

On the fine spectrum of the generalized difference operator $B(r, s)$ over the sequence spaces ℓ_1 and bv

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Abstract. The main purpose of this paper is to determine the fine spectrum of the operator $B(r, s)$ defined by a band matrix over the sequence spaces ℓ_1 and bv . Since the operator $B(r, s)$ is reduced to the right-shift, difference and Zweier matrices in special cases $(r, s) = (0, 1)$, $(r, s) = (1, -1)$ and $(r, s) = (r, 1 - r)$, respectively, our results are more general than the corresponding results in the existing literature.

Key words: spectrum of an operator, generalized difference operator and the sequence spaces ℓ_1 and bv .

1. Preliminaries, background and notation

Let X and Y be the Banach spaces and $T: X \rightarrow Y$ also be a bounded linear operator. By $R(T)$, we denote the range of T , i.e.,

$$R(T) = \{y \in Y : y = Tx, x \in X\}.$$

By $B(X)$, we also denote the set of all bounded linear operators on X into itself. If X is any Banach space and $T \in B(X)$ then the adjoint T^* of T is a bounded linear operator on the dual X^* of X defined by $(T^*\phi)(x) = \phi(Tx)$ for all $\phi \in X^*$ and $x \in X$.

Let $X \neq \{\theta\}$ be a complex normed space and $T: \mathcal{D}(T) \rightarrow X$ also be a linear operator with domain $\mathcal{D}(T) \subset X$. With T , we associate the operator

$$T_\alpha = T - \alpha I,$$

where α is a complex number and I is the identity operator on $\mathcal{D}(T)$. If T_α has an inverse, which is linear, we denote it by T_α^{-1} , that is

$$T_\alpha^{-1} = (T - \alpha I)^{-1}$$

and call it the *resolvent operator* of T .

The name *resolvent* is appropriate, since T_α^{-1} helps to solve the equation $T_\alpha x = y$. Thus, $x = T_\alpha^{-1}y$ provided T_α^{-1} exists. More important, the investigation of properties of T_α^{-1} will be basic for an understanding of the operator T itself. Naturally, many properties of T_α and T_α^{-1} depend on α , and spectral theory is concerned with those properties. For instance, we shall be interested in the set of all α in the complex plane such that T_α^{-1} exists. Boundedness of T_α^{-1} is another property that will be essential. We shall also ask for what α 's the domain of T_α^{-1} is dense in X , to name just a few aspects. For our investigation of T , T_α and T_α^{-1} , we need some basic concepts in spectral theory which are given as follows (see [6, pp. 370–371]):

The *resolvent set* $\rho(T)$ of T is the set of all regular values α of T . Its complement $\sigma(T) = \mathbb{C} \setminus \rho(T)$ in the complex plane \mathbb{C} is called the *spectrum* of T . Furthermore, the spectrum $\sigma(T)$ is partitioned into three disjoint sets as follows:

The *point (discrete) spectrum* $\sigma_p(T)$ is the set such that T_α^{-1} does not exist. An $\alpha \in \sigma_p(T)$ is called an *eigenvalue* of T .

The *continuous spectrum* $\sigma_c(T)$ is the set such that T_α^{-1} exists and is defined on a set which is dense in X but T_α^{-1} is unbounded.

The *residual spectrum* $\sigma_r(T)$ is the set such that T_α^{-1} exists (and may be bounded or not) but the domain of T_α^{-1} is not dense in X .

To avoid trivial misunderstandings, let us say that some of the sets defined above, may be empty. This is an existence problem which we shall have to discuss. Indeed, it is well-known that $\sigma_c(T) = \sigma_r(T) = \emptyset$ and the spectrum $\sigma(T)$ consists of only the set $\sigma_p(T)$ in the finite dimensional case.

From Goldberg [4, pp. 58–71], if X is a Banach space and $T \in B(X)$, then there are three possibilities for $R(T)$ and T^{-1} :

- (I) $R(T) = X$,
- (II) $R(T) \neq \overline{R(T)} = X$,
- (III) $\overline{R(T)} \neq X$

and

- (1) T^{-1} exists and is continuous,
- (2) T^{-1} exists but is discontinuous,
- (3) T^{-1} does not exist.

