKAJIMA, Homogeneous hyperbolic manifolds and homogeneous Siegel domains, J. Math. Kyoto Univ., 25 (1985) 269–291.
- [12] H. SHIMA, Homogeneous Hessian manifolds, Ann. Inst. Fourier, Grenoble 30 (1980) 91–128.
- [13] H. SHIMA, Homogeneous Kählerian manifolds, Japan J. Math., 10 (1984) 71–98.
- [14] E. B. VINBERG, The structure of the group of automorphisms of a homogeneous convex cone, Trans. Moscow Math. Soc., 13 (1965) 63–93.
- [15] E. B. VINBERG and S. G. GINDIKIN, Kaehlerian manifolds admitting a transitive solvable automorphism group, Math. Sb., 74 (116) (1967) 333–351.
- [16] E. B. VINBERG, S. G. Gindikin and I. I. Pyatetskii-Shapiro, Classification and canonical realization of complex homogeneous domains, Trans. Moscow Math. Soc., 12 (1963) 404–437.
- [17] S. G. GINDIKIN, I. I. Pyatetskii-Shapiro and E. B. Vinberg, Homogeneous Kähler manifolds, in “Geometry of Homogeneous Bounded Domains”, Centro Int. Math. Estivo, 3 Ciclo, Urbino, Italy, 1967, 3–87.

Department of Mathematics
 Faculty of Science
 Kyoto University