# The subobject classifier of the category of functional bisimulations

## Hiroshi WATANABE\*

(Received February 4, 1998)

**Abstract.** We show the existence of subobject classifier in the category of nondeterministic dynamical systems and functional bisimulations.

Key words: nondeterministic dynamical system, functional bisimulation, coalgebra, subobject classifier, dense.

#### 1. Introduction

In [8], we studied the category  $\mathcal{ND}yn$  of nondeterministic dynamical systems whose morphisms are functional bisimulations.

A nondeterministic dynamical system is a labelled transition system whose label set has only one element. A functional bisimulation is a map between transition systems. The main results of [8] are the following.

- The category  $\mathcal{ND}yn$  is an autonomous category, i.e., monoidal closed.
- There exists a subobject classifier.

The monoidal closedness was shown by constructing  $\mathcal{ND}yn$  objects via the presheaves over the category  $\mathcal{T}ree$ , where the  $\mathcal{T}ree$  is a small, dense subcategory of  $\mathcal{ND}yn$ . On the other hand the existence of the subobject classifier was proved by using the theory of hypersets.

In this paper, we prove the existence of subobject classifier in  $\mathcal{ND}yn$  by using the construction via presheaves over  $\mathcal{T}ree$  in the same way as the proof of monoidal closedness in [8]. The proof uses a general lemma about presheaf categories, which is given in [10].

As we remark later,  $\mathcal{ND}yn$  is a category of coalgebras for finite powerset functor without empty set. We can brush up the technique which is used in this paper, to an existence theorem [7] of subobject classifiers in categories of coalgebras by using accessible category theory, which led to another existential proof [3] in the context of topos theory.

<sup>1991</sup> Mathematics Subject Classification: 18B20, 68Q10, 18B25.

<sup>\*</sup>This work was done when the author was in Department of Mathematics, Hokkaido University.

It is generally difficult to describe explicitly the structure of the subobject classifiers for coalgebra categories even if they exist. However there are a few exceptional cases. One is in [9, 8], where the truth-value object of  $\mathcal{ND}yn$  was given as a universe of hereditarily finite hypersets. Another example was given in [3] for the categories of coalgebras of finite powerset functor.

The significance and applications of the existence of subobject classifier in  $\mathcal{ND}yn$  have not been fully considered yet. But we can show it implies the regularity of  $\mathcal{ND}yn$  [7, 3], and hence we can define category of relations over  $\mathcal{ND}yn$  which is the category of nondeterministic dynamical systems and bisimulations.

We proceed as follows. First we recall the definitions of nondeterministic dynamical systems and the category  $\mathcal{ND}yn$  of them in Section 2. We recall some of the basic facts of  $\mathcal{ND}yn$ , for example, the existence of terminal object, characterization of monic arrows in  $\mathcal{ND}yn$  and cocompleteness. We give the concrete construction of coproduct and coequalizer.

In Section 3, we show the existence of small, dense subcategory  $\mathcal{T}ree$  in  $\mathcal{ND}yn$ . Then the category  $\mathcal{ND}yn$  turns out to be a reflective subcategory of  $\mathbf{Set}^{\mathcal{T}ree^{op}}$ , and hence complete.

We apply the criterion given in [10], and show the existence of subobject classifier in Section 4.

# 2. The category $\mathcal{N}\mathcal{D}yn$

#### 2.1. Definitions

First of all, we recall the definitions of nondeterministic dynamical systems and the category  $\mathcal{ND}yn$  they form.

**Definition 2.1** A nondeterministic dynamical system  $D = (|D|, \tau_D)$  consists of a set |D| with a binary relation  $\tau_D \subseteq |D| \times |D|$ . Elements of |D| are called **states** and  $\tau_D$  the **transitions**. When  $(x, y) \in \tau_D$ , we denote  $x \to_D y$  and call y a **child** of x. For each  $x \in |D|$ , define

$$\operatorname{child}_D(x) = \{ y \in |D| \mid x \to_D y \}.$$

We call a finite sequence  $x, z_1, z_2, \ldots, z_n$  of |D| a **path** from x to  $z_n$  if  $x \to_D z_1$  and  $z_i \to_D z_{i+1}$  for each  $1 \le i \le n-1$ .

We introduce a notion of morphism between nondeterministic dynami-

cal systems. Let  $D = (|D|, \tau_D), D' = (|D'|, \tau_{D'})$  be nondeterministic dynamical systems. A map  $\varphi : |D| \to |D'|$  is called a **functional bisimulation** from D to D' if

$$\varphi(\operatorname{child}_D(x)) = \operatorname{child}_{D'}(\varphi(x))$$

for all  $x \in |D|$ .