Applying Goldberg's classification to $T_\alpha = T - \alpha I$, where α is a complex number we have three possibilities for T_α and T_α^{-1} :

- (I) T_α is surjective,
- (II) $R(T_\alpha) \neq \overline{R(T_\alpha)} = X$,

(III) $\overline{R(T_\alpha)} \neq X$

and

- (1) T_α is injective and T_α^{-1} is continuous,
- (2) T_α is injective and T_α^{-1} is discontinuous,
- (3) T_α is not injective.

If these possibilities are combined in all possible ways, nine different states are created. These are labelled by: $I_1, I_2, I_3, II_1, II_2, II_3, III_1, III_2$ and III_3 . If α is a complex number such that $T_\alpha \in I_1$ or $T_\alpha \in II_1$ then α is in the resolvent set $\rho(T, X)$ of T is the set of all regular values α of T on X . The other classification gives rise to the fine spectrum of T . If an operator is in state II_2 for example, then $R(T) \neq \overline{R(T)} = X$ and T^{-1} exists but is discontinuous and we write $\alpha \in II_2\sigma(T, X)$.

By w , we shall denote the space of all real valued sequences. Any vector subspace of w is called as a *sequence space*. We shall write ℓ_∞, c, c_0 and bv for the spaces of all bounded, convergent, null and bounded variation sequences, respectively. Also by ℓ_1 and bs , we denote the space of all absolutely convergent and bounded series, respectively.

Let λ and μ be two sequence spaces and $A = (a_{nk})$ be an infinite matrix of real or complex numbers a_{nk} , where $n, k \in \mathbb{N} = \{0, 1, 2, \dots\}$. Then, we say that A defines a matrix mapping from λ into μ , and we denote it by writing $A: \lambda \rightarrow \mu$, if for every sequence $x = (x_k) \in \lambda$ the sequence $Ax = \{(Ax)_n\}$, the A -transform of x , is in μ ; where

$$(Ax)_n = \sum_k a_{nk}x_k, \quad (n \in \mathbb{N}). \tag{1.1}$$

For simplicity in notation, here and in what follows, the summation without limits runs from 0 to ∞ . By (λ, μ) , we denote the class of all matrices A such that $A: \lambda \rightarrow \mu$. Thus, $A \in (\lambda, \mu)$ if and only if the series on the right side of (1.1) converges for each $n \in \mathbb{N}$ and every $x \in \lambda$, and we have $Ax = \{(Ax)_n\}_{n \in \mathbb{N}} \in \mu$ for all $x \in \lambda$.

Let us consider the generalized difference operator $B(r, s)$ represented by the band matrix with $r, s \in \mathbb{R}$ and $s \neq 0$ that

$$B(r, s) = \begin{bmatrix} r & 0 & 0 & \dots \\ s & r & 0 & \dots \\ 0 & s & r & \dots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$

Now, we may give:

Lemma 1.1 *The matrix $A = (a_{nk})$ gives rise to a bounded linear operator $T \in B(\ell_1)$ from ℓ_1 to itself if and only if the supremum of ℓ_1 norms of the columns of A is bounded.*

Corollary 1.2 *$B(r, s): \ell_1 \rightarrow \ell_1$ is a bounded linear operator and $\|B(r, s)\|_{(\ell_1, \ell_1)} = |r| + |s|$.*

Lemma 1.3 *The matrix $A = (a_{nk})$ gives rise to a bounded linear operator $T \in B(bv)$ from bv to itself if and only if*

$$\sup_l \sum_n \left| \sum_{k=l}^{\infty} a_{nk} - a_{n-1,k} \right| < \infty.$$

Corollary 1.4 *$B(r, s): bv \rightarrow bv$ is a bounded linear operator and $\|B(r, s)\|_{(\ell_1, \ell_1)} = \|B(r, s)\|_{(bv, bv)}$.*

The fine spectrum of the Cesàro operator on the sequence space ℓ_p has been studied by González [5], where $1 < p < \infty$. Also, weighted mean matrices of operators on ℓ_p have been investigated by Cartlidge [3]. The spectrum of the Cesàro operator on the sequence spaces bv_0 and bv have also been investigated by Okutoyi [7] and Okutoyi [8], respectively. More recently, the fine spectra of the difference operator Δ over the sequence space ℓ_p has been determined by Akhmedov and Başar [1], where $1 \leq p < \infty$. In this work, our purpose is to determine the fine spectrum of the generalized difference operator $B(r, s)$ on the sequence spaces ℓ_1 and bv , which is a natural continuation of Altay and Başar [2].