Next we give the definition of subsystems based on the functional bisimulations. We also give some examples of subsystems induced by them. A nondeterministic dynamical system  $D_0 = (|D_0|, \tau_{D_0})$  is called a **subsystem** of  $D = (|D|, \tau_D)$  if  $|D_0| \subseteq |D|$  and  $\text{child}_{D_0}(x) = \text{child}_D(x)$  for all  $x \in |D_0|$ . Then the inclusion map  $|D_0| \hookrightarrow |D|$  is a functional bisimulation.

Let  $f: D \to D'$  be a functional bisimulation.

The **image**  $\operatorname{Im}(f)$  of f is defined by  $\operatorname{Im}(f) = (f(|D|), \tau_{D'}|_{f(|D|) \times f(|D|)})$ , where  $\tau_{D'}|_{f(|D|) \times f(|D|)}$  is a restriction of  $\tau_{D'}$  on  $f(|D|) \times f(|D|)$ . Obviously  $\operatorname{Im}(f)$  is a subsystem of D'.

The **inverse image**  $f^{-1}(V)$  of a subsystem  $V = (|V|, \tau_V)$  of D' is defined by  $|f^{-1}(V)| = f^{-1}(|V|) = \{x \in |D| \mid f(x) \in |V|\}$  and  $x \to_{f^{-1}(V)} y$  if  $x \to_D y$ . Obviously  $f^{-1}(V)$  is a subsystem of D.

Now we define the category  $\mathcal{N}\mathcal{D}yn$  of nondeterministic dynamical systems.

**Definition 2.2** The category  $\mathcal{ND}yn$  is defined as follows. An object of  $\mathcal{ND}yn$  is a nondeterministic dynamical systems  $D = (|D|, \tau_D)$  which satisfies,

- $\operatorname{child}_D(x) \neq \emptyset$  for all  $x \in |D|$ ,
- $|\operatorname{child}_D(x)| < \infty \text{ for all } x \in |D|.$

An arrow  $\varphi: D \to D'$  from  $\mathcal{ND}yn$  object D to D' is a functional bisimulation  $\varphi: |D| \to |D'|$ .

**Remark 2.3** The category  $\mathcal{ND}yn$  can also be described as the category of coalgebras for endofunctor  $\mathbf{pow}_{\mathbf{o}}$  on  $\mathbf{Set}$ , which is defined by

- $\mathbf{pow_o}(A)$  is the set of all the nonempty finite subsets of A,
- For each map  $f: A \to B$ ,  $\mathbf{pow_o}(f): \mathbf{pow_o}(A) \to \mathbf{pow_o}(B)$  maps a nonempty finite set  $A_0 \subseteq A$  to its image  $\{f(x) \mid x \in A_0\} \subset B$ , which is obviously nonempty and finite.

We recall some of the basic properties of  $\mathcal{ND}yn$  [8].

## 2.2. The terminal object

The terminal object  $1 = (\{*\}, \{(*, *)\})$  exists in  $\mathcal{ND}yn$ .

### 2.3. Monic arrows

The monic arrows in  $\mathcal{ND}yn$  have the following properties.

**Proposition 2.4** A  $\mathcal{ND}yn$  arrow is monic if and only if the underlying map is injective.

*Proof.* Let  $m: D \to D'$  be a monic arrow in  $\mathcal{ND}yn$ . Suppose  $m(x_1) = m(x_2)$  for  $x_1, x_2 \in |D|$ . Let  $D_0 = (|D_0|, \tau_{D_0})$  be the object of  $\mathcal{ND}yn$  defined by

$$|D_0| = \{(y_1, y_2) \in |D| \times |D| \mid m(y_1) = m(y_2)\},\$$

and

$$(y_1, y_2) \to_{D_0} (z_1, z_2)$$
 iff  $y_1 \to_D z_1$  and  $y_2 \to_D z_2$ .

Define  $\pi_1, \pi_2 : D_0 \to D$  by  $\pi_i(y_1, y_2) = y_i$  for i = 1, 2. These maps are arrows in  $\mathcal{ND}yn$ . Now  $m \circ \pi_1 = m \circ \pi_2$  by construction of  $\pi_i$ 's. Thus  $\pi_1 = \pi_2$  by the monicity of m. Hence we have  $x_1 = \pi_1(x_1, x_2) = \pi_2(x_1, x_2) = x_2$ , and so m is injective.

The reverse implication is obvious.

**Corollary 2.5** A subobject is represented by a uniquely determined subsystem.

П

*Proof.* Let r be any subobject of object  $D \in \mathcal{ND}yn$ . Then there is a subsystem  $\mathrm{Im}(r)$  of D, and r is equivalent to the inclusion  $\mathrm{Im}(r) \hookrightarrow D$ .