2. The spectrum of the operator $B(r, s)$ on the sequence spaces ℓ_1 and bv

In this section, we compute the spectrum, the point spectrum, the continuous spectrum and residual spectrum of the operator $B(r, s)$ on the sequence spaces ℓ_1 and bv .

Theorem 2.1 $\sigma(B(r, s), \ell_1) = \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Proof. First, we prove that $(B(r, s) - \alpha I)^{-1}$ exists and in $(\ell_1 : \ell_1)$ for $\alpha \notin \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$ and nextly the operator $(B(r, s) - \alpha I)$ is not invertible for $\alpha \in \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Let $\alpha \notin \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$, i.e. we have $|\alpha - r| > |s|$. Since $s \neq 0$ we have $r \neq \alpha$, therefore $B(r, s) - \alpha I$ is triangle and has an inverse. Solving the equation $(B(r, s) - \alpha I)x = y$ for x in terms of y gives the matrix of $(B(r, s) - \alpha I)^{-1}$. The n^{th} row turns out to be

$$\frac{(-s)^{n-k}}{(r - \alpha)^{n-k+1}}$$

in the k^{th} place for $k \leq n$ and zero otherwise.

$$(B(r, s) - \alpha I)^{-1} = \begin{bmatrix} \frac{1}{(r - \alpha)} & 0 & 0 & \dots \\ \frac{-s}{(r - \alpha)^2} & \frac{1}{(r - \alpha)} & 0 & \dots \\ \frac{s^2}{(r - \alpha)^3} & \frac{-s}{(r - \alpha)^2} & \frac{1}{(r - \alpha)} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$

Thus, we observe that

$$\begin{aligned} \|(B(r, s) - \alpha I)^{-1}\|_{(\ell_1, \ell_1)} &= \sup_k \sum_{n=k}^{\infty} \left| \frac{s}{r - \alpha} \right|^{n-k} \left| \frac{1}{r - \alpha} \right| \\ &= \left| \frac{1}{r - \alpha} \right| \sum_{n=0}^{\infty} \left| \frac{s}{r - \alpha} \right|^n < \infty, \end{aligned} \tag{2.1}$$

i.e., $(B(r, s) - \alpha I)^{-1} \in (\ell_1, \ell_1)$. This shows that $\sigma(B(r, s), \ell_1) \subseteq \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Now let $\alpha \in \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$ and $\alpha \neq r$. Since $B(r, s) - \alpha I$ is triangle, $(B(r, s) - \alpha I)^{-1}$ exists but one can see by (2.1) that

$$\|(B(r, s) - \alpha I)^{-1}\|_{(\ell_1, \ell_1)} = \infty$$

whenever $\alpha \in \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$, i.e., $(B(r, s) - \alpha I)^{-1}$ is not in $B(\ell_1)$. If $\alpha = r$, then the operator $B(r, s) - \alpha I = B(0, s)$ is represented by the matrix

$$B(0, s) = \begin{bmatrix} 0 & 0 & 0 & \dots \\ s & 0 & 0 & \dots \\ 0 & s & 0 & \dots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$

Since $B(0, s)x = \theta$ implies $x = \theta$, $B(0, s): \ell_1 \rightarrow \ell_1$ is injective but not onto. Hence, $B(0, s)$ has not a right inverse, i.e., $B(0, s)$ is not invertible. This shows that $\{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\} \subseteq \sigma(B(r, s), \ell_1)$.

This completes the proof. \square

Theorem 2.2 $\sigma_p(B(r, s), \ell_1) = \emptyset$.

Proof. Suppose $B(r, s)x = \alpha x$ for $x \neq \theta = (0, 0, 0, \dots)$ in ℓ_1 . Then by solving the system of linear equations

$$\left. \begin{array}{l} rx_0 = \alpha x_0 \\ sx_0 + rx_1 = \alpha x_1 \\ sx_1 + rx_2 = \alpha x_2 \\ \vdots \end{array} \right\}$$

we find that if x_t is the first non-zero entry of the sequence $x = (x_n)$, then $\alpha = r$ and from the equation

$$sx_t + rx_{t+1} = \alpha x_{t+1}$$

we get $sx_t = 0$. Since $s \neq 0$ we must have $x_t = 0$, contradicting the fact that $x_t \neq 0$. This completes the proof. \square

If $T: \ell_1 \rightarrow \ell_1$ is a bounded linear operator with matrix A , then it is known that the adjoint operator $T^*: \ell_1^* \rightarrow \ell_1^*$ is defined by the transpose of the matrix A . The dual space of ℓ_1 is isomorphic to ℓ_∞ , the space of bounded sequences, with the norm $\|x\| = \sup_k |x_k|$.