## 2.4. Cocompleteness

As we noticed in Remark 2.3, the category  $\mathcal{ND}yn$  is a category of coalgebras for endofunctor on **Set**, which implies the following property by using [1, Proposition 1.1 or 2, Proposition 2.1].

**Proposition 2.6** The category  $\mathcal{ND}yn$  is cocomplete.

The colimit of each diagram in  $\mathcal{ND}yn$  can be constructed by using coproducts and coequalizer. We need to construct explicitly colimits of diagram in  $\mathcal{ND}yn$  later, so we review the construction of coproduct and coequalizer.

Let  $\{D_k : k \in K\}$  be a family of objects in  $\mathcal{ND}yn$  indexed by a set K. Its coproduct  $D = (|D|, \tau_D)$  is defined by

$$|D| = \coprod_{k \in K} |D_k|$$

and

 $x \to_D y$  iff there exists some  $k \in K$  such that

$$x, y \in |D_k|$$
 and  $x \to_{D_k} y$ 

and universal cocone consists of the inclusion functional bisimulations  $|D_k| \hookrightarrow |D|$ .

Let  $f, g: D_1 \to D_2$  be functional bisimulations. The coequalizer of f and g is given by a functional bisimulation  $q: D_2 \to D$ , which is constructed as follows: The  $D = (|D|, \tau_D)$  is given by

$$|D| = |D_2|/R$$

where R is the smallest equivalence relation on  $|D_2|$  generated by

$$\{(f(x),g(x)) \mid x \in D_1\},\$$

and  $\tau_D$  is defined by

$$[x] \to_D [y]$$
 if  $x \to_{D_2} y$ ,

where [x] is the equivalence class of  $x \in |D_2|$ . The q is given by the quotient map  $q: |D_2| \to |D_2|/R$ .

# 3. Small and dense subcategory of $\mathcal{ND}yn$

# 3.1. The category Tree

**Definition 3.1** Let N be the set of natural numbers and  $N^*$  be the set of finite words over N. Let T be a subset of  $N^*$ . We say

- T is **prefix closed** if  $w \in T$  implies  $v \in T$  for all prefixes v of w.
- T is **infinite** if for all  $v \in T$  there is at least one  $i \in \mathbb{N}$  with  $v.i \in T$ .
- T is **locally finite** if for each  $v \in T$  the set  $\{i \in \mathbb{N} \mid v.i \in T\}$  is a finite set of the form  $\{1, 2, \ldots, n_v\}$ .

Observe that every prefix closed subset  $T \subset \mathbf{N}^*$  contains the empty word  $\epsilon$ . Each prefix closed, infinite, locally finite subset  $T \subset \mathbf{N}^*$  determines

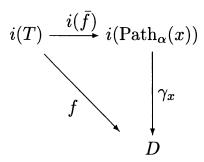
a  $\mathcal{ND}yn$  object  $(T, \tau_T)$  defined by  $v \to_T w$  when  $w = v.i(i \in \mathbf{N})$ ; which is called a **finitely branching tree**. Finitely branching trees and their functional bisimulations define a category  $\mathcal{T}ree$ , which is a full subcategory of  $\mathcal{ND}yn$ . We denote the inclusion functor by  $i: \mathcal{T}ree \hookrightarrow \mathcal{ND}yn$ . By the construction, the category  $\mathcal{T}ree$  is small.

Let  $D = (|D|, \tau_D)$  be a  $\mathcal{ND}yn$  object. A **numbering**  $\alpha$  on D is a family of bijections  $\alpha_x : \text{child}_D(x) \to \{1, 2, \dots, |\text{child}_D(x)|\}$   $(x \in |D|)$ . Given a numbering  $\alpha$  on D, define  $\text{Path}_{\alpha}(x) \subset \mathbf{N}^*$  for  $x \in |D|$  by

$$\operatorname{Path}_{\alpha}(x) := \{\epsilon\} \cup \{\alpha_{x}(z_{1}).\alpha_{z_{1}}(z_{2})...\alpha_{z_{n-1}}(z_{n}) \mid x, z_{1}, z_{2},..., z_{n} \text{ is a path in } D\}.$$

Then  $\operatorname{Path}_{\alpha}(x)$  is a prefix closed, infinite, locally finite subset of  $\mathbb{N}^*$ . Hence it determines an object of  $\mathcal{T}ree$ , which is also denoted by  $\operatorname{Path}_{\alpha}(x)$ . There is a canonical  $\mathcal{N}\mathcal{D}yn$  arrow  $\gamma_x:i(\operatorname{Path}_{\alpha}(x))\to D$  in  $\mathcal{N}\mathcal{D}yn$  defined inductively by  $\gamma_x(\epsilon)=x$ , and  $\gamma_x(v.i)=\alpha_{\gamma_x(v)}^{-1}(i)$ , for  $v.i\in\operatorname{Path}_{\alpha}(x)$  with  $i\in\mathbb{N}$ .

**Lemma 3.2** Let D be an object of  $\mathcal{ND}yn$  and let  $\alpha$  be a numbering on it. For each object  $T \in \mathcal{T}ree$  and an arrow  $f: i(T) \to D$  in  $\mathcal{ND}yn$ , there exists  $x \in |D|$  and an arrow  $\bar{f}: T \to \operatorname{Path}_{\alpha}(x)$  in  $\mathcal{T}ree$  such that the following diagram commutes.



*Proof.* First put  $x = f(\epsilon_T)$ , where  $\epsilon_T$  is the empty word in T. Define a map  $\bar{f}: T \to \operatorname{Path}_{\alpha}(x)$  inductively by  $\bar{f}(\epsilon_T) = \epsilon_{\operatorname{Path}_{\alpha}(x)}$ , and for the word  $w = v.i \in T$  with  $i \in \mathbb{N}$ ,  $\bar{f}(w) = \bar{f}(v).\alpha_{f(v)}(f(w))$ . Then this map  $\bar{f}$  turns out to be a functional bisimulation, and makes the above diagram commute.

## 3.2. Density

In this subsection, we show that the category Tree is dense in  $\mathcal{ND}yn$ .

Let  $D = (|D|, \tau_D)$  be any  $\mathcal{ND}yn$  object and  $\alpha$  be a numbering on it. Now let  $\Gamma(D)$  be the free category generated by the graph  $(|D|, \tau_D)$ . Define a graph map  $E : D^{\text{op}} \to \mathcal{T}ree$  by  $E(x) = \text{Path}_{\alpha}(x)$  for  $x \in |D|$ , and  $E(x \to_D y)(w) = \alpha_x(y).w$ , and extending it a functor  $\Gamma(D)^{\text{op}} \to \mathcal{T}ree$ , denoted also by E.

## Lemma 3.3

$$\operatorname{Colim}(i \circ E) \cong D$$

*Proof.* Put  $D' = \text{Colim}(i \circ E)$ . Then the set of states |D'| is given by

$$|D'| = \coprod_{x \in |D|} |i(\operatorname{Path}_{\alpha}(x))|/\simeq,$$

where  $\simeq$  is the smallest equivalence relation generated by

$$w \simeq i \circ E(x \to_D y)(w)$$

for  $w \in |i(\operatorname{Path}_{\alpha}(y))|$  and  $x \to_D y$ . It is easily seen that

$$|i(\operatorname{Path}_{\alpha}(x))| \ni v \mapsto \gamma_x(v)$$

induce a bijection  $|D'| \to |D|$  which is obviously functional bisimulation. Hence we have  $\operatorname{Colim} i \circ E \cong D$ .

**Proposition 3.4** The category Tree is dense in  $\mathcal{ND}yn$ .

*Proof.* In order to show the density of  $\mathcal{T}ree$  in  $\mathcal{ND}yn$ , we have to show each object  $D \in \mathcal{ND}yn$  is isomorphic to the colimit of its canonical diagram

$$i(-)/D \xrightarrow{\partial} \mathcal{T}ree \xrightarrow{i} \mathcal{N}\mathcal{D}yn,$$

where i(-)/D is the comma category and  $\partial: i(-)/D \to \mathcal{T}ree$  is the projection functor. Fix an object  $D \in \mathcal{ND}yn$  and a numbering  $\alpha$  on D. Let  $G: \Gamma(D)^{\mathrm{op}} \to i(-)/D$  be the functor defined by  $G(x) = \gamma_x$  and  $G(x \to_D z) = E(x \to_D z)$  for  $x \in \Gamma(D)^{\mathrm{op}}$  and an edge  $x \to_D z$  of  $\Gamma(D)$ . It follows from Lemma 3.2 that

$$\operatorname{Colim}(i(-)/D \xrightarrow{\partial} \mathcal{T}ree \xrightarrow{i} \mathcal{N}\mathcal{D}yn)$$

$$\cong \operatorname{Colim}(\Gamma(D)^{\operatorname{op}} \xrightarrow{G} i(-)/D \xrightarrow{\partial} \mathcal{T}ree \xrightarrow{i} \mathcal{N}\mathcal{D}yn).$$

Because the diagram  $i \circ \partial \circ G = i \circ E$ , we have

$$\operatorname{Colim}(i(-)/D \stackrel{\partial}{\to} \mathcal{T}ree \stackrel{i}{\hookrightarrow} \mathcal{N}\mathcal{D}yn) \cong \operatorname{Colim}(i \circ E) \cong D$$

by Lemma 3.3. Since D was arbitrary, we have shown that the inclusion functor  $i: \mathcal{T}ree \hookrightarrow \mathcal{N}\mathcal{D}yn$  is dense.