Theorem 2.3 $\sigma_p(B(r, s)^*, \ell_1^*) = \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Proof. Suppose $B(r, s)^*x = \alpha x$ for $x \neq \theta \in \ell_1^* \cong \ell_\infty$. Then by solving the system of linear equations

$$\left. \begin{array}{l} rx_0 + sx_1 = \alpha x_0 \\ rx_1 + sx_2 = \alpha x_1 \\ rx_2 + sx_3 = \alpha x_2 \\ \vdots \end{array} \right\}$$

we obtain that

$$x_n = \left(\frac{\alpha - r}{s}\right)^n x_0.$$

This shows that $x = (x_k) \in \ell_1^*$ if and only if $|\alpha - r| \leq |s|$. This completes the proof. \square

Now we give the following lemma required in the proof of next theorem.

Lemma 2.4 ([4, p. 59]) *T has a dense range if and only if T^* is one to one, where T^* denotes the adjoint operator of the operator T .*

Lemma 2.5 ([4, p. 60]) *The adjoint operator T^* of T is onto if and only if T has a bounded inverse.*

Theorem 2.6 $\sigma_r(B(r, s), \ell_1) = \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Proof. We show that the operator $B(r, s) - \alpha I$ has an inverse and $\overline{R(B(r, s) - \alpha I)} \neq \ell_1$ for α satisfying $|\alpha - r| \leq |s|$. For $\alpha \neq r$ the operator $B(r, s) - \alpha I$ is triangle and has an inverse. For $\alpha = r$, the operator $B(r, s) - \alpha I$ is one to one hence has at least two left inverse. But $B(r, s)^* - \alpha I$ is not one to one by Theorem 2.3. Now Lemma 2.4 yields the fact that the range of the operator $B(r, s) - \alpha I$ is not dense in ℓ_1 and this step completes the proof. \square

Theorem 2.7 *If $\alpha = r$, then $\alpha \in III_1\sigma(B(r, s), \ell_1)$.*

Proof. By Theorem 2.3 and Lemma 2.4, $B(r, s) - \alpha I \in III$ whenever $\alpha = r$. On the other hand, $\alpha = r$ is not in $\sigma_p(B(r, s), \ell_1)$ by Theorem 2.2. Hence $B(r, s) - rI$ has an inverse. Then, $B(r, s) - rI \in 1 \cup 2$.

To show that $B(r, s) - rI \in 1$, it is enough to show by Lemma 2.5 that $B(r, s)^* - rI = B(0, s)^*$ is onto. Given $y = (y_n) \in \ell_\infty$ we must find $x = (x_n) \in \ell_\infty$ such that $B(0, s)^*x = y$. Then, a direct calculation gives that

$$x_n = \frac{1}{s} y_{n-1}.$$

This shows that $B(0, s)^*$ is onto. \square

Theorem 2.8 *If $\alpha \neq r$ and $\alpha \in \sigma_r(B(r, s), \ell_1)$, then $\alpha \in III_2\sigma(B(r, s), \ell_1)$.*

Proof. Since $\alpha \neq r$, the operator $B(r, s) - \alpha I$ is triangle, hence it has an inverse. By (2.1), the inverse of the operator $B(r, s) - \alpha I$ is discontinuous. Therefore, $B(r, s) - \alpha I \in 2$.

By Theorem 2.3, $B(r, s)^* - \alpha I$ is not one to one. By Lemma 2.4 $B(r, s) - \alpha I$ does not have a dense range. Therefore $B(r, s) - \alpha I \in III$. This completes the proof. \square

Theorem 2.9 $\sigma_c(B(r, s), \ell_1) = \emptyset$.

Proof. Since $\sigma_p(B(r, s), \ell_1) = \emptyset$ and $\sigma(B(r, s), \ell_1)$ is the disjoint union of the parts $\sigma_p(B(r, s), \ell_1)$, $\sigma_r(B(r, s), \ell_1)$ and $\sigma_c(B(r, s), \ell_1)$ we must have $\sigma_c(B(r, s), \ell_1) = \emptyset$. \square

Theorem 2.10 If α satisfies $|\alpha - r| > |s|$, then $B(r, s) - \alpha I \in I_1$.

Proof. We prove that the operator $B(r, s) - \alpha I$ is bijective and has a continuous inverse for α with $|\alpha - r| > |s|$. Since $s \neq 0$, $\alpha \neq r$ and $B(r, s) - \alpha I$ is triangle, hence it has an inverse. By (2.1), the inverse of $B(r, s) - \alpha I$ exists and is continuous.

Let $y \in \ell_1$ and define $x = (x_n)$ by

$$x_n = \frac{1}{r - \alpha} \sum_{k=0}^n \left(\frac{-s}{r - \alpha} \right)^{n-k} y_k = ((B(r, s) - \alpha I)^{-1} y)_n$$

for all $n \in \mathbb{N}$. Since $(B(r, s) - \alpha I)^{-1}$ is in (ℓ_1, ℓ_1) , we observe by (2.1) that $x \in \ell_1$. Hence, $B(r, s) - \alpha I$ is onto. \square

Now we may investigate the spectrum of $B(r, s)$ over the sequence space bv .

Theorem 2.11 $\sigma(B(r, s), bv) = \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Proof. Let $\alpha \notin \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$, i.e. we have $|\alpha - r| > |s|$. Since $s \neq 0$ we have $r \neq \alpha$, therefore $B(r, s) - \alpha I$ is triangle and has an inverse. Solving the equation

$$(B(r, s) - \alpha I)x = y \tag{2.2}$$

for x in terms of $y \in bv$, we can formally derive the matrix in the proof of Theorem 2.1.

At this situation,

$$\|(B(r, s) - \alpha I)^{-1}\|_{(bv, bv)} = \left| \frac{1}{r - \alpha} \right| \sum_n \left| \frac{s}{r - \alpha} \right|^n < \infty, \tag{2.3}$$

since $|s| < |r - \alpha|$. This shows that $\sigma(B(r, s), bv) \subseteq \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Now let $\alpha \in \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$. If $\alpha \neq r$, then $B(r, s) - \alpha I$ is triangle and has an inverse. On the other hand we have from (2.3) that

$$\|(B(r, s) - \alpha I)^{-1}\|_{(bv, bv)} = \infty.$$

i.e. $(B(r, s) - \alpha I)^{-1}$ is not in $B(bv)$.

If $\alpha = r$ then similar arguments in the proof of Theorem 2.1 show that the operator $B(r, s) - \alpha I = B(0, s)$ is not invertible. This shows that $\{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\} \subseteq \sigma(B(r, s), bv)$.

This completes the proof. □

Theorem 2.12 $\sigma_p(B(r, s), bv) = \emptyset$.

Proof. The proof is similar to that of Theorem 2.2. □

If $T: bv \rightarrow bv$ is a bounded matrix operator with matrix $A = (a_{nk})$, then $T^*: bv^* \rightarrow bv^*$ acting on $\mathbb{C} \oplus bs$ has matrix of the form

$$\begin{bmatrix} \bar{\chi} & v_0 - \bar{\chi} & v_1 - \bar{\chi} & v_2 - \bar{\chi} & \dots \\ a_0 & a_{00} - a_0 & a_{10} - a_0 & a_{20} - a_0 & \dots \\ a_1 & a_{01} - a_1 & a_{11} - a_1 & a_{21} - a_1 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix};$$

where

$$\bar{\chi} = \lim_{n \rightarrow \infty} \sum_{v=0}^{\infty} a_{nv}, \quad a_n = \lim_{k \rightarrow \infty} a_{kn}, \quad v_k = P_k(T(\delta)),$$

where $\delta = (1, 1, 1, \dots)$ and P_k is the k^{th} coordinate function for each $k \in \mathbb{N}$ [8]. For $B(r, s): bv \rightarrow bv$, the matrix $B(r, s)^* \in B(\mathbb{C} \oplus bs)$ is in the form,

$$B(r, s)^* = \begin{bmatrix} r + s & -s & 0 & 0 & 0 & \dots \\ 0 & r & s & 0 & 0 & \dots \\ 0 & 0 & r & s & 0 & \dots \\ 0 & 0 & 0 & r & s & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$

Theorem 2.13 $\sigma_p(B(r, s)^*, bv^*) = \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Proof. Suppose $B(r, s)^*x = \alpha x$ for $x \neq \theta \in bv^* = \mathbb{C} \oplus bs$. Then by solving the system of linear equations

$$\left. \begin{aligned} (r + s)x_0 - sx_1 &= \alpha x_0 \\ rx_1 + sx_2 &= \alpha x_1 \\ rx_2 + sx_3 &= \alpha x_2 \\ &\vdots \end{aligned} \right\} \tag{2.4}$$

we obtain that

$$x_n = \left(\frac{\alpha - r}{s}\right)^{n-1} \left(\frac{r - \alpha}{s} + 1\right)x_0; \quad (n \geq 1). \tag{2.5}$$