## 3.3. Reflective subcategory

As a consequence of the existence of small dense subcategory  $\mathcal{T}ree$  of the cocomplete  $\mathcal{ND}yn$ , the category  $\mathcal{ND}yn$  turns out to be a reflective subcategory of  $\mathbf{Set}^{\mathcal{T}ree^{op}}$  [10, Proposition 2.4]. The full and faithful right adjoint functor  $R: \mathcal{ND}yn \to \mathbf{Set}^{\mathcal{T}ree^{op}}$  is given for each object  $D \in \mathcal{ND}yn$  by

$$R(D) = \mathcal{ND}yn(i(-), D).$$

The left adjoint functor  $L: \mathbf{Set}^{\mathcal{T}ree^{\mathrm{op}}} \to \mathcal{ND}yn$  is given for each presheaf P by

$$LP = \operatorname{Colim} \left( \int P \stackrel{\pi_P}{\to} \mathcal{T}ree \stackrel{i}{\hookrightarrow} \mathcal{N}\mathcal{D}yn \right).$$

Here  $\int P$  is the category of elements of a presheaf P defined as follows:

- Its object is a pair (T, p) of an object  $T \in \mathcal{T}ree$  and  $p \in P(T)$ .
- And an arrow  $u:(T,p)\to (T',p')$  is a  $\mathcal{T}ree$  arrow  $u:T\to T'$  such that  $p'\cdot u=p$ , where  $p'\cdot u:=P(u)(p')$ .

The functor  $\pi_P: \int P \to \mathcal{T}ree$  is the projection:  $(T,p) \mapsto T$ . The composition of functors

$$\int P \stackrel{\pi_P}{\to} \mathcal{T}ree \stackrel{i}{\hookrightarrow} \mathcal{N}\mathcal{D}yn$$

is a diagram in  $\mathcal{ND}yn$  with the indexing category  $\int P$ , denoted simply by  $i \circ \pi_P$ .

**Remark 3.5** The canonical diagram of each  $D \in \mathcal{ND}yn$  is nothing but the diagram  $i \circ \pi_{R(D)} : \int R(D) \to \mathcal{ND}yn$ . Because the functor  $i : \mathcal{T}ree \hookrightarrow \mathcal{ND}yn$  is dense, we have, for each object  $D \in \mathcal{ND}yn$ ,

$$egin{aligned} LR(D) &= \operatorname{Colim}\!\left(\int R(D) \stackrel{\pi_{R(D)}}{ o} \mathcal{T}ree \stackrel{i}{\hookrightarrow} \mathcal{N}\mathcal{D}yn
ight) \ &= \operatorname{Colim}\!\left(i(-)/D \stackrel{\partial}{ o} \mathcal{T}ree \stackrel{i}{\hookrightarrow} \mathcal{N}\mathcal{D}yn
ight) \cong D. \end{aligned}$$

According to the construction in Section 2.4, the nondeterministic dynamical system LP for a presheaf P can be given concretely as follows: The set of states |LP| is given by

$$|LP| = \coprod_{T \in \mathcal{T}ree} |i(T)| \times P(T)/\simeq_{LP}$$

where  $\simeq_{LP}$  is the smallest equivalence relation on  $\coprod_{T \in \mathcal{T}ree} |i(T)| \times P(T)$  generated by

$$(t, p' \cdot u) \simeq_{LP} (i(u)(t), p')$$

for  $t \in |i(T)|$ ,  $u: T \to T'$ ,  $p' \in P(T')$ ,  $T, T' \in \mathcal{T}ree$ . The transition relation  $\to_{LP}$  is given by

$$[(t_1, p)] \to_{LP} [(t_2, p)]$$
 if  $t_1 \to_{i(T)} t_2, p \in P(T), T \in \mathcal{T}ree$ .

According to the notation [10, Section 4.1], we denote the universal cocone  $i \circ \pi_P \to LP$  for each presheaf P by

$$\left\{ \kappa_p^{LP} : i(T) \to LP \mid (T, p) \in \int P \right\}. \tag{1}$$

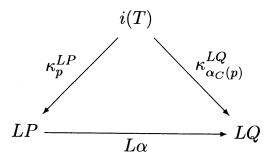
Then the element  $\kappa_p^{LP}: i(T) \to LP$  is given by

$$\kappa_p^{LP}(t) = [(t, p)] \quad \text{for } t \in |i(T)| \tag{2}$$

from the construction of LP.

The following results are used in Section 4.

**Lemma 3.6** ([10, Lemma 4.2]) Let  $\alpha : P \to Q$  be a  $\mathbf{Set}^{\mathcal{T}ree^{op}}$  arrow. For each  $p \in P(T)$  with  $T \in \mathcal{T}ree$ , the following diagram commutes in  $\mathcal{ND}yn$ .



# 3.4. Completeness

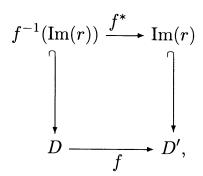
Since  $\mathcal{ND}yn$  is a reflective subcategory of presheaf category  $\mathbf{Set}^{\mathcal{T}ree^{\mathrm{op}}}$ 

and the presheaf category is complete, we obtain by using [2, Proposition 3.5.3]:

## **Proposition 3.7** The category NDyn is complete.

Hence all pullbacks exist in  $\mathcal{ND}yn$ . In particular, the pullbacks of monic arrows exist in  $\mathcal{ND}yn$ . Consequently there exists a subobject functor Sub:  $\mathcal{ND}yn^{\mathrm{op}} \to \mathbf{Set}$ , and we have the following property for the pullbacks of monic arrows.

**Lemma 3.8** Let  $f: D \to D'$  be a  $\mathcal{ND}yn$  arrow and  $r \in \mathrm{Sub}(D')$ , then the following diagram is a pullback in  $\mathcal{ND}yn$ 



where  $f^*$  is the restriction of f to  $|f^{-1}(\operatorname{Im}(r))| \subseteq |D|$ .

# 4. The existence of a subobject classifier in $\mathcal{ND}yn$

Now applying the criterion of [10], we show the existence of subobject classifier in the category  $\mathcal{ND}yn$ .

Define  $\mathbf{Set}^{\mathcal{T}ree^{\mathrm{op}}}$  arrow  $\xi: R(1) \to \mathrm{Sub}(i(-))$ , a natural transformation, by

$$\xi_T(!_{i(T)}) = \mathrm{id}_{i(T)}$$

for  $!_{i(T)} \in R(1)(T)$  and  $T \in \mathcal{T}ree$ . Set  $T = L\xi : LR(1) \to L\operatorname{Sub}(i(-))$ . Then T is a monic  $\mathcal{ND}yn$  arrow since  $LR(1) \cong 1$  from Remark 3.5.

We recall the criterion for the existence of a subobject classifier.

Criterion for the existence of a subobject classifier ([10, Corollary 4.6]) Let the universal cocone of the diagram  $i \circ \pi_{Sub(i(-))}$  be given by the collection

$$\left\{ \kappa_r^{L\operatorname{Sub}(i(-))} : i(T) \to L\operatorname{Sub}(i(-)) \mid (T,r) \in \int \operatorname{Sub}(i(-)) \right\}. \tag{3}$$

If the following diagram is a pullback for each  $(T,r) \in \int Sub(i(-))$  then there exists a subobject classifier in  $\mathcal{ND}yn$ :

$$\begin{array}{c|c}
 & \longrightarrow LR(1) \\
 & \downarrow & & \downarrow \\
 & \downarrow & & \downarrow \\
 & A(C) \xrightarrow{L \operatorname{Sub}(A(-))} L \operatorname{Sub}(A(-))
\end{array}$$
(4)

**Theorem 4.1** There exists a subobject classifier in the category  $\mathcal{ND}yn$ .

*Proof.* Now we fix  $(T,r) \in \int \operatorname{Sub}(i(-))$ , and show that the diagram (4) is pullback. To show this, by Lemma 3.8, it suffices to show

$$\kappa_r^{L\operatorname{Sub}(i(-))^{-1}}(|\operatorname{Im}(\top)|) = |\operatorname{Im}(r)| \tag{5}$$

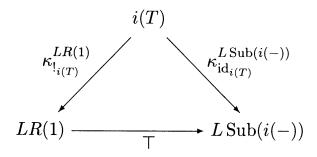
as a map.