If $(\alpha - r)/s = 1$, i.e. $\alpha = r + s$, then since $s \neq 0, x_1 = 0, x_2 = 0, \dots, x_n = 0, \dots$ so $x = (x_0, 0, 0, \dots)$ is an eigenvector corresponding to $\alpha = r + s$. If $(\alpha - r)/s \neq 1$, then $x \in \mathbb{C} \oplus bs$ if and only if

$$\left|\frac{r - \alpha}{s} + 1\right| \sup_n \frac{1 - \left(\frac{\alpha - r}{s}\right)^n}{1 - \left(\frac{\alpha - r}{s}\right)} < \infty$$

which leads us to the desired consequence that $|\alpha - r| \leq |s|$. □

Theorem 2.14 $\sigma_r(B(r, s), bv) = \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$.

Proof. The proof obtained by the analogy with the proof of Theorem 2.6. □

Theorem 2.15 $\sigma_c(B(r, s), bv) = \emptyset$.

Proof. The proof may be obtained by proceeding as in proving Theorem 2.9. □

In this section, we compute the fine spectrum of the operator $B(r, s)$ on the sequence spaces ℓ_1 and bv . We start with a theorem determining the class of the resolvent set of the operator $B(r, s)$.

Now, a similar argument can be carried out over the space bv .

Theorem 2.16 *If α satisfies $|\alpha - r| > |s|$, then $B(r, s) - \alpha I \in I_1$.*

Proof. We prove that the operator $B(r, s) - \alpha I$ is bijective and has a continuous inverse for α with $|\alpha - r| > |s|$. Since $s \neq 0, \alpha \neq r$ and $B(r, s) - \alpha I$ is triangle, it has an inverse. By (2.3), the inverse of $B(r, s) - \alpha I$ exists and is continuous.

Let $y \in bv$ and define $x = (x_n)$ by

$$x_n = \frac{1}{r - \alpha} \sum_{k=0}^n \left(\frac{-s}{r - \alpha}\right)^{n-k} y_k = ((B(r, s) - \alpha I)^{-1}y)_n$$

for all $n \in \mathbb{N}$. Since $(B(r, s) - \alpha I)^{-1}$ is in (bv, bv) , we observe by (2.3) that $x \in bv$. Hence, $B(r, s) - \alpha I$ is onto. □

Theorem 2.17 *If $\alpha = r$, then $\alpha \in III_1\sigma(B(r, s), bv)$.*

Proof. By Theorem 2.13 and Lemma 2.4, $B(r, s) - rI \in III$ whenever $\alpha = r$. On the other hand, $\alpha = r$ is not in $\sigma_p(B(r, s), bv)$ by Theorem 2.12. Hence $B(r, s) - rI$ has an inverse. Then, $B(r, s) - rI \in 1 \cup 2$.

To show that $B(r, s) - rI \in 1$, it is enough to show by Lemma 2.5 that $B(r, s)^* - rI = B(0, s)^*$ is onto. Given $y = (y_n) \in \mathbb{C} \oplus bs$ we must find $x = (x_n) \in \mathbb{C} \oplus bs$ such that $B(0, s)^*x = y$. Direct calculation gives that x_1 can be taken as zero, then $x_0 = (1/s)y_0$ and

$$x_n = \frac{1}{s}y_{n-1} \quad (n \geq 2).$$

This shows that $B(0, s)^*$ is onto. \square

Theorem 2.18 *If $\alpha \neq r$ and $\alpha \in \sigma_r(B(r, s), bv)$, then $\alpha \in III_2\sigma(B(r, s), bv)$.*

Proof. Since $\alpha \neq r$, the operator $B(r, s) - \alpha I$ is triangle, hence it has an inverse. By (2.3), the inverse of the operator $B(r, s) - \alpha I$ is discontinuous. Therefore, $B(r, s) - \alpha I \in 2$.

By Theorem 2.13, $B(r, s)^* - \alpha I$ is not one to one. By Lemma 2.4 $B(r, s) - \alpha I$ does not have a dense range. Therefore $B(r, s) - \alpha I \in III$. This completes the proof. \square

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