Now we start the verification of (5). Since i is dense, the object  $LR(1) \in \mathcal{ND}yn$  is isomorphic to a terminal object in  $\mathcal{ND}yn$ , so we denote  $LR(1) = (\{*\}, \{(*,*)\})$ . Put

$$\mathtt{true} = \top(*) \in |L\operatorname{Sub}(i(-))|.$$

Then  $\operatorname{child}_{L\operatorname{Sub}(i(-))}(\operatorname{true}) = \{\operatorname{true}\}\ \operatorname{holds}\ \operatorname{in}\ L\operatorname{Sub}(i(-)),\ \operatorname{since}\ \top\ \operatorname{is}\ \operatorname{a}$  functional bisimulation.

By applying Lemma 3.6 for  $\mathbf{Set}^{\mathcal{T}ree^{op}}$  arrow  $\xi$ , we have the following commutative diagram since  $\xi_T(!_{i(T)}) = \mathrm{id}_{i(T)}$ .



Hence we have

$$\kappa_{\mathrm{id}_{i(T)}}^{L\operatorname{Sub}(i(-))}(t) = \top \circ \kappa_{!_{i(T)}}^{LR(1)}(t)$$

$$\tag{6}$$

for any  $t \in |i(T)|$ . Since the left hand side of (6) is

$$\kappa_{\mathrm{id}_{i(T)}}^{L\operatorname{Sub}(i(-))}(t) = [(t, \mathrm{id}_{i(T)})]_{L\operatorname{Sub}(i(-))},$$

and since the right hand side is

$$op \left(\kappa_{!_{i(T)}}^{LR(1)}(t)
ight) = op (*) = exttt{true},$$

we obtain

### Lemma 4.2

$$[(t, \mathrm{id}_{i(T)})]_{L \operatorname{Sub}(i(-))} = \mathtt{true} \quad in \ L \operatorname{Sub}(A(-)) \ for \ each \ t \in |i(T)|, \ T \in \mathcal{T}ree.$$

Let  $t \in |i(T)|$  and  $t' \in |i(T')|$  with  $T, T' \in \mathcal{T}ree$ .

**Lemma 4.3** If  $(t, \mathrm{id}_{i(T)}) \simeq_{L \mathrm{Sub}(i(-))} (t', r)$ , then  $t' \in \mathrm{Im}(r)$ .

*Proof.* Suppose

$$(t, \mathrm{id}_{i(T)}) \simeq_{L \operatorname{Sub}(i(-))} (t', r). \tag{7}$$

Then there exists  $\int \operatorname{Sub}(i(-))$  diagram

$$(T_1, r_1) \xrightarrow{f_1} (T_2, r_2) \xleftarrow{f_2} (T_3, r_3) \xrightarrow{f_3} \cdots \xleftarrow{f_{n-3}} (T_{n-2}, r_{n-2})$$

$$\xrightarrow{f_{n-2}} (T_{n-1}, r_{n-1}) \xleftarrow{f_{n-1}} (T_n, r_n)$$

$$(8)$$

with  $(T_1, r_1) = (T, id_{i(T)})$  and  $(T_n, r_n) = (T', r)$ , which gives the equivalence (7). Then

$$i(f_1)(t) \in |\operatorname{Im}(r_2)| \subseteq |T_2|$$
 by  $i(f_1)^{-1}(r_2) = r_1 = \operatorname{id}_{i(T)}$   
 $i(f_2)^{-1}(i(f_1)(t)) \subseteq |\operatorname{Im}(r_3)|$  by  $i(f_2)^{-1}(r_2) = r_3$   
 $i(f_3)(i(f_2)^{-1}(i(f_1)(t))) \subseteq |\operatorname{Im}(r_4)|$  by  $i(f_3)^{-1}(r_3) = r_4$ .

By induction, we can show

$$i(f_{n-1})^{-1}(i(f_{n-2})(\cdots i(f_3)(i(f_2)^{-1}(i(f_1)(t)))\cdots))$$
  
 $\subseteq |\operatorname{Im}(r_n)| = |\operatorname{Im}(r)|.$ 

Since the equivalence (7) is given by  $\int \text{Sub}(i(-))$  arrows (8), we have

$$t' \in i(f_{n-1})^{-1}(i(f_{n-2})(\cdots i(f_3)(i(f_2)^{-1}(i(f_1)(t)))\cdots)).$$

Hence we obtain  $t' \in |\operatorname{Im}(r)|$ , whence the lemma.

By using Lemma 4.2 and Lemma 4.3, we have the following property.

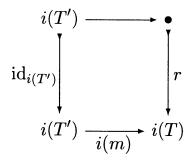
**Lemma 4.4** Suppose  $t \in |i(T)|$  and  $r \in \operatorname{Sub}(i(T))$  with  $T \in \mathcal{T}ree$ . Then  $t \in |\operatorname{Im}(r)|$  if and only if  $[(t,r)]_{L\operatorname{Sub}(i(-))} = \mathsf{true}$ .

*Proof.* Fix an object  $T \in \mathcal{T}ree$  and  $r \in \operatorname{Sub}(i(T))$ , and suppose  $t \in |\operatorname{Im}(r)| \subseteq |i(T)|$ , then t is a finite word over  $\mathbf{N}$ . Here we identify the set |i(T)| with the set T. Define a subset  $T' \subset \mathbf{N}^*$  by

$$T' = \{ v \in \mathbf{N}^* \mid t.v \in T \}.$$

The set T' is a prefix closed, infinite, locally finite subset of  $\mathbb{N}^*$ , hence T' determines a  $\mathcal{T}ree$  object, denoted also by T'. There is a canonical injective map  $m: T' \to T$  defined by m(v) = t.v for each  $v \in T'$ , which turns out to be a functional bisimulation. Hence  $m: T' \to T$  is a monic  $\mathcal{T}ree$  arrow, which satisfies  $i(m)(\epsilon_{i(T')}) = t$  for empty word  $\epsilon_{i(T')} \in |i(T')|$ .

Now we have  $i(m) \subseteq r$  as a subobject since  $i(m)(\epsilon_{i(T')}) = t \in \text{Im}(r)$ . Then the following diagram is a pullback in  $\mathcal{ND}yn$ :



Consequently we have

$$(t,r) = (i(m)(\epsilon_{i(T')}), r)$$
 $\simeq_{L\operatorname{Sub}(i(-))} (\epsilon_{i(T')}, \operatorname{id}_{i(T')})$  by the definition of  $\simeq_{L\operatorname{Sub}(i(-))}$ 
 $= \operatorname{true}$  by Lemma 4.2.

Conversely suppose  $[(t,r)]_{L\operatorname{Sub}(i(-))} = \text{true}$ . Then  $(t,r) \simeq_{L\operatorname{Sub}(i(-))} (t'',\operatorname{id}_{i(T'')})$  for some  $t'' \in |i(T'')|$ ,  $T'' \in \mathcal{T}ree$  by Lemma 4.2. Hence we obtain  $t \in |\operatorname{Im}(r)|$  by using the Lemma 4.3.

From Lemma 4.4, we have

$$\kappa_r^{L\operatorname{Sub}(i(-))}(t) = exttt{true} \quad ext{if and only if} \ \ t \in |\operatorname{Im}(r)|$$

for  $t \in |i(T)|$  and  $r \in \operatorname{Sub}(i(T))$ , which is the equation (5). Hence the diagram (4) is pullback for each  $(T,r) \in \int \operatorname{Sub}(i(-))$ , and the criterion is satisfied. Thereby we conclude that the category  $\mathcal{ND}yn$  has a subobject classifier.

**Acknowledgment** I wish to express my gratitude to Professor Toru Tsujishita for his warm and constant encouragement. I also wish to thank Professor John Power for his useful advice.

### References

- [1] Barr M., Terminal coalgebras in well-founded set theory. Theoretical Computer Science, 114 (3), 1993, pp. 299–315.
- [2] Borceux F., Handbook of Categorical Algebra 1: Basic Category Theory. Cambridge U. P., Cambridge, 1994.
- [3] Johnstone P., Power J., Tsujishita T., Watanabe H. and Worrell J., An Axiomatics for Categories of Transition Systems as Coalgebras. In Proceedings of Thirteenth Annual IEEE Symposium on Logic in Computer Science, IEEE, 1998, pp. 207–213.
- [4] Kawahara Y. and Mori M., A smalll final coalgebra theorem. Theoretical Computer Science (To appear), 1998.
- [5] Mac Lane M., Categories for the Working Mathematician. Springer-Verlag, New York, 1971.
- [6] Mac Lane M. and Moerdijk I., Sheaves in Geometry and Logic:a first introduction to topos theory. Springer-Verlag, New York, 1992.
- [7] Power J. and Watanabe H., An axiomatics for categories of coalgebras. Electric Notes in Theoretetical Computer Science 11, Elsevier, 1998, pp. 161–178.
- [8] Tsujishita T. and Watanabe H., Monoidal closedness of the category of simulations. Hokkaido University Preprint Series in Mathematics #392, 1997.
- [9] Tsujishita T., Hypersets as truth values. Preprint, 1997.
- [10] Watanabe H., A criterion for the existence of subobject classifiers. Hokkaido Mathematical Journal 28, 1999, pp. 117–132.

Osaka LERC Electrotechnical Laboratory Nakouji 3-11-46 Amagasaki 661-0974, Japan E-mail: hirowata@etl.go.jp